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Coupling fraction model to interpret the motion of non-fully coupled strike-slip faults

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Despite coupling fractions being extensively used in the interseismic period, the coexistence of locking and creeping mechanisms and the correlation between the coupling fraction and locking depth remain poorly understood because of the lack of a physical model. To overcome these limitations, in this study, we propose a coupling fraction model for interpreting the motion of non-fully coupled strike-slip faults based on the classic two-dimensional strike-slip fault model and the superposition principle. The model was constructed using numerous tiny, alternating creeping and locking segments. The deformation produced by the model is the same as that of the classic two-dimensional strike-slip fault, except for the scale factor. The model and definition of the coupling fraction can be perfectly integrated. Based on the model, we put forward a varying decoupled fraction with depth model, which considers the depth-dependent coupling fraction. The two models provide deep insights into the deformation characteristics of quasi-arctangent curves produced by non-fully coupled strike-slip faults and the local and macroscopic characteristics of fault locking in the interseismic period.

KEYWORDS

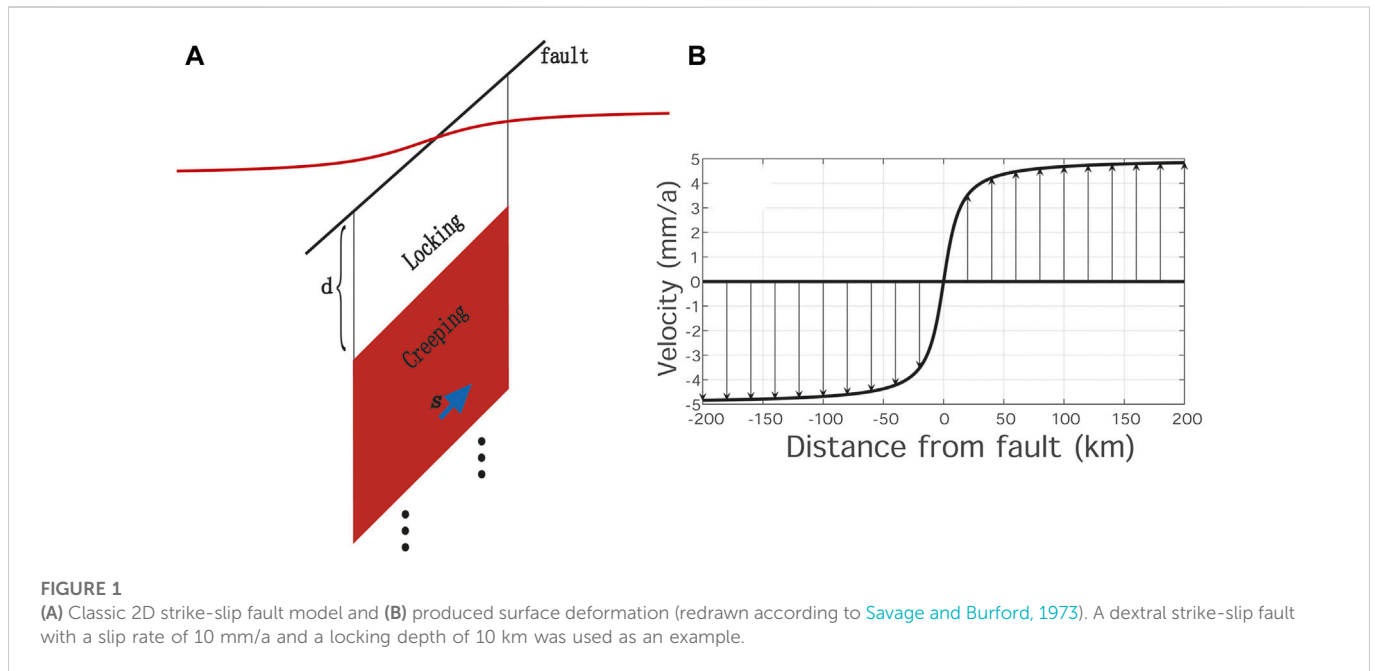
coupling fraction, deformation, strike-slip fault, locking depth, fault locking

1 Introduction

Dislocation theory, which interprets fault-motion-based deformation on the surface, has become an important physical model since the elastic rebound theory was put forward (Reid 1910; Chinnery, 1963; Okada 1985, 1992; Matsu'ura et al., 1986; Sun and Okubo, 1993, 1998; Wang et al., 2003; Steketee, 1958; Pan, 2019; Dong et al., 2021). The simplest representation of dislocation along an infinitely deep and long strike-slip fault is shown in Figure 1A. Savage and Burford (1973) reported the equation for the velocity of the model (SB73 model)—represented by a straight and vertical fault in an elastic half-space, in which uniform slip equal to the free slip rate occurs on the fault below the depth, above which the fault is fully coupled—as follows:

$$v = \frac{s}{\pi} \tan^{-1} \left(\frac{x}{d} \right), \quad (1)$$

where x is the distance from the fault and d is the locking depth, which means that the fault above d is fully coupled/locked, whereas the fault below d is fully creeping. The parameter s is the free slip rate below d (Figures 1A, B). The curve produced by the SB73 model is an arctangent function (Figure 1B). Furthermore, the coupling fraction (or fault locking), which quantifies the slip deficit on the patch of a seismogenic zone relevant to the free creep below the patches, was introduced to describe the locking degrees from the shallow to the deep parts of the fault (McCaffrey et al., 2000; McCaffrey, 2002; McCaffrey, 2005; Scholz, 2007). The coupling fraction δ is defined as follows:



$$\delta = 1 - \frac{S_c}{S} \tag{2}$$

where s is the free slip rate and s_c represents the creeping part of the non-fully coupled fault. Based on negative dislocation theory ([Matsu'ura et al., 1986](#)), the locking degrees of faults can be obtained by the inversion of surface deformation on each patch and used to estimate the seismic risk of the fault ([Jiang et al., 2015](#); [Zhao et al., 2020](#); [Li et al., 2021a](#); [Li et al., 2021b](#); [Jian et al., 2022](#); [Li et al., 2022](#)).

Although the coupling fraction has been widely used in the interseismic period, questions remain regarding the coexistence of locking and creeping mechanisms, and the correlation between the coupling fraction and locking depth, because of the lack of a physical model. Why can the slip and lock happen at the same time during fault motion, and what is the physical meaning of the coupling fraction? In this study, an appropriate two-dimensional coupling fraction (CF) fault model is proposed for interpreting the motion of non-fully coupled strike-slip faults based on the SB73 model and the superposition principle. In addition, a varying decoupled fraction with depth (VDFD) model is established, based on the CF model, to expand the model's applications. Two models interpreting the motion of the non-fully coupled strike-slip fault can help us to understand why and how the faults can be creeping and locking at the same time.

2 Model with non-fully coupled strike-slip fault

In our discussion, a fault segment that is fully coupled or locked is called a *locking segment*, and a fault segment that is fully creeping or slipping is called a *creeping segment*. The length of the fault segment is called the *segment length*, and the function $Cf(d, L)$ represents the surface slip distribution by the fault segment, for which the vertical distance from the upper edge of the fault to the surface is d and the

segment length is L ([Figure 2A](#)). Based on the superposition principle and the SB73 model, the CF and VDFD models are proposed in this study.

2.1 Model of the strike-slip fault with a finite locking segment length

The model for the slip distribution of the strike-slip fault with a finite locking segment length can be expressed as follows ([Figure 2A](#)):

$$Cf(d, L) = \frac{s}{\pi} \left(\tan^{-1} \frac{x}{d} - \tan^{-1} \frac{x}{d+L} \right). \tag{3}$$

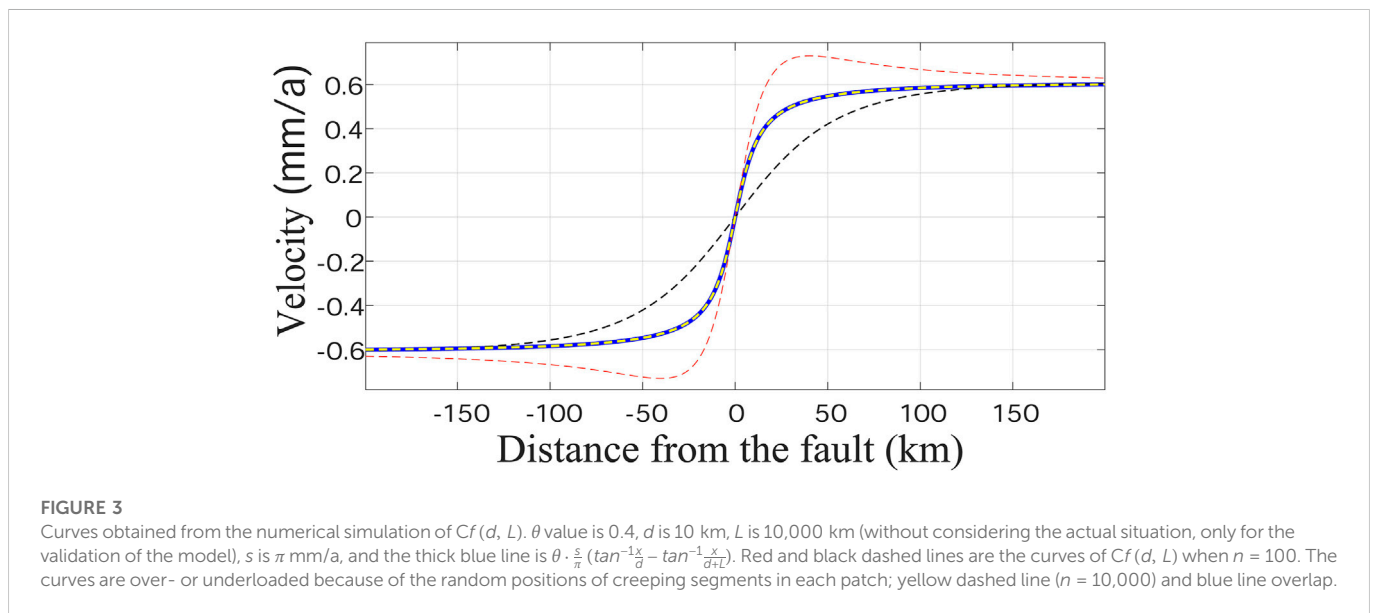
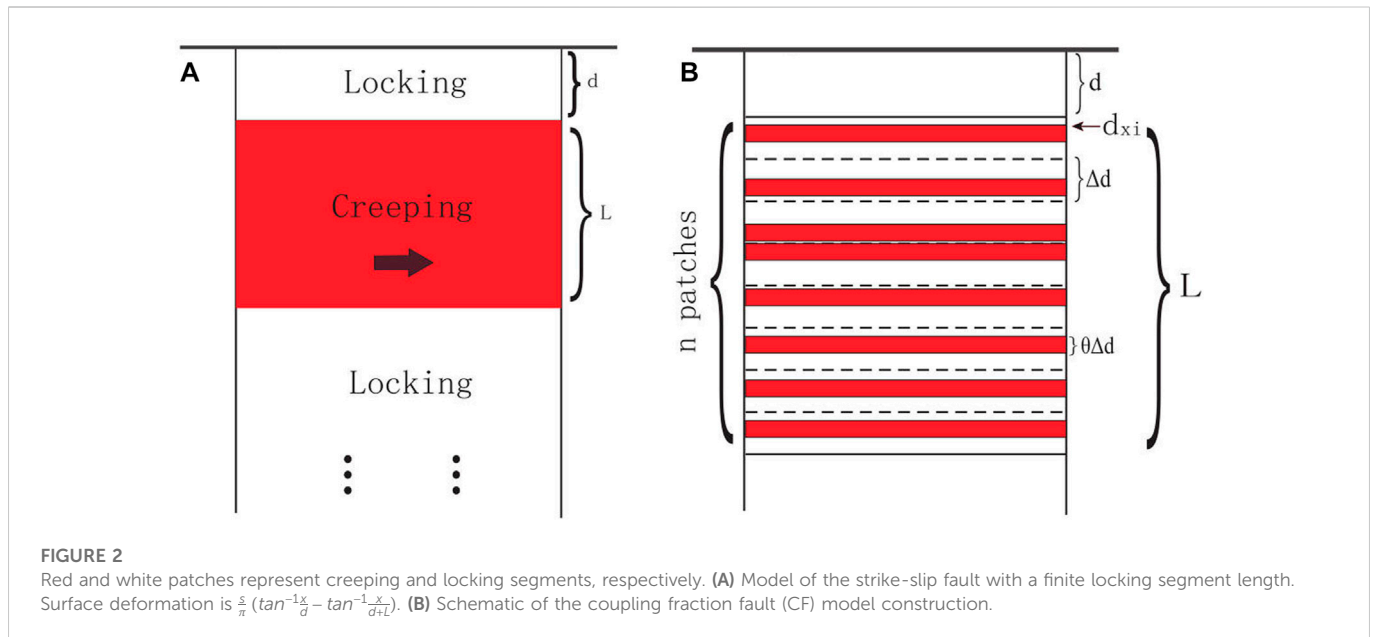
It can be constructed based on the superposition principle and the SB73 model, or directly derived from screw dislocation ([Savage and Burford, 1973](#); [Savage, 1990](#); [Segall, 2010](#)).

2.2 Construction of the CF model

Based on the model mentioned previously, the locking segment is laid from the surface to depth d and the fault segment below d , where the segment length L is divided into n patches and the segment length of each patch is Δd , with $\Delta d = \frac{L}{n}$. The creeping segments with segment lengths of $\theta \cdot d$ are then laid on the random position of each patch ([Figure 2B](#)). Therefore, the total surface deformation $Cf(d, L)$ is the sum of the surface deformation of each creeping segment based on the superposition principle:

$$Cf(d, L) = \sum_{i=1}^n \frac{s}{\pi} \left[\tan^{-1} \left(\frac{x}{d_{x_i}} \right) - \tan^{-1} \left(\frac{x}{d_{x_i} + \theta \frac{L}{n}} \right) \right], \tag{4}$$

where $d \geq 0$, $0 < \theta \leq 1$, $i = 1, 2, \dots, n$, and d_{x_i} represents each of the upper edges of the creeping segment, which is a random value in the interval $[d + (i-1)\Delta d, d + i\Delta d - \theta\Delta d]$, $i = 1, 2, \dots, n$. When n is very



large, $Cf(d, L)$ approaches a result which is $\theta \cdot \frac{s}{\pi} (\tan^{-1}\frac{x}{d} - \tan^{-1}\frac{x}{d+L})$ (Figure 3). Therefore, the CF model $Cf(d, L, \theta)$ is expressed as follows:

$$Cf(d, L, \theta) = \lim_{n \rightarrow \infty} \sum_{i=1}^n \frac{s}{\pi} \left[\tan^{-1}\left(\frac{x}{d_{x_i}}\right) - \tan^{-1}\left(\frac{x}{d_{x_i} + \theta \Delta d}\right) \right]. \tag{5}$$

This model represents the surface deformation caused by numerous tiny creeping segments that are separated by locking segments. As shown in Figure 2B, the creeping part of the fault segment with segment length L is discontinuous in depth when n is very large.

We applied the Lagrange mean value theorem, $\forall i$, as follows:

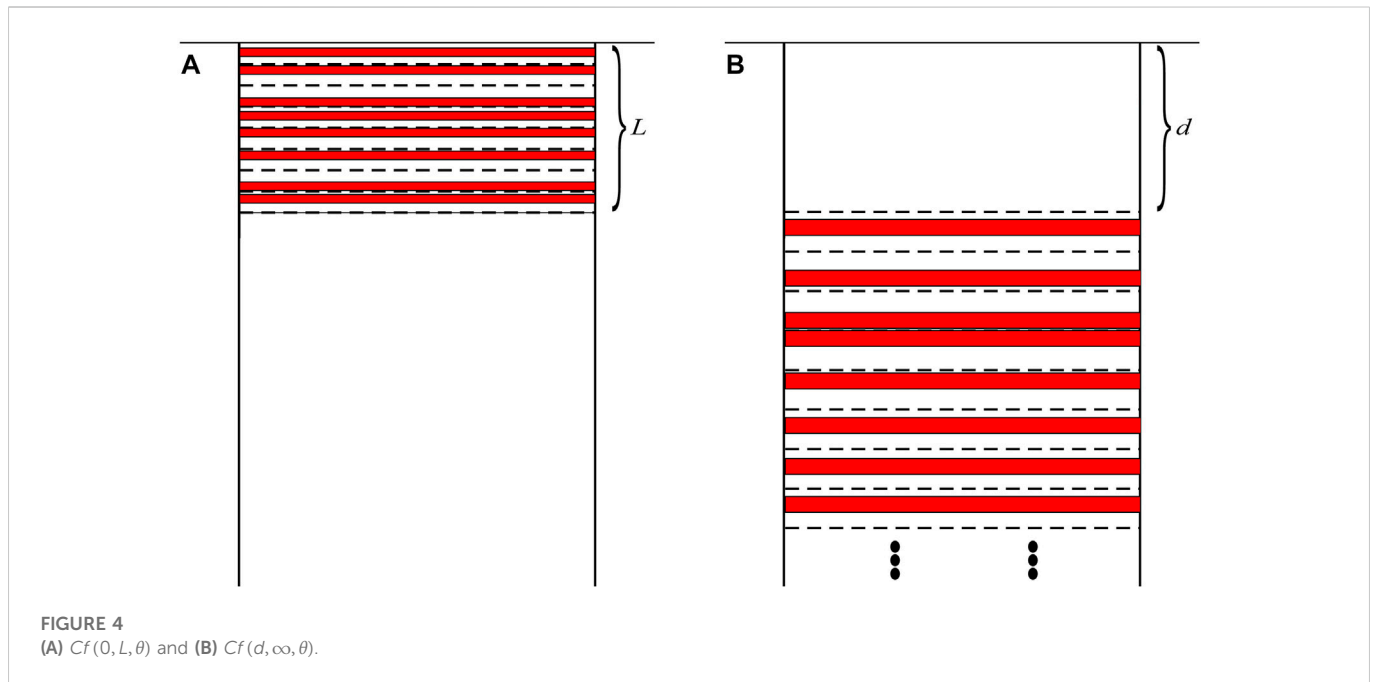
$$\tan^{-1}\left(\frac{x}{d_{x_i}}\right) - \tan^{-1}\left(\frac{x}{d_{x_i} + \theta \Delta d}\right) = \frac{\theta L}{n} \frac{d(\tan^{-1}\frac{x}{\zeta})}{d\zeta} \Bigg|_{\zeta=\zeta_i},$$

where $\zeta_i \in [d_{x_i}, d_{x_i} + \frac{n}{L}]$

$$\begin{aligned} \therefore Cf(d, L, \theta) &= -\frac{\theta s}{\pi} \lim_{n \rightarrow \infty} \sum_{i=1}^n \frac{L}{n} \frac{d(\tan^{-1}\frac{x}{\zeta})}{d\zeta} \Bigg|_{\zeta=\zeta_i} \\ &= -\frac{\theta s}{\pi} \int_d^{d+L} \frac{d(\tan^{-1}\frac{x}{\zeta})}{d\zeta} d\zeta = \frac{\theta s}{\pi} \left(\tan^{-1}\frac{x}{d} - \tan^{-1}\frac{x}{d+L} \right), \end{aligned} \tag{6}$$

where θ is called the “decoupled fraction” or “fault creeping” in Formula 6 (strict proof is provided in the Supplementary Material).

The CF model is derived from the superposition principle and the SB73 model, which ensure the correctness of the model in theory. The



construction of the CF model shows that numerous, tiny creeping segments alternate with the locking segments. The results of the CF model illustrate that the sum of the deformation by these creeping segments is the same as the deformation by the large creeping segment in Figure 2A, except for the scale factor θ . Figure 3 shows the results of the comparison between $Cf(d, L, \theta)$ and $Cf(d, L)$. When n is not large enough, which also means that the creeping segments are not small enough, the curves are over- or underloaded because of the random positions of creeping segments in each patch. When n increases, $Cf(d, L)$ and $Cf(d, L, \theta)$ quickly coincide.

2.3 Correlation between θ and δ

The θ parameter in the CF model is derived from superposition and the SB73 model, whereas δ is defined by the equation (McCaffrey, 2002). In this study, the correlation between θ and δ is discussed. First, $Cf(0, L, \theta)$ and $Cf(d, \infty, \theta)$ must be derived using Formula 6 (Figure 4):

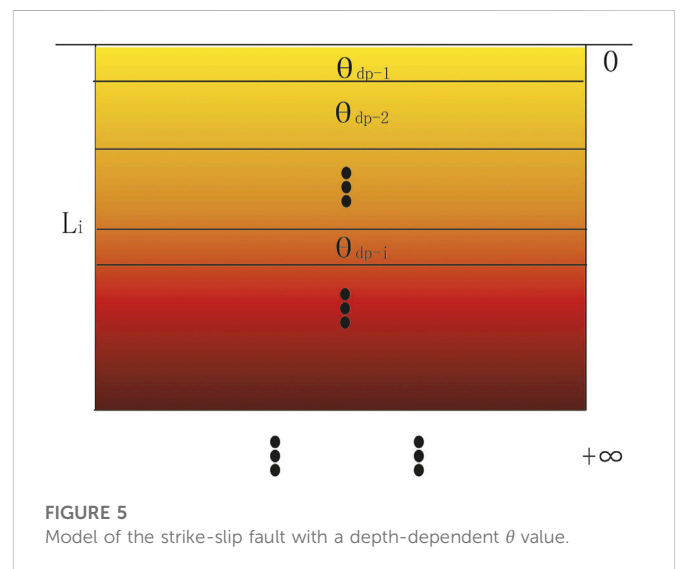
$$Cf(0, L, \theta) = \lim_{d \rightarrow 0} Cf(d, L, \theta) = \frac{\theta s}{\pi} \cdot \left(\frac{\pi}{2} \cdot \text{sgn}(x) - \tan^{-1} \frac{x}{L} \right) = \frac{\theta s}{\pi} \cdot \tan^{-1} \frac{L}{x}, \quad (7)$$

$$Cf(d, \infty, \theta) = \lim_{L \rightarrow \infty} Cf(d, L, \theta) = \frac{\theta s}{\pi} \cdot \tan^{-1} \frac{x}{d}, \quad (8)$$

where $\text{sgn}(x)$ is the signum function. Based on the negative dislocation (Matsu'ura et al., 1986), the slip distribution of the strike-slip fault with the coupling fraction δ can be expressed as follows:

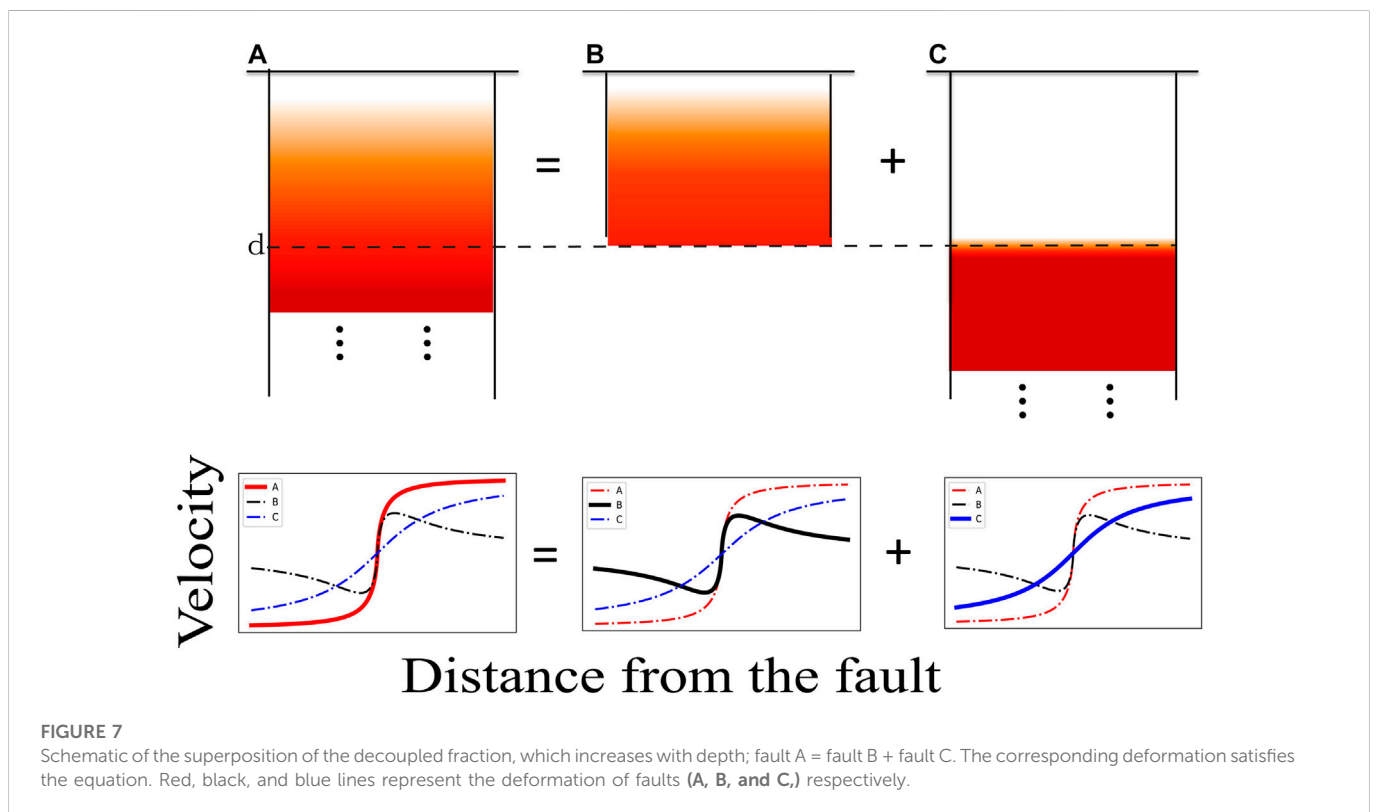
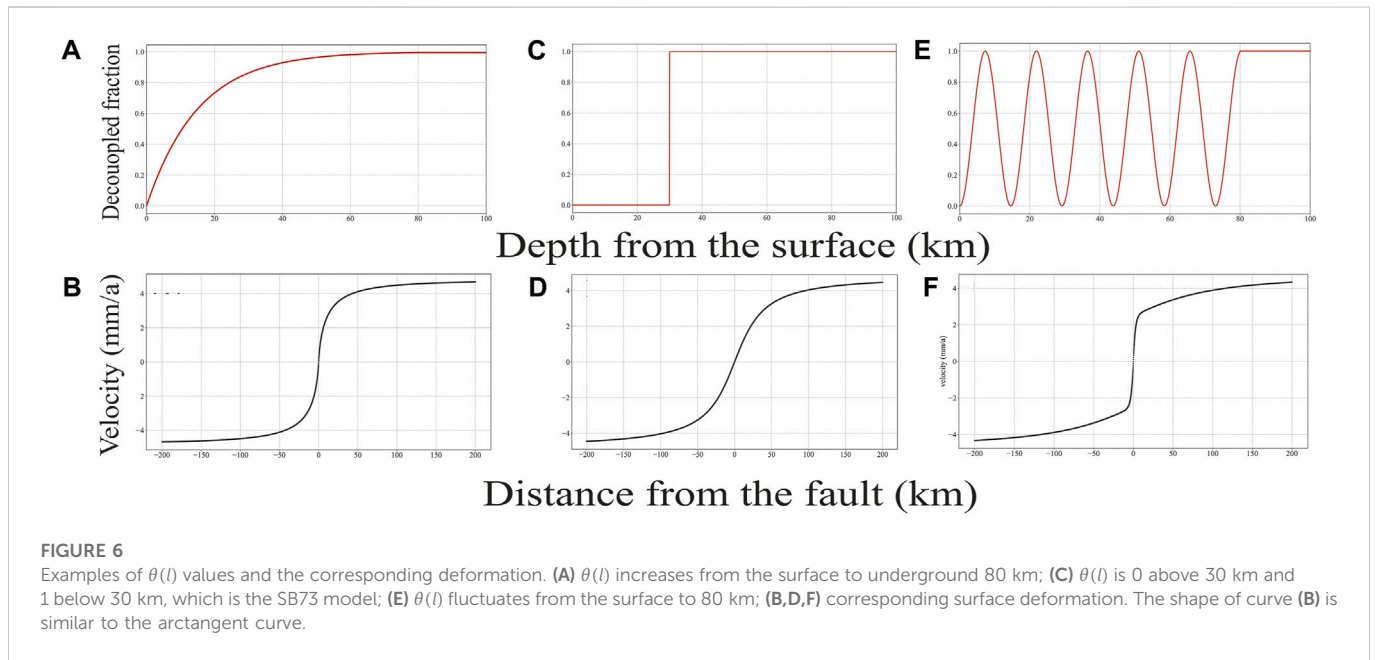
$$\frac{s}{2} \text{sgn}(x) - \frac{\delta s}{\pi} \tan^{-1} \left(\frac{d}{x} \right),$$

where $\frac{s}{2} \text{sgn}(x)$ is the free slip rate and $\frac{\delta s}{\pi} \tan^{-1} \left(\frac{d}{x} \right)$ is the co-seismic deformation produced by δs .



$$\Rightarrow \frac{s}{2} \text{sgn}(x) - \left[\frac{s}{2} \tan^{-1} \left(\frac{d}{x} \right) - \frac{(1-\delta)s}{\pi} \tan^{-1} \left(\frac{d}{x} \right) \right] = \frac{s}{\pi} \tan^{-1} \left(\frac{x}{d} \right) + Cf(0, d, 1-\delta). \quad (9)$$

Formula 9 shows that the deformation produced by the coupling fraction is equal to the sum of the SB73 model and $Cf(0, d, 1-\delta)$. The equation $\theta = 1 - \delta = \frac{s}{S}$ illustrates that the CF model explains the coupling fraction well because θ represents the ratio of the creeping part of the fault segment. Therefore, based on the CF model, δ can be interpreted as the ratio of the total length of discontinuous locking parts in $Cf(d, L, \theta)$ to L (the correlation between θ and δ in layered faults is discussed in the Supplementary Material).



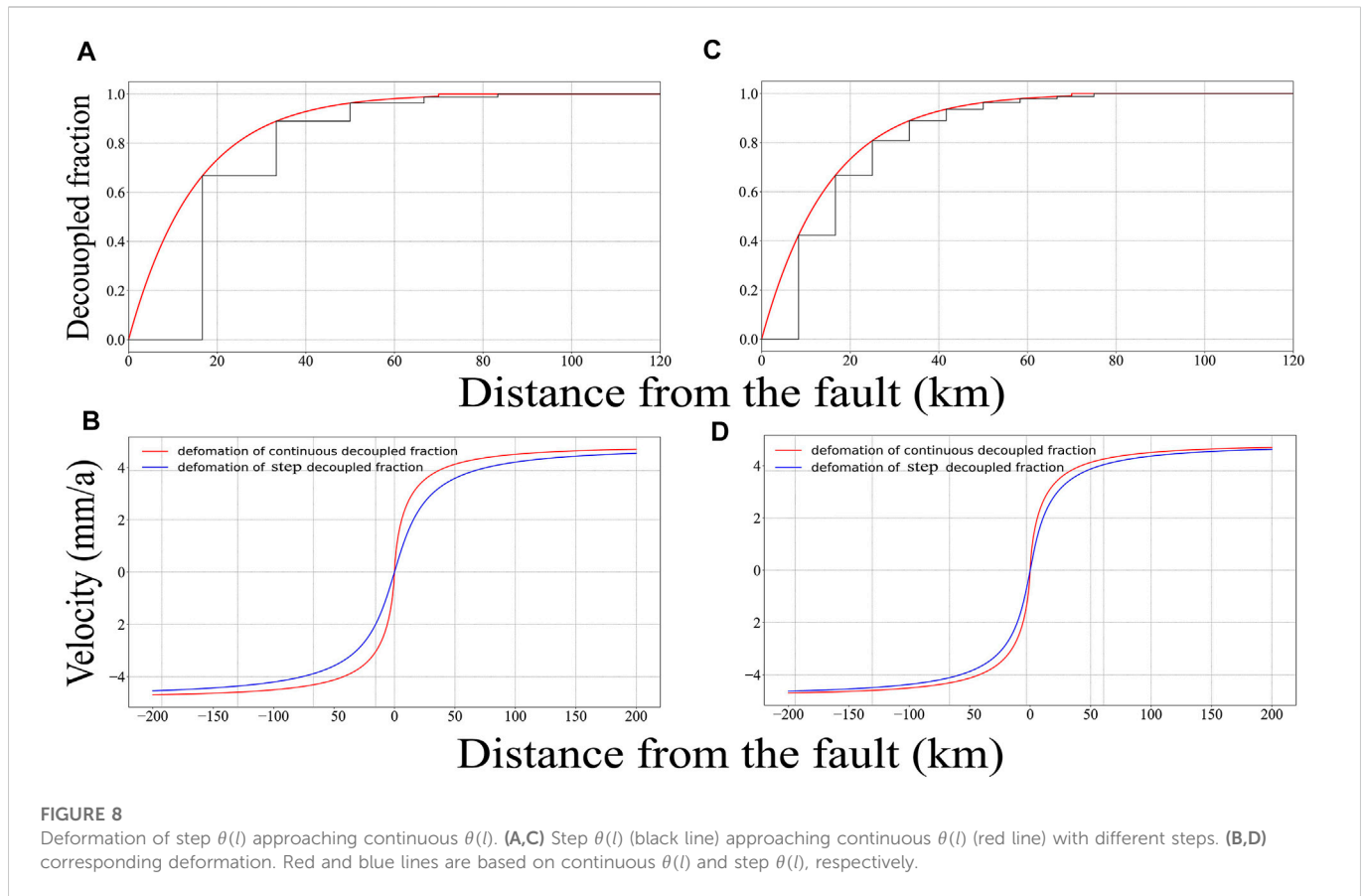
2.4 Model with depth-dependent decoupled fraction

Based on the CF model, the model of the fault with a θ value varying in depth was studied (VDFD model). The model with θ varying in depth is shown in Figure 5. It can be divided into patches: $[L_0, L_1], [L_1, L_2], \dots, [L_{n-1}, L_n], \dots, L_0 = 0$. The decoupled

fraction is θ_{dp-i} in patch $[L_{i-1}, L_i], i = 1, 2, \dots, n$. Based on the CF model, the total deformation generated by patches is presented as follows:

$$s_{total}(x) = \frac{s}{\pi} \cdot \sum_{i=1}^n \theta_{dp-i} \cdot \left[\tan^{-1}\left(\frac{x}{L_{i-1}}\right) - \tan^{-1}\left(\frac{x}{L_i}\right) \right].$$

We then applied the Lagrange mean value theorem, $\forall i$, as follows:



$$s_{total}(x) = -\frac{s}{\pi} \cdot \sum_{i=1}^n \theta_{dp-i} \cdot \left. \frac{d \tan^{-1}\left(\frac{x}{l}\right)}{dl} \right|_{l=L_{\xi_i}} \cdot (L_i - L_{i-1}) I_{\xi_i} \epsilon [L_{i-1}, L_i].$$

When θ_{dp-i} continuously varies with depth, $\theta_{dp-i} (i = 1, 2 \dots n) \Rightarrow \theta(l)$, the length of each patch is very small, that is, $n \rightarrow \infty$:

$$s_{total}(x) = \lim_{n \rightarrow \infty} -\frac{s}{\pi} \cdot \sum_{i=1}^n \theta_{dp-i} \cdot \left. \frac{d \tan^{-1}\left(\frac{x}{l}\right)}{dl} \right|_{l=L_{\xi_i}} \cdot (L_i - L_{i-1}).$$

Therefore, the deformation of the VDFD model can be expressed as follows:

$$s_{total}(x) = \frac{s}{\pi} \int_0^{+\infty} \frac{\theta(l) \cdot x}{x^2 + l^2} dl, \tag{10}$$

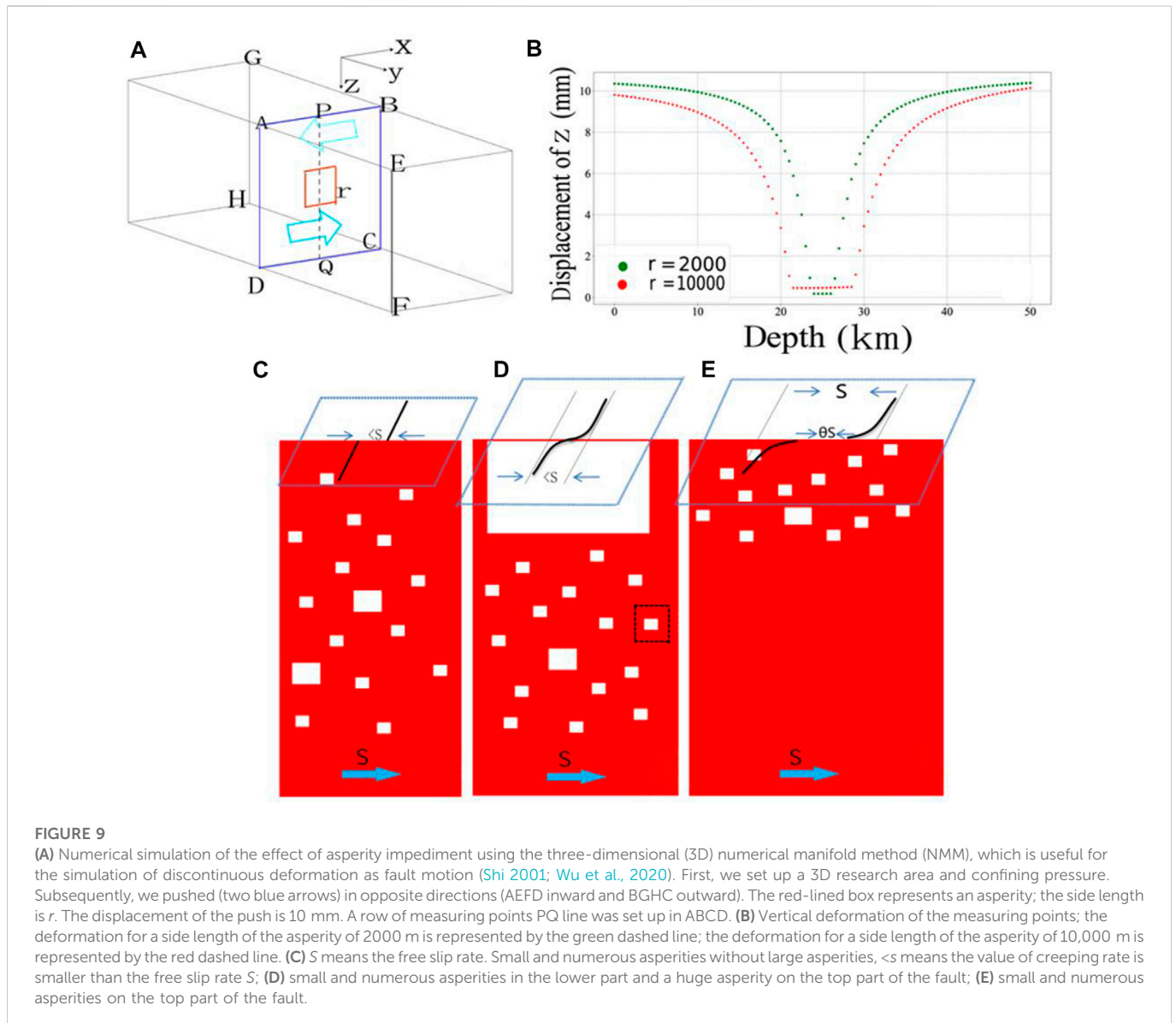
where s is the free slip rate, x is the distance from the fault, and l is the vertical distance from a certain depth to the surface. The $\theta(l)$ represents the function of θ with respect to l , where $0 \leq \theta(l) \leq 1$. We defined the function of the coupling fraction with respect to l as $\delta(l) = 1 - \theta(l)$. The equation of $s_{total}(x)$ is similar to the distributed slip (Aki and Richards, 1980; Matthews and Segall, 1993). $s_{total}(x)$ is derived from the CF model, and $\theta(l)$ has a clear physical meaning. The critical function $\theta(l)$ controls the slip distribution of the fault. In this study, several examples of $\theta(l)$ and the corresponding deformation are provided (Figure 6). Figure 6A indicates that $\theta(l)$ gradually increases from the surface to the underground. Figure 6C indicates that $\theta(l)$ is fully locked above

30 km and fully creeping under 30 km, representing the SB73 model with a locking depth of 30 km. Figure 6E shows the fluctuation of $\theta(l)$ from the surface to the underground. Figures 6B, D, F show the corresponding curves. The VDFD model, which has a flexible $\theta(l)$, can produce more complex slip distributions than the SB73 and CF models.

3 Discussion

3.1 Why is the shape of the coupling fraction deformation curve, which decreases with depth, similar to that of the arctangent curve?

The decrease in the coupling fraction with depth means that the decoupled fraction increases with depth. This study discusses the reason for the similar shapes of the curves for the arctangent function and decoupled fraction, which increase with depth. As shown in Figure 7, the decoupled fraction increases from the surface to d and is fully creeping below d , which is equal to the sum of faults B and C (Figure 7). Fault C is the SB73 fault, which generates the arctangent curve. Based on the CF model, the fault segment near the surface, which should produce large deformation, generates small deformation because of the small decoupled fraction in fault B. Hence, fault C dominates the shape of the whole curve. Therefore, the curve of fault A is similar to the arctangent curve.

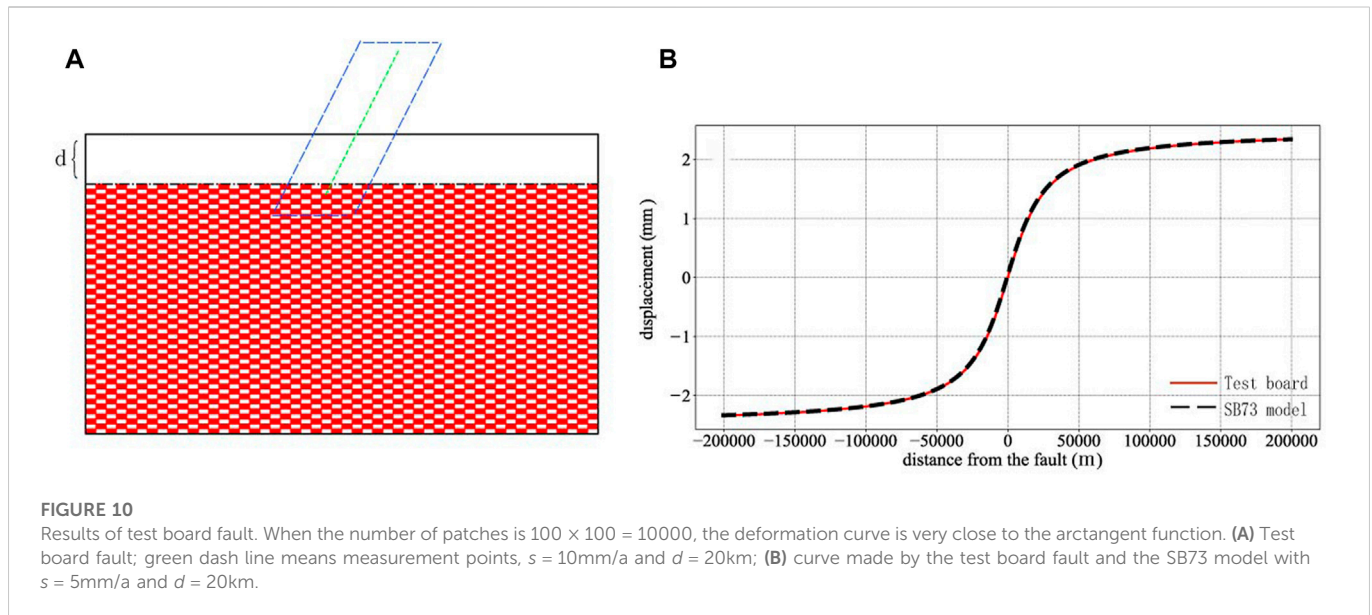


3.2 Correlation among the coupling fraction, locking depth, and value of the locking depth based on curve fitting

Locking depth is the parameter in the SB73 model, which considers full coupling above the depth and full creeping below the depth. The curve produced by the SB73 model is an arctangent function. The coupling fraction δ , which changes between 0 and 1, varies with depth. When δ is 1 above a certain depth d_0 and 0 below the d_0 , then the d_0 can be considered the locking depth in the SB73 model. Sometimes the curves produced by the coupling fraction are similar to arctangent curves (Figure 7). By fitting curves that approximate the arctangent function with the SB73 model, we can obtain a value of the locking depth. The value reflects the average variation of the coupling fraction with depth. The quantitative correlation must be further studied.

3.3 Correlation between the deformation caused by step $\theta(l)$ and continuous $\theta(l)$

When inverting the coupling fraction on the fault, the fault plane is generally divided into many small patches, and the coupling fraction on each patch is a constant equivalent to replacing the continuous coupling fractions in practice with step ones. In this study, the correlation between the deformation caused by step $\theta(l)$ and continuous $\theta(l)$ in two dimensions is discussed based on the VDFD model. Based on Section 2.4, Formula 10 means the mapping from $\theta(l)$ to $s_{total}(x)$. The mapping is proven to have mathematical continuity and uniqueness (proof is provided in the Supplementary Material). Uniqueness means that there are no two different values of $\theta(l)$ that produce the same $s_{total}(x)$, which shows that there are no multiple solutions in theory. Continuity means that if $\theta_1(l)$ and $\theta_2(l)$ are similar, then $s_{total-1}(x)$ and $s_{total-2}(x)$ are also similar.



As shown in Figures 8A, C, the step $\theta(l)$ is used to replace the continuous $\theta(l)$. Figures 8B, D illustrate the difference between the deformations based on step $\theta(l)$ and continuous $\theta(l)$. The number of steps in Figure 8C is higher than that in Figure 8A, which is closer to the continuous $\theta(l)$. Therefore, the curve based on step $\theta(l)$ in Figure 8C is closer to that based on continuous $\theta(l)$, compared with that in Figure 8A. Thus, more steps yield results closer to the actual $\theta(l)$ but also indicate more unknown numbers with respect to the inversion. Therefore, the dense layout of global positioning system (GPS) stations can increase the data density of deformation, helping to improve the accuracy of the inversion of the coupling fraction, which may be hard to achieve at present because of the lack of sufficient GPS stations for some strike-slip faults. Three-dimensional models can be more complex because of lateral heterogeneity, which must be further studied.

3.4 Physical meaning of the coupling fraction

The CF model is obtained from the SB73 model and the superposition principle. Therefore, its mathematical correctness is guaranteed. One aim of this study was to explain the physical meaning of the coupling fraction. Based on the aforementioned discussion, we know that locking segments in the CF model could be tiny, numerous, and alternating with creeping segments. Based on microscopic and macroscopic views of the fault, fully coupled fault segments and non-fully coupled ones exist, respectively. The fault plane contains small and large asperities. Based on the magnitude–frequency correlation, it is known that the smaller the size, the greater is the number of asperities. Therefore, small asperities are widely distributed on the fault plane. The results of the numerical simulation show that the effect of an asperity impeding the creep of the fault diminishes by $\sim 90\%$ when the distance is larger than 3–5 times the size of the asperity (Figures 9A, B). The fault plane contains numerous small asperities, which are all at certain distances from each other and impede each other's motion. Although impediments lead to full coupling in local areas containing small asperities (e.g., black dashed box in Figure 9D),

numerous impediments reduce the slip rate of the whole fault plane and the coupling fraction forms macroscopically. The test board results also show that when patches are very small, the alternate creeping and locking patches can also produce arctangent curves macroscopically (Figures 10A, B). Thus, the macroscopic fault slip rate should be less than that of the local area. Therefore, the fault slip rate obtained from repeating events (which may be closer to the free slip rate) is often greater than that based on the GPS velocity field (Li et al., 2011; Zhang et al., 2022). The physical meaning of the coupling fraction is the ratio of the total area of the small asperities to the fault area when the small asperities are distributed everywhere.

Based on Section 2.2, we know that $1 - \theta$ represents the ratio of the total area of numerous small asperities on the fault plane to their surrounding areas. The creeping rate of the fault with s becomes smaller than s because of many small asperities. If the fault plane contains numerous small but no large asperities, the fault creeps at a rate smaller than s (Figure 9C). If the lower part of the fault contains many small asperities and the top part contains a giant asperity, the deformation is equivalent to that of the SB73 model with a slip rate smaller than s (Figure 9D). If the top of the fault contains a large number of small asperities and no asperity is observed in the lower part, the deformation is the sum of the SB73 model and $Cf(0, L, \theta)$, the step interval is θs , and the slip rate is s (Figure 9E). The large asperity and many small asperities determine the characteristics of surface deformation, but no matter how the small asperities are distributed, the far-field slip rate is often smaller than the free slip rate, s . The size of asperities is not infinitesimal, so the physical model is not the same as the mathematical model. The random positions of the asperities affect the shape of the deformation curve, which is similar but not identical to the arctangent curve (Figure 3). Therefore, it is possible that the surface deformation curve produced by a non-fully coupled strike-slip fault deviates from the arctangent curve. We can only approximate the shape of the arctangent curve because the distributions of a huge number of small asperities with certain sizes on the fault plane are unknown. In addition, limitations of this study exist due to the current models: 1) the models mentioned in this study are based on semi-infinite elastic bodies, so the transverse inhomogeneity

and the influence of viscoelastic bodies are not considered in the models, and 2) the positions of creeping segments are random but laid on a relatively small range (Figure 2B), and the segment length of creeping segments is equal. In practice, the segment length of the creeping segments should be unequal and the positions should be more random. These problems are closer to reality and must be further studied in the future. Based on the aforementioned discussion, we have known that the far-field slip rate of the fault (or the secular slip rate) could often be smaller than the free slip rate. We could only obtain the secular/far-field slip rate by GPS velocity. The terms “secular/far-field slip rate of fault” and “free slip rate” should be distinguished.

4 Conclusion

Based on the SB73 model and the superposition principle, a CF model of the motion of non-fully coupled strike-slip faults was constructed using numerous tiny, alternating creeping and locking segments. The slip rate of the deformation produced by the CF model is θs , where s is the free slip rate. The definition of the coupling fraction δ is interpreted by the CF model. A VDFD model is proposed based on the CF model. The models with non-fully coupled strike-slip faults provide insights into the complexity of the motion of strike-slip faults: 1) the surface deformation is generated by the fault slip rate s with numerous small asperities, but the shape is equal to that of the deformation based on a lower slip rate θs without asperities; 2) the meaning of the coupling fraction is the ratio of the total area of the small asperities to the fault area when the small asperities are widely distributed; and 3) according to the VDFD model, the curve generated by the non-SB73 model is sometimes similar to an arctangent curve. The CF and VDFD models provide deep cognition for understanding the deformation characteristics of non-fully coupled faults.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

Author contributions

Methodology, ZZ and ZJ; resources, ZZ; funding, ZZ, YW, and YC; test, ZZ; numerical simulation, ZZ and YW; visualization, ZZ; first draft preparation, ZZ and YC; editing, ZZ and YC; supervision, ZJ; proof, ZZ and HT.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2023.1059300/full#supplementary-material>

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