



Numerical Method for Predicting and Evaluating the Stability of Section Coal Pillars in Underground Longwall Mining

Pengfei Shan^{1,2}, Haoqiang Sun^{1,2*}, Xingping Lai^{1,2}, Jingjing Dai^{1,2}, Jianming Gao^{1,2}, Pan Yang^{1,2}, Wei Li^{1,2}, Chenwei Li^{1,2} and Chengwei Yan^{1,2}

¹School of Energy Engineering, Xi'an University of Science and Technology, Xi'an, China, ²Key Laboratory of Western Mine Exploration and Hazard Prevention, Ministry of Education, Xi'an University of Science and Technology, Xi'an, China

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(CAS), China

*Correspondence:

Haoqiang Sun
18710813538@qq.com

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The stability of section coal pillars is one of the most important factors affecting the stability of coal rock systems in the stope and roadway. This study aimed to develop an artificial intelligence methodology to predict and evaluate coal pillar stability. Data from 125 published coal pillar historical cases were collected to build a sample dataset. Meanwhile, a mean impact value-genetic algorithm-back propagation neural network (MIV-GA-BP) fusion model was established to predict the stability of section coal pillars. MIV tests indicated that the main factors influencing coal pillar stability are (in order of decreasing importance): the coal seam buried depth > coal seam thickness > working face length > coal elastic modulus > cohesion > tensile strength > internal friction angle > Poisson's ratio > volume weight > coal seam dip angle. The relative weights of mine design parameters are generally greater than those of the physical and mechanical parameters of coal and rock mass. After the BP model was optimized by GA, the relative error, *R* value, and mean squared error were 5%, 0.95, and 0.13, respectively. These results confirm that the machine learning model has significant potential for improving coal pillar stability evaluations. The developed prediction model was applied to two field cases to verify its effectiveness, and the results indicated that the innovative method can be extended for use in similar geological conditions or other mining and geological engineering fields.

Keywords: underground mining, coal pillar stability, data mining, artificial intelligence, pre-control technique

1 INTRODUCTION

In the context of long-term and large-scale underground mining in Chinese coal mines, the goaf represents one of the critical factors influencing the safety of mine production. Coal pillars are the main structural units contributing to the stability of a goaf, and therefore, it is crucial to evaluate the stability of coal pillars to enable efficient and safe mining in underground mines.

In recent decades, scholars have adopted various methods to understand and predict the stability of coal mine pillars. Empirical methods are usually based on an empirical formula that is used to estimate the strength of each coal pillar (Hustrulid, 1976; Jawed et al., 2013; Lai et al., 2020). Because it is difficult to determine the actual stress on an underground mine, the safety factor (FS) of the coal pillar (i.e., the ratio of its average strength to average stress) is computed to evaluate its stability (Deng et al., 2003). In general, FS > 1.0 is stable, whereas FS < 1.0 is unstable (Zhou et al., 2011; Wattimena, 2014; Zhou et al., 2022).

Numerical simulation technology has gradually been applied for coal pillar stability analysis. Deng et al. combined Monte Carlo and FLAC (Fast Lagrangian Analysis of Continua) methods to analyze the stability of coal pillars. Mortazavi et al. (2009) used UDEC (Universal Distinct Element Code) software to evaluate the influence of a pillar's geometry and mechanical parameters on its deformation and failure. These studies have significantly improved our understanding regarding the stability of coal pillars; however, because of the numerous influencing factors, these two methods have difficulty considering the impact of uncertainty, and the limit of the safety factor is not clear.

With recent advances in data mining technology, intelligent evaluation models have been successfully applied in the field of mining engineering, which constitutes a key development direction for the future of coal mining. For example, Deng et al. (2002) used an improved finite element Monte Carlo method to analyze the reliability of point pillars in metal mines. Zhao et al. (2003) and Luo et al. (2007) evaluated the stability of coal pillars based on dynamic fuzzy reliability. Cauvin et al. (2009) combined the Monte Carlo and safety factor methods to analyze the stability of coal pillars. Monjezi et al. (2011) used a neural network algorithm to predict coal pillar stress to guide coal pillar design strategies. Idris et al. (2015) combined the Monte Carlo method, neural networks, and FLAC3D (Universal Distinct Element Code 3D) to analyze the stability of coal pillars during excavation. Wattimena et al. (2013) used multiple logistic regression model to predict the stability of 89 hard rock coal pillars. Zhou et al. (2015) compared the performance of six supervised learning algorithms [i.e., linear discriminant analysis (LDA), polynomial logic regression (MLR), random forest (RF), artificial neural network (ANN), support vector machine (SVM), and gradient hoist (GBM)] for coal pillar stability identification based on 251 examples of hard rock coal pillars; they determined that the SVM and RF algorithms showed superior performance. Ghasemi et al. (2010) used Monte Carlo simulations to study the influence of various parameters (e.g., uniaxial compressive strength of coal sample, width of coal pillar, height of coal pillar, width of entrance, and depth of covering) on the FS of coal pillars. Zhou et al. (2011) proposed two models for predicting the stability of coal pillars by employing support vector machine and Fisher discriminant analysis. However, the evaluation indicators of each of the described models are different, and anomaly detection is particularly important in high-dimensional data analysis. Thus, it is necessary to develop a reasonable and effective input parameter database and an intelligent model to evaluate the stability of coal pillars in Chinese mines.

Considering the limitations of the aforementioned models, the main contributions of the present study can be summarized as follows: 1) an energy conservation model of the longwall stope is introduced to identify the factors influencing coal pillar stability; 2) 125 historical cases of coal pillars in China are collected, and a large dataset comprising Chinese coal pillar information is compiled following anomaly detection and treatment; 3) the mean impact value (MIV) is used to evaluate the sensitivity of variables, and a genetic algorithm (GA) and back-propagation (BP) neural network fusion model is established to verify the

prediction performance; 4) two field cases are discussed to evaluate the model's prediction performance for coal pillar stability analysis.

2 FACTORS INFLUENCING COAL PILLAR STABILITY DURING LONGWALL MINING

Longwall mining induces the fracture of coal and rock mass. The phenomena of energy storage, energy consumption, and energy distribution co-exist during this process, which can be regarded as a gradual failure caused by energy transfer. As shown in **Supplementary Figure S1**, as the coal seam is mined out, the energy inside the system reaches an equilibrium state, resulting in the generation of an excavation disturbed zone (EDZ) (Lai et al., 2006), and the coal pillar is the main structural unit affecting the stability of the EDZ.

As shown in **Supplementary Figure S2**, the longwall stope and surrounding rock are regarded as a system wherein the balance between their energy components should be maintained. During the mining process of the working face, the back rock layer is allowed to collapse at a certain distance; the released energy increases the strain of the roof rock stratum, which causes the roof rock to fracture and cave, thus relieving the pressure and producing an area with a certain height above the stope, namely, the EDZ. The energy conservation model of the longwall stope was established by Rezaei et al. (2015). The total stress is determined according to the difference between the weight of overburden rock and the weight of the failure area. Then, the vertical component of the mining stress is determined by calculating the reciprocal angle of the strata collapse. Finally, the stress concentration coefficient of the coal pillar can be calculated according to the vertical component of the mining stress, which can guide the flow of the coal pillar stability analysis, as shown in **Supplementary Figure S3**.

The total strain energy consumption is stored in the mined coal body during roof rock fracture, caving, and pressure relief. Therefore, the strain energy in the mined coal body should be equal to that in the collapsed material in the failure area, as expressed in **Eq. 1**,

$$U_m = U_d \quad (1)$$

where U_m is the strain energy in the EDZ, and U_d is the strain energy in the EDZ.

Rezaei et al. (2015) derived the formulas for calculating the stored strain energy in the coal seam, and the final equations for calculating the total energy storage of the coal seam are shown in **Eqs 2, 3**,

$$U_m = \frac{(1 + \nu)(1 - 2\nu)\gamma A_m \delta_v}{2(1 - \nu)E} \left(\frac{h_s^2}{3} + H^2 + Hh_s \right) \quad (2)$$

$$U_d = U_E + U_v = \frac{Ee^{-at} A_d H_d \delta_c^2}{2\left(E + \frac{b\delta_c}{b-1}\right)} + \left(\frac{\xi^2}{2K} - \frac{\lambda\xi^2}{2} \right) A_d H_d$$

$$= \frac{A_d H_d \delta_c^2}{2\left(E + \frac{b\delta_c}{b-1}\right)^2} \left(2Ee^{-at} + \frac{\delta_s^{u1}}{Bt^2} - \lambda \right) \quad (3)$$

where ν is the Poisson ratio of the rock mass; γ is the volume density of the overlying strata (N/m^3); h_s is the height of the coal seam; L_w is the height of the working face; A_m is the cross-sectional area of the coal seam (such that $A_m = L_w \times h_s$); σ_v is the vertical stress; H is the buried depth of the coal seam; and E is the elastic modulus of the coal seam. In general, in the failure zone (U_d), the storage strain energy of the material consists of elastic strain energy (U_E) and viscoplastic strain energy (U_v). Here, A_d is the unit surface of the goaf (such that $A_d = L_w \times 1 \text{ m}$); σ_c is the uniaxial compressive strength of the goaf material; b is the expansion coefficient; σ_s is the stress threshold; B is the material constant related to viscosity and temperature; t is the pressure time of the goaf material; λ is the slope of the material hardening stage; and ω , a , and μ_1 are material constants.

By substituting Eqs 2, 3 into Eq. 1, the EDZ height (H_d) under long-term conditions can be obtained using:

$$H_d = \frac{\frac{(1+\nu)(1-2\nu)\gamma A_m \delta_v}{(1-\nu)E} \left(\frac{h_s^2}{3} + H^2 + Hh_s \right) \left(E + \frac{b\sigma_c}{b-1} \right)^2}{A_d \delta_c^2 \left(2Ee^{-at} + \frac{\delta_s^{\mu_1}}{Bt^\sigma} - \lambda \right)} \quad (4)$$

The vertical component of the mining stress ($\sigma_{a(v)}$) and the stress concentration coefficient (K) of the load transferred to the roadway and coal pillar can then be obtained, as shown in Eqs 5, 6, respectively:

$$\begin{aligned} \sigma_{a(v)} &= \sigma_a \cos \beta = \gamma(H - H_d) \cos \left(\tan^{-1} \left(\frac{X}{H_d} \right) \right) \\ &= \left[\gamma H - \frac{\frac{(1+\nu)(1-2\nu)\gamma A_m \delta_v}{(1-\nu)E} \left(\frac{h_s^2}{3} + H^2 + Hh_s \right) \left(E + \frac{b\sigma_c}{b-1} \right)^2}{A_d \delta_c^2 \left(2Ee^{-at} + \frac{\delta_s^{\mu_1}}{Bt^\sigma} - \lambda \right)} \right] \sqrt{\frac{H_d^2}{H_d^2 + X^2}} \end{aligned} \quad (5)$$

$$K = \frac{\sigma_s}{\sigma_v} = \frac{\sigma_v + \sigma_{a(v)}}{\sigma_v} = \frac{\left[\sigma_v + (\sigma_v - \gamma H_d) \sqrt{\frac{H_d^2}{H_d^2 + X^2}} \right]}{\sigma_v} \quad (6)$$

These values enable the design of roadway supports and coal pillar stability analysis. The load of the overlying strata is transferred to the coal pillar through the suspended roof, which reveals different levels of integrity in different sections of the coal pillar (i.e., a crushing zone, a plastic zone, and an elastic zone).

Hou and Ma (1989) proposed a method for calculating the width of the stress limit equilibrium zone, as expressed in Eq. 7,

$$x = \frac{mA}{2 \tan \psi} \ln \left[\frac{KyH + \frac{c}{\tan \psi}}{\frac{c}{\tan \psi} + \frac{P_x}{A}} \right] \quad (7)$$

where φ and c represent the internal friction angle and cohesion of the coal seam, respectively.

Therefore, the coal pillar stability analysis in longwall mining involves a multi-factor nonlinear coupling problem, and the

following factors affecting the stability of the coal pillar must be considered as thoroughly as possible.

- 1) Static parameters (the static physical and mechanical parameters of the coal and rock mass): elastic modulus, Poisson's ratio, internal friction angle, cohesion, bulk density, etc.
- 2) Engineering disturbance parameters (also known as engineering design parameters): coal seam thickness, working face length, coal seam burial depth, coal seam dip angle (θ), coal pillar width (M), etc.
- 3) Time-varying parameters (parameters of goaf materials that vary over time): temperature, pressure, etc.

In conclusion, the influencing factors of coal pillar stability were determined, thereby providing a theoretical basis for establishing a coal pillar database. Additionally, the analysis offers reasonable model input factors for predicting coal pillar multi-factor nonlinear coupling disasters under engineering disturbance. Moreover, coal pillar stability control measures can be designed based on stope stress transfer to reduce mining stress transfer (e.g., *via* roof cutting and pressure relief), strengthen the support strength (i.e., roadway support), and optimize the coal pillar size.

3 DATA ACQUISITION AND ANALYSIS

In mining and geotechnical engineering, it is difficult to determine reliable input parameters and find effective methods for accurately describing this nonlinear relationship, and as a result, it is often challenging to assess reliability. This report aims to establish a coal pillar database and develop reliable datasets through data mining technology to improve the calculation performance of the described prediction model. The coal pillar data transfer pathway is illustrated in **Supplementary Figure S4**.

3.1 Sampling and Origin Data Acquisition

Certain coal pillar parameters are needed to establish the prediction model. To avoid overtraining, three parameter selection principles are applied. First, the sensitive parameters reflecting the stability of the coal pillar should be used as the evaluation index. Second, these parameters should be physically independent from one another. Finally, the parameter data should be easy to obtain. Combined with the factors influencing coal pillar stability, the coal seam thickness, working face length, coal seam buried depth, coal seam dip angle, coal elastic modulus, Poisson's ratio, internal friction angle, cohesion, and volume weight are selected as independent variables, and the coal pillar width is taken as the dependent variable.

Notably, coal pillar stability is also impacted by other parameters, such as the overburden breaking state, disturbance characteristics, temperature and pressure of goaf materials, and monitoring signals. However, these index data are difficult to obtain (Dai et al., 2020), and without these indicators, the coal

pillar stability can still be predicted within the allowable range of engineering measurement error.

The data used in this study were collected using internet research search engines and academic databases, including China National Knowledge Infrastructure (CNKI) and Google Scholar. The compiled data comprises 125 published coal pillar design history cases, including journal articles (90%) published by colleges and universities between 2002 and 2019. The missing items have been added to the original collection from available sources in other bodies of literature. Some data is based on existing technical reports and engineering survey data from research and design institutes.

The statistical distribution of the geographical locations of the mines is presented in **Supplementary Figure S5**. The most-studied geographical regions are Shaanxi (32%) and Shanxi (56%). Coal mines with section coal pillar widths of 11–20 m accounted for the highest proportion (60%), and the utilization of pillars less than 10 m or more than 30 m wide corresponded to 4 and 2.4%, respectively. **Supplementary Figure S6** shows the original coal pillar dataset.

3.2 Data Analysis

3.2.1 Model Inputs and Output

Single-variable empirical formulas are often used in coal pillar design and stability evaluations; these variables include γ , H , L , or H_b , and μ (Salamon and Munro, 1967; Bieniawski, 1968; Wilson, 1972). Previous studies (Dai et al., 2020) initially showed that coal pillar size can be estimated with reasonable accuracy, and it is more effective to use multiple measurement parameters for such predictions than to use a single parameter. Therefore, the input variables of the prediction model are σ , c , E , φ , γ , μ , H , α , h , and L , while M is the single output variable in this study.

3.2.2 Data Preprocessing

The main tasks of this stage include data cleaning, integration, transformation, and reduction. This process is illustrated in **Supplementary Figure S7**.

As shown in **Supplementary Figure S7A**, the coal pillar database cleaning stage deals with missing and abnormal values. The missing values are filled by interpolation. If there are excess abnormal values, the data can be deleted directly. When there are fewer, the data can be added from other sources, i.e., data for adjacent working faces in the same mine are collected, and the average value is calculated to correct the abnormal value. As shown in **Supplementary Figure S7B**, the feature attribute expressions from multiple data sources are not necessarily matching. The main purpose is to detect and handle conflicts involving different meanings with the same name, same meanings with different names, and different units. 1) Different meanings with the same name: attribute ID (coal pillar value) in data source A1 and attribute ID (coal pillar value) in data source A2, respectively, describe small coal pillars and wide coal pillars, i.e., they describe significantly distinct entities. In this study, coal pillar data sources citing widths of less than 9 m are excluded. 2) Same meaning with different names: attribute ID (modulus of elasticity, Poisson's ratio, bulk density) in data source B1 and attribute ID (bulk modulus, shear modulus, density) in data

source B2 are related to one another, and they can be transformed and unified using:

$$\left. \begin{aligned} \gamma &= \rho g \\ K &= \frac{E}{3 \times (1 - 2\nu)} \\ G &= \frac{E}{2(1 + \nu)} \end{aligned} \right\} \quad (8)$$

where E is the elastic modulus, ν is Poisson's ratio, K is the bulk modulus, G is the shear modulus, γ is the bulk density, ρ is the density, and g is the acceleration of gravity. 3) Units are not consistent: the same entity is described with different measurement units.

As shown in **Supplementary Figure S7C**, the data transformation stage standardizes the data to meet the requirements of mining tasks and algorithms. Different coal pillar attributes often have different dimensions and units. Therefore, data standardization processing is necessary to eliminate the influence of different dimensions and sizes between indicators. This study used minimum-maximum normalization to map the results between (0,1). The conversion function is expressed in **Eq. 9**, (Wang et al., 2013),

$$X_{ij} = \frac{X_{ij} - X_{\min}}{X_{\max} - X_{\min}} \quad (9)$$

where X_{\max} and X_{\min} are the maximum and minimum values in the data series, respectively, and X_{ij} is the actual observed value.

As shown in **Supplementary Figure S7D**, the data specification stage merges many data points into attributes, such as filtering input parameters, which have a greater impact on weight. This improves modeling accuracy and reduces data mining time.

3.2.3 Data Preprocessing

Prior to modeling, statistical analysis of the coal pillar database is very important. The basic descriptive results are shown in **Supplementary Table S1**.

The abnormal values in the database negatively impact the algorithm's ability to determine the exact relationship between input and output parameters, thereby reducing the reliability of the model. In addition, outliers must be identified because they may create random phenomena with different behaviors in a single dataset.

Supplementary Figure S8 shows the box diagram of coal pillar characteristics. The center line of most attributes is in the center of the corresponding box, which means that the input parameters have generally symmetrical distributions. Among the influencing factors, tensile strength, cohesion, bulk density, coal seam dip angle, and buried depth include some abnormal values, which need to be processed.

Correlation analysis of the parameters in the coal pillar database is a fundamental aspect of data mining. **Supplementary Figure S9** shows a scatter plot matrix for the dataset, where the distribution of each attribute is shown in the diagonal of the graph. The vertical axis of the diagonal histogram represents the frequency, the lower triangle area represents the

scatter distribution of the dataset, and the range of the upper triangle area (which indicates the standard correlation coefficient between each pair of attributes) is from -1 to 1 . When this parameter is close to 1 or -1 , there is a strong positive or strong negative correlation, respectively Koo and Li (2016). proposed that R values < 0.5 , between 0.5 and 0.75 , between 0.75 and 0.9 , and > 0.90 indicate poor, medium, good, and excellent correlations, respectively. Most parameters have relatively poor correlations with one another (i.e., $R < 0.5$), which suggests that each characteristic attribute is independent from the others. In addition, the independent datasets essentially follow normal distributions, with few outliers. This may indicate that, although the amount of available data is relatively small, it is true that the distribution is approximately random.

4 MIV-BP-GA MODEL CONSTRUCTION AND RESULT ANALYSIS

4.1 MIV-GA-BP Modeling

High-dimensional data are difficult to visualize, and therefore, it is necessary to compress the dataset. Currently, MIV is considered one of the best indicators for evaluating the correlations between variables in neural networks (Wang, 2013). Specifically, MIV is used to measure the weight matrix change for each variable in a neural network; this process can quantitatively describe the importance of an independent variable to the dependent variable. Back-propagation neural networks are widely used because of their simple defining principle and facile implementation. However, BP also has limitation, i.e., it is easy to shake, easy to fall into a local minimum, and sensitive to the initial value in the training process. After the fusion of the genetic algorithm and BP, the GA can control the convergence of the model and improve the optimization time performance of the algorithm (Goldberg, 1989). **Supplementary Figure S10** shows the principle of a MIV-BP-GA model.

4.2 Prediction Process

4.2.1 Data Division

Before modeling, the entire dataset is divided into a training set and a test set, which are used for training the model and evaluating the generalization performance of training model, respectively. Optimization analysis is typically used to determine how to divide the data into a training set and a test (Qi et al., 2018a). In this study, the proportions corresponding to the training set and test set were determined by a trial-and-error method where the size of the training set was increased between 30 and 90%. This analysis revealed optimal training set and test set proportions of 80 and 20%, respectively. The test set should be mutually exclusive relative to the training set as much as possible. Moreover, the training and test sets should have similar statistical characteristics because they are randomly extracted from the same complete dataset.

4.2.2 K-fold Cross-Validation

To improve the evaluation performance of the prediction model, it is necessary to carefully select an appropriate verification

method for parameter adjustment. K-fold cross-validation (CV) is the most popular strategy to overcome data scarcity (Braga-Neto et al., 2004). K-fold CV reduces variance by averaging the results of different K-folds of the training set. In this study, the K value was set to 10 based on a previous report (Rodriguez et al., 2010). The 10-fold CV process applied in this study is shown in **Supplementary Figure S11**. The performance evaluation indices, e.g., mean squared error (MSE) and R , of each calculation model can be calculated using **Eqs 10, 11**, respectively:

$$MSE = \frac{1}{n} \sum_{i=1}^n (y_i - x_i)^2 \quad (10)$$

$$R = \frac{\sum_{i=1}^n (y_i - \bar{y})(x_i - \bar{x})}{\sqrt{\sum_{i=1}^n (y_i - \bar{y})^2 \sum_{i=1}^n (x_i - \bar{x})^2}} \quad (11)$$

4.2.3 Evaluating the Results

4.2.3.1 Influential Weights of Coal Pillar Attributes Based on MIV

Ten characteristic attributes were used as input for 50 iterations of an MIV test (Koo and Li, 2016), and adjustment rates of 10, 20, and 30% were used to calculate the MIV of each attribute. Different input and output variables exhibit positive and negative correlations, which indicates that MIV can have positive and negative numbers. To compare the influential weights of different input parameters, all MIVs were taken as absolute values, and the weight changes were calculated under each $|MIV|$ mediation rate. Owing to the similar influential weight trends under each regulation rate, a rate of 20% was used for analysis, as shown in **Supplementary Figure S12**.

Supplementary Figure S12 shows that the factors influencing the stability of coal pillar have the following relative importance: $H > h > L > E > c > \sigma > \varphi > \mu > \gamma > \theta$. The influence scores of engineering disturbance parameters (e.g., H, h, L) are generally greater than those related to the physical and mechanical parameters of rock mass (e.g., $c, \sigma, \varphi, \mu, \gamma$), which indicates that analyzing the mining design and engineering disturbance factors is crucial for ensuring the stability of coal pillars. The Shaanxi and Shanxi areas of China have suitable coal seam geological conditions, and thus, the dip angle of coal seams can be ignored, although the buried depth, thickness of coal seams, and length of the working face should be taken into account. In terms of the physical and mechanical parameters of the rock mass, there is little difference among the parameters, although it is clear that the elastic modulus, cohesion, and tensile strength should be obtained accurately. Of course, the internal friction angle, Poisson's ratio, and bulk density are also of analytical significance. To reduce the data dimension and enhance the prediction time and accuracy of the model, the coal seam dip angle is eliminated (with an elimination rate of 10%), and the other nine variables are included in the prediction model.

4.2.3.2 BP-GA Parameter Optimization

One of the most difficult tasks in modeling is determining the network training algorithm and network structure. The number

of hidden layer nodes has a significant impact on the model's prediction performance (Mohamad et al., 2016). The upper limit of hidden layer nodes is $2N_i+1$, where N_i is the number of input parameters. Considering the prepared dataset (where $N_i = 9$). The optimal number of hidden layer nodes is 14, which indicates a three-layer topology structure. Parameter tuning is essential for successful modeling. In this study, a GA is used to optimize the network parameters. The model parameters are shown in **Supplementary Table S2**.

4.2.3.3 Prediction Results of the MIV-GA-BP Model

MSE and R served as evaluation indicators. **Supplementary Figure S13A** compares the predicted and experimental values; the relative error between the expected value and the value predicted by the network prediction model is less than 5%. The regression analysis is shown in **Supplementary Figure S13B**. Most points fall near the fitting line, the R value of the predicted and expected values is 0.83, and the MSE of the model is 0.15, indicating that the prediction effect of the MIV-BP-GA model is both reasonable and applicable.

5 TWO CASE STUDIES

The Yujing coal mine (YCM) and the Xiaobaodang coal mine (XCM) were used to validate the engineering applicability of the developed model for real mines. The location and development plan of these mines are presented in **Supplementary Figure S14**.

5.1 Yujing Coal Mine

According to the background of the 90101 fully-mechanized top coal caving face in the YCM, the 90101 working face length is 151 m, the average buried depth is 180 m, the coal mining thickness of the working face is 5.6–9.5 m (average thickness = 8.1 m), and the inclination angle is 2. The MIV-GA-BP model was used to predict the coal pillar width of the typical working face in this section of the mine (**Supplementary Table S3**).

The coal pillar width predicted by the MIV-GA-BP model was 16.4742 m. When a 16-m-wide pillar used in the field design, the surrounding rock deformation of the roadway was small, and the control effect was good.

5.2 Xiaobaodang Coal Mine

5.2.1 Project Prediction and Field Verification

The coal seam dip angle of the 112202 working face in the XCM is 1–3, with an average coal thickness of 5.5 m and an average buried depth of 300 m. The coal pillar width of the typical working face in this section (112202) was predicted using the developed model (**Supplementary Table S4**).

The coal pillar of the 112202 working face is predicted to be 22.4652 m, whereas a 20-m-wide coal pillar was used in the field design. Extensive calculations indicate that the coal pillar on the XCM site has potential instability, thus highlighting the important guiding role of coal pillar stability evaluations before mining.

According to on-site investigations, the 112202 working face encounters hidden dangers, such as large deformation, a serious

slope, and difficult coal pillar support in the empty section. Under conditions involving fast advancing speeds (15 m/d) and strong mining at the super-long working face (350 m), a large area of roadway roof has fallen in the leading face area (**Supplementary Figure S15**). This confirms that the coal pillar stability identification model applied herein exhibits certain accuracy.

5.2.2 Disaster Control Measures

Stability control technology for “roadway advanced reinforcement support” was formulated for the 112202 working face by combining the prediction results and mining process parameters. The reinforcement and support measures were designed for the advanced area (T1–T6 in **Supplementary Figure S15**) with potential safety hazards.

For the roadway roof (**Supplementary Figure S16A**): 1) the roof is paved with diamond-shaped metal mesh (mesh = 50 × 50 mm); 2) the roof anchor cable is a $\Phi 21.6 \times 8300$ mm steel strand with four supports in each row (spacing between supports = 1500 mm, pre-tightening force = 200 kN, anchoring force ≥ 280 kN), and the double-layer W-shaped steel guard plate (4 × 280 × 5100 mm) is used as a connection; 3) the anchor bolt is supported with a W-shaped steel guard plate (4 × 280 × 4300 mm), and a left-hand screw thread steel bolt without longitudinal reinforcement and an arch prestressed tray (150 × 150 × 10 mm) are used for support.

For the mining side of roadway (**Supplementary Figure S16B**): 1) the mining side is reinforced with a $\Phi 22 \times 2600$ mm high-strength glass fiber-reinforced plastic anchor rod and a pine pallet (400 × 200 × 50 mm) with circular glass fiber-reinforced plastic mesh; 2) there are four anchors in each row (spacing between rows = 850 × 900 mm, top anchor bolt = 300 mm away from the roof, elevation angle = 15°) with plastic steel mesh laid on the upper part (mesh size = 40 × 40 mm), 3) before the support, the W-shaped tray should be unloaded, and the failed bolt should be repaired.

For the coal pillar side of roadway (**Supplementary Figure S16C**): 1) diamond-shaped metal mesh (mesh = 50 × 50 mm) is laid on the side; 2) the anchor cable is a $\Phi 21.6 \times 4800$ mm steel strand with three supports in each row (spacing between anchor cables = 1000 mm, row spacing = 900 mm), and each row of the anchor cable is connected through a W-shaped steel guard plate (4 × 280 × 2200 mm); 3) one anchor rod ($\Phi 22 \times 2600$ mm left-handed screw thread steel bolt without longitudinal reinforcement) is constructed at the lower part of each row of anchor cables, 800 mm away from the lowest anchor cable, and equipped with an arch prestressed tray (150 × 150 × 10 mm).

5.2.3 Evaluation of Disaster Control Measures

The applicability of reinforcement support was evaluated by monitoring the roadway displacement and coal pillar crack evolution.

5.2.3.1 Roadway Surface Displacement Observations

A surrounding rock displacement monitoring station was installed in the roadway reinforcement area to evaluate the stability of the surrounding rock and to provide a reference for the subsequent roadway support design or further

optimization. The statistical results obtained from monitoring surrounding rock displacement at two representative stations are presented in **Supplementary Figure S17**.

As shown in **Supplementary Figure S17A**, the mining stress significantly affects the surrounding rock deformation of the roadway section when the working face advances by 50–60 m. In particular, the deformation of surrounding rock (~230 mm) is greater than that of the roof (~100 mm), and the deformation of the working face is slightly larger than that of the coal pillar. The deformation of the working face side in the two stations was 1.61 times and 1.75 times that of the coal pillar side owing to the difference in the support strength of the two sides. To facilitate mining, an FRP (Fiber Reinforced Polymer) bolt can be used to support the working face. Under the influence of mining stress, the ability of an FRP bolt to control surrounding rock deformation is much weaker than that of a high-strength screw steel bolt, and therefore, the deformation of working face side is greater than that of coal pillar side. In general, the surrounding rock of the roadway is deformed to a certain extent under the mining stress of the working face; however, the deformation is very small, and the stability of the surrounding rock is high. **Supplementary Figure S18** shows a real photograph of the roadway. The reinforcement and support measures have achieved ideal support effects, which can promote safe mining at the 112202 working face.

5.2.3.2 Coal Pillar Damage Detection

As shown in **Supplementary Figure S19**, after implementing the reinforcement measures, the internal damage to the coal pillar is detected up to 180 m in front of the working face. Within 0–60 m in front of the working face, internal fractures have developed in the coal pillar but not penetrated, thus sufficiently meeting the requirements of safe mining. Between 60 and –180 m in front of the working face, the coal pillar is only affected by the disturbance of the adjacent goaf, which is relatively high inside the coal pillar on the side of the working face. This shows that the roadway reinforcement and support measures are effective.

6 CONCLUSION

The results presented herein lead to the following conclusions:

- 1) Coal pillar instability induced by mining stress transfer was analyzed, and the main influencing factors are static parameters (e.g., E , μ , φ , c , γ , σ) and engineering design parameters (L , h , H , θ , M).
- 2) The key parameters of 125 coal pillar design cases in China were collected and used to establish a coal pillar database by applying a data mining method. The weights of factors

influencing coal pillar stability were determined based on MIV calculations to fall in the order: $H > h > L > E > c > \sigma > \varphi > \mu > \gamma > \theta$. Meanwhile, a GA-BP prediction model was formulated. The prediction results indicated that the relative error rate was controlled within 5%, the R of predicted versus expected values was 0.95, and the MSE was 0.13; thus, the developed method provides a new approach for intelligent coal pillar risk assessments.

- 3) The applicability of the model was evaluated based on two field cases. The relative error of the YCM coal pillar was 3%, and there was no major safety hazard in the field. However, the designed value in the XCM coal pillar was too small, and there were some disasters, such as roadway deformation and spalling in the field. After formulating an “advance area roadway reinforcement support” strategy, the roadway stability was improved significantly, thereby confirming the applicability of the developed prediction model.
- 4) The model described herein depends on the reliability of the collected training dataset, and it can be applied for cases with similar geological conditions (i.e., rock type, geological conditions, etc.). This method can also be used in other aspects of mining and geotechnical engineering.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2022.894118/full#supplementary-material>

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