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# A record of Holocene climate changes in central Asia derived from diatom-inferred water-level variations in Lake Kalakuli (Eastern Pamirs, western China)

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The unique geographical and climatic settings of the eastern Pamirs make this region sensitive to the Westerlies and global climate change. Holocene fluctuations in water-level of Lake Kalakuli, a proglacial lake located to the northwest of the Muztag Ata glacier, were reconstructed based on diatoms from a ~15 m long sediment core spanning the last ~9,900 years. To establish how diatom species distribute in relation to water depth in Lake Kalakuli, a dataset of 45 surface sediment samples was retrieved from different water depth. Statistical analyses such as cluster analysis (TWINSPAN) and redundancy analysis (RDA) were used to demonstrate that the water depth gradient is the main environmental gradient driving the distribution of these diatom assemblages. A diatom-water depth transfer function, was then developed using a weighted averaging partial least squares component 2 model ( $R^2 = 0.89$ , RMSEP = 1.85 m) and applied to the Holocene diatom sequence from Lake Kalakuli. Due to the large residual errors in the model only the general trends in water level are proposed. Effective moisture increased rapidly during the early Holocene, as the water depth reached a high level from the lowest level within about two thousand years. Only small amplitude fluctuations were recorded during the mid- and late Holocene until the last few hundred years when a marked increase occurred. Changes in summer insolation over the northern hemisphere drove the advances and retreats of the Muztag Ata glacier, which in turn controlled the fluctuations of water level in this lake. The diatom-derived paleoclimatic trend from Lake Kalakuli is consistent with the Holocene climate evolution in the Westerlies-dominated area of Central Asia.

## KEYWORDS

paleolimnology, Central Asia, diatoms, glacier variation, mid-latitude westerlies, lake-level fluctuation

## Introduction

The westerlies, which correspond to the prevailing winds from the west toward the east in the middle latitudes between 30 and 60° in latitude, are key components of the trans-Eurasian atmospheric teleconnection that links the Arctic, North Atlantic and Asian monsoon regions, and therefore, are of special significance to the study of global climate change (Herzschuh et al., 2019). The Holocene is the period most closely related to the development of human civilizations, and understanding the natural variability and the mechanisms behind climate change during the Holocene gives us perspective on the current trends and future change. In the regions dominated by the westerlies, the instability of the Holocene climate has been documented in various geological archives such as marine sediments (Bond et al., 1997), ice cores (Meese et al., 1994; Vinther et al., 2003) and speleothem records (Cheng et al., 2016). In the westerlies-dominated region of China however, there are few high-resolution lake sediment sequences that record the climatic instability of the entire Holocene (Chen et al., 2019). Chen et al. (2019) who synthesized the evidence from twelve high-quality lake sediment records from the core area of the westerlies-dominated region (that includes the Pamirs Mountains), found that most lakes were dry or at a low level during the early Holocene (i.e. until 8 ka BP), with high lake levels and a more humid climate during the mid- and late Holocene (i.e., after 8 ka BP).

There are a large number of glacier ice caps in the eastern Pamirs, their melted water is the most important water resource in the area. Proglacial lakes often exist on the front of these glacier ice caps, forming a continuous glacier-river-lake system. The geomorphological relics and sedimentary records from those lakes usually represent valuable archives for studying past climate, environmental processes, and glacier activities (Liu et al., 2014; Zhang et al., 2017; Rousseau et al., 2020). One of these proglacial lakes, Lake Kalakuli (also called Karakul), is located on the front edge of the Muztagh Ata Glacier, and has been the subject of various scientific investigations in recent years. Liu et al. (2014) reconstructed the glacier expansion history in the late Holocene from the fluctuations in grain size, magnetic susceptibility and elements detected from a sediment core spanning the past 4,200 years. From the same core, Aichner et al. (2015) used leaf wax carbon and hydrogen isotopic to infer past climatic conditions. Later, Yan et al. (2019) used grain-size and geochemical proxies to reconstruct the hydrological and climatic history of Lake Kalakuli from a short core spanning the past 160 years. These studies have shown that the sediment record from Lake Kalakuli can be used to infer the evolution of glaciers and climate in this area. These studies however, do not cover the entire Holocene and as they do not use biological indicators, did not address how this lake ecosystem evolved during this period.

Among the biological indicators, diatoms, which are unicellular algae present in all aquatic ecosystems, can sensitively record changes in the physical and chemical conditions of the lakes in which they live. Diatoms also produce remains that can accumulate in lake sediments and thus they represent powerful tools for inferring past changes in climate and environment from a wide variety of lake sedimentary records (e.g. Smol and Stoermer, 2010; Li et al., 2015; Wang et al., 2018; Muiruri et al., 2021; Mackay et al., 2022). Diatoms are also very diverse, and the different species show strong preference for the various habitats within a lake. Water depth is often one of the ecological gradients that best explain the distribution of diatom species within a lake. Thus, diatoms in sediment sequences have often been used to reconstruct past changes in lake level. Such reconstructions are important evidence of past changes in effective moisture in the lake area, and therefore are very valuable to reconstruct Holocene hydroclimate, especially in arid regions (Chiba et al., 2016).

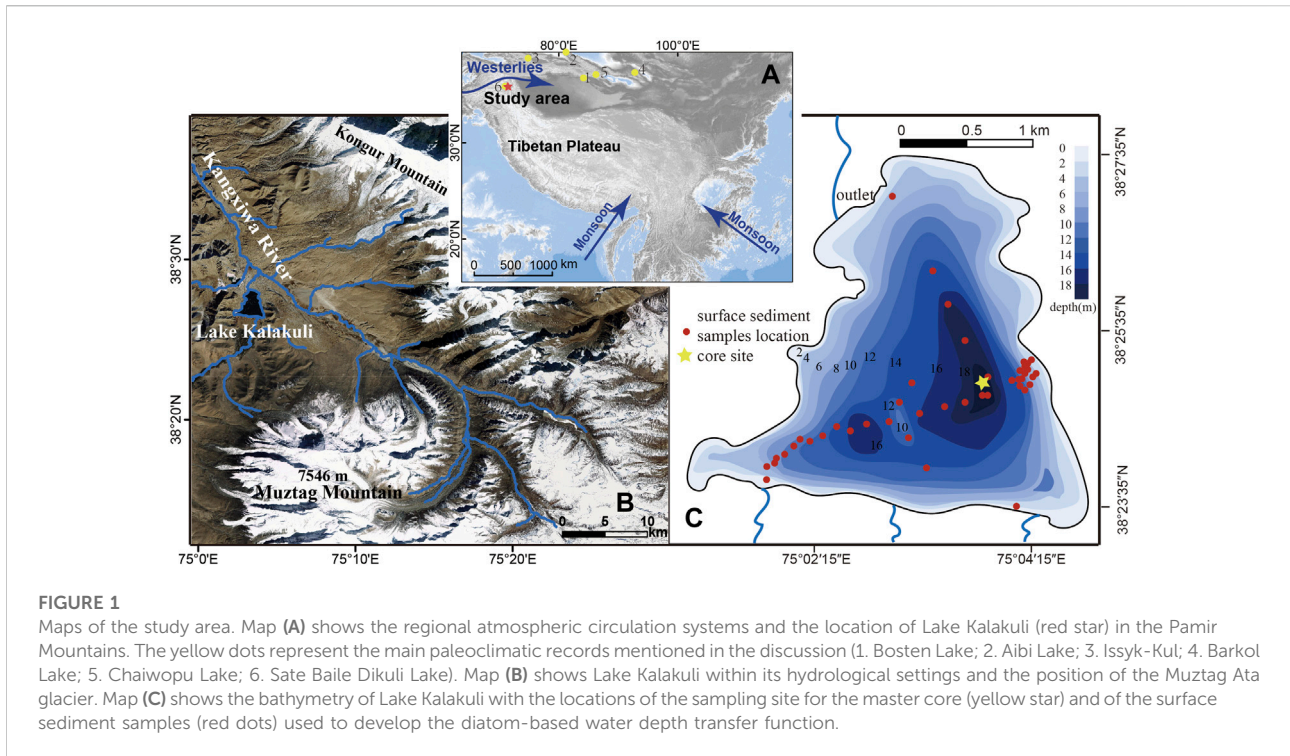
In this study, we first investigated the changes in the composition of diatom assemblages along a transect of surficial sediments to establish how diatom species distribute in relation to water depth in Lake Kalakuli. This ecological information is then used to interpret the changes in diatom assemblages observed in a 15.3 m long, <sup>14</sup>C dated, sediment core retrieved from the center of the lake, in terms of fluctuations in lake water-level. This record from Lake Kalakuli is then discussed in the context of Holocene climatic and environmental changes of the wider study region.

## Materials and methods

### Regional settings

Lake Kalakuli (38°25.32' - 38°27.57'N, 75°02.27' - 75°04.17'E, 3,645 m a.s.l.) is a moraine lake formed during the last glacial (Marine Isotope Stage 3), and located at the crack point where the Gongger and Taxkorgan faults intersect (Wang et al., 2016). The original lake was at least 20.05 km<sup>2</sup>, and it has now been divided into three smaller lakes (Zhang, 2010). Lake Kalakuli is the largest of these lakes with an area of ~4.73 km<sup>2</sup> while the two other, smaller lakes are located on the northwest side of Lake Kalakuli across the China-Pakistan Highway, with areas of 1.59 km<sup>2</sup> and 0.49 km<sup>2</sup> (Zhang, 2010; Wang et al., 2016). In Lake Kalakuli, the average and maximum water depths are 15 m and 20 m, respectively. The meltwater of the Muztagh Ata glacier, located about 80 km to the southeast, is the main supply source of water to the lake. A small outflow is situated at the northern corner of Lake Kalakuli and joins the Kangxiwa River.

This region is in the westernmost edge of the Tibetan Plateau, principally influenced by the westerly circulation. Due to obstruction of the mountains, the humid air currents from the Atlantic and Mediterranean realms are forced to uplift, forming precipitation in the Western Pamirs. After reaching the upper



altitude of the lake area, moisture in the air currents is significantly reduced, so this area is cold and arid, showing a typical continental alpine climate (Holzer et al., 2015). According to the meteorological observations from the research station on Muztagh Ata (Xu, 2018), the average annual temperature and precipitation are  $-1.88^{\circ}\text{C}$  and 274 mm, the relative humidity and wind speed are 44% and 4.8 m/s (after recordings from January 2011 to December 2016), respectively. August is the warmest month, and January is the coldest month. Precipitation mainly concentrates in summer, so the vegetation has a short growing season and is dominated by ground bud plants of perennial herbs and annual or biennial herbs (graminoids, *Artemisia*, *Stipa* (Yang et al., 2012). Lake Kalakuli is a moderately alkaline, mesotrophic lake (Peng et al., 2017). The electrical conductivity and alkalinity of the lake water show little spatial variation, and average  $290\ \mu\text{S}/\text{cm}$  and  $1,575\ \mu\text{eq}/\text{L}$ , respectively. During our monitoring of the lake, from the end of 2013 to the beginning of 2015, the lake was ice-covered for about 5 months, from the beginning of November to the end of March of the next year, and the ice was thickest in January, up to 70 cm.

### Sediment sampling: Long core and surface sediment samples

In 2013, a team from the Institute of Earth Environment and the Institute of Tibetan Plateau Research, Chinese Academy of

Sciences, retrieved a 15.33 m long sediment core (KL13-4) from Lake Kalakuli at a water depth of  $\sim 19$  m using a UWITEC piston corer (see coring location in Figure 1). In September 2014, forty five surface sediment samples (1 cm in thickness) taken at  $\sim 1$  m water-depth intervals were collected along two transects crossing the lake using a gravity corer (Figure 1).

### Laboratory analyses

After transport to the laboratory, the core sections were split in two halves longitudinally. One half of the core was kept for XRF scanning while the other half was sectioned at 1 cm intervals. These samples were then vacuum freeze-dried prior to further laboratory analyses.

### Dating

Radiocarbon dates were obtained on organic matter from 30 samples from different layers of the master core using accelerated mass spectroscopy (AMS). Eight measurements were carried out at the Xi'an Accelerator Mass Spectrometry Center, China, 5 at the Earth System Science Department, University of California, Irvine, USA and 17 at the Beta Analytic Inc., United States. The chronology of the uppermost 85 cm of the core was determined using the  $^{210}\text{Pb}$  distribution calculated using the CRS (Constant Rate of Supply) model,

together with the location of peaks in the distribution of  $^{137}\text{Cs}$  (Appleby and Oldfield 1978).

### Grain size and geochemical analyses

Grain size were measured with a Mastersizer 2000 laser particle size analyzer (Malvern Company, UK). The measurement range was 0.01–2000  $\mu\text{m}$ , and the average particle size repeated measurement error was less than 3%. The grain size composition the Kalakuli sediments was divided into clay (<2  $\mu\text{m}$ ), silt (2–64  $\mu\text{m}$ ) and sand (>64  $\mu\text{m}$ ). Grain size data are missing for eight out of 45 surface sediment samples, while all samples collected from the master core ( $n = 1,533$ ) were analyzed.

XRF scanning was carried out with an Itrax Core Scanner (Sweden) to determine the elemental content of the sediment core. The scanning was performed with a voltage of 30 kV, a current of 55 mA, and a scanning interval and time of 0.2 mm and 8 s, respectively. The element content is expressed as KCPS (Kilo-counter per second), which can only be used for a semi-quantitative analysis of the depth variation of each element, and cannot be used for quantitative description and comparison of the actual content of each element. XRF analysis was carried out at the Key Laboratory of the Tibetan Plateau Environmental Change and Land Surface Processes, CAS, in Beijing.

### Diatom analysis

Diatom slides were prepared from about 0.05 g of freeze-dried sediment from all 45 surface sediment samples and from 159 of the core subsamples (i.e., about one every 10 cm along the core length). No less than 300 diatom valves were counted per sample under an Olympus BX53 light microscope with a  $\times 1,000$  magnification. For samples of the mastercore, due to the mass occurrence of *Pantocsekiella comensis* (syn. = *Cyclotella comensis*, = *Lindavia comensis*), fluctuations of the other species were obscured in some of the samples. In those cases, 200 more valves excluding *P. comensis* were counted with the final relative abundances calculated in proportion. This ensured higher confidence in the relative abundances of the secondary species while keeping a feasible workload. Diatoms were identified to the lowest taxonomic level possible using standard floras such as Krammer and Lange-Bertalot (1986), Krammer and Lange-Bertalot (1988), Krammer and Lange-Bertalot (1991a), Krammer and Lange-Bertalot (1991b) as well as articles dealing specifically with the flora from Lake Kalakuli (You et al., 2013; You et al., 2015; Peng et al., 2017; Zhang et al., 2017; Rioual et al., 2020). The nomenclature was updated following to the online database Algaebase (Guiry and Guiry, 2022). Diatom concentration in sediment core samples (i.e., the number of diatom valves per gram of dry sediment) was estimated by adding known quantities of divinyl benzene microspheres to the cleaned suspension (Battarbee and Kneen, 1982). To evaluate the preservation (and dissolution) of the diatom assemblages in core samples the F-index was

calculated following Ryves et al. (2006). The F-index consists in the ratio between the well-preserved valves (i.e. unbroken valves showing no obvious signs of dissolution under the light microscope) and the total number of diatom valves counted. The F-index ranges between 0 (i.e. all the valves show signs of dissolution) and 1 (i.e. all valves are considered as pristine).

## Statistical analyses

### Surface sediment dataset

All diatom data are expressed as relative abundances. Cluster analysis using two-way indicator species analysis (TWINSPAN; Hill 1979) was used to determine the main diatoms assemblages composed by groups of surface sediment samples with homogeneous taxonomic composition. TWINSPAN is a top-down, divisive clustering method that is frequently used to classify biological community datasets, including diatom assemblages (Flower and Nicholson, 1987; Gesierich and Kofler, 2010; Mackay et al., 2012; Beauger et al., 2015; Feret et al., 2017). Species with number of occurrences <2 were excluded from the analysis. Due to the dominance of *P. comensis* in some samples, relative abundances of 0, 3, 4, 20 and 80% were used to define five pseudo-species cut levels instead of the default values of 0, 2, 5, 10 and 20%. Then, the clusters derived from TWINSPAN on the basis of the diatom communities were characterized further by drawing box-plots of their ranges of environmental variables (i.e. water depth and grain size), as done by Feret et al. (2017). Following this, the influences of these environmental variables on the diatom composition of the samples were assessed using redundancy analysis (RDA) with the eight samples missing grain-size data excluded from the analysis. In the RDA, redundant variables were removed by forward selection combined with Monte Carlo permutation tests and Bonferroni correction ( $p < 0.010$ ;  $n = 999$ ). The explanatory power and significance of each environmental variable in isolation (i.e. the marginal effect) were determined with a series of RDAs constrained with a single variable and with permutation test, while the unique (or partial) contribution of each variable was assessed using partial RDAs with covariables. Finally, a principal components analysis (PCA) was used to display in the same ordination space all the samples ( $n = 45$ ), classified according TWINSPAN and contour plots of the important environmental gradients based on generalized additive models (GAM).

The relationship between the composition of the diatom assemblages and the water depth gradient was modelised using several methods including, simple weighted-averaging (WA), Modern Analogue (MAT), weighted-averaging partial-least-squares (WA-PLS) model and a Maximum Likelihood (ML) model. For all models (i.e. transfer functions) only diatom taxa that achieved >1% relative abundance in at least one surface sample were selected.



TABLE 1 Water depth optima and tolerance obtained from the WA-PLS model for the main species found in the surface sediment samples of Lake Kalakuli. Taxa are listed according to their water depth optima, in decreasing order.

Taxon	Authorities	Nb occ	Max. Rel.%	Water depth optimum (m)	Tolerance ( $\pm$ m)
<i>Stephanodiscus parvus</i>	Stoerm. and Hak	20	2.4	14.5	4.8
<i>Pantocsekiella comensis</i>	(Grunow) K.T.Kiss and E.Ács	45	91.1	13.4	5.1
<i>Hippodonta neglecta</i>	Lange-Bert., Metzeltin and Witkowski	28	4.3	12.9	3.3
<i>Sellaphora rotunda</i>	(Hustedt) Lange-Bert	10	6.4	12.6	3.8
<i>Diploneis minuta</i>	J.B. Petersen	17	1.3	12.6	3.6
<i>Geissleria frolikhiensis</i>	Kulikovskiy, Gusev, Andreeva and Annenkova	19	7.8	10.4	2.7
<i>Staurosira venter</i>	(Ehrenb.) Hamilton	28	9.5	10.1	3.3
<i>Staurosira binodis</i>	(Ehrenb.) Lange-Bert	11	6.2	10.0	4.2
<i>Halamphora thumensis</i>	(A. Mayer) Z. Levkov	20	4.5	9.8	2.9
<i>Nanofrustulum trainorii</i>	(E.Morales) E.Morales	12	3.9	9.5	2.7
<i>Staurosira construens</i>	Ehrenb	16	6.2	9.4	3.3
<i>Pseudostaurosira brevistriata</i>	(Grunow) D.M.Williams and Round	33	7.6	9.3	3.9
<i>Nitzschia cf dealpina</i>	Morales	24	9.7	9.1	3.8
<i>Amphora indistincta</i>	Levkov	45	55.8	8.7	4.1
<i>Navicula lundii</i>	E.Reichardt	31	5.2	7.7	5.6
<i>Cocconeis placentula</i>	Ehrenb	33	4.5	7.2	4.9
<i>Encyonopsis krammeri</i>	E.Reichardt	42	12.5	6.5	5.5
<i>Fragilaria perdelicatissima</i>	Lange-Bert. and Van de Vijver	27	6.9	6.3	5.5
<i>Achnanthydium caledonicum</i>	Lange-Bert	20	5.2	6.3	4.5
<i>Achnanthydium minutissimum</i>	(Kütz.) Czarn	35	16.3	5.7	5.1
<i>Gomphonema lateripunctatum</i>	Reichardt and Lange-Bert	26	5.4	4.9	4.8
<i>Cymbella subhelvetica</i>	Krammer	20	7.6	4.7	5.7
<i>Brachysira neglectissima</i>	Lange-Bert	21	6.3	4.6	4.4
<i>Achnanthydium straubianum</i>	Lange-Bert	23	17.2	4.5	6.2
<i>Nitzschia denticula</i>	Grunow	19	5.1	4.5	6.2
<i>Aneumastus minor</i>	Lange-Bert	10	8.6	3.6	5.9
<i>Encyonopsis subminuta</i>	Krammer and E.Reichardt	7	7.9	3.0	5.6
<i>Nitzschia frustulum</i>	(Kütz.) Grunow	7	18.1	2.2	8.0

TWINSPAN was carried out using the free software WinTWINSPAN v2.3 (Hill & Šmilauer 2005), the box-plots were drawn using R (R Core Team, 2019), the PCA and RDA were done in Canoco 5 (ter Braak and Šmilauer, 2012), and the transfer function models were developed using C2 version 1.7 (Juggins, 2014). In both PCA and RDA, diatom data were square root transformed to prevent species with extreme variance to have unduly large influence (ter Braak and Šmilauer 2012).

### Sediment core dataset

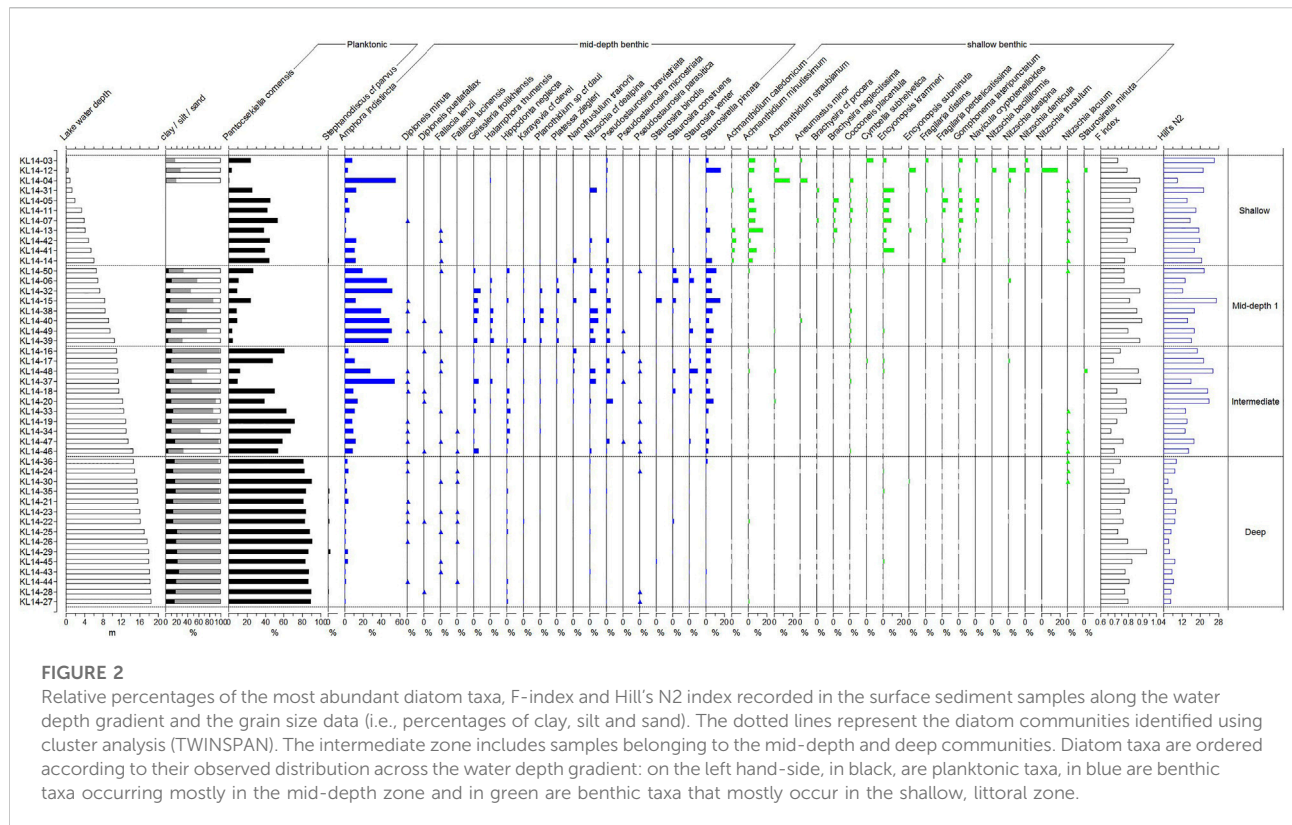
Principal components analysis (PCA) was used to summarize the main directions of variation in the diatom assemblage data in the core. PCA was performed on square-root transformed diatom data using Canoco 5 (ter Braak and Šmilauer, 2012) and species abundance were graphed using C2 version 1.7 (Juggins, 2014). Stratigraphical zones were defined using CONISS, available in TILIA (<https://www.tiliait.com/>). Hill's

N2, commonly referred to as “effective” diversity (Hill, 1973), were calculated in C2 for the core assemblages and used as an indication of species evenness. Also using C2, the water transfer function derived from the surface sediment dataset was applied to the down-core diatom data to reconstruct past variations in water depth.

## Results

### Surface sediment dataset

In the 45 surface sediment samples retrieved from different water depth, 148 diatom species belonging to 56 genera were identified. The most frequent taxa are listed in Table 1 and plotted in Figure 2. Values of the Hill's N2 vary from 6 to 27, with the less diverse samples and low evenness ( $N2 < 10$ ) in the deepest samples, below 14.5 m.

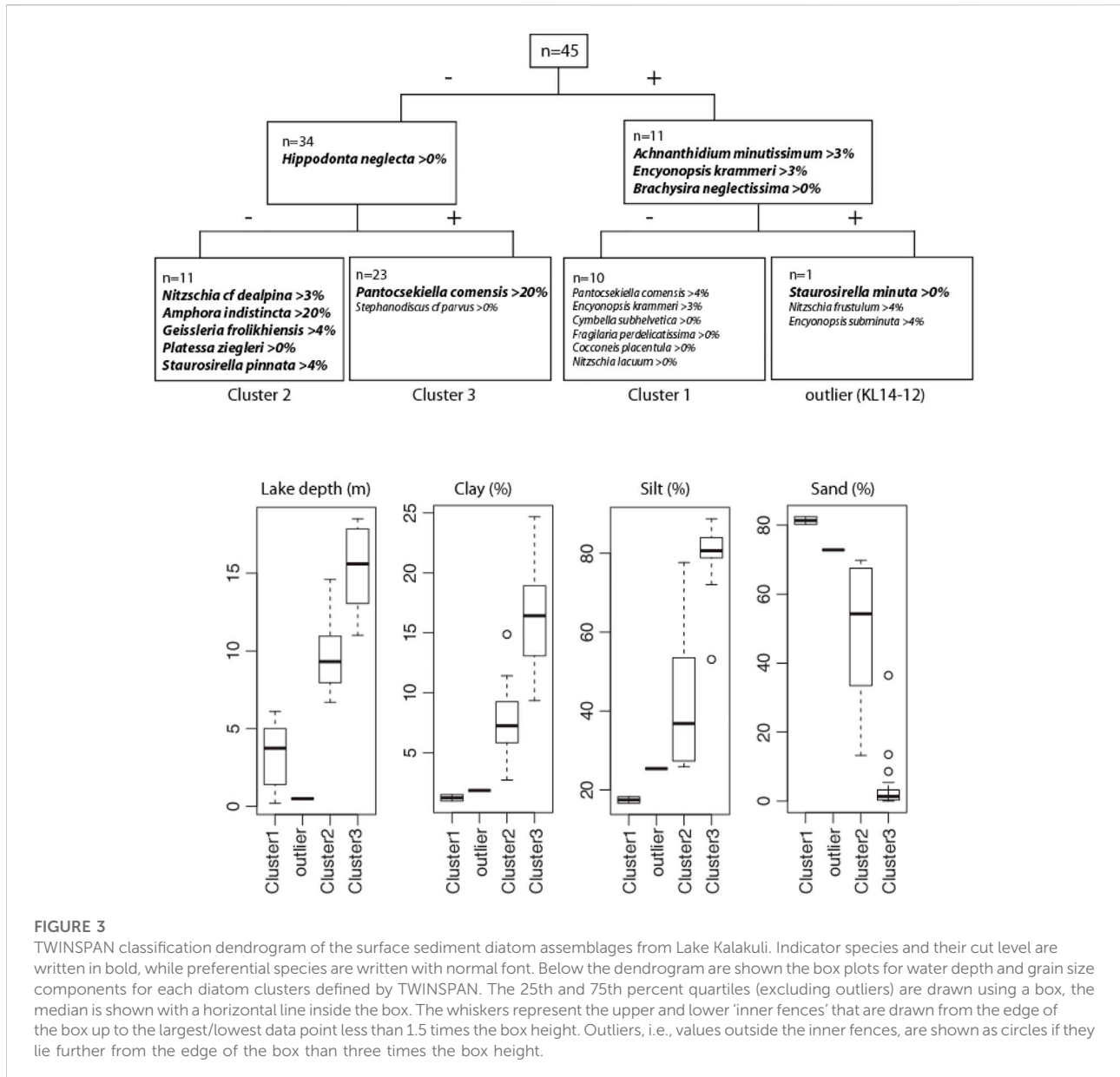


The TWINSpan classification dendrogram of the surface sediment diatom assemblages is shown in Figure 3 along with the box-plots of environmental variables for the groups defined by TWINSpan. The first division splits the 45 samples into two communities distinguished by the water depth of the samples, with the 11 shallowest samples (with *Achnanthydium minutissimum*, *Encyonopsis krammeri* and *Brachysira neglectissima* as indicator species) on the positive side and the 34 deepest samples on the negative side (with *Hippodonta neglecta* as indicator species). The second division splits the group of 34 samples into two communities, with on the positive side 23 samples (group 3 on Figure 3) with *Pantocsekiella comensis* (abundance >20%) as indicator species and *Stephanodiscus cf parvus* as preferential, and on the negative side 11 samples (group 2 on Figure 3) with *Amphora indistincta* (>20%), *Geissleria frolikhiensis* (>4%), *Staurosirella pinnata* (>4%), *Nitzschia cf dealpina* (>3%) and *Platessa zieglerei* as indicator species. The box plots indicate that group 3 consists of samples taken generally at deeper water depth and with higher percentages of clay and silt and lower percentages of sand than the samples included in group 2. The third and last meaningful TWINSpan division, distinguishes one sample (KL14-12) characterized by *Staurosirella minuta* as indicator species and *Nitzschia frustulum* (>4%) and *Encyonopsis subminuta* (>4%) as preferential from the other shallow samples that are included in group 1. Preferential species for group 1 include *Pantocsekiella*

*comensis* (>4%), *Encyonopsis krammeri* (>3%), *Cymbella subhelvetica*, *Fragilaria perdelicatissima*, *Cocconeis placentula* and *Nitzschia lacuum*. The box-plots indicate that besides being associated with the shallowest water depth, samples in group 1 are characterized by low percentages of clay and silt and high percentages of sand.

The RDA with forward selection determined that water depth and sand% significantly account for almost 40% of the variation in the diatom data (Figures 4A,B), while the other variables are redundant. RDA axis one is controlled by both the water depth and sand% with samples of group 1 on the right (shallow depth and high sand%), samples of group 3 on the left (high depth and low sand%) and samples of group 2 in an intermediate position (Figure 4A). The partial RDAs also show that both depth and sand% have significant unique contribution to the explained variation in the diatom data ( $p < 0.01$ ,  $n = 999$ ; Table 2) of 12.1 and 11.4%, respectively. In the PCA unconstrained ordination, for which all 45 samples could be displayed, the first two axes account for 41.3 and 14.3% of the variation in the species data, respectively (Table 2). Compared to the RDA, the positions of the groups in the PCA plots differ as axis one is now mainly associated with sand% while axis two is mainly associated with the depth gradient as shown by the contour plots (Figure 5).

The three groups of surface sediment samples can be interpreted as depth communities as the box-plots, RDA and

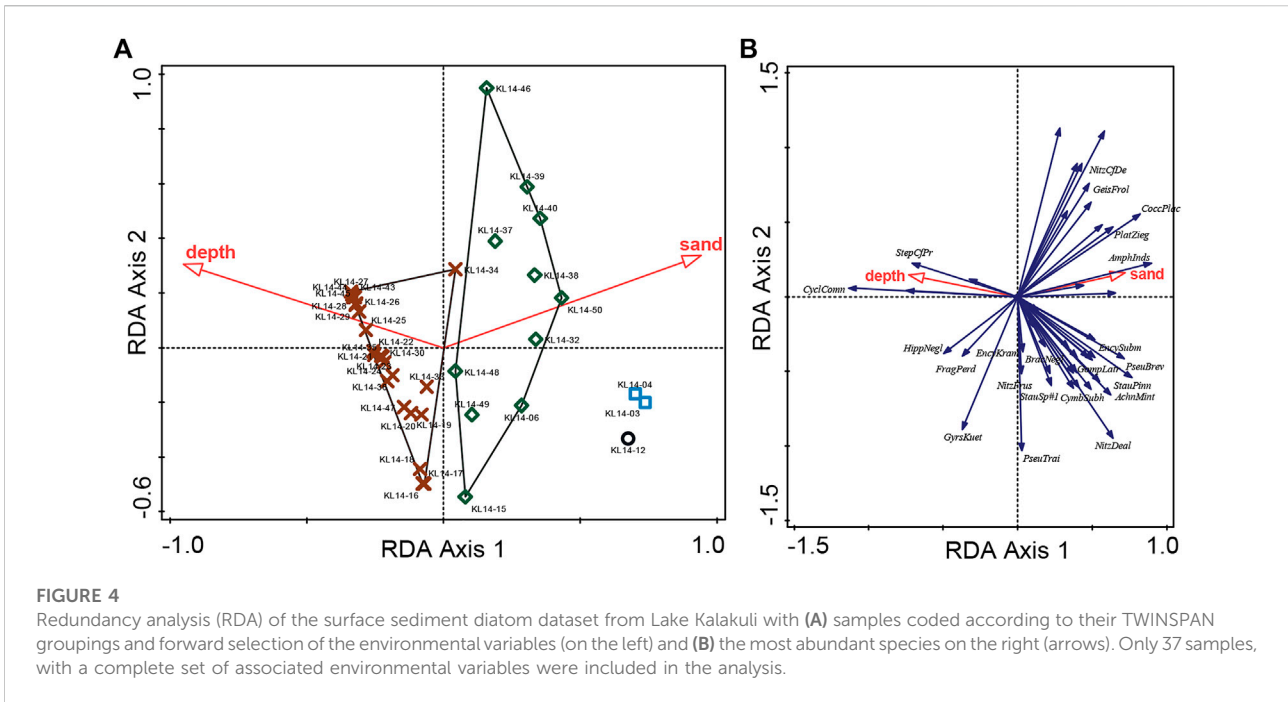


**FIGURE 3**  
 TWINSPAN classification dendrogram of the surface sediment diatom assemblages from Lake Kalakuli. Indicator species and their cut level are written in bold, while preferential species are written with normal font. Below the dendrogram are shown the box plots for water depth and grain size components for each diatom clusters defined by TWINSPAN. The 25th and 75th percent quartiles (excluding outliers) are drawn using a box, the median is shown with a horizontal line inside the box. The whiskers represent the upper and lower ‘inner fences’ that are drawn from the edge of the box up to the largest/lowest data point less than 1.5 times the box height. Outliers, i.e., values outside the inner fences, are shown as circles if they lie further from the edge of the box than three times the box height.

PCA show that water depth is the main environmental gradient driving the distribution of these diatom assemblages. These three communities distribute along the water depth gradient with an overlap between the mid-depth and deep water communities and can be described as follows:

(1) Deep water community (11–19 m): This community is found in the part of the basin that is the deepest and further away from the shore. The assemblages are almost exclusively composed of planktonic diatoms with *P. comensis* representing 80–90% of the assemblages. *Stephanodiscus cf parvus*, another planktonic species, is common. Hill’s N2 is very low (<10).

(2) Mid-depth community (6.5–14.5 m): This community is found in a depth zone that partly overlaps with that of the deep community. It is characterized by low light conditions and the absence of aquatic plants. The planktonic species *P. comensis* dominates in the deeper part and the benthic epipsammic species *Amphora indistincta* in the shallower part, respectively. The most abundant benthic species include several small Fragilariaceae such as *Pseudostaurosira brevistriata*, *Staurosirella pinnata*, *Nanofrustulum trainorii*, *Staurosira construens*, epipsammic diatoms such as *Halamphora thumensis*, *Planothidium sp cf dau*, *Platessa zieglerei* and epipellic diatoms such as *Nitzschia cf dealpina*, *Geissleria*



**TABLE 2** Summary statistics for the multivariate analyses (PCA, RDAs, partial RDAs) performed on the Kalakuli surface sediment diatom dataset. Significance tests were performed with 999 Monte Carlo permutations.

Type of analysis	Nb active samples	Explanatory variables	Co-variable	$\lambda$ 1	$\lambda$ 2	Ax.1 % expl var	Pseudo-F	p Value
PCA	45	n.a	n.a	0.413	0.143			
RDA with all variables	37	Depth, clay, silt, sand	n.a	0.345	0.050	34.5	17.4	0.001
RDA with forward selection	37	Depth, sand	n.a	0.344	0.050	34.4	17.8	0.001
RDA constrained to 1 variable	37	Depth	n.a	0.317	0.214	31.7	16.2	0.001
RDA constrained to 1 variable	37	Sand	n.a	0.311	0.219	31.1	15.8	0.001
partial RDA	37	Depth	Sand	0.084	0.207	12.1	4.7	0.001
partial RDA	37	Sand	Depth	0.078	0.207	11.4	4.4	0.004

*frolikhiensis* and *Hippodonta neglecta*. In addition, numerous benthic diatoms, predominantly nitzschoid and naviculoid taxa occur in low abundance in this zone and contribute to the high Hill's N2 values of these assemblages.

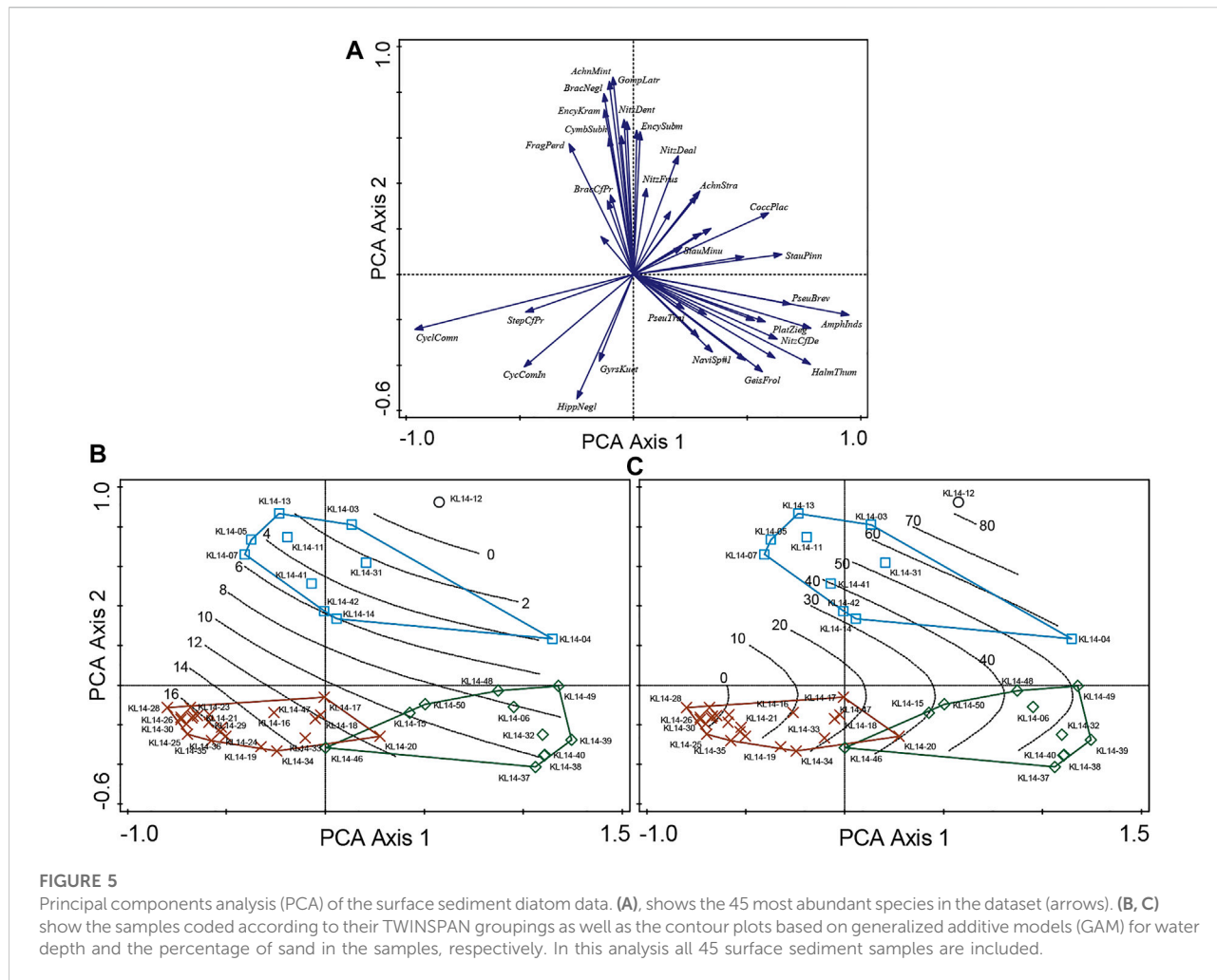
- (3) Shallow water community (below 6.5 m): This is the littoral zone of the lake characterized by the presence of aquatic plants and high light conditions. A large turnover among the benthic species distinguishes this community from the mid-depth community, with the disappearance of several of the species common in the previous community. *Pantocsekiella comensis* maintains the highest relative abundances (up to 50%). The most abundant benthic species include attached epiphytic taxa such as *Achnanthydium minutissimum*, *Achnanthydium straubianum*, *Cocconeis placentula*, *Cymbella subhelvetica*, *Encyonopsis krammeri*, *Encyonopsis*

*subminuta*, *Gomphonema lateripunctatum*, the loosely attached colonial species *Distirionella incognita*, the epipsammic species *Amphora indistincta*, and numerous motile epipelagic species including *Aneumastus minor*, *Brachysira neglectissima*, *Nitzschia denticula*, *Nitzschia frustulum* (Figure 2).

### Diatom-water depth transfer function

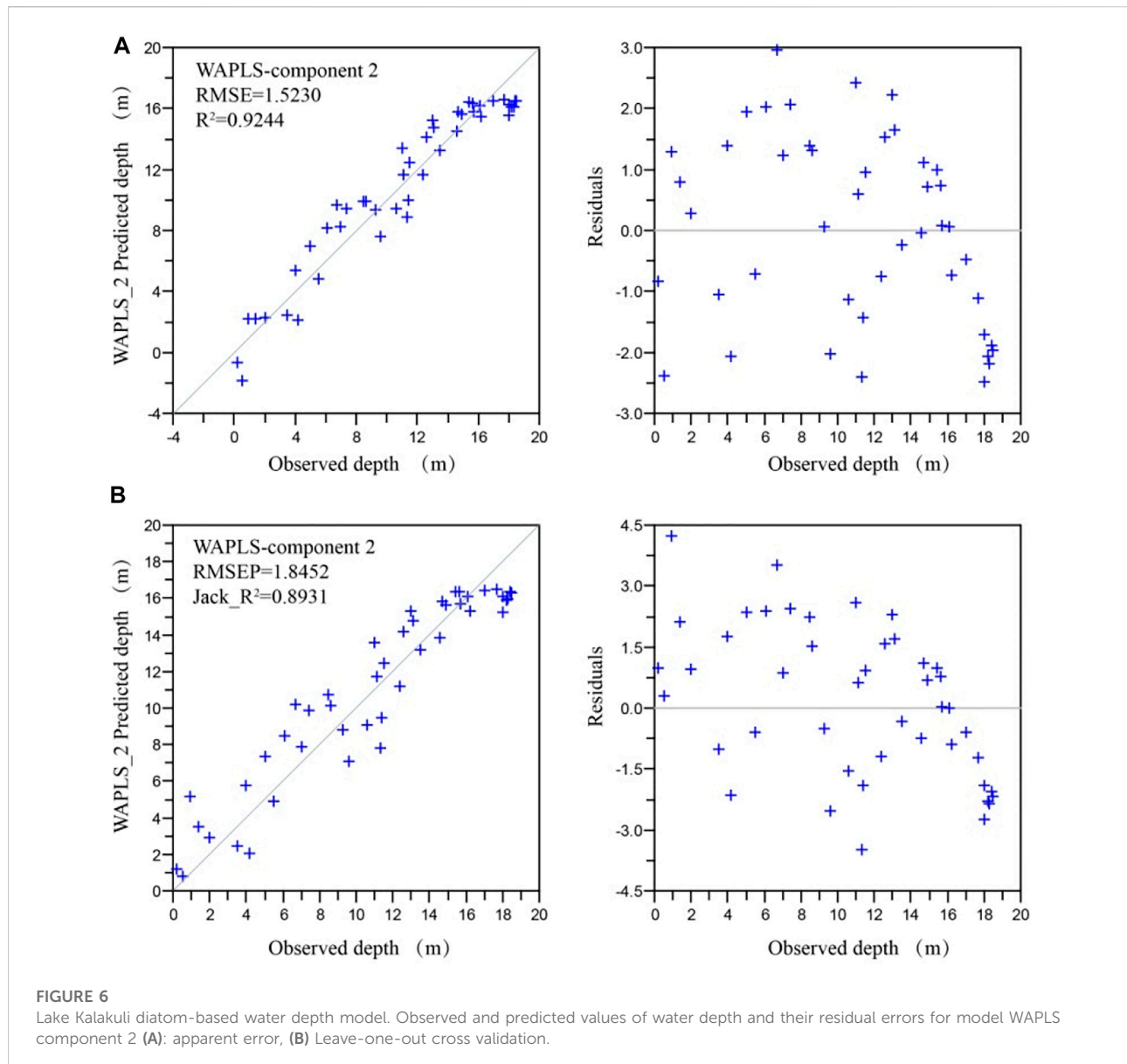
The WAPLS component 2 model has a higher correlation coefficient ( $R^2 = 0.89$ ) and a smaller error of prediction (RMSEP = 1.85 m) by comparison with the other models (Table 3), and was therefore selected for the transfer function. The residual errors however are quite high, ranging between





**TABLE 3** Statistical performance of the weighted averaging partial least squares (WAPLS) model compared with other models for diatom-water depth transfer function from Lake Kalakuli.

Model	RMSE	R <sup>2</sup>	Max_Bias	RMSEP	Jack_R <sup>2</sup>	Jack_Max_Bias
WA_Inv	2.4429	0.8054	3.0590	2.3799	0.8420	3.2119
WA_Cla	2.7221	0.8054	2.3008	2.2120	0.8446	2.6463
WATOL_Inv	2.2003	0.8421	2.6901	4.1537	0.4706	3.9101
WATOL_Cla	2.3978	0.8421	2.0327	5.0879	0.3810	3.7322
WAPLS-1	2.4429	0.8054	3.0689	2.7310	0.7663	4.1695
WAPLS-2	1.5230	0.9244	2.0673	<b>1.8452</b>	<b>0.8931</b>	<b>2.3054</b>
WAPLS-3	1.3629	0.9394	1.5048	1.8628	0.8883	1.9551
WAPLS-4	1.1143	0.9595	1.0617	2.3529	0.8221	3.5237
WAPLS-5	0.8985	0.9737	0.9679	2.5547	0.7903	3.7380
ML	3.1081	0.7214	5.0143	<b>3.8033</b>	<b>0.6137</b>	<b>8.4426</b>
MAT	2.5639	0.8420	5.3020			
WMAT	2.4515	0.8541	5.0783			



+4.5 m for a sample taken in the shallow zone to -4.0 m for a sample taken at about 11 m water depth. There is also a bias toward an underestimation of the water depth at the end of the gradient (all samples with a water depth greater than 17 m) (Figure 6). Similar bias has been reported in previous studies on diatom-inferred water depth (Laird et al., 2011).

## Chronology of the long core KL13-4

The development of the chronological model follows the guidelines proposed by Zhang et al. (2016) for radiocarbon-based

age model of Holocene lake sediments in arid NW China. The 30 radiocarbon ages plot linearly against core depth suggesting a continuous sedimentary record (Table 4; Figure 7). A polynomial regression closely fits the depth-age relationship of core KL13-4 ( $R^2 = 0.9948$ ) with the following equation:

$$y = 0.00000504x^3 - 0.00791433x^2 + 6.42070977x + 1833.4$$

The reservoir effect calculated based on the average deposition rate of  $^{210}\text{Pb}_{\text{ex}}$  is 1983 years, and the old carbon age in Lake Kalakuli measured by Liu et al. (2014) is 1880 years. These two ages are very close to the solution of the polynomial equation for the top of the core, i.e. 1833 years.

TABLE 4 Measured AMS<sup>14</sup>C ages and reservoir-corrected<sup>14</sup>C ages of dated samples from core KL13-4. \*Dates excluded from the model.

Sample code	Depth	$\delta^{13}\text{C}$	<sup>14</sup> C age (median)	Standard deviation	Calibrated age	Reservoir-corrected age in age-depth model
	cm	‰	a	a	a cal BP, 2 $\sigma$	a cal BP
Beta-378655	0.00	-25.50	1850	30	1710-1870	-63
Beta-378656	1.00	-25.60	1750	30	1,570-1720	6
Beta-378657	50.00	-24.20	2060	30	1945-2,120	227
Beta-378658	120.00	-24.40	2,530	30	2,685-2,745	697
UCIT31235	120.00	-24.60	2,675	15	2,759-2,777	665
Beta-372454	200.00	-23.60	2,350	40	2,325-2,460	1,008
Beta-378659	300.00	-23.90	2,790	30	2,840-2,960	1,350
Beta-372455	400.00	-24.00	3,160	30	3,345-3,450	1,625
UCIT31234	400.00	-24.00	3,420	15	3,640-3,692	1,587
XA1401	400.00	-22.30	3,441	33	3,640-3,815	1,625
Beta-378660	550.00	-24.70	3,740	30	4,065-4,155	1907
Beta-382988	572.00	-24.20	4,390	30	4,865-5,045	2026
Beta-372456	600.00	-24.40	3,350	30	3,555-3,640	2092
XA1402	700.00	-24.07	4,285	45	4,825-4,954	2,452
Beta-372457	800.00	-23.30	4,710	40	5,435-5,490	2,877
UCIT31236	800.00	-23.60	5,130	15	5,900-5,916	2,652
XA1403	1,000.00	-20.61	5,930	38	6,679-6,792	3,546
Beta-372458	1,000.00	-23.20	5,630	40	6,310-6,490	3,797
XA1404	1,000.00	-6.50	5,120	31	5,765-5,918	3,546*
Beta-378661	1,025.00	-10.10	5,230	30	5,920-6,005	3,694*
Beta-372459	1,200.00	-24.10	6,640	40	7,440-7,580	4,807
UCIT31233	1,200.00	-24.20	6,720	20	7,572-7,605	4,887
XA1405	1,300.00	-22.20	6,961	48	7,722-7,844	6,045
Beta-372460	1,400.00	-23.90	8,240	40	9,085-9,315	7,307
Beta-382989	1,416.00	-24.20	9,450	30	10,645-10,745	7,532
XA1406	1,480.00	-32.86	9,842	45	11,211-11,265	8,009
Beta-372461	1,500.00	-22.00	10,820	50	12,690-12,745	8,834
UCIT31237	1,500.00	-22.40	11,335	25	13,132-13,214	8,834
XA1408	1,520.00	-27.39	11,415	35	13,224-13,330	9,174
XA1407	1,520.00	-27.60	11,440	41	13,212-13,297	9,174

Therefore, this age can be regarded as the reservoir effect for the core KL13-4, while the curve derived from the regression represents changes in deposition rate (Zhang et al., 2016).

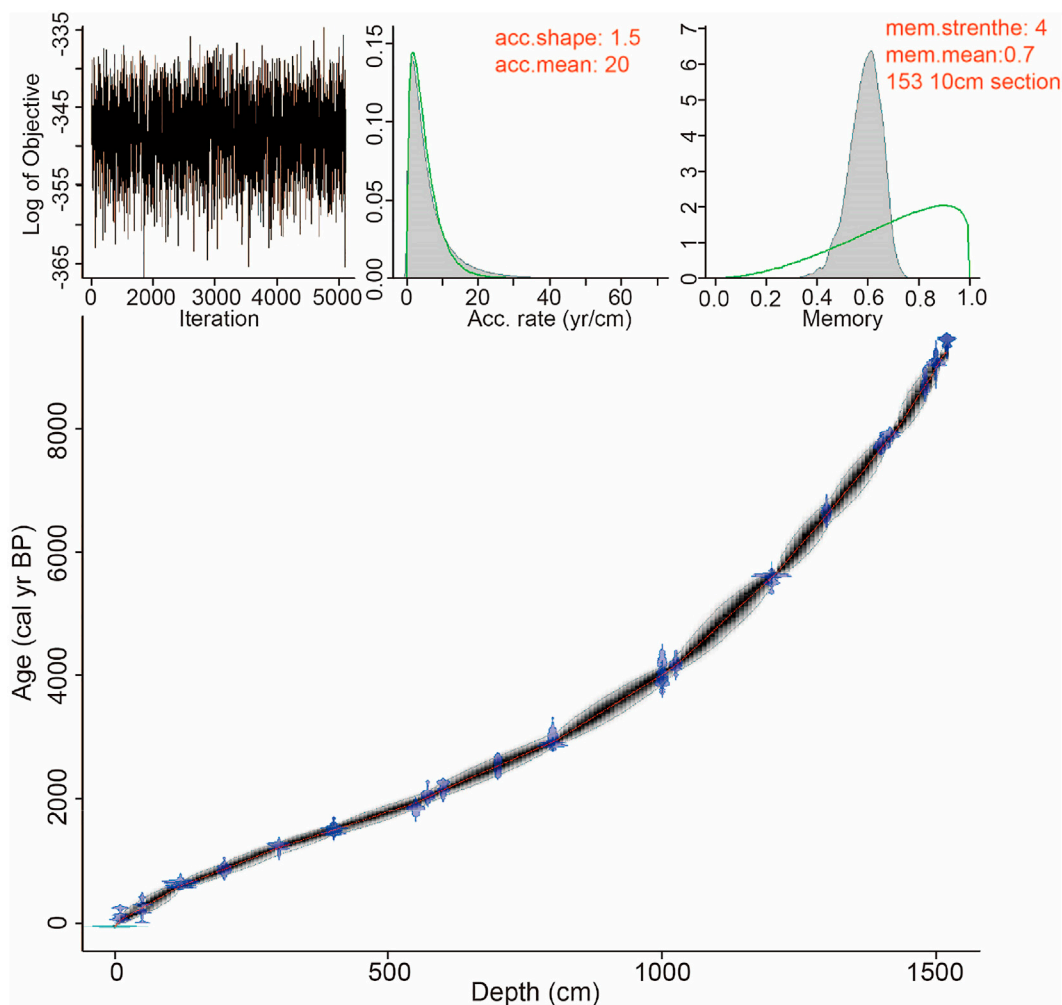
After correcting the carbon reservoir effect for the AMS <sup>14</sup>C ages of all samples, ages were calibrated. The model resolution was 10 cm and calibrated using IntCal 13 data set (Reimer et al., 2013). The age-depth model was built in R with Bacon 2.2, using the Bayesian method (Blaauw and Christen, 2011). The ages are therefore reported as calibrated years (and/or thousand years) before present, set by convention at 1950 CE, using the following notation: a (ka) cal BP.

The age of 15.28 m at the bottom of the sequence is 9,831 a cal. BP, and the age of 15.33 m at the bottom of the drill hole is

9,880 a cal. BP by extrapolation of the deposition rate over the interval 15.20–15.28 m (Table 4; Figure 7).

## Diatom assemblages of core KL 13-4

A total of 205 diatom taxa belonging to 58 genera were identified from the sediment core from the central basin of Lake Kalakuli. *Amphora indistincta*, *Pantocsekiella comensis*, *Staurosira construens*, *Staurosirella pinnata* and *Staurosirella minuta* are the only 5 species with abundance greater than 20% in at least one sample. The number of species per sample varies between 18 and 48, while the Hill's N2 varies between



**FIGURE 7**

Age-depth model for the Lake Kalakuli sediment sequence KL13-4 produced by Bacon 2.2 (light blue symbols are  $^{14}\text{C}$  dates, the red dashed line shows the weighted mean ages and grey dashed lines show 95% confidence intervals).

1.3 and 10.9. The samples showing the highest evenness (high N2) are in the bottom of the sequence, while the rest of the samples have low N2 (i.e. with low evenness and the dominance of a single species). The F-index ranges between 0.5 and 0.85 and is  $\sim 0.7$  on average (Figure 8). This indicates that although a large proportion of the diatoms contained in the sediment sequence show signs of dissolution, it can be assumed that the diatom record has no gap during which diatoms would have been completely dissolved. Dissolution is not a critical factor for this sequence.

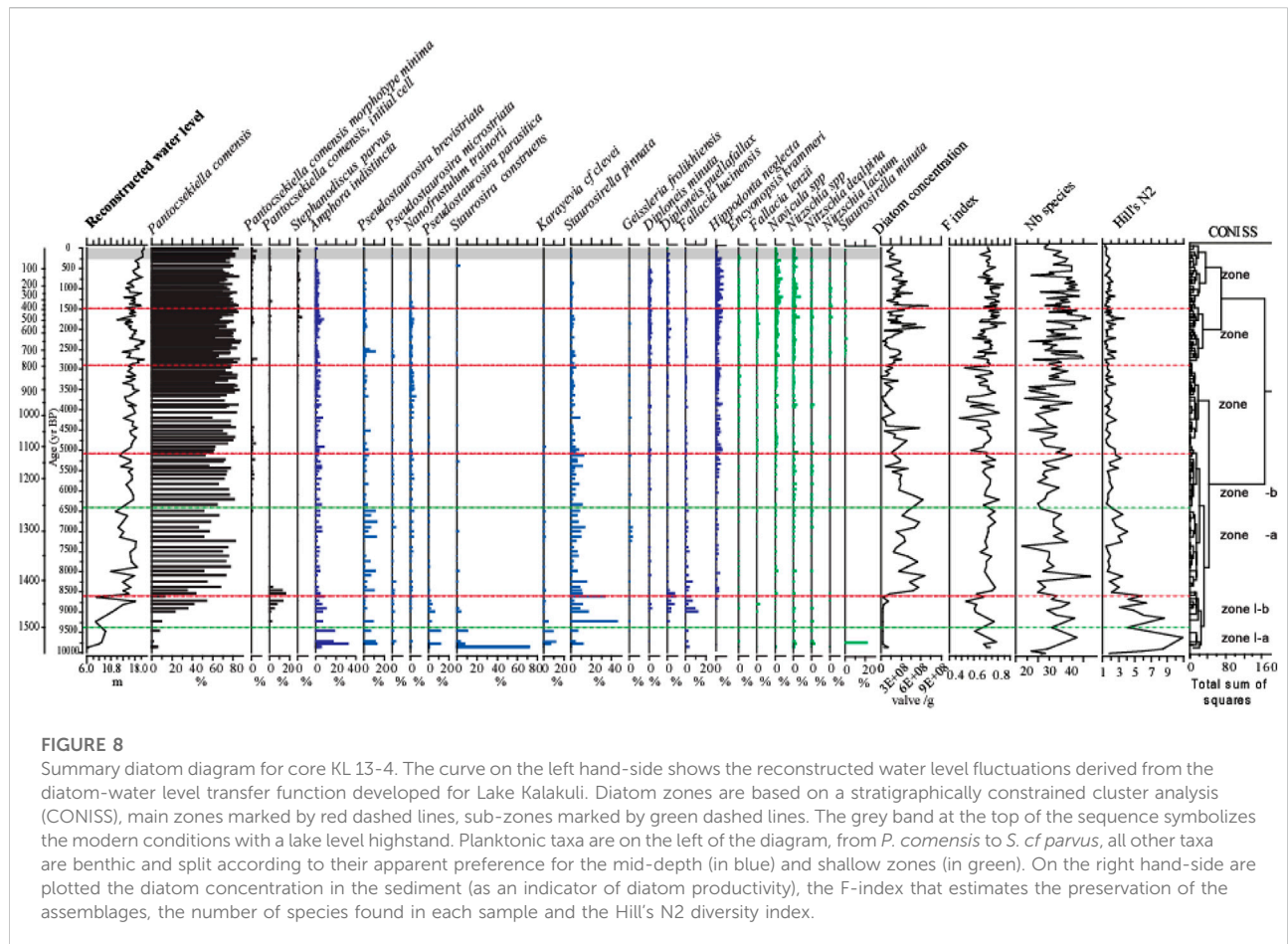
The first two axes of the PCA explain respectively 26.1% and 9.5% of the variance in the down-core diatom assemblages. On the ordination biplot for the first two PCA axes (Figure 9) the planktonic taxon *P. comensis* plots at the negative end of the first axis, while the benthic taxa *A. indistincta*, *S. construens* and *S. pinnata* plot at the positive end. This opposition between

planktonic/benthic diatoms indicates that water level is the main environmental gradient influencing the assemblage composition of the sedimentary sequence. This is confirmed by the strong correlation ( $r^2 = 0.74$ ) observed between the PCA scores on axis one and the water depth reconstruction derived from the WA-PLS model.

The sequence could be divided into five zones using CONISS (Figure 8).

Zone I (1,533–1,441 cm, 9.9–8.5 cal ka BP):

Low diatom concentration ( $5.12 \times 10^7$  valves/g) characterizes this zone, compared to the rest of the core. The zone can be divided into two sub zones: I-a (1,533–1,500 cm, 9.9–9.2 cal ka BP) and I-b (1,500–1,441 cm, 9.2–8.5 cal ka BP). Between 9.9 and 9.2 cal ka BP (Zone I-a), Fragilariaceae, small-



**FIGURE 8**

Summary diatom diagram for core KL 13-4. The curve on the left hand-side shows the reconstructed water level fluctuations derived from the diatom-water level transfer function developed for Lake Kalakuli. Diatom zones are based on a stratigraphically constrained cluster analysis (CONISS), main zones marked by red dashed lines, sub-zones marked by green dashed lines. The grey band at the top of the sequence symbolizes the modern conditions with a lake level highstand. Planktonic taxa are on the left of the diagram, from *P. comensis* to *S. cf parvus*, all other taxa are benthic and split according to their apparent preference for the mid-depth (in blue) and shallow zones (in green). On the right hand-side are plotted the diatom concentration in the sediment (as an indicator of diatom productivity), the F-index that estimates the preservation of the assemblages, the number of species found in each sample and the Hill's N2 diversity index.

sized benthic taxa with a preference for cold water, are dominant in the assemblages. The relative abundance of *Staurosira construens* at the bottom of the core is as high as 73%, with *Amphora indistincta*, *Pseudostaurosira brevistriata*, *Pseudostaurosira parasitica*, *Staurosirella pinnata* and *Karayevia clevei* also common. *Pantocsekiella comensis* is the only planktonic species and has a relative abundance below 10%. In Zone I-b, the abundances of *Amphora indistincta*, *Pseudostaurosira brevistriata*, *Pseudostaurosira parasitica*, *Staurosira construens* and *Karayevia clevei* declined while those of *Diploneis puellafallax*, *Diploneis minuta* and *Fallacia lucinensis* increase. At the same time, the planktonic species *P. comensis* becomes dominant. Initial valves of *P. comensis* are common.

**Zone II (1,441–1,140 cm, 8.5–5.1 cal ka B.P.):**

Total diatom concentration increased 4 times during the mid-Holocene, with an average of  $2.16 \times 10^8$  valves/g. Two subzones can be distinguished; II-a (1,441–1,260 cm, 8.5–6.4 cal ka BP) and II-b (1,260–1,030 cm, 6.4–5.1 cal ka

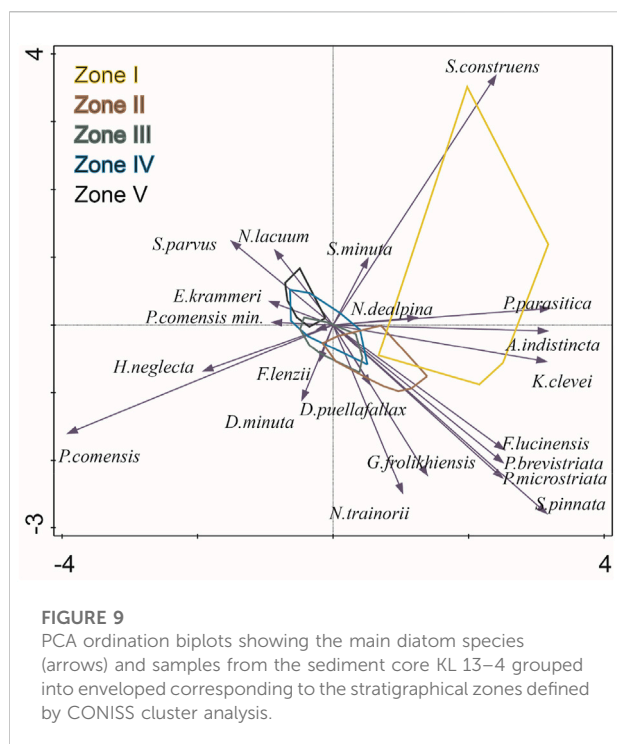
BP). In Zone II-a, *P. comensis* has completely replaced benthic species as the dominant species, with an average relative abundance of 77% at 7.8–7.2 cal ka BP. There were decreases in small size benthic diatoms including *Amphora indistincta*, *Staurosirella pinnata*, *Pseudostaurosira parasitica*, *Staurosira construens* and *Karayevia clevei*, while a few benthic species with larger size, such as *Geissleria frolikhiensis*, *Hippodonta neglecta* and *Navicula* spp, began to appear in the core. In Zone II-b, *P. comensis* morphotype *minima* appeared. *Pseudostaurosira brevistriata* decreased significantly while *Hippodonta neglecta* and *Nitzschia* spp slightly increased.

**Zone III (1,140–790 cm, 5.1–2.8 cal ka B.P.):**

Diatom concentration fluctuated and generally decreased. *P. comensis* became even more dominant. Among benthic species the abundances of *Amphora indistincta* and small Fragilariaceae remain low while that of *Hippodonta neglecta* and *Navicula* spp increased.

**Zone IV (790–400 cm, 2.8–1.5 cal ka B.P.):**





Diatom concentration increased slightly, with an average of  $2.16 \times 10^8$  valves/g. *P. comensis* remains the dominant species with an average relative abundance of 75%. *Stephanodiscus parvus* began to appear. Among benthic species, small Fragilariaceae decreased further, while the genera *Diploneis*, *Navicula* and *Nitzschia* all showed small increases in diversity and abundance.

Zone V (400–0 cm, 1.5 cal ka B.P.):

Diatom concentration continues to increase with an average of  $2.83 \times 10^8$  valves/g. The dominant taxon for the most recent sediments of the core remains the planktonic *P. comensis*, maintaining abundances of up to 70% during the last 1,500 years. The relative abundance of benthic species *Amphora indistincta* and the small Fragilariaceae decline further during the last ~500 years. *Hippodonta neglecta*, *Navicula* spp and *Nitzschia* spp dominate the benthic component of the assemblages.

## Discussion

### Modern diatom distribution and diversity across the water depth gradient in Lake Kalakuli

The diatom analysis of the surface sediment samples revealed three communities that distribute along the water depth gradient

in Lake Kalakuli: 1) a deep water community (11–19 m), 2) a mid-depth community (6.5–14.5 m) and 3) a shallow water community (below 6.5 m). Similar distributions have been reported from various lakes across the world. For example in Lake Tovel, an oligotrophic mountain lake in Italy, [Angeli and Cantonati \(2005\)](#) also found maximum percentages of *Stauriosirella pinnata*, *Pseudostauriosira brevistriata* and small *Amphora* in the mid-depth zone of this lake, while the deepest part was dominated by planktonic taxa (*Cyclotella* and *Fragilaria* spp in their case) and the shallow zone was dominated by a diverse assemblage of benthic species including *Achnantheidium minutissimum*, *Brachysira*, *Encyonopsis* and various motile *Navicula*. Also in Europe, [Hofmann et al. \(2020\)](#) observed in the subalpine Lake Oberer Soiernsee (Southern Germany), a shallow zone dominated by species of *Achnantheidium*, *Encyonopsis*, *Brachysira* with motile species of *Navicula* and *Nitzschia* and a deeper zone dominated by small Fragilariaceae and small *Amphora*. In North America, [Laird et al. \(2011\)](#), [Kingsbury et al. \(2012\)](#) and [Gushulak and Cumming \(2020\)](#) found consistently the same pattern in several lakes of northern Ontario (Canada), with *Achnantheidium minutissimum* being dominant in the shallow zone (<5 m depth) while *Stauriosirella pinnata* and other small Fragilariaceae dominate the mid-depth zone. In China, the few studies that reported on the depth distribution of diatoms gave contrasting results. In Yulong Lake, an alpine lake in Yunnan (southern China), small Fragilariaceae are dominant throughout the shallow zone from 0 to 5.5 m, and remain abundant in the profundal zone ([Zou et al., 2020](#)). In Huguang Maar Lake, a volcanic lake in Guangzhou Province (S. China), *Achnantheidium minutissimum* and *Encyonopsis* spp dominate the shallow zone but only few Fragilariaceae are present and no clear mid-depth assemblage can be distinguished ([Li et al., 2021](#)). Finally, in Lugu Lake, a deep lake on the Yunnan Plateau, a mid-depth assemblage was observed but it was mainly composed of *Diploneis* species while the shallow zone (below 10 m depth) was dominated by small Fragilariaceae, with significant contribution of *Achnantheidium minutissimum*, small *Amphora* and diverse motile species of *Navicula* and *Nitzschia* ([Wang et al., 2012](#)). These results suggest that some taxa have a rather specific distribution most likely driven by their tolerance to low light conditions, more than the actual depth of the water. However, the morphological profile of the depth-transects (e.g. steepness of the basin slope) and physicochemical characteristics of the lakes that are sampled greatly influence the depth distribution pattern of species from lake to lake (and even from transect to transect in some large, complex lakes) as already observed by [Angeli and Cantonati \(2005\)](#).

In Lake Kalakuli the bimodal distribution of *P. comensis*, which is completely dominant in the deep zone, very abundant in the shallow zone with a marked decrease in the mid-depth zone, suggests that this planktonic diatom habits in the shallow zone for a part of its life-cycle. The settling of live cells on the shallow

sediment permits to the population of this species to survive summer periods of strong thermal stratification. Indeed, while cells in the deep zone will die if they settle in dark, deep waters below the photic zone, those deposited at shallow depth can remain alive and be quickly resuspended in the water column during periods of mixing. This allows this species to re-colonize the pelagic zone.

Generally, the littoral zone of a lake includes a variety of habitats such as rocks, sand, mud, and aquatic plants, which can provide a variety of substrates for diverse benthic diatoms to grow upon. By contrast, in deep water areas benthic diatoms cannot grow because not enough light reaches the bottom of the lake (Hayashi, 2011) and the assemblages that sediment below the water column are generally dominated by planktonic species that grow in the photic zone. As planktonic diatoms are generally much less diverse than benthic diatoms, such deep-water assemblages have low diversity. Therefore, over long time scales such as those recorded in the sedimentary record, a rise in lake water level may reduce the proportions of benthic species and thus the overall diatoms diversity found in the core assemblages.

## Diatom-inferred water-level changes from Lake Kalakuli

The fossil diatom assemblage from the KL13-4 sediment core reveals several changes over the last ~9.9 cal ka BP which, in combination with sediment–geochemical parameters, enables the reconstruction of major climate episodes and environmental conditions.

The diatom-based depth water reconstruction (Figure 8) shows that the lake depth varied between 6.1 and 17.5 m during the duration of the sequence. This reconstruction is an underestimation as we mentioned previously that the transfer function has a bias for the deep end of the depth gradient. However, as mentioned earlier the strength of the correlation between the PCA sample scores on axis-1 and the water depth reconstruction suggests that diatom-inferred depth is a good reflection of the assemblage changes. Thus, while the diatom-based water depth reconstruction should not be considered as an absolute record of lake level fluctuations, it is showing the general trends in lake level. The lake water level increased greatly from a low level in the Early Holocene. In the middle and late Holocene, water level fluctuated slightly with a slight upward trend while in the last few hundred years, it has increased significantly, and is now at its highest.

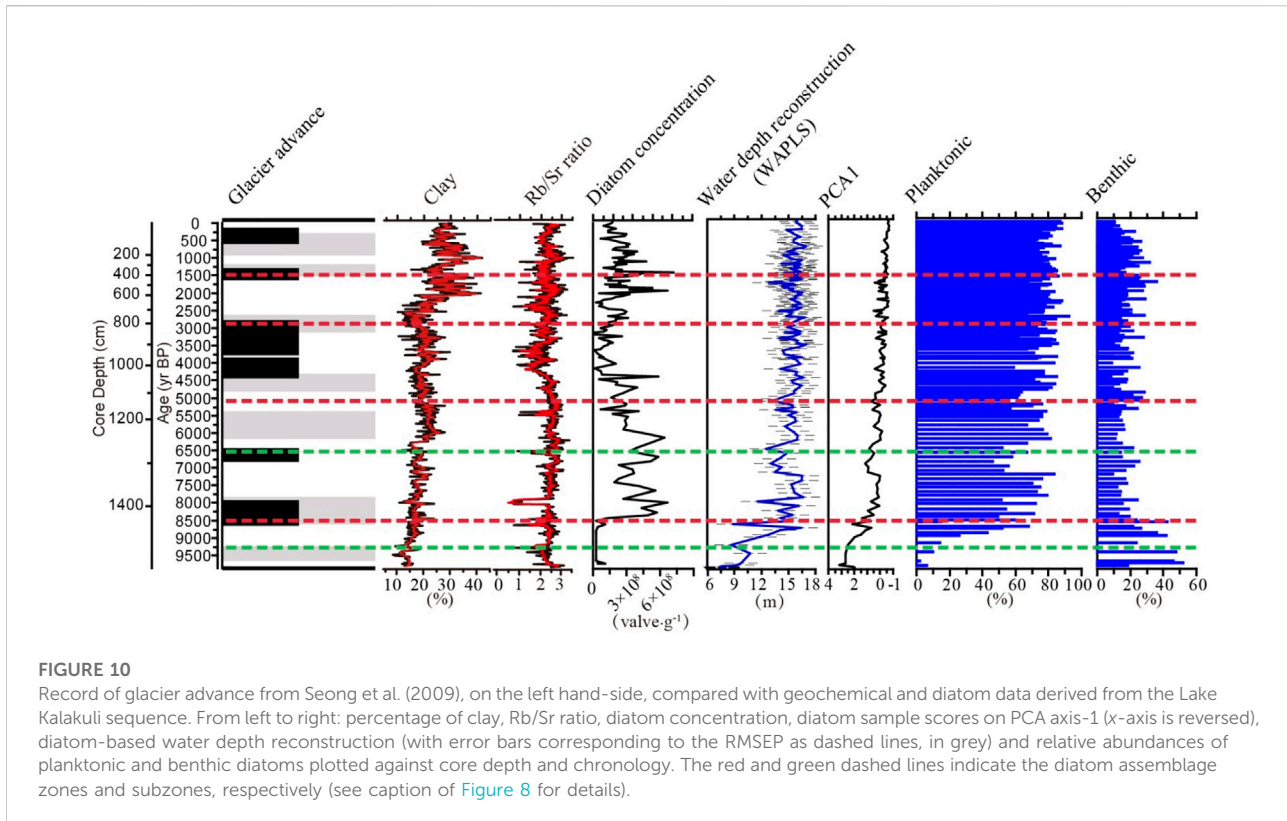
Fossil diatoms at the bottom of Lake Kalakuli sequence recorded a low lake water level, about 13 m below the current level. During the late Pleistocene (16–11 ka BP), glaciers including those from the Muztagh Ata and Kongur Shan, advanced in the alpine regions of Central Asia (Sevastyanov et al., 1990). The climate was cold and dry, causing a decrease input of glacier melt water, and a large number of lakes dried up or even disappeared. The total abundance of diatoms was very low, and the assemblages mainly consisted of small fragilarioid taxa, which are often reported to represent pioneer diatom

communities (Väliranta et al., 2011) in early postglacial tundra environments. These species prefer poor light conditions (Anderson, 2000), oligotrophic and alkaline conditions with cold temperatures and short growing seasons (Laing et al., 1999; Biskaborn et al., 2012). Their highest abundances are reached at water temperatures below approximately 6 °C (Joynt and Wolfe, 2001). We could infer a cold lake environment with long seasonal ice cover.

In the early Holocene, as temperature rose, the glaciers receded and this resulted in large increase in the influx of glacial melt water and coarse mineral particles into the lake basin. As a result, the clay mineral content at the bottom of the core was low (Figure 10). As reported for other alpine lakes in the region (Chen et al., 2008), Lake Kalakuli started to refill during this period, and lake levels fluctuated before stabilizing. Two sudden drops in water level occurred at 8.7 and 8.2 cal ka BP, which may be related to the Muztagh Ata glacier advance at around 8.4 ka (Seong et al., 2009). The diatom concentration and relative abundance of planktonic species were quite low during this period, most likely due to the low water level of the lake that limited the space available for planktonic diatoms to grow, as well as the low water temperature associated with large influx of glacial meltwater, which is not conducive to the massive growth of diatoms. Interestingly, the benthic species that dominate the bottom part of the sequence are part of the mid-depth community in the modern lake while few species forming the modern shallow zone community are present. This suggests that aquatic plants were absent and light conditions were low, in agreement with large supply of cold and turbid glacial meltwater.

In the Mid-Holocene (~8–4 cal ka BP), water level returned to high levels and the average abundance of diatoms at this stage is the highest of the Holocene, reflecting a more productive lake, associated with a warm and humid climate in the East Pamir during that period, corresponding to the Holocene warm period in China (Shi et al., 1992; An et al., 1993). Although the climatic conditions were relatively stable during this stage, there were fluctuations around 7.2–6.5 cal ka BP, 5.0 cal ka BP, and 4.2 cal ka BP, which were manifested in the decrease of lake water level and slight increase in the diversity index Hill's N2 (Figure 8). The records of <sup>10</sup>Be terrestrial cosmogenic nuclide surface exposure dating of moraines indicate that glacier advanced around 7.0–6.5 cal ka BP and 4.2 cal ka BP (Seong et al., 2009) (Figure 10). Thus, the lowering of the lake water level during this period was probably related to the advancement of glaciers that reduced the supply of melt water. From the perspective of diatom productivity, the diatom concentration during the periods of 7.2–6.5 cal ka BP and 5.0 ka BP was lower than the average value of the warm period, while the diversity increased during the same period.

In the late Holocene (~4 cal ka BP to the present), the lake level fluctuates frequently but with low amplitude. During this period, three periods of glacier advance and two periods of retreat are recorded (Seong et al., 2009). During this period, there is a noticeable increase in the percentages of motile diatoms such as



*Hippodonta neglecta*, *Navicula* spp and *Nitzschia* spp. that correspond with the large increase in clay content in the core (Figures 8, 10). With such influx of fine sediment, benthic diatoms that can move and avoid burial are favored over non-motile diatoms (Jones et al., 2014). The rise of the lake water level in modern times may be related to climate warming caused by human activities and increase in the supply of glacial melt water.

## Regional comparison and climatic significance

According to the trends in water level in Lake Kalakuli, the evolution of the lake can be roughly divided into three stages: the rising period of the water level in the Early Holocene, the relatively stable period of the mid-late Holocene, and the modern rising period. This trend can be compared with the climate records of the westerlies-dominated region in Central Asia.

During the early Holocene period before 8.0 cal ka BP in the Kalakuli region, the climate was relatively arid but effective moisture was increasing. Studies on other lakes from the arid regions of Central Asia also reflect a cool and dry environment and low lake levels during the early Holocene, which are in good agreement with the climate characteristics revealed by the Kalakuli records. For example, Bosten Lake, once the largest freshwater lake in inland China, was still dry before 8.4 cal ka BP

(Huang et al., 2009); Lake Aibi, situated in the western margin of Junggar Basin, was a marsh or wetland between 13.9–7.4 ka BP (Wang et al., 2013); Lake Issyk-Kul, located on the west side of the Tianshan Mountains, was also formed at around 8 cal ka BP (Ricketts et al., 2001). Analyses based on  $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$  and grain size from Barkol Lake also show relatively arid conditions in the early Holocene (9.4–7.4 ka BP) (Xue and Wei, 2011).

In the middle and late Holocene, the water level of the Lake Kalakuli was steady with a slight upward trend, reflecting the continuous increase of effective humidity in the area since the Holocene. Wang and Feng (2013) based on the integrated humidity curve of four lakes located in northern Xinjiang, also show that humidity increased from 8 cal ka BP. The records of Barkol Lake (An et al., 2012), the peat records of Chaiwopu in central Xinjiang (Hong et al., 2014), and the pollen records of the Caspian Sea (Leroy et al., 2014) all reflect the same climatic characteristics as in the Kalakuli region. In their review, Chen et al. (2008) and Mathis et al. (2014) summarized the trends observed for the lakes located in the westerlies region of Central Asia that the highest lake level occurred in the Mid-Holocene, corresponding to the humid environment, and the lake level decreased in the late Holocene as the climate gradually became dry. Pollen (Huang et al., 2009) and aeolian sedimentary records (Long et al., 2014) also show that the inland area of Asia was very arid in the early Holocene, then reached the wettest state in the Middle Holocene after a rapid increase in moisture at about 8 ka BP, and gradually began to dry out in the late

Holocene. The water level trend of Lake Kalakuli is therefore completely consistent with the climate evolution of the region as described in the literature.

## Driving mechanisms of climate variation

This pattern of an early Holocene dry phase and a mid-late Holocene humid climate has universal significance in the inland westerlies region of Central Asia. The early Holocene drought in the inland westerlies region of Asia is considered to be related to the high solar radiation in the northern hemisphere in summer as radiation increases the temperature (Kutzbach and Guetter, 1986; Berger and Loutre, 1991), narrowing the difference between the high and low latitudes. The temperature difference between the latitudes and the subtropical high caused the subtropical high to move northward and strengthen, the westerlies belt moved northward and the intensity of the westerlies jet stream decreased, and the activity of mid-latitude cyclones also weakened. At the same time, the injection of a large amount of melt water from the Laurentide Ice Sheet into the North Atlantic made the sea surface water temperature (SST) lag behind. The lower water vapor evaporation in the North Atlantic then leads to a reduction in the amount of water vapor carried by the westerlies wind (Carlson et al., 2008). In addition, the strong radiation also caused an increase in the sinking airflow on the northern edge of the Qinghai-Tibet Plateau. Therefore, these changes of solar radiation in the northern hemisphere in summer led to less precipitation and more evaporation in the westerlies region of Central Asia in the Early Holocene. During the Lateglacial period and the Younger Dryas (YD) event, the Muztag Ata glacier advanced, and the glacier melt water was reduced dramatically or even dried up, which caused extremely low lake level in the early Holocene. After the YD event, rapid warming caused the melting of alpine glaciers, and the rapid rise of the Lake Kalakuli water level at ~8.0 ka BP.

In the mid-late Holocene, solar radiation in the northern hemisphere decreased, which increased the temperature difference between high and low latitudes. The weakened subtropical high moved south, which led to the southward movement and increasing intensity of the westerlies jet, while the activity of mid-latitude cyclones also increased (Magny et al., 2007). At the same time, around 8.0 ka BP, the volume of the Laurentide ice sheet had shrunk to 10% of the peak area reached during the Last Glacial Maximum, and completely disappeared at 6.0 cal ka BP (Dyke et al., 2013). Then, the North Atlantic SST gradually increased to the highest value of the Holocene, and so the water vapor brought into Central Asia with the westerlies winds also gradually increased. During the mid-Holocene, water level fluctuations and the diatom productivity record reflect the “cold wet/warm dry” hydrothermal configuration pattern, which may be due to the northward movement of the westerlies belt in the warm period, the weakening of the strength of the westerlies

jet, and the reduced activity of mid-latitude cyclones, as well as increased evaporation caused by temperature rise, and vice versa.

The temperature changes reflected by the organic carbon content of the sediments of Lake Sate Baile Dikuli, located next to Lake Kalakuli (Li et al., 2018) and the temperature record of the northeastern Qinghai-Tibet Plateau (Wang et al., 2015) both show that the rapid warming at the end of the 20th century was the highest in the past 200 years. Such rapid temperature increase has triggered a rapid response of mountain glaciers, especially in the Qinghai-Tibet Plateau glacial area (Duan et al., 2007). Therefore, the water level rise in Lake Kalakuli in recent years can be regarded as a direct manifestation of the large amount of meltwater caused by the loss of ice in the Muztag Ata glacier, where the magnitude of warming is extremely large, around 2.0–2.4°C per decade according to the  $\delta^{18}\text{O}$  record from the Muztag Ata ice core (Tian et al., 2006).

## Conclusion

Diatom assemblage variations in Lake Kalakuli show that the trends in climate in this area are basically consistent with climate evolution in the westerlies region of Central Asia. In the early Holocene, the lake water level was the lowest for the entire Holocene and rose after 9 cal ka BP. In the middle and late Holocene, the lake level was relatively stable with only small fluctuations, and the overall trend was a slight increase. These results show that effective humidity in this area was low and increased rapidly in the early Holocene; the humidity was higher in the middle and late Holocene. In the last 200 years, the lake level increased significantly and reached the highest value for the whole sequence, which may be related to the increase supply of glacier melt water caused by global warming. The fundamental driving mechanism of the moisture and temperature changes of arid Asia during the Holocene is the change of westerlies circulation caused by changes in solar radiation, while the Muztag Ata glacier activity has a direct and key impact on the change of the lake water level.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding authors.

## Author contributions

YP: conceptualization, methodology, analysis, and writing—original manuscript. PR: statistical analysis, writing, reviewing and editing. ZJ: supervision, project administration and funding acquisition. All authors contributed to the article and approved the submitted version.



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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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