



# Using a Spatial Analysis Method to Study the Seismo-Ionospheric Disturbances of Electron Density Observed by China Seismo-Electromagnetic Satellite

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Due to the complex processes of earthquake preparation, the observations and studies associated with earthquakes have attracted the attention of geophysicists for many years. The CSES was successfully launched on 2 February 2018. This satellite can provide global data of the electromagnetic field, plasma, and energetic particles in the ionosphere to monitor and study the ionospheric perturbations associated with earthquakes. Focusing on the characteristics of CSES, a spatial analysis method was proposed to extract the disturbances of electron density prior to earthquakes. Taking Indonesia Mw6.9 earthquake that occurred on 5 August 2018 as an example, the spatial method was illustrated and verified by another analysis method also using the data of electron density and GPS TEC data with the same analysis method. Based on the electron density of CSES for more than 2 years, this method was applied to carry out the statistical study prior to  $M_w \geq 6.0$  global earthquakes using the superposed epoch and space approach (SESA) method. It was found that 1) relative to the epicenters, seismo-ionospheric disturbances are more obvious in the equator direction than those in the polar direction; 2) the anomalies within 300 km distance from the epicenter are significant 11, 3, and 2 days prior to  $M_w \geq 6.0$  earthquakes; 3) the influence region of perturbances associated with earthquakes enlarges with the magnitude increase, and the stronger magnitude is the earlier disturbance appears. These statistical characteristics were not detected for the random earthquakes. Comparing the statistical result with the simulation output, the electric field pathway could be considered as the main channel of lithosphere–atmosphere–ionosphere coupling.

**Keywords:** CSES, analysis method, electron density, ionospheric disturbance, earthquake

## 1 INTRODUCTION

The abnormal ionospheric plasma density variations before and/or after earthquakes have attracted much attention from the geophysicists for many years (e.g., Pulinets and Legen'ka, 2003; Pulinets and Boyarchuk, 2004; Le et al., 2015). In general, ionospheric measuring techniques are categorized as ground and space observations to obtain the ionospheric parameters (Xiong et al., 1999). The former one includes the ground-based ionosonde, global position system (GPS) or global navigation satellite system (GNSS) receivers, and incoherent scatter radar (Rishbeth and Garriott 1969; Schunk and Nagy 2009). The latter involves topside ionospheric sounding and *in situ* observation by satellites and

rockets (Pulinets, 1998; Pulinets, 2006). The total electron content (TEC) of time series and global ionosphere map (GIM) has been widely used to detect seismo-ionospheric anomalies. Focused on the earthquakes that occurred at Taiwan, Liu et al. (2000) found the critical frequency of the F2 layer ( $f_oF_2$ ) which fell below the lower threshold 1–6 days prior to  $M_w \geq 6.0$  earthquakes, and Liu et al. (2004a) also detected  $f_oF_2$  and TEC both decreased 4 days before  $M \geq 5.0$  earthquakes. The GPS TEC data for 11 Sulawesi earthquakes from 1993 to 2002 were analyzed by Saroso et al. (2008), and they reported the negative anomalies of TEC within 2–7 days before earthquakes. Liu et al. (2009) found the GPS TEC at the epicenters decreased 3–5 days before 17  $M \geq 6.3$  earthquakes among 35  $M \geq 6.0$  earthquakes in China. Kon et al. (2011) indicated the TEC-positive anomalies 1–5 days before  $M \geq 6.0$  earthquakes in Japan and within 1,000 km from the epicenters by analyzing GIM TEC data. Combining the observation data of GNSS ground receivers and ionosondes, Liu et al. (2018) constructed a three-dimensional tomography of ionospheric electron density to further understand the structure and dynamics of seismo-ionospheric precursors.

The first report mentioned the seismo-ionospheric perturbations detected by a satellite could be attributed to the beginning of 1980s (Larkina et al., 1983; Gokhberg et al., 1983a; Gokhberg et al., 1983b). With the continuous launch of low-Earth orbital (LEO) satellites, studies about topside electron density ( $N_e$ ) disturbances related to earthquakes have been reported, which makes the major contribution toward understanding the nature of the seismo-ionospheric coupling. Based on Cosmos-1809 satellite data, Chmyrev et al. (1997) found the  $N_e$  disturbances with  $dN_e/N_e \approx 3\%–8\%$  from minutes to hours before 5 aftershocks of Spitak earthquakes that occurred on December 7, 1988. Using the topside sounding of Alouette, AE-C, and Interkosmos-19 satellites, Pulinets and Legen'ka (2003) and Pulinets et al. (2003) established major features of the ionospheric precursors for topside  $f_oF_2$  or  $N_e$ , including the occurrence time of anomaly between 5 days to a few hours and the disturbance position shifting equatorward. Sarkar et al. (2007) analyzed the data of the Detection of Electro-Magnetic Emissions Transmitted from Earthquake Regions (DEMETER) satellite and found the anomalies of electron and ion densities several days before some earthquakes. Parrot (2012) used automatic software to detect the abrupt enhancement of ion density observed by the DEMETER satellite. Based on the statistical analysis of 17,366  $M > 4.8$  earthquakes, Parrot (2012) found that perturbations in ionospheric ion density before earthquakes are more obvious than those prior to randomly selected pseudo-earthquake events. By analyzing the electron density and temperature data from the DEMETER satellite, Liu et al. (2014) extracted the disturbances of plasma parameters before 49 earthquakes among 82  $M_s \geq 7.0$  earthquakes during 2005–2010. Ryu et al. (2014) reported the equatorial ionization anomaly (EIA) enhancements prior to  $M > 5.0$  earthquakes in the low-latitude region based on the statistical analysis of  $N_e$  measured by the DEMETER satellite. Yan et al. (2017) applied the statistics method to analyze the ion density of the DEMETER satellite and found that perturbations occur around 200 km from the epicenters in 5 days before the earthquakes. De Santis et al. (2019) carried out a statistical

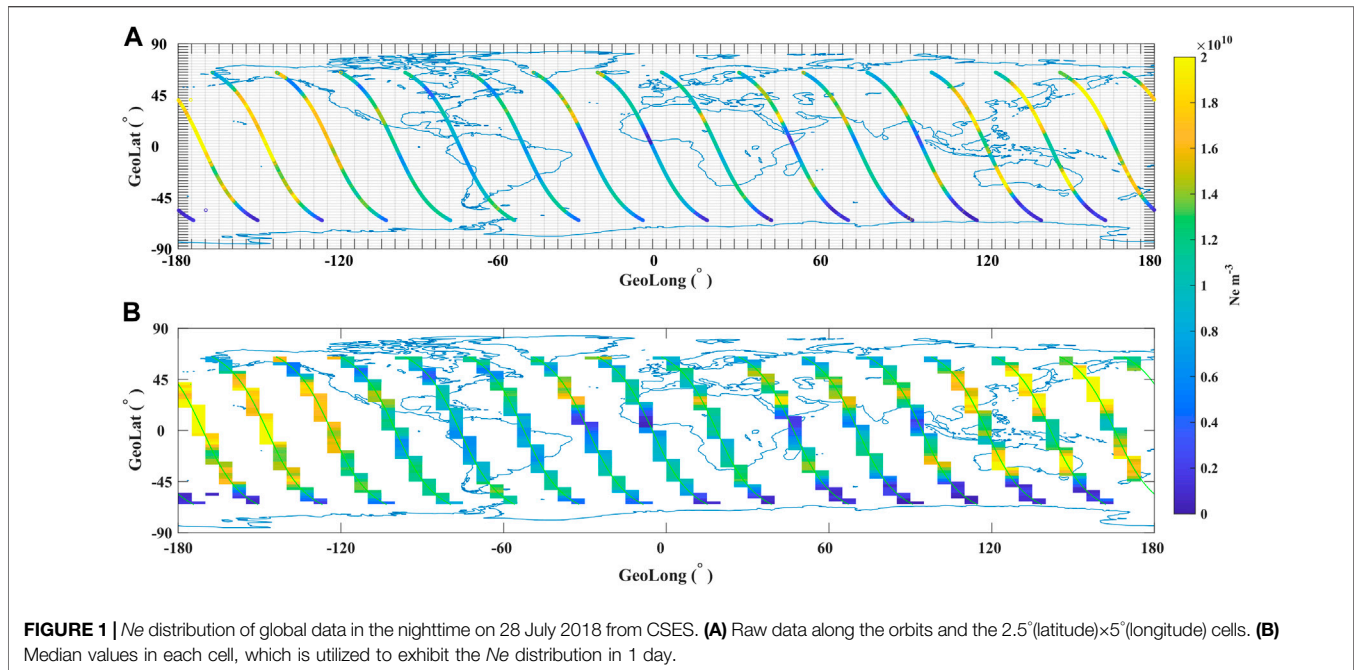
study of 1,312  $M > 5.5$  earthquakes and found that the *in situ* ionospheric precursors are significant from a few days to 80 days before earthquakes by analyzing the electron density and magnetic field data of Swarm constellation.

The China Seismo-Electromagnetic Satellite (CSES), which is also called ZhangHeng-1 (ZH-1), was successfully launched on 2 February 2018. CSES can provide global electromagnetic data to study the seismo-ionospheric perturbations, especially those due to the strong earthquakes (Shen et al., 2018a). From the comparisons between CSES  $N_e$  and other observations/models (Wang et al., 2019; Yan et al., 2020; Liu et al., 2021), it confirms that the  $N_e$  data can reliably reveal the ionospheric characteristics at the satellite altitude of 507 km. So far, using the CSES data, some case studies of seismo-ionospheric disturbances have been reported (e.g., Yan et al., 2018; Piersanti et al., 2020; Song et al., 2020; Zhang et al., 2020). A statistical study was carried out by Li et al. (2020) based on 1-year  $N_e$  data. Using  $N_e$  data of CSES during 10 non-contiguous months, De Santis et al. (2021) analyzed the statistical correlation between ionospheric anomalies and  $M_{5.5+}$  shallow earthquakes. However, different analysis methods, long time data, and more cases studies are also needed to detect the characteristics of seismo-ionospheric disturbances. In this work, according to the characteristics of CSES, a spatial analysis method for identifying the seismo-ionospheric disturbances was proposed. Then, taking Indonesia  $M_w 6.9$  earthquake that occurred on 5 August 2018 as an example, this method was verified using other methods and observations. Finally, a statistical study prior to  $M_w \geq 6.0$  global earthquakes was carried out to investigate the characteristics of seismo-ionospheric anomalies using the CSES  $N_e$  data from 1 May 2018 to 30 September 2020.

## 2 DATA

The CSES, with a circular orbit, is a Sun-synchronous satellite. The altitude of this satellite is 507 km, and its inclination is  $97.4^\circ$ . The ascending and descending nodes are 02:00 LT (local time) and 14:00 LT, respectively. The revisiting cycle of CSES is 5-day, so the global electromagnetic environment in the topside ionosphere can be obtained every 5 days. CSES is equipped with eight scientific payloads (Shen et al., 2018b), including a high-precision magnetometer (HPM), an electric field detector (EFD), a search coil magnetometer (SCM), a plasma analyzer package (PAP), a Langmuir probe (LAP), a high energetic particle package (HEPP), high energetic particle detector (HEPD), a GNSS occultation receiver (GOR), and a tri-band beacon (TBB).

In this work, the  $N_e$  data observed by a LAP payload were downloaded from the website <http://www.leos.ac.cn/>. The  $N_e$  range of LAP measurement is  $5 \times 10^2–1 \times 10^7 \text{ cm}^{-3}$ , with the relative accuracy of 10% (Liu et al., 2019). Two sensors are equipped on the LAP payload. Sensor 1 is a larger one with the diameter of 5 cm, and the diameter of sensor 2 is 1 cm, which is designed as a backup. All the data used in this study were derived from sensor 1. CSES includes two operation modes, survey and burst modes. When the satellite flies over China, the Circum-Pacific, and Eurasia seismic belts, the burst mode will



be automatically triggered with higher time resolution—1.5 s. In other places, the satellite works in the survey mode with a 3-s sampling rate.

### 3. METHOD: SPATIAL ANALYSIS

The time and location of satellite data are both changing along the flight orbit. Unlike the ionospheric observation by remote sensing on the ground, such as TEC or  $f_oF_2$  data, some well-known analytical methods (e.g., running mean method) cannot be applied to analyze the satellite data. Based on the characteristics of CSES data, a spatial analysis method was proposed in this study.

The local time of descending and ascending nodes for CSES is 14:00 (LT) and 02:00 (LT), which represent the daytime and nighttime observations. In a certain local time, the global  $N_e$  distribution can be considered as within the same spatial environment; thus, the satellite observations in each day were divided into two groups (daytime and nighttime data) to carry out the spatial analysis. Three steps were taken to extract  $N_e$  disturbances before earthquakes. First, the dataset was divided into  $2.5^\circ$  (latitude)  $\times 5^\circ$  (longitude) cells, selecting a geomagnetically quiet day as an example (the nighttime data on 29 July 2018 shown in **Figure 1A**). The median value of the data falling into each cell was calculated to represent the observational values in every day (**Figure 1B**). We used median value, instead of mean value, to exclude some eventual spikes from the data. Regarding the number of data to calculate the median value, the cell is  $2.5^\circ$  in latitude, which means a number of survey and burst mode data in each cell is about 13 and

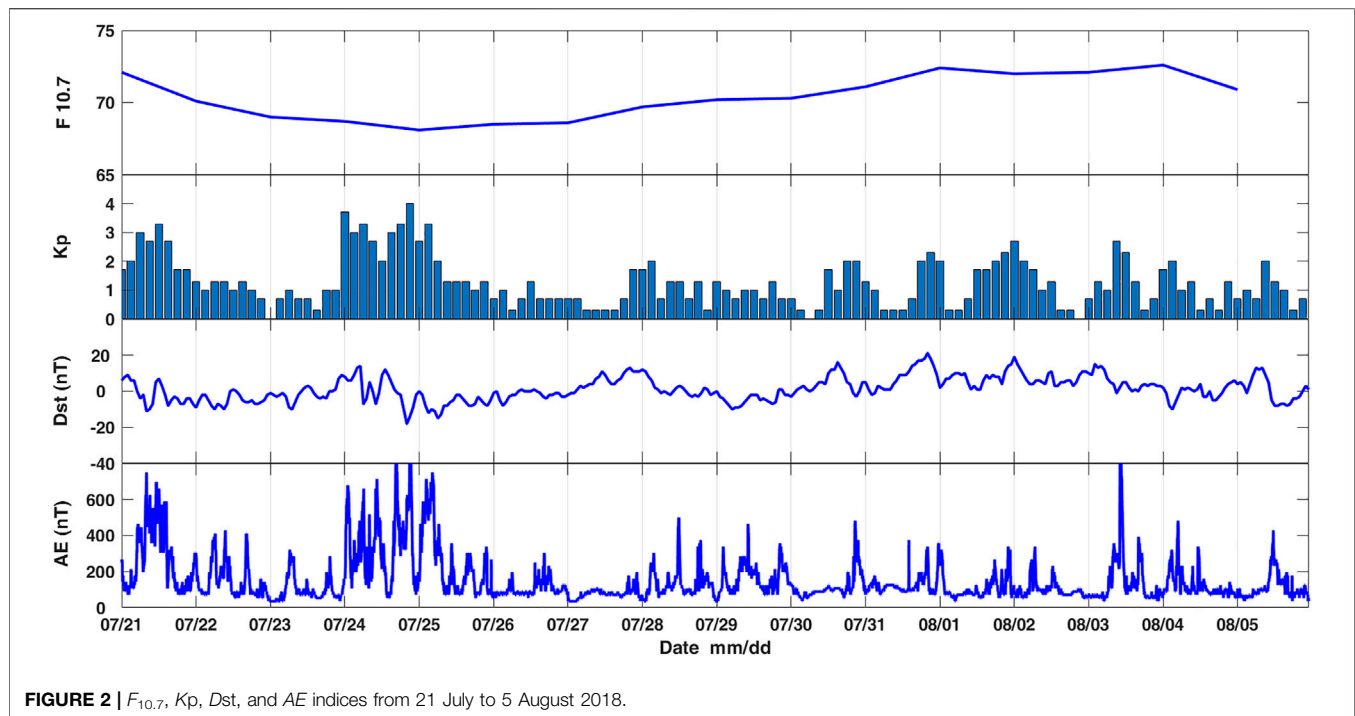
26, respectively. Second, the relative change ( $R_c$ ) was obtained using the following expression:

$$R_c = \frac{Od - Bd}{Bd} \times 100, \quad (1)$$

where  $Od$  is observation data calculated according to the first step, and  $Bd$  represents the background, which is the median value of 27-day data before  $Od$ . Due to the solar rotation, the ionospheric parameters, for example, topside  $N_e$ , also show variations with a 27-day period (Brace et al., 1987; Rich et al., 2003; Min et al., 2009). Therefore, a 27-day window was selected to obtain the ionospheric background. Finally, maximum or minimum values of  $R_c$  for 1 day were considered as disturbances potentially related to earthquakes if:

- far from high latitudes (excluding disturbances from the polar region);
- occurring in the geomagnetically quiet day ( $F_{10.7} < 160$  and  $K_p < 3$  and  $|Dst| < 30\text{nT}$ ); and
- just appearing near the epicenter, exhibiting the local characteristics.

The ionosphere is a complex system affected by many sources, such as the solar, geomagnetic storms, substorms, planetary waves, and tides. The disturbances caused by these aforementioned sources usually cover a large scale (Schunk and Sojka, 1996; Tsurutani, 2004; Liu et al., 2011), which means the extrema of  $N_e$  relative change may not only appear in the seismic region; thus, local anomalies just around the epicenters may have a potential relationship with earthquakes (Pulinets et al., 2021).



## 4 A CASE STUDY

At the southwest of Loloan, Indonesia, a Mw6.9 earthquake with the location of 8.258°S, 116.438°E occurred at 11:46:38 (UT, universal time) on 5 August 2018. Focused on this earthquake, some research studies have been made by analyzing CSES data. Zhang et al. (2020) found the signal-to-noise ratio (SNR) for VLF transmitters of NWC and JJI stations reduced around the epicenter within 10 days prior to the earthquake. Song et al. (2020) extracted the  $N_e$  disturbances in the daytime and nighttime on 31 July. Based on atmospheric temperature, GPS TEC, EFD, and LAP data of CSES, Piersanti et al. (2020) reported the existence of atmospheric gravity waves (AGWs) 6 h before the earthquake and the co-seismic phase. We also selected this earthquake as an example to verify the spatial analysis method.

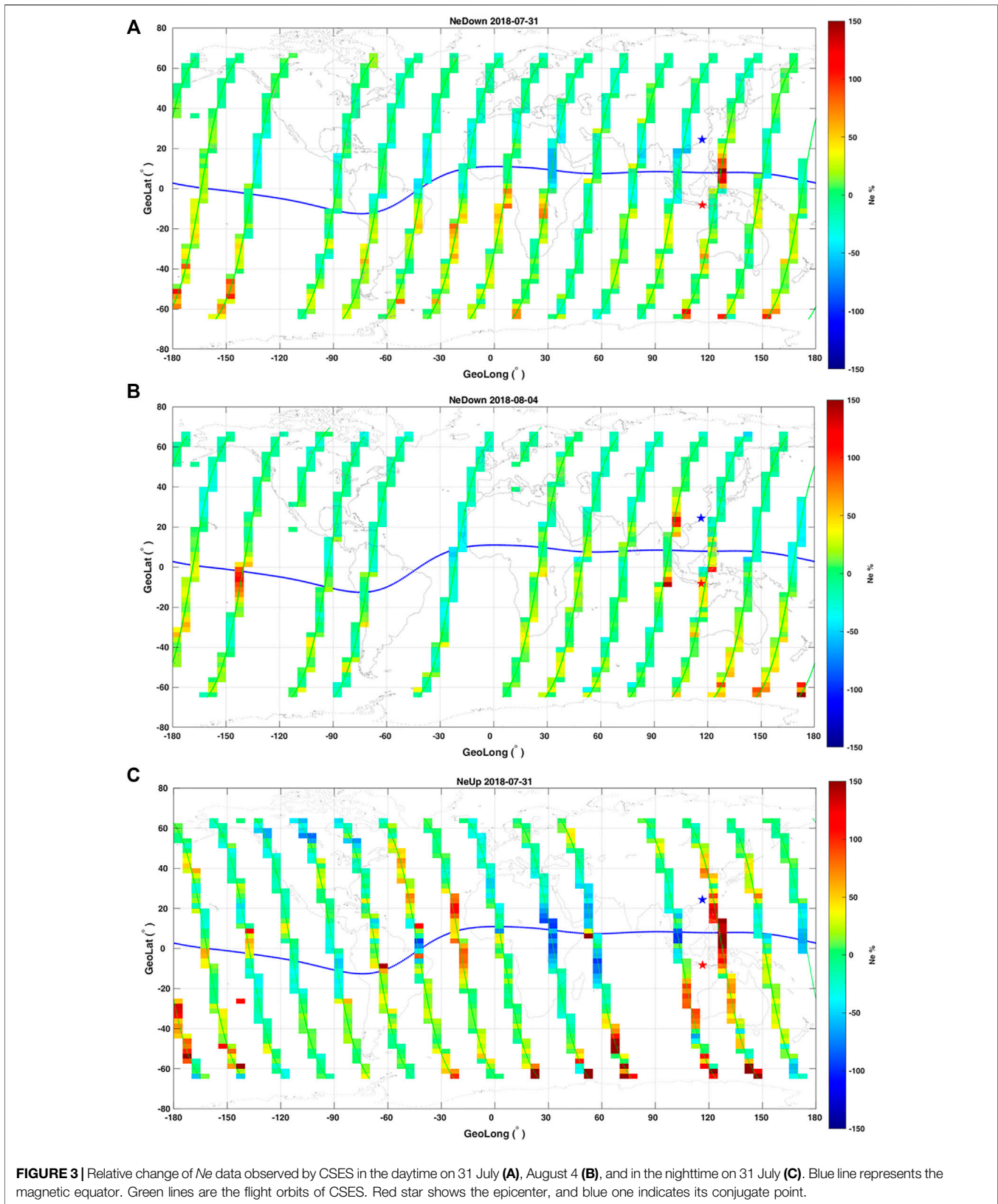
### 4.1 Spatial Analysis

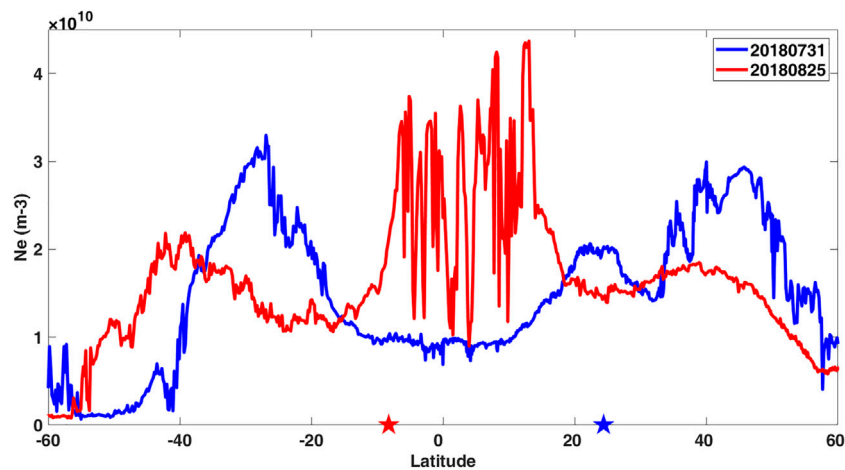
Before the analysis, the 10.7-cm solar radio flux ( $F_{10.7}$ ),  $K_p$ ,  $Dst$ , and  $AE$  indices were checked to analyze the active solar and geomagnetic environment, which is shown in **Figure 2**. The level of solar activity was low, and the geomagnetic environment was relatively quiet during this period, except for some magnetic perturbations on 21, 24, and 25 July for  $K_p$  more than 3 and  $AE$  more than 500 nT. Using the spatial analysis method, relative changes of  $N_e$  data observed by CSES in the daytime and nighttime were analyzed from 21 July to 5 August 2018, which includes 15 days before and the occurrence day of Indonesia Mw6.9 earthquake. During geomagnetically quiet days, there were 2 days for daytime data, in which the maximum of  $N_e$  relative change just occurred around the epicenter. On 31 July (**Figure 3A**), the maximum of relative  $N_e$  was found in the northeastern direction of the epicenter, while the value

decreased at the northwest. The enhancements at the west of the epicenter and its conjugate point were detected on 4 August (**Figure 3B**), and the maximum of  $N_e$  relative change reached up to 120%. Marchetti et al. (2020) analyzed the ionospheric data before Mw7.5 Indonesia earthquake (0.258°S, 119.845°E) occurred on 28 September 2018 and detected magnetic field anomalies in the Y-component by Swarm constellation on 31 July and CSES HPM payload on 4 August. Although they were different earthquakes, the locations of the two cases were not far. The magnetic field anomalies in the 2 days may have some relationships with disturbances of electron density detected by our study. The synchronous anomalies of magnetic field, electron field, and plasma parameters prior to earthquakes were also reported using the DEMETER satellite (Zhang et al., 2010; Zhang et al., 2011) and CSES (Piersanti et al., 2020) observation data.

Among these 16-day data in the nighttime, one disturbance was found using the spatial analysis method during geomagnetically quiet days. At the east of epicenter, the maximum of  $N_e$  relative change was located around the magnetic equator on 31 July, and the highest value was about 270% (**Figure 3C**). On the other side, the electron density decreased at the northwest of epicenter. In the nighttime, the equatorial plasma bubble (EPB) is another phenomenon of local disturbances. Based on the study of Kil and Heelis (1998), the EPB mainly occurs during 19:00 (LT)–21:00 (LT) and its occurrence decays after midnight. Furthermore, besides the large density depletion for EPB, the density in the depletion region is irregular (Hanson and Urquhart, 1994; Xiong et al., 2010). The local time of CSES ascending node is 02:00 LT, when the occurrence of EPB decays. An example of EPB for CSES (red line in **Figure 4**) was shown to compare with the disturbances in the nighttime on 31







**FIGURE 4** | Electron densities distribute along the latitude for two orbits in the nighttime. Blue line is on 31 July 2018. Red line is on 25 August 2018. Note that the  $N_e$  change around the magnetic equator for the orbit on 25 August 2018 is affected by EPB. Red and blue stars represent the epicenter of Indonesia Mw6.9 earthquake and its conjugate point, respectively.

July (blue line in **Figure 4**). The electron density slightly increased around the latitude of epicenter, and a peak emerged around the latitude of epicenter conjugate point on 31 July (above the blue star). The irregular shape like the EPB was not detected from the pattern of  $N_e$ . Therefore, the source of local anomaly in the nighttime on 31 July was different from that of EPB. The positive anomalies of  $N_e$  deviation during the daytime and nighttime on 31 July were also detected by Song et al. (2020), while the disturbance on 4 August was not reported by them. Although both of us paid attention to the  $N_e$  deviation, the different periods of background and different resampling resolution brought differences in detected anomalies.

## 4.2 Revisiting Data Analysis

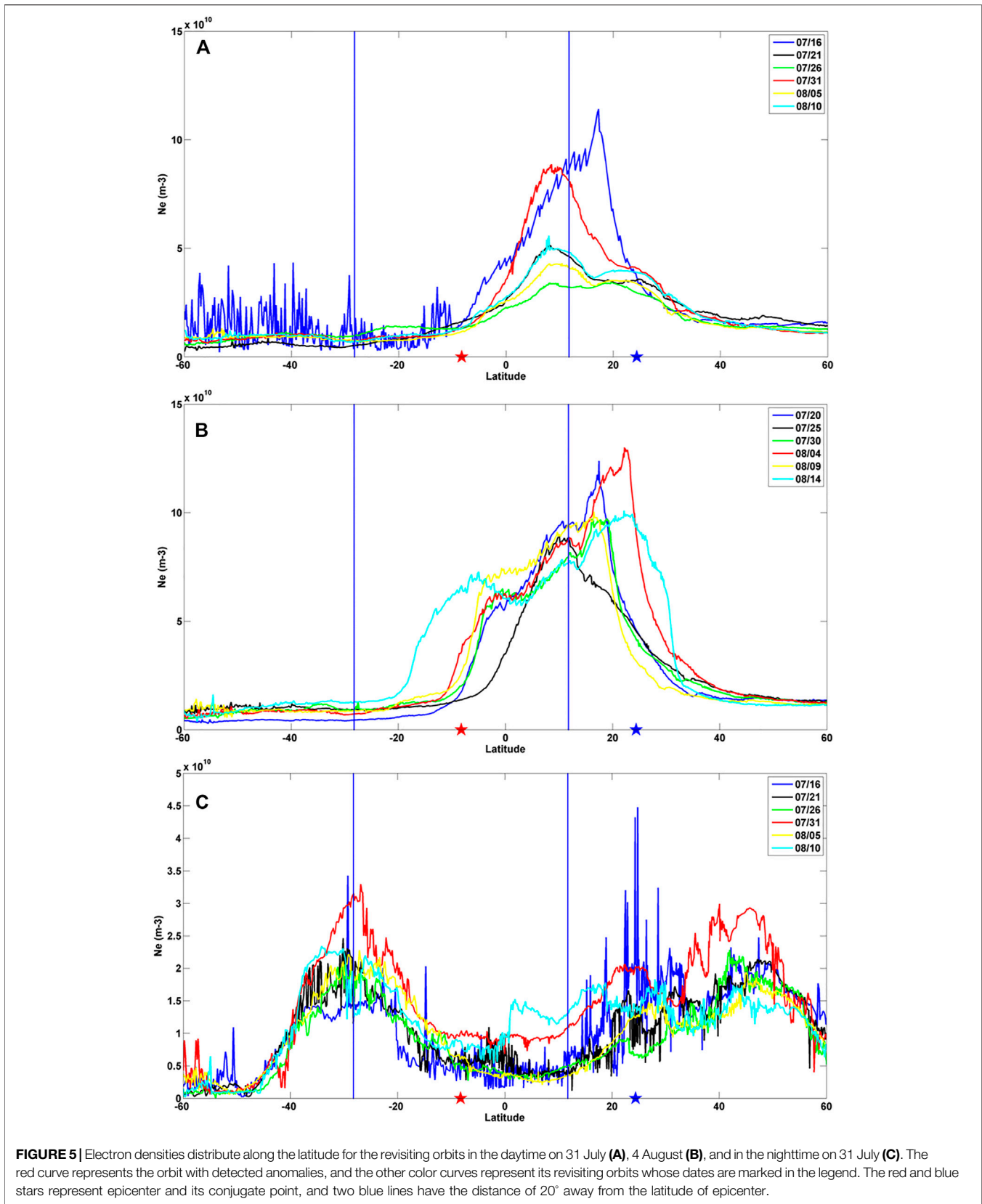
The CSES strictly revisits previous orbits, so observation data in the same place can be obtained for each 5 days. In order to confirm the anomalies extracted using the spatial analysis method, revisiting data analysis was carried out to check if the observation data of extracted anomalies have some differences in the value and pattern from those of other revisiting orbits over the same place. The data of three revisiting orbits before and two after the anomalies were selected, which means that the time range of analyzing data can cover almost 1 month.

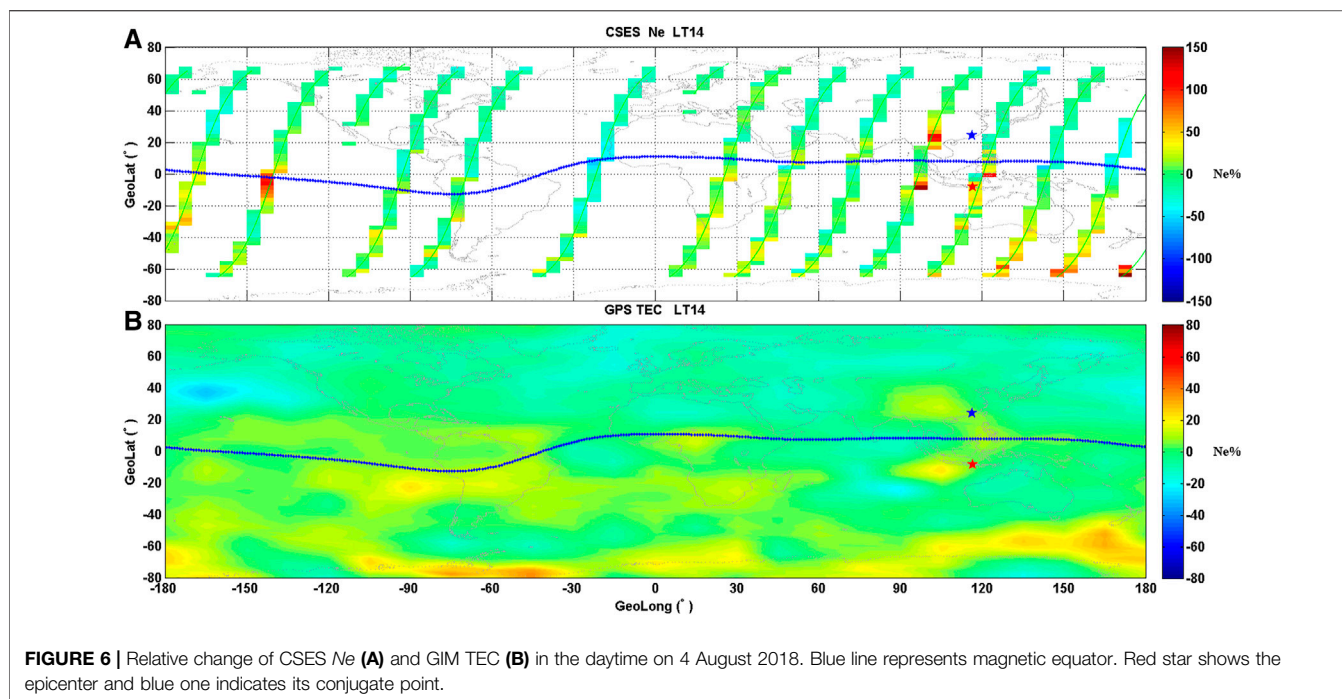
**Figures 5A,B** show the  $N_e$  distribution along the latitude in the daytime on 31 July, 4 August, and their revisiting dates which are marked in the legend. The red and blue stars represent the epicenter and its conjugate point, respectively, and the latitude of two blue lines has 20° distance from that of epicenter. The electron density in the northern direction of epicenter on 31 July exceeded the data of other revisiting orbits during 1 month (**Figure 5A**). The  $AE$  values on 16 July were higher than 500 nT. It was considered that in this day, the  $N_e$  enhancement around the magnetic equator and the ionospheric irregularities at the high and middle latitudes in the southern hemisphere may have a potential relationship with the substorm. On 4 August (**Figure 5B**), the  $N_e$  value near the epicenter was slightly higher than that of other orbits, except the

data on 14 August. The  $N_e$  pattern on 14 August exhibited the spreading of equator crests, which may be caused by an eastward electric field around the magnetic equator, just like the phenomenon of ionospheric fountain effect (Anderson, 1981; Walker et al., 1994). The obvious enhancement around the conjugate point was detected compared with the other revisiting data. As the season of August is local summer for the northern hemisphere, the content of electron density is higher in the northern hemisphere than that in the southern hemisphere. If there were some disturbance sources, the change of electron density may be stronger in the northern hemisphere for the relative high value of background data. However, the influence of seasonal variation can be reduced by subtracting the background for the spatial analysis method; thus, the enhancements of electron density were both obvious for the epicenter and its conjugate point on 4 August. The enhancement of  $N_e$  close to the equator on 9 August may be caused by day-to-day variation in the ionosphere, for a little high  $F_{10.7}$  index. **Figure 5C** shows the electron density change of revisiting orbits in the nighttime on 31 July. Except for the jumps on 16 July ( $AE$  more than 500 nT), the  $N_e$  data on 31 July were higher than those of other revisiting orbits above the epicenter and its conjugate point. Possibly affected by the influence of seasonal variation, the anomaly of conjugate point was more obvious than that of the epicenter. In a word, disturbances detected by the spatial analysis method also exhibited some differences from the revisiting data around the epicenter and its conjugate point, which means the spatial analysis method proposed by this study could be used to extract seismo-ionospheric anomalies.

## 4.3 Global Ionosphere Map Data Analysis

From the previous analyses, some seismo-ionospheric disturbances were detected by analyzing the CSES  $N_e$  data. Except for the same observation data studied by different methods, GIM TEC data were also applied to check the synchronous perturbations.





Global/local ionospheric maps using GNSS observation data can be traced back to 1992, when Wilson and Mannucci of Jet Propulsion Laboratory (JPL) first mapped the global ionosphere with 8th order spherical harmonics (Wilson et al., 1992; Mannucci et al., 1998). The GIM TEC of JPL is supported by about 150 stations of IGS (International GNSS Service) and other organizations, with the spatial resolution of  $2.5^\circ$  in latitude and  $5^\circ$  in longitude for each 2 h, which can be downloaded from the website <ftp://cddis.gsfc.nasa.gov/pub/gps/products/ionex>.

In order to compare the TEC data with the electron density of CSES, GIM TEC at UT was converted to that at LT. Relative changes of GIM TEC data in the whole world at 14:00 (LT) and 02:00 (LT) were obtained using the same spatial analysis method (described in Section 3). Checking relative changes of GIM TEC when  $Ne$  disturbances occurred, the GIM TEC synchronous anomalies with  $Ne$  data were found in the daytime on 4 August, shown in Figure 6. The enhancements of TEC data were detected at the west of epicenter and its conjugate region at 14:00 (LT) on 4 August (Figure 6B), which was almost the same as the result of CSES  $Ne$  (Figure 6A). All cells in the whole world have data for GIM TEC, while the  $Ne$  median data can be obtained just for cells that the CSES flies over. Possibly affected by this reason, the region of GIM TEC anomaly was larger than that of CSES.

## 5 A WORLDWIDE ANALYSIS: STATISTICAL STUDY

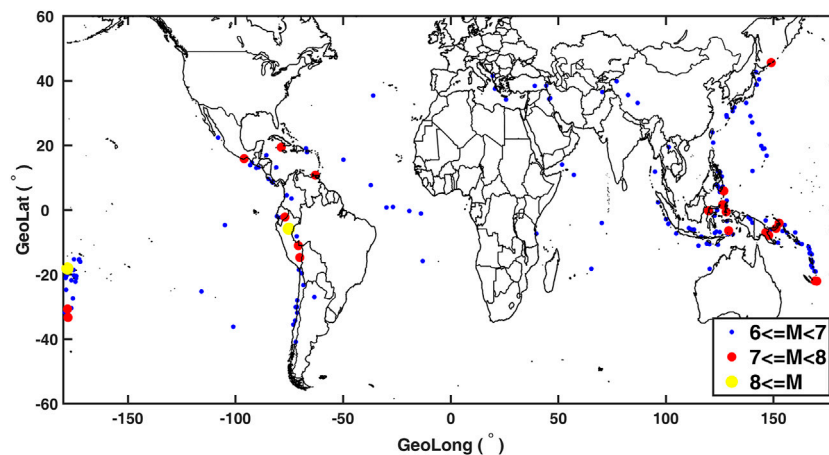
Through the same observation with different analysis methods and different data with the same analysis method, the case study showed that disturbances associated with earthquakes can be

detected by the spatial analysis. Therefore, the statistical study prior to strong earthquakes was carried out using the spatial analysis method, in order to find some characteristics of seismo-ionospheric disturbances.

For the 27-day background data that will be used to extract the disturbance, the time range of selected earthquakes in the statistical study is from 1 June 2018 to 30 September 2020. The list of global  $M_w \geq 6.0$  earthquakes that occurred during this period was downloaded from the website of the U.S. Geological Survey (USGS, <https://earthquake.usgs.gov/earthquakes/search/>), which is usually considered as strong earthquakes. The earthquakes with the geomagnetic latitude exceeding  $\pm 40^\circ$  were excluded to avoid the influence at the high latitude. To exclude the post-seismic effect, the earthquakes were omitted if they were within 15 days and  $\pm 10^\circ$  away from the previous one. At last, 206  $M_w \geq 6.0$  earthquakes were selected, and the locations of epicenters are shown in Figure 7. The summarized information of earthquakes is listed in Table 1, including the magnitude and location.

A dataset of  $Ne$  from 30 days before the selected earthquakes to the occurrence day was created, which includes the daytime and nighttime data during 31 days for each earthquake. According to the study of Kon et al. (2011), Hattori et al. (2013), and De Santis et al. (2021), the superposed epoch and space approach (SESA) analysis method is accepted in this statistical study. The processing procedure involves four steps. First, for each earthquake, the area within  $\pm 20^\circ$  away from the epicenter was selected as the study region, which means the cell data have 17 lines with the  $2.5^\circ$  latitude resolution and 9 columns with the  $5^\circ$  longitude resolution each day. Second, if the  $Ne$  relative changes in each cell were maximum or minimum compared to the values of the rest of cells out of  $\pm 20^\circ$  away from the epicenter, from which





**FIGURE 7** | Epicenters locations of global  $M_w \geq 6.0$  earthquakes from 1 June 2018 to 30 September 2020. Blue points represent the earthquakes with magnitude greater than 6 but less than 7. Red points represent the earthquakes with magnitude greater than 7 but less than 8. Yellow points represent the earthquakes with magnitude greater than 8.

**TABLE 1** | Summarized information of 206  $M_w \geq 6.0$  earthquakes.

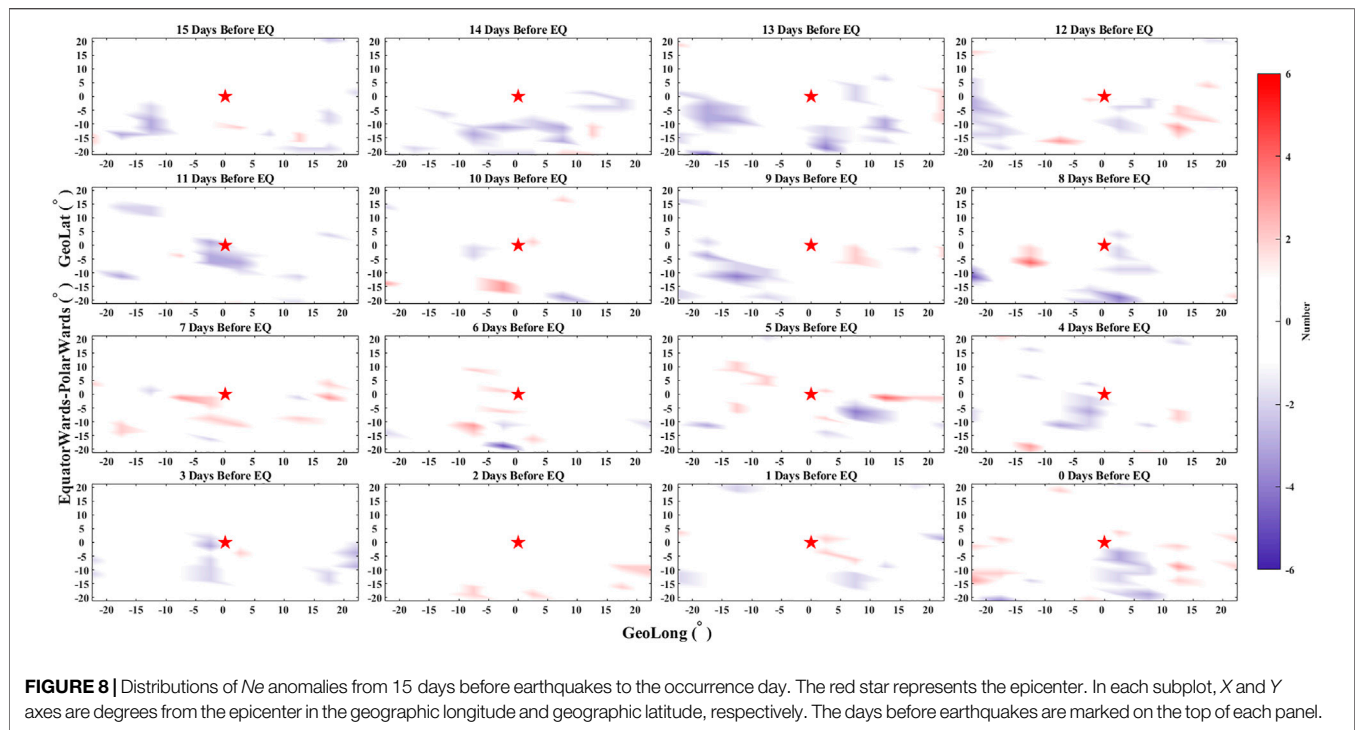
Magnitude (M)			Location			
$6 \leq M < 7$	$7 \leq M < 8$	$8 \leq M$	Geo-South	Geo-North	Mag-South	Mag-North
183	21	2	129	77	140	66

the disturbances with the latitude greater than  $40^\circ$  were also excluded, the observation data were considered as potential seismo-ionospheric anomalies and were automatically marked as “1” (positive anomaly) or “-1” (negative anomaly). The other data which were not the extrema were marked as “0.” We suppose that disturbances around the epicenter are significant after excluding the background and some known perturbation sources (e.g., solar activity and magnetic storm), same as the opinions of Liu et al. (2010) and Le et al. (2012). Therefore, if the  $N_e$  relative change is not the extremum around the epicenter (e.g., another higher value exists in another region), it will not be considered as a seismo-ionospheric anomaly in our study. Third, to exclude the disturbances induced by solar activity and magnetic storm, the anomalies that occurred in the day with an  $F_{10.7} \geq 160$  or  $K_p \geq 3$  or  $|Dst| \geq 30$  nt or  $AE \geq 500$  nT were omitted and were also marked as “0.” Finally, the markers (“-1,” “0,” and “-1”) for 206 earthquakes during the daytime and nighttime were superposed together to obtain the SESA result.

The distributions of disturbances were exhibited in equator-polar direction for earthquakes locating in the geomagnetically southern or northern hemispheres. The summed result from 15 days before earthquakes to the occurrence day is shown in **Figure 8**. Both the positive and negative anomalies in the equator direction of epicenters were more obvious than those in the poleward direction of epicenters. The depletion of electron density was obvious near the epicenter 11 days before earthquakes. Around the locations of earthquakes, the positive disturbances enhanced 7 days before earthquakes and negative disturbances emerged 4 days prior to earthquakes. Three days

before earthquakes, the electron density increased and decreased in the eastern and western directions, respectively. Near the occurrence of earthquakes, the anomalies around the epicenter were mainly positive in 1 day before earthquakes.

The distance between the center of each cell and epicenter was calculated to analyze the relationship of the distance from the epicenter, seismic magnitude, and occurrence time of the anomalies based on the statistical study. Meanwhile, similar to studies by Parrot (2012) and Ouyang et al. (2020), a random dataset with 206  $M_w \geq 6.0$  earthquakes was obtained to carry out comparative analysis with real earthquakes. De Santis et al. (2019) compared their real results between real earthquakes and random anomalies. Although there is a little difference between De Santis et al. (2019) and our study for random events, their purpose and ours are to verify the statistical significance of real earthquakes with pseudo disturbances which have no relationship with real earthquakes. Same as real earthquakes, the spatial analysis method, anomalies excluded in the days of magnetic disturbances, and SESA statistical method were also applied to analyze random earthquakes. Through the statistical analysis of real and random earthquakes, **Figures 9, 11, 12** gave out the results of seismo-ionospheric disturbances with three parameters, including the occurrence time of anomalies, seismic magnitude, and distance from the epicenter. The increase and depletion of electron density were not distinguished in these figures, and numbers of positive and negative anomalies were summed together to show the number of anomalies, which means “1” and “-1” were both counted as “1.” In order to avoid the difference brought by the sample size of distance and

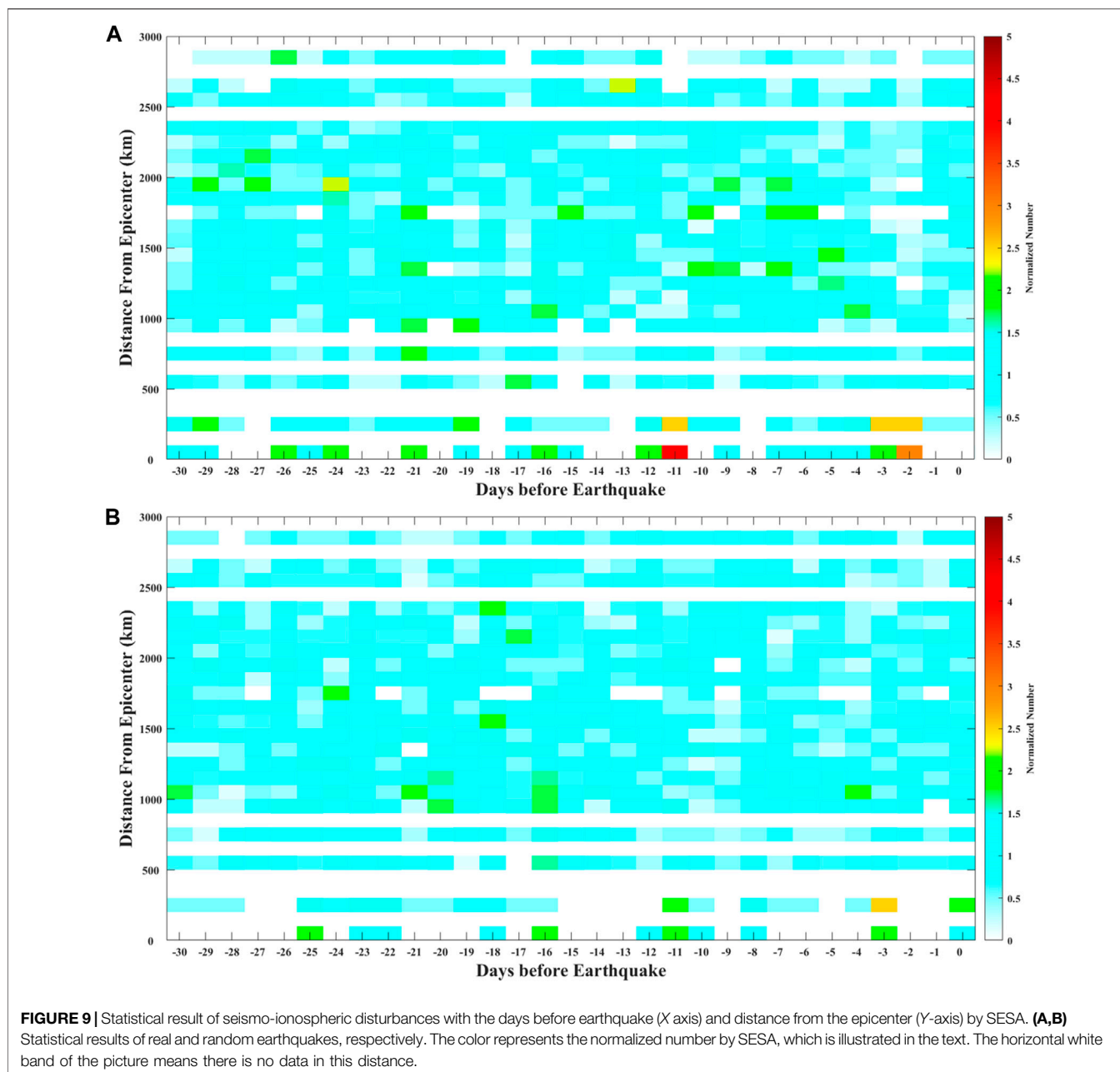


magnitude, the numbers of anomalies were normalized. **Figures 9A,B, 11A,B, 12A,B** represent statistical results of real and random earthquakes, respectively, and the colors mean normalized number by SESA.

A time–distance diagram is constructed in **Figure 9** with 1-day step for  $X$ -axis and 100-km step for  $Y$ -axis, where the origin (0, 0) was set as the location and the temporal occurrence of each earthquake. The normalized number is the ratio between the counted number of anomalies and the number of cells with the same distance. The horizontal white band of the picture means that there is no data in this distance. In panel (A), although there are some seismo-ionospheric disturbances in other distances and days, those anomalies within 300 km from the epicenter are more obvious. De Santis et al. (2021) found the anomalies of electron density were concentrating within 380 km from epicenters by analyzing CSES data before  $M \geq 5.5$  shallow earthquakes. In the time, the anomalies are more in 11, 3, and 2 days prior to earthquakes. While in the result of random earthquakes (**Figure 9B**), anomalies randomly distribute along with the days and distances and do not exhibit the potential relationship with the distance to epicenter and occurrence time of real earthquake. It is supposed that seismo-ionospheric anomalies cannot be detected for random earthquakes, which could be considered as the background to assess the significance of real earthquakes statistics (He et al., 2021). The numbers within 300 km from the epicenter were summed in each day for real and random earthquakes. The data of real earthquakes results were plotted along with the days before earthquakes, as shown in **Figure 10**. The mean value ( $M$ ) and standard deviation ( $std$ ) of random earthquakes results in all 31 days were calculated, and  $M \pm 2*std$  was selected as the upper and lower thresholds, which

possess a 95% confidence interval. The numbers of anomalies in 11, 3, and 2 days prior to earthquakes all exceeded the upper threshold. According to the study of De Santis et al. (2019), the parameter  $d$  was calculated, as illustrated in Supplementary **Supplementary Appendix S1**. The  $d$  value of statistical result within 300 km distance from the epicenter is 1.42, and that with the distance from 300 to 3,000 km is 0.93, which verifies the statistical significance of the former one.

To analyze the anomaly characteristics with the time and magnitude, the numbers of cells with  $\pm 20^\circ$  away from the epicenter were all counted in each day for the same magnitude, which is shown in **Figure 11**. The normalized number represents the ratio between the counted number in 1 day and the number of earthquakes with the same magnitude. The statistical result in **Figure 11A** is not comprehensive for the limited sample size of  $M_w \geq 8.0$  earthquakes, while it can still be seen that the stronger the magnitude is, the earlier the disturbance appears. Based on the analysis of ground magnetic observations for Japan earthquakes, Rikitake (1987) proposed the general empirical law between precursor time ( $T$ ) and magnitude ( $M$ ) with the equation  $\log T = a + bM$ , which also represents the linear relationship between the occurrence of anomalies and the magnitude of earthquakes, as confirmed by De Santis et al. (2019) for satellite data. In **Figure 11**, the occurrence time of disturbances prior to  $M_w \geq 8.0$  earthquakes can reach to 29 days. The maximum for  $M_w \geq 8.0$  earthquakes is earlier than that for  $7.5 \leq M_w < 8.0$  earthquakes. A similar phenomenon is also exhibited for  $7.5 \leq M_w < 8.0$  and  $7.0 \leq M_w < 7.5$  earthquakes. Furthermore, the number of anomalies for earthquakes with a magnitude greater than 7 is larger than that for earthquakes with a magnitude less than 7. While in the result of random

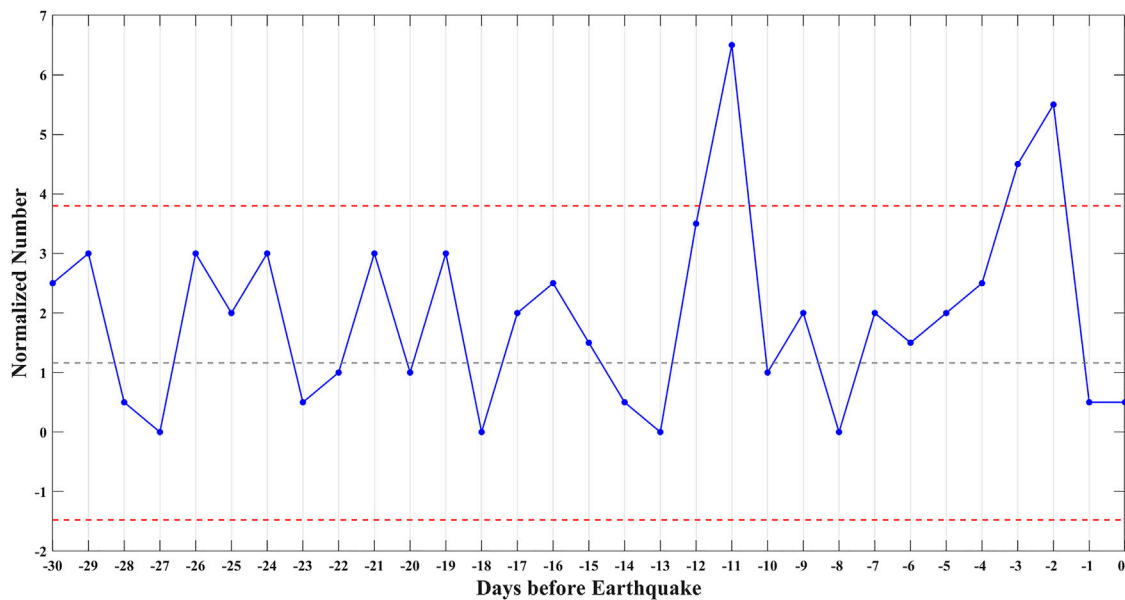


earthquakes (**Figure 11B**), normalized numbers are almost at the same level for all the days and magnitudes. The statistical characteristics of real earthquakes were not detected in the analysis of random earthquakes.

The numbers in all 31 days were summed together to analyze the anomaly characteristics with the magnitude and distance, which is shown in **Figure 12**. The normalized number represents the ratio between the counted number and the number of earthquakes with the same magnitude and the number of cells with the same distance. In **Figure 12A**, with the enhancement of seismic magnitude, the influence region of the seismo-ionospheric disturbances gradually enlarges. The maximum value can be found in 2,900–3,000 km distance from the

epicenter for earthquakes with the magnitude between 7.5 and 8. In the lithosphere, the preparation region of earthquakes can be estimated by the formula  $R = 10^{0.43M}$ , where  $R$  is the radius of the seismic effect zone and  $M$  is the seismic magnitude (Dobrovolsky et al., 1979). For the earthquake with a magnitude of 8.0, the  $R$  is 2,754 km, which is almost the same as the distance of statistical result. Unlike the statistical characteristics of real earthquakes, the relationship between the distance and earthquake magnitude is not obvious for random earthquakes, shown in **Figure 12B**.

The earthquakes were divided into shallow and deep ones according to the depth greater than 60 km or not. If the counted number of all cells in the 31-day dataset was larger than 1, the anomaly associated with the earthquake was considered as



**FIGURE 10** | Summed number of anomalies with 300 km distance from epicenter for real earthquakes. X-axis represents the days before earthquakes. Y-axis represents the total number of the data in first three lines in **Figure 9**. The gray dash line is the mean value ( $M$ ) of random earthquakes results in all 31 days. Red dash lines are the upper and lower thresholds with  $M \pm 2 \cdot std$ , where  $M$  and  $std$  are the mean value and standard deviation of random earthquakes results in all 31 days, respectively.

successfully detected. After the calculation, the probabilities of detected anomalies are 86.03% and 91.43% for shallow and deep earthquakes, respectively. For differences between the two probabilities are not significant, it is considered that the depth of earthquake is not the main factor for detecting anomalies. Whether the disturbance can propagate to the Earth's surface around the earthquake may mainly depend on the underground structure and material composition.

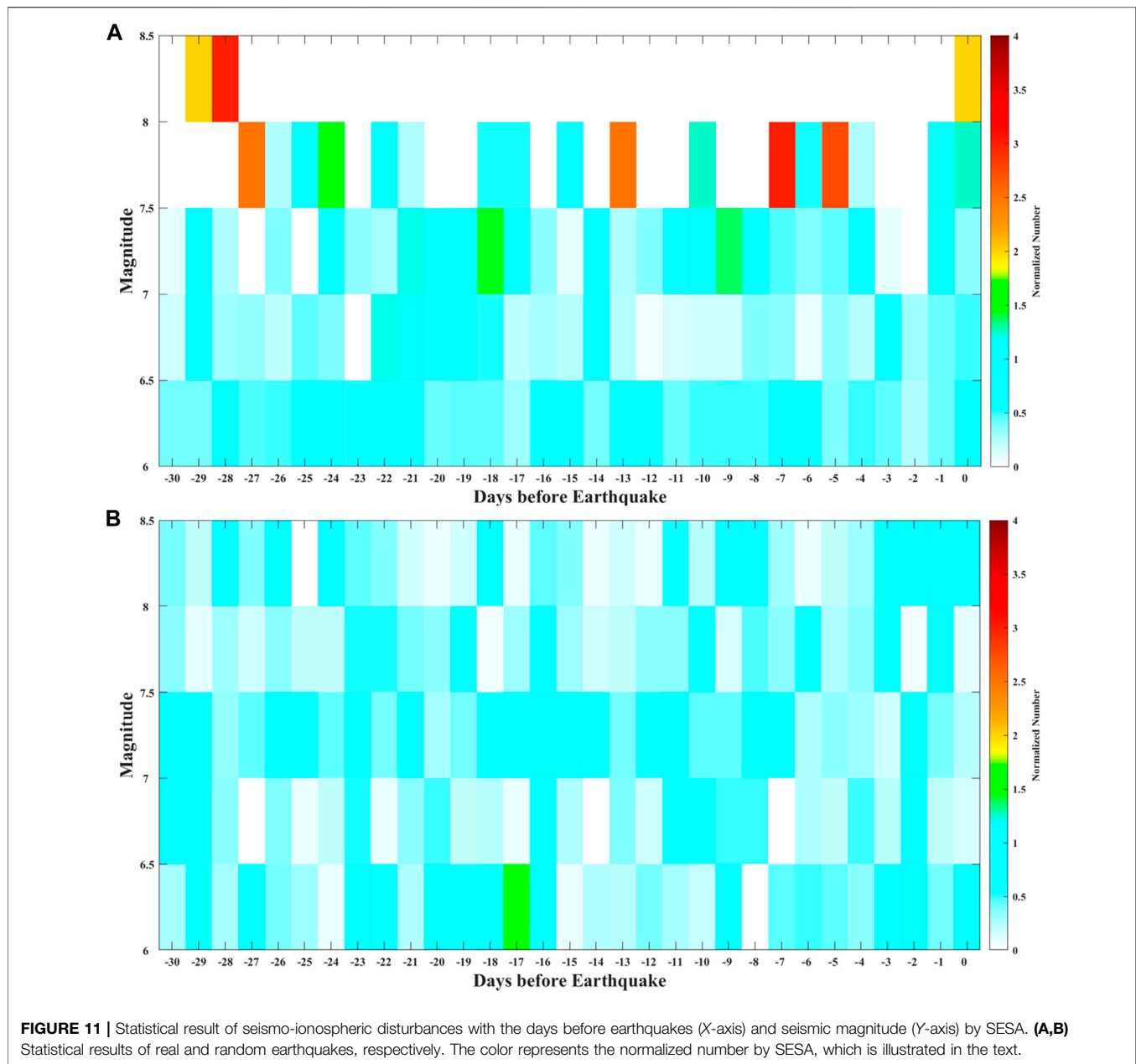
## 6 DISCUSSION

### 6.1 Location of Disturbances

From the previous analyses, not only the case study but also the statistical result shows that the seismo-ionospheric disturbances are more obvious in the equatorward direction for earthquakes located in the geomagnetically southern or northern hemispheres. Due to  $E \times B$  drifts induced by the penetration of the ground anomalous electric field into the ionosphere, researchers reported that the location of the seismo-ionospheric anomalies might shift equatorward (Pulinets and Boyarchuk, 2004; Kuo et al., 2011; Liu et al., 2014; Kuo et al., 2015). Taking Indonesia Mw6.9 earthquake as an example, a simulation study using electric field penetration and the thermosphere-ionosphere-electrodynamics general circulation model (TIEGCM, Richmond et al., 1992) was carried out, and the comparison between the output and observation was applied to further understand the seismo-ionospheric coupling mechanism.

According to Zhou et al.'s (2017) study, ionospheric electric potential equations can be obtained in spherical coordinates based on the external electric current theory. The simulation results indicate that a vertical current generated by an additional vertical electric field at the ground can flow into the ionosphere without divergence in the neutral atmosphere and consequently induce the abnormal electric field in the ionosphere. This model can be used to calculate the additional lithosphere-atmosphere-ionosphere coupling (LAIC) electric field penetration at different altitudes by taking into account the inhomogeneous conductivity. Not only the laboratory experiment but also the real observation, an upward electric field in atmosphere before earthquakes, was reported by scientists (Smirnov, 2008; Choudhury et al., 2013); thus, a vertical upward electric current was added around the epicenter (8.258°S, 116.438°E) on 31 July 2018 when the anomalies can be detected both in the daytime and nighttime. According to the observation, the magnitude of atmospheric vertical electric field before earthquakes almost reaches to the level of kV/m (Hao et al., 1998; Smirnov, 2008; Choudhury et al., 2013). The disturbed atmospheric conductivity before earthquakes was assumed as  $10^{-12}$ – $10^{-11}$  S/m near the ground in the model for Schumann resonance (Nickolaenko et al., 2006; Galuk et al., 2020; Hayakawa et al., 2020). From the calculation using the formula  $J = \sigma \cdot E$ , the magnitude of disturbed electric current near the Earth's surface is  $10^{-9}$ – $10^{-8}$  A/m<sup>2</sup>. Therefore, in this simulation, the maximum value of electric current was set as  $8 \times 10^{-9}$  A/m<sup>2</sup>. Pulinets and Boyarchuk (2004) pointed out that when the diameter of anomalous field on the ground exceeds 200 km, the vertical electric field can effectively penetrate from the ground into the ionosphere. To obtain obvious disturbance of electron density in the ionosphere,



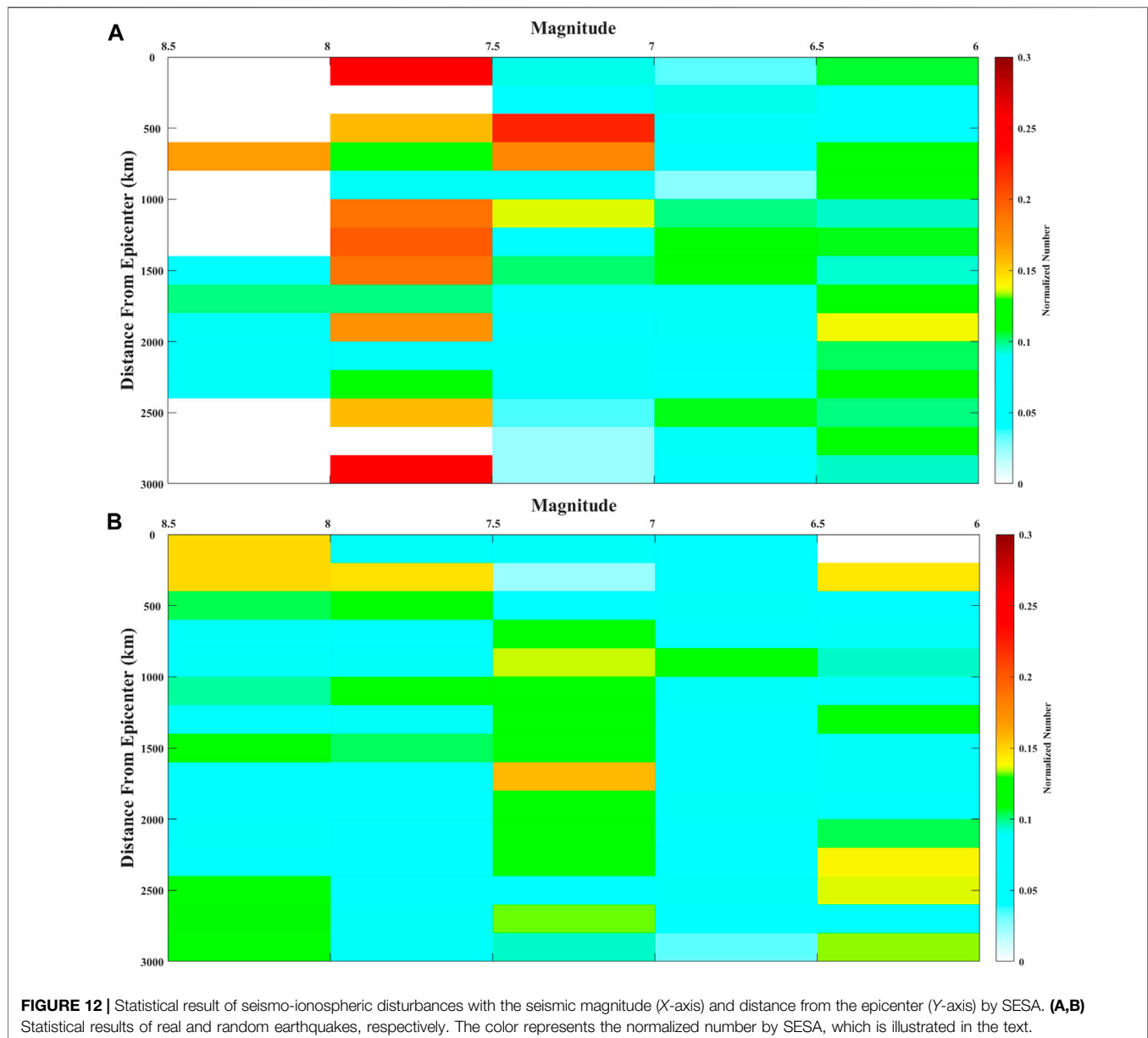


the radius of the current near the Earth's surface was assumed as 200 km with a Gaussian distribution, which is the same as the input of Zhou et al.'s (2017) study. Through the calculation using the detailed formulations given in **Supplementary Appendix S2**, the distribution of electric field at the bottom of ionosphere (~90 km) in a different direction is shown in **Figure 13**, which was induced by the additional electric current.

The National Center for Atmospheric Research (NCAR) TIEGCM is a time-dependent, three-dimensional model of the coupled thermosphere and ionosphere system. The model self-consistently solves the energy, momentum, and continuity equations of the neutral gas (Richmond et al., 1992). The horizontal resolution of the TIEGCM is 2.5° (latitude) × 2.5° (longitude), and the vertical resolution is a quarter

scale height from 97 to ~500 km (Wu et al., 2012). The code of TIEGCM is open, which is available at the High Altitude Observatory website (<http://www.hao.ucar.edu/modeling/tgcm/download.php>). The penetrated electric field calculated from the previous simulation (**Figure 13**) was applied to drive the TIEGCM, in order to check the  $N_e$  anomalies at the satellite altitude. To compare the modeling output with the observation data, the  $N_e$  relative change with and without the penetrated electric field was also obtained by **Eq. 1**, where  $Od$  represents the modeling  $N_e$  with the penetrated electric field and  $Bd$  is the simulation  $N_e$  without this electric field.

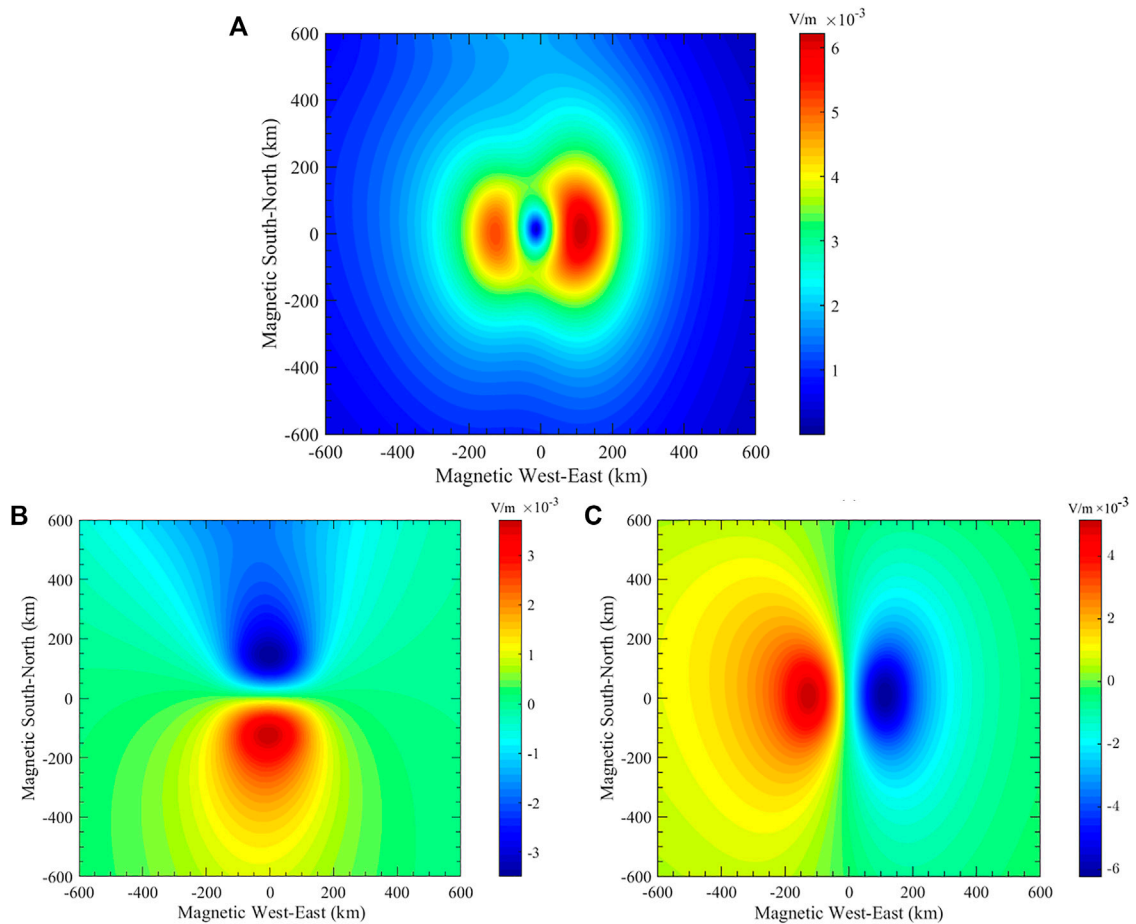
**Figure 14** shows the  $N_e$  relative change at 14:00 (LT) on 31 July 2018 at about 500 km altitude (with the same atmospheric pressure). Disturbed by the penetrating electric field, the electron



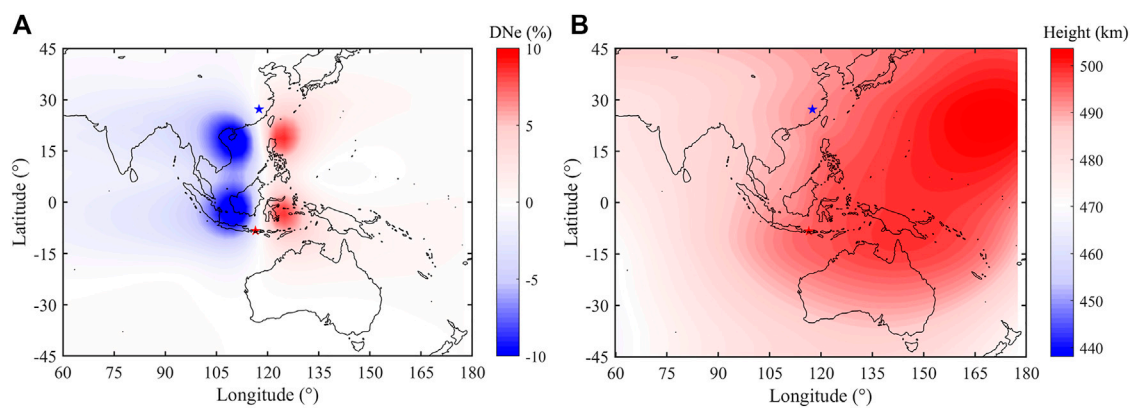
**FIGURE 12 |** Statistical result of seismo-ionospheric disturbances with the seismic magnitude (X-axis) and distance from the epicenter (Y-axis) by SESA. **(A,B)** Statistical results of real and random earthquakes, respectively. The color represents the normalized number by SESA, which is illustrated in the text.

density increases and decreases in the northeast and northwest directions of the epicenter, respectively. In the northern hemisphere, the location of the enhancement and depletion is symmetric with the epicenter region along the magnetic equator, which also situates in the equator direction of conjugate point. No matter in the daytime or nighttime (**Figures 3A,C**), the  $N_e$  disturbance on 31 July for Indonesia Mw6.9 earthquake was almost the same as the simulation output, with the patterns of increasing in the northeast and decreasing in the northwest of the earthquake locating in the geomagnetic southern hemisphere. The disturbed electric field in the ionosphere drives the electron density to move from one side to another side and makes the positive and negative anomalies exhibit the characteristics of right-left symmetry. Limited by the resolution of satellite, the

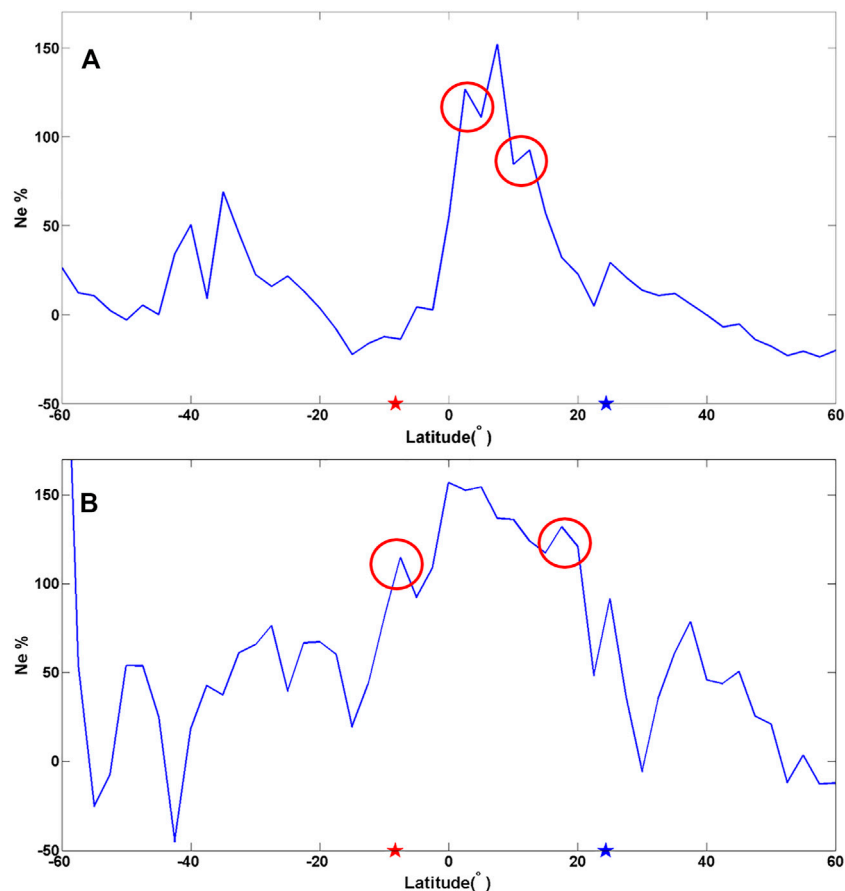
circular morphology of simulation was not detected by the observation. Both positive and negative anomalies of ionospheric electron densities before earthquakes have been reported in previous studies (Liu et al., 2000; Kon et al., 2011). According to the simulation, the enhancement and depletion of electron density situate on different sides of the epicenter. Same as our result, Zhao et al. (2010) also showed that TEC positive and negative anomalies occurred on the east and west sides of the epicenter on 9 May before the Wenchuan earthquake on 12 May 2008. In addition to that, the occurrence of positive or negative anomalies can also depend on the altitude and time of observations. For the same Wenchuan earthquake, Zhang et al. (2009) reported that the oxygen-ion density at 660 km altitude decreased around the epicenter on 9 May using the



**FIGURE 13** | Distribution of penetrating electric field at the bottom of ionosphere ( $-90$  km) by LAIC simulation. **(A)** Total horizontal electric field. **(B)** Horizontal electric field in the south–north direction. **(C)** Horizontal electric field in the west–east direction. In each panel, X and Y coordinate axes represent magnetic west–east and south–north direction, respectively.



**FIGURE 14** | Simulation result at 14:00 (LT) on 31 July 2018. **(A)** Distribution of Ne relative change with and without penetrating the electric field, and **(B)** altitude of Ne in **(A)**. Red and blue stars represent epicenter and its conjugate point, respectively.



**FIGURE 15** |  $Ne$  relative change along orbit in the daytime (**A**) and nighttime (**B**) on 31 July 2018. The red and blue stars represent the epicenter and its conjugate point, respectively.

DEMETER data, and Liu et al. (2009) found the negative anomalies of GIM TEC on 6 May.

In the simulation,  $Ne$  disturbance shows two peaks in the north and south of the magnetic equator.  $Ne$  relative changes in the daytime and nighttime on 31 July were plotted along the satellite orbits, as shown in **Figures 15A,B**. Like the simulation, there are also two peaks in the observation data, which is denoted by the red circle. Different from the simulation, no matter the daytime or nighttime, there is another strong peak around the magnetic equator. There may be two reasons that induce the differences between observations and simulations. First, limited by one satellite, the spatial resolution of CSES observation is lower than that of TIEGCM, indicating that there are no observation data in some regions. Second, in the topside of ionosphere, the ionospheric models have some differences from observation data (Bilitza et al., 2007; Lühr and Xiong, 2010; Lomidze et al., 2017).  $Ne$  usually exhibits a single peak around the magnetic equator in the topside of ionosphere (Zhang, 2014; Yan et al., 2020), while the ionospheric model (e.g., IRI model) shows two peaks in this region (Liu et al., 2021). The enhancement on August 4 was located in the west (**Figure 3B**), which was reversed with the simulation. The additional electric field was set in the upward direction in

this simulation, which means the negative anomaly of electrostatic field around the epicenter, while some researchers also reported the positive variation of electric field prior to earthquakes in real observations (Mikhailov et al., 2006; Kachakhidze et al., 2009; Jin et al., 2020). The different directions of additional electric field may make the enhancement and depletion of electron density appear in different directions of epicenters. Affected by magnetic lines of the Earth, the disturbed electric field makes the electron density fluctuate in the equator direction, which is shown in the modeling simulation. The disturbances detected by CSES prior to Indonesia Mw6.9 earthquake were shifting equatorward. Furthermore, the  $Ne$  anomalies obtained by statistical analysis (**Figure 8**) were also obvious in the equator direction as the simulation, and the phenomenon of one side enhancing and the other side reducing was found in 3 days before earthquakes. The location consistency of anomalies between observation and simulation proposes that the electric field pathway may be the main channel of LAIC.

## 6.2 Time of Disturbances

From the statistical analysis, the seismo-ionospheric disturbances within 300 km distance from the epicenter are relatively obvious



11, 3, and 2 days prior to  $M_w \geq 6.0$  earthquakes. De Santis et al. (2019) suggested that ionospheric  $N_e$  anomalies may occur from a few days to 80 days before earthquakes, which is related to the earthquake magnitude. Some researchers considered the advance time of precursors may depend on the regions of earthquakes. By analyzing the GPS TEC and  $f_oF_2$  data, Liu et al. (2004b), Liu et al. (2006) detected that the ionospheric anomalies appear within 5 days before strong earthquakes in the Taiwan area. In Greece, Davidenko and Pulnits (2019) found the majority of precursors appear 1 day before  $M \geq 6.0$  earthquakes by analyzing vertical sounding data and TEC data. Pulnits et al. (2021) detected the precursory phenomena of ionospheric parameters 5–7 days before two strong earthquakes occurred in California.

In this study, we found that the occurrence time of disturbances has a relationship with the seismic magnitude. The stronger magnitude is the earlier disturbance appears. In the statistical study of Le et al. (2011), the occurrence time of abnormal GPS TEC rates prior to  $M_w > 7.0$  earthquakes is earlier than that of other magnitudes. Based on case studies of earthquakes in China, Wang et al. (2018) reported that the duration of pre-earthquake phenomena have a positive relationship with the magnitude of future earthquake.

Another question is that why the anomalies are not continuous after their emergence. From the previous simulation, we consider the penetrating electric field is the main channel of LAIC. The anomalies of electric field near the ground have been found 3–16 days (Myachkin et al., 1972) and 2–40 days (Hao et al., 1998) prior to earthquakes, and these anomalies are not persistent till the occurrence of earthquakes. It is currently not clear why an anomaly of electric field occurs at a particular day or time before an earthquake. Furthermore, for the solar-synchronized orbit of CSES, the satellite can just support observations in two local times (ascending and descending nodes), whereas the ionospheric precursors strongly depend on the local time (Pulnits and Davidenko, 2018; Liu et al., 2000). Therefore, the observation data not in continuous time may reduce the detected probability of seismo-ionospheric disturbances. In addition to that, there may be other factors that the anomalies are not observed prior to earthquakes: the abnormal electric field on the ground does not penetrate into the ionosphere subject to the transition pathway; due to the limitation of the observation, including the time and location, the anomalies are not detected in the ionospheric topside. In a word, integration of ionospheric observation from both ground and space can support more comprehensive data to investigate disturbances prior to earthquakes.

## 7 CONCLUSION

Based on the characteristics of CSES, a spatial analysis method was applied to extract  $N_e$  disturbances prior to strong earthquakes. Focused on the Indonesia  $M_w 6.9$  earthquake, the anomalies were found in the daytime and nighttime on 31 July, and in the daytime on 4 August, the location of which almost shifted equatorward. Furthermore, these anomalies can also be detected by the revisiting orbit method using CSES  $N_e$  and GIM

TEC data with the same analyzing method, which verifies the spatial analysis method, is effective to extract the seismo-ionospheric disturbances.

Through the statistical study prior to 206  $M_w \geq 6.0$  global earthquakes using CSES  $N_e$  data from 1 May 2018 to 30 September 2020, some conclusions were obtained as follows: 1) Both the positive and negative anomalies in the equator direction are more obvious than those in the polar direction of earthquakes; 2) the anomalies within 300 km distance from the epicenter are more obvious than those at longer distances, and the number of anomalies in this distance exceeds 2\*std threshold of random case in 11, 3, and 2 days prior to  $M_w \geq 6.0$  earthquakes; 3) with the enhancement of seismic magnitude, the influence region of seismo-ionospheric disturbances gradually enlarges and the occurrence time of anomalies associated with earthquakes becomes early. In the comparative analysis of random earthquakes, these statistical characteristics were not detected. Through the modeling of electric field LAIC and TIEGCM, the simulation result supports the  $N_e$  anomalies induced by the disturbed electric field will shift equatorward and exhibit the characteristics of magnetic conjugate effect. Comparing the statistical result with the simulation output, the electric field pathway could be considered as the main channel of LAIC.

Although we identified some characteristics of ionospheric anomalies associated with strong earthquakes by analyzing the electron density observed by CSES, more studies are needed in the future, including more cases for improving the statistics and more observations analyses (such as Swarm dataset in the same period), in order to fully understand the physical mechanism of the seismo-ionospheric coupling.

## DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**; further inquiries can be directed to the corresponding author.

## AUTHOR CONTRIBUTIONS

JL analyzed the data and wrote the manuscript. XQ analyzed the data and wrote some programs. XZ proposed the topic and conceived the study. ZW and CZ completed the simulation work. YZ collected the data and did some analyses. All authors read and approved the final manuscript.

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$F_{10.7}$  index. The authors acknowledge the High Altitude Observatory for supporting the model of TIEGCM, which is available at the website (<http://www.hao.ucar.edu/modeling/tgcm/download.php>).

## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2022.811658/full#supplementary-material>

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