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Objective circulation classification of rainstorm days associated with Northeast China cold vortexes in the warm seasons of 2000–19

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This study conducts objective circulation classifications of rainstorm days associated with Northeast China Cold Vortexes (NECVs) in the northeast of China (NEC) during the warm seasons (May-September). To determine the optimal method and number of types, the performances of ten objective circulation classification methods are first evaluated by several evaluation indexes. Self-Organizing Maps method is then used as the optimal method to classify rainstorms into five types. The results show that the different synoptic circulation patterns are accompanied by distinctive large-scale circulation backgrounds, precipitation characteristics, thermodynamic and moisture conditions. In type 1, the strong western Pacific subtropical high extends north to connect with the mid-latitude ridge in the east of the NEC, and a shallow trough lies in the west of the NEC. This configuration brings the most daily and hourly mean precipitation of all types. A low-pressure anomaly with an obvious trough controls the NEC in type 2, which has a higher frequency. In type 3, the low-pressure anomaly shrinks to the south of the NEC, and the NEC is controlled by the cut-off low vortex. Type 4 has the strongest hourly precipitation and features a meridional high-low-high pressure anomaly, and the narrow zonal low-pressure anomaly is in the NEC. Two low-pressure anomalies and a westerly trough can be found in type 5 and are distributed in a southwest-northeast orientation. These synoptic circulation patterns and the corresponding spatial distribution of rainstorm-day precipitation indicate that the objective circulation classification is effective in helping understand the large-scale circulation and precipitation characteristics associated with NECVs.

KEYWORDS

objective circulation classification, rainstorm day, northeast cold vortexes, Northeastern China, self-organizing maps method

1 Introduction

The Northeast China Cold Vortex (NECV) is one of the major high-impact weather systems in the northeast of China (NEC) (Tao, 1980). NECVs are usually persistent and quasistationary and often cause severe convective weather, such as rainstorms and floods in the NEC (Zheng et al., 1992; Sun et al., 1994; Zhao and Sun, 2006). Given the significant impact of NECVs, numerous relevant studies have been carried out, including the identification and tracking of NECVs (Sun et al., 1994; Hu et al., 2010; Wang et al., 2012), climatical characteristics of NECVs (Zhang et al., 2008; Hu et al., 2010), mechanisms of occurrence and development of NECVs (Zhong, 2011; Fu and Sun, 2012), and the mesoscale features of NECVs (Chen et al., 2005; Zhong et al., 2013).

The rainstorm is caused by the interaction of multiple-scale atmospheric circulation systems (Tao, 1980). NECVs can provide favorable large-scale circulation conditions for the occurrence and development of rainstorms in the NEC. Thus, it is essential to classify the circulation of the rainstorms associated with NECVs, which can help understand the largescale circulation background and the formation mechanism of the rainstorm, improving rainstorm forecasting. Huth et al. (2008) characterized circulation classification methods into three basic types: subjective (also called manual), mixed (hybrid), and objective (computer-assisted, automated). With the assistance of computers and objective classification technology, the objective method has been developed based on statistical theory, providing classification for large samples using consistent standards. It compensates for some limitations of the subjective and mixed classification methods, such as subjectivity, non-repeatability, and extremely high time consumption. As a result, objective classification methods have been widely applied in synoptic and climatological studies (Schmutz and Wanner, 1998; Liu et al., 2015; Cahynova and Huth, 2016; Zhang et al., 2018; Zhao et al., 2019; Wang et al., 2021).

Some studies have been conducted to classify NECV systems. Based on the generation locations of NECVs, Sun et al. (1994) grouped NECVs into "south vortex, middle vortex, and north vortex." Xie and Bueh (2015) used a rotating EOF analysis method by ridges (or blocks) to classify the NECVs into four types. Fang et al. (2021) divided NECV activity paths into four types by applying machine learning methods. The aforementioned classification studies focused on NECVs but seldom considered the relationship between NECVs and rainstorms. More attention should be focused on the NECVs that cause rainstorms. It is of great significance to classify rainstorms associated with NECVs by objective classification methods. In addition, previous studies have pointed out that there is no universal classification method available for all regions and climate variables. Classification performance significantly varies due to many factors, such as regions, periods, and variables



Spatial distribution of AWSs in the NEC, superimposed with topographic height (units: m). The black dots denote the AWSs that pass quality control, and the red dots denote the AWSs that fail quality control.

(Beck and Philipp, 2010; Casado and Pastor, 2016). To further study the relationship between synoptic circulation and rainstorms associated with NECVs, it is necessary to assess the ability of different classification methods.

Based on previous research, we focus on the classification of large-scale circulation during the rainstorms associated with NECVs in the warm seasons (May-September) from 2000 to 2019. First, multiple objective classification methods and the number of types are evaluated. The method and the number of types with the best performance are selected and employed in the following research. In addition, the anomaly has been reported as a better indicator for rainstorms compared with observation (Qian et al., 2012). Therefore, the 500 hPa geopotential height anomaly is selected as the objective classification variable in this study. Section 2 briefly introduces the data, objective circulation methods, and evaluation measures. The results of the objective circulation method, the precipitation characteristics, and the water vapor conditions of rainstorms associated with NECVs are presented in Section 3. Conclusion and relevant discussions are given in Section 4.

2 Data and evaluation of objective circulation methods

2.1 Data

The NECV dataset during 2000–2019 is from Huang and Cui (2022).

Group	Classification name	Abbreviation	Key Reference
PCA	T-mode PCA obliquely rotated	РСТ	Huth (1993)
	T-mode PCA orthogonally rotated	PTT	Philipp (2009)
LDR	Lund-method	LND	Lund (1963)
	Kirchhofer-method	KIR	Kirchhofer (1974)
HCL	Hierarchical cluster analysis	HCL	Murtagh (1985)
OPT	k-means with dissimilar seeds	СКМ	Enke and Spekat (1997)
	Partitioning around medoids	KMD	Kaufman and Rousseeuw (1990)
	Self-organizing maps	SOM	Michaelides et al. (2007)
RAN	Random	RAN	Philipp et al. (2014)
	Randomcent	RAC	Philipp et al. (2014)

TABLE 1 Classification methods compared in this study.

The precipitation data are derived from the hourly precipitation dataset of national surface automatic weather stations (AWSs) in the warm seasons (May-September) from 2000 to 2019 provided by the China Meteorological Administration (CMA). Strict quality controls, including climatological extremes, internal consistency, and temporal consistency, have been performed for the dataset (Ren et al., 2015). To avoid the impact of missing values, the AWS will be excluded if the rate of missing values exceeds 5%. Figure 1 shows the spatial distribution of 220 trusted national AWSs (black dots) in the NEC after the quality control of time continuity. Considering that there are only four trusted national AWSs in the HulunBuir region and all of them are distributed in the lower altitude region in the east of HulunBuir, they cannot represent the characteristics of precipitation of the whole region. Thus, all AWSs in HulunBuir are removed, and 216 AWSs in the NEC are finally selected for the following analysis.

A rainstorm day is defined as a day in which the accumulated precipitation is greater than or equal to 50 mm from 0800 local standard time (LST, LST = UTC + 8 h) to 0800 LST of the next day for an AWS. A rainstorm day associated with NECVs is defined as a rainstorm day occurring during the influence period of NECVs. A total of 306 rainstorm days associated with NECVs are identified during the warm seasons of 2000–2019 for the study of objective circulation classification.

NCEP/NCAR reanalysis data (Kalnay et al., 1996) with a horizontal resolution of $2.5^{\circ} \times 2.5^{\circ}$ from 1991 to 2020 are used for the objective classifications and subsequent synthetic analysis. The variables include geopotential height, wind, relative humidity, and specific humidity.

2.2 The evaluation of objective circulation classification methods

Cost733class (Philipp et al., 2014) is a widely used classification software. There are five groups of objective

classification methods in the Cost733class, including classifications based on principal component analysis (PCA), classifications using the leader algorithm (LDR), hierarchical cluster analysis (HCL), optimization algorithms (OPT), and random classifications (RAN). In this study, ten objective circulation classification methods are utilized and evaluated (Table 1).

PCA (or empirical orthogonal functions) aims to select principal components (PCs) by decomposition and then assign each case to a PC according to some rule. Both PCT (Huth, 1993) and PTT (Philipp, 2009) are in T-mode, but PCT uses oblique rotation while PTT uses orthogonal rotation.

Methods based on LDR can also be called "correlation-based" methods. The main idea is to find leader patterns by considering the number of elements that are similar to the potential leader pattern exceeding a certain threshold. In LND (Lund, 1963), at first, set Pearson correlation coefficient (r > 0.7) to determine leader patterns, and then assign each case to the type of the most similar leader. KIR (Kirchhofer, 1974) is similar to LND but takes similarity in all parts of the map into account. And the threshold in KIR is usually 0.4.

HCL (Murtagh, 1985) is also a widespread classification method that first splits up all cases into two types according to some criterion. On a second hierarchy level, it splits up one of these types again into two types, and so on.

OPT works non-hierarchically and can be considered a standardized method. The aim of OPT is to minimize withintype variability and achieve optimal partitioning. CKM (Enke and Spekat, 1997) modifies the minimum distance to produce the seeds for k-means clustering. KMD (Kaufman and Rousseeuw, 1990) is similar to k-means, but it employs given data points as centers of the clusters. SOM (Michaelides et al., 2007) arranges types defined by their features (grid point values) in a structure where similar types are adjacent to each other (a map).

RAN (Philipp et al., 2014) randomly selects any arbitrary number as type number for each case and chooses the best result according to the explained cluster variance after 1,000 iterations.



RAC (Philipp et al., 2014) is similar to RAN, except for any arbitrary case used as a centroid for each type. All the other cases are then assigned to these centroids by the minimum Euclidean distance.

In this study, the 500 hPa geopotential height anomaly at 08 LST is used to classify the circulation background of rainstorm days associated with NECVs in the warm seasons from 2000 to 2019. The region is set as 90–150°E, 20–70°N. The geopotential height anomaly is calculated by the 500 hPa geopotential height minus the monthly mean of 30 years (1991–2020).

Generally, a better objective classification method should achieve smaller within-type differences and bigger between-type differences. The following evaluation indicators are used in this study to obtain the optimal objective classification method and the corresponding number of types.

The first selected evaluation index is the explained variation (EV), which can be used to quantify the proportion of variance of the dependent variable as well as to represent within-type differences (Buishand and Brandsma, 1997; Beck and Philipp, 2010; Casado et al., 2010). EV can be calculated as follows:

$$EV = 1 - \frac{WSS}{TSS} \tag{1}$$

$$WSS = \sum_{j=1}^{k} \sum_{i=1}^{n} \left(y_{ji} - \bar{y}_{j} \right)^{2}$$
(2)

$$TSS = \sum_{i=1}^{n} (y_i - y)^2$$
(3)

WSS and TSS represent the within-type sum of squares and the total sum of squares, thereby taking into account the number of all elements (*n*) and types (*k*), respectively. \bar{y}_j denotes the mean value for elements of each type $(1, \ldots, k)$ and *y* is the mean value for all elements. EV ranges from 0 to 1, with a value closer to 1 indicating better quality of classifications. The second evaluation index is the pseudo-F statistic (PF) (Calinski and Harabasz, 1974; Broderick and Fealy, 2015), which is the ratio of the between-type sum of squares (BSS) to WSS, and its formula is:

$$PF = \frac{BSS/(k-1)}{WSS/(n-k)}$$
(4)

$$BSS = \sum_{j=1}^{k} (\bar{y}_j - y)^2$$
 (5)

The larger PF implies bigger between-type differences and better quality of the classification.

Figure 2 shows the evaluation results of different objective classification methods using EV and PF, respectively. Except for KIR and RAN, other classification methods exhibit better ability for classification. Among all the classification methods, SOM performs best in both EV and PF. However, when the number of types is increasing, EV of all the classification methods shows a tendency to increase. It indicates that the within-type differences decrease, and the proficiency of classification improves at the same time. The result of PF shows opposition compared with EV. Based on the evaluation results of EV and PF, SOM can be decided as the optimal classification method.

For the optimal classification number of types based on SOM, a new evaluation index (EVPF) is created by considering both EV and PF. First, to eliminate the dimensional influence between EV and PF, min-max normalization is performed on these two indices:

$$X' = \frac{X - X_{min}}{X_{max} - X_{min}} \tag{6}$$

In which X' represents the normalized result of EV or PF when X represents EV or PF, X_{min} and X_{max} are the minimum and maximum values of EV or PF, respectively.



The new evaluation index can be expressed as:

$$EVPF = \sqrt{EV' \times PF'} \tag{7}$$

EVPF ranges from 0 to 1. A larger EVPF value indicates better classification quality.

With min-max normalization, EVPF equals 0 when the number of types is 2 or 15. Therefore, Figure 3 presents the evaluation results of EVPF for the optimal classification method (SOM), with the number of types between 3 and 14. It can be found that SOM shows a better classification performance when the number of types is between three and six. The highest value of EVPF is 0.467 when the number of types is five. If the number of types is larger than five, the quality of classification grows worse.

Based on the above results, SOM is finally determined as the optimal objective circulation classification method to classify the rainstorm days associated with NECVs in the



FIGURE 4

Geopotential height (solid blue lines, units: gpm), geopotential height anomaly (shaded, units: gpm) at 500 hPa, and wind at 850 hPa (arrow, units: m/s) under five types of synoptic circulation patterns (A-E) obtained by SOM. The percentages for each circulation type are shown on the top right. Composite fields for the rainstrom days associated with NECVs of each type are shown in FIGUREs (similarly to Figures 8, 9). The purple dots represent the daily mean locations of the NECVs, and the green map denotes the NEC.

warm seasons from 2000 to 2019, and the optimal classification number is five.

3 Results

3.1 Circulation patterns of rainstorm days associated with Northeast China cold vortexes

Figure 4 shows the five types of rainstorm days associated with NECVs in the NEC over the warm seasons from 2000 to 2019 based on the 500 hPa geopotential height anomaly at 08 LST by SOM.

Type 1 accounts for about 17% (Figure 4A). In this type, the western Pacific subtropical high (WPSH) extends west to the east coast of Taiwan Island and north to the southwest coast of Japan, which is connected to the mid-latitude ridge in the east of the NEC. In addition, the centers of the high-pressure anomalies are located in the eastern part of the NEC and East Siberia. In the northwest of Lake Baikal, there is a low-pressure anomaly, and a mid-latitude westerly trough is in the west of the NEC. The mean intensity of NECVs is the weakest (5,638 gpm) and the mean size is also the smallest (766 km) of all types.

Type 2 accounts for about 25.2%, which is the majority (Figure 4B). Except for the region where the WPSH is occupied, the other region of this type is controlled by the low-pressure anomaly, and the two centers of the low-pressure anomalies at 500 hPa are located in the NEC and its north. There is an obvious trough in the NEC. Compared with type 1 (Figure 4A), the WPSH retreats southeasterly. NECVs of this type have the strongest mean intensity (5,575 gpm) and their mean size (856 km) is larger than that of type 1.

The proportion of type 3 is the least of all five types, at roughly 13.4% (Figure 4C). In this type, the region north of 45°N is controlled by a strong high-pressure anomaly. The low-pressure anomaly shrinks to the south of the NEC, and the NEC is controlled by the cut-off low vortex. Compared with the above two types, the WPSH retreats further to the southeast. The mean intensity of NECVs (5,635 gpm) is similar to that of type 1, while the mean size (1,014 km) is the largest.

Type 4 accounts for nearly 19.6% of the total (Figure 4D). The high-pressure and low-pressure anomalies are distributed alternately from north to south. The center of the low-pressure anomaly is located in the west of the NEC. The WPSH at 500 hPa is the most westward of these five types, reaching the Taiwan Strait. The mean intensity of NECVs (5,587 gpm) is a little weaker than that of type 2, with the mean size (889 km) larger than both type 1 and 2.

Type 5 accounts for about 24.8% (Figure 4E). The two lowpressure anomalies are distributed southwest to northeast, with their centers located in the west of the NEC and East Siberia, respectively. A northeast-southwest trough line can be found in the westerly trough, and the WPSH at 500 hPa is similar to that of



type 2. The mean intensity of NECVs ranks third (5,601 gpm) and the mean size (892 km) is similar to that of type 4.

The wind at 850 hPa of each type corresponding to NECV systems presents cyclonic vortexes except for type 1, where there is a deep low trough. However, the morphology, size, and location of the cyclonic vortexes of each type at 850 hPa are slightly different (Figure 4).

It is observed in Figure 4 that the large-scale circulation backgrounds of rainstorm days associated with NECVs under different types present distinct discrepancies. Different large-scale circulation backgrounds can lead to differences in water vapor transport and convergence, as well as different precipitation characteristics of rainstorms associated with NECVs.

3.2 Precipitation characteristics in different circulation patterns

Rainstorm days associated with NECVs frequently occur from June to August, especially in July (132 times), accounting for about 43% of the total (not shown). Figure 5 further presents the semi-monthly variation of the frequency of rainstorm days associated with NECVs under different synoptic circulation patterns in the warm seasons during 2000–2019. Rainstorm days associated with NECVs in type 1 mainly occur in the second half of July and the second half of June. The frequency of rainstorm days associated with NECVs in type 2 peaks in the first half of July at 28, followed by the second half of July (14 times), while the frequencies in other periods of the warm seasons are all less than 10. Type 3 is mostly in the second half of July to the first half of September, while type 4 predominantly appears from June to August, especially in the first half of July and August. Rainstorm days associated



with NECVs in type 5 are more frequent in the second half of July, which ranks second only to type 2 in the first half of July.

In different synoptic circulation patterns, the daily precipitation characteristics of rainstorms associated with the NECVs in the warm seasons differ dramatically (Figure 6). Under the control of NECVs, rainstorms occur in the NEC with strong local characteristics (Bai and Jin, 1993), which can also be found in Figure 6C. For type 1 and 5, the mean number of AWSs reaching the rainstorm level is about five in a rainstorm day, which is more than that of other types. The mean daily precipitation of rainstorm days associated with NECVs in type 1 is the largest among all five types, reaching 76 mm. The extreme value exceeds 280 mm/24 h and hits the level of an extremely heavy rainstorm, while the extremes of daily precipitation of other types are all less than 200 mm. Meanwhile, the mean hourly maximum of this type is more than 25 mm/h, which is stronger than the others. However, the hourly extreme of rainfall on rainstorm days associated with NECVs appears in type 4, reaching about 130 mm/h. Note that in type 1, the highpressure anomaly hinders the upstream NECV from moving to the east (Figure 4A). This configuration is favorable for water vapor transport to the NEC and results in more daily precipitation and large-scale rainstorms (Figures 6A,C). A similar configuration of the high-pressure anomaly block can also be found in type 5 (Figures 4E, 6C). Moreover, type 4 frequently occurs in the first half of July and the first half of August (Figure 5), when the WPSH is active and extends most westward among all types. The NEC of this type is in the narrow zonal low-pressure anomaly with a little stronger NECVs (Figure 4D). It is favorable to the eastward movement of the low-value systems in the upstream area of the NEC, further leading to extreme hourly precipitation (Figure 6D). In addition, the maximum hourly precipitation in type 2 can reach 100 mm/h

(Figure 6B). The low-value system is also active in mid and high latitudes, and the mean intensity of NECVs is the strongest. Similar to type 4, the NEC is also mainly controlled by a low-pressure anomaly (Figure 4B), which is also conducive to the occurrence of stronger hourly precipitation (Figure 6B).

The spatial distribution of accumulated precipitation on rainstorm days associated with NECVs in the warm seasons during 2000-2019 demonstrates remarkable non-uniformity and differences under different synoptic circulation patterns (Figure 7). In addition, the spatial distribution of the frequency of rainstorms shows consistencies with accumulated precipitation (not shown). The rainstorms mostly concentrate near Changbai Mountain and the narrow northeast plain regions in the middle of Liaoning Province, which reflects the effect of topography on the rainstorms. Rainstorms of type 1 mainly occur in the central plain of Liaoning province and central Jilin Province (Figure 7A). The extreme precipitation center of type 2 is mostly located near Changbai Mountain, especially in the southern part of Changbai Mountain in Liaoning Province (Figure 7B). The accumulated precipitation of rainstorms in type 3 and 4 is weaker than that of other types, and the precipitation center in type 3 is in the southwest of Changbai Mountain (Figure 7C). Unlike in other types, the precipitation centers in type 4 are scattered around the topography (Figure 7D). The extreme precipitation centers of type 5 are found near Changbai Mountain and the coastal areas in the southeast of Liaoning Province. The sub-extreme center is distributed in the northwest of Heilongjiang Province where Greater and Lesser Khingan Mountains intersect (Figure 7E).

Previous research has revealed that the local topography of the NEC has a great impact on the circulation and rainfall of NECVs (Wang and Xie, 1994; Zhong, 2011). Moreover, our study also shows that the interaction of local topography and different



large-scale circulations can lead to different water vapor transport and convergence ascending processes, which can partially explain the unique precipitation characteristics of different circulation types.

3.3 Thermodynamic and moisture conditions during rainstorm days in different circulation patterns

In type 1 (Figure 8A), a low-pressure vortex center at 925 hPa is located in the northwest NEC, and there is a positive equivalent potential temperature (θ_e) anomaly greater than 12 K in the southeast NEC. The NEC is controlled by warm and moist southerly airflows. Under the low-pressure vortex, a northwest-southeast-oriented high- θ_e belt can be found in the north of the NEC, and northerly dry and cold air flows to the west of the NEC. In this type, the upstream low-value system in the NEC is blocked by the WPSH and the high-pressure ridge, resulting in a relatively small size of the NECVs (Figure 4A). The warm and moist air consistently interacts with dry and cold

air, which is conducive to the occurrence of more accumulated daily precipitation (Figure 6A). The low-pressure vortex center of type 2 (Figure 8B) is located near the border of Heilongjiang Province and Inner Mongolia. Under the influence of the lowpressure circulation, the high- θ_e region shrinks to the south compared with type 1, but there is still a high- θ_e anomaly center in the southeastern part of the NEC. In this type, the cold air at middle-high latitudes is active, and the mean intensity of the NECVs is the strongest (Figure 4B). The warm and cold air collaborating with the terrain near Changbai Mountain results in rainstorms (Figure 7B). The center of the low-pressure vortex of type 3 (Figure 8C) is to the south of that of type 2, with the warm air and rainstorm scope (Figure 7C) also located farther south. In type 4 (Figure 8D), the location of the low-pressure vortex center is similar to that in type 3 (Figure 8C), but the vortex is more eastwest oriented. The central and southern parts of the NEC are controlled by warm and moist air. There is a high- θ_e anomaly center of more than 8 K in the southeast of the NEC. Although the location of the center of the low-pressure vortex and the spatial distribution of the high- θ_e in type 5 (Figure 8E) are similar to type 2 (Figure 8B), the northeast-southwest stretch of the low-



pressure vortex is more remarkable. The northeastward transport of warm and moist air is more obvious, and the high- θ_e anomaly center in the southeastern NEC is also stronger, which leads to a larger scope of rainstorms (Figure 7E) than that of type 2 (Figure 7B).

Water vapor transport and convergence are indispensable for the occurrence of heavy precipitation. Figure 9 shows water vapor transport and convergence in the whole atmosphere layer under different circulation patterns. In the NEC, southwesterly wind transport and convergence are apparent in type 1 and 5, whereas westerly wind transport and convergence are dominant in type 2 and 4, and the wind convergence of type 3 is stronger than that of other types, resulting in different heavy precipitation characteristics (Figures 6, 7). Differences can also be found in the ratio of rainstorm-day accumulated precipitation under different circulation types. The AWSs of type 1, accounting for more than 50%, are concentrated in the central and southern parts of the NEC (Figure 9A). The AWSs of type 2 are mostly located in the northeast and southwest parts of the NEC (Figure 9B). Only four AWSs in type 3 account for more than 50%, which is the least among all five types (Figure 9C). The AWSs accounting for more than 50% of type 4 are also mainly in the westsouthwest to the east-northeast direction (Figure 9D), while those of type 5 (Figure 9E) are widely distributed in the southwest-northeast orientation. The distribution of ASWs with a high proportion of precipitation shows consistency with water vapor convergence areas of different circulation patterns. Furthermore, the precipitable water in the NEC can reach more than 40 mm (not shown). However, for a rainstorm, water vapor of this magnitude is obviously insufficient. Therefore, the transport and convergence of water vapor under the influence of different circulations are of vital importance.



4 Conclusion and discussion

In this study, to reveal the large-scale synoptic circulation patterns on the rainstorm days associated with NECVs in the warm seasons (May–September), ten objective circulation classification methods are selected to conduct objective circulation classifications on the 500 hPa geopotential height anomaly. The optimal objective classification method and the corresponding type number are determined after evaluation. Large-scale circulation backgrounds, precipitation characteristics, thermodynamic and moisture conditions under different synoptic circulation patterns are further discussed. The main conclusion are summarized as follows:

(1) After evaluating the performance of ten objective circulation classification methods by EV, PF, and EVPF, SOM is decided

as the optimal objective classification method, and the optimal number of classification types is five.

(2) The large-scale circulation backgrounds of different classification types at 500 hPa are investigated. In type 1, the strong WPSH extends north and connects with the midlatitude ridge in the east of the NEC. There is a shallow trough in the west of the NEC. NECVs of this type have the weakest mean intensity and the smallest mean radius. Type 2 has a higher frequency (25.2%). In this type, except for the region occupied by the WPSH, the other region is controlled by the low-pressure anomaly. There is an obvious trough in the NEC. Compared with type 1, the WPSH retreats to the southeast. NECVs of this type have the strongest mean intensity. Type 3 accounts for the least (13.4%). The low-pressure anomaly shrinks to the south of the NEC, and the NEC is controlled by the cut-off low vortex. The mean size of

NECVs of this type is the largest. Type 4 presents a meridional high-low-high pressure anomaly, and the center of the low-pressure anomaly is in the west of the NEC. The WPSH extends the most westward of these five types. The two low-pressure anomalies in type 5 are distributed from southwest to northeast, with their centers located in the west of the NEC and East Siberia, respectively, and the westerly trough is also distributed in a northeast-southwest orientation. In addition, the wind at 850 hPa of each type corresponding to NECV systems shows cyclonic vortexes except for type 1, where there is a deep low trough.

- (3) There are distinct differences in the characteristics of daily precipitation under different synoptic circulation patterns. The mean number of AWSs reaching the rainstorm level on a rainstorm day of type 1 and 5 is greater than other types. Type 1 has the largest mean and maximal daily accumulated precipitation, as well as the mean hourly precipitation. However, type 4 has the strongest hourly precipitation.
- (4) The spatial distribution of accumulated precipitation on rainstorm days associated with NECVs in the warm seasons of 2000-2019 presents remarkable nonuniformity and differences. The rainstorms are mostly located near Changbai Mountain and the narrow northeast plain region in the middle of Liaoning Province. The precipitation centers of type 1 mainly occur in the central plain of Liaoning province and central Jilin Province. Rainstorms of type 2 are mostly located near the southern part of Changbai Mountain in Liaoning Province. Precipitation centers in type 5 are observed near Changbai Mountain and the coastal areas in the southeast of Liaoning Province, whose scope is larger than that in type 2. The accumulated precipitation of rainstorms in type 3 and 4 are weaker than that of other types.

Based on the objective circulation classification, it is beneficial to better understand the characteristics of rainstorm days under the background of NECVs. In the future, quantifying the water vapor sources and transport paths of rainstorms in the NEC is necessary to in-depth investigate rainstorms associated with NECVs. Moreover, rainstorms are the result of the interaction of multi-scale weather systems. Therefore, high-resolution numerical simulation and other technological means are also needed to further analyze the occurrence mechanism of the rainstorms associated with NECVs.

Data availability statement

The data analyzed in this study is subject to the following licenses/restrictions: The data that support the findings of this study are available from the corresponding author upon reasonable request. Requests to access these datasets should be directed to xpcui@mail.iap.ac.cn.

Author contributions

LH and XC carried out data analysis and wrote the manuscripts. LC was involved in the discussion. QZ and YY participated in the manuscript revision. All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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