



Comparison of the Dynamic and Static Corner Frequencies in Ground Motion Simulation: Cases Study of Jiuzhaigou Earthquake and Northridge Earthquake

Pengfei Dang^{1,2*}, Qifang Liu³ and Linjian Ji⁴

¹School of Civil Engineering, Guangzhou University, Guangzhou, China, ²Research Center of Underground Engineering and Geological Hazard, Guangzhou, China, ³School of Civil Engineering, Suzhou University of Science and Technology, Suzhou, China, ⁴Central and Southern China Municipal Engineering Design and Research Institute Co., Ltd, Wuhan, China

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*Correspondence:

Pengfei Dang
iempengfeid@qq.com
orcid.org/0000-0001-6780-6453

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By using the stochastic finite-fault method based on static corner frequency (Model 1) and dynamic corner frequency (Model 2), we calculate the far-field received energy (FRE) and acceleration response spectra (SA) and then compare it with the observed SA. The results show that FRE obtained by the two models depends on the subfault size regardless of high-frequency scaling factor (HSF). Considering the HSF, the results obtained by Model 1 and Model 2 are found to be consistent. Then, similar conclusion was obtained from the Northridge earthquake. Finally, we analyzed the reasons and proposed the areas that need to be improved.

Keywords: ground-motion simulation, stochastic finite-fault method, dynamic corner frequency, high-frequency scaling factor, Northridge earthquake, Jiuzhaigou earthquake

INTRODUCTION

The abundance of earthquake disaster investigation results indicated that the main factor that causes the destruction and collapse of a building or structure is ground motion. In addition, ground motion also induces many natural disasters, such as soil liquefaction, mountain collapse, and landslides and debris flows. Recordings of the ground motion can play an essential role in studying the basic data for earthquake-resistant design. However, for countries and regions that lack strong ground-motion data, it is necessary to synthesize acceleration time histories based on engineering experience and research results in areas with rich strong ground-motion data. Currently, three main methods, namely, the deterministic method, stochastic method, and hybrid broadband simulation method, are used for simulating and predicting ground motion. The deterministic method is mainly based on the displacement representation theorem of Aki and Richards (1980), which expresses the ground motion as a convolution of the source time function and Green's function in the frequency domain. This method is primarily used for low-frequency simulations. The stochastic method was proposed and advanced by Boore based on the random vibration theory of band-limited windowed Gaussian noise (Boore, 1983; Boore, 2003), and it is mainly used for high-frequency ground motion simulations. The hybrid simulation method employs the first two methods for simulating the low-frequency and high-frequency bands separately and subsequently performs filtering and superposition to obtain the effect of wideband simulation (Graves and Pitarka, 2004; Motazedian and Moinfar, 2006; Frankel, 2009; Graves and Pitarka, 2010). However, since the stochastic point-source method can only be applied to small earthquakes, Beresnev and Atkinson

proposed a stochastic finite-fault approach (FINSIM) to overcome this limitation (Beresnev and Atkinson, 1998a; Beresnev and Atkinson, 1998b). Basically, the main fault is divided into multiple subfaults in this method, and each subfault is considered a stochastic point-source (Hartzell, 1978). A rupture shows rapid spreading from the hypocenter. Our scheme employs the stochastic point-source method for calculating the acceleration time series of each subfault (Boore, 1983; Boore, 2003). Then, to obtain the ground-motion acceleration from the main fault, the ground motions of subfaults are summed with a proper time delay in the time domain. However, the far-field received energy obtained based on the stochastic finite-fault approach strongly depends on the subfault size (Beresnev and Atkinson, 1998a; Beresnev and Atkinson, 1998b). To guarantee that the far-field received energy is equivalent to the stochastic point-source method, the subfault size must be strictly controlled. Motazedian and Atkinson (2005) reported a dynamic corner frequency and introduced a high-frequency scaling factor. As a result, the far-field received energy is not influenced by the size of the subfault. Some scholars have compared the stochastic point-source method (SMSIM) and the stochastic finite-fault method (EXSIM) and further improved the stochastic finite-fault method (Boore, 2009; Toni, 2017). This process included 1) changing the duration of motions from each subfault to the inverse of the subfault corner frequency, 2) calculating the high-frequency scaling factor based on the integral of the squared Fourier acceleration spectrum rather than the Fourier velocity spectrum, and 3) adding a filter function and a near-fault velocity pulse by considering the directivity effect (Mavroeidis and Papageorgiou, 2003). Consequently, the stochastic point-source and stochastic finite-fault can produce consistent motions based on a remote earthquake with a low moment magnitude, thus making ground-motion simulations no longer dependent on the subfault number.

According to Boore (2003), the stochastic simulation method has been widely applied in practical engineering due to its simplicity and low number of input parameters. It has been proven to be the most effective technique for simulating ground motions, especially at a frequency of greater than 1 Hz (Chopra et al., 2012; Raghukanth and Kavitha, 2013; Zafarani et al., 2015; Dang and Liu, 2020; Tanrıcan and Yelkenci-Necmioğlu, 2020; Sutar et al., 2020; Sharma et al., 2021; Dang et al., 2021). Boore improved and modified the stochastic finite-fault approach, and thus, it was extensively used and followed by numerous scholars in simulating high-frequency ground motions (Boore and Thompson, 2015).

Based on the above discussion, the static corner frequency and the dynamic corner frequency are exploited to evaluate the far-field received energy of an observation point with an epicentral distance of 333 km. The original slip distribution was provided by Zheng et al. (2017). In our scheme, the main fault is categorized into different subfault lengths, such as 1, 2, 3, and 6 km, and the corresponding amount of slip distribution is given to each subfault according to the original slip distribution (Zheng et al., 2017). In addition, by using the same method, the acceleration time series of the 1994 Northridge earthquake in the United States can also be obtained.

SIMULATION METHOD

Stochastic Point-Source Model (SMSIM)

The stochastic point-source method was proposed by Boore (1983), Boore (2003). Initially, this method assumes that the fault size is much smaller than the distance from the source to the observation point. Consequently, the source can be considered as a small point source. According to the assumption, the high-frequency ground motions can be observed as band-limited windowed Gaussian noise in the elastic half-space. During this point of time, in the frequency domain, the ground-motion Fourier amplitude spectrum of an observation point can be expressed as follows:

$$A_{ij}(M_0, f, R) = E(M_0, f)P(R, f)G(f)I(f) \quad (1)$$

where $A_{ij}(M_0, f, R)$ denotes the Fourier amplitude spectrum of the ground motion, which can be acceleration, velocity, or displacement. $E(M_0, f)$ denotes the source term; $P(R, f)$ stands for the path term; $G(f)$ is the site term; $I(f)$ is the instrument or type of the site ground-motion response (see Boore, 1983; Boore, 2003 for the particular meaning of these terms); M_0 represents the seismic moment (dyne-cm); R stands for the shortest distance from the fault to the site (km); and f refers to the frequency (Hz). The filter $I(f)$ controls the type of ground motion that originates from the ground-motion simulation. When the ground motion is desired, $I(f) = (2\pi fi)^n$, in which i is the imaginary unit ($i = (-1)^{0.5}$) and $n = 0, 1, \text{ or } 2$ for ground displacement, velocity, or acceleration, separately.

Stochastic Finite-Fault Model Based on Static Corner Frequency (Model 1)

To overcome the inability to express directivity effects in stochastic point source simulating ground motion and not applicable to small earthquakes, Beresnev and Atkinson (1998a), Beresnev and Atkinson (1998b) developed FINSIM. Although there has been an empirical calibration of the subfault dimension in FINSIM, in this study, we added a high-frequency scaling factor to the FINSIM method and use corner frequency (Eq. 2) instead of the original corner frequency, which is defined by Beresnev and Atkinson (1998a) in the form of $f_c = \gamma z / \pi(\beta / \Delta l)$, in which γ, z and π are constant, β is the shear wave velocity in km/s, and Δl is the subfault size. We divided the entire fault into several subfaults, and assumed that the corner frequency of the ij th subfault is equal to the static corner frequency, which can be expressed as follows:

$$f_0 = 4.9 \times 10^6 \beta (\Delta \sigma / M_0) \quad (2)$$

Generally, the source spectrum of the ij th subfault can be expressed as follows:

$$S_{ij}(f) = \frac{CM_{0ij}H_{ij}}{1 + (f/f_0)^2} \quad (3)$$

where C is a constant (Boore, 2003); H_{ij} denotes the scaling factor at high frequency, which conserves the subfault spectral level at high frequency; and f_0 and M_{0ij} denote the corner frequency and seismic moment of the ij th subfault, respectively.

TABLE 1 | Input parameters for the simulation of the Jiuzhaigou earthquake (Dang et al., 2020).

Parameter	Value	References
Fault orientation (strike, dip)	151°/85°	USGS
Depth of top (km)	0	Zheng et al. (2017)
Moment magnitude	6.6	Wang et al. (2017)
Average stress drop (bars)	38.5	Wang et al. (2017)
Fault dimensions along strike and dip (km)	42 by 24	Zheng et al. (2017)
Sub-fault dimensions (km)	1,2,3,6	This study
Shear-wave density (g/cm ³), velocity (km/s)	2.7, 3.7	Wang et al. (2017)
Kappa (s)	0.033	This study
Quality factor	84.9 ^{0.71}	Wang et al. (2017)
Geometrical spreading	1/R (R ≤ 70 km) 1/R ⁰ (70 km < R ≤ 130 km) 1/R ^{0.5} (R ≥ 130 km)	Wang et al. (2017)
Duration model	14.8253 + 0.10669×R	Dang et al. (2020)
Rupture velocity	0.8×(shear-wave velocity)	Motazedian and Atkinson (2005)
Crustal amplification function	Western North America generic rock site	Boore and Joyner (1997)
Local site amplification	H/V spectral ratios	Dang et al. (2020)

In the original FINSIM method, to ensure the seismic moment conservation of the entire fault, some faults often need to be triggered many times, which is difficult to give a reasonable explanation in physics. However, in our Model 1, each subfault breaks up to one time, and the seismic moment of each subfault is calculated based on its area to the total fault area ratio. Specifically, the seismic moment for every subfault can be determined according to the following formula in the case of different subfaults (Motazedian and Atkinson, 2005):

$$M_{0ij} = M_0 \frac{D_{ij}}{\sum_{k=1}^{nl} \sum_{l=1}^{nw} D_{ij}} \quad (4)$$

where D_{ij} denotes the ij th subfault slip; nw and nl represent subfault number along the dip and strike directions, respectively ($nl \times nw = N$); and M_0 represents the seismic moment of the entire fault.

The high-frequency scaling factor defined based on the square of the acceleration spectrum is used to obtain the far-field received energy conservation, which can be calculated by the following formula (Boore, 2009):

$$H_{ij} = \sqrt{\frac{N \sum \{f^2 / [1 + (f/f_0)^2]\}^2}{\sum \{f^2 / [1 + (f/f_{0ij})^2]\}^2}} \quad (5)$$

where f_0 stands for the static corner frequency defined by Eq. 2. N is the numbers of subfaults on the whole fault. In Model 1, because the corner frequency of the ij th subfault is equal to the static corner frequency, then H_{ij} is equal to $N^{1/2}$, that is, the Fourier acceleration spectra from Model 1 should be multiplied by $N^{1/2}$ (Boore, 2009).

Stochastic Finite-Fault Model Based on Dynamic Corner Frequency (Model 2)

Taking into consideration the limitations of the static corner frequency, the dynamic corner frequency was developed by

Motazedian and Atkinson (2005), which determines the source spectrum of the ij th subfault as follows:

$$S_{ij}(f) = \frac{CM_{0ij}H_{ij}}{1 + (f/f_{0ij})^2} \quad (6)$$

where M_{0ij} denotes the seismic moment of the ij th subfault, which can be obtained by Eq. 4.

The dynamic corner frequency of every subfault is determined according to the following formula:

$$f_{0ij} = 4.9 \times 10^6 \beta \left(\frac{\Delta\sigma}{M_{0ave}} \right)^{1/3} \times N(t)_{ij}^{-1/3} \quad (7)$$

where M_{0ave} denotes the mean seismic moment of subfaults ($M_{0ave} = M_0/N$) and $N(t)_{ij}$ represents the subfault cumulative number rupturing at time t . According to the dynamic corner frequency formula, the subfault corner frequency is represented by the inverse proportion to the 1/3 power of the active subfault number and the mean seismic moment. The subfault corner frequency is observed to be the greatest where the rupture starting point is located. With the extension of rupture, the latter subfault that ruptures always shows reduced corner frequency compared to the former subfault. Due to the scaling factor at high frequency and the dynamic corner frequency, the simulated acceleration Fourier spectrum no longer depends on the subfault size. Nonetheless, the corner frequency of every subfault can be calculated based on its rupture order rather than the subfault slip. Therefore, the dynamic corner frequency cannot represent the seismic wave radiation frequency heterogeneity as a result of slip distribution.

As the amplitude spectrum of the subfaults is approximately proportional to the square of the corner frequency at high frequencies, except for the fracture initiation point and several subfaults in the vicinity of the starting point, the corner frequency of the other subfaults is found to be small, which inevitably causes the underestimation of the amplitude spectrum of the ground

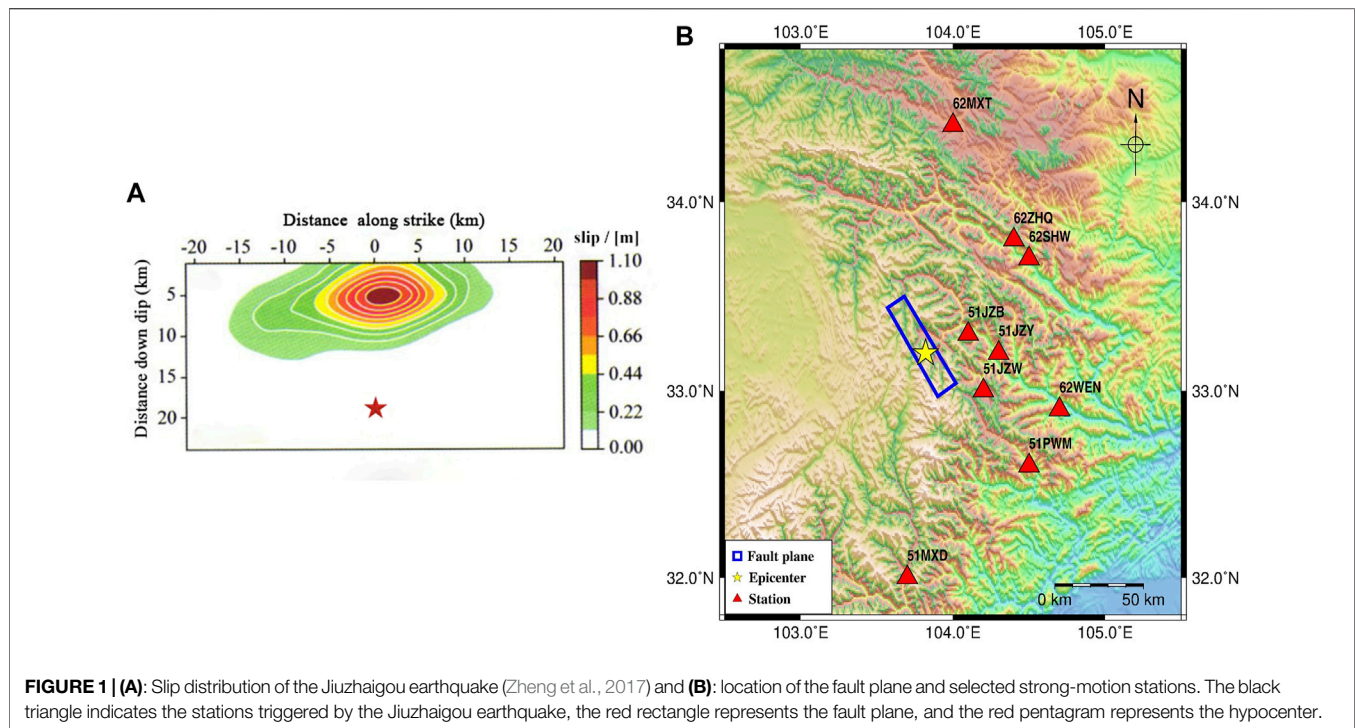


TABLE 2 | Basic information of the strong motion observation stations in the Jiuzhaigou earthquake (Dang et al., 2020).

Station code	HypD (km)	Latitude	Longitude	Vs30 (m/s)	Site class (NEHRP)
62SHW	82.5	33.7	104.5	418.96	C
62WEN	85.1	32.9	104.7	-	A
62ZHQ	85.8	33.8	104.4	638.39	C
62MXT	135.1	34.4	104.0	303.37	D
51JZB	27.2	33.3	104.1	354.27	D
51JZW	39.7	33.0	104.2	457.28	C
51JZY	42.3	33.2	104.3	340.62	D
51MXD	133.7	32.0	103.7	299.37	D
51PWW	89.8	32.6	104.5	376.17	C

Instructions: The Vs30 value (the time-averaged shear-wave velocity in the upper 30 m) is taken from the peer database. The 62WEN station lacks drilling data and Vs30 and is classified as Class A referred to Wang et al. (2017).

motion at high frequencies. To compensate for this underestimation caused by the dynamic corner frequency, Motazedian and Atkinson (2005) proposed a high-frequency scaling factor, H_{ij} , to ensure the conservation of the far-field received energy considering the fact that the far-field received energy is in proportion to the square of the velocity spectrum. In Model 2, the high-frequency scaling factor also defined by Eq. 5, in which the corner frequency of the ij th subfault can be obtained from Eq. 7, and f_0 denotes the corner frequency of the main fault, which can be calculated by Eq. 2.

To make the results of the stochastic finite-fault method consistent with those of the stochastic point-source method for small and large earthquakes at close and far distances, Boore (2009) modified the filter function to compensate for the underestimation at low frequencies caused by incoherent summation.

Finally, considering the combined effect of the filter function and the high-frequency scaling factor, the Fourier amplitude spectrum of certain observation point has the tendency to be $N^{-1/2}$ times larger at low frequencies. Both the EXSIM and SMSIM simulations are found to be in close conformity with one another, and the EXSIM results do not depend on the number of subfaults. Meanwhile, the underestimation caused by incoherent summation at low frequencies is also eliminated. Additionally, the Fourier acceleration spectrum obtained by EXSIM exhibits similar results to that of SMSIM for a small earthquake at a large distance.

In the near-fault ground-motion simulation, the main fault is usually divided into rectangular subfaults of equal size. Each subfault can be approximately described as a small point source. In addition, the whole fracture process can be expressed as a series of subfaults superimposed in a certain

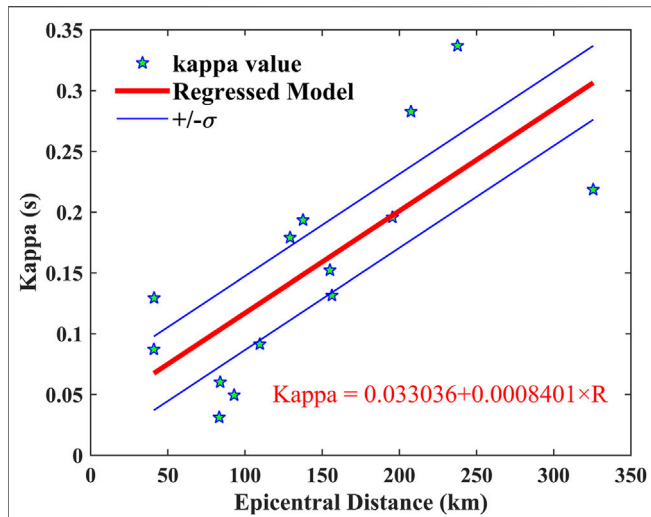


FIGURE 2 | Dependence of spectral decay parameter κ on epicentral distance for horizontal component in the Jiuzhaigou earthquake. The light blue five-pointed star represents the kappa value obtained from each station recording, and the red solid line represents the regressed model.

order. According to Beresnev and Atkinson (1998a), for every subfault, rupture propagates to the center, and a subfault triggers when the rupture propagates to the subfault center. The SMSIM was used to calculate accelerations $a_{ij}(t)$ (Boore, 1983; Boore, 2003). The accelerations generated by all subfaults are summarized in the time domain with appropriate delay:

$$a(t) = \sum_{i=1}^{nl} \sum_{j=1}^{nw} a_{ij}(t + \Delta t_{ij}) \tag{8}$$

where Δt_{ij} includes the time delay from the starting point to the subfault and the path delay from the subfault to the observation point.

DATABASE AND MODEL PARAMETERS

Jiuzhaigou Earthquake

In the case of the Jiuzhaigou earthquake (M_w 6.6) that occurred in 2017, the far-field received energy was determined at a station at an epicentral distance of 333 km (Motazedian and Atkinson, 2005) according to the dynamic and the static corner frequencies (the input parameters are listed in Table 1). As far as our simulations were concerned, the fourth-order Butterworth filter (frequency, 0.1–20 Hz) was used to process the filter following adjustment for ground-motion recordings. Afterwards, the S-wave was easily isolated. Notably, we used the 5% cosine-tapered window at either side and employed the Hanning window to smooth the spectrum repeatedly. According to the United States Geological Survey (USGS), the fault plane (strike, dip = 151°/85°) that extends northwestward down the focal mechanism solution of the body wave represents the real fault plane. In addition, within the Jiuzhaigou region, the

TABLE 3 | Crustal site amplification elements used in the simulations (Boore and Joyner, 1997).

Frequency (Hz)	Amplification factor
0.01	1.00
0.09	1.10
0.16	1.18
0.51	1.42
0.84	1.58
1.25	1.74
2.26	2.06
3.17	2.25
6.05	2.58
16.6	3.13
61.2	4.00

thickness is observed to be approximately 50 km (Wang et al., 2017; Dang and Liu, 2020). A possible rupture is suggested to occur at approximately 1/2 of the crust thickness. Consequently, the fault size is found to be 42 km in length and 21 km in width in the Jiuzhaigou earthquake. In line with the inversion results obtained by Wang et al. (2017), the moment magnitude is found to be 6.6 in the Jiuzhaigou earthquake. The source model of the Jiuzhaigou earthquake is illustrated in Figure 1A (Zheng et al., 2017). The quality factor, stress drop value, density and shear-wave velocity in the vicinity of the source and the three-segment geometrical spreading model are presented in Table 1. Initially, the dynamic corner frequency is observed to be the largest (Motazedian and Atkinson, 2005; Wang et al., 2015; Dang and Liu, 2020); then, it gradually decreases with the rupture process and tends to become a constant after a period of time, which is controlled by the parameter of the pulsing percentage area. In summary, the 50% pulsing area is selected based on the results of Motazedian and Atkinson (2005), indicating that 50% of subfaults are active in the process of rupture for the whole subfault, which consequently affects the dynamic corner frequency. By contrast, the remaining 50% of subfaults are passive and make no difference in the dynamic corner frequency. Motazedian and Atkinson (2005) suggested that the rupture velocity, which makes little difference from the 5%-damped response spectra at some stations and shows an inconsiderable effect on others, was 0.8-fold the shear-wave velocity. The near-field station information of the Jiuzhaigou earthquake is listed in Table 2, and the distribution of selected stations is shown in Figure 1B. In addition, the static corner frequency of the Northridge earthquake calculated by Eq. 2 is 0.1371 Hz, and the dynamic corner frequency of each subfault ($\Delta l = 6$ km) calculated by Eq. 7 could be observed in Supplementary Table S1A.

Path Duration Model

Husid (1969) suggested that the ground-motion energy that increases with time can be expressed in a formalized form as follows:

$$I(t) = \frac{\int_0^t a^2(t) dt}{\int_0^T a^2(t) dt} \tag{9}$$

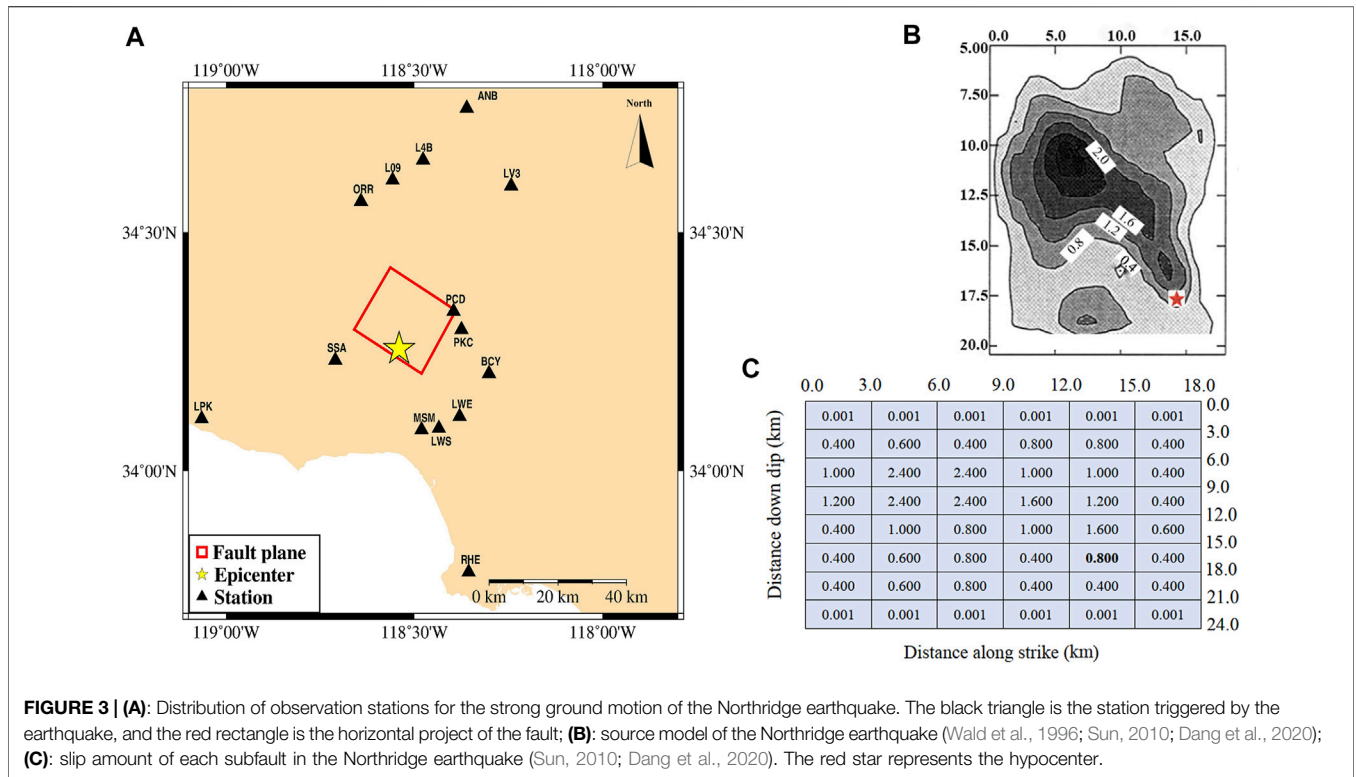


TABLE 4 | Information on strong motion observation stations of the Northridge earthquake (Dang et al., 2020).

Station name	Station code	HypD	Owner	Latitude	Longitude	Vs30	Site class (NEHRP)
Antelope Buttes	ANB	66.31	CDMG	34.758	-118.361	572.57	C
Burbank-Howard Rd	BCY	29.05	USC	34.204	-118.302	581.93	C
Castaic-Old Ridge Route	ORR	44.29	CDMG	34.564	-118.642	450.28	C
LA-Chalon Rd	MSM	22.99	USC	34.086	-118.481	740.05	C
LA-N Faring Rd	LWS	24.39	USC	34.089	-118.435	255.0	D
LA-Wonderland Ave	LWE	25.82	USC	34.114	-118.38	1222.52	B
Lake Hughes #4B-Camp Mend	L4B	52.92	CDMG	34.65	-118.477	523.54	C
Lake Hughes #9	L09	48.07	USGS	34.608	-118.558	670.84	C
Leona Valley #3	LV3	54.84	CDMG	34.596	-118.243	499.31	C
Newport Bch-Newp & Coast	NBC	88.43	CDMG	33.623	-117.931	340.65	D
Pacoima Dam (downstr)	PCD	26.85	CDMG	34.334	-118.396	2016.13	A
Pacoima Kagel Canyon	PKC	26.04	CDMG	34.296	-118.375	508.08	C
Point Mugu-Laguna Peak	LPK	51.35	CDMG	34.109	-119.065	527.7	C
Rolling Hills Est-Rancho Vista	RHE	53.01	CDMG	33.787	-118.356	473.25	C
Santa Susana Ground	SSA	22.83	USGS	34.232	-118.71	715.12	C

Unit: Latitude, (°N); Longitude, (°E); Vs30, m/s; HypD, km.

where T is the duration of the ground motion and $I(t)$ denotes a function of 0–1.

As defined by Husid (1969), the effective duration was the time from the 5–95% Arias intensity instances, and the 90% ground-motion duration was observed to be $I(t) = 0.05$ and $I(t) = 0.95$.

In the present study, the duration function was directly derived from the robust regression of those effective durations determined based on 66 groups of recordings of strong earthquakes from the Jiuzhaigou earthquake, which is

determined by $T(R) = 14.8253 + 0.10669 \times R$ (Dang et al., 2020), where R denotes the epicentral distance (unit, km).

Attenuation Parameter

The high-frequency attenuation parameter κ is considered an important parameter that describes the decay of the acceleration Fourier amplitude spectrum of ground motion. The ground-motion amplitude at low frequencies, which is less than at the corner frequency, may be well described as a

TABLE 5 | Input parameters for the simulation of the Northridge earthquake.

Parameter	Value	References
Fault orientation (strike, dip)	122°/40°	Beresnev and Atkinson (1998b)
Depth of top (km)	5–21	Beresnev and Atkinson (1998b)
Moment magnitude	6.7	USGS
Average stress drop (MPa)	5	Beresnev and Atkinson (1998b)
Fault dimensions along strike and dip (km)	18 by 24	Sun et al. (2009)
Sub-fault dimensions (km)	3	Zheng et al. (2017)
Shear-wave density (g/cm ³), velocity (km/s)	2.8, 3.7	Wang et al. (2015)
Mainshock moment (dyne-cm)	1.3×10 ²⁶	Beresnev and Atkinson (1998b)
Kappa (s)	0.035	Sun et al. (2009)
Quality factor	180 ^{0.45}	Beresnev and Atkinson (1998b)
Geometrical spreading	1/R (R ≤ 40 km), 1/R ^{0.5} (R > 40 km)	Sun et al. (2009)
Windowing function	Saragoni-Hart	Beresnev and Atkinson (1998b)
Period ranges (s)	0.05–10	Sun et al. (2009)
Damping	5%	Wang et al. (2015)
Duration model	1/f ₀ + 0.1×R	Wang et al. (2015)
Rupture velocity	0.8×(shear-wave velocity)	Wang et al. (2015)
Crustal amplification function	Western North America generic rock site	Motazedian and Atkinson (2005)
		Boore and Joyner (1997)

Instructions: For some input parameters in the table, such as kappa, quality factor, geometrical spreading function and ground motion duration, referred to **Table 1** (Finite-fault model parameters for the Northridge earthquake simulation) in Wang et al. (2015).

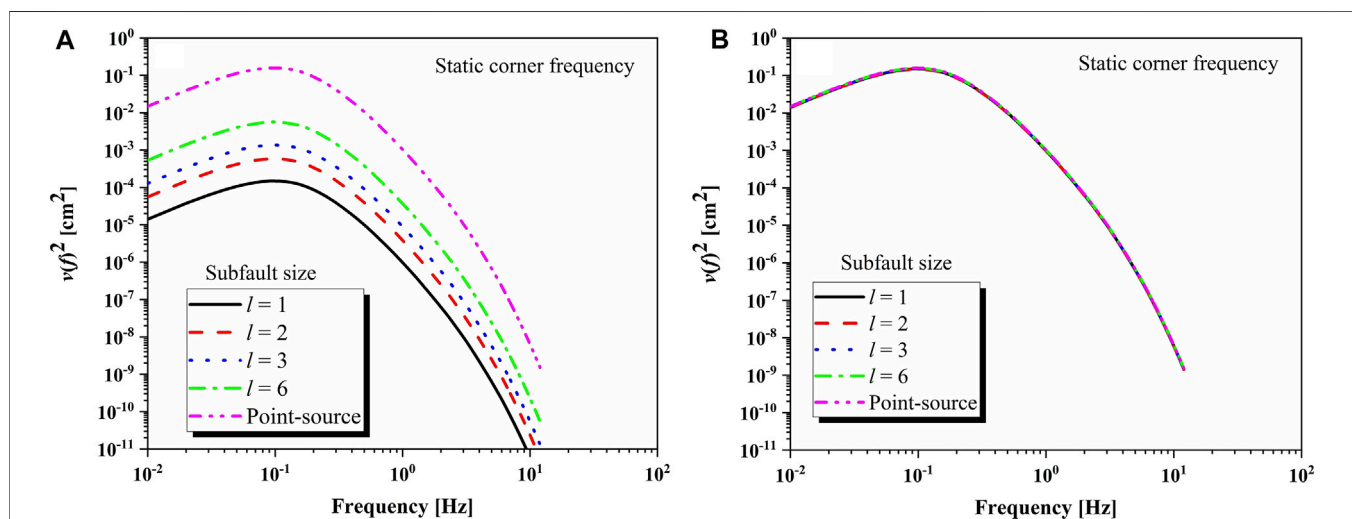


FIGURE 4 | Far-field received energy calculated by the static corner frequency [(A): the scaling factor, H_j , is not considered; (B): the scaling factor, H_j , is considered]. The black solid line, red dotted line, blue dotted line, and pink dotted line indicate that the subfault sizes are 1, 2, 3, and 6, respectively. The pink dotted line represents the result obtained by stochastic point-source model.

point source with an ω^2 shape (Aki, 1967; Brune, 1970). However, at high frequencies, the Fourier amplitude spectrum rapidly decreases when the frequency increases. Additionally, a significant difference is observed compared to the ω^2 spectrum. To describe this phenomenon, two filters are used to explain the diminution of high-frequency ground motions: the f_{\max} filter (Boore, 1983; Hanks, 1982) and the κ_0 filter (Anderson and Hough, 1984). Certainly, both filters can be used individually in ground-motion simulations.

The main parameter needed in our applications is the zero-distance kappa factor, κ_0 , gained from a best-fit line, which

could be expressed in the form of $\kappa = \kappa_0 + m \times R$, in which κ is the high-frequency attenuation parameter, κ_0 is the component related to the geological conditions below the station, and m is the component associated with the frequency-independent quality factor and the interlaminar shear-wave velocity. The Fourier amplitude spectrum is plotted in a semi-logarithmic coordinate system, considering the slope of the amplitude spectrum to be in the frequency range of a relatively smooth high spectrum, and the κ value of a single station is calculated by the formula, $\kappa = k / (\pi \log_{10}(e))$, in which k is the slope and e is a natural constant. In this

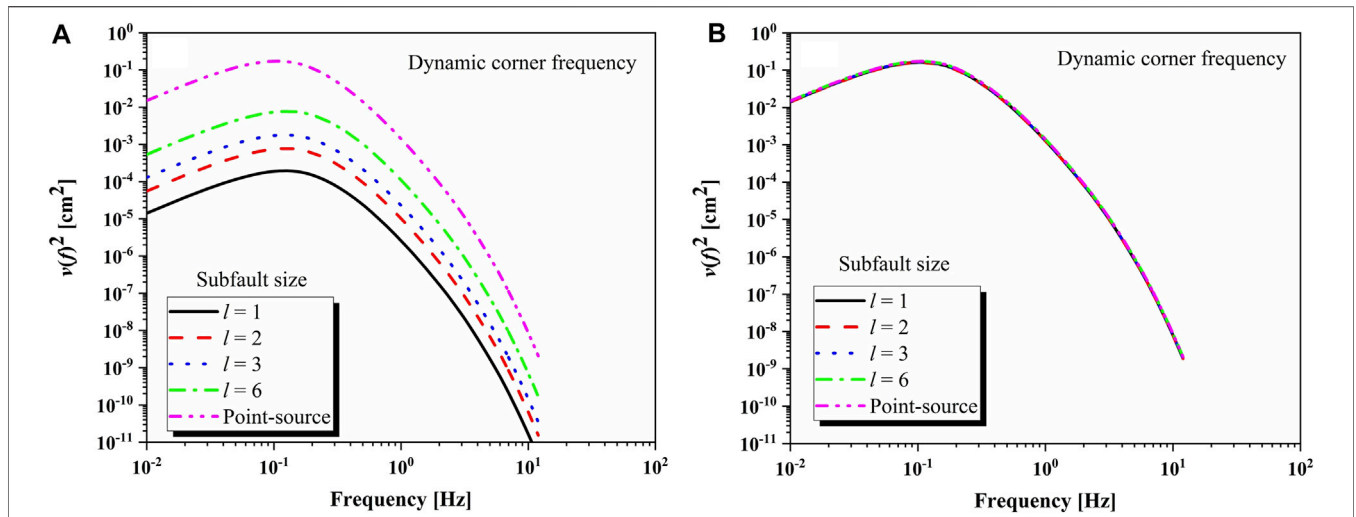


FIGURE 5 | Far-field received energy calculated by the dynamic corner frequency [(A): the scaling factor, H_{ij} , is not considered; (B): the scaling factor, H_{ij} , is considered]. The black solid line, red dotted line, blue dotted line, and pink dotted line indicate that the subfault sizes are 1, 2, 3, and 6, respectively. The pink dotted line represents the result obtained by stochastic point-source model.

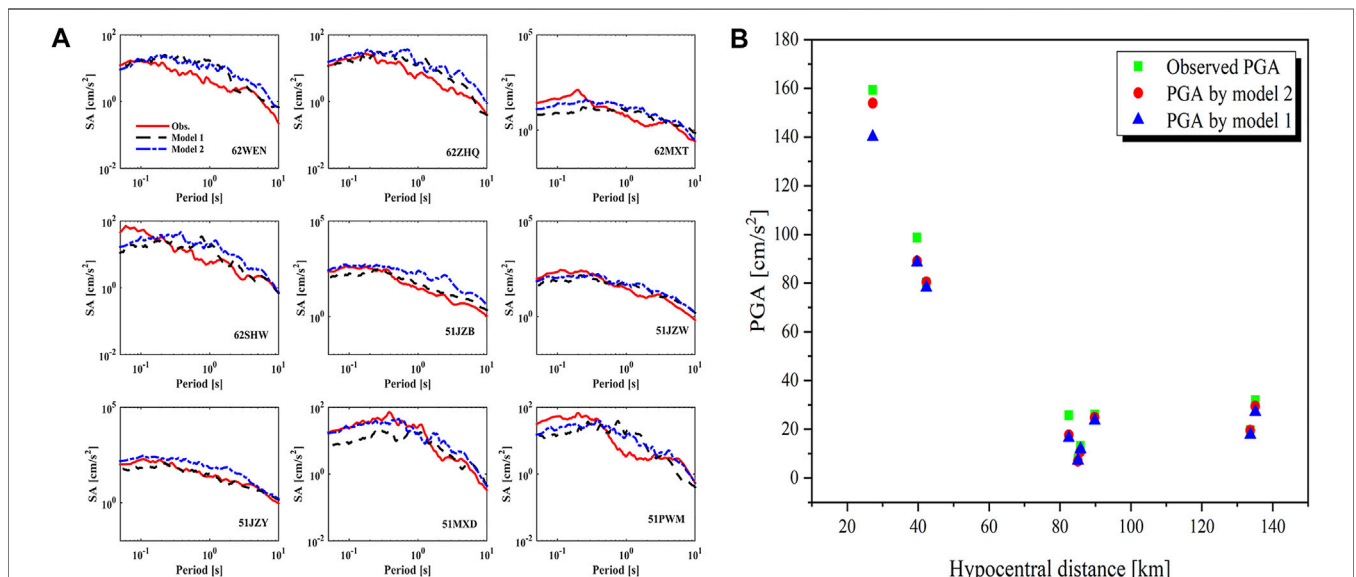
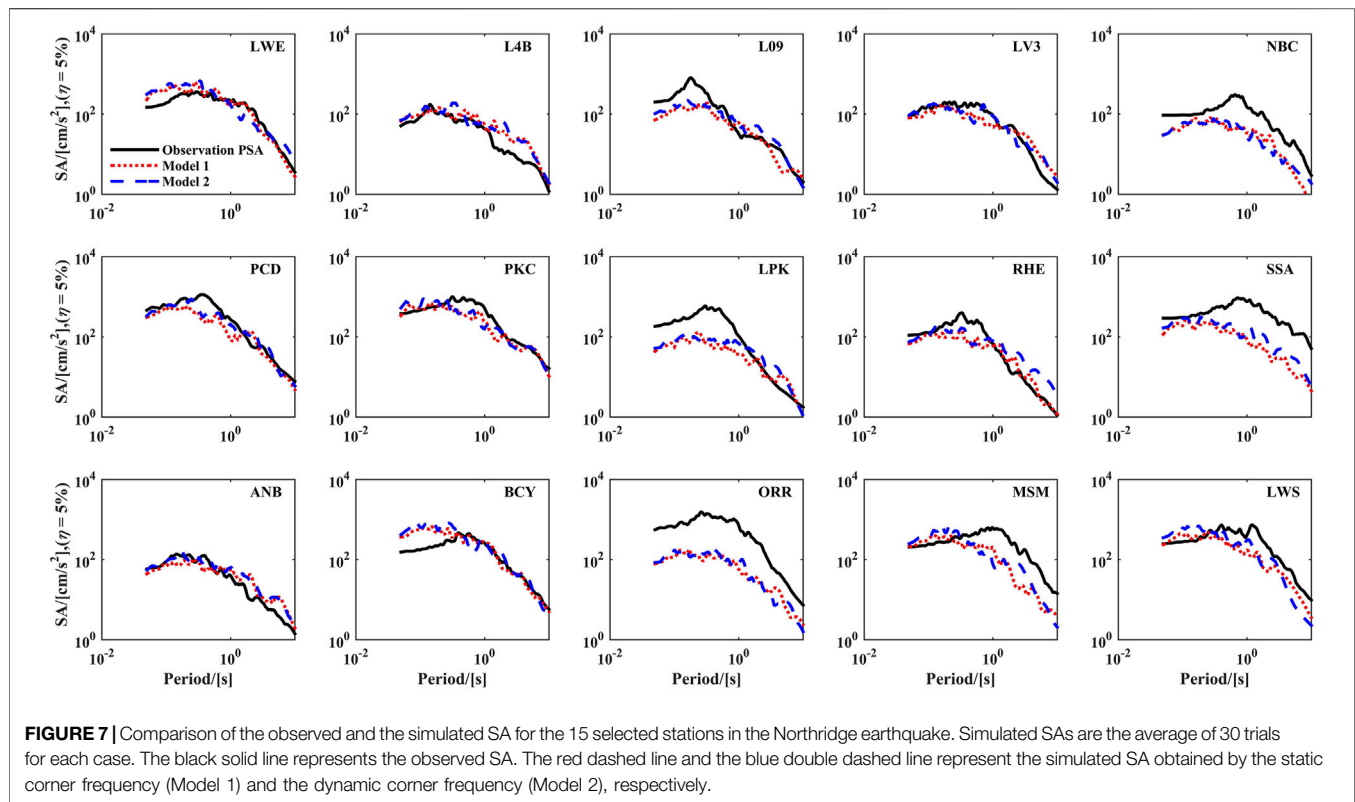


FIGURE 6 | (A) Comparison of the observed and simulated SA for the nine stations in the Jiuzhaigou earthquake; (B) Comparison of observed, simulated PGA values by the two corner frequency models. Simulated SAs and PGAs are the average of 30 trials for each case. The red solid line represents the observed SA. The black dashed line and the blue dashed line represent the simulated SA obtained by the static corner frequency (Model 1) and the dynamic corner frequency (Model 2), respectively. The green rectangle stands for the observed PGA. The red circle and blue triangle represent the PGA simulated by the dynamic and static corner frequency models, respectively.

paper, the windowing function proposed by Konno and Ohmachi (1998) was employed for smoothing the obtained Fourier spectrum in a range of 0.5 and 15 Hz, while the smoothing constant was taken as 20 (Sun et al., 2013; Fu and Li, 2017; Kkallas et al., 2018). In our applications, we adopt the κ_0 filter in the form of $\kappa = 0.033036 + 0.0008401 \times R$, as shown in Figure 2, and employed the H/V spectral ratio approach (Lermo and Chávez-García, 1993) to estimate the local site amplification in the Jiuzhaigou earthquake (Dang et al., 2020).

Site Amplification

The amplification function used in stochastic simulation consists of two parts, namely, crustal amplification factors and the site amplification function. It is rarely sufficient to use crustal amplification factors if the station is located on a rock site. Otherwise, we are additionally required to use the site amplification function if the station is located on soil. In the case study of the Jiuzhaigou earthquake, we used both crustal site amplification (Table 3) and local site amplification functions,



namely, the site amplification term is regarded as the combined action of crustal amplification and local site amplification. In the simulation, the crustal site amplification was determined according to the site classification of each station, and the local site amplification was obtained by H/V spectral ratio approach (Dang et al., 2020).

Northridge Earthquake

To make a better comparison, the Northridge earthquake (M_w 6.7) in 1994 was selected for illustration. Meanwhile, the dynamic and static corner frequencies (where the scaling factor H_{ij} was considered) were used for simulating the 15 stations that had an epicenter distance of <100 km. **Figure 3A** shows the distributions of the 15 selected stations. Then, at every station, the response spectra at the period of 0.05–10 s were determined. According to the National Earthquake Hazards Reduction Program (NEHRP) of the United States, the latitude and longitude of 15 stations, V_{s30} (the average shear-wave velocity of 30 m below the surface), and the site classifications are presented in **Table 4**. The slip distribution of the Northridge earthquake used in this simulation is illustrated in **Figure 3B** (Wald et al., 1996). According to the source model given in **Figure 3B**, we divide the entire fault into several subfaults with a dimension of 3 km, and the slip amount of each subfault is shown in **Figure 3C**. The quality factors and the geometric attenuation model reference of Wang et al. (2015) are presented in **Table 5**. In addition, the static corner frequency of the Northridge earthquake calculated by Eq. 2 is 0.133 Hz, and the dynamic corner frequency of each subfault ($\Delta l = 3$ km) calculated by Eq. 7 could be observed in **Supplementary Table S2A**.

Path Duration Model

The distance-dependent duration function used in the Northridge earthquake refers to Beresnev and Atkinson (1998b), which was found to be frequency dependent. In our implementations process, we used the duration function in the form of $0.1 \times R$, where R denotes the epicentral distance in km. We attempted to apply dissimilar duration models for the Northridge earthquake. Unfortunately, none of the duration models used could significantly improve the simulation results. Finally, the duration model adopted in our study is in the form of $T = 1/f_0 + 0.1 \times R$ (Wang et al., 2015). In addition, the high-frequency attenuation parameter, κ_0 , is found to be 0.0035 (Wang et al., 2015).

Site Amplification

Since most of the 15 stations selected in the present study are located on sites class C, i.e., rock or stiff soil sites, for the sake of convenience, the site amplification factors for NEHRP-C sites were used for the site amplification of all stations, which is presented in **Table 3**.

RESULTS AND DISCUSSION

Simulation Results of the Jiuzhaigou Earthquake

Comparison of the Far-Field Received Energy of the Jiuzhaigou Earthquake

The whole fault shown in **Figure 1A** is divided into rectangular subfaults of lengths 1, 2, 3, and 6 km, respectively. For subfaults of

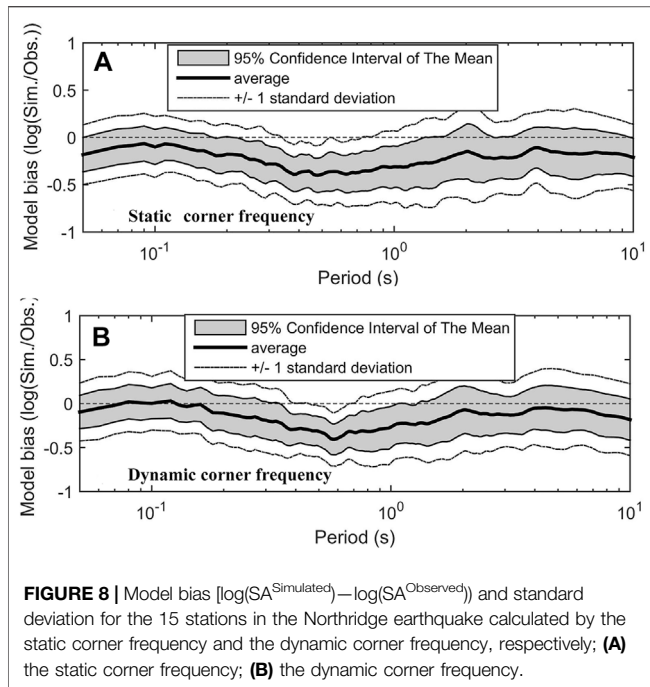


TABLE 6 | The average errors computed at each station: the underlined values display the minimum error values. The bold characters indicate that there is little difference between the results of the two models.

Stations	Models	
	Model 1	Model 2
LWE	0.1246	0.2372
L4B	<u>0.3370</u>	0.3514
L09	0.2355	<u>0.1480</u>
LV3	0.3144	<u>0.2981</u>
NBC	0.6431	<u>0.5276</u>
PCD	0.1940	<u>0.1376</u>
PKC	0.1585	<u>0.1566</u>
LPK	0.3000	<u>0.2936</u>
RHE	0.1807	0.4858
SSA	0.9991	<u>0.7887</u>
ANB	0.3094	<u>0.2939</u>
BCY	<u>0.0969</u>	0.1437
ORR	<u>0.6967</u>	0.7264
MSM	0.7019	<u>0.6537</u>
LWS	<u>0.3684</u>	0.5494
Average	<u>0.3774</u>	0.3861

each length, we approximately estimate the slip amounts according to the slip color scale in **Figure 1A**. The slip distribution corresponding to each subfault length is shown in the supplementary materials, which can only be accessed through the network. The total far-field received energy of each subfault is found to be proportional to the square of the velocity spectrum. The far-field received energy of an observation point with an epicentral distance of 333 km is calculated by using the simulation parameters of the Jiuzhaigou earthquake as discussed above. The far-field received energy calculated using the static corner frequency and the dynamic corner frequency is shown in **Figures 4, 5**, respectively. Obviously, **Figures 4, 5** show that the far-

field received energy calculated by the two corner frequencies is affected by the subfault size without considering the high-frequency scaling factor. Taking into account the high-frequency scaling factor H_{ij} , the far-field received energy obtained by the two corner frequencies no longer depends on the subfault size. Additionally, there is almost no difference in the far-field received energy between the two corner frequency models, which demonstrates that the use of the dynamic corner frequency itself does not eradicate the effect of the subfault size on the far-field received energy. The same result can be obtained by using the dynamic corner frequency and the static corner frequency. Although the corner frequency gradually decreases when the rupture process expands, the corner frequency of the subfault when the former rupture is always higher than that of the latter, and the corner frequency of the subfault where the rupture starting point is located is the largest. Sun et al. (2009), Wang et al. (2015), and other scholars have attempted to improve the source spectrum, but have never been able to ignore the influence of subfault size on the far-field received energy.

Comparison of Response Spectra of the Jiuzhaigou Earthquake

In addition, nine near-field stations with epicentral distance less than 150 km were selected, and the acceleration records of the nine near-field stations were simulated by the stochastic finite-fault method based on the improved static corner frequency and dynamic corner frequency. The results are shown in **Figure 6**. It can be seen in the figure that the SA obtained by the static corner frequency (where the scaling factor H_{ij} was considered) and the dynamic corner frequency model are in good agreement with the observed SA. Meanwhile, the response spectra obtained by the two models have little difference, especially for stations 62WEN, 62ZHQ, 62MXT, 62SHW, 51JZW, and 51PWM. At the same time, **Figure 6B** shows that the PGAs of the nine stations simulated by the two corner frequency models are similar, and they are in good agreement with the observed PGAs. It should be noted that the simulated PGA is the average results of 30 runs of the program. This result shows that the static corner frequency with a high-frequency scaling factor can also obtain ideal results and that the influence of subfault size can be ignored.

Simulation Results of the Northridge Earthquake

Comparison of the Simulated Response Spectra of the Northridge Earthquake

The stochastic finite-fault approach was adopted to calculate the SA of 15 stations based on the static and dynamic corner frequencies, which were then compared with those obtained by observation recordings. The results are presented in **Figure 7**, which shows that for most stations, the results of the two models are in good agreement with the observation recordings, especially at the LWE, L4B, LV3, PKC, PCD, ANB, and RHE stations. However, for the SSA and MSM stations, considerable differences were observed in response spectra for long periods. As shown in Figure (a), the MSM and SSA stations were located at the fault edge, and both of them had an epicentral

TABLE 7 | The average absolute error for the two models.

Average absolute error	Models	
	Static corner frequency	Dynamic corner frequency
Value	0.3418	0.3525

distance of <23 km. This might be due to the impacts of directivity, including forward and backward effects. The forward effect takes place at the time of the front propagation of rupture to a site, inducing great ground-motion amplitudes and low duration compared with those under mean directivity situations. In contrast, the backward effect takes place at the time of propagation of rupture from a site that produces low amplitudes and long duration motions in the long term (Sun, 2010). The ORR station had undervalued response spectra nearly during the whole period, which conformed to the results obtained by Beresnev and Atkinson (1998b) and Sun et al. (2009). The reasons for these differences may be attributed to the fact that the selected site amplification factor fail to describe the local geological conditions, which may require complete site data for analysis. Generally, the results of the two models do not show many differences.

Validation of the Stochastic Finite-Fault Approach

Given the abovementioned concluded model parameters, for the 15 stations involved in the Northridge earthquake, the stochastic finite-fault method was used to calculate their 5%-damped acceleration response spectra based on the dynamic and static corner frequencies (range: 0.05–10 s). In the frequency domain, the model bias function ($B(f)$) is defined as follows (Somerville et al., 1997; Dang and Liu, 2020):

$$r(f)_{ij} = \log \left(\frac{SA(f)_{ij}^{Simulated}}{SA(f)_{ij}^{Observed}} \right) \quad (10)$$

$$B_i(f) = \frac{1}{N} \sum_{j=1}^N r_{ij}(f) \quad (11)$$

where N denotes the number of stations; $SA(f)_{ij}^{Simulated}$ and $SA(f)_{ij}^{Observed}$ represent the simulated SA values and the observed SA values of the i th station at the j th frequency, respectively. The period range is 0.05–10 s. **Figure 8** shows plots of the model bias for the two models.

Similarly, the standard deviation of the model is defined as follows (Castro et al., 2008):

$$\sigma_i(f) = \sqrt{\frac{1}{N} \sum_{j=1}^N [r_{ij}(f) - B_i(f)]^2} \quad (12)$$

Table 6 presents the model standard deviation of the 15 stations, which are calculated using the dynamic corner frequency and the static corner frequency. Obviously, although the model bias of the nine stations calculated by using the dynamic corner frequency is small, the model deviations of

each station calculated by using the two corner frequencies are not much different. Additionally, the average values differ by only 0.01.

Finally, we applied an additional measure of the misfit (E_j), where the absolute value is taken inside the summation over stations in the expression for the bias and the average absolute error (E) indicates the absolute differences between the simulated SA and the observed SA averaged over the selected 15 stations and the frequency range of engineering interest based on the following equations (Schneider et al., 1993):

$$E_j = \frac{1}{N} \sum_{i=1}^N ABS \{ \log A_{ij}^{Observed} - \log A_{ij}^{Simulated} \} \quad (13)$$

$$E = \frac{1}{K} \sum_{j=1}^K E_j \quad (14)$$

where ABS indicates the absolute value; N is the number of stations; K is the number of frequencies; $A_{ij}^{Observed}$ and $A_{ij}^{Simulated}$ are the observed SA and the simulated SA of the i th station at the j th frequency, respectively; and E_j represents the average absolute error of a station at the j th frequency. The average absolute error for the two models is presented in **Table 7**.

According to **Table 7**, the average absolute error of the models calculated by using the two models is not much different, which indicates that both models can obtain ideal results when simulating the ground motion.

CONCLUSION

In the present work, the stochastic finite-fault approach is used on the basis of the dynamic and static corner frequencies for simulating the 15 stations involved in the Northridge earthquake (M_w 6.7) that occurred in 1994 in the United States. The total spectral ratio, model bias, and the total model error of the two models are calculated separately. In addition, the results of the two models are similar. In the case of a few stations, the simulated results of the two models are significantly different from the observed results, which is found to be consistent with the results obtained by Sun et al. (2009) and Beresnev and Atkinson (1998b). These differences may be caused by local site effects, topography, and basin geometry effects, which may require complete site data for analysis. According to the simulated results of the two stations (PCD and SSA), the directivity effect induces a great influence on the amplitude of SA. The reason is that the epicentral distance of the two stations are almost the same (**Figure 3A**; **Table 4**), and they are located at the upside and downward positions of the fault, respectively. Additionally,

the response spectra, average spectral ratio, model deviation, and average absolute error of the 15 stations with an epicentral distance of less than 100 km of the Northridge earthquake (M_w 6.7) in the United States are also calculated. Based on the results, little difference is observed between the two models.

The far-field received energy of the Jiuzhaigou earthquake (M_w 6.6) that occurred in 2017 with an epicentral distance of 333 km is calculated by the stochastic finite-fault method based on the static corner frequency and the dynamic corner frequency. When the high-frequency scaling factor and the filter function are not considered, the far-field received energy obtained by the two models depends on the size of the subfault. After modification, the far-field received energy calculated by the two models becomes independent of the size of the subfault. In the literal sense, the subfault corner frequency primarily depends on the rupture order and the subfault corner frequency. As the rupture expands, the corner frequency gradually decreases. At high frequencies, the Fourier amplitude spectrum is positively correlated with the square of the corner frequency. As a result, the high frequency spectrum amplitude is underestimated. During the propagation of the rupture to each subfault onto the major fault, the subfault corner frequency reaches the lower limit. This is called the static corner frequency for the entire fault and is the underestimated corner frequency (Sun et al., 2009; Wang et al., 2015). Consequently, the high-frequency received energy and the level spectrum are significantly underestimated. In addition, the scaling factor at high frequencies is determined according to the mean received energy distribution to every subfault, and it cannot represent the slip distribution impact on the far-field received energy. According to Eq. 8, the scaling factor of every subfault can be obtained on the basis of the calculated far-field received energy by using the whole fault corner frequency and the seismic moment of every subfault, which shows a strong correlation with the subfault number. In reality, the physical explanation of a changing corner frequency with an additional subfault is called the growth of the rupture. An earthquake ruptures over time, where both the area of the rupture and the seismic moment increase with time. Although a subfault is supposed only to represent a small portion of the fault, an increase in the corner frequency with time ideally replicates the rupture growth with time. This explains the change in the subfault corner frequency with time other than the order of its rupture. Finally, the conclusions of this study also confirm that the stochastic finite-fault method based on static corner frequency can be applied to practical engineering with the addition of a high-frequency scaling factor, and the dependence of the simulation results on the size of the subfault is very small or even negligible.

Although we make corrections to FINSIM, such as adding high-frequency scaling factor and correcting the calculation of corner frequency and seismic moment, these corrections make the simulation results independent of subfault size and basically consistent with the simulation results of EXSIM. However, it also

shows that the dynamic corner frequency itself has many disadvantages. No matter how the corner frequency is defined, the spectral amplitude is adjusted by the high-frequency scaling factor. In the dynamic corner frequency, the corner frequency of the subfault is only related to its rupture order. The corner frequency of the subfault that breaks first is greater than that of the subfault that breaks later, which obviously does not conform to the ground motion characteristics. In the calculation of high-frequency scaling factor, it is assumed that the seismic moments of each subfault are equal, which cannot fully explain the influence of uneven distribution of dislocation on the high-frequency scaling factor. Therefore, the stochastic finite-fault approach still needs further research to meet the engineering needs.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

PD carried out the theoretical studies including conception and design, material preparation, data collection, and was a major contributor in writing the manuscript. PD and QL analyzed the conclusion. LJ collected the data. All authors read and approved the final manuscript.

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SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2021.813089/full#supplementary-material>

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Conflict of Interest: Author LJ was employed by Central and Southern China Municipal Engineering Design and Research Institute Co., Ltd.

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