



Mid-Miocene Lake Level Fluctuations in the Lunpola Basin, Central Tibetan Plateau

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Knowledge of paleolake evolution is highly important for understanding the past hydroclimate regime on the Tibetan Plateau and associated forcing mechanisms. However, the hydrological history of paleolakes on the central plateau, the core region of the plateau, remains largely inconclusive. Here we present new biomarker records from lacustrine deposits of the Lunpori section in the Lunpola Basin to reconstruct detailed lakelevel fluctuations during the mid-Miocene. A set of n-alkane indexes, including the proportion of aquatic macrophytes (Pag), average chain length and carbon preference index as well as the content of n-alkanes, vary substantially and consistently throughout the studied interval. Our results altogether show relatively low lake level at ~16.3–15.5 Ma and high lake level before and after the interval, which is in line with the lithological observations in the section. Further comparison with existing regional and global temperature records suggests that lake level fluctuations can be largely linked to global climatic conditions during the mid-Miocene, with lake expansion during relatively warm periods and vice versa. Therefore, we infer that global climatic changes might have controlled the lake-level fluctuations in this region during the mid-Miocene, whereas the tectonic uplift likely played a subordinate role on this timescale.

Keywords: central Tibet, mid-Miocene, paleolake, hydrological evolution, n-alkanes

INTRODUCTION

The Tibetan Plateau, with an area larger than 2.5 million km² and an average altitude of ~5,000 m above sea level (a.s.l.) (Spicer et al., 2021), is the most significant landmass in modern Asia (**Figure 1A**). The plateau growth since the early Cenozoic is widely accepted to shape the environment, climate, and biodiversity in Asia (e.g., Zhisheng et al., 2001; Boos and Kuang, 2010; Molnar et al., 2010; Liu W. et al., 2014; Wang X. et al., 2020; Zhang et al., 2020). During the uplift process, the Tibetan Plateau itself has also undergone dramatic environmental and climatic evolution. For instance, the east-west trending Bangong-Nujiang Suture (BNS), which might be a deep paleovalley separating the Lhasa and Qiangtang blocks (**Figure 1A**) in the early stage of the plateau growth (Fang et al., 2020) and hosts several sedimentary basins (e.g., Lunpola, Nyima, Bangor) at present, is suggested to have evolved from a wet and warm subtropical ecosystem to a cold and dry condition over the Cenozoic (Deng et al., 2012; Sun et al., 2014; Wu et al., 2017; Mao et al., 2019; Cao et al., 2020; Su et al., 2020). Today, the Tibetan Plateau, known as the "water tower" of Asia, is the origin of most major revers in the Asia (e.g., Yangtze River, Yellow River, and Mekong River),

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which influences more than half of the global population and thus attracts widespread interests from scientists (Bochiola et al., 2011; Viviroli et al., 2011). Reconstructions of past hydroclimate conditions on the Tibetan Plateau could help us understand the underlying evolution mechanisms, such as global climatic changes and tectonic uplift (e.g., Miao wt al., 2012; Liu W. et al., 2014; Liang et al., 2021). Thick lacustrine deposits have been well-documented in the basins on the Tibetan Plateau (Sun et al., 2014; Song et al., 2017; Fang et al., 2020; Liang et al., 2021), which directly record the lake evolution and, therefore, provide vital insight into the hydroclimate regime in the region.

Various types of proxy records (e.g., biomarker, ostracod, carbonate and clay mineral) from lacustrine deposits on the Tibetan Plateau show important lake evolution over the Miocene period (Song et al., 2017; Guo et al., 2018; Bao et al., 2019; Liang et al., 2021). For instance, based on multiple biomarker indices, prominent lake expansion is observed in the Qaidam Basin during the mid-Miocene, followed by a long-term lake shrinkage with substantial fluctuations (Liang et al., 2021). Consistently, this pattern of lake evolution is also revealed by lithologic and geochemical evidence from not only nearby sedimentary basins on the northern plateau (e.g., the Xining Basin, Tianshui Basin, and Longxi Basin) (Peng et al., 2016; Song et al., 2018; Fang et al., 2019) but also the marginal basins on the southern plateau (Lebreton-Anberrée et al., 2016). On the central Tibetan Plateau, the core region of the plateau, earlier studies from the Lunpola Basin (e.g., the Chebuli section, Dayu section, and Wang-1 well, Figure 1B) suggest relatively high altitude, cold-dry condition, and possible lake contraction in the early Miocene (Sun et al., 2014; Jia et al., 2015; Ma et al., 2017; Cao et al., 2020; Fang et al., 2020). These studies have substantially improved our understanding of the evolution of topography and hydroclimate on the central plateau in the early Miocene. However, we know little about the subsequent paleolake status, due to the lack of well-exposed lacustrine sediments and age control, which hampers further understanding of hydrological changes on the entire plateau and associated driving mechanisms. This knowledge gap is filled here by the lake-level reconstructions using the mid-Miocene upper Dingqinghu Formation of the Lunbori section (**Figure 1B**), retrieved from the Lunpola Basin on the central plateau.

Here we report *n*-alkane records from the upper Dingqinghu Formation in the Lunbori section that can be linked to hydrological changes of the paleolake during the mid-Miocene. A recent detailed magnetostratigraphic study based on zircon dating (Mao et al., 2019) and mammalian fossils (Deng et al., 2012) in the section has constrained this stratigraphic interval to the mid-Miocene period (Tan, 2019). As the Dingqinghu Formation is suggested to deposit in a semi-deep to shallow lacustrine environment in the Lunbori section (Mao et al., 2019), it can effectively document the lake evolution in the Lunpola Basin. Multiple n-alkane biomarker indices including the carbon preference index (CPI), average chain length (ACL), and proportion of aquatic plants (Pag), were used to reconstruct lake-level variations. Due to different n-alkane distribution patterns in terrestrial and aquatic macrophytes (Liu and Liu, 2016), these indices could directly reflect relative contributions from these organic matter sources, and thus be indicative of lake water depth (Liu Z. et al., 2014; He et al., 2014; Wang Z. et al., 2020; Jiang et al., 2021). In addition, the n-alkane content and lithological characteristics, which might be associated with the primary productivity and subaqueous environment respectively (Liu Z. et al., 2014; Liang et al., 2021), were also applied to evaluate lake conditions. By comparing with regional and global climate records and tectonic activities on the Tibetan Plateau, we further inferred the forcing mechanisms which might



FIGURE 2 The stratigraphy sequence and lithology of the middle-upper part of the Dingqinghu Formation in the Lunpori section. (A) The entire stratigraphic column shows two age constraints within the Lunpori section, i.e., fossil mammal (*Plesiaceratherium* sp., Deng et al., 2012) and zircon dating (Mao et al., 2019). (B) Detailed stratigraphic column of our studies interval. Representative photographs of the paper-like oil shale, fossil mammal (*Plesiaceratherium* sp., Deng et al., 2012), and red mudstone layers respectively. The column colour (i.e., dark grey, light grey, yellow, and red) indicates the colour of the strata.

have induced lake-level fluctuations. Our biomarker records delineate the detailed lake-level history of the Lunpola Basin during the mid-Miocene and provide further constraints on the hydrodynamics on the Tibetan Plateau and its associated forcing mechanisms.

MATERIALS AND METHODS

Lunpori Section

The Lunpola Basin, with an altitude of \sim 4,500 m a.s.l. and an area of \sim 3,600 km², is situated along the southern margin of the BNS belt on the central Tibetan Plateau, framed by the Qiangtang and

Lhasa Terranes (**Figure 1A**) (Fang et al., 2020). Today, a general basin-wide syncline structure exists in the Lunpola Basin, i.e., the north fold-thrust, the central depression, and the south thrust belt, with the Cenozoic sequence larger than 4,000 m thick including the Dingqinghu and Niubao Formations. The Lunpori section (31°56′18.27″N, 89°48′3.62″E) is located close to the Lunpori village in the central depression (**Figure 1B**) where the middle and upper members of the Dingqinghu Formation are well exposed (**Figure 2A**) (Mao et al., 2019). Our studied interval in this work is the upper part of the Lunpori section (153 m, **Figure 2B**), which mainly consists of grayish mudstone, silty mudstone, and oil shales intercalated with a few siltstones and marlite layers, indicating relatively stable and shallow lake facies (**Figure 2B**).

The depositional age of the Lunpori section is well constrained by zircon U-Pb dating (Mao et al., 2019) and mammal fossil (Deng et al., 2012). First, in the middle Dingqinghu Formation, zircon U-Pb dating has yielded concordant ages of 20.6 ± 0.1 million years ago (Ma) and 20.7 \pm 0.1 Ma for the tuff layers at the bottom (Mao et al., 2019) (Figure 2A). Second, the mammalian rhino fossil (Plesiaceratherium) reported by Deng et al. (2012) from the upper Dingginghu Formation has been uncovered worldwide between the Miocene cold events Mi-1b and Mi-2, implying an age of ~18-16 Ma (Figure 2A). Recently, by using these two types of control points, a detailed magnetostratigraphic study has correlated the entire Lunpori section to the early and mid-Miocene period (Tan and Liu, 2019). Based on this age assignment (Tan, 2019), the chronology of our studied interval is calculated to be ~16.8-14.7 Ma.

Biomarker Analysis

In our studied interval (153 m), 165 rock samples were collected in total at an average spacing of ~1 m except for the stratigraphy covered by Quaternary sediments, including mudstone, oil shale, marlite, and silty mudstone. Before extracting organic lipids, we scraped off rock surface and cleaned freshly exposed faces with deionized water. Then, all samples (~20 g each) were grounded, freeze-dried, and extracted ultrasonically with dichloromethane (DCM): methanol (MeOH) (9:1 solution; v/v) for three times. Subsequently, the *n*-alkane fraction was separated by silica gel column chromatography using the eluent of n-hexane. Finally, we analyzed the n-alkanes on an Agilent 7890B Gas Chromatography (GC), equipped with a flame ionization detector (FID), at the University of Hong Kong. Identification of *n*-alkanes was through direct comparisons of retention times with known laboratory standards. All n-alkanes were quantified through the external n-C₃₆ standard of a known concentration.

The *n*-alkane indices, namely CPI, modified based on Marzi et al. (1993), P_{aq} (Ficken et al., 2000), and ACL (Poynter and Eglinton et al., 1990) were calculated via the following equations:

$$\begin{split} CPI &= (C_{19} + C_{21} + C_{23} + \ldots + C_{33})/(C_{20} + C_{22} + C_{24}) \times (7/8); \\ P_{aq} &= (C_{23} + C_{25})/(C_{23} + C_{25} + C_{29} + C_{31}); \\ ACL &= (19 \times C_{19} + +21 \times C_{21} + \ldots + 33 \times C_{33})/(C_{19} + C_{21} + \ldots + C_{33}), \end{split}$$

where C_i is the concentration of *n*-alkane of i number carbon. Analytical uncertainty of replicated measurements is typically less than 5% for individual compounds and 0.01 units for ratio-based indices.

RESULTS

Throughout the records at ~16.8–14.7 Ma, the *n*-alkane indices (i.e., P_{aq} , CPI, and ACL), as well as the content of *n*-alkanes, vary substantially and consistently (**Figure 3**; **Supplementary Table S1**). Generally, the P_{aq} record, ranging from 0.09 to 0.89, can be divided into three stages (**Figure 3A**). In detail, higher P_{aq} values

can be observed during the intervals of ~16.8-16.3 and 15.5–14.7 Ma (when averaged P_{aq} values are 0.44 and 0.38 respectively), while lower P_{aq} values exist during the interval of ~16.3-15.5 Ma (with averaged value of 0.29). Such a variation pattern could also be recognized in the content of *n*-alkanes (Figure 3D), which changes between ~80 and 15,000 ng/g, e.g., higher contents during the periods ~16.8-16.3 and 15.5-14.7 Ma (when averaged values reach 4,100 and 3,300 ng/g respectively) and lower contents during the period of ~16.3-15.5 Ma (with averaged value of 1800 ng/g). The CPI and ACL records, varying from 2.66 to 9.79 and 24.96 to 29.10 respectively, show a distinctly anti-phase relationship with the Paq and n-alkane content (Figure 3). The episodes of ~16.8-16.3 Ma and 15.5-14.7 Ma are mainly characterized by lower values (with averaged CPI of 4.86 and 4.92 respectively, and averaged ACL of 26.9 and 27.1 respectively). On the contrary, higher CPIs and ACLs occurred during the period of ~16.3-15.5 Ma (when averaged CPI is 5.56 and averaged ACL is 27.8) (Figures 3B,C). Hence, these records are characterized by lower P_{aq} and *n*-alkane content values and higher CPI and ACL values at ~16.3-15.5 Ma, in addition to the beginning and end of the studied period, whereas the opposite occurred around 16.5 and 15.1 Ma (Figure 3).

DISCUSSION

Biomarker Interpretation

In our records, long-chain *n*-alkanes show typical odd-to-even preference (CPI >3, Figure 3C), indicating that the *n*-alkanes were well preserved in sediments with minor degradation (Liu Z. et al., 2014). All n-alkane indices can thus be used to infer the source of organic matters in the paleolake. To date, n-alkane distribution patterns in different types of plants have been wellstudied. In general, submerged/floating macrophytes appear to generate significant amount of mid-chain C₂₁-C₂₅ n-alkanes, while terrestrial vascular plants produce more long-chain C27-C31 n-alkanes (Liu Z. et al., 2014; He et al., 2014; Liu and Liu, 2016; Wang Z. et al., 2020). Therefore, the *n*-alkane distribution pattern of dominant mid-chain homologues, as indicated by higher P_{ag} and lower ACL values, can be directly linked to more contribution of submerged/floating macrophytes. In contrast, the distribution pattern of dominant long-chain components with lower P_{ag} and higher ACL values would imply relative importance of terrestrial input (Jiang et al., 2021). In this sense, we suggest that higher P_{aq} and lower ACL values around ~16.5 Ma and ~15.1 Ma indicate larger contribution of submerged/floating macrophytes relative to terrestrial input, and vice versa (i.e., ~16.3-15.5 Ma) (Figures 3A,B).

This interpretation is further supported by the CPI record which can also reflect the composition of *n*-alkane pool. Generally, *n*-alkanes produced by the terrestrial vascular tend to have stronger odd-to-even preference, perhaps due to the production of leaf epicuticular waxes (Eglinton and Hamilton, 1967), and thus higher CPI values than aquatic communities (Liu Z. et al., 2014). In our case, intervals of lower CPI values and higher n-alkane contents around ~16.5 and ~15.1 Ma (**Figures 3C,D**), indicative of reduced terrestrial input from adjacent



watersheds, correspond well with intervals of higher P_{aq} and lower ACL values (**Figures 3A,B**). Therefore, all these *n*-alkane indices from the Lunpori section consistently document the sources of organic matters of the paleolake in the Lunpola Basin during the mid-Miocene.

Lake-Level Fluctuations During the Mid-Miocene

Based on investigations of surface sediments in modern lakes, previous studies suggest that the composition of sedimentary *n*-alkane pool appears to be closely associated with its water depth, which might result from the community structure of aquatic species in the lake (Liu Z. et al., 2014; He et al., 2014; Liu and Liu, 2016; Wang Z. et al., 2020; Jiang et al., 2021). Due to the niche differentiation and habitat filtering, an optimum range of water depth is widely accepted to be necessary for the growth of specific aquatic macrophytes (Jones et al., 2002). For instance, emergent plants, which display a similar *n*-alkane distribution pattern with terrestrial plants (i.e., more production of long-chain homologues), are usually the dominant *n*-alkane source along lake littoral zones but gradually replaced by the thrived submerged and floating macrophytes with increasing depth to ~1-10 m (Hannon and Gaillard, 1997; Rea et al., 1998;Liu and Liu, 2016; Jiang et al., 2021). Further, terrestrial input from surrounding watersheds would decrease with increasing water depth. Hence, in a relatively shallow lake, such as the paleolake in the Lunpola Basin during the mid-Miocene (Mao et al., 2019), higher P_{aq} and lower CPI and ACL values, which reflects more proportion of submerged/floating vs. emergent/terrestrial plants,

can be used to infer deeper water depth of the lake. We thus interpret that the lake level in the Lunpola Basin was relatively high around ~16.5 and ~15.1 Ma and realtively low at ~16.3–15.5 Ma, perhaps in addition to the beginning (before ~16.6 Ma) and end (after ~14.9 Ma) of the studied interval (**Figure 3**).

Although lower Pag and higher CPI and ACL values could sometimes indicate increased water depth, e.g., in relatively deep lake settings (Liu Z. et al., 2014), the corresponding changes in *n*-alkane content and lithological characteristics, together with the pollen evidence from nearby Chebuli section, do not support such an interpretation in our case. First, during the intervals of higher Paq and lower ACL and CPI values (i.e., ~16.8-16.3 and ~15.5-14.7 Ma), we also found higher amount of n-alkanes (Figure 3) that may be linked to better preservation condition of organic matter and/or enhanced lake primary productivity (minor contribution from terrestrial input as inferred from n-alkane indexes), and thus possibly deeper water depth (Liu Z. et al., 2014). Second, relatively low P_{aq} and high ACL and CPI valuesare largely observed in the mudstone beds with more silty and light-color sediments, which might have been deposited in relatively shallow and oxidizing subaqueous environment (Figure 3). Third, during the early Miocene when the lake in this basin was realtively deep as compared to the mid-Miocene, based on lithological characteristics, relatively low CPI and ACL values at ~23-22 Ma correspond well with the substantial increase of warm-humid pollen species Keteleeriaepollenites (Sun et al., 2014; Cao et al., 2020), further supporting our interpretation of lower \boldsymbol{P}_{aq} and higher ACL and CPI values to reflect lower lake level. Therefore, these lines of evidence



FIGURE 4 | Comparison of representative *n*-alkane index P_{aq} from the Lunpori section with regional and global records during the middle Miocene. (**A**) Global benthic foraminiferal $\delta^{18}O$ (Zachos et al., 2001). (**B**, **C**) Sea surface temperature (SST) records from the northern Atlantic Ocean at DSDP site 608 (TEX₈₆-based, Super et al., 2018) and the eastern tropical Indian Ocean at ODP site 761 (Mg/Ca-based, Sosdian and Lear, 2020) respectively. (**D**) Pollen humid conifer percentage from the Qaidam Basin, northern Tibetan Plateau (Miao et al., 2011) and palaeosol carbonate $\delta^{18}O$ from the Siwalik, southern Tibetan Plateau (Quade et al., 1989). (**E**) Biomarker gammacerane content from the Qaidam Basin, northern Tibetan Plateau (Lebreton-Anberrée et al., 2016). (**F**) P_{aq} values from the Lunpori section (this study). The grey bar indicates the period of relatively low lake level during gobal cool condition. MMCO in (**A**) denotes the middle Miocene climatic optimum interval.

collectively suggest relatively high lake level around \sim 16.5 Ma and \sim 15.1 Ma and low level at \sim 16.3–15.5 Ma in the Lunpola Basin during the mid-Mioecene.

Associated Forcing Mechanisms

Today, the northern and southern Tibetan Plateau are mainly controlled by the westerlies and south Asian monsoon respectively, while the central plateau is located in the transition zone receiving mixed moisture from both atmospheric circulations (Yao et al., 2009). As such atmospheric circulation systems might have already been built on the Tibetan Plateau in the early Miocene (Guo et al., 2008; Caves et al., 2015), it can be inferred that the Lunpola Basin on the central Tibetan Plateau was likely influenced by both the westerlies and south Asian monsoon during the studied period, the mid-Miocene. In this study, environmental changes (lake level) inferred from the Lunpori section in the Lunpola Basin are in line with those on the northern and southern Tibetan plateau. For instance, the content of gammacerane and carbon isotope of bulk organic matter ($\delta^{13}C_{org}$) from the Qaidam (northern Tibetan Plateau) and Wushan Basin (southeastern Tibetan Plateau) respectively (Lebreton-Anberrée et al., 2016; Liang et al., 2021), suggest similar lake level changes during the mid-Miocene (e.g., lake shrinkage at ~16.3-15.5 Ma and expand before and after this interval) (Figure 4E). Further, an analogous pattern of detailed fluctuations can also be identified from the moisture records in these regions. Intervals of increased lake level, as indicated by higher Pag and lower ACL and CPI values, coincide with intervals of reduced aridity in the northern and southern plateau, as indicated by the higher percentage of humid conifers (Miao et al., 2011) and more negative oxygen isotope ($\delta^{18}O_{carb}$) of paleosol (Quade et al., 1989) respectively (Figure 4D). Hence, we infer that higher lake level in the Lunpola Basin (e.g., ~16.5 and 15.1 Ma) might have resulted from enhanced moisture transport from both westerlies and south Asian monsoon, and vice versa (e.g., ~16.3-15.5 Ma).

Moreover, lake-level oscillations display a high degree of consistency with global climatic conditions, with high lake level during the relatively warming period (e.g., ~16.5 Ma and 15.1 Ma), as suggested by the negative excursion of global benthic δ^{18} O (Zachos et al., 2001) (**Figure 4A**). Similarities of the general patterns are also recognized between our n-alkane indices and sea-surface temperature (SST) record from the North Atlantic (Figure 4B) (Super et al., 2018). A SST record from the Indian Ocean (Figure 4C) (Sosdian and Lear, 2020) does not show particular cooling at ~16.3-15.5 Ma, perhaps due to chronological uncertainties and relatively small signal of SST changes in tropical oceans. However, the overall pattern of lakelevel changes corresponds well to global benthic δ^{18} O changes, indicative of global climatic control on regional temperature and hydrological conditions. It has long been recognized that the concentration of atmospheric water vapor is highly dependent on temperature and also plays an important role in the climate system (Miao et al., 2012; Sun et al., 2020; Liang et al., 2021). During global warmer intervals, strengthened evaporation effect of global oceans would provoke higher moisture level in the atmosphere, exerting significant influence on the continental area. In our case, higher concentration of water vapor would have been carried to the Lunpola Basin by the westerlies and south Asia monsoon when the North Atlantic and Indian Ocean were warmer. Thus, intensified basin moisture level and lake expansion could be associated with global warmer intervals. Such temperature control on regional humidity has also been reported on orbital timescales in westerlies region (Liu W. et al., 2014; Li et al., 2020).

In addition to global climatic conditions, the tectonic uplift has also been proposed as a potential factor to influence the long-term hydrological evolution on the Tibetan Plateau (Miao et al., 2012; Liu W. et al., 2014; Wang X. et al., 2020; Liang et al., 2021). The growth of nearby mountains could block the delivery of water vapor into the basin and gradually induce lake contraction. In the central Tibetan Plateau, multiple paleoaltimeters including stable isotopes, pollen assemblages, and fossil leaves and mammals indicate that the Lunpola Basin and its surrounding mountain ranges (i.e., Gangdese, Tanggula, and Fenghuoshan Mountains) might have already reached a high elevation close to the modern height prior to the mid-Miocene (Rowley and Currie., 2006; Deng et al., 2012; Sun et al., 2014; Jia et al., 2015; Xu et al., 2018; Fang et al., 2020; Xiong et al., 2020). In this case, the tectonic configuration was inferred to be relatively stable around the Lunpola Basin since the mid-Miocene, and thus tends to exert minor impact on its long-term moisture changes. However, such inference cannot be tested in our study due to the relatively short studied interval. Identifying the role of tectonic uplift in the longterm lake dynamics requires longer records and warrants further research. Our results mainly show the detailed lake-level fluctuations during the mid-Miocene, which correlates well with contemporaneous global climatic changes, rather than the tectonic uplift, i.e., lower lake level under cooler conditions, and vice versa (Figure 4). Overall, we infer that the lake status in the Lunpola Basin, especially the fluctuations, was largely associated with global climatic changes during the mid-Miocene.

CONCLUSION

Multiple records of *n*-alkane indices and *n*-alkane contents from the Lunpori section consistently document the paleolake evolution in the Lunpola Basin, central Tibetan Plateau during the mid-Miocene. All these records indicate relatively high lake level around ~16.5 and ~15.1 Ma and low level at ~16.3–15.5 Ma in the Lunpola Basin during the mid-Mioecene. Lake level fluctuations in the basin correspond well to regional and global climatic changes, i.e., lake expansion during relatively warm intervals and shrinkage during relatively cool intervals,

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which appears to be primarily linked to global climatic conditions during the mid-Miocene, with the impact of tectonic activities on the hydrological status to be minor on this timescale.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/**Supplementary Material**, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

Conceptualization: ZL and CQ; investigation: YuL, CQ, and YoL; formal analysis: YuL, WL, and ZL; funding acquisition: CQ, WL, and ZL; writing: YuL and ZL led the writing with intellectual contributions from all coauthors.

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SUPPLEMENTARY MATERIAL

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