



# High-Resolution Flood Simulation in Urban Areas Through the Application of Remote Sensing and Crowdsourcing Technologies

Yuan-Fong Su<sup>1</sup>, Yan-Ting Lin<sup>2</sup>, Jiun-Huei Jang<sup>3\*</sup> and Jen-Yu Han<sup>4</sup>

<sup>1</sup>Department of Harbor and River Engineering, National Taiwan Ocean University, Keelung, Taiwan, <sup>2</sup>National Center for Research on Earthquake Engineering, National Applied Research Laboratories, Taipei, Taiwan, <sup>3</sup>Department of Hydraulic and Ocean Engineering, National Cheng Kung University, Tainan, Taiwan, <sup>4</sup>Department of Civil Engineering, National Taiwan University, Taipei, Taiwan

High-resolution flood simulation considering the influence of high buildings and fundamental facilities is important for flood risk assessment in urban areas. However, it is also a challenging task due to the difficulties in acquiring detailed topography and monitoring data for model construction and validation. In this study, a high-resolution flood simulation with a grid size of 0.5 m is realized through the use of detailed topography obtained by an unmanned aerial vehicle and real-time flood information acquired from social media. To discover the influence of terrain resolution on flood simulations, the high-resolution simulation results are compared with those with coarser grid resolutions (5, 10, and 20 m) for a flash flood event in Taiwan. In the case with higher grid resolution, the simulation results are in better agreement with the photos from social media in terms of flood extent, depth, and occurrence time. The flood simulation with coarse resolution to decision-makers in the assessment of traffic impact and economic loss.

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#### \*Correspondence:

Jiun-Huei Jang jamesjang@mail.ncku.edu.tw

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# INTRODUCTION

Flash floods resulting from extremely heavy rainfall have been recognized as one of the most common and destructive threats in recent years (Panthou et al., 2014; Chan et al., 2016; Bao et al., 2017; Busuioc et al., 2017; Yang et al., 2017; Fu et al., 2019). In the last two decades, computational flood simulation (CFS) has been widely used to generate detailed flood scenarios in space and time by simulating water transportation on the surface and in sewer systems (Hunter et al., 2007; Kuiry et al., 2010; Seyoum et al., 2012; Jahanbazi and Egger, 2014; Chang et al., 2015; Jang et al., 2018; Jang et al., 2019). However, these CFS models require a detailed digital elevation model (DEM) and real-time flood records for model construction and validation, which are often inadequate in timeliness and accuracy for flash flood events occurring rapidly in localized areas (Suarez et al., 2005; Yin et al., 2016; Pregnolato et al., 2017).

Many research studies have highlighted the influence of DEM resolution on hydraulic modeling (Leitao et al., 2009; Vaze et al., 2010; Li and Wong, 2010; Saksena and Marwade, 2015). For urban flood modeling, Yang et al. (2014) recommended that the resolution of a DEM should be higher than 5 m to properly represent topographical indices. Flood simulation under coarser DEM resolutions

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tends to overestimate the flood area and underestimate the flood depth in low-lying urban areas (Kim et al., 2020). Recently, some authors mentioned that a high-resolution DEM has a greater influence on flood damage estimation than on flood hazard estimation (Komolafe et al., 2018). Low spatial resolutions may generate large errors in flood loss estimation due to improper representation of buildings (Afifi et al., 2009). Jang et al. (2021) indicated that the increase in DEM resolution greatly increased the accuracy of flood hazard maps and reduced the errors in household flood risk analyses by 53%. Thus, the acquisition of a high-resolution DEM has become a crucial task for sophisticated flood impact analysis in urban areas.

Traditionally, DEM data are derived by airborne Lidar which is too costly to be updated frequently (Sankey et al., 2018), and flood records are usually obtained by post-disaster field investigations that contain only rough coordinates and water depths without detailed time series. Recently, two raising techniques, namely, unmanned aerial vehicle (UAV) and volunteered geographic information (VGI) have been adopted for DEM generation and flood detection, respectively. Studies have shown that the DEM derived by UAVs have similar performances in urban overland flow modeling compared with that derived from Lidar (Leitão et al., 2016). With proper design, the DEM resolution derived from UAV images could reach a submeter scale, and its capacity to improve the urban flood modeling is the major focus of this study. It is worth noting that to reach sub-meter scale using UAV images is usually limited to a small spatial extent, while airborne Lidar could produce DEM submeter resolution for a much larger spatial extent.

The VGI considers every citizen as a sensor to acquire spatial data on a wide range of phenomena by crowdsourcing the keywords on social media such as Facebook, Twitter, and Instagram (Goodchild and Glennonm, 2010; Le Coz, et al., 2016; Michelsen, et al., 2016; Starkey et al., 2017; Tauro et al., 2018). Cervone et al. (2015) used Twitter for remote sensing data collection and damage assessment of transportation infrastructure in the case study of 2013 Boulder flood. Huang et al. (2018) proposed a convolutional neural network (CNN) architecture to classify the flood pictures and a sensitivity test to extract flood-sensitive keywords that were further used to refine the CNN results. The VGI photos however are not free of problems. When using VGI photos to validate flood modeling,

the geographic location of the VGI photos needs to be recognizable and the time stamp of the VGI photos needs one assumption that the time when the photo was posted on social media and the moment when the photos were taken are very close.

From literature review, the UAV, VGI, and CFS have been used in some developed countries for DEM construction, flood detection, and inundation simulation, respectively. However, these applications are more like practices without an overall discussion on the methodology, strength, and uncertainties in the process of combining the three techniques. Moreover, only very few studies explore the influence of DEM resolutions on hydraulic modeling at a sub-meter scale. The applications of UAV and VGI open a new page for the sophistication of CFS models. Compared with traditional methods, the UAV and VGI are more economical and applicable to retrieve detailed terrain and flood information in real time. The DEM generated by UAV aerial imagery can be used as the boundary conditions to increase the spatial resolution of CFS, and the time series of water levels retrieved by VGI can be used to validate the temporal variation of CFS results. With the help of UAV and VGI, this study introduces





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the methodologies and demonstrates the advantages of conducting high-resolution CFS for flood risk assessment in urban areas.

# MATERIALS AND METHODS

The flash flood event on June 14, 2015, in Gongguan, Taipei, Taiwan, is selected for case study. The rainfall event occurred between 13:00 and 18:00 on June 14, 2015, with an hourly rainfall peak of 131.5 mm/h from 14:30 to15:30, as shown in **Figure 1**. This rainfall intensity exceeded the designed drainage capacity of the sewer system and resulted in severe flash flooding in

the cross section of Keelung Road and Changxing Street near National Taiwan University. The study area and the location of the rain gauge are shown in **Figure 2**. The DEM derived by UAV integrated with structure from motion (UAV-SfM) and the flood photos collected from VGI are used to establish and validate the CFS, respectively. The conceptual flowchart of this study is shown in **Figure 3**. First, the UAV is deployed in clear weather after the flood event to collect a great number of images for generating DSM/DEM of the study area. Second, the rainfall and DEM resampled under four different resolutions are introduced into a CFS model to reconstruct the time series of flood depth and extent for the selected flood event. Finally, the simulated results are compared with the VGI photos to see the influence of DEM resolution on CFS.





FIGURE 5 | Images taken by UAV and the distribution of the ground control points.

#### **DEM** Generated by UAV

The procedure of developing the urban 3D terrain is shown on the left side of **Figure 3**. The methods of generating DEM from a set of aerial images or videos are quite mature (Zhou et al., 2004; Pollefeys et al., 2008), which are based on the fundamental principle of collinearity condition (**Figure 4**) expressed by the following equations:

$$\begin{aligned} x_{p} &= -f \Bigg[ \frac{m_{11} \left( X_{P} - X_{L} \right) + m_{12} \left( Y_{P} - Y_{L} \right) + m_{13} \left( Z_{P} - Z_{L} \right)}{m_{31} \left( X_{P} - X_{L} \right) + m_{32} \left( Y_{P} - Y_{L} \right) + m_{33} \left( Z_{P} - Z_{L} \right)} \Bigg] \end{aligned}$$
(1)  
$$y_{p} &= -f \Bigg[ \frac{m_{21} \left( X_{P} - X_{L} \right) + m_{22} \left( Y_{P} - Y_{L} \right) + m_{23} \left( Z_{P} - Z_{L} \right)}{m_{31} \left( X_{P} - X_{L} \right) + m_{32} \left( Y_{P} - Y_{L} \right) + m_{33} \left( Z_{P} - Z_{L} \right)} \Bigg], \end{aligned}$$
(2)

where  $x_p$  and  $y_p$  are the image coordinate of any point p;  $X_P$ ,  $Y_P$ , and  $Z_P$  represent the ground coordinate of point p;  $X_L$ ,  $Y_L$ , and  $Z_L$  represent ground coordinate of the projection (optical) center; f is the focal length;  $m_{11} \dots m_{33}$  are the coefficients of a  $3 \times 3$  rotation matrix defined by the angles  $\omega$ ,  $\phi$ , and  $\kappa$  that transforms the ground coordinate system to the image coordinate system (Lillesand and Kiefer, 1999). The six parameters  $X_L$ ,  $Y_L$ , and  $Z_L$  and  $\omega$ ,  $\phi$ , and  $\kappa$  are used for exterior orientation of an image, which can be determined through the process of space resection, as illustrated in **Figure 4**. In the figure, the X, Y, and Z coordinate of any point in the matched stereo pair of tilted images can be determined. Using a set of images taken by

UAV, the ground coordinate of any point in the overlap of tilted images can be determined by the image matching method of structure from motion (Remondino and Fraser, 2006; Westoby et al., 2012). Finally, the coordinate accuracies at checkpoints are examined, and the urban 3D terrain model is obtained by resampling the coordinates to a regular gird system by the nearest neighboring method (Wu, 2013).

The UAV used in this study is DJI Phantom 2 Vision+ (Da-Jiang Innovations) which weighs 1.2 kg and has a camera with  $4,384 \times 2,466$  pixels. The focal length of the camera is 3.3 mm, and the field-of-view is 110°. The UAV campaign was conducted in the early morning of July 22, 2015, in a cloudless condition from 06:00 to 06:40 to reduce the disturbances from weather and traffic. The camera on the UAV is set in a nadir-looking orientation for image data collection. In total, 589 positioned images were acquired with an overlap ratio of 75-85% and a mean spatial resolution of 2.84 cm. After space intersection, the average ground sampling distance of point cloud is 0.03 m. The UAV images were processed to generate orthomosaic image and digital surface model (DSM) with Pix4Dmapper Pro version 1.4.46 (Pix4D). To derive absolute coordinates, three ground control points (GCPs) were distributed in the study area (Figure 5). The coordinates of the three GCPs were acquired using the static positioning of the global navigation satellite system (GNSS) with positional accuracy at centimeter level. The absolute positions of the images captured through the GNSS receiver in the UAV were recorded to establish the coordinate system constrained on the three GCPs.

The lens distortion of the camera was calibrated by flexible and powerful self-calibrating bundle adjustment (Remondino and Fraser, 2006). The calibration relies on the continuous overlapped images to do the aerotriangulation adjustment. After the interior orientation and the coordinates of the object points are calculated, the correction  $\Delta x$  and  $\Delta y$  can be revised as follows:

$$\begin{cases} \Delta x = -\Delta x_0 - \frac{x_i}{f} \Delta f + K_1 x_p r^2 + K_2 x_p r^4 + K_3 x_p r^6 + P_1 \left( r^2 + 2x_p^2 \right) + 2P_2 x_p y_p \\ \Delta y = -\Delta y_0 - \frac{y_i}{f} \Delta f + K_1 y_p r^2 + K_2 y_p r^4 + K_3 y_p r^6 + P_2 \left( r^2 + 2y_p^2 \right) + 2P_1 x_p y_p \end{cases},$$
(3)

where  $\Delta f$  is the principal distance error;  $\Delta x_0$  and  $\Delta y_0$  are the displacements of the principal point;  $K_1$ ,  $K_2$ , and  $K_3$  are the parameters of the radial distortion;  $P_1$  and  $P_2$  are the parameters of the decentering distortion; and r is the distance between the image point and the principal point. The calibrated parameters are listed in **Table 1**.

For CFS application, the DSM was converted to DEM by removing the vegetation and the viaduct. The vegetation such as shrubs and grasses is detected by the normalized difference vegetation index (NDVI) in the range of 0.2–0.3 (Candiago et al., 2015). Since the UAV images only observed red, green, and blue bands, the near-infrared band was built on a specific linear combination of the three bands with a lower-pass filter (Rabatel et al., 2011). To remove the viaduct, the DSM points with elevations higher than 9 m on the Keelung Road are removed, and the DEMs beneath the viaduct were obtained

#### TABLE 1 | Calibrated parameters for camera on the UAV.

Parameter		Value	Parameters	Value	
Image size (pix)		4,384*2,466	Focal length (mm)	3.32347	
Pixel size (µm)		1.3306	Radial distortion	$K_1$	-0.382174
Principal point (mm)	<i>x</i> <sub>0</sub>	2.9718		K <sub>2</sub>	0.182175
	Уо	1.71489		$K_3$	-0.046338
CCD size (mm)	Width	5.83335	Decentering distortion	$P_1$	0.000508
	Height	3.28126		$P_2$	0.000017



FIGURE 6 VGI photos from social media and their acquisition time (adopted from PTT, Taiwan).

through interpolation using the neighboring ground elevation data obtained by the UAV. This treatment did not deteriorate flood simulation because the area beneath the viaduct occupied about only 10% of the entire study domain, and the viaduct is located along the road centerline with higher ground elevations where floodwater less accumulated. Despite the viaduct, all the buildings are maintained so that flood simulation can more truly reflect the field conditions. Based on the NDVI and elevation thresholds, the vegetation and viaducts were filtered out in the DEM so that floodwater can transport smoothly on the ground surface. However, unlike traditional DEM, the elevations of buildings were not removed to reflect the blocking effect on water transverse.

## VGI From Social Media

Ethical and legal concerns are big issues for collecting and using VGI (Foody et al., 2017). Fortunately, the Copyright Act and Relative Laws in Taiwan allow researchers to quote, within a reasonable scope, publicly released works for reports, comment, teaching, research, or other legitimate purposes (Intellectual Property Office., 2019). Based upon the act, the VGI data used in this study are collected from the most famous bulletin board system (BBS) in Taiwan named PTT. There are 8 photos collected from PTT posted during 15:20-16:30 on June 14, 2015. From these photos, we visually identified 8 locations in the study area, as shown in Figure 6. Since the VGI photos downloaded from social media were without EXIF attributes, an obvious landmark

		GCP_1	GCP_2	GCP_3	GCP_4	GCP_5	GCP_6	Mean	Std	RMSE
UAV	Х	-0.006	0.025	-0.019	-0.027	0.014	0.008	-0.001	0.018	0.044
	Y	0.061	-0.035	-0.038	0.059	0.039	-0.055	0.005	0.049	0.053
	Z	0.002	-0.016	0.000	-0.024	0.021	0.026	0.002	0.018	0.041
SRTM (30 m)	Х	7.556	8.158	-12.744	13.737	-5.778	-11.562	-0.105	10.342	10.343
	Y	-7.753	-6.987	-10.723	5.558	-12.510	10.414	-3.667	8.554	9.307
	Ζ	5.049	5.622	7.268	3.194	6.634	10.565	6.389	2.268	6.779
DEM (20 m)	Х	-2.407	-6.559	1.627	0.000	0.597	2.822	-0.653	3.088	3.157
	Y	-3.280	0.000	1.200	8.340	-3.010	-1.750	0.250	3.948	3.956
	Ζ	-1.049	1.622	0.268	1.194	1.634	1.565	1.222	0.481	1.313

TABLE 2 | Accuracy of the ground control points (m)

in each photo was identified to mark the GNSS and visually determine the relative flood depth with reference to neighboring objects with generally fixed size such as wheels of bikes or elevations of sidewalks. This GNSS information can be obtained based on post-disaster field surveys and is invariant as long as the landmarks were not destroyed during flooding. The time stamp and the virtual water depths in these photos were used to validate the CFS model. Although the time stamp when photos were posted on the internet may not always be the acquisition time and the flood depth estimated from photos may be subject to experts' experience, these uncertainties can be reduced by the functions of "live stream" and "image recognition" on social media.

#### **CFS Model**

The CFS model used in this study was developed by Jang et al. (2018), in which a 2D overland flow model (OFM) is coupled with a 1D sewer flow model (SFM) for sophisticated flood simulation in cities with drainage systems. The OFM and SFM are established based on shallow water equations and finite difference numerical methods. The alternate direction explicit scheme and implicit backward Euler algorithm are used to solve the OFM and SFM, respectively. The SFM adopted the Preissmann slot method (Cunge and Wegner, 1964) to calculate the full and partially full flow conditions at the same time. For the OFM simulation, the elevation at each grid center is extracted from the DEM data. During rain, the OFM is first initiated for surface water routing and the SFM is then initiated by the water that flows into the sewer pipes via street inlets. When the sewer pipes get full, the sewer water surcharges back onto the ground surface via manholes. In the simulation process, the water exchanged between the two models is determined by weir and orifice functions via a one-to-one relationship. To deal with wetting and drying, a tolerance water depth of 0.001 m is used to distinguish wet and dry cells. If a cell has a water depth lower than 0.001, all velocities in this cell are set to zero to ensure numerical stability caused by sudden drying in the next time step. The CFS model has been validated in urban and coastal areas with an overall hit rate of 0.8, meaning that 80% of the observed food extents are correctly predicted. The details of the CFS model can be referred to Jang et al. (2018); Jang et al. (2019).

# **RESULTS AND DISCUSSIONS**

## DEM

The DEM accuracy is examined at the six GCPs. The errors in X, Y, and Z directions range from -0.027 to 0.025 m, -0.055-0.061 m, and -0.024-0.026 m, respectively. The root mean square errors (RMSEs) of the absolute coordinate at the three GCPs are less than 0.053 m (**Table 2**). The RMSEs of SRTM were less than 10.343 m, and the RMSEs of 20 m DEM were less than 3.953 m. The comparison of the RMSE values showed that the UAV terrain model was with the smallest RMSE to achieve high precision topography. The orthoimage and the DEM resampled under spatial resolutions of 0.5, 5, 10, and 20 m are shown in **Figure 7**. In the figure, the elevation distributes from 5.5 to 55.8 m, and the buildings are displayed in warm colors.

## **Flood Extent**

To discover the influence of DEM resolution on flood simulation, the grid meshes of the CFS model are established under four grid sizes  $(0.5 \text{ m} \times 0.5 \text{ m}, 5 \text{ m} \times 5 \text{ m}, 10 \text{ m} \times 10 \text{ m}, 20 \text{ m} \times 20 \text{ m})$ , in which the elevation at each grid center is extracted from the DEM with accordant resolution. The flood extents simulated under the four DEM resolutions at different times are displayed in Figure 8, in which the VGI points out of the 8 locations are marked if the simulated flood depths exceed 0.05 m. The value of 0.05 m is selected as the threshold because it is the shallowest water depth observed in the VGI photos (see point #6 in Figure 6). In the case with 0.5 m DEM resolution, flooding starts around 14:00 at points #4, #7, and #8, peaks around 15:00 at all points, and retreats at 17: 00 back to original points. With coarser DEM resolutions, flooding occurs earlier and retreats later with more water trapped between buildings. When the grid resolutions increase to 10 and 20 m, the flood areas become more discontinuous with staggered distribution of wet and dry cells, and the flooding at points #1, #3, #5, and #6 are not predicted. Observing the flood maps between 15:00 and 18:00, water inundated on the rooftops of buildings can be properly simulated in the case with 0.5 m DEM resolution but not in the case with coarser resolutions.

## **Flood Volume**

The time series of flood volume simulated under the four DEM resolutions are displayed in **Figure 9**. Compared with the case with 0.5 m DEM resolution, the flood volume simulated under



coarser DEM resolutions arises faster but descends slower with higher and earlier appearance of flood peak. This implies that when DEM resolution decreases, the topography becomes rugged, the friction increases, and the floodwater travels slower. The flood volumes for 10 and 20 m DEM resolutions are much larger than those for 0.5 and 5 m DEM resolutions, indicating that more water will be trapped in the depressions when the topography becomes more rugged. Table 3 compares the simulation and observation results in terms of flood occurrence time and water depth. The time stamps and estimated water depths (WD) are obtained from the VGI photos in Figure 6, and the flood durations at the eight VGI points when the water depth exceeds 0.05 m are determined based on the CFS results. It is seen that under 0.5 and 5 m DEM resolutions, the time stamps of VGI photos all lie within the simulated flood duration at the points with observed WD larger than 0.05 m (points #1, #2, #4, #7, and #8). At the rest points, the simulated and observed WDs are both smaller than 0.05 m. This good agreement between observation and simulation reveals that the flood model is accurate in rebuilding the process of flood transport under both DEM resolutions.

When the DEM resolutions become larger than 5 m, the periods with simulated WDs larger than 0.05 m do not coincide with the time stamps of VGI photos for 10 m DEM resolutions (at points #1 and #8) and 20 m DEM resolutions (at

points #1, #2, and #8). Thus, the spatial resolution of DEM in urban areas should be at least finer than road width so that road profiles can be clearly displayed; otherwise, runoff transportation around buildings and on roads cannot be correctly simulated. The resolution of 5 m can be regarded as a threshold for flood modeling in urban areas, above which the geometries of buildings and roads cannot be clearly identified and the simulation performance deteriorates. Sub-meter resolution DEM data generated by UAVs are adequate for assessing the impact of localized flooding on transportation in cities. Some open-access topographic datasets, such as the 30 m resolution DEM by STRM from NASA (https://www2.jpl.nasa.gov/srtm/) and the open DEM in Taiwan with 20 m resolution (https://data. gov.tw/dataset/35430), are too coarse to serve the purpose.

## **Simulation Efficiency**

Flood simulation under high grid resolutions is usually more time-consuming as compared to that under coarse grid resolutions due to the increase in grid numbers. The choice of grid resolution for flood simulation is a trade-off between accuracy and efficiency. For the study area in this research, there are 573,000 and 5,730 grids for the mesh with grid size 0.5 m and the mesh with grid size 5 m, which consumes 1,127 and 16 min of computational time, respectively (with Intel Core i7-7700 K CPU @ 4.2GHz and 64 GB RAM). For disaster



emergency response on a regional scale, flood simulation under coarse grid resolution is enough to gain a fast and overall understanding of flood patterns. However, for evaluating the flood impact on critical infrastructures such as metro stations, power facilities, schools, government agencies, and hospitals, high-resolution flood simulation is required.



#### TABLE 3 | Comparison between CFS and VGI results.

Point (#)	Lat. (degree)	Lon. (degree)	Observation (VGI)		Flood duration with WD $\ge$ 0.05 m (CFS)				
			Time	WD (m)	<b>DEM 0.5 m</b>	DEM 5 m	<b>DEM</b> 10 m	DEM 20 m	
1	121.544603	25.016963	15:20	≥0.05	14:40-15:30	14:00-15:50	N	N	
2	121.543563	25.016420	15:40	≥0.05	15:00-15:50	13:50-18:00	13:40-18:00	Ν	
3	121.544612	25.017167	16:10	< 0.05	15:00-15:30	14:40-15:40	Ν	Ν	
4	121.544236	25.017421	16:10	≥0.05	14:00-18:00	13:50-18:00	14:30-15:50	13:40-18:00	
5	121.543351	25.017728	16:20	< 0.05	14:40-16:10	14:40-16:00	Ν	Ν	
6	121.543462	25.017716	16:20	< 0.05	14:40-15:40	14:40-15:40	Ν	Ν	
7	121.543674	25.017670	16:30	≥0.05	14:00-17:50	14:00-18:00	14:00-18:00	13:40-18:00	
8	121.543476	25.016745	16:30	≥0.05	14:00-18:00	13:50-18:00	14:50-15:40	Ν	

## CONCLUSIONS

High-resolution flood simulation in an urban area is a challenging task since it requires high-resolution terrain and real-time flood information for model construction and validation. Aided by the rapidly growing technologies of remote sensing and crowdsourcing, it is possible to update DEM data and record the flood depth in real time by UAV and VGI. In this study, we adopt the UAV and VGI to sophisticate CFS modeling in the reconstruction of a flash flood event that occurred on 14 June 2015, in Taipei City. The CFS model is routed under four DEM resolutions with grid sizes 0.5, 5, 10, and 20 m separately. In the case with sub-meter DEM, the simulation results are in better agreement with the observation in terms of flood extent, depth, and occurrence. Using DEM with coarser resolutions (5, 10, and 20 m in this study) for CFS overestimates the flood duration on roads which may provide bias information to decisionmakers for impact assessment on traffic and economic losses. The flood volume with 5 m DEM resolution shows a pattern similar to the finest resolution, whereas those with 10 and 20 m DEM resolutions have much higher flood peaks and more staggered distribution of wet and dry cells. The resolution of 5 m can be regarded as the optimum threshold for flood modeling in urban areas, coarser than which the simulation performance started to decline. Compared with traditional methods, the UAV and VGI are more economical and applicable in acquiring necessary data for high-resolution CFS.

# DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## **AUTHOR CONTRIBUTIONS**

J-HJ and Y-FS conceived the idea. Y-TL and J-HJ conducted the experiments and analyses. Y-FS, J-HJ, and Y-TL wrote the article. J-YH provided comments.

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