



Flume Tests to Investigate the Initiation Mechanism of Loess Mudflows on the Chinese Loess Plateau

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Loess has a strong water sensitivity, so loess landslides often transform into loess mudflows when water is added on the Chinese Loess Plateau, which results in high casualties and property loss of the Chinese government. In this study, a series of flume tests were designed to study the initiation of loess mudflows. The results reveal that the initiation modes of loess mudflows include large-scale mudflow and retrogressive toe sliding (Type A), and small-scale mudflow and retrogressive toe sliding (Type B). A model was used to analyze the test results that describe the effects of water flow on the potential for hillslope failure and liquefaction. It was found that the soil accumulation was unconditionally stable before a loess mudflow was formed, but as the rainfall continued, the water gradually infiltrated the soil, and the soil accumulation changed from unconditionally stable to unconditionally unstable. Thus, this led to different initiation modes during the tests. For Type A, the water preferentially infiltrated into the area with an uneven density and a large amount of water accumulated. The pore water pressure increased quickly and could not dissipate in time, so the loess liquefied. As the liquefaction area continued to expand and became larger, Type A occurred. Relatively speaking, Type B occurs in soil accumulations with relatively uniform densities. These results provide a certain scientific reference for the study of loess mudflows.

Keywords: flume tests, loess mudflow, loess liquefaction, initiation modes, unconditionally stable, unconditionally unstable

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INTRODUCTION

Loess is widely distributed over about $6.3 \times 105 \text{ km}^2$ in China (Liu, 1985; Ma et al., 2019a). At present, loess landslides occur frequently in the loess area in China. Field investigations have revealed that from 1950 to 1992, a total of 14,109 loess landslides occurred on the Chinese Loess Plateau, with a distribution density of greater than $6/\text{km}^2$ (Zhuang and Peng, 2014). The loess landslides in Shanxi Province account for about one third of the landslides on the Chinese Loess Plateau, causing huge casualties and property loss of the Chinese government (Zhou et al., 2002; Peng et al., 2019).

Loess mudflows are a special type of landslide. There are many joints exposed on the surface of loess, and its structure is loose and porous. The strength of natural dry loess is very high, and it has a good uprightness. However, loess is prone to collapse, softening, and even deformation as its water content increases, and water is the most important inducing factor of loess geohazards (Xu et al.,

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2013; Zhang et al., 2013; Fan et al., 2017; Peng et al., 2018; Pu et al., 2021), In addition, as with sand, loess can also undergo liquefaction. A high pore water pressure can be produced quickly and will not dissipated in a short time period, and thus, the soil's strength drops quickly, and the soil body liquefies and flows like water (Pei et al., 2017; Peng et al., 2018; Ma et al., 2019b). Therefore, sometimes, loess landslides can transform into loess mudflows due to liquefaction of the loess. At present, loess mudflows occur frequently on the Chinese Loess Plateau, and they have become the most catastrophic and influential loess disaster (Zhang et al., 2019).

Compared with traditional loess landslides, loess mudflows exhibit fluid movement, so a loess mudflow has a greater mobility and a wider accumulation range, leading to greater danger (Leng et al., 2018; Zha et al., 2019). A large number of field investigations have shown that the motion characteristics of loess mudflows mainly include the following aspects. 1) The flow distance is long. According to the statistics of landslide movement distance on the Heifangtai Platform, Gansu Province, China, the average apparent friction angle of the loess landslides is 0.53. However, once a loess landslide is transformed into a mudflow, its average apparent friction angle is about 0.3 (Peng et al., 2018; Ma et al., 2019a). The statistics of the loess landslides on the Jingyang South Platform show that once a loess landslide transforms into a loess mudflow, the average apparent friction angle is 0.185, or about 10.5°, which is close to the critical apparent friction angle (10°) of loess landslide caused by the liquefaction of loess (Ma et al., 2019a). Thus, the movement distance of a loess mudflow is far greater than that of a traditional loess landslide. 2) The movement speed is large (Peng et al., 2015). According to the statistics of loess mudflows in Tianshui City, China, in the movement of a loess landslide, once the loess experiences liquefaction and transforms into a loess mudflow, its movement speed will increase sharply, and the maximum speed will reach about 8 m/s. For example, a large landslide occurred on Salle Mountain, Gansu Province, China, in 1983, and then, it transformed into a mudflow. The maximum peak velocity was about 15 m/s, and the velocity was very high, i.e., much higher than that of a loess landslide (Zhang et al., 2002; Kang et al., 2018). Therefore, ascertaining the initiation mechanisms of loess mudflows has great significance for geohazards prevention and mitigation on the Loess Plateau.

As the most disastrous geological hazard on the Chinese Loess Plateau, the initiation mechanisms of loess mudflows have been seldom been studied over the years when investigating loess geological hazards in China. Based on the initiation characteristics of the loess mudflows on the Chinese Loess Plateau, in this study relevant flume tests were designed to investigate the modes and initiation mechanisms of loess mudflows in order to provide a scientific basis for scientific research on loess mudflows.

Loess Mudflows on the Chinese Loess Plateau

The Loess Plateau has evolved landforms, including loess platforms, beams, and hills (Loess yuan, Liang, and Mao in

Chinese) (Liu, 1985; Ma et al., 2019a). Generally speaking, loess mudflows often occur in loess gullies and on the edges of loess platforms (Yuan et al., 2015; Peng et al., 2019).

For the loess mudflows in gullies, the gully is generally steep in the upper reaches of the channel. The upstream area is relatively open and has a wide catchment area, which is conducive to the collection of mudflow sources. Figure 1 shows a loess mudflow that occurred near Dagou Village in Tianshui City, China, in 2013. The sliding body quickly transformed into a loess mudflow during the movement process. The flow distance was more than 1 km, and it destroyed more than 10 houses in the village (Figure 1A). Field investigations revealed that the gully was V-shaped with a large channel gradient. The slope on both sides of the ditch was steep, with a gradient of 35-50°, which provided a potential source for the loess mudflow (Figures 1A-C). According to the meteorological data, heavy rainfall occurred before the loess mudflow. Due to the infiltration of a large amount of rainwater, the debris started to form a loess mudflow. The loess mudflow entrained a large amount of loose deposits on the surface of the channel throughout the entire movement process, causing the scale of the mudflow to increase continuously (Figure 1) (Peng et al., 2015).

Loess mudflows that occur on the edges of loess platforms always require the landslide substrate is always flat, which is suitable for loess mudflow moving with a high speed (Peng et al., 2018; Ma et al., 2019b). The Jingyang South Platform and the Heifangtai Platform are both typical platforms in China. There are many sites which observed slides multiple times in these two platforms. Lots of previous landsliding materials deposits at the foot of the slope, which would trigger a loess mudflow when a large amount of water infiltrate into the materials. For example, a loess mudflow occurred in Jiangliu Village in the Jingyang South Platform on March 8, 2016. The volume of the sliding body was only about 2.0 × 104 m3. However, the deposits turned loess mudflow like water with a sliding distance reached 260 m in a very short time. Another example, a loess mudflow occurred near Chenjia Village on the Heifangtai Platform, Gansu Province. Three loess mudflows occurred at the same location in 2015. These mudflows were all characterized by high-speeds, long runout distances, and liquefaction (Figure 2).

Flume Tests

Flume Instrumentation

Flume tests were designed to study the initiation modes and mechanisms of loess mudflows. The flume used in the tests was 4 m long, 0.4 m wide, and 0.8 m deep. Both sides of the flume are constructed of tempered glass, which allows for observations of the entire initiation process of the loess mudflow. The bottom of the flume is equipped with a rough steel plate. The slope angle of the flume can be adjusted between 6° and 35°, which is sufficient for the experimental research requirements. The rainfall system can automatically lift and move to control its position and height. A voltage pump was installed in the rainfall system, which can automatically control the rainfall intensity, and the effective area of the rainfall can reach 150 × 50 cm2. Pore pressure sensors and

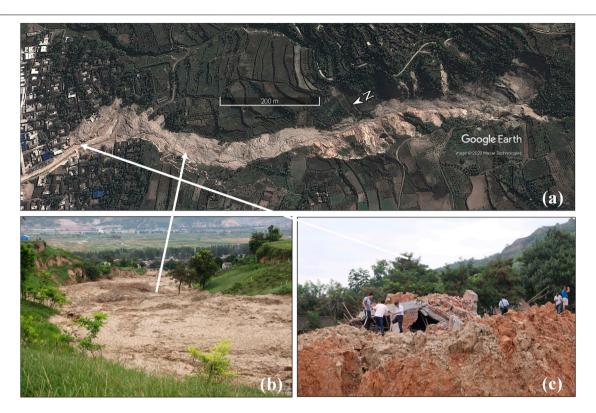


FIGURE 1 | A loess mudflow that occurred near Dagou Village, Tianshui City, China. (A) View of the entire loess mudflow; (B) Accumulation characteristics; (C) House destruction.



FIGURE 2 | The characteristics of loess mudflows on loess platforms. (A) A loess mudflow that occurred near Jiangliu Village on March 9, 2016 on the South Jingyang Platform in Xi'an, China; (B) A loess mudflow occurred near Chenjia Village in 2015 on the Heifangtai Platform, Gansu.

water content sensors were arranged for the tests. The water content sensors were model-5TE produced by the American Decagon Company (Figure 3). The pore pressure sensors were

produced by the Tianjin Aoyou Xingtong Company, China, with a measuring range of 0–50 kPa. The specific layout of the soil sensors is shown in **Figure 4**.

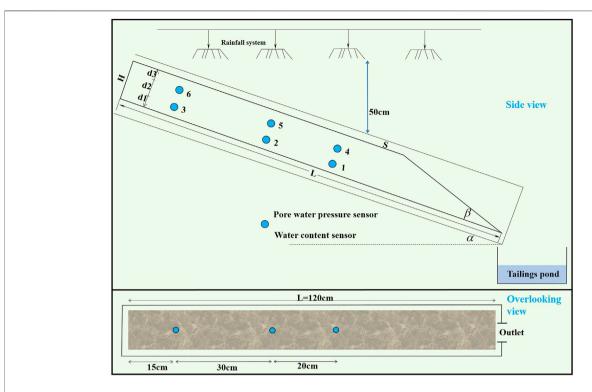
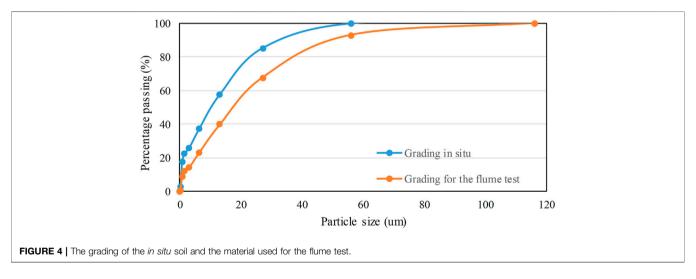


FIGURE 3 | A sketch of the flume and its hydrological equipment.



Experimental Procedure

A series of different tests were set up by controlling the slope angle and rain intensity. We investigated the types of loess mudflows that occur on the Loess Plateau. The critical rain intensity on the Chinese Loess Plateau is mostly 100-220 mm/h, so the rainfall intensity in the tests was controlled between 100 and 220 mm/h (Peng et al., 2015) (**Table 1**). Because the South Jingyang Platform is one of the areas in China in which loess mudflows frequently occur, soil from the South Jingyang Platform was selected for the tests. Before the tests, the soil particles with diameters of >5 cm were eliminated. The particle size of the test soil and the particle

size of the actual soil are shown in **Figure 4**. In the situ soil, particles less than 50 μ m in diameter accounted for 98%, while those less than 75 μ m in diameter accounted for 100%. In the material used for the flume test, particles less than 50 μ m in diameter accounted for 90%, while those less than 75 μ m in diameter accounted for 97%. They are relatively consistent, so the soil can be tested. According to statistics, the average apparent friction angle of loess mudflow on the South Platform is 0.185, or about 10.5°, and the average apparent friction angle of loess mudflow on the South Platform is 0.3, or about 17° (Peng et al., 2018; Ma et al., 2019b), so the slope angle of the accumulation was controlled

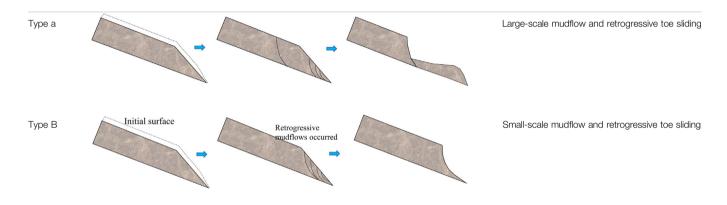
TABLE 1 | An overview of the tests.

Railfall (mm/h)	Slope (°)	H (cm)	L (cm)	d1 (cm)	d2 (cm)	Failure mode
120	10°	12	120	2	5	Type A
105	13°	12	120	2	5	
210	15°	15	120	5	5	Type B
185	16°	11	120	2	5	
186	17°	11	120	2	5	
158	18°	11	120	2	5	
189	19°	12	120	2	5	

TABLE 2 | Parameter values of the materials.

Dried bulk density (g/cm³)	Friction angle (°)	Cohesion (kPa)	Hydrological conductivity (m/h)	Initial water content (%)
1.50–1.70	26.4	38.3	0.042	17.5–24.0

TABLE 3 | Summarized failure modes.



between 10° and 20°. Before a test was carried out, it was necessary to test the roughness of the bottom of the flume and confirm whether it was necessary to increase the roughness in order to reduce the influence of the bottom boundary effect on the tests. According to the Manning formula, the roughness coefficient of the flume test was calculated to be 0.055 (Zhu et al., 2020). The loess used in the experiment has a higher silt and clay content, about 30%. Thus, there was no need to increase the roughness of the flume's bottom during the tests (**Table 1**).

A total of seven sets of experiments were designed to study the initiation processes of loess mudflows. In the actual soil accumulation process, it is difficult to achieve homogenous parameters. Therefore, the dried bulk density of the seven groups of tests were controlled between 1.50 g/cm³ and 1.70 g/cm³. The water content of the seven sets of tests was controlled between 17.5 and 24.0%. In addition, the basic mechanical parameters of the soil were tested using relevant related geotechnical tests (**Table 2**). Altogether six pore water pressure sensors and six water content sensors were arranged in the soil accumulation (**Figure 3**). Before a test was carried out, the sensors and rainfall intensity were calibrated accurately.

RESULTS AND DISCUSSION

By analyzing the video and data results of the seven groups of tests, it was found that there are two different initiation modes: large-scale mudflow and retrogressive toe sliding, and small-scale mudflow and retrogressive toe sliding.

Large-Scale Mudflow and Retrogressive Toe Sliding

Type A. When the test began, the water started to infiltrate gradually. Because the loess structure was loose and the initial volumetric water content of the loess was low, almost all of the rainfall infiltrated into the soil. The soil particles began to shrink and the porosity began to decrease. The soil accumulation underwent overall collapse and subsidence. The thickness of the soil in the toe part of the accumulation was thinner than that in other areas, so the water quickly penetrated to the bottom of the soil. The volumetric water content of the soil began to increase, and a small amount of sliding occurred at the toe part led

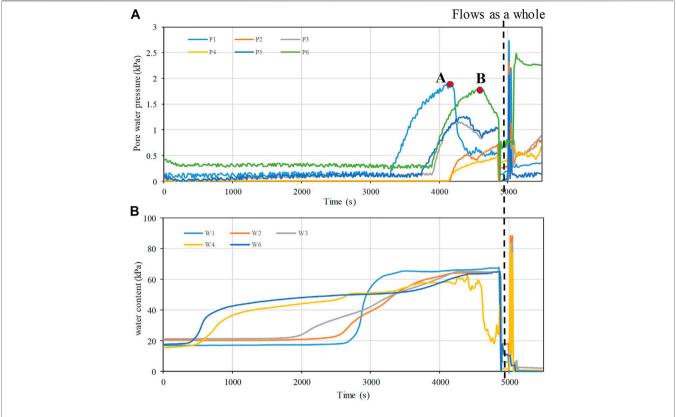


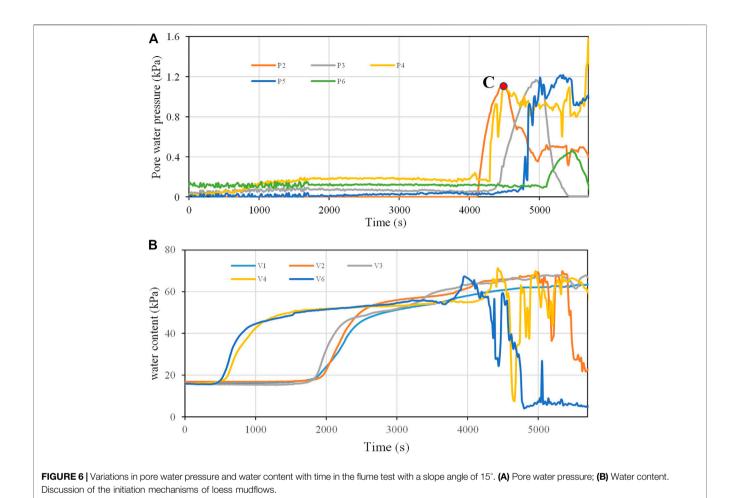
FIGURE 5 | Variations in pore water pressure and water content with time in the flume test with a slope angle of 13°. (A) Pore water pressure; (B) Water content. Small-scale mudflow and retrogressive toe sliding.

to further loss of the accumulation's support, and retrogressive compound loess mudflows constantly occurred at the toe part of the soil accumulation. As the rainfall continued to infiltrate into the soil, the soil accumulation underwent shear deformation as a whole and a potential shear zone began to form. Then, a loess mudflow (large scale) occurred (**Table 3**).

The variations in the water content and pore water pressure of the soil in the test with a slope angle of 13° are shown in Figure 5. At 458 s, the volumetric water content at position six began to increase first. Then, the volumetric water content at position four began to increase at 488 s. The water contents at these two positions exceeded 40% of the original water content in a short time, and then, they began to increase slowly. The water content at position three began to increase at 1950 s, and the water content at position two began to increase at 2,580 s. The increase rate of the water content at these two positions was slow and then increased to the peak value. Comparatively speaking, the water content at position one began to increase at 2,670 s, rapidly increased to the peak value, and rapidly exceeded 60 percent of the original water content. Because position one is located at the bottom of the toe part of the soil accumulation, the rainfall infiltrated at position four in the shallow part of the soil accumulation first. The surrounding soil began to loosen, and then, the seepage channel began to expand, so the water quickly infiltrated vertically, which led to a rapid increase in the water content at position 1. This process led to the occurrence of a slide at the toe part of the soil accumulation. We observe the

change in the pore water pressure. Before 3,200 s, although the water content of the soil increased greatly, the pore water pressure did not change at position 1. The pore water pressure increased rapidly until 3,200 s, reached a peak value of 1.8 kPa at 4,200 s (Point A in Figure 5), and then began to decrease sharply. The total pressure before sliding was $\sigma = \rho g h \approx 1.7 \times 10 \times 0.1 \text{ kPa}^{-} 1.7 \text{ kPa}$ (Table.2). At this time, the pore water pressure exceeded the total pressure in the original accumulation state of the soil. The soil structure was completely destroyed and the effective stress was completely lost, resulting in retrogressive shallow compound sliding of the front edge of the accumulation body. Then, a similar mutation occurred at position 6, cracks formed in the surface of the soil accumulation at position 6, and a potential shear zone began to form at position 6 (Point B in Figure 5). Soon after, the pore water pressure increased sharply at positions 2, 3, 4, and 5 and reached the peak value, and it remained at this value for a period of time. At 4,800 s, the water contents at every position all began to decrease sharply, the pore water pressure also began to decrease sharply, and a large-scale loess mudflow occurred (Figure 5).

Type B. First all, as the rainfall continued, the wetting peak during the water infiltration into the soil accumulation gradually moved downward, so the volumetric water content of the soil increased. Then, the soil began to shrink, and the soil accumulation underwent overall collapse and subsidence. When the water recharge was greater than the infiltration rate of the soil, surface runoff formed and the slope's surface was

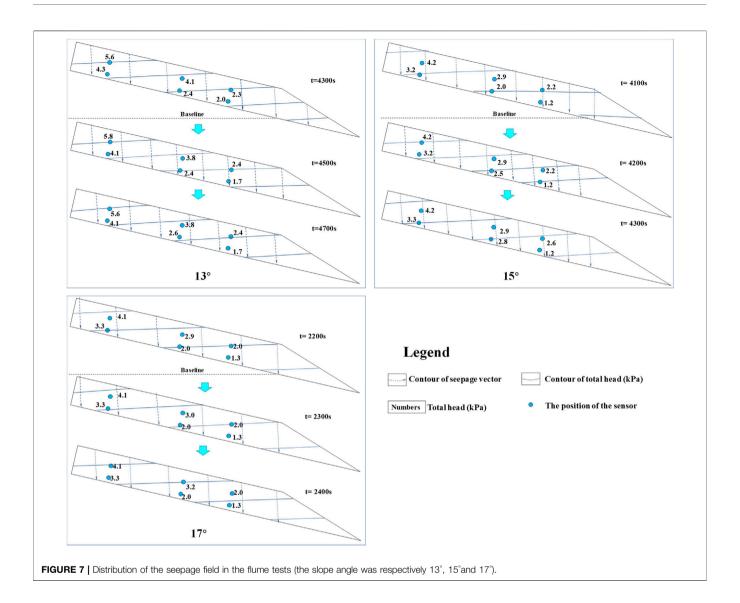


continuously eroded. As a large amount of water accumulated in the toe part of the slope, the volumetric water content of the soil at the toe part of the slope increased, the pore water pressure of the soil began to increase, and retrogressive shallow composite sliding occurred in the toe part. Then, the soil accumulation began to generate retrogressive mudflows around a position. There was no large-scale mudflow throughout the entire experiment. The scale of the loess mudflow was less than the scale of Type A. Moreover, because of the continuous sliding, several sensors were moved and the sensor positions changed, Therefore, some of the volumetric water content and pore water pressure sensor data may not match (such as P5) (Figure 6). Overall, the pore water pressure of each location exhibited a sudden increase. This shows that the loess liquefied during the initiation of the loess mudflow.

The initiation mode of the test with a slope angle of 15° was Type B (**Table 3**). **Figure 6** shows the variations in the volumetric water content and pore water pressure in the soil accumulation. At 480 s, the water content at shallow positions four and six began to increase. At 1,500 s, the volumetric water content reached 50%. As the rainfall continued, the water constantly infiltrated, In the first 1,800 s, the water contents at deep positions 1, 2, and three began to increase, and the water contents increased to >50% at 3,000 s. The soil was nearly saturated. At the same time, there was no response in the pore water pressure before 4,000 s. After 4,000 s, the pore

water pressure at every location began to increase suddenly. Then, the soil began to liquefy (Point C in **Figure 5**). Later, retrogressive shallow compound sliding occurred.

The seven sets of tests were conducted under different rainfall intensities and slope angles. First, we analyzed the variations in the seepage field in the different tests to compare the effects of the rainfall intensity and slope angle on the initiation processes of the loess mudflows. The test with slope angle of 13° and the lowest rainfall intensity and the test a slope angle of 15° and the largest rainfall intensity were selected, and then, the test with a slope angle of 17° and a moderate rainfall intensity was selected for comparison (Table 1). The changes in the seepage fields before the first sliding event in each group of tests were selected for analysis. According to the change in the pore water pressure at each location, the streamline of the slope was drawn (Figure 7). It can be seen that the infiltration direction of the water in each group of experiments was almost vertical, and the horizontal infiltration was much smaller. The rainfall intensity in the test with a slope angle of 13° was 120 mm/h, and the rainfall intensity in the test with a slope angle of 16° was 185 mm/h. However, the rainfall intensity had little effect on the initiation timings of the first sliding events, which occurred at 4,700 s and 4,300 s, respectively. This may be because the rainfall intensities in all of the experiments in this paper are rainstorm levels, which exceed the permeability

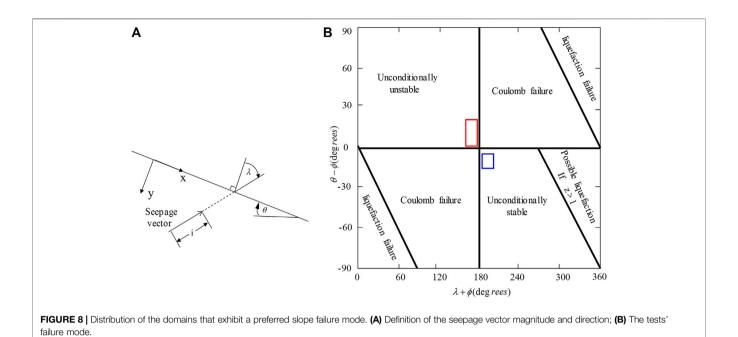


coefficient of loess. Therefore, the rainfall intensity had little effect on the infiltration time, and only the slope angle had a significant influence on the initiation time of the first sliding event in a series of experiments (**Figure 7**). This may be because increasing the slope angle increased the sliding force of the accumulation slope, and after rainfall infiltration, as the volumetric water content of the soil increased, the stability coefficient decreased, and then, a loess mudflow occurred.

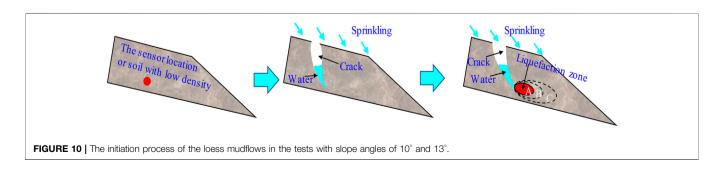
Iverson and Major (1986) investigated the effect of groundwater flow on the potential for hillslope failure and liquefaction based on a novel limit-equilibrium analysis of infinite slopes with steady, uniform Darcian seepage with an arbitrary magnitude and direction. Normalization of the limit-equilibrium solution shows that three dimensionless parameters completely govern the Coulomb failure potential of a saturated infinite homogeneous hillslope (**Figure 8A**). These results indicate that three dimensionless parameters completely govern the Coulomb failure of the slope, including the ratio of the force magnitude of the seepage to the force magnitude of the gravitational body. The angle is $\theta - \phi$,

where θ is the surface slope angle and ϕ is the angle of the internal friction of the soil; and the angle is $\lambda + \phi$, where λ is the angle of the seepage vector measured with respect to an outward-directed surface-normal vector (**Figure 8A**). A failure domain, which illustrates intriguing possibilities for the initiation of flowing mass movements, is also shown in the bottom right corner of **Figure 8B**.

The angles of the soil accumulation selected in the tests was $10^{\circ}-20^{\circ}$. The internal angle ϕ of the soil was 26.4° (**Table 2**). Because the infiltration direction in the seven groups of tests was almost vertical (**Figure 7**), the range of λ was $160^{\circ}-170^{\circ}$, the range of $\theta-\phi$ was -16° to -6° , and the range of $\lambda+\phi$ was $186^{\circ}-196^{\circ}$. As can be seen, the soil accumulation in the seven sets of tests was in a unconditionally stable original state (blue box in **Figure 8B**). As the infiltration progressed, the internal friction angle of the soil changed with time. Moreover, since loess is a type of clay silt, the changes in the cohesion should be considered. It is difficult to quantify the changes in the cohesion of loess, Sassa (1984) proposed the concept of the apparent friction angle based on the concept of liquefaction. This formula was proposed based on







the effective stress principle, and it uses the apparent friction angle to quantify the change in the state of the soil when the soil is liquefied.

$$\tan \phi_a = \frac{\sigma - \mu}{\sigma} \tan \phi. \tag{1}$$

In the seven sets of tests, as the pore water pressure increased, the pore water pressure came close to the total stress σ several times (**Figures 5**, **6**), so the range of ϕ was 0°-10° before the loess mudflow was initiated, the range of $\theta - \phi_a$ was -0° to 10°, and the range of $\lambda + \phi_a$ was 160–180°. At this time, the soil accumulation in the seven sets of tests was in unconditionally unstable (red box in **Figure 8B**). Therefore, as the rainfall continued, the water flow gradually entered the soil, and the soil accumulation changed from unconditionally stable to unconditionally unstable. This led to the different initiation modes observed during the tests.

Although loess has a loose structure, its infiltration rate is far less than that of sand. The deep sensor positions (4, 5, and 6) were about 8 cm away from the ground. The infiltration rate of the soil was only 0.042 m/h (Table 2). The infiltration of the water was mainly vertical infiltration. It would take at least 2 h for the water content of the deep sensor positions (4, 5, 6) to begin to respond under the condition of homogeneous infiltration. However, the water content of the deep positions in the seven groups of tests basically responded within 3,000 s, i.e., less than 2 h (Figures 5, 6). This indicates that advantageous infiltration occurred during the water infiltration process, which led to a reduction in the time required for the water to reach the deep positions. The viewpoint of dominant infiltration in loess has long been described by many scholars (Xu et al., 2013; Ma et al., 2019a; Zhuang et al., 2020). In the experiments described in this paper, the advantage infiltration mainly occurred in two locations. 1) The soil was looser in the sensor layout area, with a larger porosity than in the other parts of the soil accumulation, which was beneficial to water infiltration. For example, in the test with a slope angle of 17°, positions one and three provided advantageous infiltration channels. Thus, water infiltration occurred in these positions first (Figure 9). 2) In the process of stacking the soil in the models, it is inevitable that there will be some areas with uneven density, resulting in places with a larger porosity that easily conduct water. As the rainfall continued, the infiltration into the homogeneous soil was limited, and a large amount of water flowed into the sensor location or area with a lower density, which caused the volumetric water content of the soil to increase. In addition, due to the water sensitivity of loess, the loess shrank when it encountered the water, its strength decreased, and the area continued to expand, forming a crack. As the infiltration of the water continued, when the water content of the soil had nearly reached the saturated water content, the water accumulated in this area, and the pore water could not dissipate in time. The pore water pressure increased rapidly, and the soil was liquefied in a small area (Figure 10A). As the rainfall continued, the water storage capacity increased and the local liquefied soil flowed, causing the liquefaction area to continue to spread and become larger (Figures 10B,C). Thus, the unstable area of the accumulation increased until the driving force was greater than the

resistance of the slope, at which point a loess mudflow (large scale) occurred (Figure 10).

CONCLUSION

Loess mudflows occur frequently on the Chinese Loess Plateau, resulting huge casualties and property damage. In this study, a series of flume tests were designed by controlling the slope angle between 10° and 20° to study the initiation mechanism of loess mudflows. The following conclusions were drawn from the results of the experiments.

- 1) There are two initiation modes when the slope angle of the soil accumulation is 10° – 20° . For slopes of 10– 13° , the mode is large-scale mudflow and retrogressive toe sliding (Type A); and for slopes of 14– 19° , the mode is small-scale mudflow and retrogressive toe sliding (Type B).
- 2) Based on the distribution of the domains that exhibit the preferential slope failure mode proposed by Iverson and Major (1986), the soil accumulation was unconditionally stable before a loess mudflow was formed. However, as the rainfall continued, the water gradually entered the soil, and the soil accumulation changed from unconditionally stable to unconditionally unstable, which led to different initiation modes for the loess mudflows during the tests.
- 3) The water preferentially infiltrated into the areas with uneven densities and a large amount of water accumulated. The pore water pressure quickly increased and could not dissipate in time, so the loess liquefied. As the liquefaction area continued to spread and became larger, the soil accumulation underwent shear deformation as a whole and a potential shear zone began to form. Then, a loess mudflow (large scale) occurred. Relatively speaking, Type B occurred in the tests in which the soil accumulation had a relatively uniform density. Lei, 2001.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

This paper represents a result of collaborative teamwork. PM and XZ designed the tests. JZ, YC and CL guided the laboratory experiments and conducted the field observation. JZ and PM prepared the original manuscript. All of the authors contributed equally to this work.

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