



# Saline Waters in Miocene Western Amazonia – An Alternative View

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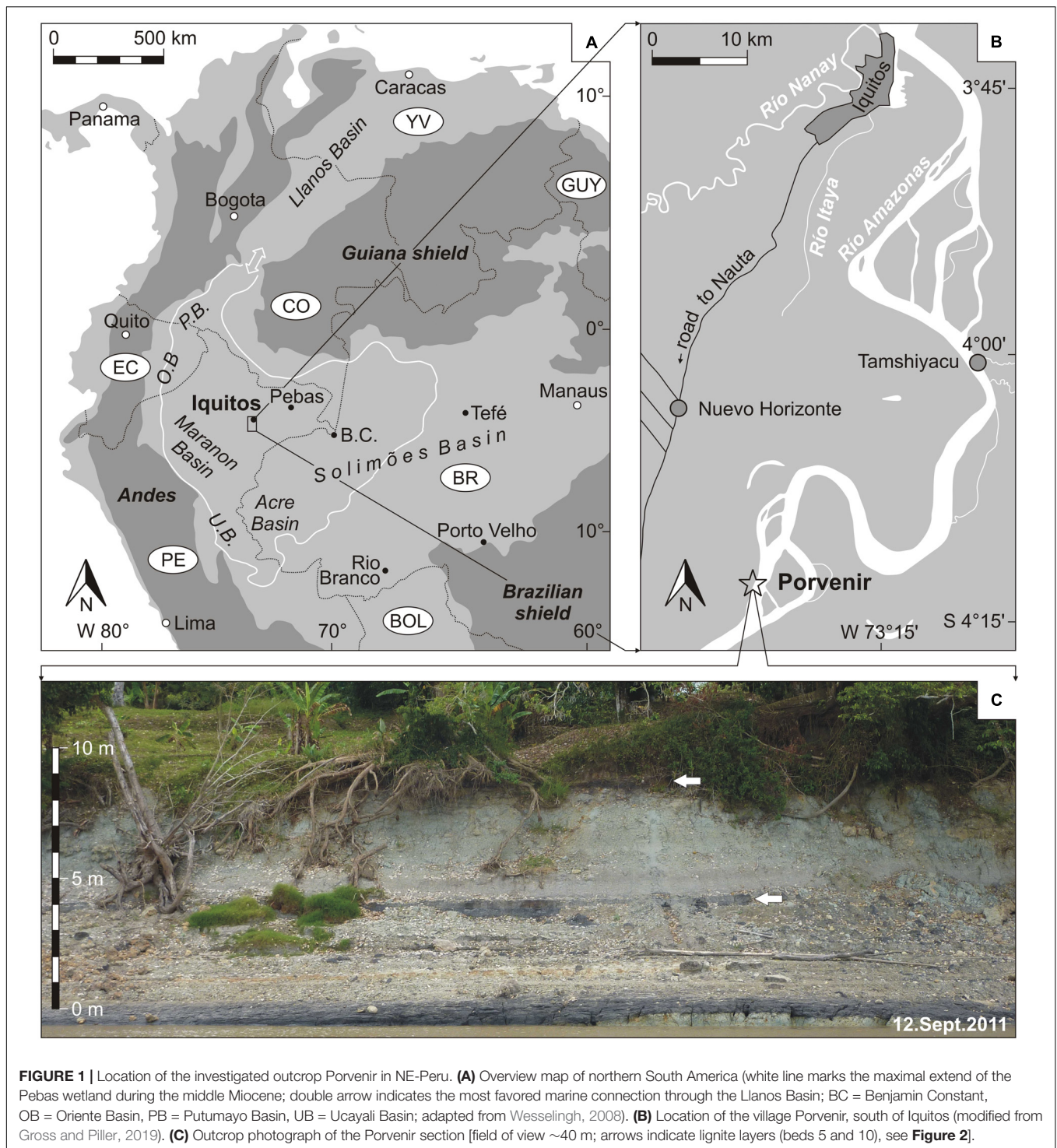
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Before the onset of the modern Amazon River system, northwestern South America was shaped by an extensive wetland during the Miocene. This “Pebas mega-wetland” kept a well-renowned endemic mollusk and ostracod fauna, which initiated a persisting debate about marine incursions reaching the center of Amazonia at that time. Due to high endemism, uniformitarian principles are hardly applicable to this biota, but also other paleontological, sedimentological, and geochemical information led to ambiguous paleoenvironmental interpretations. Here, we investigate the ostracod and foraminifer assemblages and the oxygen and carbon stable isotope compositions of their biogenic calcite from an outcrop at the cutbank of the Amazon River (NE-Peru, ~55 km S of Iquitos). While ostracods (e.g., the genus *Cyprideis*) are able to calcify their carapaces along the entire salinity range, at least low saline conditions are a prerequisite for the biomineralization of calcareous foraminiferan tests. Hence, the finding of calcareous foraminifers (*Ammonia*, *Elphidium*), associated mainly with brackish water ostracods indicates the presence of saline waters. In contrast,  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  analyses performed on co-occurring ostracod valves and foraminiferan tests yielded constantly very light ratios. Such values refer to a pure freshwater environment and are incompatible with the interference of isotopically heavier, marine waters or a stable isotope enrichment by evaporation. Based on these contrasting data, we hypothesize that the Pebas mega-wetland was episodically influenced by saline but isotopically light groundwater discharge. Possibly, the resulting specific hydrochemistry not only contributed to the evolution of the endemic Pebasian fauna but also facilitated the sporadic settlement of euryhaline foraminifers, which mimics short-lived marine incursions.

**Keywords:** Pebas formation, Iquitos region, calcareous microfossils, stable isotopes, paleoenvironments, saline groundwater influx

## INTRODUCTION

Since Gabb (1869, p. 197) recognized “a marine, or rather a brackish water [mollusk] fauna” close to the village Pebas (~160 km ENE Iquitos, NE-Peru; **Figure 1A**), the debate about the presence of marine incursions reaching the heart of Amazonia in “a very recent era” continues (e.g., Jaramillo et al., 2017). Currently, the existence of a huge, long-lived wetland (i.a., “Lake Pebas” or “Pebas mega-wetland”) covering western Amazonia during the Miocene is widely accepted (e.g., Wesselingh et al., 2002; Hoorn et al., 2010a,b; **Figure 1A**). However, sedimentological (tidalites; e.g., Hovikoski et al., 2010), paleontological (ichnofossils, marine plant, protist, mollusk, crustacean,



vertebrate fossils; e.g., Boonstra et al., 2015), and geochemical observations (Vanhof et al., 1998, 2003) arguing for or against marine influxes on this wetland remain a matter of debate (e.g., Kaandorp et al., 2006; Wesselingh et al., 2006b; Westaway, 2006; Latrubesse et al., 2010; Gross et al., 2011, 2013, 2016). Accordingly, the resulting paleoenvironmental interpretations for the Pebas wetland range from shallow marine

(e.g., Räsänen et al., 1995; Webb, 1995), to brackish lacustrine (e.g., Whatley et al., 1998) and fluviolacustrine with short-lived marine incursions (e.g., Wesselingh et al., 2002, 2006b; Hoorn et al., 2010a) to an entirely fluvial system (Latrubesse et al., 2010).

The conflict about the salinity regime of the Pebas wetland is well exemplified by Wesselingh et al. (2006b). Within one outcrop (Santa Rosa de Pichana; ~39 km SSE Pebas; **Figure 1A**),

mollusk assemblages and O/C isotopes from mollusk shells indicate a freshwater environment, whereas ichnofossils point to mesohaline conditions (compare Gingras et al., 2002 and Hovikoski et al., 2007 for Pebasian ichnofossils). These authors proposed two explanations: (i) ichnofossils and mollusks lived in separate time intervals and environments, not resolved by the samples or by the preserved body fossils; or (ii) the producers of ichnofossils have adapted to freshwater like an array of other marine-derived biota (e.g., Bloom and Lovejoy, 2017).

Here, we investigate for the first time the  $\delta^{18}\text{O}$ - and  $\delta^{13}\text{C}$ -isotopy of calcareous ostracod and foraminifer shells co-occurring within the same layer of one outcrop (Porvenir, ~55 km S Iquitos; **Figure 1B**). By this bed-by-bed analysis of microfossils, we avoid potential sampling gaps (Wesselingh et al., 2006b; Hovikoski et al., 2007, 2010). While ostracods (bivalved crustaceans) settle almost every aquatic habitat, calcareous foraminifers (unicellular eukaryotes) are restricted to marine habitats or saline inland lakes (e.g., Horne et al., 2002; Iglukowska and Pawłowska, 2015). Hence, the assumption of an adaptation of calcified test bearing foraminifers (“supraliminal evolution”; Myers, 1960; Wesselingh, 2007) to a freshwater environment is much more challenging than for ostracods (Gross et al., 2013, 2016).

Based on our again puzzling paleontological and O/C-isotope data, we explore the possibility of marine incursions affecting the Pebas wetland as well as alternative explanations for the occurrence of foraminifers more than 1,000 km away from the next assumed paleocoast (Boonstra et al., 2015).

## GEOLOGICAL SETTING AND AGE

The sampled outcrop Porvenir (S 4.226361°, W 73.36994°) locates on the left cutbank of the Amazon River (**Figures 1B,C**) in the area of the “Iquitos arch.” This NW–SE-trending anticline separates the Marañon basin from the Solimões basin (e.g., Roddaz et al., 2005a,b; Rebata et al., 2006a; Wesselingh and Salo, 2006; Wesselingh et al., 2006a; Zamora and Gil, 2018).

Räsänen et al. (1998) described the outcrop sequence along the village Porvenir over a distance of about 2 km. These authors distinguished between the lignite and fossil bearing Pebas formation and the overlying lignite and mollusk lacking “Unidad Canalizada (Unidad B)” (= uppermost Pebas Fm. or Ipururo Fm.; Rebata et al., 2006b). According to the provided section and geological map, our outcrop belongs to the “lacustrine, occasionally marine influenced” Pebas Fm. (Räsänen et al., 1998; Vonhof et al., 2003; Wesselingh et al., 2006a; Hovikoski et al., 2007).

Biostratigraphically, these deposits are attributed to mollusk zone MZ9 and the *Grimsdalea* pollen zone, respectively (Wesselingh et al., 2006a). Recent palynostratigraphical recalibrations for the nearby site Nuevo Horizonte (~20 km NNW Porvenir; also MZ9; **Figure 1B**) suggest a late middle Miocene age (~14.2–12.7 Ma; pollen zone T-15 sensu Jaramillo et al., 2011) for the Porvenir section (Boonstra et al., 2015; Gross and Piller, 2019).

## MATERIALS AND METHODS

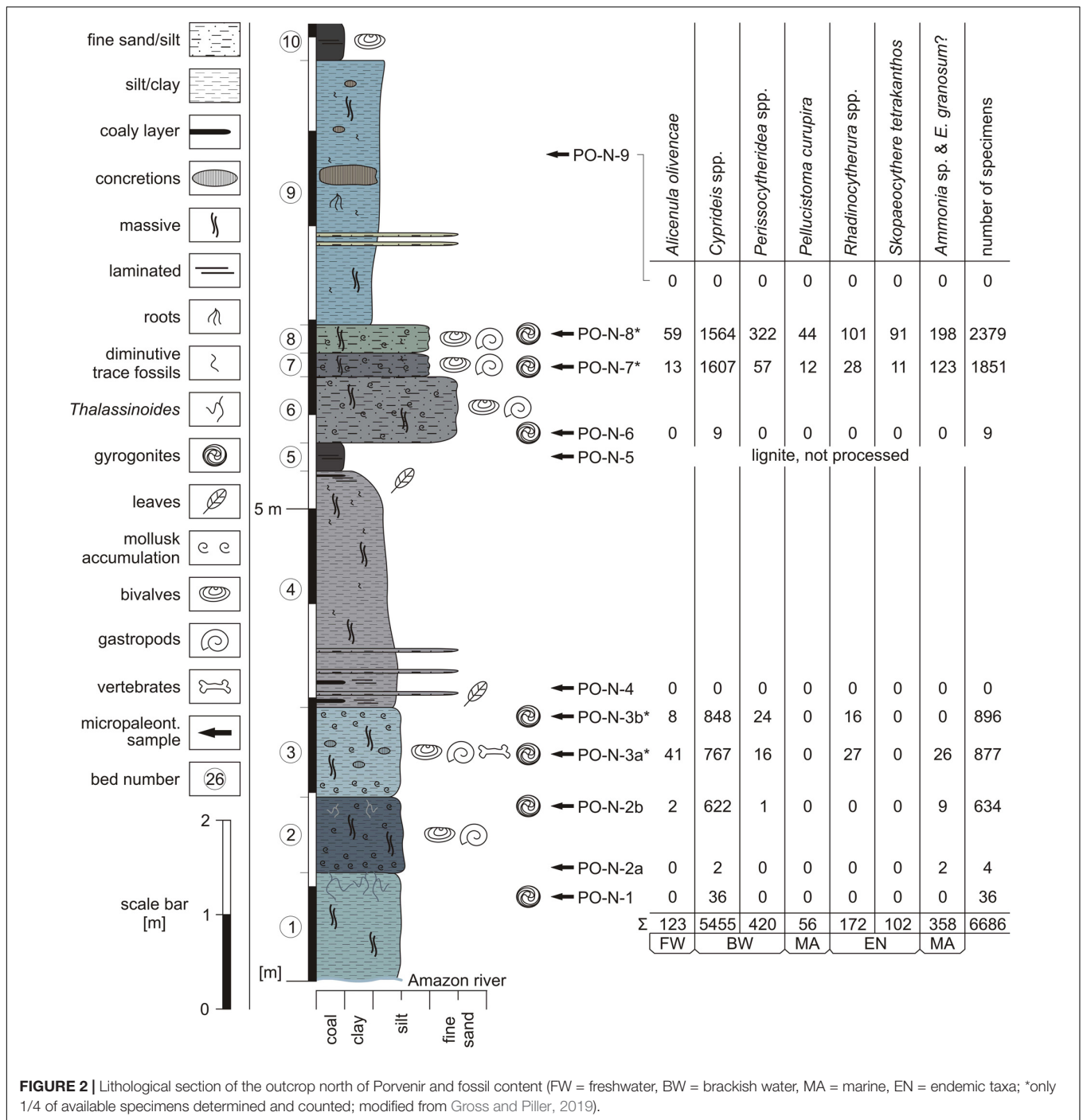
In the field, we logged the Porvenir section vertically in respect of bed thickness, color, sedimentary structures, grain size, and macrofossil content. Micropaleontological samples (~1–2 kg; stratigraphical resolution: ~0.1 m) were taken from nine beds or parts of beds (**Figure 2**). For micropaleontological analyses 500 g of dried sediment per sample (40°C, 24 h) were disintegrated by using diluted hydrogen peroxide ( $\text{H}_2\text{O}_2:\text{H}_2\text{O} = 1:5$ ) and washed through standard sieves (63/125/250/500  $\mu\text{m}$ ). Before drying (40°C, 24 h), wet sieve residues were washed with ethanol (70%; Mischke et al., 2008; Roberts et al., 2018). Residues  $\geq 250 \mu\text{m}$  were picked completely; half of the residue  $\geq 125 \mu\text{m}$  was screened for microfossils. Determinations on species level are based on Purper (1979); Sheppard and Bate (1980), Muñoz-Torres et al. (1998); Whatley et al. (2000), Gross et al. (2013; 2014; 2016), and Boonstra et al. (2015). All fossils are housed in the collection of the Department for Geology and Paleontology, Universalmuseum Joanneum, Graz (inventory number UMJG&P 217.218). Prior to stable isotope analysis ( $\delta^{18}\text{O}$ ,  $\delta^{13}\text{C}$ ), ostracod valves and foraminiferan tests were washed once more with distilled water and finally rinsed in ethanol. Adult valves of four ostracod and two foraminifer species were analyzed with a Thermo-Finnigan Kiel II automated reaction system and a Thermo-Finnigan Delta Plus isotope-ratio mass spectrometer (University of Graz; standard deviation = 0.1‰ relative to NBS-19; results in per mille relative to Vienna Pee Dee Belemnite). The number of specimens needed per analysis (> 50  $\mu\text{g}$ ) varied between 2 (ostracods) and 10 (foraminifers) specimens. Scanning electron microscope photographs were taken with a JEOL (JSM-6610LV; Universalmuseum Joanneum).

## RESULTS

### Description of the Porvenir Section

Hovikoski et al. (2007) already described this outcrop (location 9 therein) in detail, which coincides largely with our observations (Gross and Piller, 2019; **Figure 2**).

Bed 1 consists of more than 1.15-m-thick, green–gray, massive clayey silt. Centimeter-thick *Thalassinoides* burrows occur in the upper 0.30 m and are filled with dark gray pelite and mollusk shells. Bed 2 comprises 0.80-m-thick, mollusk-rich, dark gray, massive pelite, which is burrowed by *Thalassinoides* in the uppermost part. Above (bed 3) follow 0.95 m of green gray, partly brown mottled, massive fine sandy pelite with abundant mollusk shells at the top and base. Centimeter-scale calcic concretions and rare turtle remains have been observed. Bed 4 is formed by 2.50 m of dark brown to gray, massive clay with coaly (i.e., leaf remains) and silty fine sand layers in the lower 0.80 m. The uppermost 0.10 m contain coaly layers (i.e., leaf fragments) and merge gradually into a brown, laminated lignite (bed 5). Up-section (bed 6), 0.70 m of mollusk-rich, gray to gray brown, massive silty fine sand and 0.25 m of brown gray, massive fine sandy silt (bed 7), rich in mollusks, follow; 0.30 m of mollusk-rich, green gray, massive fine sandy silt form bed 8. Bed 9 comprises 2.80 m of blue gray, massive clay with some discontinuous fine sand layers,



**FIGURE 2 |** Lithological section of the outcrop north of Porvenir and fossil content (FW = freshwater, BW = brackish water, MA = marine, EN = endemic taxa; \*only 1/4 of available specimens determined and counted; modified from Gross and Piller, 2019).

centimeter-scale concretions and root traces. Meter-scale (dm-thick) calcic concretions are developed at section-meter 8.50. The uppermost part of the outcrop (bed 10) consists of 0.40-m-thick, brown, laminated lignite with bivalves.

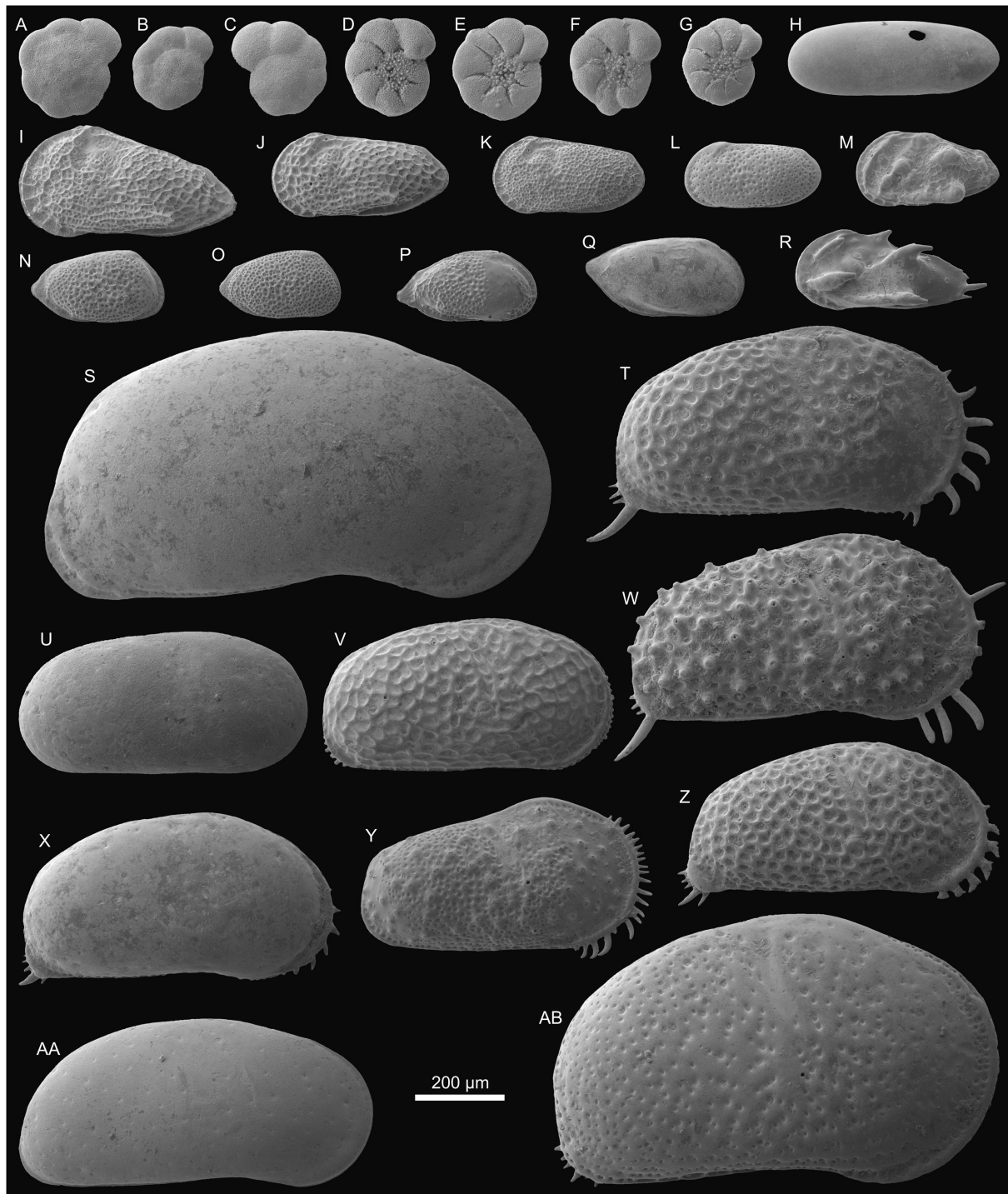
### The Ostracod and Foraminifer Fauna of Porvenir

Eight samples (two were barren; one lignite sample was not processed) from Porvenir furnished 21 ostracod and

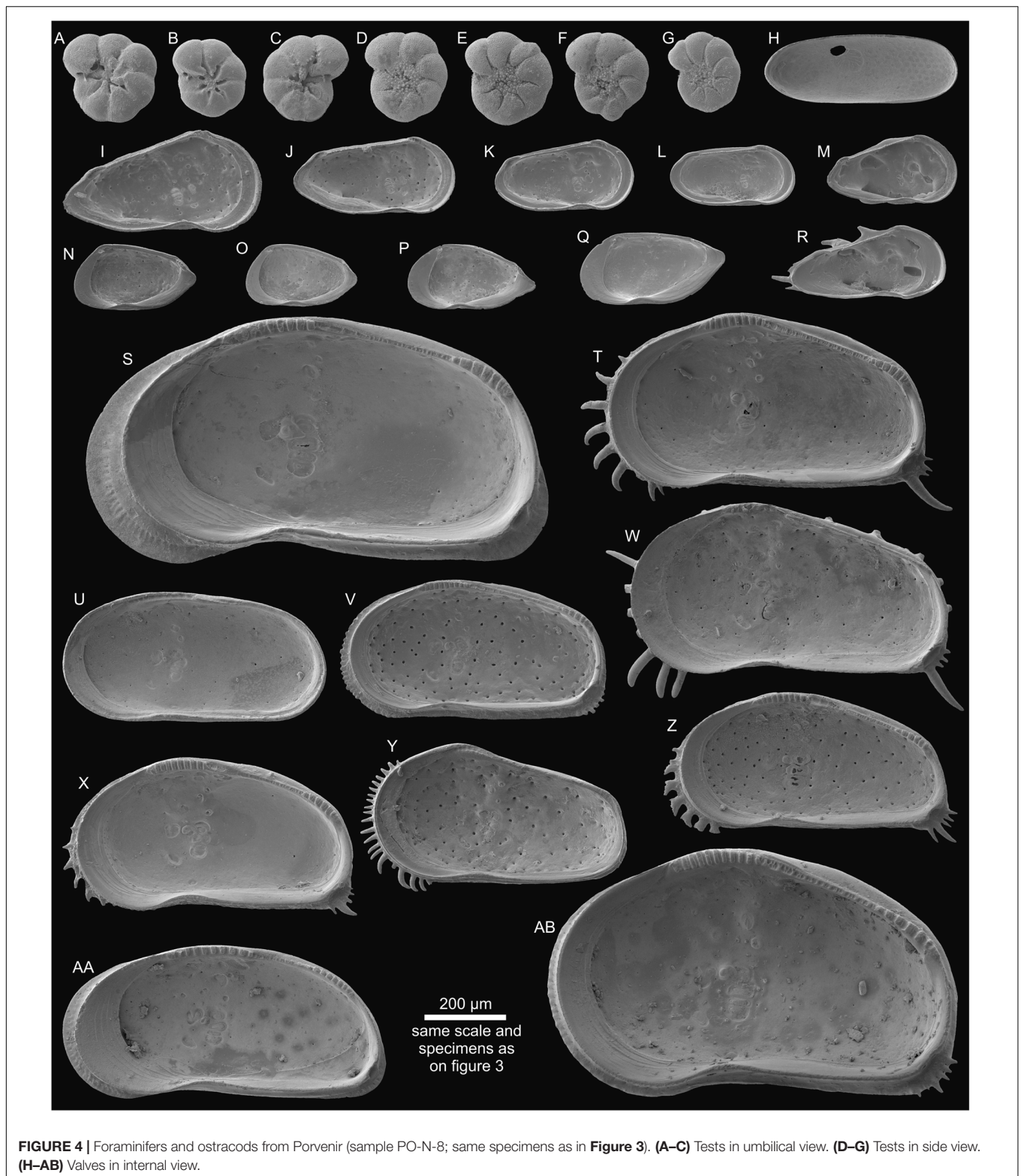
3 foraminifer species in total (Figures 2–4; Supplementary Table S1). Several species are left in open nomenclature due to limited material or represent presumably new species. A more detailed treatment of these specimens is beyond the scope of the current study.

### Systematic Notes on Ostracods and Foraminifers

*Alicenula olivenceae* (Purper, 1979) has been described originally as *Darwinula fragilis* by Purper (1979, 1984), was later renamed



**FIGURE 3 |** Foraminifers and ostracods from Porvenir (sample PO-N-8). **(A–C)** *Ammonia* sp., **(A)** Tsp (0.25), **(B)** Tsp (0.20), and **(C)** Tsp (0.23). **(D–G)** *E. granosum*?, **(D)** Tsi (0.22), **(E)** Tsi (0.23), **(F)** Tsi (0.22), and **(G)** Tsi (0.19). **(H)** *Alicenula olivenceae* (Rje, 0.47/0.17). **(I)** *Perissocytheridea acuminata* (L♀e, 0.49/0.25). **(J)** *P. akistron* (L♂e, 0.40/0.19). **(K)** *P. ornellae* (L♂e, 0.34/0.18). **(L)** *Perissocytheridea* sp. 1 (L♂e, 0.31/0.15). **(M)** ?*Perissocytheridea* sp. 2 (L♀?e, 0.32/0.17). **(N)** *Rhadinocytherura amazonensis* (R♂?e, 0.30/0.16). **(O)** *Rhadinocytherura* sp. 1 (R♂?e, 0.27/0.15). **(P)** *Rhadinocytherura* sp. 2 (Re, 0.32/0.16). **(Q)** *Pellucistoma curupira* (Re, 0.36/0.18). **(R)** *Skopaeocythere tetrakanthos* (L♂e, 0.38/0.18). **(S)** *Cyprideis machadoi* (R♀e, 1.14/0.61). **(T)** *C. aff. graciosa* (R♀e, 0.75/0.42). **(U)** *C. paralela* (R♀e, 0.65/0.32). **(V)** *C. munoztorresi* (R♀e, 0.65/0.34). **(W)** *C. curuca* (R♀e, 0.78/0.42). **(X)** *C. cyrtoma* (R♀e, 0.70/0.37). **(Y)** *C. inversa* (R♀e, 0.62/0.35). **(Z)** *C. ituiiae* (R♀e, 0.68/0.34). **(AA)** *C. multiradiata* (R♀e, 0.79/0.38). **(AB)** *C. sulcosigmoidalis* (R♀e, 1.00/0.60). L = left valve; R = right valve; T = test; e = external view; si = side view; sp = spiral view; ♀ = female; j = juvenile; ♂ = male. In brackets the diameter (foraminifers) and length/height (ostracods) are indicated in millimeters; the length of spines on ostracods is not included.



**FIGURE 4** | Foraminifers and ostracods from Porvenir (sample PO-N-8; same specimens as in **Figure 3**). **(A–C)** Tests in umbilical view. **(D–G)** Tests in side view. **(H–AB)** Valves in internal view.

as *Darwinula olivencae* (Purper, 1984), and was attributed to the genera *Alicenula* Rossetti and Martens, 1998 (Wesselingh and Ramos, 2010) or *Penthesilenula* Rossetti and Martens, 1998 (Gross et al., 2013). Here we follow the opinion of

Pinto et al. (2013), who place this species to *Alicenula*. Basically, this genus is a freshwater ostracod (e.g., lakes, rivers, springs, wet moss) but has been found in oligohaline to mesohaline swamps too (Keyser, 1975; Higuiri et al., 2009; Karanovic, 2012).

Ten species of *Cyprideis* Jones, 1857 have been encountered at Porvenir: *Cyprideis machadoi* (Purper, 1979); *C. aff. graciosa* (Purper, 1979); *C. paralela* (Purper, 1979); *C. munoztorresi* Gross et al., 2014; *C. curucae* Gross et al., 2014; *C. cyrtoma* Muñoz-Torres et al., 1998; *C. inversa* (Purper and Pinto, 1983); *C. ituiaie* Gross et al., 2014; *C. multiradiata* (Purper, 1979); and *C. sulcosigmoidalis* (Purper, 1979). For a review of western Amazonian *Cyprideis* species, see Gross et al. (2014). *Cyprideis* is a holoeuryhaline (freshwater to hypersaline) ostracod. It is especially common in shallow, oligohaline to mesohaline marginal marine environments (e.g., lagoons, estuaries, marshes) but also settles lowland rivers, as well as saline and freshwater lakes (e.g., Sandberg, 1964; Meisch, 2000; Gross et al., 2013; Meyer J. et al., 2017; Bright et al., 2018a).

The genus *Perissocytheridea* Stephenson, 1938, is represented by *Perissocytheridea acuminata* (Purper, 1979), *Perissocytheridea akistron* Muñoz-Torres et al., 1998, *Perissocytheridea ornellasae* (Purper, 1979), *Perissocytheridea* sp. 1, and ?*Perissocytheridea* sp. 2. The first three species match with original and subsequent descriptions (e.g., Muñoz-Torres et al., 1998; Gross et al., 2013; Linhares et al., 2017). *Perissocytheridea* sp. 1 of Porvenir resembles *Perissocytheridea* sp. 1 in Gross et al. (2013) and *Perissocytheridea* sp. A in Medeiros (2017) and is certainly a new species for western Amazonia. ?*Perissocytheridea* sp. 2 is to some degree similar to ?*Paracytheridea* sp. of Purper (1979). However, due to limited material, we refrain from an assured generic attribution and the description of a new species as well. *Perissocytheridea* is a euryhaline (oligohaline to hyperhaline) ostracod. It is most common in mesohaline, marginal marine environments (e.g., lagoons) but is found in slightly saline inland lakes too (e.g., Keyser, 1977; Pérez et al., 2011; Gross et al., 2013; Nogueira and Ramos, 2016).

Specimens of *Pellucistoma* Coryell and Fields, 1937, from Porvenir are identical with *Pellucistoma curupira* Gross et al., 2016 from late middle Miocene of Brazil (Sucuriju, ~62 km SW Benjamin Constant). This genus is a euhaline taxon (Gross et al., 2016).

Three species of *Rhadinocytherura* Sheppard and Bate, 1980 have been found at Porvenir among them one could be determined on species level (*Rhadinocytherura amazonensis* Sheppard and Bate, 1980). *Rhadinocytherura* sp. 1 is similar to *R. amazonensis* but displays a distinct difference in ornament and is probably a new species. Muñoz-Torres et al. (1998, pl. 6, Fig. 10) figured valves under *R. amazonensis*, which are identical with *Rhadinocytherura* sp. 2 herein. However, because of marked divergences in outline and ornament, we consider *Rhadinocytherura* sp. 2 as a separate species, which will be described elsewhere. *Rhadinocytherura*, a genus endemic for western Amazonia, is supposed to be a marine to brackish water taxon (Sheppard and Bate, 1980).

*Skopaeocythere tetrakanthos* Whatley et al., 2000 corresponds to the specimens from Porvenir and those in Linhares et al. (2017). It is the only species of this genus, endemic for western Amazonian and considered to be a brackish water form (Whatley et al., 2000).

The rostrid foraminifers *Ammonia* Brünnich, 1771 and *Elphidium* Montfort, 1808 develop various morphotypes, leading

to different taxonomical views (e.g., Hayward et al., 2004; Schweizer et al., 2011; Bright et al., 2018b and discussion therein). Our specimens coincide with the material of Boonstra et al. (2015) from Nuevo Horizonte, attributed to *Ammonia tepida* (Cushman, 1926), *Ammonia parkinsoniana* (d'Orbigny, 1839) and *Elphidium granosum?* (d'Orbigny, 1846). In the current work, we do not further differentiate between both *Ammonia* morphotypes. *Ammonia* and *Elphidium* occur in equal numbers at Porvenir, and repeatedly aberrant tests of both have been found. These euryhaline genera dwell typically marginal marine environments (e.g., estuaries, lagoons, mangroves) but also colonize saline inland lakes (e.g., Almogi-Labin et al., 1992; Murray, 2006; Gennari et al., 2011; Boonstra et al., 2015). *Ammonia* and *Elphidium* tolerate very low saline (< 1 PSU) waters where specific solute compositions may be the critical factor for their occurrence and not "simply" salinity (e.g., Boltovskoy and Lena, 1971; Wennrich et al., 2007; Flako-Zaritsky et al., 2011; Bright et al., 2018b). Test anomalies are mainly associated to strong salinity fluctuations or hyposaline conditions, but other parameters may trigger or suppress abnormalities (e.g., oxygenation, ion composition of the host water, organic matter input; Flako-Zaritsky et al., 2011; Melis and Covelli, 2013; Boonstra et al., 2015; Pint et al., 2017).

### Calcareous Microfossil Content of the Samples

For detailed counts of ostracod valves and foraminiferan tests at species level, see **Supplementary Table S1** (very rare = 1–5 specimens, rare = 6–20 specimens, abundant = 21–100 specimens, very abundant ≥ 100 specimens).

Sample PO-N-1: abundant ostracods (only *Cyprideis* species); rare gyrogonites (charophyte).

Sample PO-N-2a: very rare ostracods (only *Cyprideis* species); very rare foraminifers.

Sample PO-N-2b: very abundant ostracods; rare foraminifers; rare gyrogonites.

Sample PO-N-3a: very abundant ostracods; abundant foraminifers; abundant gyrogonites.

Sample PO-N-3b: very abundant ostracods; abundant gyrogonites.

Sample PO-N-4: no calcareous microfossils.

Sample PO-N-5: lignite, not processed.

Sample PO-N-6: rare ostracods (only *C. sulcosigmoidalis*); rare gyrogonites.

Sample PO-N-7: very abundant ostracods; very abundant foraminifers; very abundant gyrogonites.

Sample PO-N-8: very abundant ostracods; very abundant foraminifers; abundant gyrogonites; abundant glochidia (unionid bivalve larvae; Gross and Piller, 2019).

Sample PO-N-9: no calcareous microfossils.

### Results of Stable Isotope ( $\delta^{18}\text{O}$ - and $\delta^{13}\text{C}$ ) Analyses

Well-preserved, translucent (foraminifers) or translucent to slightly milky (adult ostracods) specimens were selected for geochemical analyses from layer PO-N-7 and PO-N-8 (**Table 1**, **Figure 5**). Both layers contain the richest, most diverse, and best-preserved calcareous microfossil fauna with an admixture

of typically freshwater, brackish water, and marine taxa. All measurements furnished very light  $\delta^{18}\text{O}$  and  $\delta^{13}\text{C}$  ratios (for details, see **Table 1**):

Sample PO-N-7: *C. machadoi* ( $\delta^{18}\text{O}$ :  $-5.07$  to  $-6.26\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-5.96$  to  $-8.05\text{‰}$ ), *C. multiradiata* ( $\delta^{18}\text{O}$ :  $-4.25$  to  $-5.73\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-8.10$  to  $-9.22\text{‰}$ ), *C. sulcosigmoidalis* ( $\delta^{18}\text{O}$ :  $-4.48$  to  $-6.10\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-7.79$  to  $-10.23\text{‰}$ ), *Ammonia* species ( $\delta^{18}\text{O}$ :  $-4.41$  to  $4.82\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-7.76$  to  $-8.95\text{‰}$ ), and *E. granosum?* ( $\delta^{18}\text{O}$ :  $-6.26\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-9.98\text{‰}$ ).

Sample PO-N-8: *C. machadoi* ( $\delta^{18}\text{O}$ :  $-4.08$  to  $-7.06\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-7.67$  to  $-11.25\text{‰}$ ), *C. multiradiata* ( $\delta^{18}\text{O}$ :  $-5.96$  to  $-7.14\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-8.56$  to  $-10.11\text{‰}$ ), *C. sulcosigmoidalis* ( $\delta^{18}\text{O}$ :  $-3.17$  to  $-6.74\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-8.35$  to  $-11.12\text{‰}$ ), *P. acuminata* ( $\delta^{18}\text{O}$ :  $-6.59\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-11.70\text{‰}$ ), *Ammonia* species ( $\delta^{18}\text{O}$ :  $-4.45$  to  $7.43\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-9.20$  to  $-11.55\text{‰}$ ), and *E. granosum?* ( $\delta^{18}\text{O}$ :  $-5.41$  to  $-7.24\text{‰}$ ,  $\delta^{13}\text{C}$ :  $-11.05$  to  $-12.13\text{‰}$ ).

## DISCUSSION

### Paleoenvironmental Inferences From the Paleontological Record

#### Calcareous Microfossils

Charophyte gyrogonites, found at Porvenir in all productive samples, normally point to shallow freshwater bodies (Gennari et al., 2011). However, a few stoneworts live in (or tolerate) brackish, marine, and also hypersaline waters, which limits their paleoecological indication without a more detailed determination (e.g., Soulié-Märsche, 2008; Soulié-Märsche and García, 2015).

Species of the genus *Cyprideis* strongly dominate the ostracod faunas of Porvenir (**Figure 2**). Other taxa occur in minor amounts. All recovered ostracod species and the extinct genera *Rhadinocytherura* and *Skopaeocythere* are endemic for western Amazonia. Extant *Cyprideis* and *Perissocytheridea* are typical brackish water elements; darwinulids (here *Alicenula*) dwell usually freshwaters, and *Pellucistoma* is a full marine taxon (for details, see *Systematic Notes on Ostracods and Foraminifers*). *Rhadinocytherura* and *Skopaeocythere* are allegedly marine to brackish water ostracods (Sheppard and Bate, 1980; Whatley et al., 2000).

Based on the predominance of *Cyprideis*, oligohaline to mesohaline waters have been assumed (lagoon/estuary: Purper, 1979; Sheppard and Bate, 1980; saline inland lake: Whatley et al., 1998). *Perissocytheridea*, the second most frequent ostracod at Porvenir, hints at the same direction (Nogueira and Ramos, 2016). Sporadically found freshwater (darwinulids) and marine taxa (here: *Pellucistoma*, *Ammonia*, *Elphidium*) were interpreted as (par-)allochthonous elements or indicators of short-lived marine incursions, respectively (e.g., Purper, 1979; Sheppard and Bate, 1980; Whatley et al., 1998; Boonstra et al., 2015).

Deduced from sedimentological and geochemical data, Gross et al. (2011, 2013) proposed the occurrence of *Cyprideis*, *Perissocytheridea*, and *Rhadinocytherura* in fluvial (freshwater), post-Pebasian deposits. Also an exceptional adaptation

of *Pellucistoma* (and consequently of the co-occurring *Skopaeocythere*) to freshwater conditions has been discussed (Gross et al., 2016). Hence, none of the recorded ostracod species provides a doubtless clue for the presence of brackish waters or marine incursions, which is, however, clearly contested by the finding of calcareous foraminifers.

#### Mollusks

The mollusk fauna of Porvenir comprises mainly endemic cochliopid gastropods and corbulid bivalves (for detailed information about the mollusk content, see van Aartsen and Wesselingh, 2000; Wesselingh et al., 2002, 2006a; Vonhof et al., 2003; van Aartsen and Wesselingh, 2005; Wesselingh, 2006). Only *Aylacostoma browni* (Etheridge, 1879), *Corbicula* sp., *Diplodon amygdalaeformis* Wesselingh, 2006, *Diplodon longulus* (Conrad, 1874), *Glabertryonia glabra* Wesselingh, 2006, and *Neritina roxoi* de Greve, 1938 are assumed to be freshwater forms. Remarkably, Gross and Pilller (2019) reported very fragile glochidia of the exclusively freshwater unionid *Diplodon* Spix, 1827. Putative marginal marine taxa are *Iolaea amazonica* van Aartsen and Wesselingh, 2005, *Melongena woodwardi* Roxo and de Oliveira, 1924, *Odostomia cotuhensis* van Aartsen and Wesselingh, 2000, and *Odostomia nuttalli* van Aartsen and Wesselingh, 2000 (Wesselingh and Ramos, 2010).

Hence, among a wealth of endemic forms, few freshwater and marine taxa co-occur at Porvenir (**Figure 2**). This is well comparable to the microfossil record and a common pattern for Pebasian strata (e.g., Wesselingh et al., 2006b; Hovikoski et al., 2007, 2010).

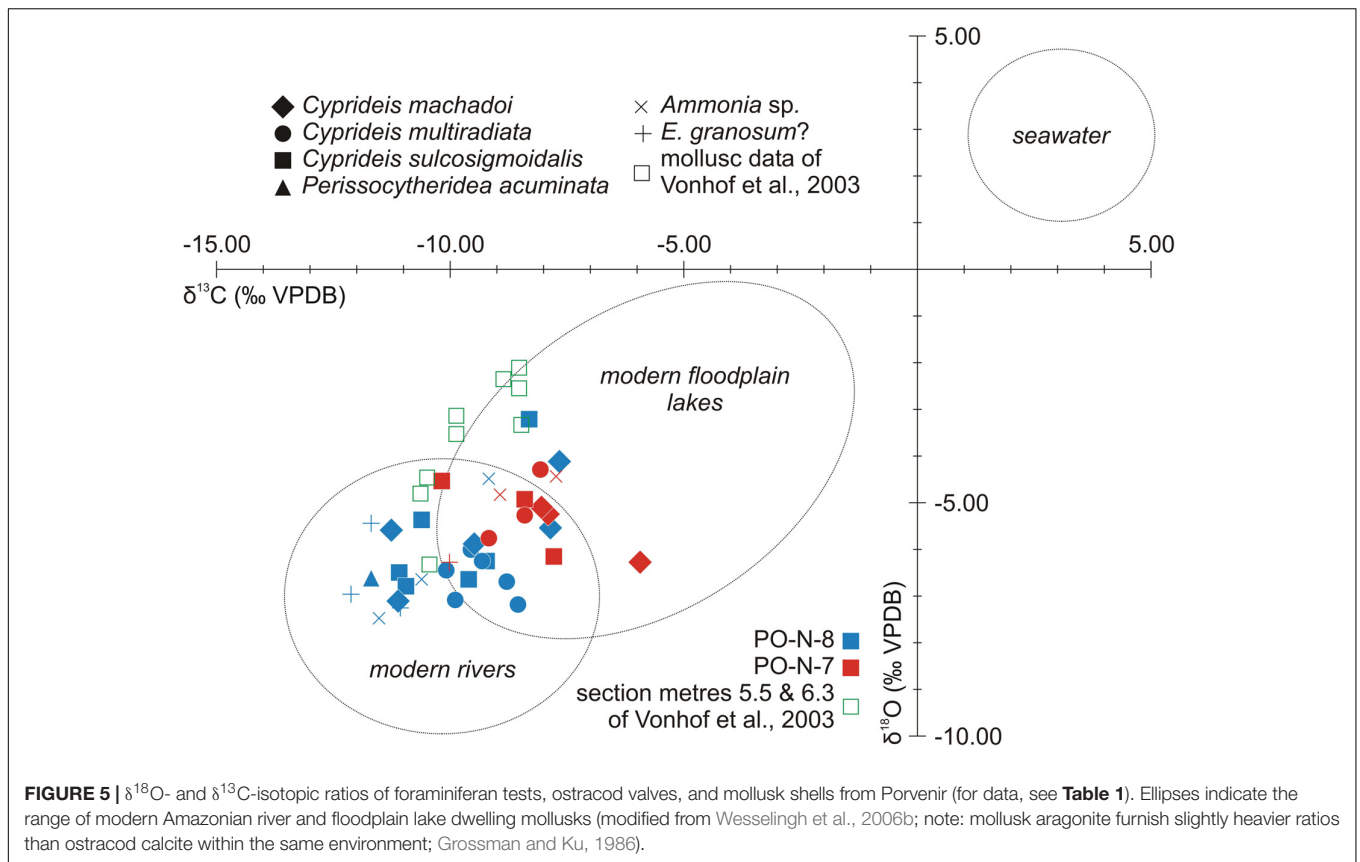
As already emphasized by Wesselingh et al. (2002; 2006b; Wesselingh, 2007), the endemic mollusk (and ostracod) faunas indicate a long-lived, somehow isolated aquatic setting and conflicts with regularly open, marine connections (e.g., estuaries). A generally brackish water interpretation (e.g., lagoons, saline inland lake) is at odds with the highly diverse endemic faunas, the frequent occurrence of *in situ* stenohaline freshwater taxa, and the lack of otherwise common marginal marine mollusks. “Simple” floodplain environments (like modern Amazon’s “várzeas”) mismatch by their low diverse, obligate freshwater and terrestrial faunas too (e.g., Wesselingh et al., 2002; Wesselingh, 2007). These authors concluded a dynamic, overall freshwater megawetland, influenced by brief episodes of marine incursions (see also Hoorn et al., 2010a; Jaramillo et al., 2017).

#### Ichnofossils

Hovikoski et al. (2007) studied the trace fossil assemblages of Porvenir. The beds containing herein reported foraminifers were interpreted as deltaic and lagoonal deposits within a bay-margin succession. Among others, the ichnofossil *Thalassinoides*, related to thalassinid shrimp burrows, has been inferred to indicate mesohaline to marine salinity conditions (Gingras et al., 2002; Hovikoski et al., 2007). These authors refused other decapods as producers of these *Thalassinoides* traces, as well as an adaptation of their constructors to freshwater (Wesselingh et al., 2006b).

However, the only more thoroughly known decapod remains from the Pebas/Solimões Fm. belong to today exclusively freshwater-dwelling trichodactylid crabs (Klaus et al., 2017;





**FIGURE 5** |  $\delta^{18}\text{O}$ - and  $\delta^{13}\text{C}$ -isotopic ratios of foraminiferan tests, ostracod valves, and mollusk shells from Porvenir (for data, see **Table 1**). Ellipses indicate the range of modern Amazonian river and floodplain lake dwelling mollusks (modified from Wesselingh et al., 2006b; note: mollusk aragonite furnish slightly heavier ratios than ostracod calcite within the same environment; Grossman and Ku, 1986).

**TABLE 1** |  $\delta^{18}\text{O}$ - and  $\delta^{13}\text{C}$ -isotopic ratios of foraminiferan tests, ostracod valves, and mollusk shells from Porvenir (samples PO-N-7 and 8; mollusk data from Vonhof et al., 2003; section meters 5.5 and 6.3 of Vonhof et al., 2003, correspond approximately to PO-N-7 and 8).

Sample	Species	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$	Sample	Species	$\delta^{13}\text{C}$	$\delta^{18}\text{O}$
PO-N-7	<i>C. machadoi</i>	-7.92	-5.23	PO-N-8	<i>C. sulcosigmoidalis</i>	-9.25	-6.18
PO-N-7	<i>C. machadoi</i>	-5.96	-6.26	PO-N-8	<i>C. sulcosigmoidalis</i>	-8.35	-3.17
PO-N-7	<i>C. machadoi</i>	-8.05	-5.07	PO-N-8	<i>C. sulcosigmoidalis</i>	-10.63	-5.34
PO-N-7	<i>C. multiradiata</i>	-8.42	-5.24	PO-N-8	<i>C. sulcosigmoidalis</i>	-10.99	-6.74
PO-N-7	<i>C. multiradiata</i>	-9.22	-5.73	PO-N-8	<i>C. sulcosigmoidalis</i>	-9.61	-6.57
PO-N-7	<i>C. multiradiata</i>	-8.10	-4.25	PO-N-8	<i>C. sulcosigmoidalis</i>	-11.12	-6.43
PO-N-7	<i>C. sulcosigmoidalis</i>	-8.44	-4.85	PO-N-8	<i>P. acuminata</i>	-11.70	-6.59
PO-N-7	<i>C. sulcosigmoidalis</i>	-7.79	-6.10	PO-N-8	<i>Ammonia</i> sp.	-9.20	-4.45
PO-N-7	<i>C. sulcosigmoidalis</i>	-10.23	-4.48	PO-N-8	<i>Ammonia</i> sp.	-10.60	-6.63
PO-N-7	<i>Ammonia</i> sp.	-8.95	-4.82	PO-N-8	<i>Ammonia</i> sp.	-11.55	-7.43
PO-N-7	<i>Ammonia</i> sp.	-7.76	-4.41	PO-N-8	<i>E. granosum?</i>	-11.69	-5.41
PO-N-7	<i>E. granosum?</i>	-9.98	-6.26	PO-N-8	<i>E. granosum?</i>	-11.05	-7.24
PO-N-8	<i>C. machadoi</i>	-11.11	-7.06	PO-N-8	<i>E. granosum?</i>	-12.13	-6.94
PO-N-8	<i>C. machadoi</i>	-7.88	-5.51	mollusk data of Vonhof et al., 2003:			
PO-N-8	<i>C. machadoi</i>	-11.25	-5.55	F715/5.5	<i>P. obliquus</i>	-10.42	-6.32
PO-N-8	<i>C. machadoi</i>	-9.48	-5.83	F715/5.5	<i>Corbicula</i> sp.	-10.48	-4.46
PO-N-8	<i>C. machadoi</i>	-7.67	-4.08	F715/5.5	<i>M. woodwardi</i>	-9.85	-3.53
PO-N-8	<i>C. multiradiata</i>	-9.37	-6.19	F727-1/5.5	<i>M. woodwardi</i>	-9.86	-3.13
PO-N-8	<i>C. multiradiata</i>	-9.58	-5.96	F727-2/5.5	<i>M. woodwardi</i>	-8.86	-2.33
PO-N-8	<i>C. multiradiata</i>	-9.92	-7.00	F727-3/5.5	<i>M. woodwardi</i>	-8.51	-2.53
PO-N-8	<i>C. multiradiata</i>	-8.81	-6.65	F727-4/5.5	<i>M. woodwardi</i>	-8.52	-2.10
PO-N-8	<i>C. multiradiata</i>	-8.56	-7.14	F717b/6.3	<i>M. woodwardi</i>	-8.46	-3.31
PO-N-8	<i>C. multiradiata</i>	-10.11	-6.39	F717b/6.3	<i>P. carinatus</i>	-10.62	-4.82

see also Antoine et al., 2016). Whether such decapods (or others) could be the producers of Pebasian *Thalassinoides* requires, of course, further investigations but a reappraisal of this ichnofossil seems appropriate (compare similar discussions about marine vs. fluvial sedimentary structures; e.g., Westaway, 2006; Latrubesse et al., 2007, 2010).

## Paleoenvironmental Inferences From the Geochemical Record

In contrast to the paleontological record, geochemical data display a uniform pattern. Consistently, O/C-stable isotope analyses performed on mollusk, ostracod, and foraminiferan shells resulted in very light values (e.g., Vonhof et al., 1998, 2003; Kaandorp et al., 2005, 2006; Wesselingh et al., 2006b; Gross et al., 2013, 2016; and **Figure 5** herein).

Significantly diverging O/C-isotopic compositions of rainwater, as, for example, modeled for the Eocene of the Tibetan Plateau (Botsyun et al., 2019) are not projected for the Miocene of western Amazonia. The impact of slightly differing paleolatitude or higher Mid-Miocene temperatures and atmospheric CO<sub>2</sub>-content are assumed negligible or irresolvable to date. The source of vapor (Atlantic Ocean), its distance from the ocean (causing “continental effects”), and amount (despite probably lower Andes) of precipitation are considered comparable to modern ones (Kaandorp et al., 2005, 2006; see also the modeling of Insel et al., 2010 and Sepulchre et al., 2010). Nevertheless, the effect of the enormous Pebas wetland itself to the Amazonian hydrological cycle remains incalculable so far due to vague boundary conditions (i.e., water surface area and water depth at a specific stratigraphic interval; Kaandorp et al., 2006 and S. Richoz, pers. comm.).

A diagenetic alteration of the isotopic signal is improbable for the mineralogically pristine material and due to the preserved seasonal δ<sup>18</sup>O-cycles (Vonhof et al., 1998, 2003; Kaandorp et al., 2006). Biologically induced offsets (“vital effects”) of δ<sup>18</sup>O-values from isotope equilibrium may range in the order of about + 2‰ but will not substantially affect the general isotope signal (Marco-Barba et al., 2012; Holmes and De Deckker, 2017; Meyer J. et al., 2017; Bright et al., 2018a). Variations of δ<sup>18</sup>O recorded in the growth increments of Pebasian mollusks were related to distinct annual changes in precipitation (Kaandorp et al., 2005, 2006), which might have also affected the chemistry of ostracod valves. Because the isotopy of foraminifers corresponds well with that of the ostracods, the measured O/C ratios could reflect the dry season with the most evaporated and most <sup>16</sup>O-depleted waters. All here and previously measured biogenic carbonates furnished well-comparable O/C-values. This indicates that all biota calcified within the same ambient water and precludes reworking or faunal mixing.

An extensive <sup>18</sup>O depletion of marine waters reaching western Amazonia by incursions due to strong freshwater input (e.g., Andean runoff, precipitation) is possible. Although such diluted marine waters will be low saline, the amount of essential solutes (e.g., Ca<sup>+2</sup>) could be sufficient for euryhaline organisms to survive (Bright et al., 2018a,b). However, <sup>87</sup>Sr/<sup>86</sup>Sr-isotope ratios of Pebasian mollusks do not suggest the influence of

seawater (except arguable results from Buenos Aires/Colombia; Vonhof et al., 1998, 2003).

Hence, the obtained light O/C ratios are incompatible with the influx of marine, isotopically heavier waters and exclude substantial evaporative effects (<sup>18</sup>O-enrichment) as well (Wesselingh et al., 2002; Leng and Marshall, 2004; Hovikoski et al., 2010). From O/C-isotopic point of view, the analyzed taxa dwelled in a pure freshwater environment.

To sum up, within the same layers an admixture of usually freshwater, brackish-water, and marine-water biota occurs at Porvenir. This is probably best explained by a brackish (oligohaline) salinity regime, like in an estuarine system (Boonstra et al., 2015). However, a fully freshwater O/C-signal, earlier Sr-isotope analyses (Vonhof et al., 2003) and the faunal composition conflict with this interpretation and render marine incursions questionable. Short-term salinity oscillations not resolved by sampling, an alteration (including vital effects) of the geochemical signal, and mixing of faunas can be excluded (Wesselingh et al., 2006b; Hovikoski et al., 2007, 2010). Therefore, other interpretations about the nature of the Pebas system with its unique fauna and freshwater geochemical signature are discussed below.

## An Alternative Hypothesis to the Marine Incursion Model

Calcareous foraminifers, like euryhaline *Ammonia* and *Elphidium*, are well known from continental settings (inland lakes, rivers). Yet, all records are related to elevated salinity due to (i) episodic marine influx, (ii) evaporative solute concentration, or (iii) saline groundwater discharge (e.g., Boltovskoy and Boltovskoy, 1968; Boltovskoy and Lena, 1971; Cann and De Deckker, 1981; Patterson, 1987; Patterson et al., 1997; Boudreau et al., 2001; Wennrich et al., 2007; Flako-Zaritsky et al., 2011; Gennari et al., 2011; Pint et al., 2017). At least slightly saline (>0.5 PSU) conditions seem to be a prerequisite for foraminiferan test calcification with the availability of calcium as a critical factor (Toyofuku et al., 2008; Iglíkowska and Pawłowska, 2015; Charrieau et al., 2018a,b).

Miocene foraminifers from western Amazonian show a high degree of aberrant tests, which is probably caused by very low salinity and pH (Boonstra et al., 2015; Charrieau et al., 2018a,b) and agrees with geochemical paleosalinity calculations (<1 PSU; Vonhof et al., 2003). Most specimens have megalospheric tests referring to sexual reproduction under fluctuating conditions (Colburn and Baskin, 1998; Flako-Zaritsky et al., 2011). Similarly, otherwise marine ostracods (*Pellucistoma*) are miniaturized and poorly calcified referring to very low salinity too (Gross et al., 2016).

Notably, western Amazonian *Cyprideis* consistently lack hollow protuberances (“nodes”) on their shells and bear round sieve pores (e.g., Purper, 1979; Muñoz-Torres et al., 1998; Gross et al., 2014; for extremely rare exceptions, see Linhares et al., 2011). Whereas a dominance of unnoded *Cyprideis* valves usually points to mesohaline (>14 PSU) salinity regimes, round sieve pores occur characteristically in oligohaline (<1 PSU) waters (Frenzel et al., 2012, 2017 and references therein).

This discrepancy could be taxon-specific or related to a peculiar ion composition of the ambient water (e.g., van Harten, 2000; Pint et al., 2012; Grossi et al., 2015). For instance, adequate  $\text{Ca}^{2+}$  supply has been demonstrated to reduce the proportion of noded *Cyprideis* valves (Frenzel et al., 2012; compare Keyser, 2005; Keatings et al., 2007). Perhaps, also the  $\text{SO}_4^{2-}$  content of the host water plays an additional role in node formation as the sulfate (and chloride) concentration is negatively correlated to node frequency (Meyer J. et al., 2017).

Hence, not salinity—the total amount of dissolved solids—*per se* but the ready presence of specific ions could be the controlling parameter for the occurrence of marine ostracods and foraminifers in the Pebas system (Forester, 1983, 1986; Flako-Zaritsky et al., 2011; Pint et al., 2015; Bright et al., 2018b).

If we assume a continental freshwater environment, shaped by precipitation and Andean runoff, and exclude marine incursions and significant evaporative processes, possibly the inflow of saline, Andean, and/or basement-derived waters accounts for the solute composition of the Pebas wetland.

Today, abundant evaporite-bearing formations (largely gypsum/anhydrite but also halite) crop out west and southwest of the Marañon basin and contribute significantly to the dissolved load of the modern Marañon and Ucayali River systems (Benavides, 1968; Stallard and Edmond, 1983; McClain and Naiman, 2008; Moquet et al., 2011, 2014, 2016). Locally, in proximity to salt diapirs, rivers and lakes with considerably raised salinities are known (e.g., Río Mishquiyacu: ~4.4 PSU or Lago Limón: ~24.9 PSU, NW and SE of Tarapoto/Peru, respectively; Stallard and Edmond, 1983; García, 2003; Ortega et al., 2007).

Until the late Miocene, the Huallaga basin, for example, was open to the Pebas system (Hermoza et al., 2005; Roddaz et al., 2005b). It is possible that saline surface waters, originating from Andean evaporite dissolution, affected the shallow Pebas wetland. Nevertheless, high freshwater input (rainwater, surface runoff) probably diluted such waters quickly over long distances (e.g., distance Huallaga evaporite domes–Iquitos > 450 km) and obliterated any effect on Pebasian environments.

Another possibility is that mineralized but O-isotopically light subsurface waters (Jørgensen and Holm, 1994; Wennrich, 2005) have influenced the Pebas wetland. Synsedimentary faults due to forebulge dynamics (e.g., Iquitos arch; Roddaz et al., 2005a,b; Meyer C. M. C. et al., 2017) could have enabled the ascent of ion-rich groundwater during deposition of the Pebas Fm. An upward migration of brackish to hypersaline waters through faults can be still observed in western Amazonia nowadays (Stallard and Edmond, 1983; Rosário et al., 2016). Presumably, such groundwater inferences would be rather local phenomena. However, they could have occurred repeatedly and widespread in the Pebas system, mimicking short-lived marine incursions.

Possibly, the mixture of groundwater and freshwater resulted in a distinct hydrochemistry of Pebasian waters suitable only for few euryoecious biota. For example, athalassic ostracod distributions are sensitive to specific ion compositions (e.g.,  $\text{Ca}^{2+}$ ,  $\text{Cl}^-$ ,  $\text{SO}_4^{2-}$ ; Stout, 1981; Keatings et al., 2007; Pint et al., 2015). Especially, *Cyprideis* seems to thrive in sulfate- and chloride-rich continental waters (Mezquita et al., 1999; Keatings et al., 2007; Bright et al., 2018a). The episodic influx of dissolved

$\text{CaSO}_4$  (and NaCl) could have favored the occurrence of *Cyprideis* but hampered the settlement of cypridoid freshwater ostracods and ordinary freshwater mussels (Whatley et al., 1998; Keatings et al., 2007; Wesselingh, 2007). Perhaps, an unusual  $\text{Ca}^{2+}$ ,  $\text{Cl}^-$  and  $\text{SO}_4^{2-}$  supply not only prevented the formation of noded *Cyprideis* valves but also facilitated the erratic occurrence of marine ostracods and foraminifers.

We assume the Pebas system as a huge, spatial, and temporal highly dynamic tropical wetland consisting of shallow lakes, swamps, and rivers. Climatically driven fluctuations in precipitation and fluvial runoff (water, clasts, solutes) affected this patchy structured freshwater ecosystem in manifold ways (rhythmic sedimentation, oxygenation, turbulence, nutrients, etc.; e.g., Kaandorp et al., 2006; Wesselingh et al., 2006b; Hoorn et al., 2010a; Latrubesse et al., 2010; Gross et al., 2013). In addition, saline groundwater inflow caused an (episodically) aberrant water chemistry with an O/C-isotope freshwater signature but specific ion composition. This contributed to a further habitat diversification within but also to an ecological separation beyond the system (aside other factors; e.g., frequent dysoxia, muddy substrate, predation pressure; Wesselingh et al., 2002; Wesselingh, 2007). The Pebas wetland communicated not necessarily by marine (e.g., Jaramillo et al., 2017; Albert et al., 2018) but rather by fluvial pathways with the Llanos basin and Caribbean Sea, respectively (Nuttall, 1990). Nektic biota of marine origin (e.g., fishes, aquatic mammals) might have migrated actively upstream into the Pebas wetland. Less mobile organisms (e.g., ostracods, foraminifers, mollusks, marine plants) could have introduced phoretically, either by aquatic or aerial transport means (e.g., attached to fishes or birds). After successful colonization, niche occupation triggered the radiation of some marine “arrivals” (e.g., *Cyprideis*, corbulid bivalves), as well as a gradual, long-term adaptation to post-Pebasian, fluvial environments (Gross et al., 2013, 2014, 2016; Linhares et al., 2017). On occasion, euryhaline foraminifers were introduced by avian transport and reproduced for a short period in groundwater influenced lakes and ponds (e.g., Flako-Zaritsky et al., 2011).

## CONCLUSION

In accordance to earlier studies on the mollusk contents, the microfossil fauna of Porvenir is by far dominated by endemic species of the “brackish water” ostracod *Cyprideis*. Ordinary freshwater and marine taxa (including foraminifers) occur in minor proportions. Solely based on actualistic evidence, a brackish water paleoenvironment is indicated, which agrees with repeatedly suggested, brief marine connections of the Pebas wetland to the Llanos basin and further to the Caribbean Sea.

Nonetheless, a simple application of uniformitarian principals to the highly endemic Pebasian fauna (and trace fossils) remains ambiguous. Geochemical data (O/C- and Sr isotopes) clearly point to a non-marine-influenced, freshwater environment.

Admittedly, the herein studied Porvenir section covers only a very small portion of the entire spatiotemporal extent of the Pebas Fm. and Pebas system, respectively (~10 m vs. several hundreds of meters' thickness; several thousands to few hundred

thousands of years vs. several millions of years; e.g., Hoorn et al., 2010a; Jaramillo et al., 2017). However, similar observations were reported from a variety of sites distributed over a wide area of the Pebas system. Thus, our interpretation of such opposing data could be a clue for the whole system or, at least, a novel perspective.

We hypothesize that the Pebas wetland received some influx of “saline” but isotopically “fresh” groundwaters resulting in a complex water chemistry. Such scenario would explain (i) the high endemicity of the Pebasian mollusk and ostracod fauna, (ii) the dominance and diversification of only few groups, (iii) the lack of other common marginal marine taxa, (iv) the low abundance of otherwise widespread freshwater elements, (v) the freshwater isotope signature of calcareous shells, and (vi) the sporadic existence of marine ostracods and foraminifers close to their tolerance limits far inland.

## DATA AVAILABILITY STATEMENT

All datasets generated for this study are included in the article/**Supplementary Material**.

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## AUTHOR CONTRIBUTIONS

MG and WP investigated the stratigraphical section. MG studied the fossil content, prepared all figures and wrote the manuscript. WP performed stable isotope analyses and contributed by discussions to the final version of the manuscript.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/feart.2020.00116/full#supplementary-material>

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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