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## Dynamics of a model for the degradation mechanism of aggregated α-synuclein in Parkinson's disease

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Accumulation of the misfolded synaptic protein  $\alpha$ -synuclein ( $\alpha$ Syn\*) is a hallmark of neurodegenerative disease in Parkinson's disease (PD). Recent studies suggest that the autophagy lysosome pathway (ALP) including both the Beclin1-associated and mTOR-signaling pathways is involved in the  $\alpha$ Syn<sup>\*</sup> clearance mechanism. In this study, a mathematical model is proposed for the degradation of  $\alpha$ Syn<sup>\*</sup> by ALP with the crosstalk element of mTOR. Using codimension-1 bifurcation analysis, the tri-stability of  $\alpha$ Syn<sup>\*</sup> is surveyed under three different stress signals and, in addition, consideration is given to the regulatory mechanisms for the Beclin1and mTOR-dependent rates on aSyn\* degradation using the codimension-1 and-2 bifurcation diagrams. It was found that, especially under internal and external oxidative stresses ( $S_1$ ), the bistable switch of the aggregation of  $\alpha$ Syn\* can be transformed from an irreversible to a reversible condition through the ALP degradation pathways. Furthermore, the robustness of the tri-stable state for the stress  $S_1$  to the parameters related to mTOR-mediated ALP was probed. It was confirmed that mTOR-mediated ALP is important for maintaining the essential dynamic features of the tri-stable state. This study may provide a promising avenue for conducting further experiments and simulations of the degradation mechanism of dynamic modeling in PD.

### KEYWORDS

Parkinson's disease, *a*-synuclein, autophagy lysosome pathway, mTOR, tri-stability

### Introduction

Parkinson's disease (PD) is the second most frequent neurodegenerative disease, after Alzheimer's disease, with an incidence rate among humans as high as 2% after 65 years of age (Goedert et al., 2013; Bridi and Hirth, 2018). From a neuropathological point of view, the hallmark of PD is the loss of dopaminergic neurons in the substantia nigra pars compacta (SNc). It is most likely caused by the presence of neuronal cytoplasmic inclusions called Lewy bodies (LBs) due to abnormal accumulation of  $\alpha$ -synuclein ( $\alpha$ Syn) (Maries et al., 2003; Ruiperez et al., 2010; Gallegos et al., 2015; Choi et al., 2020).

Increased levels of  $\alpha$ Syn have been demonstrated to cause the loss of mitochondria electron transport chain complex I activity resulting in increased reactive oxygen species (ROS) (Cali et al., 2011; Schapira and Jenner, 2011; Blesa et al., 2015; Scialo et al., 2017). Subsequently, excessive levels of ROS result in the upregulation of misfolded  $\alpha$ Syn ( $\alpha$ Syn\*) and damage to dopaminergic neurons in PD (Kolodkin et al., 2020; Thorne and Tumbarello, 2022). Cloutier and Wellstead (2012) have proposed a double positive feedback loop for the mutual promotion between ROS and  $\alpha$ Syn\* within a PD model. The model contains multiple

complex interactions involving molecular pathways and cellular processes, and hence the model has been further simplified to highlight the central feedback motif of  $\alpha$ Syn<sup>\*</sup> and ROS with stress signals (Cloutier et al., 2012).

Autophagy is a "self-eating" process arising through the digestion of cellular components (Komatsu et al., 2007; Harris and Rubinsztein, 2011; Zhao et al., 2015; Fussi et al., 2018; Sotthibundhu et al., 2018). The upregulation of the autophagy lysosome pathway (ALP) promoting the degradation of  $\alpha$ Syn\* is a very important degradation mechanism for maintaining homeostasis in the pathology of PD (Spencer et al., 2009; Vilchez et al., 2014; Erustes et al., 2018; Malik et al., 2019; Bekker et al., 2021). However, the aforementioned models of the central feedback structure of ROS and  $\alpha$ Syn\* do not allow for the vital clearance mechanisms of ALP to degrade  $\alpha$ Syn\*.

The mammalian target of rapamycin (mTOR) is a central regulator and modulates multiple aspects of ALP; hence, it is a novel therapeutic target for PD (Bove et al., 2011; Jiang et al., 2013; Xu et al., 2014; Zhu et al., 2019). The activation of mTOR is known to inactivate Beclin1-induced autophagy and promote Caspases-induced apoptosis under stress (Li et al., 2011; Siddiqui et al., 2015; Tavassoly et al., 2015; Cooper, 2018; Lu et al., 2020). Recent research suggests a complex network of mTOR signaling pathways implicated in the control of ALP which determines cell life and death (Sarkar et al., 2009; Jung et al., 2010; Kondratskyi et al., 2013; Shen et al., 2013). In consequence, the crosstalk element mTOR which regulates ALP to degrade the aggregation of  $\alpha$ Syn\* may become one of the most crucial targets for the treatment of PD (Ebrahimi-Fakhari et al., 2014; Lan et al., 2017).

The aggregation of  $\alpha$ Syn<sup>\*</sup> will trigger an appropriate endoplasmic reticulum (ER) stress response to decide cell survival or death (Kim et al., 2008; Cybulsky, 2017; Gomez-Suaga et al., 2018; Ren et al., 2021). Under tolerable stress conditions, autophagy plays a clearance role in removing aggregated a Syn\* for maintaining neuro homeostasis (Booth et al., 2014; Liu et al., 2018). However, under conditions of too long or too excessive stress levels, autophagy will switch to apoptosis as a "self-killing" pathway for better adaptation to the living environment (Heath-Engel et al., 2008; Djavaheri-Mergny et al., 2010; Wu et al., 2014; Chung et al., 2018). Kapuy et al. (2014) have presented a mathematical model that contains an interplay between major autophagy and apoptosis proteins mediated by mTOR. In that study, Beclin1, as the main protein regulator of autophagy, is activated by the endoplasmic reticulum stress sensor (ERS), and Caspases, as a primary inducer of apoptosis, is also activated by ERS.

In the present study, we seek to establish a mathematical model of mTOR-mediated ALP as the major protein clearance for the degradation of aggregated  $\alpha$ Syn\*. Two key points will be addressed: (1) the aggregation of  $\alpha$ Syn\* promoted by ROS triggers the activation of ERS and then ALP and Caspases-dependent apoptosis and (2) mTOR crosstalk controls ALP with respect to the degradation of the aggregated  $\alpha$ Syn\*. Abundant non-linear dynamic analysis in the model expounds the tendency of protein concentrations to play an important role in clarifying whether the disease emerges or not.

Furthermore, recent studies show that in neurodegenerative disease, it is quite possible that a critical state, as evidenced by

the existence of a tipping point, exists between healthy and disease states (Liu R. et al., 2019; McClellan and King, 2021). In this study, we seek to clarify the existence of healthy, critical, and disease states in the pathology of PD, based on the presence of low, intermediate, and high steady-state levels of  $\alpha$ Syn<sup>\*</sup>, respectively, as depicted in Figure 1A. Therefore, in the context of the tri-stability of  $\alpha$ Syn<sup>\*</sup>, it is considered noteworthy to study critical states to gain a better understanding of the pathogenesis of PD.

To investigate the characteristic of  $\alpha$ Syn<sup>\*</sup> with tri-stability, the focus is given to the key molecules Beclin1 and mTOR in ALP which degrade  $\alpha$ Syn<sup>\*</sup> under three different stresses, i.e., internal and external oxidative stresses (S1), age-related antioxidative mechanisms (S<sub>2</sub>), and the influence of genetic damage (S<sub>3</sub>) through codimension-1 bifurcation diagrams. Moreover, bifurcation analysis is used to survey the tri-stability under the three stresses controlled by the ALP-dependent degradation rates. In addition, all possible steady-state regions are explored by codimension-2 bifurcation analyses as well as the effect of the steady-state levels of aSyn\* under different initial conditions. We find that ALP related to Beclin1 and mTOR correlates to the bistable state which switches from the irreversible to the reversible state for the stress signal S<sub>1</sub>, which may have significant implications for the pathogenesis of PD. Finally, we investigate the robustness of the tri-stable state with respect to the mTOR-associated regulatory mechanisms. Overall, our findings provide new insights into the complex tri-stability dynamics as being a key regulatory mechanism where ALP mediated by mTOR degrades  $\alpha$ Syn<sup>\*</sup> in PD.

## Methods

# Model of ALP regulated by mTOR for degradation of a Syn\*

The mathematical network model is divided into three functional modules with Aggregation (orange), ALP (green), and Apoptosis (blue) illustrated in Figure 1B.

Aggregation is represented by a positive feedback loop between  $\alpha$ Syn<sup>\*</sup> and ROS under three stresses  $S_1$ ,  $S_2$ , and  $S_3$ . Internal or external oxidative stress (such as nutrient starvation, mitochondria dysfunction, and the loss of dopamine)  $S_1$  promotes ROS, while stress  $S_2$  with mechanisms of age-related anti-oxidative (such as reduced energy metabolism and anti-oxidative capability) degrade ROS. Stress  $S_3$  which is characterized by having damaged protein mechanisms for over-expression that promotes the formation of  $\alpha$ Syn<sup>\*</sup> (Cloutier et al., 2012).

Endoplasmic reticulum stress activated by  $\alpha$ Syn<sup>\*</sup> (upper red arrow) promotes the activation of Beclin1-dependent autophagy *via* ALP, Caspases-dependent apoptosis, and mTOR (Kapuy et al., 2014).  $\alpha$ Syn<sup>\*</sup> is aggregated through ALP (lower red arrow) including both mTOR signaling and Beclin1-associated pathways with protein clearance mechanisms. Three double-negative feedback loops are formed by mutual inhibitions between Beclin1 and Caspases, between Beclin1 and mTOR, and among Beclin1, Caspases, and mTOR when the activated mTOR promotes Caspases.



Schematic illustration of PD. (A) According to the levels of  $\alpha$ Syn\*, there are three different states, i.e., the low, intermediate, and high steady states parallel to the healthy, critical, and disease states, respectively. (B) A three-module model network characterizing aggregation, ALP, and apoptosis. The promotion, degradation, and inhibition are denoted by solid lines with arrowheads, dotted lines with arrowheads, and blocked end lines, respectively.

### Dynamic equations

The model is formulated as a coupled system of non-linear ordinary differential equations (ODEs) (1)–(6), which includes six components: the concentrations of [ROS], [ $\alpha$ Syn<sup>\*</sup>], [ERS], [mTOR], [Beclin1], and [Caspases].

The double-positive feedback loops between [ROS] and  $[\alpha Syn^*]$  are described in Equations (1) and (2). The first term in Equation (1) represents [ROS] generated by the background synthesis, stimuli *S*<sub>1</sub>, and  $[\alpha Syn^*]$ . The second term represents [ROS] degraded by stimuli *S*<sub>2</sub>. The dynamics of aggregated  $\alpha Syn^*$  in Equation (2) includes [ROS] which promotes the generation of  $\alpha Syn^*$  within the first term, and the basal and Beclin-1-dependent removal within the second term. There are two terms in Equation (3), the first term corresponds to [ROS]-dependent and basal activation of [ERS], while the second term corresponds to the basal inactivation of [ERS]. As described by Equation (4), the dynamics of [mTOR] involve the basal and [ERS]-dependent activation, as well as the basal and [Beclin1]-dependent inactivation. The double-negative feedback motif between [Beclin1] and [Caspases] is described in Equations (5) and (6). For the dynamics of [Beclin1] in

Equation (4), the first term represents the basal and ERS-dependent activation of [Beclin1], and the second term represents the basal and [Caspases]-dependent inactivation of [Beclin1]. Equation (5) accounts for the dynamics of [Caspases] with two terms, i.e., the basal and [ERS]-dependent activation and the basal and [Beclin1]-dependent inactivation.

Parameters in the model are mostly determined based on the literature (Cloutier et al., 2012; Kapuy et al., 2014) concerning both the simulation results and the experimental data. The initial values for all these variables are set at zero biologically (Cloutier et al., 2012; Kapuy et al., 2014), and the significance of each parameter with its default value is shown in Table 1. The numerical simulations and the bifurcation diagrams of the ODEs are solved by XPPAUT (Ermentrout, 2003).

$$\frac{d[ROS]}{dt} = k_1 \left[ 1 + S_1 + d_{\alpha Syn} \left( \frac{\left( \frac{[\alpha Syn^*]}{k_{\alpha Syn}} \right)^4}{1 + \left( \frac{[\alpha Syn^*]}{k_{\alpha Syn}} \right)^4} \right) \right] - k_2 \cdot [ROS] \cdot S_2$$
(1)

### TABLE 1 Description of parameters used in models (1)–(6).

Parameter	Significance	Value	Unit
<i>S</i> <sub>1</sub>	Internal and external oxidative stresses	2	-
<i>S</i> <sub>2</sub>	Age-related anti-oxidative mechanisms	1	_
<i>S</i> <sub>3</sub>	Influence of genetic damage/mutation	1	_
$d_{\alpha Syn}$	αSyn*-dependent fractional activation of ROS	15	_
$k_{\alpha Syn}$	Hill constant of αSyn* aggregation	8.5	$\mu M$
$k_1$	Generation rate of ROS	0.72	$\mu M h^{-1}$
$k_2$	Removal rate constant of ROS	0.72	$h^{-1}$
<i>k</i> <sub>3</sub>	Generation rate constant of αSyn∗	0.7	$h^{-1}$
$k_4$	Removal rate constant of aSyn*	0.7	$h^{-1}$
$k_5$	Beclin1- and mTOR-dependent rate constant of $\alpha$ Syn* degradation	2.7	$h^{-1}$
<i>k</i> <sub>6</sub>	αSyn*-dependent rate constant of ERS activation	1	$h^{-1}$
k <sub>7</sub>	Basal rate constant of ERS activation	0.5	$h^{-1}$
$k_8$	Basal rate constant of ERS inactivation	1	$h^{-1}$
<i>k</i> 9	Basal rate of mTOR activation	2	$\mu M h^{-1}$
k <sub>10</sub>	ERS-dependent rate constant of mTOR activation	10	$h^{-1}$
k <sub>11</sub>	Basal rate of mTOR inactivation	0.4	$\mu M h^{-1}$
k <sub>12</sub>	Beclin1-dependent rate constant of mTOR inactivation	7	$h^{-1}$
k <sub>13</sub>	Basal rate of Beclin1 activation	2	$\mu M h^{-1}$
$k_{14}$	ERS-dependent rate constant of Beclin1 activation	4	$h^{-1}$
k <sub>15</sub>	Basal rate of Beclin1 inactivation	1	$\mu M h^{-1}$
k <sub>16</sub>	Caspases-dependent rate constant of Beclin1 inactivation	10	$h^{-1}$
k <sub>17</sub>	mTOR-dependent rate constant of Beclin1 inactivation	0.6	$h^{-1}$
k <sub>18</sub>	Basal rate of Caspases inactivation	1	$\mu M h^{-1}$
<i>k</i> <sub>19</sub>	ERS-dependent rate constant of Caspases activation	2	$h^{-1}$
k <sub>20</sub>	mTOR-dependent rate constant of Caspases activation	2	$h^{-1}$
k <sub>21</sub>	Basal rate of Caspases inactivation	2	$\mu M h^{-1}$
k <sub>22</sub>	Beclin1-dependent rate constant of Caspases inactivation	4.5	$h^{-1}$
Jbe	Beclin1 Michaelis constant	1	$\mu M$
Jca	Caspases Michaelis constant	0.04	$\mu M$
ERST	Total level of ERS	2	$\mu M$
mTROT	Total level of mTOR	1	$\mu M$
Beclin1T	Total level of Beclin1	1	$\mu M$
CaspasesT	Total level of Caspases	1	$\mu M$

$$\frac{d[\alpha Syn^*]}{dt} = k_3 \cdot [ROS] \cdot S_3 - k_4 \cdot \left[\alpha Syn^*\right] \cdot k_5 \cdot ([Beclin1] \cdot [mTOR])$$

$$\frac{d[RS]}{d[ERS]} = k_5 \left[\alpha Syn^*\right] \cdot k_5 \cdot (EPST) \quad (EPST)$$

$$\frac{dt}{dt} = k_6 \cdot \left\lfloor \alpha Syn^* \right\rfloor \cdot k_7 \cdot (ERST - [ERS]) - k_8 \cdot [ERS]$$
(3)

$$\frac{d[mTOR]}{dt} = (k_9 + k_{10} \cdot [ERS]) \cdot (mTORT - [mTOR]) - (k_{11} + k_{12} \cdot [Beclin1]) \cdot [mTOR]$$
(4)

$$\frac{d[Beclin1]}{dt} = \frac{(k_{13} + k_{14} \cdot [ERS]) \cdot (Beclin1T - [Beclin1])}{(Jbe + Beclin1T - [Beclin1])}$$

$$-\frac{(k_{15} + k_{16} \cdot [Caspases] + k_{17} \cdot [mTOR]) \cdot [Beclin1]}{(Jbe + [Beclin1])}$$
(5)
$$\frac{d[Caspases]}{dt} = \frac{(k_{18} + k_{19} \cdot [ERS] + k_{20} \cdot [mTOR]) \cdot (CaspasesT - [Caspases])}{Jca + CaspasesT - [Caspases]}$$
(6)

$$-\frac{(k_{21}+k_{22}\cdot[Beclin1])\cdot[Caspases]}{(Jca+[Caspases])}$$

## Results

## Bifurcations analysis under the three stresses

First, we have attempted to understand how every one of the stresses  $S_i$  (i = 1, 2, 3) changes the activation of [ $\alpha$ Syn<sup>\*</sup>], [Caspases], and [Beclin1] in the positive-feedback loop between  $\alpha$ Syn<sup>\*</sup> and ROS.

From a dynamic point of view, bifurcation diagrams of the  $[\alpha Syn^*]$  concentration as well as the [Caspases] and [Beclin1] concentrations for the stresses  $S_i$  (i = 1, 2, 3) were prepared as shown in Figures 2A–C, respectively. There exist multiple stable (red solid lines) and unstable (black dotted lines) bifurcating branches arising from multiple fold points  $F_i$  (i = 1, 2, ..., 6), whose locations enable us to decide on the occurrence of tri-stability, bistability, or monostability for the three stresses. In addition, there exist Hopf bifurcation points (HB) on the bifurcation curves for the stresses  $S_1$  and  $S_3$  in Figures 2A, C, respectively. However, only a very small stable limit cycle (green) appears and then disappears *via* saddle homoclinic (HC) bifurcation (see insets in Figures 2A, C).

Here, a relatively large range of values [0, 20] for the stresses  $S_I$  (i = 1, 2, 3) was set on the ordinate to better display the three coexisting stable steady states. As shown in Figures 2A–C, the tri-stability state dominates the range [1,1.8] for  $S_1$ , being more substantial than the ranges [1.07, 1.34] for  $S_2$  and [1, 1.3] for  $S_3$ . It should be pointed out that the results of the three different stable states are from a dynamic standpoint. In fact, the intermediate and high states for [Caspases] almost have the same levels, coinciding with the "0–1" state for [Caspases] in terms of biological significance.

Given that the non-negative stresses in the model are of biological significance, the non-negative half-axis of the  $(S_i, y)$ plane surrounded by the gray dotted lines is given some focus of attention. All switches between any two stable states are reversible for the stresses  $S_2$  and  $S_3$  (see Figures 2B, C) but irreversible for the stress  $S_1$  due to the fold bifurcation points  $F_2$  and  $F_4$  located in the negative part of the x-axis (see Figure 2A). The reversibility of the stresses  $S_2$  and  $S_3$  guaranteeing arbitrary switching between any two stable states lowers the high [ $\alpha$ Syn<sup>\*</sup>] concentrations with increasing stress  $S_2$  (see Figure 2B1) or decreasing stress  $S_3$  (see Figure 2C1). Nevertheless, the irreversibility of stress  $S_1$  fails to activate the switch between any two stable states so that the high [ $\alpha$ Syn<sup>\*</sup>] concentration always remains high (see Figure 2A1).

# Tri-stability initiated from different values of the $[\alpha Syn^*]$ concentration

From Figures 2A–C, it can be deduced that the tri-stability state occupies the ranges of [1, 1.8] for stress  $S_1$ , [1.07, 1.34] for  $S_2$ , and [1, 1.3] for  $S_3$ , respectively. Furthermore, attempts to capture possible states for the initial values of [ $\alpha$ Syn\*] eventually arrive at any of

the three steady states for the stresses  $S_i$  (i = 1, 2, 3) in Figure 3. Within the tri-stable ranges of the three stresses on the vertical axis, all the initiated values of the [ $\alpha$ Syn<sup>\*</sup>] concentrations (in dark blue, light blue, and yellow) are depicted on the abscissa in Figures 3A1– C1, respectively. To reflect the consequences of different initial values of [ $\alpha$ Syn<sup>\*</sup>] more intuitively, the time courses for the [ $\alpha$ Syn<sup>\*</sup>], [Caspases], and [Beclin1] concentrations in the second, third, and fourth columns are presented in Figure 3, respectively, when setting the stress  $S_i$  (i = 1, 2, 3) at some specific values, i.e.,  $S_1 = 1$ ,  $S_2 = 1.2$ , and  $S_3 = 0.9$ . In accordance with the results for the bifurcation diagrams in Figures 2A–C, the [ $\alpha$ Syn<sup>\*</sup>] and [Caspases] concentrations finally reach the lower, middle, and upper stable branches, while the [Beclin1] concentration reaches exactly the upper, lower, and middle stable branches.

Furthermore, explanations regarding the biological significance of the tendencies for the time series of  $[\alpha Syn^*]$ , [Caspases], and [Beclin1] concentrations in Figure 3 are now considered. First, small initial values of  $[\alpha Syn^*]$  tend to evoke low  $[\alpha Syn^*]$ concentrations accompanied by high [Beclin1] and low [Caspases] concentrations (dark blue lines in time-series diagrams in Figure 3), which correspond to the health state with a low [aSyn\*] concentration. From a biological standpoint, Beclin1induced autophagy takes advantage of the whole process to degrade most of the  $[\alpha Syn^*]$  concentration. Then, medium initial values of  $[\alpha Syn^*]$  lead to a relatively intermediate  $[\alpha Syn^*]$ concentration accompanied by a low [Beclin1] but the intermediate [Caspases] concentrations (light blue lines in time-series diagrams in Figure 3) correspond to critical state with a relatively low  $[\alpha Syn^*]$  concentration. The fact that the [Beclin1] concentration is much lower than the [Caspases] concentration indicates that apoptosis dominates the  $[\alpha Syn^*]$  concentration at this health state. Finally, large initial values of  $[\alpha Syn^*]$  bring about high  $[\alpha Syn^*]$ concentrations, as well as high [Caspases] concentrations, but the intermediate [Beclin1] concentrations (yellow lines in the timeseries diagrams in Figure 3) correspond to a disease state due to the high [ $\alpha$ Syn<sup>\*</sup>] concentrations.

# Tri-stability under the three stresses controlled by the ALP-dependent degradation rate k<sub>5</sub>

Abnormal accumulation of  $\alpha$ Syn<sup>\*</sup> is also degraded by ALP via both the mTOR-signaling and Beclin1-associated pathways (Shen et al., 2013). In the present model, the ALP-dependent  $\alpha$ Syn<sup>\*</sup> degradation rate constant,  $k_5$ , bridges the two modules via the degradation mechanism of ALP. Here, different values of the parameter  $k_5$  were selected to reveal how the bifurcation curves of  $\alpha$ Syn<sup>\*</sup> change with the stress signals  $S_1$ ,  $S_2$ , and  $S_3$  (see Figure 4).

The values of  $k_5$ , varying from small to large, resulting in shifting of the bifurcation curves for  $S_1$  and  $S_3$  from left to right (see Figures 4A, C) but for  $S_2$  moves in the opposite direction (see Figure 4B). In particular, the increase in the parameter  $k_5$ results in a change from irreversibility to the reversibility of the bistable switch between the middle and the upper stable states for  $S_1$  in Figure 4A, which further benefits the transition from the upper to the middle stable state. Moreover, the increase in



the parameter  $k_5$  significantly lowers the upper stable states to reduce the [ $\alpha$ Syn<sup>\*</sup>] levels for  $S_1$  in Figure 4A. For example, an increase in  $k_5$  from 2.7 to 3.7 reduces the [ $\alpha$ Syn<sup>\*</sup>] concentration from 19.27 to 13.27, i.e., a reduction of nearly 31.14%. All of these variations fully support the contention that the aggregation of  $\alpha$ Syn<sup>\*</sup> has the possibility to be modulated by the parameter  $k_5$  via the ALP degradation pathways. This result may offer a plausible explanation of how the switchover states between irreversibility and reversibility are controlled by ALP which is medicated by mTOR.

# Regions of tri-stable steady states in codimension-2 bifurcation diagrams

The parameter  $k_5$  impacts on the bifurcation curves as well as the switches between the stable steady states. This fact encourages us to identify more globally all the steady-state regions controlled by  $k_5$  through codimension-2 bifurcation diagrams in the  $(S_i, k_5)$  - planes (i = 1, 2, 3).

In codimension-2 bifurcation diagrams on the  $(S_1, k_5)$ -plane in Figure 5A, four fold bifurcation curves  $f_1$ – $f_4$  (blue) and an Hopf bifurcation curve h (black) near  $f_3$  are obtained by the saddlenode bifurcation points  $F_4$ ,  $F_1$ ,  $F_5$ , and  $F_3$  and the Hopf bifurcation point HB in Figure 2A, respectively. Furthermore, the fold curve  $f_1$ collides with  $f_2$  at the cusp bifurcation points CP1 and  $f_3$  collides with  $f_4$  at CP2. The fold curves  $f_1$ ,  $f_2$ , and  $f_4$  divide the  $(S_1, k_5)$ plane into tri-stable (T, green), bistable (B, pink), and monostable (M, yellow) regions. Here, the stability seems to be unchanged when passing through the fold curve  $f_3$  from left to right. In fact, the number of stable equilibria is added by one *via* fold bifurcation on the fold curve  $f_3$  but reduced by one *via* the Hopf bifurcation on the Hopf curve h.

The four fold curves  $f_1-f_4$  in Figure 5B originated from the saddle-node bifurcation points  $F_1-F_4$  (Figure 2B1), where the  $(S_2, k_5)$ -plane is divided into regions M, B, T, B, and M from bottom left to top right. In addition, some enlarged views of the upper left and the lower right of the  $(S_2, k_5)$ -plane are inserted in Figure 5B.



(A1, B1, C1) show the three  $\alpha$ Syn\* levels as a function of the initial values of [ $\alpha$ Syn\*] (x-axis) and S<sub>i</sub> (i = 1, 2, 3) (y-axis). Time series of [ $\alpha$ Syn\*], [Caspases], and [Beclin1] reach their own three steady-states with the input signal S<sub>1</sub> = 1 (A2–A4), S<sub>2</sub> = 1.2 (B2–B4), and S<sub>3</sub> = 0.9 (C2–C4), respectively.



The saddle-node bifurcation points  $F_1$ - $F_6$  and the Hopf bifurcation point HB in Figure 2C1 form fold bifurcation curves  $f_1$ -and the Hopf bifurcation curve h in Figure 5C, respectively. It may be pointed out that all the fold curves intersect at one cusp bifurcation point CP. The  $(S_3, k_5)$ -plane is divided by  $f_2$ - $f_6$  into regions M, B, T, B, and M from left to right. Here, the stability is still unchanged when passing through the fold curve  $f_1$  and the Hopf curve h.

The multi-stability in the regions of M, B, and T with the coexistence of steady states are exhibited more comprehensively by

the codimension-2 diagrams in Figure 5. In addition, the dynamics of the tri-stable state in region T should be highlighted, where the healthy state may transform the critical state to avoid transiting to the disease state directly and rapidly. Moreover, the regions of the tri-stability for T that exist in the  $(S_1, k_5)$ -plane are much larger than those in the  $(S_2, k_5)$ - and the  $(S_3, k_5)$ -planes. Furthermore, the irreversible switch transits to the reversible one in the tristable region T in the  $(S_1, k_5)$ -plane with increasing  $k_5$ . Thus, more attention is focused on the tri-stable state for the stress  $S_1$  by the ALP degradation pathways regulated by  $k_5$ .



## Fluctuation of the $[\alpha Syn^*]$ steady-state levels under different initiated conditions

The choice of initial values is also an important factor in the accumulation of  $\alpha$ Syn<sup>\*</sup> as it may lead to the different stable states for tri-stability. From a global perspective, a further survey on the tendency of different initial conditions to affect the steady-state levels of  $[\alpha$ Syn<sup>\*</sup>] for the three stresses  $S_i$  (i = 1, 2, 3) and the parameter  $k_5$  was undertaken. Here, the three initial values of  $[\alpha$ Syn<sup>\*</sup>] were still set at 0, 2, and 10 (see rows 1–3 in Figure 6). In Figure 6, the same results in the 3D and 2D contour maps are presented. The former is to illustrate the variation of the  $[\alpha$ Syn<sup>\*</sup>] concentration more completely and the latter is to help better understand the response more intuitively. Surfaces of different stable steady states with color bars were constructed for the stresses  $S_i$  (*x*-axis) and the parameter  $k_5$  (*y*-axis), and the contour maps are

projected onto the planes of  $(S_i, k_5)$  (i = 1, 2, 3) in Figures 6A–C, respectively.

The increasing initial value of  $[\alpha Syn^*]$  from 0 to 2 to 10 elevates the steady-state levels and so inevitably shrinks the regions of the lower stable steady states (blue). Particularly, the extent of shrinking in the  $(S_1, k_5)$ -plane in Figure 6A is much sharper than those in the  $(S_2, k_5)$ - and  $(S_3, k_5)$ -planes in Figures 6B, C. However, the larger  $k_5$  expands the regions of the lower stable steady-state levels for the three stresses  $S_i$  (i = 1, 2, 3) more to possibly reduce the  $[\alpha Syn^*]$  levels in Figure 6. Especially so for the larger  $k_5$  and the smaller stresses  $S_1$  and  $S_3$  while the larger stress  $S_2$  expands more the regions of the lower stable steady states. In summary, the parameter  $k_5$  contributes to the regulation of the  $[\alpha Syn^*]$  concentration, especially in the case of stress  $S_1$  for the prevention and treatment of PD.





# Robustness of tri-stable state to the parameters of mTOR-related regulations

An investigation of the robustness of the tri-stable regions in the  $(S_1, k_5)$ -plane to variations in other key parameters was attempted. Here, we consider three important parameters associated with mTOR, i.e., the  $\alpha$ Syn\*-dependent rate constant of ERS activation  $k_6$  as well as the basal rates of mTOR activation and inactivation,  $k_9$  and  $k_{11}$ . The raw values of the parameters  $k_6$  (see Figure 7A),  $k_9$  (see Figure 7B), and  $k_{11}$  (see Figure 7C) were increased or decreased in the codimension-2 bifurcation diagrams in the  $(S_1, k_5)$ -plane in Figure 5A.

With the increase of  $k_6$  from left to right in Figure 7A, the fold bifurcation curves  $f_1$  and  $f_2$  changes little while the fold bifurcation curves  $f_3$  and  $f_4$  shifted to the left. Thus, the tri-stable region T between  $f_1$  and  $f_2$  shrinks while the bistable region B between  $f_1$ and  $f_2$  expands a little. However,  $k_9$  hardly changed any of the bifurcation curves as well as any of the steady-state regions as illustrated in Figure 7B, implying that the dynamic behavior is robust to perturbation of the parameter  $k_9$ . Unexpectedly, with the increase of  $k_{11}$ , there are only twofold curves  $f_1$  and  $f_2$  dividing the  $(S_1, k_5)$ -plane into monostable and bistable regions, so the tristable state is transformed to the bistable state which in turn reverts to the monostable state as shown in Figure 7C.

In summary, the dynamic behavior of the tri-stable state in the  $(S_1, k_5)$ -plane changed the most under perturbation of parameter  $k_{11}$ . This result implies that the mTOR-mediated ALP is crucial to maintaining the essential dynamic feature of the tri-stable state for this biological system.

## Discussion

It is widely considered that the aggregation of  $\alpha$ Syn<sup>\*</sup> is closely related to the pathogenesis of PD; however, the underlying mechanism is still not fully understood. Indeed, it should be pointed out that the progression of many complex diseases may be divided into three states, i.e., normal state, pre-disease (or tipping point), and disease states (Liu et al., 2017; Liu R. et al., 2019; Liu X. et al., 2019). Especially in neurodegenerative disease (Liu X. et al., 2019; McClellan and King, 2021; Qi et al., 2021), it is quite possible that a critical state may serve as an early-warning signal prior to the rapid switch between healthy and disease states. Therefore, identifying the critical state is crucial and represents a challenge to prevent qualitative deterioration in PD. In this study, we have proposed a mathematical model whereby ALP regulated by mTOR is considered the major protein clearance pathway for degrading the aggregated  $\alpha$ Syn<sup>\*</sup>; moreover, we have also surveyed the tri-stability dynamics for the three states of PD.

A large amount of aggregated  $\alpha$ Syn<sup>\*</sup> which may trigger the spontaneous development of PD may be influenced by multifaceted factors associated with  $S_1$ ,  $S_2$ , and  $S_3$  at the same time, such as exposure to an environmental toxin (external oxidative stress from toxins to increase  $S_1$ ), advanced age (reduction in the agerelated anti-oxidative mechanism of  $S_2$ ), and a genetic defect ( $\alpha$ Syn overexpression or increase in  $S_3$ ) (Cloutier and Wellstead, 2012). In this research, the key molecules Beclin1 and mTOR in ALP to degrade  $\alpha$ Syn<sup>\*</sup> under three different stresses have been highlighted and studied.

The dynamics of tri-stability for the different stress signals  $S_i$  (i = 1, 2, 3) are captured by codimension-1 bifurcation analysis, in which the lower, middle, and upper stable steady states correspond to the healthy, critical, and disease states in the progression of PD, respectively. Although a small step toward the identification of the intermedium state in PD is taken, the challenges in describing and characterizing faithfully the biological system are expected to be improved in further biodynamic modeling of PD.

It has been established that the bistable switches are irreversible for stress S<sub>1</sub> but reversible for stresses S<sub>2</sub> and S<sub>3</sub>. The irreversibility of stress  $S_1$  may ensure that a high concentration of  $[\alpha Syn^*]$ is maintained. From a biological perspective, mitochondria dysfunction plays a crucial role in PD etiopathogenesis, given that it is an important source of S<sub>1</sub> and leads to significantly higher ROS in cells to indirectly accelerate the formation of αSyn\* (Cali et al., 2011; Scialo et al., 2017). Moreover, it has been found that the ALP-dependent rate constant of  $\alpha Syn^*$ degradation, k<sub>5</sub>, changes the bistable switch from irreversible to reversible for  $S_1$  and increasing  $k_5$  greatly alters the higher stable states of the  $\alpha$ Syn<sup>\*</sup> levels for S<sub>1</sub>. It has been confirmed that the ALP degradation pathways offer the possibility to control the aggregation of aSyn\* to protect dopaminergic neuron cells from death. More globally, in uncovering all the steadystate regions in the codimension-2 bifurcation diagrams, it was discovered that the regions of tri-stability in the  $(S_1, k_5)$ plane are much larger than those in the  $(S_2, k_5)$  and  $(S_3, k_5)$ planes.

Furthermore, it was revealed that mTOR-mediated ALP plays an important role in regulating the degradation of  $\alpha$ Syn<sup>\*</sup> based on the robustness of the tri-stable regions in the ( $S_1$ ,  $k_5$ )-*plane* with respect to the three important parameters associated with mTOR. Unexpectedly, the tri-stable dynamic behavior vanished under the disturbance of the  $k_{11}$  parameter, implying that mTOR-mediated ALP is important to maintaining the essential dynamic features of tri-stability for biological systems. In addition, it is well-known that the function of mTOR signaling is of great importance in restoring neuron death induced by toxins, such as the huge accumulation of  $\alpha$ Syn<sup>\*</sup> in PD (Ebrahimi-Fakhari et al., 2014; Lan et al., 2017). In conclusion, the clearance mechanism of ALP plays an important role in tri-stability in our model where mTOR-mediated ALP degrades  $\alpha$ Syn<sup>\*</sup>. The model links experimental and theoretical biology to realize a more comprehensive understanding of the precise regulatory mechanisms of the degradation of  $\alpha$ Syn<sup>\*</sup> by ALP and mediated by mTOR. In future, the essential properties of tri-stability may be applied to experiments and studies of PD, and relevant molecular aspects should be considered for biomathematical modeling and dynamic analysis of PD.

The intermediate state as a barrier prevents the system from switching from the lower to the upper steady state directly. Thus, the critical state (the intermediate state) in tri-stability is an alert warning for avoiding more aggregation of  $\alpha$ Syn\* and causing PD, thus treatment for the critical state in neuron cells will be vital for modeling PD dynamic networks. Our study may provide a promising avenue for further experiments and simulations of the degradation mechanisms for dynamic modeling in PD. This research may ultimately lead to novel therapeutic approaches for the treatment of PD.

### Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

### Author contributions

BY was responsible for manuscript development, model concept, and writing the manuscript. ZY supervised the study design and manuscript development. LH collaborated on manuscript development and concept. All authors have read and approved the final manuscript.

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## **Conflict of interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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