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EDITED BY

Xueliang Shi,
East China Normal University, China

REVIEWED BY

Samrat Ghosh,
Central Leather Research Institute
(CSIR), India
Junzhi Liu,
The University of Hong Kong, Hong
Kong SAR, China

*CORRESPONDENCE

Shumin Chen,
✉ chenshumin201605@163.com
Xuefeng Lu,
✉ luxf@fudan.edu.cn

[†]These authors have contributed equally
to this work

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Naphthalimide-based conjugated macrocycles possessing tunable self-assembly and supramolecular binding behaviours

Dongyue An^{1†}, Yutao Sun^{1†}, Dongdong Chang¹, Jiangyu Zhu¹,
Shumin Chen^{2*} and Xuefeng Lu^{1*}

¹Department of Materials Science, State Key Laboratory of Molecular Engineering of Polymers, Fudan University, Shanghai, China, ²School of Mathematics and Physics, Jingchu University of Technology, Jingmen, China

The special geometric configurations and optoelectronic properties of *p*-conjugated macrocycles have always been the focus of materials science. The incorporation of building moieties with different features into macrocycles can not only change their geometric configurations, but also realize the regulation of intramolecular charge transfer, which is expected to bring unusual performance in supramolecular chemistry and optoelectronic devices. Herein, four novel *p*-conjugated macrocycles based on typical electron acceptor units naphthalimide (NMI) with aryl or alkyl substitutions were reported. The different substitutions on NMI had greatly affected the self-assembly behaviours of these macrocycles. Alkyl substituted **NP2b** and **NP3b** showed obvious self-aggregation in solution, while similar phenomenon was not found in aryl substituted macrocycles **NP2a** and **NP3a**, which can be attributed to the steric hindrance caused by rigid aryl groups that could affect the aggregation of macrocycles in solution. In addition, all the macrocycles exhibited supramolecular encapsulation with C₇₀, in which the larger macrocycles **NP3a** and **NP3b** with twisted geometries showed stronger binding affinity towards C₇₀ than the corresponding small-size macrocycles **NP2a** and **NP2b** with near-planar geometries. Our studies have greatly extended the family of macrocycles based on NMI, pointing out the direction for further supramolecular studies and applications on *p*-conjugated macrocycles.

KEYWORDS

macrocycle, electron acceptors, 1,8-naphthalimide, self-assembly, supramolecular binding

Introduction

Supramolecular chemistry is a class of science that focuses on non-covalent interactions between molecules, such as hydrogen bonds, electrostatic interactions, hydrophobic interactions and p - π interactions (Ringsdorf and Simon, 1994; Whitesides and Grzybowski, 2002). These weak interactions give rise to host-guest binding and self-assembly behaviour. Among them, the host-guest interaction refers to the process in which two or more kinds of molecules, namely the host and the guest molecule, are combined by non-covalent bonds (Yang et al., 2015; Li et al., 2020), while self-assembly is the process in which molecules or parts of molecules form ordered aggregates spontaneously (Zhou and Yan, 2009; Song et al., 2021). These supramolecular complexes formed by non-covalent interactions can realize molecular recognition, catalysis, reaction, transfer and other functions (Park and Simmons, 1968; Kim et al., 2019; Dey et al., 2021) (Ghosh et al., 2018) (Ghosh et al., 2022) (Kumar et al., 2022), which have important theoretical significance and broad application prospects in materials science, information science and life science. We pay special attention to the supramolecular properties of p -conjugate system. However, the p -conjugate molecules with supramolecular properties reported so far are mostly simple small molecules, such as carbazol (Yang et al., 2008), hexabenzocoronene (HBC) (Dou et al., 2008), porphyrin (Pp) (Wolffs et al., 2005; Hou et al., 2006; Helmich et al., 2010) and perylene bisimide (PBI) (Würthner et al., 2008). Therefore, the key to supramolecular research is to develop novel p -conjugated materials with unique geometric structure, good solubility, versatile functionality, homologues and host-guest ability (Ogoshi et al., 2016).

The p -conjugated macrocycles (Savage, 2011; Loh et al., 2016) are a very special class of conjugated small molecules, which can be regarded as cyclic conjugated oligomers with definite diameters (Kudernac et al., 2009). Thanks to their special annular geometries, especially the existence of inner and outer rings, conjugated macrocycles exhibit unique physicochemical properties that distinguish them from other small molecules and linearly conjugated system cavities, such as photophysical properties, electrochemical properties and aromaticity (Wu et al., 2013; Guberman-Pfeffer et al., 2019; Penty et al., 2022). For example, the special annular structure of macrocycles is benefit for intermolecular contact and the transfer of electric charges in all directions. And in contrast to normal linear polymers, p -conjugated macrocycles lack end groups that could trap charge and degrade performance of devices (Ball et al., 2016) (Ball et al., 2019) (Ghosh et al., 2019). In addition, the p -conjugated macrocycles have tunable cavities and can be multifunctional by introducing building moieties with special features, which can be used as good candidates for novel supramolecular materials. Recently, many kinds of conjugated macrocycles with various shape have been extensively reported as specific hosts for supramolecular binding

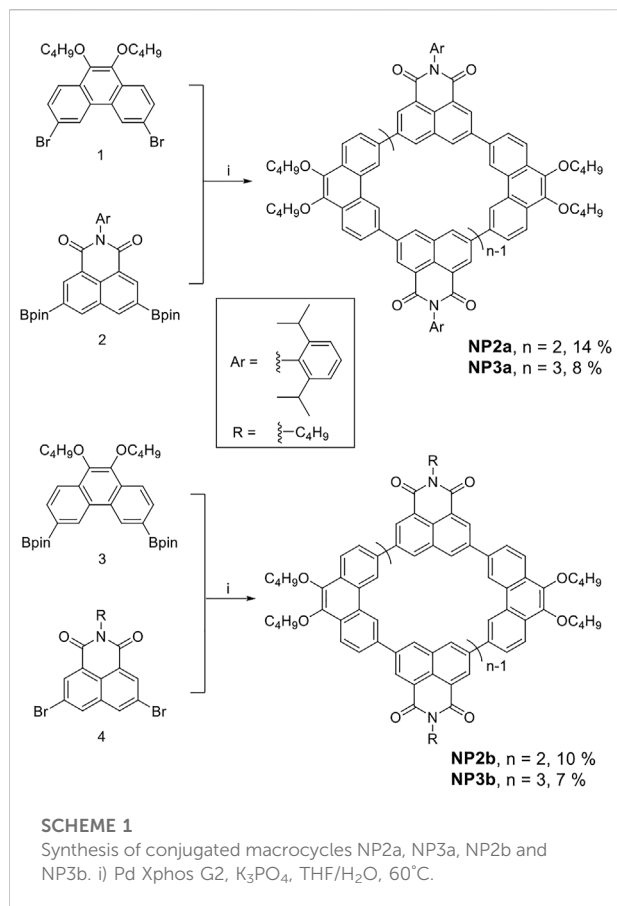
to other guests, such as fullerenes (Kawase et al., 2003; Lu et al., 2019; Jain et al., 2021; Wang et al., 2021). In addition to binding with other guests, conjugated macrocycles can also achieve self-assembly to construct macroscopic structures such as columnar 1D nanotubes, 2D porous networks, and 3D complexes benefiting from the non-foldable and fully p -conjugated backbones (Jung et al., 2006; Suzuki et al., 2010; He et al., 2013; Lee et al., 2016).

However, the precise wet synthesis of macrocycles is still a challenging task and most of the conjugated macrocycles reported so far are composed of electron donors, such as the macrocyclic structures constructed by phenanthrene and benzene (Wang et al., 2021). The introduction of electron acceptors into macrocycles is beneficial to enhance the intramolecular charge transfer, which greatly affects their photophysical and electrochemical properties, as well as device performance and supramolecular assembly behavior. Naphthalimide derivatives are typical electron acceptor materials. Due to their excellent stability, easy functionalization, and tunable energy levels, they can be used to construct various organic optoelectronic devices with broad application prospects (Cheng et al., 2018; Genee et al., 2019; Lee et al., 2019; Chen et al., 2020). Among the derivatives of naphthalimide, 1,8-naphthalimide (NMI) can be used to construct conjugated macrocycles thanks to its easily functionalized position and suitable bond angle (Do et al., 2017; Boonnab et al., 2021). Herein, we utilize the electron acceptor 1,8-naphthalimide (NMI) and p -conjugated phenanthrene (Phen) as building blocks to construct a series of conjugated macrocycles with different sizes (Figure 1). Among them, the naphthalimide units of NP2a and NP3a are substituted with aryl groups, while those of NP2b and NP3b are substituted with alkyl groups. In this article, the synthetic method, molecular structure, photophysical properties, self-assembly behavior and supramolecular interactions with the typical guest molecule fullerene C₇₀ of the four macrocycles will be systematically studied and reported.

Synthesis

The synthetic routes of p -conjugated macrocycles are shown in Scheme 1. The precursor compounds one and two were both synthesized by literature methods (Phulwale et al., 2016); (Lu et al., 2018); (Xue et al., 2013), followed by Suzuki coupling reaction in dilute solution. The crude product was preliminarily separated by silica gel column and then further purified by recycling preparative gel permeation chromatography (GPC) to obtain two sizes of macrocycles NP2a and NP3a with yields of 14% and 8% respectively.

Similar macrocycles NP2b and NP3b were also synthesized according to the same method, in which the substituent on 1,8-naphthalimide (NMI) was changed from aryl (in NP2a and



NP3a) to alkyl to study the effect of substituent type on macrocyclic structure and physicochemical properties. It should be noted that the borylation reaction of compound 4 was difficult to perform and then an alternative cyclization route was adopted. The compound 3 was obtained by Miyaura borylation reaction, and then the Suzuki coupling of equimolar amounts of reactant three and four was carried out. **NP2b** and **NP3b** were obtained after purified by silica gel column and GPC with yields of 10% and 7%, respectively. The low yields of **NP2b** and **NP3b** may be due to the increased steric hindrance of cyclization after the borylation of phenanthrene units, which caused the reaction to produce a large amount of linear polymerization by-products. All newly synthesized compounds had been characterized and confirmed by $^1H/^{13}C$ NMR and high resolution mass spectrum (see SI).

Ground-state geometry and theoretical calculations

In order to further confirm the structural characteristics of the macrocycles, single crystals of **NP2a** were obtained as yellow bulk crystals by slowly volatilizing in chloroform solution under ambient conditions, and single crystal X-ray diffraction analysis was

performed. As is shown in **Figure 2A**, **NP2a** has a near-planar structure, in which the long axial length of the macrocycle is 7.491 Å while the short axial length is 5.987 Å. Taking the phenanthrene (Phen) units as the base plane, the two naphthalimide (NMI) building blocks are slightly twisted in opposite directions with a dihedral angle of 20.4° (**Figure 2B**). In addition, there are two parallel **NP2a** molecules in one unit cell and the long-range stacking of **NP2a** is layered with a p - π spacing of 3.744 Å (**Figures 2C,D**).

Many methods had also been tried to grow the single crystals of **NP3a**. Unfortunately, due to the twisted topology of the macrocycle, single crystals suitable for X-ray diffraction analysis were not obtained and the structure of **NP3a** was optimized by DFT calculations at B3LYP/6–31G (d,p) level of theory. As shown in **Supplementary Figure S2**, the macrocycle **NP3a** shows an extremely twisted geometry, and the dihedral angles between the phenanthrene (Phen) units and the naphthalimide (NMI) units vary between 50 and 55°. It can be inferred that the near-planar macrocycle **NP2a** constructed from building blocks with perfect bonding angles has a completely different topology from the macrocycle **NP3a** constructed with imperfect cyclization angles, which may be the reason for different physicochemical properties and assembly behaviors of the two macrocycles. When it comes to similar macrocycles **NP2b** and **NP3b**, we can find that they only have changes in substituents compared with **NP2a** and **NP3a**, which will not drastically affect the structure of their conjugated skeletons. The specific molecular geometries are also calculated by DFT calculations, where **NP2b** adopts a near-planar structure consistent with **NP2a**, and **NP3b** shows twisted ring structure similar to **NP3a** (**Supplementary Figure S2**).

To further understand the spatial geometry and electronic properties of these conjugated macrocycles, density functional theory (DFT) calculations based on first-principles were conducted. To simplify the calculation, all long alkyl groups in these macrocycles were replaced by methyl groups. As shown in **Figure 3**, the distribution of electron cloud in the alkyl-substituted molecules **NP2b/3b** is basically the same as that of the aryl-substituted macrocycles **NP2a/3a**. Both **NP2a** and **NP2b** show a planar structure, where the LUMOs are mainly distributed on two naphthalimide (NMI) building blocks while the HOMOs show main weight on two phenanthrene (Phen) units. On the other hand, the electron cloud distributions of **NP3a** and **NP3b** show great imbalance. The LUMOs are mainly localized on two of the naphthalimide (NMI) units while the other naphthalimide unit has almost no delocalization. Similarly, the HOMOs are distributed on two of the phenanthrene (Phen) units while the other phenanthrene (Phen) unit shows no HOMO electron cloud. These may be due to the overly distorted geometry of the macrocycles **NP3a** and **NP3b**, which greatly affects the conjugated backbone. In addition, the LUMO and HOMO levels of macrocycles can also be calculated (Shetty et al., 1996), in which the band gaps of the trimers **NP3a/3b** are all larger than the corresponding

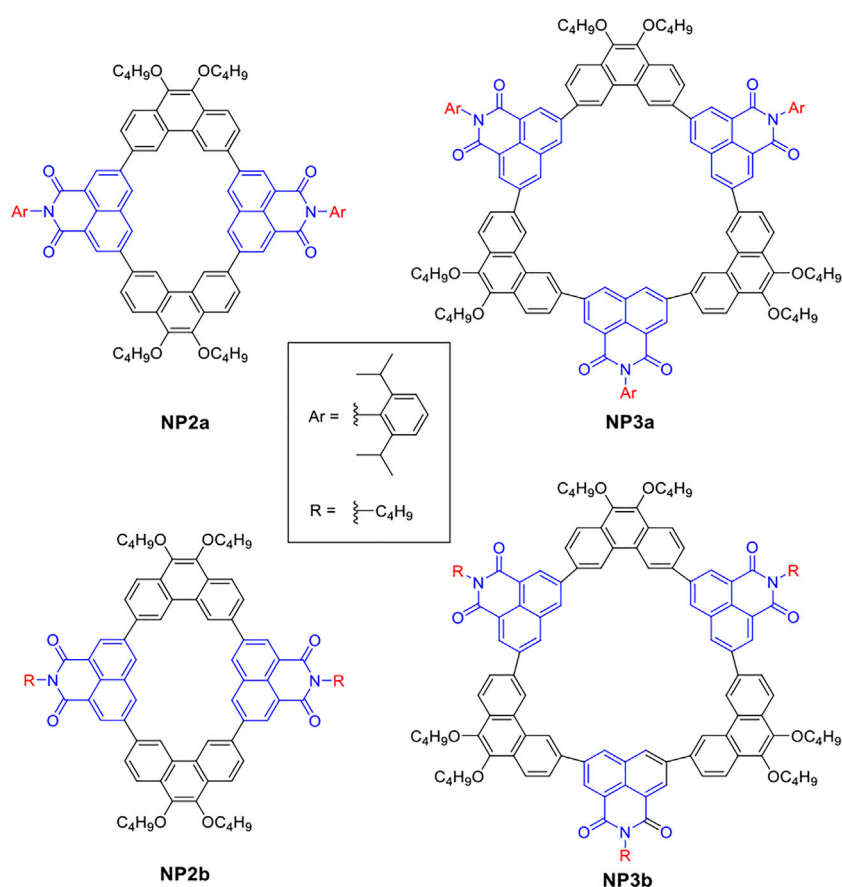


FIGURE 1

Four conjugated macrocycles based on phenanthrene (Phen) and 1,8-naphthalimide (NMI).

dimer **NP2a**/**NP2b**, which can also be attributed to the twisted molecular structure.

Photophysical properties

The newly synthesized macrocycles are all yellow solids with good solubility, which can be dissolved in most organic solvents, such as toluene, chloroform, dichloromethane and tetrahydrofuran. The absorption spectra and fluorescence spectra of **NP2a**, **NP3a**, **NP2b** and **NP3b** were measured in toluene (ca. 10^{-5} M). As shown in Figure 4A, there are two main absorption peaks in the absorption spectrum of each macrocycle, where the peak in the high-energy region corresponds to the $p-\pi^*$ transition of electrons in the conjugated backbone of macrocycle while the absorption peak in the low-energy region corresponds to the charge transfer from the electron-donor phenanthrene (Phen) units to the electron-acceptor naphthalimide (NMI) units. In addition, the molar extinction coefficients and optical band gaps of four macrocycles were calculated according to the absorption spectra, which are shown in Table 1.

Comparing the conjugated macrocycles **NP2a** and **NP3a**, it is found that **NP3a** has a larger molar extinction coefficient than **NP2a**, which indicates that the conjugated system of **NP3a** has been expanded. However, the optical band gap of **NP3a** decreases compared to that of **NP2a**, which may be attributed to that the distorted structure of **NP3a** affects the continuity of the conjugated system, weakening the conjugation to a certain extent. The same phenomenon is also observed in alkyl-substituted macrocycles **NP2b** and **NP3b**. In addition, it is interesting to find that the aryl-substituted macrocycle **NP2a** have a larger molar extinction coefficient than the alkyl-substituted macrocycle **NP2b** although the trend of the absorption spectrum has no obvious change. This indicates that though the conjugated backbones of the two macrocycles have not changed significantly, the different substituents may affect the behavior of molecules in solution, resulting in different molar extinction coefficients. The above phenomenon also occurs in **NP3a** and **NP3b**.

Different from the absorption spectra, the fluorescence spectra of the four conjugated macrocycles in toluene solution are basically the same and the maximum emission wavelengths

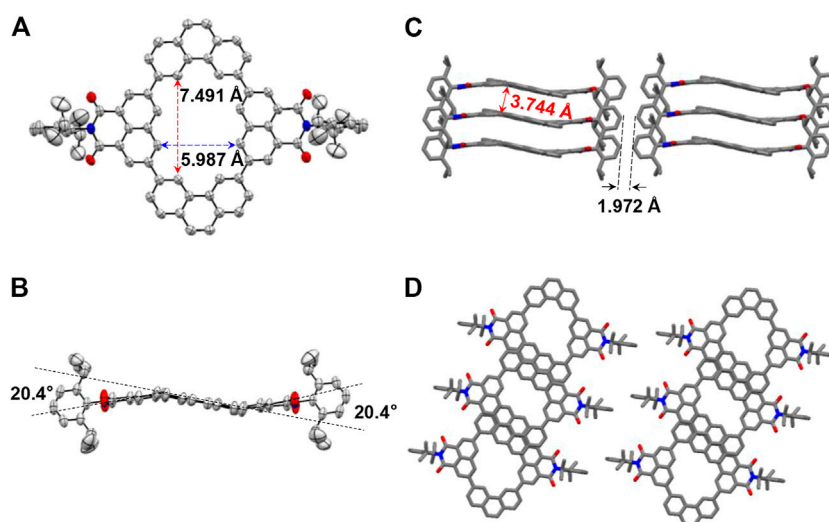


FIGURE 2
X-ray crystallographic structures of NP2a. (A) Top view and (B) side view of the molecule. (C,D) 3D packing structures of macrocycles.

are all around 516 nm, which can be deduced that the influence of the substituents as well as the expansion of the conjugated system on the fluorescence emission is negligible for the four macrocycles (Figure 4B). The absolute fluorescence quantum yields of NP2a, NP3a, NP2b and NP3b in solution were determined to be 30.8%, 28.85%, 25.9% and 25.57% respectively, by using the integrating sphere technique. The absolute fluorescence quantum yields of NP2a, NP3a, NP2b and NP3b in solid were also estimated as 5.17%, 9.79%, 1.40% and 2.62% relatively. The difference may be attributed to the solid phase stacking of the macrocycles.

In order to further investigate the intramolecular charge transfer (ICT) of the macrocycles, the solvatochromic effects on the absorption and PL features were investigated. It was found that both four kinds of Π -conjugated macrocycles had similar solvatochromic effects (Supplementary Figures S3, S4). For example, although the absorption spectra of NP2a in different solvents showed negligible change, its fluorescence spectra showed remarkable solvation effect, indicating that there was significant donor-acceptor electron transfer in the macrocycle. And the more obvious solvation effect in fluorescence spectra than absorption spectrum may be due to that the excited electrons are more easily polarized (Zhu et al., 2018). In addition, cyclic voltammetry (CV) measurements of macrocycles NP2a, NP3a, NP2b and NP3b were also carried out in a solution of tetrabutylammonium hexafluorophosphate ($n\text{-Bu}_4\text{NPF}_6$) in anhydrous DCM with a scan rate of 50 mV s^{-1} . As shown in Supplementary Figure S5, all the four macrocycles display similar irreversible redox waves. From the onset potential of the first reductive wave, the LUMO energy levels of NP2a, NP3a, NP2b and NP3b are estimated as -3.02 , -3.03 , -3.05 and -3.02 eV , respectively.

Self-assembly

It is found that the aromatic chemical shifts of NP2b and NP3b were dependent on concentration when ^1H NMR spectroscopy was conducted. What's more, the different absorption phenomena of macrocycles with different substituents also implied that there may be a special self-assembly phenomenon in solution. As is shown in Figure 5A, the chemical shift of aromatic proton in the lowest field of NP2b varied from $\delta = 9.14$ to 8.42 ppm as the concentration changed from 1.13 to 21 mM . Similar phenomena was also observed in NP3b, where the proton varied from 8.88 to 8.73 ppm in the same concentration range (Figure 5B). All of these suggest that the alkyl-substituted macrocycles self assemble with π - π stacking and the upfield shifts of the aromatic protons can be attributed to the influence of ring-current magnetic anisotropy of the adjacent macrocycle (Mao et al., 2020); (Shetty et al., 1996). In contrast, the chemical shifts did not change significantly even when the concentration of aryl-substituted NP2a/NP3a was increased from 1.13 to 21 mM (Supplementary Figure S3), implying negligible self-aggregation, which may be due to the fact that the rigid aryl substituents greatly restrict the p - π stacking of macrocycles in solution.

Using the monomer-dimer model (Zhao and Moore, 2003) to simulate the chemical shift as a function of concentration, the self-association constants of NP2b and NP3b can be obtained. This model assumes that monomer-dimer equilibrium is the predominant process and higher order aggregations are negligible, which is sufficient for self-assembled systems in moderately polar chlorinated hydrocarbon solvents such as chloroform. The association constants for the self-aggregation of NP2b and NP3b were fitted by a computational method developed by Horman and Dreux (Horman and Dreux, 1984).

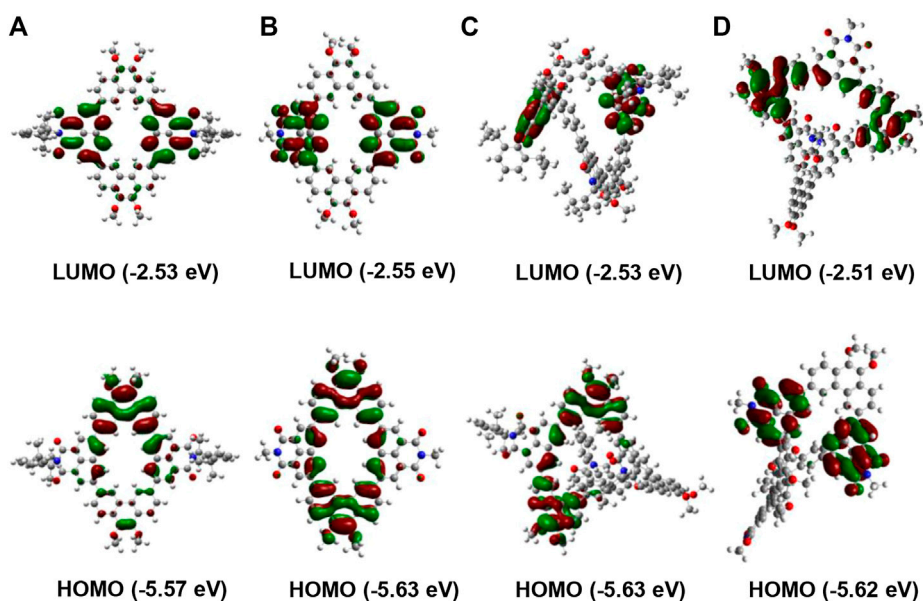


FIGURE 3

Frontier molecular orbital profiles and energy levels of the macrocycles calculated by DFT. (A) NP2a, (B) NP2b (C) NP3a, (D) NP3b.

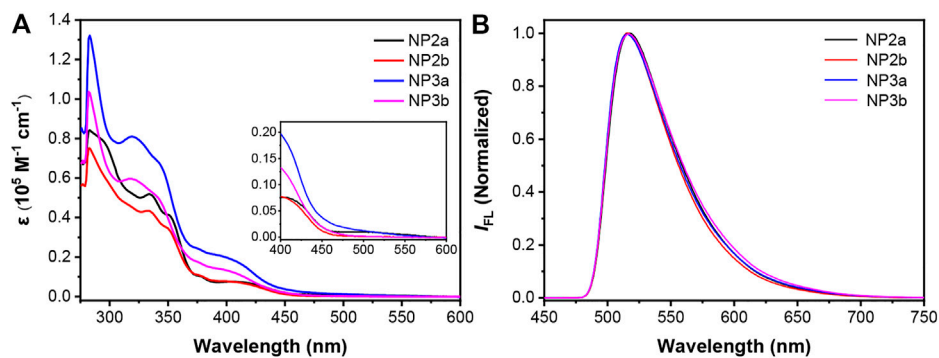
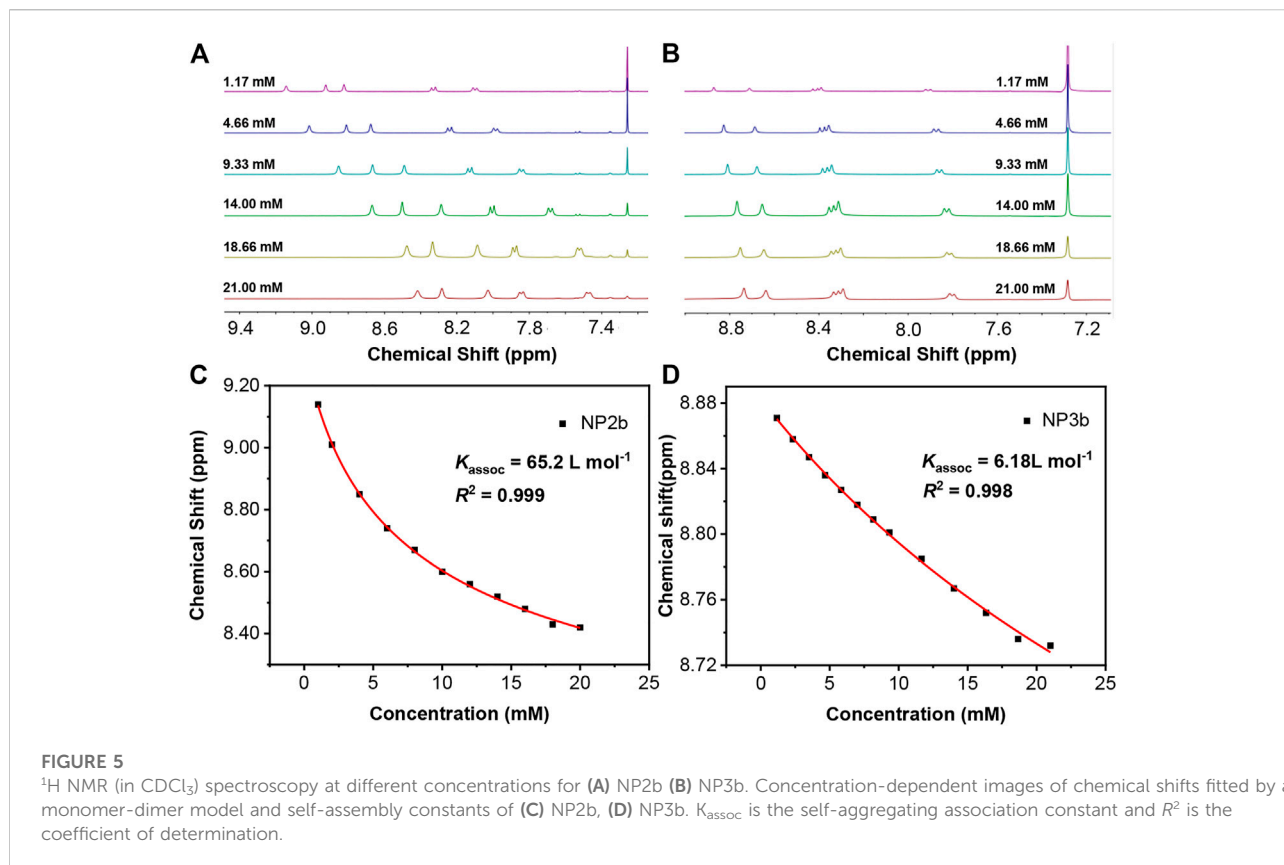


FIGURE 4

(A) UV-vis absorption and (B) normalized fluorescence spectra of NP2a, NP2b, NP3a and NP3b measured in toluene (1×10^{-5} M). Inset in (A) shows the magnified spectra at the long-wavelength region.

TABLE 1 Photophysical Properties and Energy Levels of the macrocycles.

	$\epsilon [10^5 \text{ M}^{-1} \text{ cm}^{-1}]$	$\lambda_{\text{abs}} [\text{nm}]$	$\lambda_{\text{onset}} [\text{nm}]$	$\lambda_{\text{em}} [\text{nm}]$	$E_{\text{gopt}} [\text{eV}]$	$E_{\text{gcal}} [\text{eV}]$
NP2a	0.85	283	459	517	2.70	3.04
NP2b	0.75	282	454	515	2.73	3.08
NP3a	1.04	283	445	516	2.79	3.10
NP3b	0.88	282	446	516	2.78	3.11



As shown in **Figure 5**, the self-aggregating association constants of **NP2b** and **NP3b** are 65.2 and 6.18 L mol⁻¹, respectively (**Figures 5C,D**). In addition, if macrocycles that can self-assemble in solution are applied to thin-film field-effect transistors, better performance may be obtained (Luo et al., 2010) (He et al., 2013), and these further studies are also underway in our laboratory.

Binding behaviour with fullerenes

There is an inner cavity with a defined size in the macrocycle, which is beneficial for it to act as the host and combine with some guest molecules to achieve supramolecular binding. Here, C₇₀ was selected as the typical guest molecule, and was added dropwise to the deuterated chloroform solutions of **NP2a**, **NP3a**, **NP2b** and **NP3b**, respectively. The mixture was tested by ¹H NMR at room temperature and the supramolecular binding behavior was characterized by chemical shift.

As shown in **Figure 6A**, when C₇₀ was dropped into the solution of macrocycle **NP2a**, the two groups of aromatic protons in the macrocycle gradually separated from the original overlapping state. In addition to the obvious separation of protons, the aromatic protons in **NP2a** also showed a slight upfield shift trend with the addition of C₇₀. All of these observations indicated

that there was an obvious interaction between the macrocycle **NP2a** and C₇₀, which significantly changed the chemical environment of the protons in the original solution of **NP2a**. Similar dimer macrocycle **NP2b** also showed changes, in which the aromatic proton shifted slightly to the downfield from $\delta = 8.96\text{--}9.01$ ppm as the addition of C₇₀ increased from 0 eq to 4eq (**Figure 6C**), demonstrating that there is also a weak supramolecular interaction between the host **NP2b** and guest C₇₀. In contrast, the trimer macrocycles **NP3a** and **NP3b** exhibited more pronounced chemical shift changes than dimer macrocycles **NP2a** and **NP2b** (**Figures 6B,D**). The chemical shift of the protons of **NP3a** and **NP3b** varied from 8.95 to 8.90 ppm and from 8.81 to 8.75 ppm, respectively, as the addition of C₇₀ increased from 0 eq to 4eq.

In order to quantitatively study the supramolecular assembly of macrocycles with fullerenes, C₇₀ was added to the toluene solution of macrocycles **NP2a**, **NP3a**, **NP2b** and **NP3b** (ca. 10⁻⁵ M) respectively and the change of the fluorescence emission spectrum of the mixture was monitored. As shown in **Figure 7**, with the continuous addition of C₇₀, the maximum emission peaks of the macrocycles **NP2a** and **NP3a** were all quenched to varying degrees, indicating that supramolecular complexes had been produced in solution. Similar fluorescence quenching was also observed in toluene solutions of **NP2b** and **NP3b** with the addition of C₇₀ (**Supplementary Figure S4**). By a

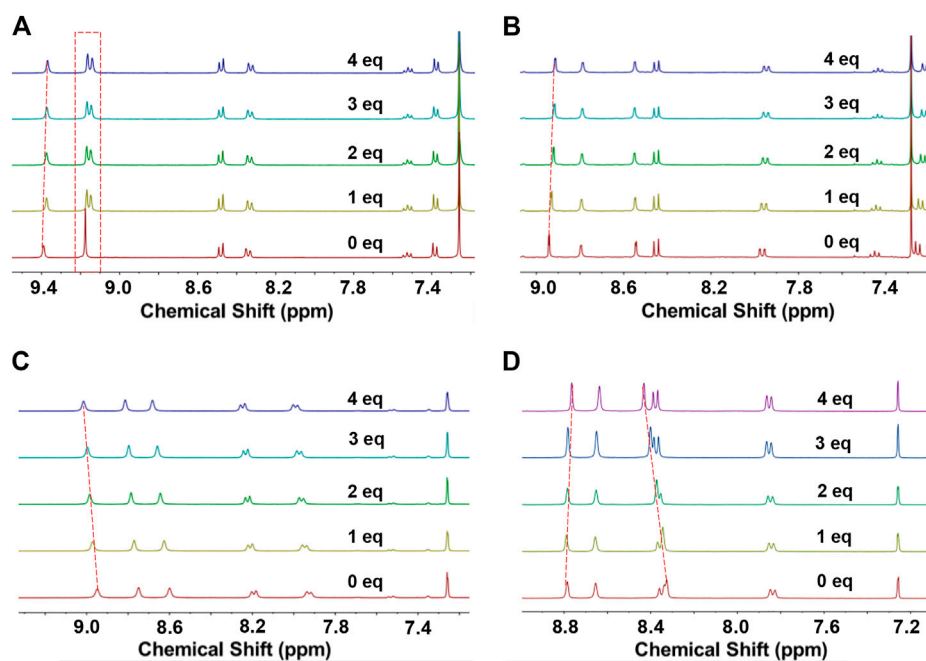


FIGURE 6

^1H NMR spectra of different concentrations of C_{70} added to the CDCl_3 solution of macrocycle. (A) NP2a, (B) NP3a (C) NP2b and (D) NP3b.

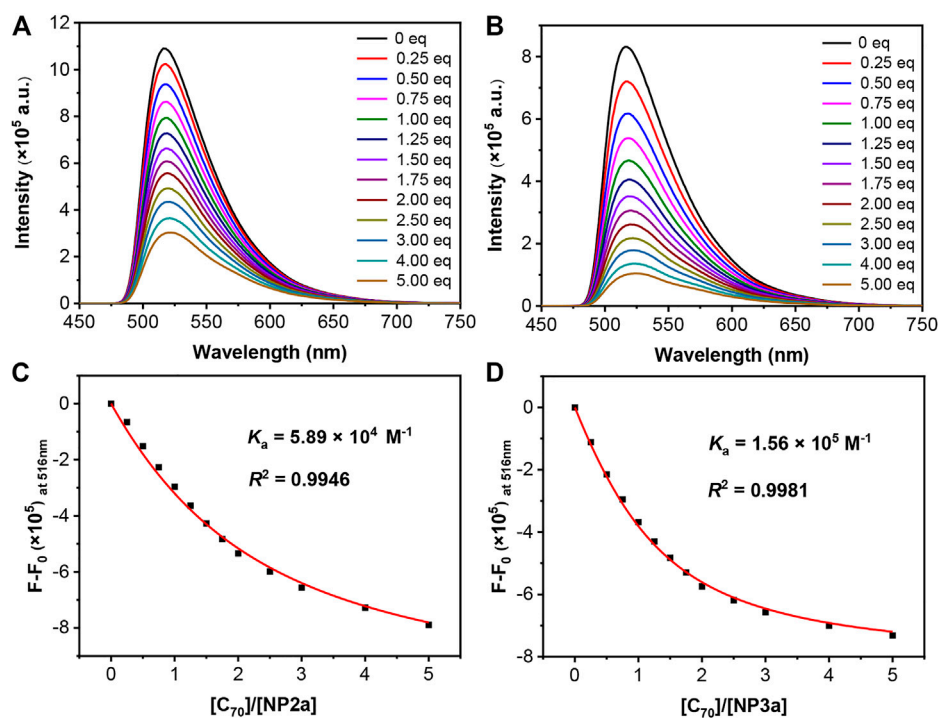


FIGURE 7

Fluorescence spectral change of (A) NP2a and (B) NP3a during titration with C_{70} . Fitting curves on the relative fluorescent intensity of (C) NP2a, (D) NP3a for obtaining K_a , R^2 is the coefficient of determination.

mole ratio plot based on fluorescence emission spectrum data (Supplementary Figure S7), a 1:1 stoichiometry was confirmed for NP2a/NP3a/NP2b/NP3b and C₇₀ (Thordarson, 2011) (Brynn Hibbert and Thordarson, 2016) (Li et al., 2014). According to the non-linear curve-fitting method (Yang et al., 2020), the binding constants of NP2a, NP2b, NP3a and NP3b with C₇₀ were calculated to be 5.89×10⁴ M⁻¹, 2.68×10⁴ M⁻¹, 1.56×10⁵ M⁻¹ and 3.14×10⁴ M⁻¹ respectively, indicating that trimers NP3a and NP3b have stronger binding to C₇₀ than dimers NP2a and NP2b, which is consistent with the results of the ¹H NMR titration test. The stronger supramolecular assembly with C₇₀ may be related to the larger internal cavity and non-planar topology of macrocycles NP3a and NP3b.

Considering that the macrocycles are consisting with electron-deficient naphthalimide units, the supramolecular assembly between NP2a/NP3a/NP2b/NP3b and the electron-rich guest pyrene was also investigated. The concentration of the macrocycles was kept constant, and the guest pyrene was added at room temperature according to the equivalent. The variation of characteristic peaks was monitored by NMR (Supplementary Figure S9, S10). The results show that only the planar macrocycles NP2a and NP2b are obviously encapsulated with pyrene, while the ¹H NMR spectra of NP3a and NP3b changed little, indicating that they could not bind with pyrene. This may be due to the distorted geometry of NP3a/NP3b which is not suitable for binding with planar molecules. By a mole ratio plot based on chemical shift data, a 1:1 stoichiometry was confirmed for NP2a/NP2b and pyrene (Zeng et al., 2022). Through non-linear fitting, the binding constants of NP2a/NP2b with pyrene are 327.43 and 253.33 M⁻¹ respectively.

Conclusion

In summary, four macrocycles NP2a, NP3a, NP2b and NP3b based on phenanthrene (Phen) and naphthalimide (NMI) have been successfully synthesized. Their structures were confirmed by ¹H NMR, ¹³C NMR, single crystal X-ray analysis and high-resolution mass spectrometry, in which the dimer macrocycles NP2a and NP2b adopt near-plane structure while the trimer NP3a and NP3b are twisted ring structure. Both DFT theoretical calculations and UV-Vis absorption spectra indicate that NP3a and NP3b have larger band gap than the corresponding dimer macrocycles NP2a and NP2b, which may be due to the distorted topology of the trimer macrocycles. In addition, we also found that the alkyl-substituted macrocycles NP2b and NP3b exhibited obvious self-aggregation behavior in solution, while the aryl-substituted macrocycles NP2a and NP3a had no similar phenomenon, which suggests that the alkyl-substituted macrocycles are more favorable for the formation of tight *p*- π stacking than the aryl-substituted macrocycles. Finally, ¹H NMR titration and fluorescence emission spectrometry showed that there were supramolecular interactions between the four conjugated macrocycles and fullerene C₇₀, and the binding

between NP3a/NP3b and C₇₀ is stronger than that in NP2a/NP2b. This work demonstrates the potential of macrocycles containing special electron acceptors NMI for the development of supramolecular chemistry.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding authors.

Author contributions

XL and SC conceived and supervised the project. DA and YS jointly completed all synthesis, characterization, theoretical calculations. DC participated in the molecular design and experimental operations. JZ discussed the results and commented on the manuscript.

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Conflict of interest

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fchem.2022.1094828/full#supplementary-material>

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