



# Amyotrophic Lateral Sclerosis: Proteins, Proteostasis, Prions, and Promises

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Amyotrophic lateral sclerosis (ALS) is characterized by the progressive degeneration of the motor neurons that innervate muscle, resulting in gradual paralysis and culminating in the inability to breathe or swallow. This neuronal degeneration occurs in a spatiotemporal manner from a point of onset in the central nervous system (CNS), suggesting that there is a molecule that spreads from cell-to-cell. There is strong evidence that the onset and progression of ALS pathology is a consequence of protein misfolding and aggregation. In line with this, a hallmark pathology of ALS is protein deposition and inclusion formation within motor neurons and surrounding glia of the proteins TAR DNA-binding protein 43, superoxide dismutase-1, or fused in sarcoma. Collectively, the observed protein aggregation, in conjunction with the spatiotemporal spread of symptoms, strongly suggests a prion-like propagation of protein aggregation occurs in ALS. In this review, we discuss the role of protein aggregation in ALS concerning protein homeostasis (proteostasis) mechanisms and prion-like propagation. Furthermore, we examine the experimental models used to investigate these processes, including *in vitro* assays, cultured cells, invertebrate models, and murine models. Finally, we evaluate the therapeutics that may best prevent the onset or spread of pathology in ALS and discuss what lies on the horizon for treating this currently incurable disease.

**Keywords:** amyotrophic lateral sclerosis, proteostasis, protein aggregation, prion-like, *in vitro* models, invertebrate models, mouse models, therapeutics

## INTRODUCTION

### Proteostasis and Prion-Like Propagation

A major pathological component of neurodegenerative diseases such as Alzheimer's disease, Parkinson's disease, frontotemporal lobar degeneration (FTLD), and amyotrophic lateral sclerosis (ALS) is the prion-like propagation of misfolded and aggregated proteins in the central nervous system (CNS; for recent reviews in each case see—Hock and Polymenidou, 2016; Watts and Prusiner, 2018; McAlary et al., 2019b; Vargas et al., 2019). The idea of prion-like propagation

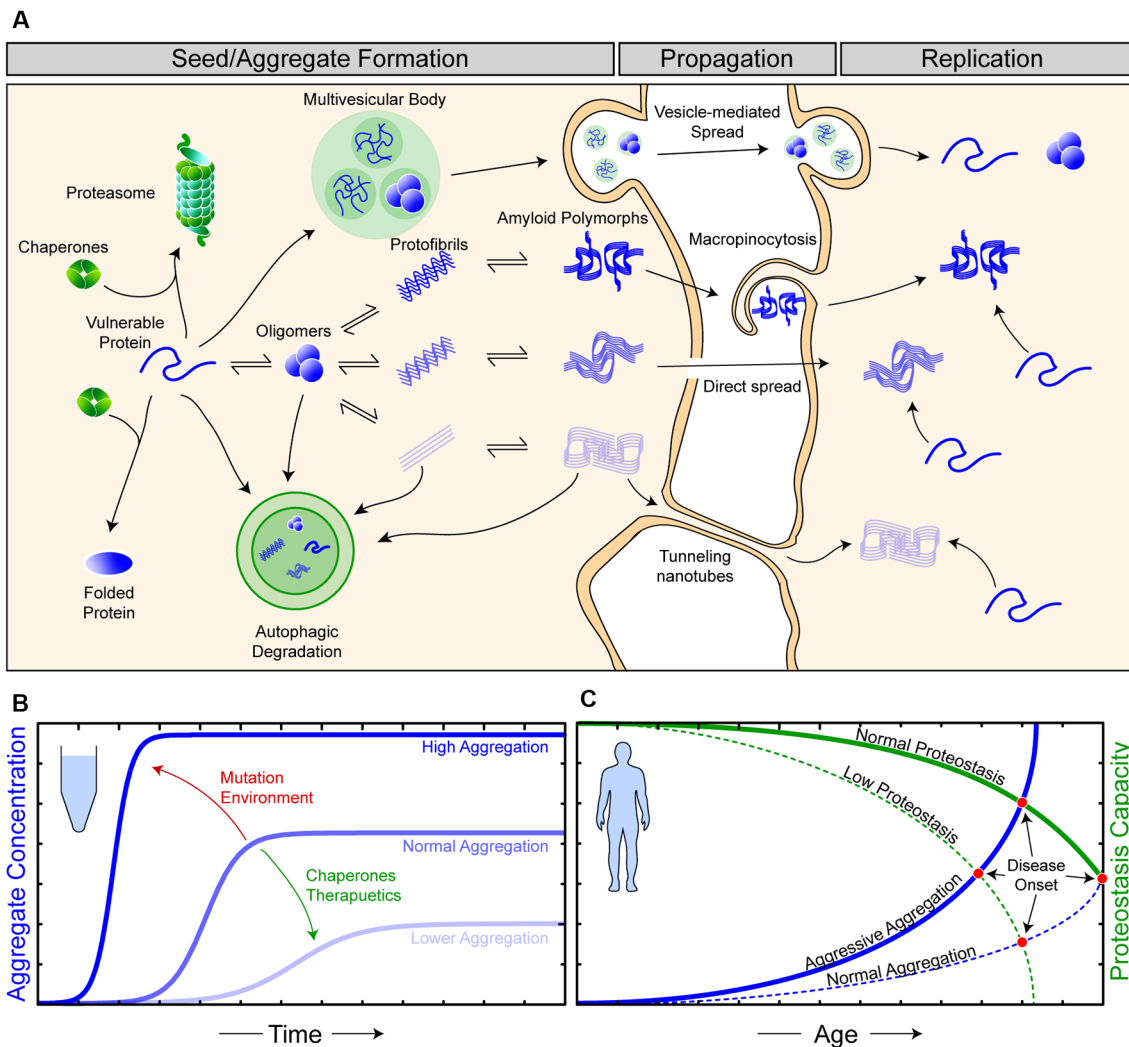
of protein misfolding and aggregation in these diseases offers a plausible explanation for their idiopathic nature, progressive anatomical spread, the selective vulnerability of specific CNS regions, characteristic pathologies, and age-associated onset (Prusiner, 2001). In each disease, particular proteins are thought to misfold into a conformation that is capable of propagating throughout the CNS like the infectious prion protein (PrP; Vaquer-Alicea and Diamond, 2019).

PrP is typically found in a conformation composed primarily of alpha-helices (PrP<sup>C</sup>); however, it can be converted into a  $\beta$ -sheet-rich pathogenic conformation (PrP<sup>Sc</sup>) that can recruit and convert normal PrP to become pathogenic PrP<sup>Sc</sup> through a template-directed manner (Prusiner, 1982; Pan et al., 1993). This conversion may be the result of aberrant biosynthesis and processing in cells (reviewed in Chakrabarti et al., 2009). There is no defined structure for PrP<sup>Sc</sup> as it exists in a continuum from monomers to large amyloid-like polymeric assemblies (McKinley et al., 1991; Ceroni et al., 1996). Similar to PrP<sup>Sc</sup>, proteins implicated in the etiology of many neurodegenerative diseases are known to form amyloid *in vitro* and deposit into intracellular inclusions in an amyloid conformation (Chiti and Dobson, 2017). The amyloid state is defined by its cross- $\beta$  fiber architecture (Eisenberg and Jucker, 2012; Eisenberg and Sawaya, 2017), and is of critical importance to the pathogenic mechanisms of prions and prion-like proteins.

*In vitro*, the formation of amyloid is thought to proceed from the initial misfolding and aggregation of protein monomers into an ensemble of soluble non-native oligomeric states, with some oligomers forming proto-fibrils capable of elongating through template-directed monomer addition (Arosio et al., 2015). Amyloid fibrils are typically highly thermodynamically stable but vary in their mechanical stability (Yoon et al., 2013). Amyloid fibrils with low mechanical stability are more likely to fragment, leading to the exposure of more ends from which they can elongate in a repetitive cycle of fragmentation and growth (Knowles and Buehler, 2011; **Figures 1A,B**). Indeed, fragmentation is a highly important characteristic of the infectivity and replication propensity of prions and prion-like proteins (Marchante et al., 2017). Furthermore, microstructural heterogeneity in the initial population of misfolded protein and oligomers is thought to give rise to a range of conformationally distinct amyloid fibrils with different physical properties, often termed polymorphs (Petkova, 2005; Kodali et al., 2010; Safar et al., 2015). Over time, the fibril polymorphs with properties that permit efficient self-replication are selected for, giving rise to a dominant “strain” of amyloid fibril (**Figure 1A**). The notion of different strains of amyloid and prions provides a highly plausible explanation for the pathologic and clinical heterogeneity observed in neurodegeneration, as different strains can spread and recruit benign substrates at different rates (Telling et al., 1996; Safar et al., 1998; Morales, 2017). Supporting this, cryo-electron microscopy of amyloid isolated from human brain tissue has shown structural diversity in fibrils isolated from a single person (Kollmer et al., 2019) and in different neurodegenerative prion-like diseases (Fitzpatrick et al., 2017; Strohäker et al., 2019).

Although amyloid formation is often simplified as a relatively rapid kinetic reaction involving only the constituent amyloidogenic protein (Arosio et al., 2015; **Figure 1B**), the *in vivo* formation of these assemblies is notably slower and more complex (Owen et al., 2019). This additional complexity is primarily due to the existence of cellular mechanisms to maintain protein homeostasis (proteostasis; Yerbury et al., 2016; **Figure 1A**). Proteostasis is the concept of the maintenance of the proteome in the correct concentration (balance of protein synthesis and degradation), in the correct conformation (chaperones), and at the right location at the right time (trafficking). The importance of proteostasis in neurodegenerative disease is highlighted by the fact that disturbed proteostasis mechanisms are strongly associated with aging and neurodegeneration (Cheng et al., 2018; Kurtishi et al., 2019; Lehtonen et al., 2019; Yerbury et al., 2020). Mutations can predispose a protein to become more aggregation prone, and mutations in proteostasis components can impair proteostasis, where both are capable of leading to an earlier onset of neurodegenerative disease (**Figure 1C**). It is suggested that proteostasis capacity declines with age, with this being due to a combination of genetic and environmental factors (Hipp et al., 2019), making efforts into how determining proteostasis is maintained an important part of neurodegenerative disease research.

The key parts of the proteostasis network that are related to protein quality control are molecular chaperones and protein degradation. Molecular chaperone proteins are found throughout cell compartments, with the endoplasmic reticulum (ER) containing many chaperones due to the role it plays in protein synthesis. Protein disulfide isomerases (PDI) are a family of molecular chaperone proteins, localized primarily to the ER, that have oxidoreductase activity, which allows them to reduce and oxidize disulfide bonds in the proteins they are chaperoning (Hatahet and Ruddock, 2009). The important role of PDI's in chaperoning newly synthesized proteins is signified by their growing involvement in several neurodegenerative diseases, including ALS (Perri et al., 2016). Another class of molecular chaperones are heat shock proteins, which act to prevent protein misfolding and aggregation by binding unstable proteins to either aid in their refolding or delivering them to cellular protein degradation machinery (Goldberg, 2003; Hartl et al., 2011; Balchin et al., 2016). Some molecular chaperone proteins are also suggested to aid in the mechanical stabilization of amyloid fibrils by binding along their solvent-exposed surfaces (Shammas et al., 2011; Binger et al., 2013; Cox et al., 2018). Molecular chaperones can even break apart amyloid assemblies (Baughman et al., 2018; Scior et al., 2018), making them easier to degrade or potentially promoting their propagation (Jones and Tuite, 2005). If a protein is terminally misfolded or aggregated, it is delivered to protein degradation machinery, such as the ubiquitin-proteasome system and autophagy-lysosomal system, for proteolytic degradation (Goldberg, 2003; Yerbury et al., 2016). The ubiquitin-proteasome degrades misfolded proteins (Kleiger and Mayor, 2014), but is not capable of dealing with larger aggregates, which are typically degraded, along with damaged cellular components, through autophagy (Dikic and Elazar, 2018).



**FIGURE 1** | The relationship between proteostasis and prion-like protein propagation. **(A)** The proteostasis network (green objects) is composed of molecular chaperone proteins, degradation pathways (proteasomal and autophagic), and the trafficking of proteins. Chaperones act to protect vulnerable proteins from becoming misfolded and aggregating, potentially through the amyloid pathway (blue). During seed/aggregate formation, proteins vulnerable to amyloid aggregation can form polymorphic assemblies through template-directed growth, eventually, elicit different biological and pathological effects dependent on the polymorphic assembly (strain). Amyloid assemblies are thought to propagate from cell-to-cell through exocytosis in vesicles and exosomes, through membrane breakages, macropinocytosis, and tunneling nanotubes. Once an amyloid assembly has been transferred to a naive cell, replication continues as the amyloid assemblies can now recruit vulnerable protein within this cell. **(B)** *In vitro* experiments have shown that amyloid formation can be augmented *via* changes in environmental conditions or mutations (red) in substrate proteins to become more aggressive. Likewise, the addition of molecular chaperones and/or therapeutics (green), such as small molecules or antibodies, can suppress amyloid aggregation. **(C)** Amyloid aggregation in humans is a stochastic process, occurring over long time scales and, in simplistic terms, is an interplay of proteostasis capacity (green) and protein aggregation propensity (blue). Mutations and/or environmental features can result in both aggressive aggregation and/or a lower proteostasis capacity, ultimately resulting in earlier disease onset in affected individuals.

The relationship between prion-like propagation and the proteostasis network is still being elucidated. Although the proteostasis network acts to suppress protein misfolding and aggregation, some prion-like particles eventually evade these defensive systems. Indeed, some prion-like strains may be resistant to neutralization by proteostasis mechanisms. Over time, these resistant strains could outcompete other strains, leading to the formation of the pathology observed post-mortem. It may well be that the polymorphic amyloid assemblies

currently being identified *ex vivo* (Fitzpatrick et al., 2017; Kollmer et al., 2019) represent those that are most capable of inducing disease and, therefore, may present as viable therapeutic targets in the face of the extreme patient heterogeneity observed in neurodegenerative diseases. It is also possible that dynamic prion-like propagation of misfolded proteins may place further stress on the proteostatic machinery, resulting in additional errors or even collapse (Yerbury et al., 2016). A combined understanding of proteostasis and the prion-like mechanisms

involved in some conditions could potentially shed further light on pathogenesis. Therefore, this review focuses on the examination of both proteostasis and prion-like propagation in amyotrophic lateral sclerosis, a disease in which there is strong evidence to suggest a combination of prion-like propagation and dysfunctional proteostasis is occurring simultaneously.

## Amyotrophic Lateral Sclerosis—Pathology and Genetics Related to Prion-Like Propagation and Proteostasis

Amyotrophic lateral sclerosis (ALS) is characterized by the progressive degeneration of motor neurons in both the motor cortex, brain stem, and spinal cord (Van Es et al., 2017). Motor symptoms have been observed to occur from a focal point of onset from which proximal motor neurons become affected in an orderly manner (Ravits et al., 2007; Ravits and La Spada, 2009). The site of onset can be highly heterogeneous, resulting in varied symptom presentation, which ultimately leads to difficulty in making a correct diagnosis (Chiò et al., 2009a). Pathology is also thought to begin at a focal point within the CNS and, like other neurodegenerative diseases, is associated with the deposition of proteins into insoluble inclusions. ALS-associated inclusions are found primarily within motor neurons, but can also form in the surrounding oligodendrocytes and astrocytes (Mori et al., 2008; Zhang et al., 2008; Philips et al., 2013; Brettschneider et al., 2014; Fatima et al., 2015) as well as cell populations outside the pyramidal motor system (Geser et al., 2008; Ince et al., 2011; Braak et al., 2013; Brettschneider et al., 2013). Histopathological studies have suggested that the spread of pathology from a focal point occurs through connected cells in stages (Mori et al., 2008; Brettschneider et al., 2013), similar to other neurodegenerative diseases and prion diseases.

The key proteins associated with pathological inclusion formation are transactive response DNA-binding protein 43 (TDP-43; Arai et al., 2006; Neumann et al., 2006), superoxide dismutase-1 (SOD1; Shibata et al., 1993), and fused in sarcoma (FUS; Kwiatkowski et al., 2009; Vance et al., 2009). A significant majority of ALS cases (~97%) are associated with TDP-43 positive inclusions, where the remainder are associated with either SOD1 (~2%) or FUS (~1%) inclusions (Ling et al., 2013). TDP-43 is a DNA/RNA-binding protein that has many functions in RNA metabolism (Buratti et al., 2001, 2005; Hefferon et al., 2004; Mercado et al., 2005). FUS is also a DNA/RNA-binding protein that has functions related to both RNA metabolism (Belly et al., 2005; Fujii and Takumi, 2005; Andersson et al., 2008) and the DNA-damage response (Wang W.-Y. et al., 2013). SOD1 is the primary antioxidant enzyme of the cell and functions to convert superoxide anion to less harmful molecular oxygen or hydrogen peroxide (McCord and Fridovich, 1969). Importantly, TDP-43, SOD1, and FUS are capable of forming amyloid fibrils *in vitro* (Chattopadhyay et al., 2008; Chen et al., 2010; Nomura et al., 2014), and there is also strong evidence to suggest that, for at least SOD1 and TDP-43, they adopt an amyloid formation *in vivo* (Kato et al., 2000; Bigio et al., 2013; Robinson et al., 2013), although there is not yet a consensus as classical amyloid

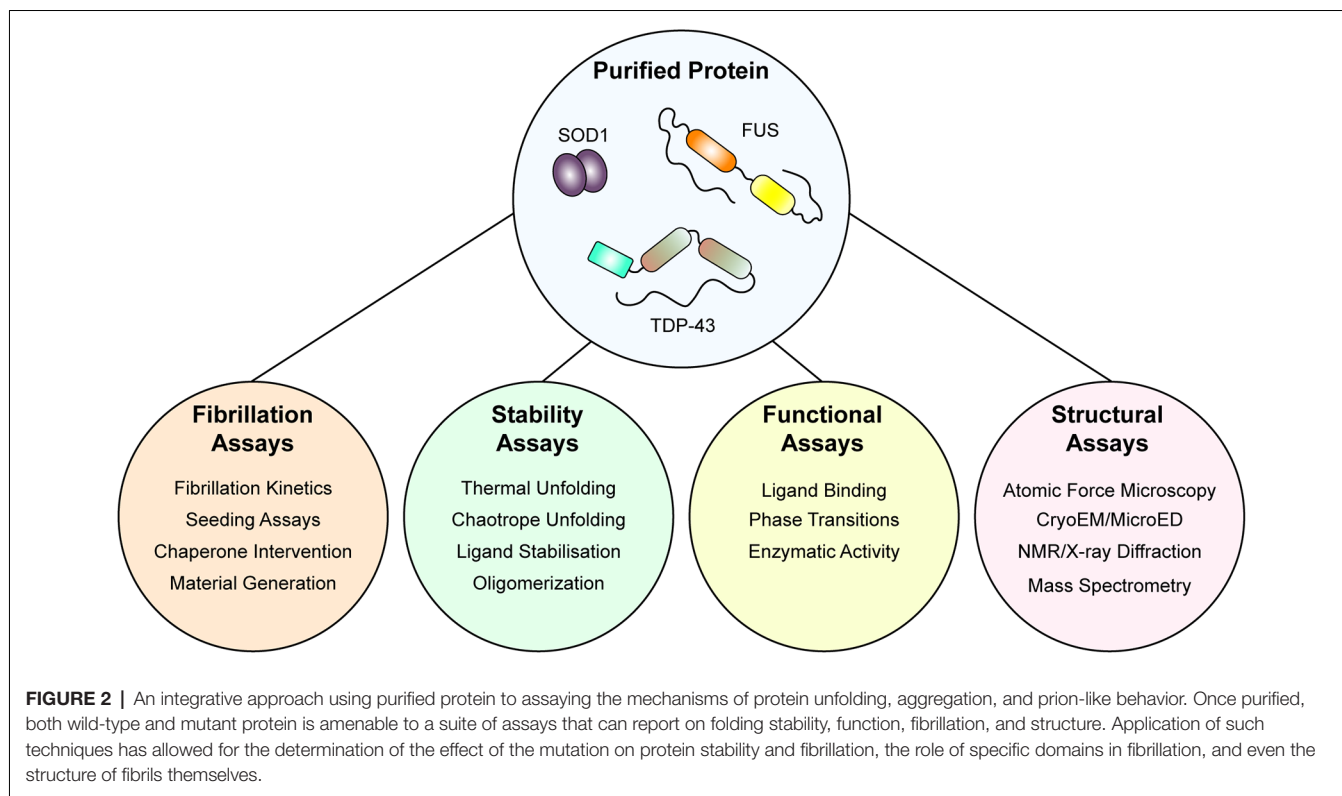
stains are often negative in ALS cases (Neumann et al., 2006; Kerman et al., 2010).

The most common identified cause of fALS cases is hexanucleotide (G<sub>4</sub>C<sub>2</sub>) repeat expansions in the *C9ORF72* gene, which result in the transcription of large (tens to thousands of repeats) hexanucleotide repeat RNA molecules and subsequent repeat-associated non-AUG (RAN) translation into dipeptide repeat (DPR) proteins (Freibaum and Taylor, 2017). The DPRs translated from the G<sub>4</sub>C<sub>2</sub> repeat RNA include Gly-Arg (GA), Pro-Gly (PG), Pro-Arg (PR), Gly-Ala (GA), and Pro-Ala (PA) polypeptides. These DPRs are thought to elicit different levels of toxicity and react differently within the cell based on their physicochemical properties (Freibaum and Taylor, 2017). For example, PR and GR repeats are suggested to aberrantly interact with RNA and nucleolar proteins, resulting in disruption to ribosomal biogenesis (White et al., 2019). On the other hand, GA repeats have been suggested to bind and trap multiple proteins responsible for the trafficking of biomolecules between the nucleus and cytoplasm (Zhang et al., 2016). Regardless of the effects of the DPRs, the major pathological hallmark of *C9ORF72*-associated ALS remains TDP-43 mislocalization and aggregation (Cook et al., 2020).

Although TDP-43 mislocalization, phosphorylation, and aggregation in motor neurons is the major pathological hallmark of ALS, few patients carry TDP-43 mutations. Similar to most other neurodegenerative diseases and prion diseases, the majority of ALS cases are sporadic (sALS), accounting for roughly 90% of cases. The remaining cases are familial (fALS) and, although some of the underlying genetic causes remain unidentified, are typically associated with a family history of the disease (Taylor et al., 2016). Only ~5% of patients with fALS carry TDP-43 mutations, SOD1 accounts for ~20% of fALS cases, and FUS accounts for ~5% (Laferrriere and Polymenidou, 2015). The identification of hexanucleotide repeats in *C9ORF72* as being ALS-causative was a significant discovery to the field as these mutations account for approximately 40% of fALS cases (DeJesus-Hernandez et al., 2011; Renton et al., 2011). Importantly, over 20 fALS-associated genes have been identified, where these genes are broadly associated with proteostasis mechanisms including protein degradation, protein production (RNA metabolism), and protein trafficking (Taylor et al., 2016; Yerbury et al., 2020). Comprehensive reviews of the genetics and resulting pathomechanisms of ALS have been reviewed elsewhere (Matus et al., 2013; Renton et al., 2014; Carri et al., 2015; Medinas et al., 2017b; Nguyen et al., 2018; Burk and Pasterkamp, 2019; Mejjini et al., 2019; Vicencio et al., 2020).

A brief example of the complexity of ALS genetics and mechanisms can be found with ubiquitin-2 (UBQLN2). UBQLN2 is an fALS-associated protein responsible for many interactions that facilitate protein degradation *via* the proteasomal and autophagic pathways (Kleijnen et al., 2000; Kim et al., 2008; N'Diaye et al., 2009). As such, pathogenic mutations in ubiquitin-2 can result in dysfunctional protein degradation (Deng et al., 2011; Chang and Monteiro, 2015; Osaka et al., 2016; Renaud et al., 2019). However, UBQLN2 may also play a role in the dissolution of the cytoplasmic ribonucleoprotein complexes known as stress granules (Dao et al., 2018), implicating





dysfunctional RNA metabolism for UBQLN2 mutants. Furthermore, mutations in genes such as *UBQLN2* may exacerbate the already metastable supersaturated states of TDP-43, SOD1, and FUS (Ciryam et al., 2017), hence, leading to the downstream pathological aggregation of these proteins in particular.

Collectively, the pathological aggregation and prion-like spread of specific proteins as a response to numerous potential mutations in the proteostasis network highlights the critical relationship between proteostasis and prion-like propagation in ALS. As such, researchers have exploited various experimental models to investigate these mechanisms in disease, including the *in vitro* study of purified proteins and cultured cells, as well as *in vivo* in invertebrate models (*Caenorhabditis elegans*, *Drosophila melanogaster*) and mouse models. For reviews that incorporate zebrafish (*Danio rerio*) models, see Babin et al. (2014), Van Damme et al. (2017), and Morrice et al. (2018). Here, we focus specifically on models that have used either SOD1, TDP-43, or FUS.

## IN VITRO MODELS TO EXAMINE PROTEOSTASIS AND PRION-LIKE FEATURES OF AMYOTROPHIC LATERAL SCLEROSIS

### Purified Protein Systems

Much of the knowledge that forms the foundation of our understanding of proteostasis collapse, and how this may result

in the exponential replication of a prion-like particle in disease, has been supported and initiated by studies of purified protein. The simplistic and reductionist nature of experiments using purified protein affords significant advantages in the specific elucidation of protein structure and function. Through precise control and modulation of the environment (temperature, pH, oxidative/reducing, osmolyte concentration, denaturants) and the proteins (post-translational modification, mutation, binding partners, chaperones) in an assay, a more fundamental understanding of the processes underlying protein misfolding and prion-like propagation can be achieved.

### Superoxide Dismutase-1

As a result of being the first protein found to harbor ALS-associated mutations (Rosen et al., 1993), SOD1, in its purified form, has been extensively studied from the perspectives of protein folding, aggregation, and prion-like propagation (McAlary et al., 2019b; Wright et al., 2019; Trist et al., 2020). SOD1 protein is most often expressed and purified using either bacteria (*E. coli*) or yeast (*S. cerevisiae*, Hallewell et al., 1985, 1987). Considering the extensive post-translational modification (PTM) that SOD1 must undergo before reaching its native conformation, which includes metal-binding, disulfide formation, and N-terminal acetylation, yeast are the expression vector of choice as this system is capable of imparting all of these PTMs (Hallewell et al., 1987). A bacterial expression strategy to facilitate correct metal input and disulfide formation is to co-express SOD1 with its co-chaperone the copper chaperone

for SOD1 (CCS) in the presence of excess copper and zinc (Lindberg et al., 2002).

A SOD1 monomer is an 8-stranded  $\beta$ -barrel with two major loops, the metal-binding loop (loop IV) and electrostatic loop (loop VII), being responsible for the coordination of metals or guidance of superoxide substrate to the enzymatic site, respectively. Furthermore, a conserved intramolecular disulfide, that stabilizes the tertiary structure and promotes dimerization, is formed between residues Cys57 and Cys146 (Wright et al., 2019). The native conformation of SOD1 is an impressively stable homodimer, evidenced by resistance to thermal denaturation and proteolytic digestion (Senoo et al., 1988; Rodriguez et al., 2002). For this reason, SOD1 homodimers are sometimes used as a non-aggregating control protein in some assays (Gregory et al., 2017). Although the native conformation of SOD1 is highly stable, the maturation (sequential acquisition of post-translational modifications) folding landscape of SOD1 is highly susceptible to destabilization and off-folding pathways, especially when mutated (Lindberg et al., 2002; Rodriguez et al., 2002; Luchinat et al., 2014, 2017; Sekhar et al., 2015, 2016; McAlary et al., 2016; Culik et al., 2018). Given that ALS-associated mutations in SOD1 were found to elicit a gain-of-toxic function effect (Bruijn et al., 1998), and that protein misfolding and aggregation is a key hallmark of SOD1-associated ALS (Durham et al., 1997; Shinder et al., 2001), much work has focused on the effect that ALS-associated mutations had on SOD1 folding stability (Wright et al., 2019). In particular, studies have focused on dissecting the differences between mutant and wild-type SOD1 across its maturation landscape, with a focus on monomer stability (Lindberg et al., 2005; McAlary et al., 2016) and dimer stability (Lindberg et al., 2005; Redler et al., 2011; McAlary et al., 2013; Capper et al., 2018; Chantadul et al., 2020). Using both wild-type and variants of SOD1 with its free cysteines replaced (Lepock et al., 1990), SOD1 folding and misfolding has been rigorously probed *via* circular dichroism (Lindberg et al., 2002, 2005; Byström et al., 2010; Wright et al., 2013), nuclear magnetic resonance (Arnesano et al., 2004; Sekhar et al., 2015, 2016; Culik et al., 2018), and calorimetry (Rodriguez et al., 2002; Broom et al., 2015, 2016; Anzai et al., 2017).

Some evidence, however, shows that certain ALS-associated mutations, such as D101N, do not significantly alter the folding stability of the SOD1 maturation states that are thought to be likely disease precursors (Byström et al., 2010; Vassall et al., 2011). Indeed, some mutations confound attempts to directly relate folding stability to the variable patient survival observed for patients with different SOD1 mutations (Byström et al., 2010; Vassall et al., 2011; McAlary et al., 2016). More recently, the role of macromolecular crowding in tuning protein folding stability has become clearer. Macromolecular crowding refers to a high concentration of macromolecules (proteins, nucleic acids, lipids, carbohydrates) in solution. Contrary to previous ideas, macromolecular crowding can destabilize proteins that are typically considered as stable when assessed at dilute concentrations (Miklos et al., 2011; Sarkar et al., 2012). SOD1 is no exception to this as evidence is emerging that even wild-type SOD1, in its metal-free and/or reduced form, is destabilized at a physiological temperature under crowded conditions (Bille

et al., 2019; Takahashi et al., 2020). Indeed, in-cell nuclear magnetic resonance experiments of SOD1 have revealed that destabilization is common to both wild-type and ALS-associated mutants (Luchinat et al., 2014; Danielsson et al., 2015). In regards to both proteostasis and prion-like propagation, the consensus of this work suggests that ALS-associated mutations can have specific destabilizing effects on SOD1 structure, resulting in a greater proportion of the protein populating an ensemble of non-native states and, therefore, is susceptible to aggregation and prion-like conversion (McAlary et al., 2019b; Wright et al., 2019). Furthermore, it would be interesting to revisit the biophysical studies of the effects of ALS-associated mutations on SOD1 folding stability with our greater understanding of the effect of macromolecular protein crowders. By better understanding the effects of protein crowding on SOD1 stability, we may better understand how specific mutations promote cytotoxic aggregation and the relationship between SOD1 variant stability and patient phenotype.

Similar to the above-mentioned studies of SOD1 folding stability, the examination of the fibrillation of SOD1 has seen extensive use of purified protein. Due to the high stability of SOD1, some assays have induced fibrillation of purified protein using harsh thermal or chemical conditions (DiDonato et al., 2003; Stathopoulos et al., 2003); however, the most physiologically relevant assays have simply utilized chelating and reducing conditions to promote SOD1 fibrillation (Chattopadhyay et al., 2008). Typical SOD1 aggregation assays induce the fibrillation of the protein under shaking conditions and measure an increase in  $\beta$ -sheet content using Thioflavin-T (Naiki et al., 1989). Shaking is considered to increase the rate at which fibrils fragment to create new ends from which to polymerize. Although the fibrillation of SOD1 has been described as being mostly fragmentation-assisted *in vitro* and *in vivo* (Lang et al., 2015), a recent study reported the formation of SOD1 fibrils under quiescent conditions (Khan et al., 2017), suggesting alternative pathways by which SOD1 can fibrillate and that certain strains may be preferentially selected depending on the method used. Using the Thioflavin-T assay, it has been shown that even wild-type SOD1, in its demetallated and reduced form, is capable of forming amyloid fibrils (Chattopadhyay et al., 2008; Furukawa et al., 2008; Lang et al., 2012; Chan et al., 2013; Ivanova et al., 2014; Abdolvahabi et al., 2016; McAlary et al., 2016). Furthermore, ALS-associated mutations augment the fibrillation of SOD1 to different degrees (Furukawa et al., 2008, 2010; Lang et al., 2012; Chan et al., 2013; Abdolvahabi et al., 2016, 2017; McAlary et al., 2016), whereas some *de novo* mutations and PTMs have been shown to prevent (Abdolvahabi et al., 2015; Rasouli et al., 2017; Pokrishevsky et al., 2018) or enhance fibrillation (Shi et al., 2013). The Thioflavin-T assay has also been used to examine if SOD1 fibrillation can be lowered using chaperone proteins (Yerbury et al., 2013) or small molecules (Bhatia et al., 2015, 2020; Malik et al., 2019).

N-terminal acetylation of  $\alpha$ -synuclein is reported to significantly alter the secondary structure of the protein (Trexler and Rhoades, 2012) and therefore, its aggregation kinetics and structure (Guerrero-Ferreira et al., 2019; Watson

and Lee, 2019). Importantly, many of the studies examining SOD1 fibrillation have utilized bacterially expressed protein, which is not N-terminally acetylated like it is typically found in eukaryotic systems. Although no direct comparisons have been performed between N-terminally acetylated SOD1 and non-acetylated SOD1, there are some notable differences in the identified cores of the amyloid fibril structures produced by the two forms (Furukawa et al., 2010; Chan et al., 2013). There is currently no high-resolution structure of SOD1 fibrils composed of the full-length protein, unlike tau (Fitzpatrick et al., 2017),  $\alpha$ -synuclein (Li B. et al., 2018), and amyloid- $\beta$  (Schmidt et al., 2015). Current high-resolution structures of SOD1-related fibrils are restricted to synthetically produced peptides of small amyloidogenic regions of the protein (Ivanova et al., 2014; Sangwan et al., 2017). The amyloidogenic regions of SOD1 are  $_{14}VQGIINFE_{21}$ ,  $_{30}KVGWGSIKGL_{38}$ ,  $_{101}DSVISLS_{107}$ , and  $_{147}GVIGIAQ_{153}$  (Ivanova et al., 2014). However, limited proteolysis of fibrils produced from recombinant SOD1 has identified the N-terminus of the protein, comprising residues 1–63, as the potential amyloidogenic core (Furukawa et al., 2010; Chan et al., 2013). Other regions of the SOD1 molecule have also been detected, suggesting polymorphism in the fibril structures generated. It would be valuable to determine the structure of SOD1 fibril polymorphs to understand better the relationship between SOD1 aggregation and the prion-like spread observed in patients.

Protein fibrillation assays have been a key tool in understanding the underlying prion-like propagation of SOD1 aggregation. The presence of seed in fibrillation assays essentially bypasses the need for the nucleation of a fibrillation-competent protein assembly in the assay, thus shifting the kinetics almost entirely to fibril elongation (Cohen et al., 2011). Through the addition of preformed protein aggregates (from recombinant sources, cells, or whole organisms) to fibrillation assays, it can be elucidated whether or not the seed is present within a sample (Schmitz et al., 2016), the susceptibility of protein variants to seeded fibrillation (Pokrishevsky et al., 2018), or the ability of different treatments to prevent seeded fibrillation (Sievers et al., 2011). Indeed, the first evidence that SOD1 may have prion-like properties first came from fibrillation assays of recombinant purified SOD1 seeded with spinal cord extracts from mice overexpressing human SOD1-G93A (Chia et al., 2010). From seeded fibrillation assays, it has been determined that loss of the intramolecular disulfide is a significant contributor to increasing the susceptibility of SOD1 to undergo seeded fibrillation (Chattopadhyay et al., 2015) and that this is enhanced by mutation. Other studies examining the seeded fibrillation of SOD1 have shown that some *de novo* mutants of SOD1 are resistant to seed-induced fibrillation (Pokrishevsky et al., 2018), and that specific amyloidogenic segments of SOD1 contribute more significantly to seeded aggregation (Ivanova et al., 2014).

Overall, it is clear that ALS-associated mutations in SOD1 lower the folding stability of the protein and permit the sampling of more aggregation-prone conformations. These destabilized conformations are capable of both nucleating the

formation of amyloid fibrils and acting as a substrate for the further polymerization of existing fibrils. Future inquiries in this area focusing on the elucidation of the structure and polymorphism of SOD1 fibrils would be useful to not only understand the fibrillation of SOD1 but also to design therapies that may prevent it. Besides, advances in the sensitivity of detecting fibrillar species with prion-like properties from clinical sources using fibrillation assays (also called the real-time quaking-induced conversion assay; Schmitz et al., 2016) is currently unexplored for SOD1-associated ALS and ALS in general. Application of the sensitivity of the Thioflavin-T-based fibrillation assays to ALS patient tissue would be invaluable in elucidating the relationship between patient prognosis and the prion-like nature of the disorder.

### Transactive Response DNA-Binding Protein 43

There has been less research performed utilizing recombinant purified TDP-43, which is primarily due to the difficulty in purifying soluble TDP-43, and the difficulty handling the purified product. Some advances have recently been made in this space with the usage of solubility tags, replacement of tryptophan residues with alanine, and exhaustive processing of recombinant protein extracts (Li et al., 2017; Vivoli Vega et al., 2019; Wright et al., 2020). Owing to the difficulty associated with purification of the full-length protein, the biophysical understanding of TDP-43 has been obtained predominantly by analyses of purified single domains of the protein, including the N-terminal domain (NTD; Kuo et al., 2009; Mompeán et al., 2016), RNA-recognition motifs (RRM1 and RRM2; Kuo et al., 2009; Lukavsky et al., 2013), and the C-terminal low-complexity domain (LCD; Conicella et al., 2016). The intrinsically high aggregation propensity of the LCD is likely why the examination of full-length TDP-43 has been difficult, as other domains are reported to be soluble when expressed in heterologous systems (Kuo et al., 2009; Lukavsky et al., 2013; Mompeán et al., 2016). As well as affording TDP-43 a high propensity to aggregate, the LCD is also the domain that contains the majority of ALS/FTLD-associated mutations (Abel et al., 2013) and forms the major component by mass of the ALS/FTLD-associated fragments of TDP-43 (Neumann et al., 2006). As such, the LCD has been a primary focus of TDP-43-focused ALS/FTLD research.

The TDP-43 LCD is defined as a prion-like domain due to its enrichment in asparagine, glutamine, glycine, and tyrosine residues, making it similar in sequence composition and physicochemical properties to the yeast prion protein Sup35 (King et al., 2012). Recent studies have highlighted the capability of low-complexity domains in DNA/RNA-binding proteins (not all of them prion-like) to facilitate the formation of physiologically relevant biomolecular condensates through the process of liquid-liquid phase separation (LLPS) *in vitro* (Banani et al., 2017). Evidence for *in vivo* formation and dysfunction of biomolecular condensates is starting to emerge (Zhang et al., 2020). The TDP-43 LCD has been shown to undergo LLPS, with this being primarily mediated by a transiently-populated  $\alpha$ -helix (residues 321–330; Conicella et al., 2016) as well as aromatic residues spread throughout the domain (Li H.-R. et al., 2018). The majority of ALS/FTLD-associated



mutations in TDP-43 are localized to the LCD. Considering this, a recent effort has been applied to examining the role of biomolecular condensates, such as stress granules, as a potential site in which the initial aggregation of TDP-43 (and other RNA-binding proteins) may occur (reviewed in Nedelsky and Taylor, 2019). *In vitro* evidence suggests that LLPS of the TDP-43 LCD promotes amyloid fibril formation, likely at the condensate-resolution interface (Babinchak et al., 2019) however, whether these fibrils are formed within condensates remains unclear. It is thought that disease-associated mutations may exacerbate the gradual loss of internal molecular rearrangement within condensates and promote a liquid-to-solid transition of the protein components, although this hypothesis is currently not fully tested, especially in regards to amyloid formation, and requires further investigation in both protein only assays and living cells.

Although the relationship between TDP-43 LCD LLPS and aggregation is not well understood, the capacity of the TDP-43 LCD, and smaller segments of it, to form amyloid fibrils *in vitro* is well-established (Chen et al., 2010; Guo et al., 2011; Jiang et al., 2013, 2016; Mompeán et al., 2014; Sun C.-S. et al., 2014; Zhu et al., 2014; Babinchak et al., 2019; Cao et al., 2019). Cross- $\beta$  fibers are formed by several small segments of the TDP-43 LCD (Guenther et al., 2018a) which may comprise the cores of amyloid polymorphs formed by larger segments and the entire LCD itself (Chen et al., 2010; Guo et al., 2011; Jiang et al., 2013, 2016; Mompeán et al., 2014; Babinchak et al., 2019; Cao et al., 2019; Shenoy et al., 2020). Cryo-electron microscopy has been utilized to examine the polymorphic nature of synthetic TDP-43 LCD segment assemblies composed of amino acid residues 311–360 or 286–331 (Cao et al., 2019). Although these structures are the result of *in vitro* assays of LCD segments, they provide a starting point by which to understand the amyloid state of TDP-43 and how it might confer different pathogenic severities in patients. Indeed, it is clear that TDP-43 can adopt an amyloid-like state in relevant tissues from ALS and FTLD patient samples as shown by immunoelectron microscopy (Lin and Dickson, 2008; Thorpe et al., 2008) and Thioflavin-S staining of carefully processed samples (Bigio et al., 2013; Robinson et al., 2013). It is important to note that confirmation of amyloid in tissue samples has classically relied upon Thioflavin-S and Congo-red staining, however, evidence has emerged that in some cases protein fibrils may not effectively bind these dyes (Wang Y.-T. et al., 2013; Rasouli et al., 2017), making electron microscopy the tool of choice to definitively assess aggregate morphology (amorphous or fibrillar).

Unlike the LCD, the TDP-43 NTD is a well-structured and stable domain (Qin et al., 2014; Mompeán et al., 2016) that is capable of undergoing extensive multimerization (Chang et al., 2012) and polymerization (Afroz et al., 2017; Mompeán et al., 2017; Tsoi et al., 2017; Wang et al., 2018) *in vitro*. These biophysical studies, using measures of stability, protein-protein interactions, and structural techniques, have suggested several roles for the NTD in both TDP-43 function and pathology. Namely, the NTD promotes the formation of TDP-43 dimers and multimers, likely through head-to-tail interactions (Afroz et al., 2017; Wang et al., 2018; Wright et al., 2020; although there

is a dispute about the contact interfaces). The NTD-mediated interaction of separate TDP-43 molecules may prevent the interaction of the aggregation-prone LCDs between interacting TDP-43 molecules (Afroz et al., 2017), suggesting a protective role for the NTD in ALS/FTLD. This notion of NTD-derived protection is supported by the cleavage of the NTD to give rise to C-terminal pathological fragments of TDP-43 in ALS/FTLD (reviewed in Berning and Walker, 2019). In contrast, others have suggested that NTD-mediated interactions promote the association and subsequent aggregation of the TDP-43 LCD (Tsoi et al., 2017).

Similar to the NTD and LCD, the biophysical study of the TDP-43 RRM has resulted in furthering the understanding of how TDP-43 may gain pathogenic properties through misfolding. A key finding from biophysical analyses of purified RRM domains or full-length TDP-43 is that DNA/RNA-binding to the RRM increases their stability and decreases the propensity of TDP-43 to aggregate (Huang et al., 2013; Sun Y. et al., 2014; Zacco et al., 2018, 2019). This information highlights the importance of the RRM in understanding TDP-43 proteinopathy and also provides a means for which potential therapies may be explored by using DNA/RNA oligos to stabilize cytoplasmic TDP-43, a strategy that is currently being pursued (Mann et al., 2019). However, some care must be taken, as the disease-associated D169G mutation that occurs within RRM1 of TDP-43 has been shown to increase the stability of the domain but make TDP-43 more susceptible to caspase-mediated cleavage (Chiang et al., 2016). It is currently unknown what effect RNA/DNA-binding has on the proteolytic cleavage of TDP-43. RRM2 of TDP-43 has also been examined concerning its potential amyloidogenic properties, with data suggesting that it may play an active role in TDP-43 aggregation and amyloid formation. Most studies of the amyloidogenic properties of TDP-43 RRM2 have used small synthetic peptides of the domain (Saini and Chauhan, 2011, 2014; Wang Y.-T. et al., 2013; Shimonaka et al., 2016; Guenther et al., 2018b), but some work has investigated the ability of the entire RRM2 domain to form amyloid (Shimonaka et al., 2016; Garnier et al., 2017).

Collectively, this evidence supports a role for RRM2 in the fibrillation of TDP-43, however, there are still unanswered questions. The use of small peptides, whilst informative, is not suitable for the examination of the heterotypic interactions that typically underlie the formation of diverse fibril polymorphs (Fitzpatrick et al., 2017; Li B. et al., 2018; Guerrero-Ferreira et al., 2019; Kollmer et al., 2019). Considering the number of potential amyloidogenic regions proposed in the TDP-43 LCD (Guenther et al., 2018a), and potential amyloid properties of RRM2, it may well be that the number of possible fibril polymorphs formed by heterotypic interactions within TDP-43 is substantial. Furthermore, the disease-associated truncation of TDP-43 into C-terminal fragments may further diversify the amyloid structures that it can form. This being said, small peptides do give the advantage of allowing for the examination of the seeding properties of highly structurally pure amyloid samples. This has been demonstrated by using fibrils formed from small segments of the TDP-43 LCD to



seed TDP-43 aggregation in cultured overexpressing TDP-43 transgenes (Shimonaka et al., 2016).

### Fused in Sarcoma

Like TDP-43, purified FUS protein has not been as well explored compared to studies of purified SOD1. The N-terminus of FUS is a functional prion-like domain, followed by several RGG rich regions encompassing a single RRM, a zinc finger domain, and finally a nuclear localization signal at the far C-terminus (Iko et al., 2004). Most purification strategies involve the expression of FUS in bacteria as a fusion to tags (sometimes fluorescent and/or solubilizing) on either the N- or C-terminus (Han et al., 2012; Kato et al., 2012; Kwon et al., 2013; Nomura et al., 2014; Patel et al., 2015; Monahan et al., 2017; Alberti et al., 2018; Hofweber et al., 2018; Maharana et al., 2018; Qamar et al., 2018; Murthy et al., 2019).

Also similar to TDP-43, a focus has been placed on the ability of FUS to undergo LLPS to form biomolecular condensates or hydrogels (Han et al., 2012; Kato et al., 2012; Kwon et al., 2013; Patel et al., 2015; Monahan et al., 2017; Alberti et al., 2018; Hofweber et al., 2018; Maharana et al., 2018; Qamar et al., 2018; Murthy et al., 2019). The ability of FUS to undergo LLPS *in vitro* can be enhanced by the ALS-associated G156E mutation (Patel et al., 2015), or it can be suppressed by chaperones and/or post-translational modifications (Monahan et al., 2017; Hofweber et al., 2018; Qamar et al., 2018). Concerning proteostasis, these findings suggest that the aberrant condensation of FUS, or simply condensation of FUS disease mutants, may result in the formation of FUS aggregates in disease. Indeed, FUS condensates are suggested to solidify (mature) over time and also perhaps form larger fibrous structures (Patel et al., 2015), although some work has suggested that careful control of *in vitro* conditions (removal of bubbles, usage of unscratched surfaces) prevents excessive FUS fibril formation in condensation experiments *in vitro* (Alberti et al., 2018).

Indeed, current understanding of ALS as a prion-like disorder is supported strongly by experimentation of both SOD1 and TDP-43, however, experimentation with FUS is in early stages (McAlary et al., 2019b). Experiments examining only smaller regions of FUS, including the RGG-rich regions and the N-terminal prion-like domain, have established the ability of FUS to form labile amyloid-like fibers, distinguished from typical amyloid by their lower thermal stability (Kato et al., 2012; Murray et al., 2017; Hughes et al., 2018). Also, initial experiments examining the amyloidogenicity of purified FUS found that GST-tagged full-length FUS protein showed very rapid increases in solution turbidity, suggesting that LLPS was potentially occurring here rather than aggregation (Nomura et al., 2014). Nomura et al. (2014) also show GST-tagged FUS-G156E samples were Thioflavin-T positive and that fibrils were present by electron microscopy, but it is unclear if these were labile amyloid-like structures or *bona fide* highly stable amyloid. In the future, experiments designed to examine if a population of the amyloid assemblies formed by purified FUS (whether through LLPS or simple agitation assays) is resistant to detergent denaturation and proteolytic degradation would be useful to

determine if purified FUS can form pathogenic amyloids. For a visual summary of the assays that can be performed with purified protein, see **Figure 2**.

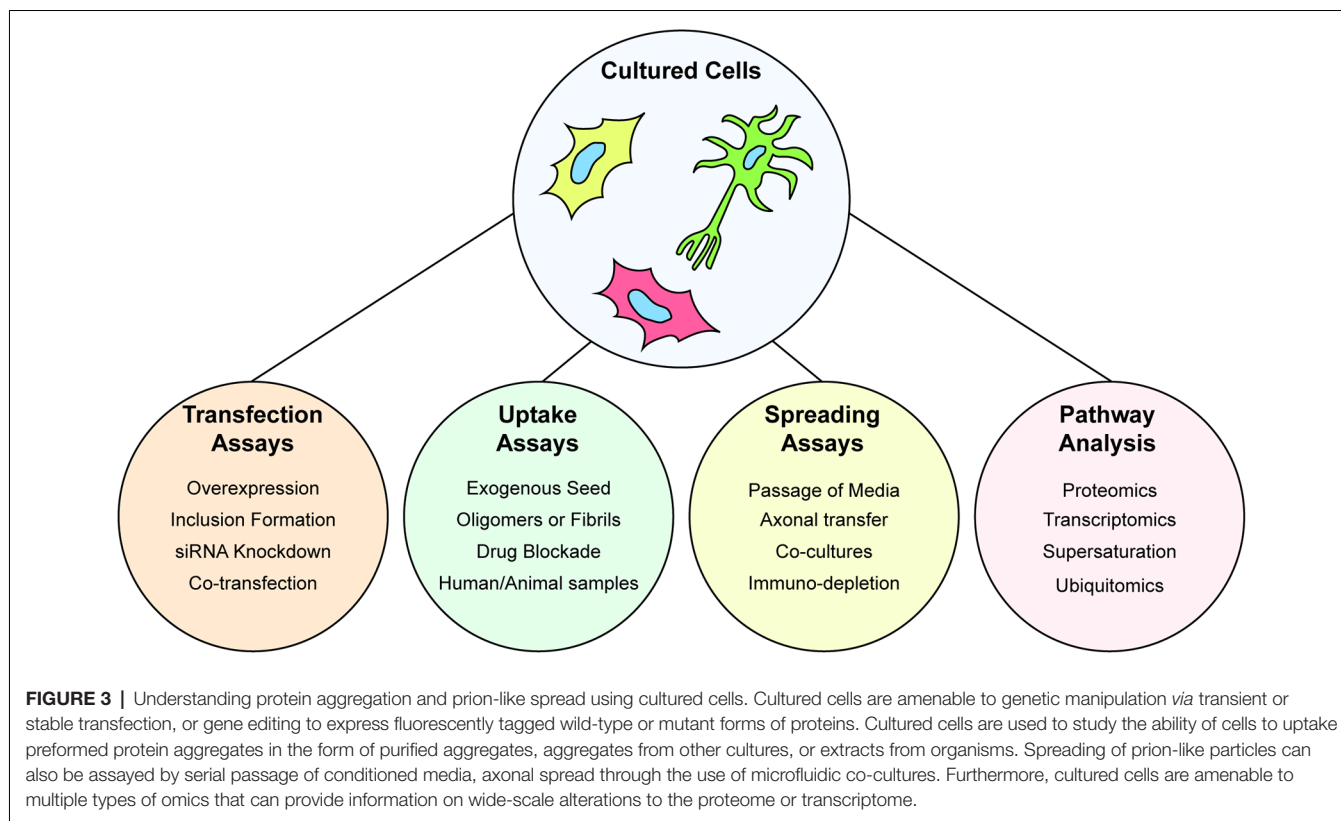
### Cultured Cells

Although studies utilizing purified protein have provided substantial insight into the misfolding, chaperoning, and amyloid aggregation of ALS-associated proteins, there is a significant lack of biological complexity in these systems. Cultured cells, in the form of immortalized cell lines, have formed a foundation for understanding proteostasis and prion-like propagation in ALS/FTLD. The types of systems used are diverse in their design and application, so we have elicited to focus primarily on those systems that have best helped understand protein homeostasis and prion-like propagation within the cellular environment (reviews on iPSC culture modeling of ALS see Guo et al., 2017; Van Damme et al., 2017).

Standard cell lines in any biological experimentation involving ALS/FTLD often include human embryonic kidney cells (HEKs—often various clones), HeLa cells, SH-SY5Y cells, Neuro-2a, and NSC-34, however, other cell lines are used too. NSC-34 cells are a hybrid cell line generated from a fusion of murine neuroblastoma cells and murine embryonic spinal cord enriched in motor neurons (Cashman et al., 1992), making them a particularly useful immortalized model for studying ALS/FTLD.

Chosen cell lines are often genetically manipulated to express mutant forms of ALS/FTLD-associated proteins *via* transient transfection methods. This has several advantages over examining endogenous proteins as it allows for the delivery of mutant genes, fusion constructs, and overexpression. A common strategy involves the use of fluorescently tagged SOD1 mutants (Turner et al., 2005; Prudencio et al., 2009; Stevens et al., 2010; Münch et al., 2011; Polling et al., 2014; Farrarwell et al., 2015, 2019; McAlary et al., 2016; Ayers et al., 2017; Pokrishevsky et al., 2017, 2018; Zhong et al., 2017; Crosby et al., 2018), TDP-43 mutants and fragments (Zhang et al., 2009; Yang et al., 2010; Walker et al., 2013; Farrarwell et al., 2015; Zeineddine et al., 2017; Chen and Cohen, 2019; Chen et al., 2019; Sackmann et al., 2020), and FUS (Mastrocola et al., 2013; Britton et al., 2014; Yang et al., 2014; Farrarwell et al., 2015; Patel et al., 2015; Bogaert et al., 2018; Maharana et al., 2018). Genetically encoded fluorophore tags, such as green fluorescent protein (GFP), are a highly useful method to specifically interrogate a protein of interest in cells (Day and Davidson, 2009), and have formed an important part of understanding the dynamics of ALS/FTLD mutant proteins in the physiologic environment.

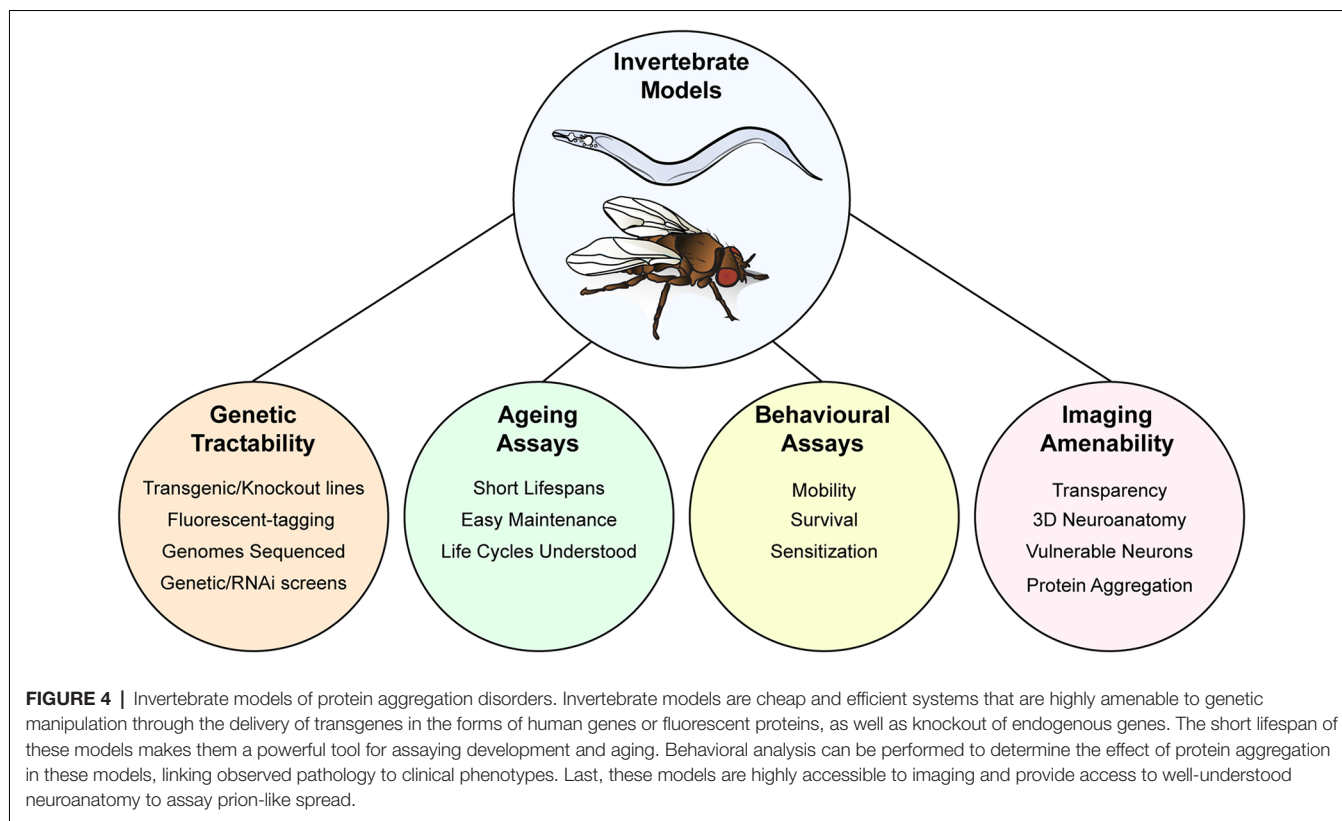
At least for SOD1, there is good evidence to suggest that the fusion of GFP to its C-terminus does not significantly augment its ability to fold or dimerize (Stevens et al., 2010). Folding or dimerization of tagged TDP-43 or FUS has not been assessed; however, fluorescent protein-tagged versions of either of these proteins appear to localize correctly in cells, suggesting that tagging is not overly detrimental. Some researchers use smaller non-fluorescent affinity tags to overcome non-physiological interactions induced by fluorescent protein



tags. Another advantage associated with fluorescent protein tagging of aggregation-prone proteins is that the localization of proteins into inclusions can sometimes mask epitopes detected by commercial antibodies (Prudencio and Borchelt, 2011). Since the fluorescent protein itself is incorporated into protein inclusions when tagged to aggregation-prone proteins, it is much easier to ascertain the presence of inclusions in cell populations using this system. One can then effectively utilize fluorescence microscopy or flow cytometry to detect the presence of fluorescent protein inclusions within cells (Ramdzan et al., 2012), or from cell extracts (Whiten et al., 2016; Zeineddine et al., 2017).

An advantage of fluorescent tagging of aggregation-prone proteins in cells is the capability to perform biophysical analyses by exploiting the photophysical properties of the fluorophore itself, such as fluorescence recovery after photobleaching (FRAP; Axelrod et al., 1976) or fluorescence loss in photobleaching (Lippincott-Schwartz et al., 2003). A major disadvantage of immunodetection using either affinity epitopes or endogenous epitopes is that this requires the fixation of cells, making it challenging or impossible to analyze dynamic events. Combined usage of both fluorescent tagging and FRAP has revealed that specific aggregation-prone proteins can localize to distinct inclusion types (Kaganovich et al., 2008) and that the mobility of SOD1, TDP-43, and FUS in and outside inclusions and other compartments can be examined using photobleaching (Matsumoto et al., 2005, 2006; Weisberg et al., 2012; Farrarwell et al., 2015, 2018; Park et al., 2017).

Key findings from the usage of fluorescently tagged SOD1 in immortalized cell lines related to protein homeostasis include that: (1) disease-associated mutant forms of SOD1 are significantly more aggregation-prone than wild-type SOD1 (Prudencio et al., 2009; Prudencio and Borchelt, 2011; McAlary et al., 2016; Ayers et al., 2017; Crosby et al., 2018; Pokrishevsky et al., 2018; Farrarwell et al., 2019; Crown et al., 2020); (2) SOD1 mutants are a target of ubiquitin proteasome degradation and partition exclusively to the juxtannuclear quality control compartment that triages proteins for proteasomal degradation (Matsumoto et al., 2005, 2006; Weisberg et al., 2012; Farrarwell et al., 2015; Ayers et al., 2017; Park et al., 2017); and (3) the aggregation and associated toxicity of SOD1 mutants can be alleviated *via* overexpression of components of the cellular quality control machinery or small molecules (Walker et al., 2010; Xia et al., 2011; Park et al., 2017; Pokrishevsky et al., 2017, 2018; Farrarwell et al., 2019). For TDP-43 and FUS, fluorescent tagging has been similarly successful, especially when examining the dynamics of FUS and TDP-43 biomolecular condensates (Patel et al., 2015; Maharana et al., 2018; Qamar et al., 2018; Gasset-Rosa et al., 2019; Mann et al., 2019). Considering the growing understanding of the number of diverse and transient structures formed in cells (Nedelsky and Taylor, 2019), experiments investigating protein aggregation in cells must be carefully interpreted when examining fixed samples. What is thought to be an aggregate may simply be a transient structure in some cases.



Immortalized cell lines are a highly useful model to examine the prion-like propagation of protein misfolding and aggregation in cells, particularly when paired with purified protein samples. Indeed, a combination of transiently expressed GFP-tagged SOD1 variants, and *in vitro* aggregated purified SOD1 protein was utilized to determine the prion-like properties of SOD1 aggregates (Münch et al., 2011). The ability to use exogenous aggregates that can be pure amyloidogenic peptides from a protein, or have the amyloidogenic region(s) deleted, is a powerful assay for understanding the structure-function relationship of prion-like proteins. As such, this system has been used to examine the seeding of GFP-tagged TDP-43 by various exogenously added TDP-43 peptide-derived amyloid fibrils (Shimonaka et al., 2016) or fibrils composed of full-length TDP-43 (Furukawa et al., 2011). The power of assays that utilize transgene expression of proteins in cultured cells is exemplified by the ability to seed aggregation of the reporter proteins *via* the addition of samples from human or animal *in vivo* sources (Nonaka et al., 2013; Pokrishevsky et al., 2017; Porta et al., 2018; Laferrrière et al., 2019). Furthermore, the capability of prion-like proteins to replicate and infect can be easily examined in cells by the simple passage of the conditioned media to new naive cultures as has been shown for prion-like SOD1 conformations (Grad et al., 2014). This spread of protein aggregates between cultured cells can be examined by using dual fluorescent reporter, or split reporter, strategies. In these assays, two separate populations of cells are respectively transfected with prion-like proteins with different color fluorescent tags

or split fluorescent/luminescence tags. Mixing of the separate cell populations and subsequent co-culturing allows for protein transfer between the populations which can be detected using fluorescence microscopy, flow cytometry, or a plate reader (Feiler et al., 2015; Zeineddine et al., 2017; Sackmann et al., 2020). For a visual summary of the assays that can be performed with cultured cells, see **Figure 3**.

To complement tractable and scalable cell-based experiments, animal experiments are required to examine the effect of prion-like protein aggregation on physiology and disease pathogenesis.

## INVERTEBRATE MODELS OF ALS

The genetic power and experimental tractability of small animal models (worm, fly, and fish) provide unique opportunities to investigate the pathological role of ALS-associated protein aggregates. Here we will discuss models for neurodegeneration using *Caenorhabditis elegans* and *Drosophila melanogaster*, focusing on insights gained on pathological mechanisms of SOD1, TDP-43, and FUS concerning proteostasis mechanisms and prion-like propagation.

### *Caenorhabditis elegans*

The nematode (roundworm) *C. elegans* has been used for decades in the study of neuronal development (Sulston et al., 1983), anatomy (White et al., 1986), and cellular/molecular function (Hobert, 2013; Walker et al., 2019). This extensive study has

led to *C. elegans* becoming one of the most well-characterized experimental nervous systems, being the only adult animal for which the synaptic connectivity of all its ~300 neurons is known (Cook et al., 2019). More recently, the worm model has become increasingly popular for studies of neurodegeneration and pathology, in part due to its short lifespan (2–3 weeks), genetic tractability, transparent nature, amenability to high-throughput genetic or pharmacological screens (Kaletta and Hengartner, 2006), and the fact that >80% of the *C. elegans* proteome has human homologs (Lai et al., 2000). In particular, the genetic accessibility of *C. elegans* permits the rapid generation of transgenic lines (within 1–2 weeks) with expression restricted to specific cells or tissues, including the remarkable ability to express transgenes in individual neurons. Also, the transparent nature of *C. elegans* enables imaging of fluorescence-tagged proteins in specific tissues/cells within a whole organism (Heppert et al., 2016), which has been invaluable in making mechanistic links between protein aggregation and behavioral deficits. Moreover, the short lifespan of *C. elegans* facilitates aging experiments to be performed rapidly and cost-effectively.

In this section, we will focus on studies that use *C. elegans* transgenic lines to investigate the mechanisms through which ALS-associated mutations in SOD1, TDP-43, and FUS contribute to protein aggregation, neurodegeneration, and behavioral defects concerning proteostasis mechanisms and prion-like propagation. Other aspects of ALS (and other neurodegenerative disease) pathology are covered in detail in reviews elsewhere (Dimitriadis and Hart, 2010; Li and Le, 2013; Therrien and Parker, 2014).

### Superoxide Dismutase-1

Most studies of SOD1 using *C. elegans* involve the transgenic expression of human wild-type (WT) or mutant SOD1 (hSOD1) tagged with fluorescent proteins to facilitate visualization of protein localization (i.e., whether diffuse in the cell or aggregated). These studies have shown that expression of disease-associated mutant forms of SOD1 (usually G85R, G93A) is associated with increased aggregation and behavioral deficits. The formation of aggregates during aging is correlated with defects in the proteostasis network (Hipp et al., 2019). For example, expression of hSOD1-G85R in all neurons resulted in locomotor deficits and inclusion-like structures of hSOD1-G85R-YFP (yellow fluorescent protein) in the cell bodies of motor neurons along the ventral nerve cord, observed *via* fluorescence microscopy and electron microscopy (Wang et al., 2009a). These observations correlated with reduced numbers, fluorescence intensity, and motility of pre-synaptic markers such as GFP-tagged synaptobrevin. Interestingly, despite hSOD1-YFP fluorescence being observed in most neurons, some individual neurons did not display aggregates at the fourth larval stage (the last larval stage before the adult moult; Wang et al., 2009a), although later time points were not analyzed in this study. This indicates that there are neuron-subtype-specific differences in how misfolding-prone SOD1 is sequestered in individual cells. Interestingly, expression of human SOD1 (hSOD1) mutants G85R, G93A, and G127X in *C. elegans* muscle showed limited toxic effects (after assaying motility, myofilament

organization, muscle development, and egg-laying) despite all hSOD1(mutant) lines showing clear aggregate formation (Gidalevitz et al., 2009).

Wang et al. (2009a) used their hSOD1 *C. elegans* model to perform a genome-wide RNAi screen for genes that when knocked down led to an increase in fluorescence aggregates; these genes were therefore postulated to normally suppress or delay aggregation formation. Eighty-one hits were identified, of which 27.2% were factors involved in proteostasis (protein chaperones, misfolding, and turnover) including heat shock factor 1 (HSF1), Hsp110, a DnaJ family member A2 (DNJ-19), an Hsp70 family member (STC-1), E3 ubiquitin ligase components SEL-10 and RBX-1, and proteins involved in SUMOylation [small ubiquitin-like modifiers (SUMOs)] UBC-9 and GEI-17. Several of these hits were validated through genetic crosses (see Wang et al., 2009a for details).

Later studies examining the effects of transgenic hSOD1-G93A expression in GABAergic motor neurons of the ventral nerve cord (using the *unc-25* promoter) confirmed earlier findings, showing that expression of GFP-tagged hSOD1-G93A is correlated with reduced locomotion and the formation of large aggregates (Li et al., 2013, 2014). Interestingly, critical defects were observed to a similar extent in hSOD1-WT and hSOD1-G93A transgenic lines, such as age-dependent locomotor dysfunction and motor neuron degeneration; hSOD1-WT also formed aggregates in motor neurons—albeit to a lesser extent than hSOD1-G93A (Li et al., 2014). As toxicity associated with hSOD1<sup>WT</sup> expression was not observed in the hSOD1 pan-neuronal lines (Wang et al., 2009a), these authors suggested that motor neurons may be more susceptible to hSOD1-linked toxicity, or that their transgene expression levels were simply higher compared with pan-neuronal lines. In both hSOD1<sup>WT</sup> and hSOD1-G93A lines, cell-autonomous over-expression of key autophagy initiator UNC-51 partially alleviated axon guidance defects observed with hSOD1 expression (Li et al., 2014). UNC-51 is required for proper localization of the Netrin receptor UNC-5, a critical player in axon guidance (Ogura and Goshima, 2006), which may explain its involvement in this phenotype—although a role specifically in autophagy has not yet been explored.

Conventional microinjection protocols for generating transgenic *C. elegans* result in the formation of multi-copy transgene arrays (Mello et al., 1991), which express at high levels. Over-expression of hSOD1 has been linked to deleterious effects even for wild-type hSOD1 in *C. elegans* (Li et al., 2014). This makes it challenging to differentiate the effects of protein overexpression from that of ALS mutations, or to identify interventions that address one or the other. To overcome this issue, Baskoylu et al. (2018) generated single-copy insertions of *C. elegans* wild-type SOD-1 and A4V, H71Y, and G85R mutants (by comparison of *C. elegans* and human SOD1 sequences) *via* Mos1-mediated single copy insertion (MosSCI). Toxic effects were more subtle in MosSCI transgenic lines compared with conventional multi-copy arrays expressing hSOD1 (Baskoylu et al., 2018). One of the most pronounced effects was the formation of hSOD1<sup>WT</sup>-YFP inclusions when this transgene was co-expressed in the



same neurons expressing single-copy insertions of mutant *C. elegans* SOD-1 (ceSOD1): single-copy insertions of mutant ceSOD1 showed significantly higher hSOD1<sup>WT</sup>-YFP inclusions compared with ceSOD1<sup>WT</sup> or the empty vector control. The authors theorize that the hSOD1<sup>WT</sup>-YFP inclusions were possibly seeded by misfolded mutant *C. elegans* SOD1 protein in MosSCI models, suggesting a prion-like propagation effect (Baskoylu et al., 2018).

Mislocalization of SOD1 to the nucleus is linked to toxicity (Gertz et al., 2012). Mutations introduced in SOD1 to disrupt the consensus Nuclear Export Signal (NES) showed nuclear localization of GFP/YFP-tagged SOD1 in cultured cells and *C. elegans* neurons (Gertz et al., 2012). Although the NES-disrupting mutation L42Q by itself did not cause severe lifespan defects, in combination with the G85R mutation, expression of hSOD1<sup>L42Q/G85R</sup> in *C. elegans* neurons led to significantly reduced lifespan compared with hSOD1<sup>WT</sup> controls (Zhong et al., 2017).

### Transactive Response DNA-Binding Protein 43

*C. elegans* is an excellent model system to assess prion-like spreading due to its well-defined, stereotyped anatomy and transparent nature, enabling the visualization of fluorescently tagged proteins across multiple tissues in a living organism (Nussbaum-Krammer and Morimoto, 2014). Like for SOD1, numerous studies have used overexpression of wild-type or ALS-linked variants of human TDP-43 (hTDP-43) to model aspects of pathology in *C. elegans*. Pan-neuronal expression of YFP-tagged hTDP-43(WT), ALS-associated mutant forms (Q331K and M337V), or the C-terminal 25 kDa fragment (hTDP-C25, residues 219–414) led to severe locomotor defects (Zhang et al., 2011). Analogous to human pathological data (Arai et al., 2006; Neumann et al., 2006), hTDP-C25 was found predominantly in the insoluble fraction, suggesting a high propensity for aggregation. Since an elevated temperature was linked to increased accumulation of hTDP-C25-YFP in both soluble and insoluble fractions, Zhang et al., 2011 used RNAi to knockdown components of the protein quality control network, finding that HSF1 was a major protective factor in toxicity in hTDP-43 protein aggregation. *HSF-1* partial loss-of-function mutations enhanced the locomotor defects observed in hTDP-C25-YFP transgenic lines. Besides, the insulin-like/IGF1 signaling (IIS) receptor DAF-2, which requires HSF1 for pro-longevity effects (Morley and Morimoto, 2004), also modulates the toxicity of hTDP43. *daf-2* mutants live twice as long as WT animals (Kenyon et al., 1993), and genetic crosses between *daf-2(-)* and hTDP-43 transgenic lines improved locomotion and reduced hTDP-43-YFP aggregation (Zhang et al., 2011). These data suggest that molecular chaperones and protein quality control play an important role in attenuating hTDP43 aggregation and related behavioral deficits.

Neuronal expression of untagged wild-type and mutant (G290A, A315T, and M337V) hTDP-43 also showed similar locomotion defects, with some evidence of aggregate formation in the nucleus of *C. elegans* neurons (assayed *via* immunostaining) in all transgenic lines (Liachko et al., 2010).

Although both WT and mutant hTDP-43 lines showed reduced locomotion on day one of the assays, this was more severe in ALS-linked mutant lines and deteriorated more rapidly in hTDP-43(mutant) lines with increasing age. hTDP-43(mutant) lines also showed degeneration of GABAergic motor neurons, whereas hTDP-43<sup>WT</sup> animals did not show significant differences compared to non-transgenic controls (Liachko et al., 2010). The authors also show that phosphorylation at S409/410 is important for toxicity in *C. elegans* models, as mutating the S409/410 sites to alanine in hTDP-43(mutant) lines was able to partially rescue the locomotion defects observed in G290A and M337V transgenic animals (Liachko et al., 2010). As TDP-43 phosphorylated at S409/410 is linked to pathological inclusions in both sporadic and fALS, as well as FTL (Inukai et al., 2008; Neumann et al., 2009), this demonstrates that the *C. elegans* models generated in these studies recapitulate aspects of TDP-43 pathology observed in humans.

Restricting expression of wild-type and mutant (A315T) hTDP-43 to GABAergic motor neurons of *C. elegans* led to adult-onset, age-dependent paralysis and progressive motor neuron degeneration in hTDP-43(mutant) lines (Vaccaro et al., 2012b). This is distinct from the models described earlier, which already showed significant locomotor defects on the first day of adulthood (Liachko et al., 2010; Zhang et al., 2011), suggesting that motor neuron transgenic lines are not affected developmentally nor show general deficiencies. Nonetheless, motor neuron-restricted transgenic lines showed hTDP-43<sup>A315T</sup> aggregate formation in the cytoplasm and nucleus of neurons in day 1 adults. The authors suggest that their model, with its strong age-dependent phenotypes, can be used for medium-throughput screens for genetic modifiers or small molecule inhibitors of hTDP-43 toxicity (Vaccaro et al., 2012b). Indeed, the compound TRVA242 was found to potently rescue locomotor defects of this *C. elegans* model in a screen of 3,765 novel small molecule derivatives of pimozide (Bose et al., 2019), a neuroleptic proposed to be used as a therapeutic for ALS (Patten et al., 2017). In addition to Methylene Blue, a compound that enhances oxidative stress responses (Stack et al., 2014), compounds known to act specifically on endoplasmic reticulum (ER) stress [such as salubrinal (Boyce et al., 2005) and guanabenz (Tsaytler et al., 2011)] also protected against hTDP-43<sup>A315T</sup>-mediated locomotor deficits in the *C. elegans* model (Vaccaro et al., 2013). This result adds to growing evidence that ER stress may be an attractive therapeutic target for ALS (Medinas et al., 2017a).

In a study focusing on the *C. elegans* ortholog of TDP-43 (TDP-1, referred to here as ceTDP-43), the authors showed that ceTDP-43 knockout was linked to sensitivity to oxidative and osmotic stress, as well as increased lifespan at moderate (20°C) temperatures. Unlike effects on hTDP-43 transgenic animals, the effects of the IIS pathway on ceTDP-1-mediated stress responses and lifespan are less clear—two distinct DAF-2 insulin receptor mutants have opposing effects, making it more difficult to derive a conclusive mechanistic link. Nonetheless, ceTDP-43 does appear to be required for the stress resistance in DAF-2 mutants as well as age-dependent proteotoxicity (Vaccaro et al., 2012c).

## Fused in Sarcoma

Pan-neuronal expression of wild-type and mutant human FUS (hFUS) in *C. elegans* leads to cytoplasmic mislocalization, aggregation, and locomotor defects (Murakami et al., 2012). Murakami et al. (2012) generated a series of GFP-tagged hFUS transgenic lines, including WT FUS, clinical mutations (R514G, R521G, R522G, R524S, and P525L), and C-terminal truncations (FUS513 and FUS501). The C-terminal truncations aim to resemble human C-terminal splicing/frame-shifting truncation mutations associated with severe ALS phenotypes (DeJesus-Hernandez et al., 2010; Belzil et al., 2011). GFP-tagged hFUS R522G, R524S, P525L, FUS513, and FUS501 showed clear mislocalization of hFUS in the cytoplasm of neurons in the head and body of *C. elegans*, whereas WT hFUS and R514G or R521G mutations showed primarily nuclear localization. Cytoplasmic mislocalization of FUS is correlated with behavioral deficits, with only the strains showing cytoplasmic FUS also displaying age-dependent locomotor defects. These strains also showed a reduced lifespan compared with controls, as well as colocalization of FUS-GFP variants with cytoplasmic stress granule markers following acute heat stress (Murakami et al., 2012). To test whether mutant FUS can, under stress conditions, trigger the mislocalization of FUS<sup>WT</sup> from the nucleus to the cytoplasm, the authors co-expressed TagRFP-hFUS<sup>WT</sup> together with GFP-FUS<sup>P525L</sup>. After heat shock, TagRFP-WT-hFUS remained in the nucleus whereas GFP-FUS<sup>P525L</sup> could be observed in both the nucleus and cytoplasm (in cytoplasmic structures resembling stress granules). The authors conclude that mutated FUS is likely to be pathogenic through a gain-of-function effect, rather than through titrating WT-FUS from the nucleus. In future work, it would be interesting to assess whether the colocalization of FUS with stress granules can be initiated by stressors other than acute heat stress (Huelgas-Morales et al., 2016), such as oxidative stress (Vance et al., 2013) or in the context of aging (Cao et al., 2020). *C. elegans* is an ideal model system to explore the biology of stress signaling during aging due to its short lifespan and ease of growth/maintenance (Olsen, 2006).

In a *C. elegans* model expressing wild type and S57Δ mutant hFUS in GABAergic motor neurons, hFUS transgenic lines showed adult-onset, age-dependent locomotion deficits (Vaccaro et al., 2012b). These age-dependent behavioral effects correlate with motor neuron degeneration, which is more severe in hFUS<sup>S57Δ</sup> transgenic animals compared with hFUS<sup>WT</sup> (Vaccaro et al., 2012b). Using the same model, the neuroprotective agent Methylene Blue was able to alleviate behavioral defects in hFUS<sup>S57Δ</sup> animals in a dose-dependent manner, potentially by protecting against oxidative stress (Vaccaro et al., 2012a). Given the link between oxidative stress, aging, and proteostasis, further investigation into how oxidative stress responses modulate FUS pathology will be of significant interest.

## *Drosophila melanogaster*

Research using the fly *Drosophila melanogaster* has made significant contributions to our understanding of nervous system development, function, and disease (Bellen et al., 2010; Oswald et al., 2015). *Drosophila* has been used as a model

organism for over 100 years: its genetic power combined with a relatively small brain (~250,000 neurons in adult) and complex array of behaviors that can be rapidly assessed experimentally, provides a powerful model animal to pursue investigations into the mechanisms of neuronal dysfunction (Casci and Pandey, 2015). Like *C. elegans*, *Drosophila* is amenable to genetic manipulation *via* RNAi (Dietzl et al., 2007) as well as tissue-specific transgene expression *via* the commonly-used Gal4/UAS (Upstream Activation Sequence) system (Brand and Perrimon, 1993). *Drosophila* is also cheap to grow and maintain, has a short generation time (~10 days), and is a more practical and ethical system than mammalian models to perform large-scale genetic or pharmacological screens (St Johnston, 2002; Hales et al., 2015). Another key advantage of *Drosophila* models is the high degree of genetic conservation with the human genome (~60%; Dietzl et al., 2007). Last, sophisticated imaging approaches have been developed for systematic investigation of *Drosophila* neurons, both in terms of neuroanatomy (Jenett et al., 2012) as well as “functional imaging” of neuronal activity, i.e., calcium/voltage sensors and optogenetics (Simpson and Looger, 2018). Indeed, current advances in mapping the synaptic connectivity of the *Drosophila* nervous system are well underway, and early data releases are showing exciting promise for neurobiology research in this model animal (Zheng et al., 2018).

## Superoxide Dismutase 1

Early fly models, like those in *C. elegans*, were based on the expression of human SOD1 in *Drosophila* neurons. Flies expressing wild-type hSOD1 as well as A4V and G85R mutant forms in motor neurons showed no gross developmental or lifespan defects but showed age-dependent progressive motor defects in all lines when compared with a control line expressing *Drosophila* SOD1 (dSOD1). Interestingly, although the hSOD1-G85R line showed the most significant locomotor defect, this did not correlate with a gross reduction in the number of motor neurons in the thoracic ganglia or with the formation of insoluble species in these neurons (Watson et al., 2008). Also, expression of mutant or wild-type hSOD1 led to upregulation of Hsp70 expression in surrounding glial cells—whether this glial response is protective to motor neurons is not known (Watson et al., 2008). Indeed the role of the heat shock response in glial cells in ALS pathogenesis is not yet clear and is an area of active study (Robinson et al., 2005; Liddelow et al., 2017; San Gil et al., 2017).

Ubiquitous expression of zinc-deficient hSOD1-D83S in *Drosophila* resulted in age-dependent locomotor defects but no substantial loss of neurons or reduction in lifespan compared with non-transgenic or hSOD1<sup>WT</sup> transgenic flies (Bahadorani et al., 2013). Tissue-specific expression of hSOD1-D83S in all neurons or in glia had the most potent effect on locomotion, with motor neuron-restricted expression having a moderate impact. However, no defects were observed upon expression in muscle, and no reduction in lifespan was seen compared to hSOD1<sup>WT</sup> transgenic animals. These defects correlated with increased sensitivity to the oxidative stressor paraquat and dysfunction of the mitochondrial respiratory chain (Bahadorani et al., 2013). These results agree with the previously mentioned

purified protein and cell culture data, suggesting that structural destabilization of SOD1 is a crucial factor in the formation of toxic species and aggregates in cells.

dSOD1 is highly similar to hSOD1, being identical at 104/153 residues. Şahin et al. (2017) engineered the ALS-associated mutations G37R, H48R, H71Y, and G85R into the endogenous locus of dSOD1 to generate mutant “knock-in” lines. These lines showed varying effects: compared with dSOD1(WTLoxP) controls, homozygous dSOD1 H71Y showed reduced lifespan, reduced fertility, and increased sensitivity to oxidative stress. dSOD1-G85R and dSOD1 H48R lines were developmentally lethal as homozygotes, but dSOD1-G85R heterozygotes showed normal lifespan and oxidative stress responses. dSOD1-G37R animals were phenotypically normal compared to controls. In larvae, dSOD1-G85R and dSOD1-H71Y homozygotes showed severe climbing defects that were suppressed in heterozygotes of each transgene. These were not correlated with a gross reduction in motor neuron number. However, dSOD1-G85R and dSOD1-H71Y animals showed reduced dSOD1 protein levels and enzymatic activity (Şahin et al., 2017). These models, which express ALS-linked mutant SOD1 from the endogenous dSOD1 locus, will be useful tools for future analysis or high-throughput genetic/pharmacological screens.

### Transactive Response DNA-Binding Protein 43

Expression of a repeated form of amino acids 342–366 (12xQ/N) of TDP-43 in the *Drosophila* eye leads to the formation of insoluble aggregates that were by themselves not strongly neurotoxic (Cragnez et al., 2014). Over-expression of the *Drosophila* ortholog of TDP-43 (TBPH, referred to here as dTDP-43) in the eyes leads to significant levels of tissue degeneration. This toxicity was completely reverted by the co-expression of EGFP-tagged 12xQ/N. The authors proposed that dTDP-43 may be sequestered in aggregates formed by EGFP-12xQ/N, thereby titrating excess dTDP-43 (Cragnez et al., 2014). In this case, the formation of aggregates is protective. When EGFP-12xQ/N is expressed in fly neurons, it results in an age-associated locomotor defect and a shortened lifespan (Cragnez et al., 2015). In the neuronal model, the authors suggest that the locomotor defect is correlated with reduced levels of endogenous dTDP-43 (protein and mRNA levels), due to dTDP-43 being sequestered in EGFP-12xQ/N aggregates (Cragnez et al., 2015). This can be compensated in younger animals by increased protein production, but in older animals, the lower capacity for protein synthesis (Liang et al., 2014; Anisimova et al., 2018) may lead to a loss-of-function effect due to reduced dTDP-43 levels.

Over-expression models where wild-type or human hTDP-43 is expressed in fly neurons have also provided interesting insights into TDP-43-linked ALS/FTLD pathology. Miguel et al. (2011) generated *Drosophila* models expressing FLAG-tagged hTDP-43<sup>WT</sup>, hTDP-43 with a defective NLS (hTDP-43<sup>ΔNLS</sup>—predominantly cytoplasmic localization) or hTDP-43 with a defective NES (hTDP-43<sup>ΔNES</sup>—restricted to nuclear localization). Neuron-specific expression of these transgenes was larval lethal, so an inducible system was used to

bypass developmental effects (Miguel et al., 2011). Compared with controls, all inducible transgenic flies showed a reduced lifespan. Biochemical analysis (immunoblot) demonstrated that hTDP-43, hTDP-43<sup>ΔNES</sup>, and hTDP-43<sup>ΔNLS</sup> proteins could be detected in monomeric form or as high molecular weight (HMW, potentially aggregated) species, although hTDP-43<sup>ΔNES</sup> and hTDP-43<sup>ΔNLS</sup> expression showed more HMW species compared with hTDP-43<sup>WT</sup>. When probed with an antibody against phospho-TDP-43(S409/410), hTDP-43<sup>ΔNES</sup> HMW species, but not those of hTDP-43<sup>WT</sup> or hTDP-43<sup>ΔNLS</sup>, were strongly labeled by the pTDP-43 antibody. Interestingly, immunostaining did not reveal the presence of inclusions formed by the expression of any of these transgenes, suggesting that toxicity (reduced survival) does not require the formation of inclusions. This study also demonstrated cell-type-specific toxicity resulting from the expression of hTDP-43 transgenes: in adult neurons, both nuclear and cytoplasmic accumulations of TDP-43 result in toxicity, whereas in muscle and glial cells, only the accumulation of cytoplasmic species of TDP-43 was toxic (Miguel et al., 2011). This could be due to differences in proteostasis mechanisms in different tissues, such as the capacity for protein production, differences in post-translational modification, or sequestration of aggregated/oligomeric forms (Vilchez et al., 2014).

TDP-43 autoregulates its own levels through binding to a TDP-43 binding region (TDPBR) in its 3' UTR (Ayala et al., 2011). Expression of hTDP-43 containing the TDPBR in *Drosophila* retinal cells led to significantly reduced protein and mRNA levels for hTDP-43 (Pons et al., 2017), similar to observations in cultured cells and mammalian models (Ayala et al., 2011; Polymenidou et al., 2011). This hTDP43\_TDPBR transgenic line can then be used to perform genetic crosses with *Drosophila* mutant strains to identify other factors that modulate hTDP-43 levels through the TDPBR. Pons et al. (2017) used this method to identify *Drosophila* splicing factors that modulated hTDP-43 levels, and in a follow-up study, identified the protein CG42724 [and its human orthologue Transcription elongation regulator 1 (TCERG1)] as a modulator of hTDP-43 protein levels (Pons et al., 2018).

TDP-43 has been shown to associate with human Hsc70 in cultured mammalian cells (Freibaum et al., 2010). In *Drosophila*, one of the Hsc70 proteins (Hsc70-4) is highly expressed in neurons and functions in synaptic vesicle exo- and endocytosis (Bronk et al., 2001; Chang et al., 2002). Coyne et al. (2017) showed that *Drosophila* Hsc70-4 associates with transgenic hTDP-43 in fly motor neurons. As a result, over-expression of hTDP-43 in motor neurons sequesters Hsc70-4, leading to reduced synaptic expression. This ultimately inhibits synaptic vesicle endocytosis and results in defects in synaptic transmission (Coyne et al., 2017). Interestingly, expression of ALS-linked mutant forms of hTDP-43 leads to locomotor defects that can be rescued by over-expression of wild-type Hsc70-4, but not mutant forms that are defective in chaperone (Hsc70-4<sup>D10N</sup>) or microautophagy functions (Hsc70-4<sup>3KA</sup>). This suggests that hTDP-43 compromises both chaperone and microautophagy activities of Hsc70-4 (Coyne et al., 2017).



## Fused in Sarcoma

*Drosophila* models have also been useful in investigating the pathological roles of ALS-associated protein FUS. Transgenic *Drosophila* lines were generated expressing hFUS<sup>WT</sup> and two disease-related variants hFUS<sup>P525L</sup> and hFUS<sup>R495X</sup> (Jäckel et al., 2015). Expression in motor neurons resulted in viability defects and locomotor defects in hFUS<sup>WT</sup> and hFUS<sup>P525L</sup>, but not in hFUS<sup>R495X</sup>-expressing flies. Immunostaining showed that hFUS<sup>WT</sup> localized to the nucleus, whereas hFUS<sup>P525L</sup> showed an additional cytoplasmic staining, and hFUS<sup>R495X</sup> was observed at relatively equal levels in the nucleus and cytoplasm. The lack of phenotype observed in hFUS<sup>R495X</sup> flies is surprising because the R495X mutation is linked to a highly aggressive form of ALS (Chiò et al., 2009b). The pathological role of hFUS<sup>R495X</sup> in this *Drosophila* model could not be associated with its partial cytoplasmic mislocalization, which is thought to be a critical disease mechanism (Kim and Taylor, 2017), suggesting that disease-modifying factors are missing in this model.

FUS expression can autoregulate its levels in cultured cells (Zhou et al., 2013; Dini Modigliani et al., 2014). Similarly, in *Drosophila*, over-expression of hFUS in fly motor neurons leads to decreased levels of the endogenous Caz protein (the *Drosophila* ortholog of FUS—dFUS; Machamer et al., 2014; Jäckel et al., 2015). These changes are correlated with alterations in neuromuscular junction structure and defects in synaptic transmission. In contrast, Caz/dFUS null alleles show enhanced synaptic transmission as demonstrated by increased excitatory junction potential (EJP) amplitude (Machamer et al., 2014). Therefore, although hFUS over-expression (both WT and mutant) leads to lower levels of Caz/dFUS, this is likely not the mechanism by which hFUS triggers synaptic dysfunction.

Both TDP-43 and FUS are components of the biomolecular condensate compartment known as stress granules through interactions in their respective LCDs (Harrison and Shorter, 2017). A *Drosophila* study performed a systematic domain deletion screen of FUS, to identify toxicity-mediating domains (Bogaert et al., 2018). Deletion of the N-terminal QGSY prion-like LCD partially rescued toxicity. The C-terminal RGG-2 region was also essential for toxicity, and the two domains appear to act synergistically. Interestingly, the prion-like QGSY domain is not found in Caz/dFUS, which may differentiate between toxicity caused by human and fly orthologs (Bogaert et al., 2018). The nuclear-import receptor Kap-β2 (Karyopherin-β2) inhibits phase-transition and fibrillization of FUS *in vitro*. Also, transgenic expression of Kap-β2 and could rescue the shortened lifespan of *Drosophila* expressing hFUS<sup>R521H</sup>, thereby mitigating hFUS induced motor-neuron toxicity *in vivo* (Guo et al., 2018).

For a visual summary of the advantages of using invertebrate models, see **Figure 4**.

## Mouse Models of ALS

Mouse models of ALS have been a key system by which our understanding of the underlying molecular pathology of ALS develops. In this section, we will describe the current mouse models used to examine both protein homeostasis and prion-like propagation in ALS.

## Superoxide Dismutase-1

Since the seminal discovery identifying the association of SOD1 mutations with fALS (Rosen et al., 1993), several SOD1 transgenic mouse models have been generated. Despite the discovery of several other genes and a relatively small percentage of patients exhibiting SOD1 mutations, SOD1 mouse models are still the most extensively used model to investigate the pathogenesis and treatment of ALS. The first transgenic SOD1 mouse model expressed hSOD1 with the G93A mutation (denoted SOD1-G93A; Gurney et al., 1994). Transgenic SOD1-G93A mice exhibit phenotypic symptoms at 3–4 months of age and subsequently develop ubiquitinated SOD1 deposits, similar to those found in fALS post-mortem tissue (Bruijn et al., 1997). Years after its initial generation, this model provided the first *in vivo* clues indicating that SOD1 acts in a prion-like fashion. Deng et al. (2006) observed that crossing human wild-type SOD1 mice with SOD1-G93A transgenic mice accelerated ALS-like phenotypes. Furthermore, soluble human wild-type SOD1 was converted to an aggregated and detergent-insoluble conformation. Also, spinal cord homogenates from SOD1-G93A mice were used to seed recombinant purified SOD1 to form amyloid fibrils (Chia et al., 2010).

The studies described above hinted at the ability of SOD1 to propagate in a prion-like manner but lacked animal-to-animal transmission. Utilizing experimental paradigms from the prion field, Ayers et al. (2014) were the first to provide *bona fide in vivo* evidence of SOD1 prion-like propagation. Heterozygous mice expressing SOD1-G85R mutant C-terminally tagged with a yellow fluorescent protein (SOD1-G85R:YFP) do not develop an ALS phenotype (Wang et al., 2009b). However, when spinal cord homogenates from paralyzed SOD1-G93A mice were injected into the spinal cord of SOD1-G85R:YFP mice at PN0 (postnatal day 0), some (~50%) of these mice subsequently developed ALS-like phenotypes, including paralysis as early as 6 months of age, as well as reduced life-span (Ayers et al., 2014). However, when spinal cord homogenates from SOD1-G93A inoculated SOD1-G85R:YFP mice were subsequently re-passaged into newborn SOD1-G85R:YFP mice, all of these mice developed ALS symptoms, and at an earlier time-point. Furthermore, SOD1-G93A recipient mice exhibited SOD1-G85R:YFP protein aggregates in the spinal cord as well as in the brain stem, suggesting prion-like spread distal to the site of injection. Also, second passage inoculation of naïve adult SOD1-G85R:YFP mice resulted in paralysis of the ipsilateral hind-limb of the injection site within 1 month, followed by contralateral leg paralysis after 2 months and reaching end-stage paralysis within 3 months post-injection. Furthermore, post-mortem analysis of mice at several time points post-injection showed inclusion pathology in both neurons and astrocytes in the dorsal root ganglion, cervical spinal cord, and brain stem (Ayers et al., 2016), suggesting focal spreading throughout the CNS, a classic hallmark of pathological prion propagation. It is important to note that YFP-tagged SOD1 is not required as a template for seeding, as other work has shown untagged mutant SOD1 expressed in mice can be efficiently seeded by injection of preformed SOD1 aggregates



(Bergh et al., 2015; Lang et al., 2015; Bidhendi et al., 2016; Bidhendi et al., 2018).

Whilst it is unclear how SOD1 spreads throughout the CNS, *in vitro* studies highlight the important role of exosomes in the extracellular transmission, yet *in vivo* experiments supporting such transmissibility have yet to be explored or reported. These studies may be of use to aid in the design of anti-propagation therapeutic avenues. In line with this, *in vitro* work has shown small molecules that interact with or near the Trp32 residue of SOD1 can reduce its aggregation propensity. Furthermore, Trp to Ser substitutions at the 32 position residue can suppress intra- and intercellular propagation (Grad et al., 2011, 2014), suggesting its involvement in SOD1 prion-like propagation. However, some research showed that recombinant SOD1 with a Trp32Ser (W32S) substitution produced a similar disease frequency and earlier disease onset compared to WT SOD1 when seeded in G85R-SOD1:YFP mice (Crown et al., 2020), suggesting that fibrillation through other amyloidogenic regions within SOD1 may also confer disease. The Trp32 residue is conserved to human SOD1 in beta-sheet 3 and not present within mice. To date, there are no reports of a transgenic SOD1 mouse model expressing modifications to the Trp32 residue, however, such a model may lead to further understanding its role in SOD1 aggregation and prion-like propagation.

Various prion isolates can develop a heterogeneous pathology giving rise to prion strains that are determined by variability within their tertiary conformation. Similarly, fALS SOD1 mutations display a heterogeneous disease pathology, which has been proposed in part due to their tertiary structure and propensity to aggregate (Prudencio et al., 2009). Using binary epitope mapping with several anti-peptide antibodies covering the entire SOD1 protein, Bergh et al. (2015) used insoluble material obtained from wild-type and mutant (G85R, D90A, and G93A) SOD1 mice to show evidence of two distinct strains (referred to as A and B by the authors). Strain A aggregates were observed in all SOD1 mutant mice investigated, while strain B was only observed in D90A mice. *In vitro*, biochemical/physical examination of strain A and B showed variation in their aggregation structure, kinetics, and fragility, with strain B showing increased aggregation kinetics and fragility (Lang et al., 2015). Furthermore, injection of strain A and B in the lumbar spinal cord of adult SOD1<sup>G85R</sup> mice showed both strains were capable of seeding and transmissibility, with the rostral spreading of aggregates, subsequently causing end-stage death ~100 days following injection (Bidhendi et al., 2016). Later, injection of spinal cord homogenate from a patient carrying the SOD1-G127X mutation into transgenic mice carrying the same mutation showed propagation of strain A into the mice, suggesting that this aggregate conformer may exist in humans (Bidhendi et al., 2018).

Whilst the evidence and modeling are still in the early days, these data collectively support the ability of SOD1 to undergo prion-like propagation. Future experiments using SOD1 mice with various amyloidogenic regions within SOD1 deleted and/or the use of synthetic peptide samples composed of a single amyloidogenic segment of SOD1 would be useful to reveal the mechanistic details of this pathology.

### Transactive Response DNA-Binding Protein 43

TDP-43 has been extensively implicated in ALS, in addition to FTLD, due to the high majority of both fALS and sALS patients exhibiting insoluble and ubiquitinated TDP-43 cytoplasmic inclusions within motor neurons and glia (Neumann et al., 2006). Several TDP-43 transgenic mouse models have been generated which show motor deficits, neuronal loss, and mislocalized TDP-43 inclusions (Lee et al., 2012). However, overexpression of WT TDP-43 can cause similar pathology, making it difficult to distinguish the pathological effects of various mutations without adequate control over transgene expression. To overcome these issues, several groups have produced sophisticated knock-in models to control for transgene overexpression. These models include introducing Hemi- and homologous TDP-43 transgene mutations at G298S, Q331, and M337V (Fratta et al., 2018; White et al., 2018; Ebstein et al., 2019; Gordon et al., 2019). Interestingly, these mice homologous for these transgenes show motor deficits and in some cases, motor neuron loss in the absence of mislocalized TDP-43, suggesting TDP-43 mislocalization may not be the cause of motor neuron toxicity in ALS (Fratta et al., 2018; White et al., 2018; Ebstein et al., 2019; Gordon et al., 2019).

Observations from ALS and FTLD post-mortem tissue suggests that TDP-43 also exhibits prion-like propagation and spreading throughout neuroanatomical tracts. Until recently there has been a lack of *in vivo* investigation into the prion-like propagation of TDP-43 using animal models. Employing a cell-based screening system, Porta et al. (2018) were able to apply sarkosyl-insoluble frontal cortex homogenates from FTLD-TDP subjects to identify those with seeding capacity. Subsequently, samples that showed *in vitro* seeding capacity were injected into the brains (neocortex, hippocampus, and thalamus) of doxycycline-regulatable transgenic mice expressing a human TDP-43<sup>ΔNLS</sup> in forebrain neurons (under a CAMKIIa promoter). Recipient mice displayed pTDP-43 inclusions within the ipsilateral injection site within 1-month post-injection, with relatively few inclusions observed on the contralateral side. Furthermore, spatiotemporal immunohistochemical analysis of mice injected with TDP-43 seeding material showed a transneuronal-like spreading pattern of TDP-43 pathology in the 1–9 months following injection. Unsurprisingly, a large majority of TDP-43 aggregates were observed within forebrain neuronal cells where the CAMKIIa promoter controls the expression of TDP-43<sup>ΔNLS</sup>. However, TDP-43 aggregates were also found within the subcortical regions including the nucleus accumbens and caudate-putamen, suggesting regional spreading.

Furthermore, similar to ALS/FTLD post-mortem samples, TDP-43 aggregates were also sparsely observed in oligodendrocytes and astrocytes, suggesting local cell-to-cell transmission that is not dependent on the subcellular mislocalization of TDP-43. Further supporting this, seeding was also observed in wild-type mice injected with FTLD-derived material, however, the capacity was less than NLS-mice. Furthermore, injection of FTLD material in mice overexpressing cytoplasmic TDP-43 C-terminal fragments did not show TDP-43 pathology, indicating a role for the N-terminal sequence in TDP-43 in prion-like seeding. While this study provides

initial *in vivo* evidence for the prion-like characteristics of TDP-43, further research is required to identify the specific regions of TDP-43 that are important for seeding. As mentioned previously, full-length TDP-43 and its CTFs have multiple amyloidogenic regions (Guenther et al., 2018a,b). Assessing the contribution of each of these segments would be essential to determine the sequence region by which TDP-43 aggregates propagate. This information would allow for the design of therapies that may block templating or cell-to-cell transmission of TDP-43 prion-like particles.

### Fused in Sarcoma

FUS knockout mouse models do not show evidence of motor impairments or ALS associated histopathology, suggesting that a FUS loss-of-function mechanism is not responsible for ALS pathology. However, several groups have developed human wild-type FUS (hFUS) overexpression mouse models under various promoters. Mitchell et al. (2013) were the first to produce a FUS overexpression model, whereby hFUS levels were ~1.9 times higher than wild-type mice. These mice develop paralysis at 10–12 weeks of age (Mitchell et al., 2013). Furthermore, overexpression of hFUS resulted in the cytoplasmic mislocalization of the ectopic protein in the brain and spinal cord. However, cell loss was only observed in the spinal cord, indicating that lower motor neurons may be prone to FUS overexpression.

FUS mutations are inherited in an autosomal dominant fashion, with FUS-R521C mutations commonly observed in FUS-associated fALS patients. Qiu et al. (2014) developed a transgenic FUS-R521C mouse model that exhibited aggressive motor function deficits and reduced life span. These transgenic mice showed alterations in the transcription and splicing of the growth factor BDNF, which is regulated by FUS. Spinal cord homogenates from FUS-R521C mice showed a high propensity to form complexes with FUS-WT, consistent with experiments in HEK293T cells co-expressing mutant- and FUS-WT. Together with the autosomal dominant inheritance of FUS mutations, these data support a gain of function property of mutant FUS, which may sequester FUS-WT from carrying out its physiological role.

Recently, Zhang et al. (2020) employed two-photon imaging in combination with a knock-in mouse model of FUS-R521C. They showed that mutant FUS was incorporated and potentiated stress granule formation following arsenite challenge. Expression of mutant FUS also caused the cytoplasmic mislocalization of mutant FUS and upregulation of ubiquitin, which appeared to be also co-localized. Also, neurons that showed an inability to clear or disassemble stress granules died within days following arsenite challenge, highlighting the role of stress granule formation in the pathogenesis of ALS.

To distinguish the pathological role of FUS aggregates without the confounding effects on RNA metabolism, Shelkovnikova et al., developed a transgenic mouse expressing a truncated human FUS protein (residues 1–359), which lacked the RNA recognition motif and NLS but still retained an N-terminal QGSY prion-like domain (Shelkovnikova et al., 2013). These mice developed paralysis, exhibited motor neuron loss, and

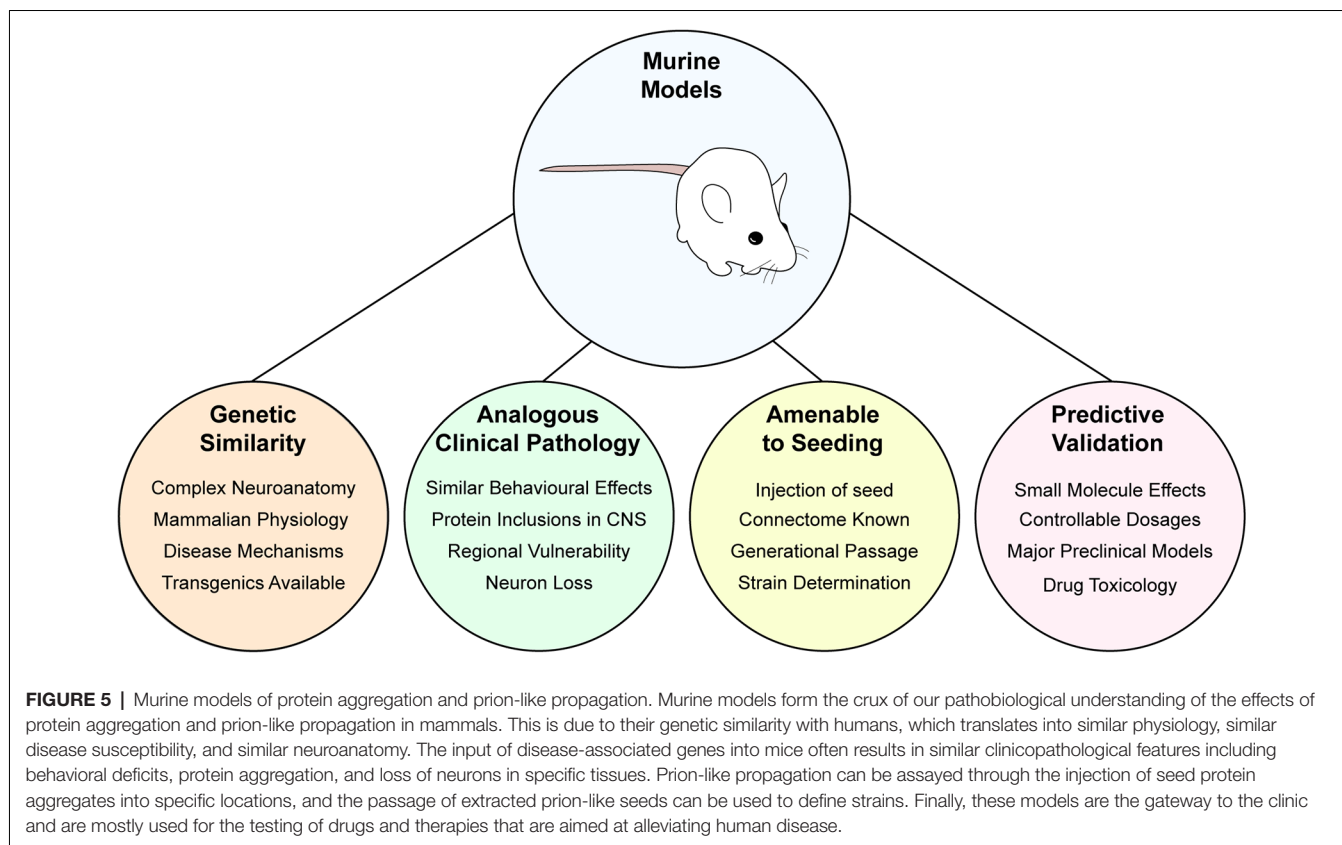
reduced life-span. Furthermore, the truncated FUS protein formed aggregates, and subsequent FUS inclusions containing endogenous murine FUS were observed in the motor cortex and lower motor neurons. Collectively this study suggests that mutant FUS may exhibit a seeding capacity, which is capable of causing motor neuron degeneration in the absence of its role in RNA processing. For a visual summary of the key features of murine models, see Figure 5.

## THERAPEUTIC STRATEGIES TO TARGET PROTEOSTATIC IMPAIRMENT AND PRION-LIKE PROPAGATION IN ALS

Currently, only two therapies exist for the treatment of ALS in humans. The first, riluzole, interrupts glutamergic signaling (Bryson et al., 1996) potentially through preventing glutamate release (Kretschmer et al., 1998). Riluzole treatment led to increased motor function and survival in an initial clinical trial (Bensimon et al., 1994; Lacomblez et al., 1996); however, improvements in survival were not observed in a follow-up clinical study. Also, riluzole only extends lifespan by 3–6 months, and only in patients with moderate impairment (Bensimon et al., 1994; Lacomblez et al., 1996). The second compound, edaravone, is a free-radical scavenger (Yoshino and Kimura, 2006), which is primarily sold to promote recovery from stroke in Japan due to its ability to prevent edema, neuronal damage, and neurological defects in rats (Edaravone Acute Infarction Study Group, 2003). Edaravone is offered as a therapeutic to treat ALS in Japan, South Korea, the US, and Canada, but is not being used elsewhere (Yoshino, 2019). Clinical trials using edaravone did not demonstrate a benefit for the majority of patients, with a follow-up clinical study suggesting that edaravone only benefits patients with mild impairment [Yoshino and Kimura, 2006; Abe et al., 2014; Writing Group; Edaravone (MCI-186) ALS 19 Study Group, 2017]. The above highlights how the only two drugs currently offered to treat ALS symptoms are limited in scope, and there is a dire need for new therapies that potentially target one or more ALS-associated aggregating proteins.

### *In silico* and *In vitro* High-Throughput Identification of Potential Therapeutics

With improved computing power and rapidly-evolving methods to better examine protein structure, computational modeling is being utilized as a means for drug discovery. Due to the lack of need for biological material, and bioinformatic nature, computational screening of drugs can be carried out rapidly and efficiently. Additionally, high-throughput screens using *in vitro* models that recapitulate some aspects of ALS pathology can be utilized to rapidly screen potential drugs to determine their biological activity and therapeutic potential. A recent review of high-throughput screens investigating drugs that alter ALS pathology was published by McGown and Stopford (2018). This review describes studies that investigated reducing SOD1 transcription, protein aggregation, and oxidative stress as a therapeutic strategy, as well as the potential of anti-glutamatergic and cytoprotective drugs for the treatment of ALS. Studies focusing solely on drug candidates that modify



protein aggregation that was described briefly in the review, and studies published since are discussed below.

### Superoxide Dismutase-1

A computational screen found 16 novel compounds that bound mutant SOD1-A4V and prevented its aggregation *in vitro* (Ray et al., 2005), however, these compounds did not exert this effect in the presence of blood plasma (Nowak et al., 2010). Subsequent computational work identified 6 different novel compounds that bound SOD1 in the presence of plasma (Nowak et al., 2010), but these are yet to be tested *in vitro* or *in vivo*. Using *in vitro* aggregation assays of SOD1-G37R protein, Anzai et al. (2016) tested 640 FDA-approved drugs and identified seven hit compounds, with six able to completely suppress SOD1 aggregation (measured by turbidity). Three of these were statins (simvastatin, lovastatin, and mevastatin), another three were vitamin D derivatives (alfacalcidol, calcidiol, and calcitriol), and the final drug was miltefosine, an antimicrobial. A computational screen of ~4,400 compounds revealed that quercitrin, quercetin-3- $\beta$ -D-glucoside, and epigallocatechin gallate (EGCG) bind directly to SOD1-A4V and inhibit ROS-induced SOD1 aggregation (Ip et al., 2017), but only the latter has been tested *in vitro* and *in vivo*. EGCG administered in SOD1-G93A mice improved disease compared to controls, delaying mean symptom onset (128 days vs. 115 days), locomotor deficits measured by rotarod test (140 days vs. 120 days), and mortality (mean survival

time 143 days vs. 123 days), however the mechanism was not described (Koh et al., 2006), and this drug has not progressed to clinical trials.

Using high-throughput *in vitro* screening using an inducible SOD1-G37R PC12 cell line, Broom et al. (2006) screened 116,680 compounds from various libraries and found 67 hit compounds. However, most compounds exhibited cytotoxicity or failed to have their effect replicated, and only 2 hits (no description available) are being investigated further. Rather than using SOD1 aggregation as a readout, Wright et al. (2012) instead investigated SOD1 transcription using PC12 cells transfected with SOD1-GFP to screen 30,000 compounds, with the most potent inhibitor of SOD1 transcription being *N*-[4-[4-(4-methyl benzoyl)-1-piperazinyl]phenyl]-2-thiophenecarboxamide. *In vivo* experiments using a SOD1-G93A mouse model showed that the drug was well tolerated, with toxicity estimated to be 75 mg/kg. These mice also showed a significant decrease in SOD1 protein levels, but aggregation was not described (Wright et al., 2012).

Finally, using iPSC-derived motor neurons from fALS patients, Imamura et al. (2017) screened 1,416 compounds and determined the Src/c-Abl tyrosine kinase inhibitor bosutinib improved iPSC-derived motor neuron survival, potentially through clearance of SOD1 aggregates by up-regulation of autophagy pathways. Intraperitoneal injection of bosutinib (5 mg/kg) into transgenic SOD1-G93A mice daily starting at

8 weeks of age for 5 weeks, reduced misfolded SOD1 and motor neuron degeneration in spinal cords, as well as delaying disease onset by 10.8 days and extending survival by 7.8 days (Imamura et al., 2017).

### Transactive Response DNA-Binding Protein 43

Boyd et al. (2014) generated a doxycycline-inducible TDP-43-GFP expressing PC-12 cell line to screen ~75,000 small molecules to identify those that reduce sodium arsenite-induced TDP-43 aggregates as measured by microscopy. One compound, termed LDN-0130436, reduced TDP-43 aggregation in a concentration-dependent manner and was confirmed by immunoblotting. LDN-0130436 restored behavioral defects and protected against TDP-43-induced cell loss in *C. elegans* expressing mutant TDP-43<sup>A315T</sup>, however, effects on protein aggregation in this model were not reported (Boyd et al., 2014).

Using a luciferase-based fluorescence assay to measure TDP-43 inclusions in the murine Neuro-2a neuroblastoma cell line, Oberstadt et al. (2018) screened the LOPAC1280 library (Sigma-Aldrich) and found 16 active compounds that reduced TDP-43 dimerization by 30–82%. In an initial screen, riluzole, one of the two therapies offered to ALS patients, reduced N-terminal TDP-43 interactions by 82%, whilst chelerythrine and auranofin reduced interactions by 64% and 63%, respectively. During validation, 25  $\mu$ M auranofin significantly reduced 98% of TDP-43 interactions, whilst 25  $\mu$ M chelerythrine reduced 90% of TDP-43 interactions but exhibited a toxic effect at higher concentrations (Oberstadt et al., 2018). Fang et al. (2019) screened 3,350 compounds in HEK293 cells transfected with TDP-43 and 5,910 compounds in neural progenitor cells to identify drugs that affect stress granule formation. In this study, Anisomycin, mitoxantrone, and GSK2656157 significantly reduced stress granule formation, and interestingly mitoxantrone inhibited TDP-43<sup>ΔNLS</sup> colocalization with stress granules (Fang et al., 2019).

Using patient-derived iPSCs differentiated into spinal motor neurons, Egawa et al. (2012) investigated the impact of four compounds on arsenite-induced TDP-43 inclusion formation and cell death. Only anacardic acid reduced TDP-43 mRNA expression, inclusion formation, and prevented cell death. Additionally, bosutinib (mentioned above for SOD1) demonstrated a similar reduction in TDP-43 inclusions in iPSC-derived motor neurons. Another study utilized a high-throughput image analysis method in iPSC-derived motor neurons from sALS patients to screen 1,757 bioactive compounds for the reduction of TDP-43 aggregation and expression levels (Burkhardt et al., 2013). This screen identified 38 hits that reduced TDP-43 aggregation, but not TDP-43 expression, and subsequent validation characterized four classes of compounds: cyclin-dependent, and c-Jun N-terminal kinase inhibitors, triptolide, and cardiac glycosides. Another study using iPSC-derived motor neurons from fALS patients expressing TDP-43 mutations screened 1,232 drugs using a high-throughput imaging system and identified the dopamine D2 receptor antagonist ropinirole as a potential therapeutic (Fujimori et al., 2018). Ropinirole prevented neurite regression,

stress granule formation, TDP-43 aggregation, and prevented cell death of fALS-derived iPSCs.

Patten et al. (2017) screened 3,850 compounds in a transgenic *C. elegans* model expressing mutant TDP-43<sup>A315T</sup> and identified several neuroleptics, which rescued paralysis. Further screening in a transgenic zebrafish model expressing mutant TDP-43<sup>G348C</sup>, led to the identification of pimozide as a potential therapeutic, which rescued paralysis, as well as motor neuron axon branching and neuro-muscular junction structures. The authors also describe that pimozide is already FDA-approved and the use of pimozide (1 mg daily) in a randomized double-blind clinical trial in Poland, led to a reduction in ALS severity (Szcudlik et al., 1998), but this is yet to be investigated elsewhere.

In a transgenic *Drosophila* model of ALS, in which motor neurons express mutant TDP-43<sup>D169G</sup>, TDP-43<sup>G298S</sup>, TDP-43<sup>A315T</sup>, or TDP-43<sup>N345K</sup>, resulting in complete mortality, Joardar et al. (2015) screened 1,200 FDA-approved drugs to determine if any improve survival. This screen identified several antidiabetic drugs such as thiazolidinediones, sulfonylureas, and biguanides, with the most potent effect exhibited by the thiazolidinedione pioglitazone. Pioglitazone prevented locomotor deficits and glial death, but could not prevent the death of motor neurons, and was ineffective in preventing disease pathology in a transgenic SOD1-G85R model. This finding contradicts two studies which have shown the benefit of pioglitazone to rescue locomotor deficits, prevent motor neuron death, and improve survival in transgenic SOD1-G93A mice, however, there was no reduction in SOD1 expression, and SOD1 aggregation was not characterized (Kiaei et al., 2005; Schütz et al., 2005).

Using *C. elegans* expressing mutant TDP-43-A315T, Bose et al. (2019) screened a library containing 3,765 novel molecules and identified 27 hit compounds. Validation in a toxic gain-of-function TDP-43-G348C zebrafish model identified four of these hits which improved locomotion. One compound, TRVA242 was identified as the most effective compound and rescued locomotion, improved motor neuron survival, and rescued neuromuscular junction deficits in the aforementioned *C. elegans* and zebrafish model, as well as in SOD1 and C9ORF72 zebrafish models of ALS, and in SOD1-G37R mice.

### Fused in Sarcoma

High-throughput screening to investigate therapies targeting FUS in ALS using iPSC-derived motor neurons have been performed. A GFP-tagged FUS<sup>P525L</sup> expressing iPSC cell line treated with sodium arsenite was used to screen ~1,000 small molecules to investigate stress granule formation *via* imaging (Marrone et al., 2018). This screen identified 69 compounds, and further assessment validated 13 molecules targeting the PI3K/AKT/mTOR pathway, with the mTOR inhibitor rapamycin reducing stress granule formation and promoting survival of motor neurons generated from the iPSCs. Whilst the effect of rapamycin on protein aggregation was not characterized, rapamycin did upregulate autophagy, a mechanism important in the clearance of protein aggregates (Ramesh and Pandey, 2017). As mentioned above, the dopamine receptor antagonist ropinirole prevented ALS pathology in



iPSC-derived motor neurons from fALS patients with TDP-43 (M337V or Q343R) mutations, and similarly, ropinirole prevented neurite regression, stress granule formation, FUS aggregation, and prevented cell death in iPSC-derived motor neurons from fALS patients with FUS (H517D) mutations (Fujimori et al., 2018).

Currently, there are no high-throughput studies *in vivo* focusing solely on FUS. However, as mentioned above the *C. elegans*, *D. melanogaster* and zebrafish models of FUS represent ideal models to investigate potential drugs, with Vaccaro et al. (2012a) demonstrating methylene blue prevented oxidative stress and improved locomotor defects in both a *C. elegans* and zebrafish model. Additionally, Joardar et al. (2015) did demonstrate that pioglitazone rescues locomotor function in transgenic FUS<sup>P525L</sup> *Drosophila*, but does not impact survival.

## Diacetylbis(N(4)-Methylthiosemicarbazonato)-Cull (CuATSM)

### Superoxide Dismutase-1

Numerous *in vitro* models exist to explore the role of SOD1 aggregation in ALS pathogenesis. In NSC-34 cells, CuATSM decreased aggregation and increased survival in cells transiently transfected with GFP-tagged mutant A4V, C6G, E100G, G37R, G93A, and V148G SOD1, but not those transfected with H46R, G85R, or G127X (Farrawell et al., 2019). Importantly, the mutants that were not responsive to CuATSM treatment reduce or ablate the ability of SOD1 to bind copper (Carrì et al., 1994). This data suggests that the activity of CuATSM in this model is to facilitate the delivery of copper to SOD1 and thereby limit the pool of immature copper-free SOD1 that is more prone to aggregate (Tokuda et al., 2018).

Dermal application of CuATSM improved survival in a SOD1-G93A mouse model, with CuATSM-treated mice living a median 155 days, compared with vehicle-treated controls with a median lifespan of 133 days (Williams et al., 2016). Oral administration of CuATSM delayed neurological damage and improved latency to fall using a rotarod test, with CuATSM mice displaying these symptoms at 113 days compared with 100 days in vehicle-treated mice. CuATSM treated mice also demonstrated an improved mean survival time of 143 days compared with 129 days in vehicle-treated mice (Hilton et al., 2017). Conversely, CuATSM-treated mice demonstrated significantly increased relative abundance of mutant SOD1-G93A, SOD1 activity, and copper in spinal cords compared with vehicle-treated mice. This increase can be attributed to CuATSM facilitating increased copper delivery to SOD1 *via* CCS, which can improve copper-dependent SOD1 activity, an effect that protects motor neurons and improves disease outcomes (Soon et al., 2011; Roberts et al., 2014; Hilton et al., 2017). This is supported by evidence that ligand-free ATSM does not exert protective effects compared to CuATSM (Vieira et al., 2017).

Similar to the SOD1-G93A mouse model, CuATSM also improves locomotor function (rotarod test) and median survival time (212–248 days depending on dose) in SOD1-G37R mice compared to vehicle control (median survival time 196 days).

Administration of riluzole in conjunction with CuATSM did not exhibit any additive effect (McAllum et al., 2013). In a more severe SOD1-G93A mouse model, where mice co-express human CCS, SOD1-G93A<sup>hCCS</sup> mice exhibit a mean survival time of 36 days, compared to 226 days in SOD1-G93A mice (Son et al., 2007). Administration of CuATSM in SOD1-G93A<sup>hCCS</sup> mice daily from day 5 increased lifespan to >1 year, whereas suspending treatment after 21 days of age until disease onset (80 days) generally led to reduced symptom severity, although some mice developed symptoms and survived for 302–377 days of age (Williams et al., 2016). Complete withdrawal of treatment (after 21 days of age) had a smaller effect on lifespan, with these mice surviving for 122–132 days of age (Williams et al., 2016).

### Transactive Response DNA-Binding Protein 43

Similar to SOD1, CuATSM has also been explored as a therapy for preventing TDP-43-associated toxicity. In SH-SY5Y neuroblastoma cells, CuATSM protected against paraquat-induced cell death, inhibited aggregation of TDP-43 CTFs, and prevented stress granule formation (Parker et al., 2012). Oral administration of CuATSM also reduced both cytoplasmic accumulation of full-length and C-terminal fragments of TDP-43 in SOD1-G93A mice (Soon et al., 2011) suggesting this therapy may be effective in sporadic ALS patients demonstrating SOD1 and/or TDP-43 pathology.

### Clinical Trials

CuATSM is currently under investigation in clinical trials, with one phase I study complete (NCT02870634), a continuation of the study ongoing (NCT04313166), and a placebo-controlled study currently recruiting (NCT04082832) at the time of publication.

### Anti-sense Oligonucleotides

Anti-sense oligonucleotides (ASO) can bind to mRNA and reduce protein expression, representing a potential therapeutic strategy (Bennett and Swayze, 2010), and using ASOs targeted at proteins such as SOD1 and TDP-43 can prevent protein aggregation and ALS.

### Superoxide Dismutase-1

Smith (2006) designed ISIS 333611, an ASO which exhibits a high binding affinity for SOD1. Intraperitoneal injection of ISIS 333611 reduced both mRNA and protein levels of SOD1 in transgenic rats expressing human SOD1-G93A. Also, injection of ISIS 333611 extended the survival of transgenic SOD1-G93A rats to 132 days, compared with rats injected with sham controls, with a mean survival of 122 days. Finally, the transfection of fibroblasts sourced from a human SOD1-A4V ALS patient with ISIS 333611 demonstrated degradation of SOD1 protein (Smith, 2006).

The ASO Tofersen, which targets and degrades SOD1 mRNA, prevented neuron loss in SOD1-G93A mice extending survival by 40 days, and more than 50 days in SOD1-G93A rats (McCampbell et al., 2018). Tofersen was recently used in a Phase-I/II clinical trial, which reduced SOD1 mRNA concentrations at the highest Tofersen concentration administered (100 mg), but

was not sufficiently powered to report on motor improvements or survival (Miller et al., 2020), and requires further investigation.

### Transactive Response DNA-Binding Protein 43

TDP-43 is an essential protein for cellular function, therefore, TDP-43 expression cannot be completely prevented or cell death will occur (Sephton et al., 2012). However, some therapeutic strategies have overcome this by targeting important interactors of TDP-43, such as ataxin-2. Crossing an ataxin-2 deficient mouse strain with a TDP-43 transgenic line led to reduced TDP-43 inclusion formation, reduced stress granule formation, and prolonged survival (Becker et al., 2017). Furthermore, a single intracerebroventricular injection of an ASO that targets ataxin-2 (3  $\mu$ l of a 15  $\mu$ g/ $\mu$ l) resulted in reduced ataxin-2 mRNA levels with no effect on TDP-43 mRNA expression. However, there were reduced numbers of TDP-43 inclusions in spinal cords, as well as improved locomotor function and significantly increased mean survival time (>300 days) compared with vehicle-treated mice (29 days).

### Clinical Trials

Intrathecal injection of ISIS 333611 (doses of 0.15, 0.5, 1.5, and 3 mg) over 11.5 h in phase I, randomized trial of SOD1 FALS was demonstrated to be well tolerated, however, the therapeutic benefits were not measured (Miller et al., 2013). With regards to ASO targeting ataxin-2, due to the injection route chosen and the timing of injection in mice (neonatal), the feasibility of this ASO needs to be carefully considered.

### CLR01

Protein folding in cells is dysregulated in ALS, resulting in protein aggregation. The design of “molecular tweezers” that interact with assembling proteins and acts as a pharmacological chaperone represents a strategy to stabilize this process and prevent aggregation (Klärner and Kahlert, 2003). The molecular tweezer CLR01 inhibits amyloid-protein self-assembly (Fokkens et al., 2005), is well-tolerated in mice (Attar et al., 2014), and represents a potential therapy for ALS.

### Superoxide Dismutase-1

Malik et al. (2019) demonstrated that CLR01 binds to SOD1 and, using *in vitro* fibrillation assays, showed that CLR01 treatment reduced aggregation of wild-type SOD1 as well as mutant SOD1-E21K, SOD1-H46R, SOD1-D76Y, and SOD1-G93A. Immunohistochemical analysis demonstrated that CLR01 reduced SOD1 aggregates in microglia, but failed to improve locomotor function or survival in SOD1-G93A mice irrespective of the dose used (0.5 or 5 mg/kg—daily subcutaneous injection; Malik et al., 2019).

### Transactive Response DNA-Binding Protein 43

In a recent study, Monaco et al. (2020) demonstrated that TDP-43 is present in amyloid aggregates in a murine model of the lysosomal storage disease mucopolysaccharidosis (MPS) type IIIA, and aggregates could be reduced by CLR01; however, there was no evidence of CLR01 directly interacting with TDP-43.

## 4,5-BIS[(N-CARBOXY METHYL IMIDAZOLIUM)METHYLACRIDINE] DIBROMIDE (AIM4)

### Transactive Response DNA-Binding Protein 43

Prasad et al. (2016) screened a range of acridine derivatives to determine the effect on TDP-43 aggregation. They found that the acridine derivative, [4,5-bis[(N-carboxy methyl imidazolium)methylacridine] dibromide (AIM4) reduced aggregation of C-terminal TDP-43 in yeast. A recent follow-up study found that AIM4 could prevent the fibrillation of purified TDP-43<sup>(194–414)</sup> (Girdhar et al., 2020). However, further validation of the therapeutic potential and assessment of the toxicity of this drug *in vivo* has not been conducted at this time.

## THERAPIES TARGETING PROTEOSTATIC IMPAIRMENT

### Arimoclolmol

#### Superoxide Dismutase-1

Hydroxylamine derivatives are a family of compounds that up-regulate the expression of heat shock proteins (HSPs; Vigh et al., 1997). The hydroxylamine derivative arimoclolmol differs from other derivatives in that it up-regulates HSP expression only in cells under stress (Hargitai et al., 2003), and works by activating heat shock factor 1 and promoting up-regulation of HSP70 and HSP90 (Kalmar et al., 2014). The up-regulation of these HSPs by arimoclolmol reduces SOD1 aggregation. Intraperitoneal injection of arimoclolmol into SOD1-G93A mice improved motor neuron survival and increased mean survival to 153 days, compared with untreated SOD1-G93A mice (with a mean survival of 125 days; Kieran et al., 2004; Kalmar et al., 2008). Importantly, this effect was observed if treatment was administered early or at disease onset, and the effect was observed regardless of sex (Kieran et al., 2004; Kalmar et al., 2008).

### Transactive Response DNA-Binding Protein 43

Activation of HIF-1 is important in maintaining the solubility of TDP-43 and preventing aggregation, as well as maintaining cell survival in SH-SY5Y neuroblastoma cells (Chen et al., 2016; Lin et al., 2016). In a murine model of TDP-43-acetylation, which causes TDP-43 to localize in the cytosol and form inclusions, activation of HIF-1 reduced TDP-43 inclusion formation. The authors suggest this could be achieved with the use of arimoclolmol, however, these experiments are yet to be performed (Wang et al., 2017).

### Fused in Sarcoma

In iPSC-derived spinal motor neurons, arimoclolmol was able to retain FUS in the nucleus, and restore the DNA-damage response in cells expressing ALS-mutant FUS<sup>R521H</sup> (Kuta et al., 2020).

## Clinical Trials

Arimoclomol has been used in clinical trials for ALS and is well-tolerated in doses up to 200 mg thrice daily, however, the evidence of a benefit in patients remains inconclusive (Benatar et al., 2018). For a recent review of proteostasis-targeting therapies in clinical trials see McAlary et al. (2019a).

## Pridopidine

The Sigma-1 receptor (S1R) is an important chaperone that prevents protein aggregation (Hong et al., 2017), suppresses ROS production (Meunier and Hayashi, 2010), and maintains cell survival (Hayashi and Su, 2007). S1Rs are reduced in spinal cords of ALS patients (Prause et al., 2013), and S1R KO mice exhibit locomotor deficiencies and MN loss (Bernard-Marissal et al., 2015). Pridopidine is a Sigma-1 receptor agonist (Sahlholm et al., 2015), and represents a potential therapeutic for ALS.

## Superoxide Dismutase-1

Ionescu et al. (2019) demonstrated that incubation of primary SOD1-G93A motor neuron cultures with pridopidine reduces MN death and restores axonal transport and MN junction activity. Furthermore, subcutaneous administration of pridopidine (daily, 3 or 30 mg/kg) in SOD1-G93A mice reduced SOD1 aggregation in spinal cords, prevented muscle fiber atrophy, and preserved NM junctions, but pridopidine treatment alone did not lead to improved survival of SOD1-G93A mice (Ionescu et al., 2019).

## Clinical Trials

Pridopidine is well tolerated (45, 67.5, 90, and 112.5 mg taken orally twice daily) in Huntington's disease patients (Reilmann et al., 2019). A clinical trial of pridopidine in ALS is set to commence at Massachusetts General Hospital.

## CONCLUSION

Years of intensive study into the disease mechanisms of ALS strongly implicates a pathological role for both declining proteostasis and prion-like propagation. There are intimate

links between both of these concepts that explain much of the pathological manifestation of ALS. For example, the observation of proteinaceous inclusions in affected tissues, the apparent spread of pathology through neuroanatomically connected tracts, and the preferential degeneration of motor neurons over other cell types. SOD1 and TDP-43 can misfold and aggregate into conformations that can propagate within and between cells in a prion-like manner, as evidenced by the studies mentioned above ranging from biophysical assays of protein stability to whole-organism studies of aggregate seeding. Indeed, this knowledge of proteostasis dysfunction and protein aggregation has led to the development and examination of multiple therapeutics for ALS. The questions that remain, however, are what the conformation of these spreading strains are, the precise mechanism by which they contribute to pathology, and if targeted therapies can be designed to prevent their formation or spread. Also, a question of significant importance to the field is whether FUS can spread pathology from cell-to-cell in a pathological prion-like manner. This knowledge would unify our understanding of the underlying pathomechanisms in ALS.

## AUTHOR CONTRIBUTIONS

LM directed the manuscript. All authors wrote and edited the manuscript. NRC and JYJ conceptualized the manuscript. All authors contributed to the article and approved the submitted version.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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