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Role of transcription factors and chromatin modifiers in driving lineage reprogramming in treatment-induced neuroendocrine prostate cancer

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Therapy-induced neuroendocrine prostate cancer (NEPC) is a highly lethal variant of prostate cancer that is increasing in incidence with the increased use of next-generation of androgen receptor (AR) pathway inhibitors. It arises *via* a reversible trans-differentiation process, referred to as neuroendocrine differentiation (NED), wherein prostate cancer cells show decreased expression of AR and increased expression of neuroendocrine (NE) lineage markers including enolase 2 (ENO2), chromogranin A (CHGA) and synaptophysin (SYP). NEPC is associated with poor survival rates as these tumors are aggressive and often metastasize to soft tissues such as liver, lung and central nervous system despite low serum PSA levels relative to disease burden. It has been recognized that therapy-induced NED involves a series of genetic and epigenetic alterations that act in a highly concerted manner in orchestrating lineage switching. In the recent years, we have seen a spurt in research in this area that has implicated a host of transcription factors and epigenetic modifiers that play a role in driving this lineage switching. In this article, we review the role of important transcription factors and chromatin modifiers that are instrumental in lineage reprogramming of prostate adenocarcinomas to NEPC under the selective pressure of various AR-targeted therapies. With an increased understanding of the temporal and spatial interplay of transcription factors and chromatin modifiers and their associated gene expression programs in NEPC, better therapeutic strategies are being tested for targeting NEPC effectively.

KEYWORDS

transcription factors, epigenetics, neuroendocrine prostate cancer, chromatin, lineage plasticity

1 Introduction

Prostate cancer (PCa) is a leading cause of cancer incidence amongst men in US, with an estimated 268,490 new cases in 2022. It is one of the leading causes of cancer-related deaths among males, with an estimated 34,500 deaths in 2022 (Siegel et al., 2022). Prostate cancer is a hormone-dependent cancer that relies on androgens acting *via* binding to its receptor, androgen receptor (AR) (Knudsen and Scher, 2009). Considering the crucial role of AR signaling, inhibition of AR signaling *via* ablating androgens is the goal of first line of PCa treatment, referred to as androgen deprivation therapy (ADT). ADT results in cancer regression initially. However, in a significant fraction of patients, 2–3 years after ADT, the disease progresses to castration-resistant prostate cancer (CRPC) (Shen and Abate-Shen, 2010).

The treatment options for CRPC are limited though over last several years, several new agents have been introduced in the clinics for treating non-metastatic and metastatic CRPC such as second generation of AR pathway inhibitors (API) enzalutamide (MDV3100/ENZ) and abiraterone (ABI) (Knudsen and Scher, 2009; Scher et al., 2012). Though these agents have contributed to better survival rates, drug resistance is a major clinical challenge. Drug resistance results from heterogeneous molecular mechanisms such as AR bypass signaling or complete AR independence (Watson et al., 2015; Culig, 2017). A subset of API-resistant tumors undergo a reversible lineage trans-differentiation known as neuroendocrine differentiation (NED), that results in altered expression of lineage markers. As a result of NED, PCa cells show decreased expression of AR and increased expression of neuroendocrine (NE) lineage markers including enolase 2 (ENO2), chromogranin A (CHGA) and synaptophysin (SYP) (Aggarwal et al., 2014; Aggarwal and Small, 2014). These PCa variants, referred to as therapy-induced neuroendocrine prostate cancer (NEPC), are highly aggressive, often metastasizing to soft tissues such as liver, lung and central nervous system despite low serum PSA levels relative to disease burden (Aggarwal et al., 2014). Therefore, NEPC is associated with poor survival rates (Aggarwal et al., 2014). Therapy-induced NEPC may encompass a spectrum of histological states ranging from adenocarcinomas with mixed NE histology to pure small cell neuroendocrine carcinoma (SCNC), which is similar to small cell cancers of other organs such as small cell lung cancer (SCLC) (Epstein et al., 2014). Normal human prostate can be reprogrammed to SCNC using a common set of defined oncogenic drivers, namely dominant negative p53 (TP53DN), myrAkt1, RB1-shRNA, c-Myc and Bcl-2 (Park et al., 2018). NEPC, like SCLC and other small cell neuroendocrine cancers (SCNC) exhibit common morphological and histological features such as high nuclear to cytoplasm ratios, granular chromatin and frequent mitotic figures (Klimstra et al., 2015). NEPC along with other SCNC tumors share genome-wide expression, methylation and copy number expression patterns and have common vulnerabilities that were reported to be similar to that of hematological malignancies (Balanis et al., 2019).

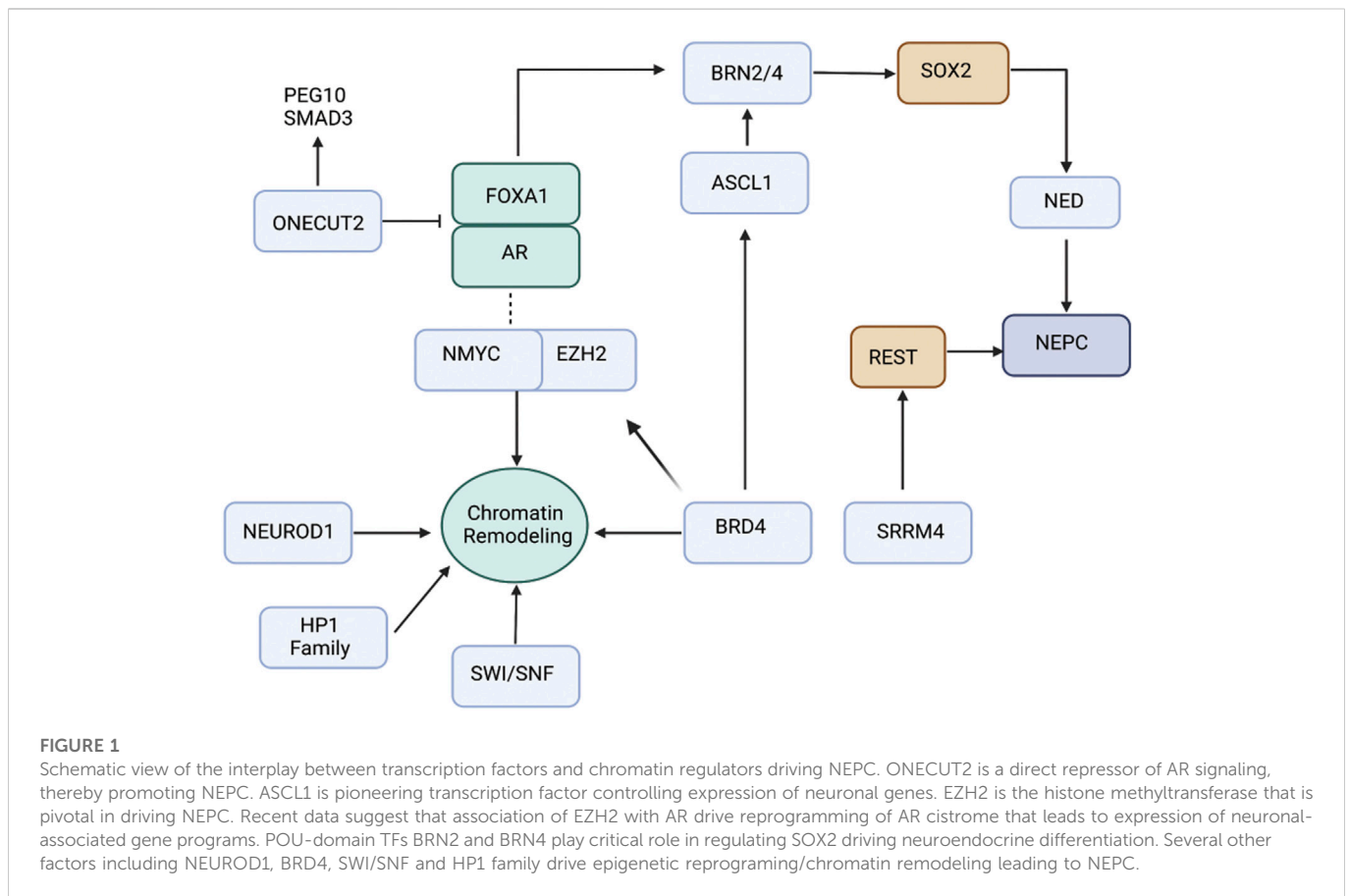
2 Clonal evolution of neuroendocrine prostate cancer: Genetics and epigenetics

It has been realized that therapy-induced NEPC represents a continuum of treatment-induced changes at molecular level resulting from a series of genetic and epigenetic alterations (Beltran et al., 2011; Lotan et al., 2011; Beltran et al., 2016; Dardenne et al., 2016; Maina et al., 2016). Analyses show that PCa NE states are derived *via* clonal evolution from CRPC-adenocarcinomas (Beltran et al., 2016). The key genetic events driving this transition include loss of the tumor suppressors retinoblastoma (*RB1*) and mutation or loss of tumor protein 53 (*TP53*) (Tan et al., 2014; Beltran et al., 2016). Mouse models support that dual loss of *TP53* and *RB1* are important steps in the development of poorly differentiated NE tumors of the prostate (Zhou et al., 2006). Normal human prostate epithelial cells could be transformed into SCNC *via* expression of *RB1* shRNA and dominant negative p53 (Park et al., 2018). In addition, phosphatase and tensin homolog (*PTEN*) loss (Beltran et al., 2011; Lotan et al., 2011; Beltran et al., 2016; Dardenne et al., 2016; Maina et al., 2016) and frequent

TMPRSS2-ERG gene rearrangements (Lotan et al., 2011) have been reported in NEPC. Furthermore, EZH2 overexpression and amplifications of *NMYC* and Aurora Kinase A (*AURKA*) (Beltran et al., 2011; Beltran et al., 2016; Dardenne et al., 2016; Maina et al., 2016) are cardinal alterations that have been associated with NEPC. *AURKA* is a cell cycle kinase that stabilizes N-Myc oncoprotein and prevents N-Myc degradation (Beltran et al., 2011; Dardenne et al., 2016; Lee et al., 2016). The overall mutational spectrum of NEPC has been reported to be similar to that of adenocarcinomas (Beltran et al., 2016; Davies et al., 2020). The lack of unique genomic alterations in NEPC as compared to adenocarcinomas imply an important role of epigenetic mechanisms (Davies et al., 2020). Studies suggest that epigenetic mechanisms, including DNA methylation and histone methylation along with transcriptional regulation play a huge role in the evolution of NEPC states. It is recognized that as tumors progress towards NEPC states, there is an increase in activity of stem-cell associated transcriptional programs (Smith et al., 2015; Lee et al., 2018; Smith et al., 2018). Recent years have seen a spurt in research delineating the epigenetic and transcriptional mechanisms underlying emergence of PCa NED states *via* stem-like states. In this article, we review the role of various transcription factors (TF) and chromatin modifiers that play a role in lineage reprogramming of prostate adenocarcinomas to NEPC under the selective pressure of various AR-targeted therapies (Figure 1). As studies shed light on the mechanistic basis of neuroendocrine differentiation in PCa, it is being recognized that these states are complex and heterogeneous. Based on the expression of TFs, the heterogeneity underlying these states is being dissected and has led to stratification of NEPC tumors. We highlight this stratification in this article. With the increased understanding, novel drugs/therapies are being tested in NEPC models. Here we review the current state of these therapies.

3 Androgen receptor: Still active in neuroendocrine prostate cancer?

It has been recognized that AR activity is varied in metastatic CRPC and can stratify distinct phenotypes (Labrecque et al., 2019). Five different molecular phenotypes have been reported: 1) AR high CRPC (ARPC); 2) AR low CRPC (ARLPC); 3) ampicrine PC (AMPC) that comprises of tumor cells co-expressing AR and NE gene programs; 4) SCNC that comprises of tumor cells expressing NE genes and negative for AR expression; 5) double negative PCa (DNPC) that is AR and NE double null (Labrecque et al., 2019). In SCNC, canonical AR signaling is typically lost/decreased (Beltran et al., 2016) though clinical studies have described SCNC subsets with retained AR expression and activity (Aggarwal et al., 2018). Though end state SCNC lack AR, more than 50% of treatment resistant PCa with NE features retain nuclear AR without activation of canonical AR signaling (Aggarwal et al., 2018; Labrecque et al., 2019; Alumkal et al., 2020). A recent study showed elegantly that AR cistrome undergoes alteration upon lineage reprogramming as a result of androgen deprivation (Davies et al., 2021). They reported that AR activity was maintained as prostate tumors adopt alternative NE lineage, with changes in chromatin architecture guiding AR transcriptional rerouting. AR cooperates with EZH2 to regulate lineage plasticity, with AR and EZH2 co-occupying the reprogrammed AR cistrome to transcriptionally modulate stem cell and neuronal gene networks (Davies et al., 2021) (Figure 1). In another



study, AR has been shown to physically interact with Kruppel-like factor (KLF5) on chromatin sites upon endocrine therapy for CRPC. KLF5 is induced upon therapy in CRPC patients, binding to chromatin sites with AR co-occupying chromatin sites that drive opposing transcriptional programs. KLF5 is a stem cell transcription factor that promotes basal epithelial cell phenotypes concomitant with anchorage-independent growth and increased cell migration (Che et al., 2021). Furthermore, AR activity can be directly regulated by epigenetic modifiers such as histone deacetylase SIRT1 (Davies et al., 2020) that deacetylates AR and thereby prevents association with p300. SIRT1 is upregulated in NEPC and increased SIRT1 expression induces PCa NED by activating the Akt pathway (Ruan et al., 2018). In addition, the interaction between Akt and SIRT1 is independent of N-Myc and can drive NEPC development even when N-Myc is blocked (Ruan et al., 2018). Also, AR can directly recruit histone modifiers that influence chromatin states and gene expression (Davies et al., 2020). LSD1, H3-K4 demethylase is an important AR regulator that causes suppression of canonical AR transcriptional activity (Davies et al., 2020). LSD1 undergoes epigenetic reprogramming in CRPC that activates a subset of cell cycle genes, including CENPE, a centromere binding protein and mitotic kinesin. LSD1/AR binding and transactivation get reprogrammed by RB1 loss (Liang et al., 2017; Davies et al., 2020). These studies point to the crucial role of AR in promoting lineage reprogramming associated with PCa NE states.

4 EZH2: A master regulator of neuroendocrine prostate cancer reprogramming

Enhancer of zeste homolog 2 (EZH2), the catalytic subunit of Polycomb repressive complex 2 (PRC2), has been reported to be overexpressed in NEPC (11–13). EZH2 mediates the deposition of repressive histone modification, trimethylation of histone H3 at lysine 27 (H3K27m3) that represses lineage-specifying factors, thereby maintaining pluripotency (Lee et al., 2006) (Figure 1). It has been shown that EZH2 cooperates with oncogenic N-Myc to drive transcriptional programs leading to the evolution of PCa NE states (Dardenne et al., 2016). EZH2 is required for maintenance of bivalent chromatin states (with repressive as well as activating histone modifications) at N-Myc bound neuronal lineage-associated gene promoters. EZH2 knockdown leads to de-enrichment of neuronal-associated pathways in NEPC organoids (Berger et al., 2019). EZH2 depresses the TF SOX2 as a consequence of the functional loss of *RB1* (Kareta et al., 2015; Ku et al., 2017). An interesting study shows the role of EZH2 in repressing microRNA, miR-708 *via* binding to its promoter region (Shan et al., 2019). EZH2 is activated by transcription factor 4 (TCF4), a key component of Wnt/ β -catenin signaling. EZH2-mediated repression of miR-708 leads to de-repression of neuronatin (Ryu et al., 2013) and stem cell factor CD44 (Saini et al., 2012). Furthermore, EZH2 activity is coupled to cAMP-response element binding protein (CREB) activation in

prostate cancer (Zhang et al., 2018). Activation of CREB/EZH2 axis facilitates epigenetic repression of anti-angiogenic Thrombospondin 1 (TSP1), leading to angiogenesis and NE induction in PCa xenografts (Zhang et al., 2018). In agreement with a crucial role of EZH2 in PCa NED, EZH2 inhibitors are potential agents for NEPC treatment and have been shown to re-sensitize tumors to AR-signaling inhibitors in CRPC (Clermont et al., 2015; Beltran et al., 2016).

5 Other transcription factors

5.1 N-myc overexpression

MYCN, encoding the transcription factor, N-Myc is frequently amplified in neuroblastomas (Brodeur et al., 1984; Kohl et al., 1984), another type of NE tumor. *MYCN* is often overexpressed/amplified in NEPC and drives NE lineage reprogramming in conjunction with loss-of-function of *RB1* and *TP53* (Beltran et al., 2011). Forced expression of N-Myc in human prostate epithelial cells, along with Akt activation, led to aggressive tumors that histologically resemble NEPC (Dardenne et al., 2016). N-Myc cooperates with EZH2 to influence chromatin architecture and gene expression in NEPC. EZH2 inhibition could reverse the N-Myc-induced suppression of epithelial lineage genes in NEPC (Berger et al., 2019). AURKA stabilizes N-Myc (Beltran et al., 2011; Dardenne et al., 2016; Lee et al., 2016) and N-Myc co-binds with E2F1 to drive transcription of neuronal genes *CHGA*, *SYP* and *ENO2* (Liu et al., 2019). Furthermore, N-Myc is dependent on the BET family, in particular BRD4, to drive target gene expression (Henssen et al., 2016; Davies et al., 2020). BRD4 is responsible for maintenance of core stem cell genes such as *NANOG* and *Oct4*, in part, *via* its interaction with N-Myc. BRD4 binds to acetylated lysine residues in histone tails that leads to recruitment of positive transcription elongation factor b (p-TEFb). This recruitment of p-TEFb phosphorylates RNA polymerase II to activate gene expression (Davies et al., 2020). This interplay of TFs highlight that NEPC is driven by concerted action of an array of TFs that act in conjunction with chromatin modifiers that promote gene expression programs driving NED.

5.2 Role of POU family of TFs in neuroendocrine prostate cancer

Prominent players in NEPC are the lineage determinant transcription factors that drive neuroendocrine pathways (Andersen and Rosenfeld, 2001), amongst them are the neural POU domain transcription factors. POU (Pit-Oct-Unc) -domain/Oct proteins are a set of reprogramming TFs with important roles in neurogenesis. These TFs are critical regulators of gene expression programs determining cellular identities (Ishii et al., 2014; Jerabek et al., 2014; Chang et al., 2017). There are six classes of these TFs, with Class III POU genes (*POU3F1/OCT6*, *POU3F2/BRN2*, *POU3F3/BRN1*, *POU3F4/BRN4*) considered to be crucial for neurogenesis (Andersen and Rosenfeld, 2001). Out of these, following POU domain proteins have been implicated in NEPC.

5.2.1 BRN2

BRN2 is an important driver of PCa NE states that is AR repressed and drives SOX2 expression (Figure 1) (Bishop et al., 2017). BRN2 and

SOX2 act coordinately on chromatin to activate the expression of neuronal genes (Bishop et al., 2017). Clinically BRN2 expression has been observed to be elevated in NEPC (Bishop et al., 2017) and enzalutamide-resistant tumors (Fenner, 2017). Furthermore, BRN2 is known to synergistically upregulate several other transcription factors including SOX2, ASCL1 and PEG10 resulting in epigenetic modifications leading to NEPC. For this, BRN2 binds to the promoter region of SOX2 thereby promoting the expression of epigenetic modulators such as LSD1 and EZH2 (Kaarijarvi et al., 2021). A recent study identified MUC1-C protein that induces BRN2 expression *via* MYC activation (Yasumizu et al., 2020), further implicating it to another pathway for NEPC development.

5.2.2 BRN4

Our lab demonstrated that BRN4 is over expressed in NEPC clinical samples and PDX models. We found that BRN4 interplays with BRN2 and regulates SOX2 expression (Figure 1) (Bhagirath et al., 2019). We further delineated a hitherto unknown mechanism by which during neuroendocrine differentiation, *BRN4* mRNA and protein is actively released in PCa cell exosomes/extracellular vesicles (EVs) upon NED alongwith BRN2. We showed that EV-mediated release of these TFs drive PCa NED (Bhagirath et al., 2019).

5.3 ONECUT2

ONECUT transcription factor family is involved in tumorigenesis. While ONECUT1 is associated with liver development and differentiation, ONECUT2 regulates cell proliferation, migration, adhesion and differentiation (Choi et al., 2022). Elevated expression levels of ONECUT2 have been reported in various cancers, particularly in NEPC (Choi et al., 2022). Therefore, ONECUT2 overexpression marks the onset of cancer progression and metastasis. Studies indicate ONECUT2 induces NED *via* suppressing AR signaling. Previously, interaction between AR receptors and ONECUT2 was speculated due to their coexistence (Rotinen et al., 2018; Guo et al., 2019). In CRPC, expression of AR and ONECUT2 are negatively correlated. The binding of ONECUT2 to AR has been studied *via* chromatin immunoprecipitation (ChIP) to further prove that ONECUT2 may be a direct repressor of AR signaling, thereby promoting NEPC (Rotinen et al., 2018). Hence, ONECUT2 could be a possible master regulator of neuroendocrine tumors (Figure 1). ONECUT2 is in network with other transcription factors including POU domain class 5 transcription factor 1, paired box protein (Pax-5), AR and EZH2 (Chang et al., 2017).

FOXA1 (Forkhead box A1) is known to be essential for the survival of normal prostate and prostate cancer tissue by maintaining AR signaling (Chang et al., 2017). The endogenous ONECUT2 binds to the promoter region of FOXA1 repressing its expression facilitating NED (Chang et al., 2017). A similar inverse correlation is observed between the expression of ONECUT2 and REST, another master repressor of neuronal differentiation (Ooi and Wood, 2007) (Lapuk et al., 2012). Apart from interaction with other master TFs, ONECUT2 is involved in hypoxia signaling pathway promoting NED. Essentially, ONECUT2 activates SMAD3, that regulates hypoxia *via* targeting HIF1 α chromatin binding, thus suppressing androgen signaling and facilitating NED (Guo et al., 2019).

5.4 SOX2

The SOX (Sry homology box) family of protein comprises of 20 individual proteins that are essentially defined by a conserved DNA Binding element- HMG (Kamachi and Kondoh, 2013). SOX proteins are classified into different subgroups on the basis of the HMG residue conservation. SOX2 belongs to the SOXB1 group along with SOX1 and SOX3 attributing to reprogramming capacity, cell pluripotency, self-maintenance and stem cell maintenance (Zhang and Cui, 2014). In normal prostate, endogenous expression of SOX2 is found in partial basal epithelial cells. The SOX2 positive cells were positively correlated with Gleason score and metastases (Kregel et al., 2013). SOX2 expression is repressed by AR signaling. In concert with this, SOX2 repress the expression of prostate adenocarcinoma and synergistically with other factors, elevates neuroendocrine genes (Figure 1). However, this does not hamper the inhibitory effects of enzalutamide (Metz et al., 2020). SOX2 expression in PCa cells destabilizes the epithelial differentiation state and induce pluripotency genes, thereby driving neuroendocrine differentiation. Therefore, SOX2 expression is significantly higher in CRPC tumors with NE morphology than in adenocarcinoma (de Wet et al., 2022b). Furthermore, SOX2 is known to drive lineage plasticity in *RB1*- and *TP53*-deficient cancer cells (Mu et al., 2017). SOX2 expression promotes NED *via* enhancing the expression and enzymatic activity of demethylase LSD1, which is attributed to the global hypomethylation of histone H3 (Li et al., 2020). This leads to an altered epigenetic landscape of histone methylation, promoting lineage plasticity (Metz et al., 2020). Recent studies speculate that SOX2-mediated accelerated metastasis is possibly *via* enhanced metabolic activity. It has been reported that SOX2 expression confers high glycolysis and glycolytic capacity to PCa cells (de Wet et al., 2022a).

Hypoxia is often associated with later stage malignant prostate cancer. HIF proteins are crucial in the cellular responses to hypoxia. (Lin et al., 2011). An interplay between HIF-2 α and SOX2 in PCa cells has been elucidated that suggest that lineage plasticity and stemness sets in to adapt in the hypoxic microenvironment. This corroborates the high expression of SOX2 and HIF-2 α in CRPC and NEPC patient samples (Bae et al., 2016). A recent study demonstrates that *TMPRSS4* upregulates the master EMT drivers *SLUG* and *TWIST1* followed by subsequent SOX2 induction leading to CSC activation (Lee et al., 2021).

5.5 ASCL1

Transcription factors controlling early neurogenesis are critical for PCa NED (Vasconcelos and Castro, 2014). ASCL1 positively regulate neural progenitor differentiation and there is a strong correlation between ASCL1 expression and acquisition of NE-like features (Narayanan et al., 2019). ASCL1 upregulation is an early event following AR signaling suppression (Nouruzi et al., 2022). Hence, ASCL1 is also regarded as an efficient marker for aggressive phenotype and malignant cancer progression (Vias et al., 2008). Androgen deprivation triggers ASCL1 expression in LNCaP cells, whereas introduction of synthetic androgen reduced ASCL1. This strongly suggests that ASCL1 is highly responsive to androgen and maybe regulated by the AR signaling axis (Fraser et al., 2019). A recent study shows that targeting ASCL1 switches NE lineage to luminal epithelial state (Nouruzi et al., 2022). ASCL1 is enriched in hyper-accessible regions and functions predominantly by disrupting the epigenetic landscape of cancer cells and plays a pivotal role in the early chromatin remodeling in driving PCa NED. Prolonged use

of enzalutamide treatment makes the DNA binding region of ASCL1 to be hyper-accessible. It can directly regulate neuronal and stem cell programs (Nouruzi et al., 2022). ASCL1 transcriptionally regulate UHRF1 and EZH2 activity. UHRF1 binds to AMPK to stabilize PRC2 complex and enhance histone H3-27-trimethylation. Loss of ASCL1 inhibits EZH2 activity and chromatin remodeling. This switches neuroendocrine phenotype to luminal lineage (Nouruzi et al., 2022).

5.6 NEUROD1

NEUROD1 is a neuronal TF that is able to convert epithelial cells into neurons (Lee et al., 1995). Therapy-induced NEPC can be classified to subtypes on the basis of ASCL1 and NEUROD1 expression profile (Cejas et al., 2021). RNA sequence analysis of PCa tissues focusing on DNA accessibility revealed distinct clustering of groups exclusively expressing NEUROD1 along with ASCL1 and AR. ChIP seq analysis of PCa divulged high enrichment of NEUROD1, ASCL1 and NFIB, plausible for the maintenance of chromatin state. NEUROD1 is potentially abundant of EBF and LHX motifs corroborating the high expression of neurogenic TFs EBF and LHX. (Cejas et al., 2021). Moreover, a strong association between the expression of NEPC marker Chromogranin A and NEUROD1 has been reported (Cindolo et al., 2007). Recent single cell transcriptomics revealed that NEUROD1 functions by collaborating with MYC for the initial NE oncogenesis (Wang et al., 2022).

5.7 REST

RE-1 silencing transcription factor is a master regulator of differentiation that represses neuronal programs in non-neuronal cells. REST downregulation has been shown to be associated with NEPC (Flores-Morales et al., 2019). SRRM4 is involved in alternative splicing of REST that leads to loss of its repressor activity in NE tumors (Shimojo et al., 2013; Li et al., 2017). SRRM4 incorporates exon N3c into the REST transcript, leading to the expression of truncated REST protein (REST4) that lacks the C-terminal repressor domain and thereby leads to induction of neuronal gene expression. SRRM3 was reported to have overlapping functions with SRRM4 and mediate alternative splicing of REST to REST4 (Labrecque et al., 2021b).

6 Other chromatin modifiers

6.1 HP1 family

Heterochromatin protein family is evolutionarily conserved for maintaining and regulating heterochromatin packaging. HP1 protein family consists of HP1 α , HP1 β , and HP1 γ . As evolution of NEPC is driven *via* epigenetic chromatin remodeling, the role of this protein family is speculated. It is established that all three isoforms show altered expression in PCa tissues as compared to normal prostate tissues (Shapiro et al., 2008). HP1 β serves as an AR coactivator, has a crucial role in transactivation of AR signaling, thereby promoting PCa cell proliferation (Shiota et al., 2010). HP1 α has been implicated in NEPC (Ci et al., 2018). Expression of HP1 α is elevated in NE PDX models and clinical samples. Its knockdown in NEPC cell line inhibits

proliferation and induce apoptosis. Conversely, its ectopic expression significantly promotes NE transdifferentiation in adenocarcinoma cells subjected to androgen deprivation treatment. Mechanistically, HP1 α reduces expression of AR and REST, enriching the repressive trimethylated histone H3 at Lys9 mark on their gene promoters (Ci et al., 2018).

6.2 SWI/SNF

SWI/SNF, also known as BAF (BRG1/Brahma Associated Factor), belongs to ATP-dependent chromatin remodeling complexes and is composed of 11–15 protein subunits (Kadoch and Crabtree, 2015). These are positive regulators of chromatin assembly and SWI/SNF alteration is critical in cancer development (Kadoch et al., 2013; Kadoch and Crabtree, 2015). Recent studies suggest the involvement of SWI/SNF in PCa trans-differentiation (Figure 1) in association with other lineage specific partners including NKX2.1, CHD4, MTA1 and VGF (Cyrta et al., 2020). Analysis of clinical samples identified an elevated level of SWI/SNF in CRPC-NE. Furthermore, SMARCA4 (BRG1) that has a pleiotropic role in genomic and/or in epigenetic modeling is high in aggressive PCa (Cyrta et al., 2020).

MUC1-C is an oncogenic protein that is predicted to have role in lineage plasticity. Studies show that MUC1-C directly binds to transcription factor E2F1. This axis elicits the expression of SWI/SNF complex components including BRG1, ARID1A, BAF60a, BAF155 and BAF170 in CRPC and NEPC (Hagiwara et al., 2021). Moreover, MUC1 triggered significantly higher expression of E2F1 and eBAF in NEPC than in CRPC. MUC1 formed nuclear complex with BAF to activate cancer stem cells and pluripotency gene networking involving NOTCH1 and NANOG (Hagiwara et al., 2021).

6.3 BRD4

The Bromo domain and Extra terminal (BET) family of protein include BRD2, BRD3, BRD4 and BRD4t. BRD4 consists of 2 bromo domains (BD1 and BD2) (Devaiah et al., 2016). These domains mediate the binding of BRD4 to chromatin *via* histones H3 and H4. BRD4 is known to play versatile roles as transcription factor, nucleators of superenhancers and kinase involved in transcription (Devaiah et al., 2016). BRD4 regulates cell migration across all CRPC models. It coregulates gene transcription to control cell migration and invasion through a large scaffolding protein, AHNAK. Furthermore MZ1, a small molecule BET inhibitor selectively degrades BRD4, inhibiting metastatic capacity of CRPC cell lines (Shafraan et al., 2019). BRD4 also regulates expression of EMT genes SNAI1 and SNAI2 (Shafraan et al., 2021). BRD4 is an oncogenic protein that cooperates with the transcription factor E2F1 to activate NE lineage plasticity (Kim et al., 2021). BRD4 has been getting considerable attention as potential anti-cancer drug target. Popular small molecule BRD4 inhibitors are JQ1 and iBET that show satisfactory result in managing advanced prostate cancer. Nevertheless, resistance to BET inhibitors has been reported in pre-clinical models (Fong et al., 2015). Although the molecular process behind this acquired resistance remain elusive, there is a study that links SPOP mutation as part of the mechanism. E3 ubiquitin ligase cullin 3^{SPOP} marks BRD4 for ubiquitin mediated degradation. However, SPOP mutants hamper the degradation causing an accumulation of BRD4 in PCa cells. This

agrees with the inefficacy of BET inhibitors observed in SPOP mutant PCa cells (Dai et al., 2017). Proteolysis Targeting Chimera (PROTAC) technology-based BET degraders is shown to be more effective than small molecule BET inhibitors (Raina et al., 2016).

7 Crucial role of TFs in neuroendocrine prostate cancer: Stratification of neuroendocrine prostate cancer based on TFs

The cellular heterogeneity of prostate tumors progressing from AR-positive adenocarcinoma to AR negative NEPC allows stratification of this disease into various subtypes. Recent studies show that evolution to NE states involve an inherent hierarchical TF network. Single cell studies on CRPC and NEPC has shown that NEPC is driven by constitutive regulation of pioneering transcription factors ASCL1 and FOXA1 alongwith selective regulation of NKX2-2 or POU3F2 and SOX2. This expression profile could categorize NEPC into subtypes based on transcriptomic mechanisms with NE1 expressing NKX2-2 and NE2 subtype expressing POU3F2 and SOX2 (Wang et al., 2022).

Cejas et al. (2021) reported that NEPC can be stratified on the basis of expression of the neuronal transcription factors ASCL1 and NEUROD1, like SCLC (Rudin et al., 2019). ChIP-Seq analyses of these two TFs in NEPC models showed that there are thousands of highly conserved binding sites with both overlapping and differential binding sites. *De novo* motif analyses of these binding sites showed that these binding sites possess the respective consensus motifs for ASCL1 and NEUROD1. Further, ASCL1 binding motif was found to be enriched with motif for NKX2 (Cejas et al., 2021), in keeping with reports that NKX2-1 TF is 16-fold highly expressed in the ASCL1 subtype of NE tumors (Borromeo et al., 2016). NEUROD1 binding motif was enriched for EBF and LHX motifs corresponding to the higher expression of TFs EBF and LHX in NEUROD1 subtype. Gene set enrichment analyses to identify pathways represented in ASCL1 and NEUROD1 subtypes showed that ASCL1 subtype was enriched in GO pathways of response to cytokines while NEUROD1 subtype showed enrichment for brain development pathways (Cejas et al., 2021). While the NE PDX models show a mutually exclusive expression of ASCL1 and NEUROD1, human NE tumors were found to exhibit a complex tumor structure with subtypes co-existing as separate sub-populations within the same tumor (Cejas et al., 2021).

Labrecque et al. stratified NEPC based on expression of RNA splicing factors serine/arginine repetitive matrix protein 4 (SRRM4) and SRRM3 (Labrecque et al., 2021b) that control alternative splicing of REST. REST is a repressor of neuronal genes that is downregulated in NEPC (Flores-Morales et al., 2019). SRRM4 is involved in alternative splicing of REST wherein it incorporates exon N3c into the REST transcript leading to the expression of truncated REST protein (REST4) that lacks the C-terminal repressor domain that leads to loss of its repressor activity and induction of neuronal genes (Shimojo et al., 2013; Li et al., 2017). SRRM3 have overlapping functions with SRRM4 and mediates alternative splicing of REST to REST4 (Labrecque et al., 2021b). Based on SRRM4 and SRRM3 expression, three molecular subtypes of SCNC were characterized that were found to be progressively neuronal: 1) SCNC-1: These tumors express SRRM3, driving alternative splicing of REST to REST4. These SCNC tumors co-express ASCL1; 2) SCNC-

TABLE 1 Preclinical evaluation of therapeutic strategies targeting TFs and chromatin modifiers in NEPC.

Therapeutic target	Drug	Mechanism of action/effect
EZH2 inhibitors	Tazemetostat, GSK126	Resensitize tumor to AR-signaling inhibitors (Beltran et al., 2016; Clermont et al., 2015)
AURKA inhibitors	Alisertib	Inhibits interaction between NMYC and Aurora-A, suppressing tumor growth (Beltran et al., 2019)
ONECUT2 inhibitor	CSRM617	Reduce proliferation and tumor volume by inducing apoptosis (Choi et al., 2022)
BET inhibitors	MZI	Degrades BRD4 and controls CRPC metastasis (Shafran et al., 2019)
	JQ1, iBET	Controls CRPC metastasis ((Kim et al., 2021)

TABLE 2 Clinical Trials in NEPC focused on TFs, chromatin modifiers and other proteins.

Clinical trial number	Drug	Efficacy
NCT05413421 (Phase I/Ib)	PRC2 inhibitor, ORIC-944	Not reported (ongoing)
NCT01799278 (Phase II)	AURKA inhibitor, Alisertib	A subset of patients with advanced prostate cancer and molecular features supporting Aurora-A and N-myc activation achieved significant clinical benefit (Beltran et al., 2019)
NCT04702737 (Phase 1b)	DLL3 bispecific T Cell engager, AMG 757	Not reported (ongoing)
NCT05268666 (Phase I/II)	LSD1/HDAC6 inhibitor, JBI-802	Not reported (ongoing)
NCT02711956 (Phase Ib/IIa)	BETi, ZEN-3694	ZEN-3694 plus enzalutamide demonstrated acceptable tolerability and potential efficacy in patients with ASI-resistant mCRPC (Aggarwal et al., 2020)

2: This subtype of SCNC express SRRM3 and SRRM4 alongwith neuronal MYCN, NEUROD1 and NEUROD4 expression. These tumors express significantly upregulated transcription factors such as ZNF536, ISL1, PAX6 and MYT1L; 3) SCNC-3: A subtype of SCNC that express SRRM3, SRRM4 accompanied with MYCN and NEUROD6. The neural transcription factors upregulated in SCNC-3 tumors included BARHL1, LHX3, UNCX, POU3F3, POU4F2, POU4F3 and ATOH1. This study suggests that the biology of SCNC-1 is strikingly different from that of SCNC-2 and -3 and is expected to respond differently to therapies. Since SCNC-1 is N-Myc null, it is not expected to respond to AURKA inhibitor Alisertib that acts by disrupting AURKA-N-Myc interaction (Beltran et al., 2019). Further, SCNC-2 tumors could represent tumors transitioning to SCNC-3 (Ku et al., 2017). These studies highlight the heterogeneity of NEPC and suggest that therapeutic strategies need to be designed accordingly.

8 Targeting the epigenome as a therapeutic strategy for neuroendocrine prostate cancer

Currently, the standard of care for NEPC is platinum based drugs that show a short duration of response and are administered to all NEPC patients (Aparicio et al., 2013). With an increased understanding of the epigenetic basis of NEPC, therapeutic strategies targeting epigenetic mechanisms are being tested for targeting NEPC effectively (Table 1). Table 2 lists the clinical trials in NEPC focused on TFs, chromatin modifiers and other proteins. EZH2 inhibitors are potential agents for NEPC treatment and have shown promise in pre-clinical studies in reversing the NE phenotype

established by N-Myc (Dardenne et al., 2016; Berger et al., 2019) or *RB1/TP53* loss. EZH2 inhibitors PF-06821,497 and CPI-1205 are currently being tested in clinical trials in CRPC (NCT03460977 and NCT03480646, respectively) (Davies et al., 2020). CPI-1205 is being tested in combination with enzalutamide or abiraterone/prednisone and has shown promising activity (Davies et al., 2020). Considering preclinical evidence for EZH2 inhibition leading to inhibition of NE differentiation, EZH2 is an important target for NEPC (Ku et al., 2017). These inhibitors have been shown to re-sensitize tumors to AR-signaling inhibitors in CRPC (Clermont et al., 2015; Beltran et al., 2016).

Targeting other epigenetic regulators such as BET family are also being investigated in advanced CRPC (Asangani et al., 2014) and may have rationale in NEPC (Beltran and Demichelis, 2021; Conteduca et al., 2021). BET inhibition using compound JQ1 disrupts the recruitment of AR to target gene sites (Asangani et al., 2014) BET inhibition blocks E2F1/BRD4-regulated program and decreases growth of NEPC tumor models. Further, a subset of t-NEPC patient tumors with high activity of this program showed decreased tumor growth in a BETi clinical trial (Kim et al., 2021). Aggarwal et al. (2020) tested the activity of BETi ZEN-3694 in a phase Ib/IIa study in metastatic CRPC patients with resistance to AR signaling pathway inhibitors and reported acceptable tolerability and potential efficacy in these patients. Importantly, tumors with low baseline AR activity appeared to derive greater benefit than patients with high baseline AR activity (Aggarwal et al., 2020).

Disruption of the molecular interaction between AURKA and N-Myc by Alisertib (MLN8237) has been examined as a therapeutic modality for NEPC (Beltran et al., 2019). A phase-II clinical trial of Alisertib reported modest clinical benefit of Alisertib in NEPC patients while a second phase I/II trial in combination with abiraterone in

CRPC-NE had to be terminated due to toxicity and non-significant clinical benefit (Davies et al., 2020).

Targeting of TFs that mediate transcriptional changes during lineage reprogramming such as BRN2, BRN4, MYCN, ASCL1, FOXA1 and ONECUT2 can be exploited as a therapeutic strategy for NEPC. However, TFs are challenging to target directly (Beltran and Demichelis, 2021). BRN2 inhibition *via* siRNA or CRISPR/Cas9 or small molecule inhibitor was found to reduce proliferation in NEPC cell lines (Daksh et al., 2019). CSRM617 is a small molecular ONECUT2 inhibitor developed on the basis of a three-dimensional model of ONECUT2. This is found effective in reducing proliferation and tumor volume by inducing apoptosis of cancer cells with high ONECUT2 expression (Cully, 2018).

With the recent studies highlighting the enormous heterogeneity of PCa NE states and stratification based on expression of distinct TFs, the transcriptional programs of these subtypes are likely distinct. This emphasizes the requirement for specific therapeutic strategies focused on targeting distinct master regulators of each subtype for effective therapy. Considering the upregulation of Delta-like protein 3 (DLL3) in NEPC cases as compared to CRPC-adenocarcinomas, a humanized DLL3 antibody has been exploited for therapeutic targeting of NEPC (2019, Puca et al., 2019; Thoma, 2019). This therapeutic modality is likely to be effective in the ASCL1 subtype of NEPC (Cejas et al., 2021). AURKA inhibition may be more efficacious in the NEUROD1 subtype, similar to the case in SCLC (Mollaoglu et al., 2017). Therapies targeting CEACAM5 such as carcinoembryonic antigen-related cell adhesion molecule CEACAM5 antibody-drug conjugate (DeLucia et al., 2021) is likely to be efficacious in ASCL1 subtype of NEPC since this subtype was reported to be enriched in CEACAM 1,5,6,7 (Cejas et al., 2021). Effective therapeutic strategies need to be designed that would target the different subpopulations to avoid emergence of outgrowth of the resistant subpopulations (Cejas et al., 2021). There is a need to develop biomarker-driven treatment strategies for NEPC. Furthermore, owing to inter and intra-patient heterogeneity, it will be critical to gain better insights into the underlying biology of these tumors and then design combinatorial therapeutic strategies targeting factors important in specific tumor subsets (Labrecque et al., 2021a). Though progress is being made in this direction, we still have a long way to go before these therapies can be effectively implemented in the clinic.

9 Conclusion

In conclusion, transcription factors and chromatin modifiers play an integral role in driving neuroendocrine trans-differentiation in prostate cancer. Pioneer transcription factors such as ASCL1,

FOXA1 and SOX2 can engage on their respective target sites on nucleosomal DNA and initiate transcriptional regulation at sites of closed chromatin. Further, interplay between transcription factors such as AR, FOXA1, BRN2 and BRN4 and epigenetic modifiers such as EZH2 drive chromatin states underlying lineage reprogramming in PCa. The complexities underlying emergence and maintenance of PCa NE states is being recognized and deciphered. With an increased understanding of the temporal and spatial interplay of transcription factors and their associated gene expression programs in PCa NED, better therapeutic strategies can be designed for targeting NEPC. Though considerable progress have been made in this direction in last few years, we are still far from understanding the complex regulatory interplay. Further, it is important to unravel the interplay between these TFs, epigenetic modifiers and tumor microenvironment to gain a holistic understanding of the disease that would be required for devising effective therapeutic modalities.

Author contributions

AA and SS reviewed literature and wrote the manuscript. SS edited the manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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