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# Causal rate-independent damping device using a rotary inerter damper

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The resistive force of linear viscous damping (LVD), which is commonly used as a damping model for structures, decreases proportionally with the frequency. Another linear damping model known as the rate-independent linear damping (RILD) model is used as a linear mathematical model for representing the damping characteristics of structures and materials that have a low frequency dependency. Because the resistive force of RILD is proportional to the displacement amplitude instead of the velocity, it is expected to directly and effectively control the seismic response displacement of low-frequency structures if implemented in a physical device. In this study, we propose the application of a causal approximation model of the RILD model—based on Biot's model—that extends the order of dynamic stiffness of each branch to the second order with seismically isolated structures. A few branches of commercially available tuned viscous mass dampers with second-order dynamic stiffness are arranged in parallel in the proposed device. When the demand for isolator displacement mitigation is moderate, the proposed model simulates the performance of the RILD model well. The proposed system outperforms the LVD system even when the demand for isolator displacement mitigation becomes more severe.

## KEYWORDS

rate-independent linear damping, linear hysteretic damping, causality, Biot's model, low-frequency structure, tuned viscous mass damper

## 1 Introduction

### 1.1 Background

Seismic isolation can alleviate the seismic force transmitted to the superstructure at the expense of isolator displacement by increasing the fundamental natural period of the structure. However, there is concern regarding long-period and long-duration ground motions that are amplified by a thick sedimentary subsoil on which many buildings are built and induced by large-scale subduction-zone earthquakes ([Architectural Institute of Japan, 2007](#)). Excessive isolator displacement caused by long-period and long-duration ground motion may result in moat wall impact, thereby damaging seismic isolation devices and superstructures.

Increasing the number of conventional dampers to address this challenge presents a dilemma: excessive damping compromises the seismic isolation performance under the effect of ground motions that are dominated by high-frequency components and that occur more frequently than long-period ground motions. [Ikago and Inoue \(2014\)](#) and [Luo et al. \(2019\)](#) reported that rate-independent linear damping (RILD) offers an attractive solution because it realizes direct control of the displacement, thus exploiting its rate-independent resistive force.

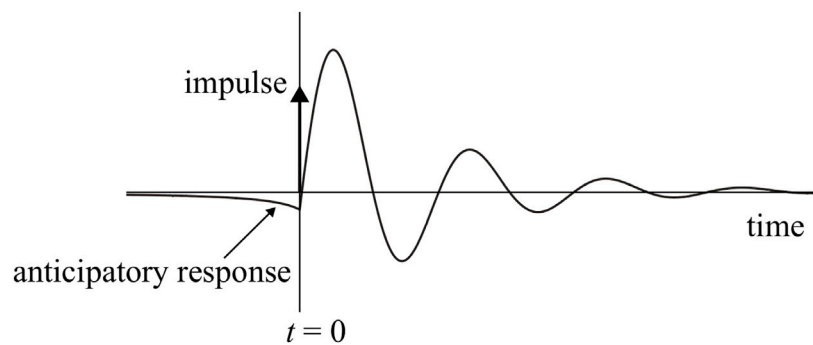


FIGURE 1  
Noncausal response of an SDOF system containing RILD.

Other systems that increase resistive force in accordance with response displacement include the skid system (Kelly et al., 1980), modulated homogeneous friction (Inaudi, 1997), and nonlinear displacement-dependent dampers (Ilgeigi et al., 2012).

## 1.2 Rate-independent linear damping

Rate-independent linear damping (RILD) is a linear damping model used for representing the energy dissipation characteristics of structural materials that are independent of frequency over a wide frequency range. This contrasts with the commonly used linear viscous damping (LVD) model, whose energy dissipation is proportional to the frequency. RILD is also referred to as linear hysteretic or structural damping. Although we confined ourselves to linear damping systems in this study, a comprehensive review of nonlinear damping systems can be found in Lu et al. (2018).

## 1.3 Noncausality of RILD and Biot's causal RILD model

Crandall (1991) reported that structures equipped with RILD respond before an excitation is applied, and RILD is thereby noncausal. Figure 1 shows a displacement time history of a single-degree-of-freedom (SDOF) system containing RILD subjected to impulse excitation at time  $t = 0$ . Anticipatory response is observed before an impulse is applied, thus violating the causality.

Owing to this noncausal nature, RILD is physically unsound and impossible to realize as a physical device. The first successful causal model that exhibited an approximated rate-independent energy dissipation behavior was proposed by Biot (1958). Caughey (1962) stated that Biot's model can be constructed by arranging infinite Maxwell elements in parallel.

## 1.4 Dynamic stiffness

The transfer function from displacement to force in the frequency domain has a stiffness dimension and is thus referred to as the dynamic stiffness, which is expressed as a complex number. The real and imaginary parts of the dynamic

stiffness are referred to as the storage and loss stiffness, respectively.

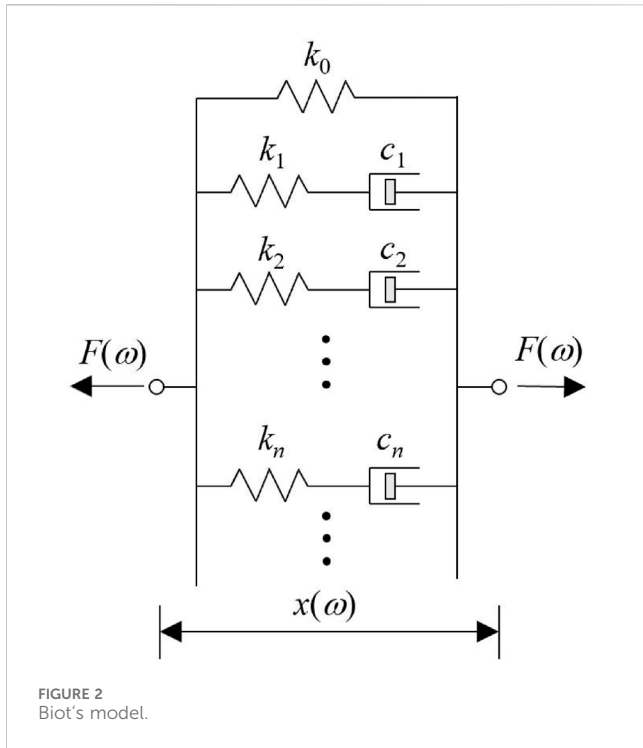
The storage stiffness is identical to the conventional stiffness coefficient. Although the loss stiffness has the dimensions of stiffness, it is in phase with the velocity—that is,  $90^\circ$  advanced to the displacement—which results in energy dissipation.

## 1.5 Undesirable storage stiffness of causal RILD models

Makris (1997) demonstrated that the modification of RILD to satisfy causality necessitates the addition of a storage stiffness that is proportional to the logarithm of the frequency. This explains why the storage stiffness of Biot's model increases as the frequency increases. A fractional order derivative model for approximating RILD, proposed by Luo and Ikago (2021) also exhibited increasing storage stiffness with respect to frequency. Thus, the storage and loss stiffnesses are bound to each other by the causality requirement, which results in a trade-off between the accuracy of mimicking RILD and maintaining a low storage stiffness. This is the challenge encountered when exploring the application of a RILD-based damping model for the protection of low-frequency structures.

Keivan et al. (2020) developed a control algorithm for a magnetorheological damper to causally realize the performance of RILD without the addition of an undesirable stiffness at the fundamental natural frequency of the controlled structure. The transfer function of the control algorithm was subsequently observed to be equivalent to that of a parallel configuration of negative stiffness and Maxwell elements (Luo et al., 2019). Their work further led to an examination of combinations of the Maxwell–Wiechert model, negative stiffness, and inerters to realize causal RILD models (Liu and Ikago, 2021a; b, 2022a; b,c). Wu and Ikago (2024) proposed the addition of two inerters to a Maxwell–Wiechert model in series and parallel to negate the undesirable storage stiffness at two specified frequencies. A combination of a negative-stiffness element and an inerter to negate the added storage stiffness in a causal RILD model was investigated by Liu et al. (2022) and Wu et al. (2023).

In this study, unlike conventional approaches, we propose expanding the order of dynamic stiffness of the components in the proposed damping device to mitigate undesirable storage stiffness. Specifically, an inerter is connected in parallel to the damper to construct a



commercially available device called a tuned viscous mass damper (TVMD). Limiting the frequency band in which the loss stiffness of the proposed device is tuned can significantly reduce the required number of TVMD branches, thus improving its feasibility. Another benefit of limiting the bandwidth is that it can reduce undesirable storage stiffness. In this study, the frequency band was determined to include the fundamental natural angular frequency of the controlled structure as well as twice that value.

The novelty of this study lies in the development of a physical model that approximates the energy dissipation behavior of an ideal RILD with lower storage stiffness compared with existing causal models in the literature.

The remainder of this paper is organized as follows: Section 2 expands the dynamic stiffness of Biot's model to the second order. The second-order model included Biot's model. Section 3 presents the adopted TVMD, which is a commercially available device with second-order dynamic stiffness, to realize the proposed model. To improve its feasibility, in Section 4, we propose that the frequency band be limited, wherein the target constant loss stiffness is attained, which significantly reduces the number of required TVMD devices. An analytical example using the proposed model with three TVMD branches demonstrated its efficacy in causally approximating the ideal RILD, thus outperforming conventional LVD. Section 5 presents the conclusions of this study.

## 2 Proposed causal model of rate-independent linear damping

### 2.1 Insight obtained from Biot's model

One of the most successful models to causally approximate an ideal RILD is Biot's model (Biot, 1958), which comprises infinite branches of Maxwell elements (Figure 2).

The transfer function obtained from the deformation  $x$  to the resistive force  $F_j$  of the  $j$ th branch of Biot's model is:

$$Z_j^M(i\omega) = \frac{F_j}{x} = k_j \frac{i\omega}{i\omega + r_j} \tag{1}$$

where  $k_j$ ,  $c_j$ , and  $r_j = k_j/c_j$  are the stiffness, damping coefficient, and relaxation parameter of the Maxwell element, respectively.  $i = \sqrt{-1}$  and  $\omega$  are the imaginary unit and excitation angular frequency, respectively.  $Z_j^M(i\omega)$  has a stiffness dimension and is thus referred to as the dynamic stiffness. Note that the relaxation parameter  $r_j$  is the inverse of relaxation time.

The real and imaginary parts of the dynamic stiffness are referred to as the storage and loss stiffnesses, respectively. The storage stiffness is identical to the conventional stiffness. The product of the loss stiffness and deformation yields the resistive force, the amplitude and phase of which are proportional to the deformation and are in phase with the velocity, respectively. Thus, the loss stiffness is related to energy loss.

[Storage stiffness]

$$\Re[Z_j^M(i\omega)] = \frac{\omega^2}{\omega^2 + r_j^2} k_j \tag{2}$$

[Loss stiffness]

$$\Im[Z_j^M(i\omega)] = \frac{\omega r_j}{\omega^2 + r_j^2} k_j \tag{3}$$

Figure 3A shows how Biot's model realizes constant loss stiffness characteristics at high frequencies. As indicated by the solid lines, the loss stiffness of the  $j$ th branch reaches its maximum value (indicated by red circles) of  $k_j/2$  at angular frequency  $\omega = r_j$ .

When the stiffness and damping coefficient of each branch are arranged such that

$$\Delta r = r_{j+1} - r_j \equiv \text{constant}, \quad r_j = \varepsilon + (j - 1)\Delta r, \quad k_j = \frac{\Delta r}{r_j} k_1, \tag{4}$$

the lowest relaxation parameter and  $j$ th damping coefficient are

$$r_1 = \varepsilon, \quad c_j = \frac{k_j}{r_j}. \tag{5}$$

Accordingly, the dynamic stiffness of Biot's model  $Z_{\Delta r}^B(i\omega)$  is

$$Z_{\Delta r}^B(i\omega) = k_0 + \lim_{n \rightarrow \infty} k_1 \sum_{j=1}^n \frac{i\omega}{i\omega + r_j} \frac{\Delta r}{r_j}. \tag{6}$$

Furthermore, when  $\Delta r \rightarrow 0$ ,

$$\begin{aligned} Z_0^B(i\omega) &= \lim_{\Delta r \rightarrow 0} Z_{\Delta r}^B(i\omega) = k_0 + k_1 \int_{\varepsilon}^{\infty} \frac{i\omega}{i\omega + r} \frac{dr}{r} \\ &= k_0 + k_1 \left\{ \ln \sqrt{1 + \left(\frac{\omega}{\varepsilon}\right)^2} + i \arctan\left(\frac{\omega}{\varepsilon}\right) \right\}. \end{aligned} \tag{7}$$

Figure 3A presents the loss stiffness of Biot's model when the stiffnesses and relaxation parameters are determined as follows:

$$k_1 = \frac{2}{\pi}, \quad \Delta r = 1, \quad r_1 = \varepsilon = 1, \quad r_j = j\Delta r = j, \quad k_j = \frac{\Delta r}{r_j} k_1. \tag{8}$$

Although the loss stiffness exhibits frequency dependency at low frequencies, it becomes almost independent of the frequency at high frequencies.

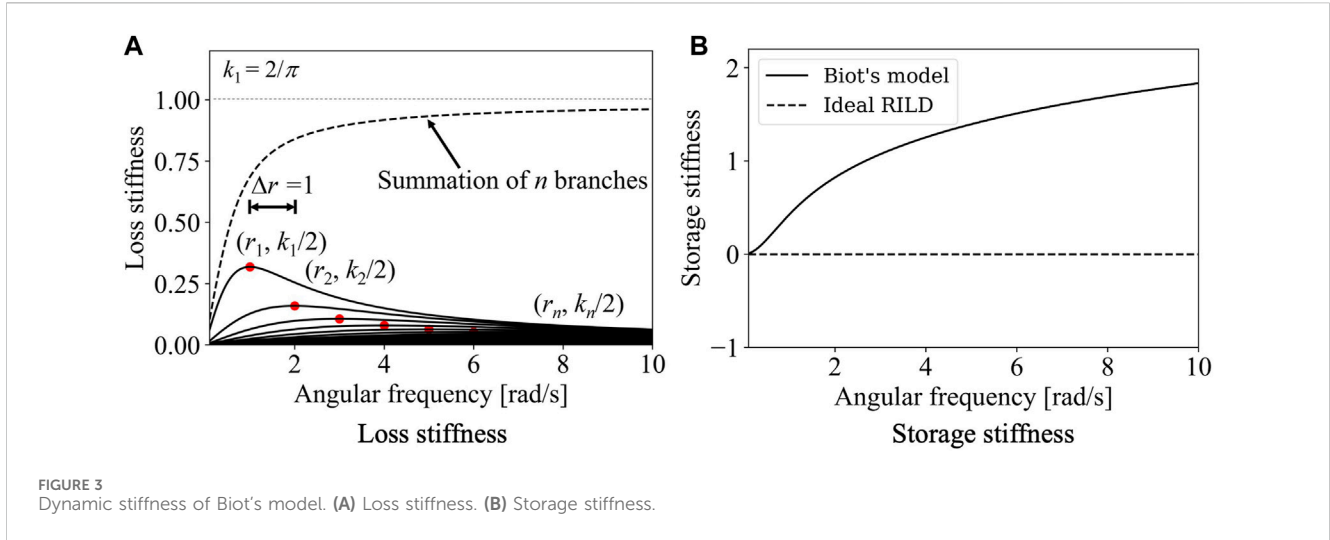


FIGURE 3 Dynamic stiffness of Biot's model. (A) Loss stiffness. (B) Storage stiffness.

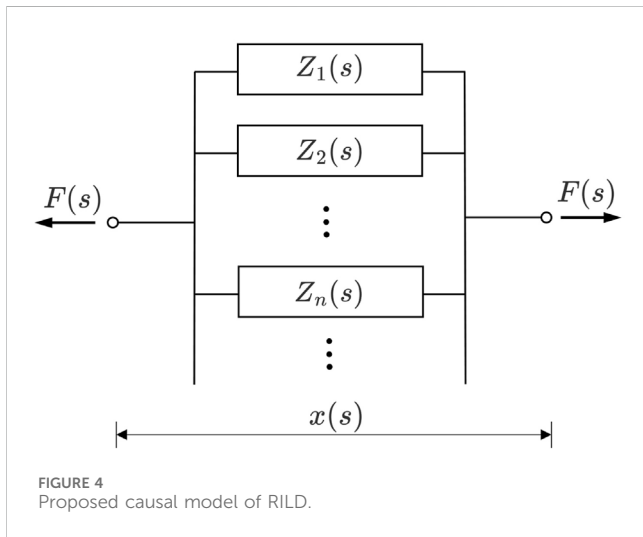


FIGURE 4 Proposed causal model of RILD.

Figure 3B presents a comparison of the storage stiffness of Biot's model and ideal RILD when  $k_0 = 0$ . The storage stiffness of Biot's model increased with frequency, while that of the ideal RILD was constant, irrespective of the frequency. This is owing to the causality requirement that bounds the real and imaginary parts of the dynamic stiffness, which is known as the Kramers–Kronig relationship (Booij and Thoone, 1982). The causality of Biot's model results in the addition of stiffness when it is incorporated into a structure.

### 2.2 Second-order causal model

Herein, we propose a novel causal model to approximate ideal RILD. The model comprises infinite branches of any mechanical device in a second-order physical system connected in parallel, as shown in Figure 4, where  $s$  is the Laplace variable.

The dynamic stiffness of a second-order device can be defined as a bi-proper transfer function:

$$Z(s) = k_j \frac{a_1 s^2 + a_2 r s}{b_1 s^2 + b_2 r s + b_3 r^2}, \tag{9}$$

where  $r$  and  $k$  are the frequency and stiffness dimensions, respectively.  $a_1, a_2, b_1, b_2,$  and  $b_3$  are the dimensionless parameters to be determined.

Let  $j$  denote the  $j$ th branch, where the dynamic stiffness of the proposed model written in the frequency domain is the summation of all the branches.

$$Z^P(s) = \frac{F(s)}{x(s)} = \lim_{n \rightarrow \infty} \sum_{j=1}^n k_j \frac{a_{1,j} s^2 + a_{2,j} r_j s}{b_{1,j} s^2 + b_{2,j} r_j s + b_{3,j} r_j^2} \tag{10}$$

Here we assume that the relaxation parameters are distributed with a uniform interval of  $\Delta r = r_{j+1} - r_j$  ( $j = 1, 2, \dots$ ) starting from a small value  $r_1 = \epsilon$ . Accordingly, the stiffness of the  $j$ th branch is

$$k_j = k_1 \frac{\Delta r}{r_j}, \quad r_j = \epsilon + (j - 1)\Delta r. \tag{11}$$

Based on these definitions, the dynamic stiffness of this model can be expressed as follows:

$$Z^P(s) = \lim_{n \rightarrow \infty} \sum_{j=1}^n \frac{a_{1,j} s^2 + a_{2,j} r_j s}{b_{1,j} s^2 + b_{2,j} r_j s + b_{3,j} r_j^2} \frac{\Delta r}{r_j}. \tag{12}$$

The sum is then substituted into the integration as follows:

$$Z^P(s) = k_1 \int_{\epsilon}^{\infty} \frac{a_1 s^2 + a_2 r s}{b_1 s^2 + b_2 r s + b_3 r^2} \frac{dr}{r}. \tag{13}$$

Partial fraction decomposition of the integrand yields

$$Z^P(s) = k_1 \int_{\epsilon}^{\infty} \frac{A}{r} + \frac{B}{r - s\Delta_1} + \frac{C}{r - s\Delta_2} dr, \tag{14}$$

where  $\Delta_1$  and  $\Delta_2$  are the roots of the denominator

$$\Delta_1 = \frac{-b_2 + \sqrt{b_2^2 - 4b_1b_3}}{2b_3}, \tag{15}$$

$$\Delta_2 = \frac{-b_2 - \sqrt{b_2^2 - 4b_1b_3}}{2b_3},$$

and  $A$ ,  $B$ , and  $C$  can be represented using the roots as follows:

$$\begin{aligned} A &= \frac{a_1 b_3}{b_1}, \\ B &= \frac{a_1 + a_2 \Delta_1}{\Delta_1 (\Delta_1 - \Delta_2)}, \\ C &= \frac{a_1 + a_2 \Delta_2}{\Delta_2 (\Delta_2 - \Delta_1)}. \end{aligned} \tag{16}$$

Subsequent to integration and simplification, Eq. 14 is reduced to

$$Z^P(s) = k_1 \left\{ (-B) \ln \left[ 1 + (-\Delta_1) \frac{s}{\varepsilon} \right] + (-C) \ln \left[ 1 + (-\Delta_2) \frac{s}{\varepsilon} \right] \right\}. \tag{17}$$

Hereafter, we exclusively examine the imaginary part of dynamic stiffness (loss stiffness).

When  $\Delta_1$  and  $\Delta_2 \in \mathbb{R}$ , the loss stiffness is further reduced to

$$\Im [Z^P(s)] = k_1 \left[ \arctan \left( -\Delta_1 \frac{\omega}{\varepsilon} \right) + \arctan \left( -\Delta_2 \frac{\omega}{\varepsilon} \right) \right], \tag{18}$$

where we used the following relationship:

$$\ln(x + iy) = \ln \sqrt{x^2 + y^2} + i \arctan \frac{y}{x}, \quad (x, y \in \mathbb{R}) \tag{19}$$

Eq. 18 clearly shows that, when  $\omega \gg \varepsilon$  or  $\varepsilon \rightarrow 0$ , the loss stiffness approaches the constant value  $k_1 \pi$ .

For the case in which  $\Delta_1$  and  $\Delta_2$  are complex-valued,  $B$  and  $C$  are also complex-valued. It should be noted that the pairs of  $\Delta_1$  and  $\Delta_2$  and  $B$  and  $C$  are conjugate pairs. For further simplification, the following equations are introduced:

$$\begin{aligned} B &= \alpha_1 + i\beta_1, \quad C = \alpha_1 - i\beta_1, \\ \Delta_1 &= \alpha_2 + i\beta_2, \quad \Delta_2 = \alpha_2 - i\beta_2, \end{aligned} \tag{20}$$

where  $\alpha_1, \alpha_2, \beta_1$ , and  $\beta_2$  are real-value constants. Eq. 17 is expanded by separating the real and imaginary parts as follows:

$$\begin{aligned} \Im [Z^P(s)] &= \Im \left\{ (\alpha_1 + i\beta_1) \left[ \ln \sqrt{1 - \beta_2 \left( \frac{\omega}{\varepsilon} \right)^2 + \alpha_2 \left( \frac{\omega}{\varepsilon} \right)^2} + i \arctan \frac{\alpha_2 \left( \frac{\omega}{\varepsilon} \right)}{1 - \beta_2 \left( \frac{\omega}{\varepsilon} \right)^2} \right] \right\} \\ &+ \Im \left\{ (\alpha_1 - i\beta_1) \left[ \ln \sqrt{1 + \beta_2 \left( \frac{\omega}{\varepsilon} \right)^2 + \alpha_2 \left( \frac{\omega}{\varepsilon} \right)^2} + i \arctan \frac{\alpha_2 \left( \frac{\omega}{\varepsilon} \right)}{1 + \beta_2 \left( \frac{\omega}{\varepsilon} \right)^2} \right] \right\}, \end{aligned} \tag{21}$$

which is further reduced to:

$$\begin{aligned} \Im [Z^P(s)] &= \beta_1 \ln \sqrt{\left( \frac{\varepsilon}{\omega} \right)^2 - \beta_2 + \alpha_2} \\ &+ \alpha_1 \left[ \arctan \frac{\alpha_2}{\left( \frac{\varepsilon}{\omega} \right) - \beta_2} + \arctan \frac{\alpha_2}{\left( \frac{\varepsilon}{\omega} \right) + \beta_2} \right]. \end{aligned} \tag{22}$$

Eq. 22 demonstrates that the loss stiffness approaches a constant value as the excitation angular frequency  $\omega$  increases, which proves that the proposed model can be an excellent approximation of the ideal RILD in terms of loss stiffness.

### 2.3 Relationship with Biot’s model

As previously mentioned, Biot’s model comprises infinite Maxwell elements arranged in parallel. The dynamic stiffness of

Biot’s model in the frequency domain is a summation of those of all branches:

$$Z_0^B(s) = \frac{F(s)}{x(s)} = \lim_{n \rightarrow \infty} \sum_{j=1}^n k_j \frac{s}{s + r_j}, \tag{23}$$

where  $r_j$  represent the relaxation frequency of the  $j$ th branch. It should be noted that the proposed model is reduced to Biot’s model when  $a_1, b_1 = 0$  and  $a_2, b_2, b_3 = 1$ .

$$\begin{aligned} Z^P(s) &= \lim_{n \rightarrow \infty} \sum_{j=1}^n k_j \frac{a_{1,j} s^2 + a_{2,j} r_j s}{b_{1,j} s^2 + b_{2,j} r_j s + b_{3,j} r_j^2} \\ &= \lim_{n \rightarrow \infty} \sum_{j=1}^n k_j \frac{r_j s}{r_j s + r_j^2} = \lim_{n \rightarrow \infty} \sum_{j=1}^n k_j \frac{s}{s + r_j} \end{aligned} \tag{24}$$

Because the proposed second-order causal model encompassing Biot’s model has more parameters than Biot’s model, it offers a solution for reducing undesirable storage stiffness, as discussed in the following section.

## 3 Physical realization using tuned viscous mass damper

An inerter (Smith, 2002) is a two-node mechanical device, the application of which in civil structures has been investigated for decades. The inertial resistive force generated by an inerter is proportional to the relative acceleration between its two nodes. Its physical realization usually involves the amplification of the physical mass using fluid (Kawamata, 1989; Kawamata, 1987; Nakamura et al., 1988; Wang et al., 2011; Swift et al., 2013; Nakaminami et al., 2017; Liu et al., 2018; Zhang et al., 2018; De Domenico et al., 2019), a leverage (Sone et al., 1998), rack and pinion (Smith, 2002; Saitoh, 2012; Pietrosanti et al., 2021), and ball screw (Arakaki et al., 1999a; b; Hwang et al., 2007; Watanabe et al., 2012; Xie et al., 2019; Xue et al., 2020; Kang et al., 2023; Li et al., 2023). Researchers have investigated various configurations of inerter, spring, and damper for the protection of civil structures against earthquakes and wind (Ikago et al., 2012; Giaralis and Petrini, 2017; Marian and Giaralis, 2017; Pan and Zhang, 2018; Jia et al., 2023; Kang and Ikago, 2023).

### 3.1 Tuned viscous mass damper

As shown in Figure 5A, a parallel arrangement of an inerter and a damper yields a compact device that can provide thousands of tons of inertance, which is sufficient for structural control. The connection of a spring element to the device configures a tuned-mass-damper-like (TMD-like) system, designated as a TVMD (Ikago et al., 2012). In contrast to a conventional TMD, a mass element (inerter) is arranged in parallel to the damper in a TVMD, as shown in Figure 5B. To the best of our knowledge, inerter-based seismic control systems have been applied to real-life building structures only in Japan (Sugimura et al., 2012; Architectural Institute of Japan, 2014; Ishii et al., 2014; Ogino and Sumiyama, 2014).

The dynamic stiffness of TVMD can be expressed as follows:

$$Z^T(s) = \frac{F(s)}{x(s)} = k_d \frac{rs^2 + \omega_d^2 s}{rs^2 + \omega_d^2 s + r\omega_d^2} \tag{25}$$

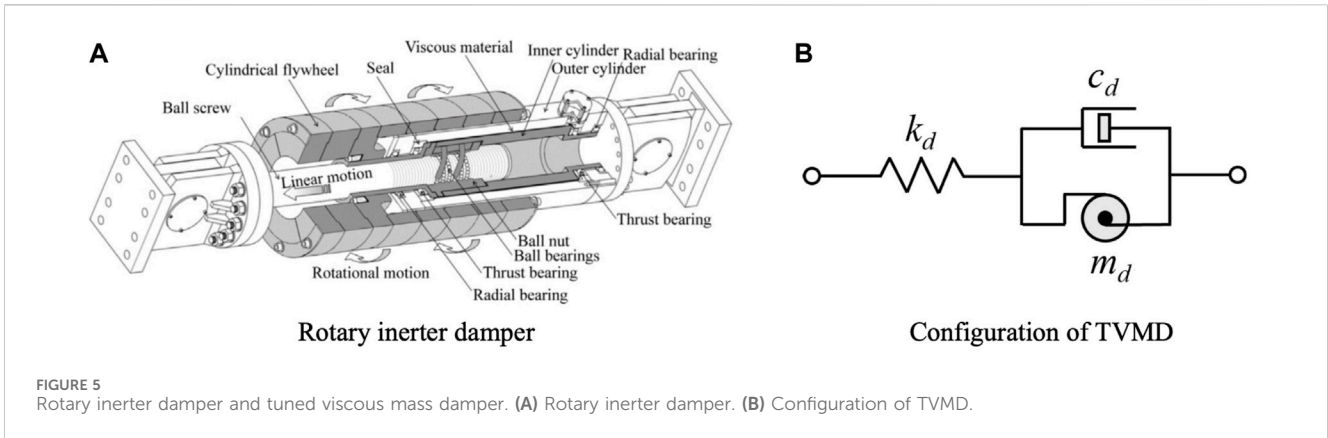


FIGURE 5 Rotary inerter damper and tuned viscous mass damper. (A) Rotary inerter damper. (B) Configuration of TVMD.

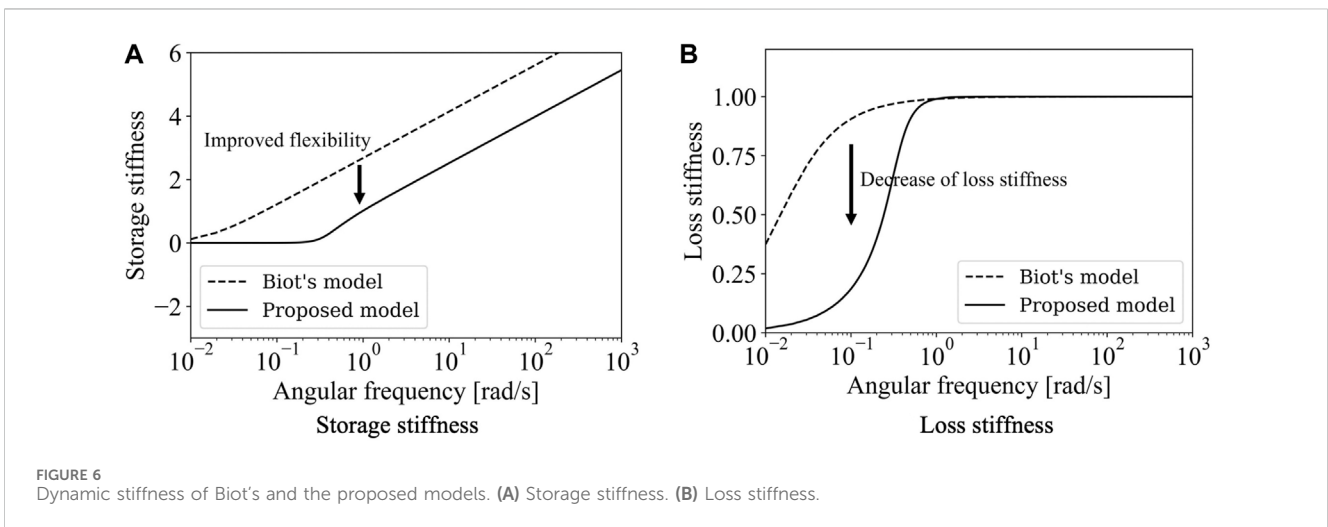


FIGURE 6 Dynamic stiffness of Biot's and the proposed models. (A) Storage stiffness. (B) Loss stiffness.

where  $r = k_d/c_d$  and  $\omega_d = \sqrt{k_d/m_d}$  represent the relaxation parameter and fundamental angular frequency of the device local vibratory system, respectively.

### 3.2 Physical realization with infinite branches of TVMD

The dynamic stiffness of a TVMD single branch shown in Eq. 25 is similar to Eq. 9. It is easy to infer that the infinite branches of TVMDs will also be a physical realization of the proposed model. To prove this, we first express the dynamic stiffness of the infinite branches of TVMDs as follows:

$$Z^T(s) = \lim_{n \rightarrow \infty} \sum_{j=1}^n k_{d,j} \frac{r_j s^2 + \omega_{d,j}^2 s}{r_j s^2 + \omega_{d,j}^2 s + r_j \omega_{d,j}^2} \quad (26)$$

The springs and inerters in the model resulted in the formation of infinite oscillators. Herein, we introduce a damping ratio  $\zeta_j = c_{d,j}/2\sqrt{k_{d,j}m_{d,j}}$  as the ratio of the damping coefficient to the critical damping coefficient in each branch. If the infinite branches of the TVMDs are arranged in a manner similar to Biot's model, Eq. 26 can be rewritten as

$$Z^T(s) = \lim_{n \rightarrow \infty} \sum_{j=1}^n k_{d,j} \frac{s^2 + 4\zeta_j^2 r_j s}{s^2 + 4\zeta_j^2 r_j s + 4\zeta_j^2 r_j^2} \quad (27)$$

On comparing the above equation with Eq. 10, the parameters can be identified as  $a_1, b_1 = 1$  and  $a_2, b_2, b_3 = 4\zeta^2$  for all branches. The dynamic stiffness of the infinite TVMDs can then be derived as

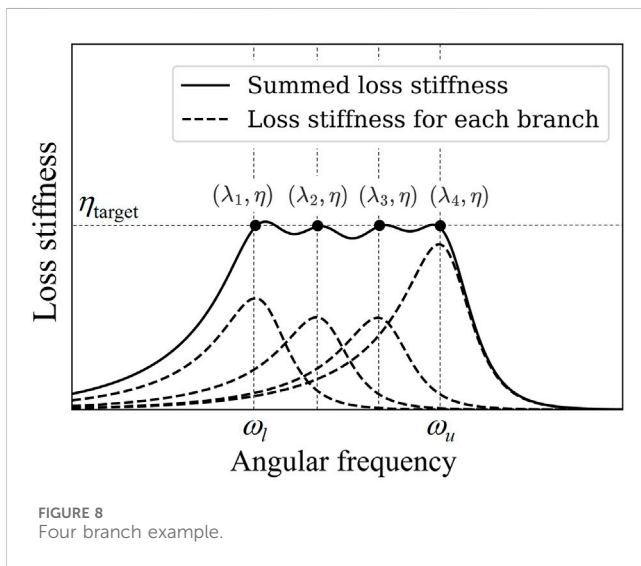
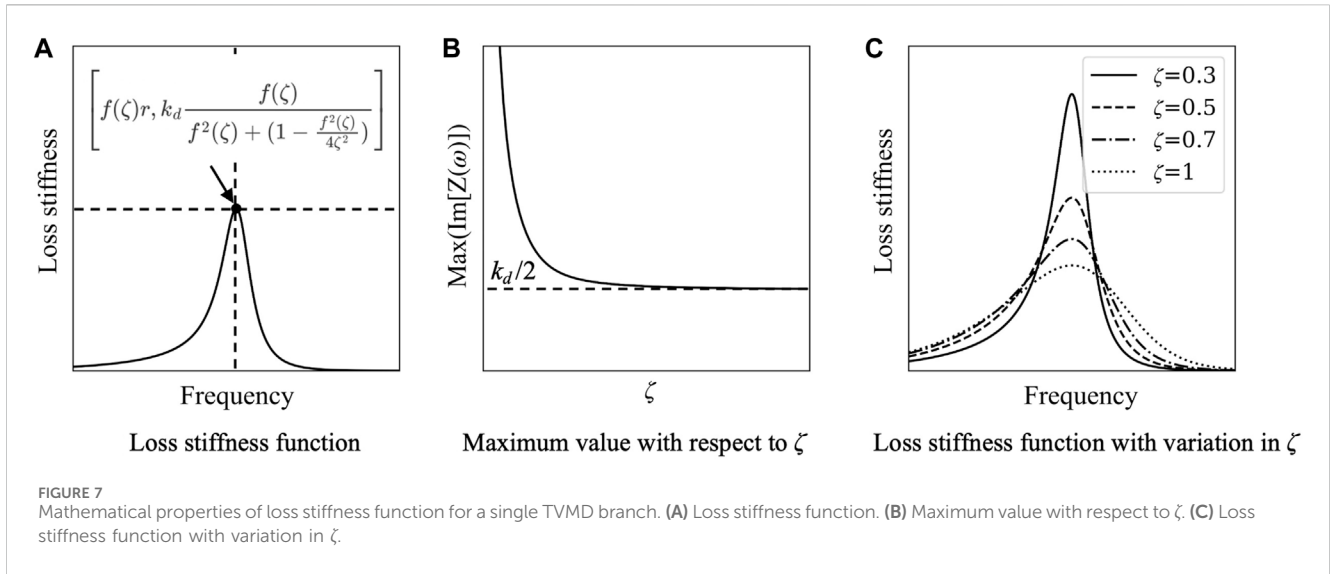
$$Z^T(s) = k_{d,1} \left\{ (-B) \ln \left[ 1 + (-\Delta_1) \frac{s}{\varepsilon} \right] + (-C) \ln \left[ 1 + (-\Delta_2) \frac{s}{\varepsilon} \right] \right\} \quad (28)$$

where  $B, C, \Delta_1,$  and  $\Delta_2$  are defined as

$$\begin{aligned} B &= -2\zeta^2 \left( 1 - \frac{\zeta}{\sqrt{\zeta^2 - 1}} \right) \\ C &= -2\zeta^2 \left( 1 + \frac{\zeta}{\sqrt{\zeta^2 - 1}} \right) \\ \Delta_1 &= -\frac{\zeta - \sqrt{\zeta^2 - 1}}{2\zeta} \\ \Delta_2 &= -\frac{\zeta + \sqrt{\zeta^2 - 1}}{2\zeta} \end{aligned} \quad (29)$$

$B, C, \Delta_1,$  and  $\Delta_2$  have complex values when  $0 < \zeta < 1$ ; however, they have real values when  $\zeta > 1$ . As previously discussed, in either





case, this model can serve as an approximation of an ideal RILD model.

### 3.3 Comparison with Biot’s model

This section presents an example to demonstrate the advantages of the proposed model over Biot’s model. The loss stiffness in Biot’s model monotonically increases over the entire frequency range  $[0, \infty]$  and approaches  $k_1 \frac{\pi}{2}$ . Here, we set  $k_1 = \frac{2}{\pi}$  such that the loss stiffness function approaches unity at high frequencies.

$$\Im[Z_0^B(i\omega)] = k_1 \arctan\left(\frac{\omega}{\varepsilon}\right) < k_1 \frac{\pi}{2} = 1 \quad (30)$$

The lower bound of the relaxation parameter for Biot’s model  $\varepsilon^B$  was determined such that the maximum error in the loss stiffness at frequencies greater than 1 rad/s was less than 1%.

$$1 - \Im[Z_0^B(\omega)]_{\omega=1} < 0.01 \quad (31)$$

An iteration shows that  $\varepsilon^B = 0.015$  satisfies Eq. 31. For the proposed model, the required  $\zeta = 0.5$  when we set  $k_{d,1}$  to  $\frac{2}{\pi}$  in the same manner as in Biot’s model. Another iteration for realizing the accuracy of Biot’s model in terms of the loss stiffness shows that the value of the lower bound of the relaxation parameter of the proposed model  $\varepsilon^P = 0.352$ . Figures 6A, B present the storage and loss stiffnesses of the Biot’s and proposed models with these parameters. The loss stiffnesses of both models exhibit frequency-independent characteristics in the frequency region beyond 1 rad/s. The proposed model exhibits an advantage over Biot’s model in maintaining the flexibility of the isolation layer at the expense of a compromised loss stiffness in the region of frequencies less than 1 rad/s.

## 4 Parameter design method for practical use and its application in a base-isolated structure

The proposed model requires infinite TVMDs arranged in parallel to causally approximate RILD over all the frequencies. Nonetheless, the number of required branches can be significantly reduced by limiting the frequency band in which a constant loss stiffness is achieved, which is preferable for practical structural design.

### 4.1 Mathematical properties of loss stiffness function for TVMD

The loss stiffness of a single TVMD branch is given as

$$\Im[Z^T(\omega)] = k_d \frac{r^3 \zeta^4 \omega}{\omega^2 \zeta^4 r^2 + \left(r^2 \zeta^2 - \frac{\omega^2}{4}\right)^2} \quad (32)$$

To solve for  $\frac{\partial}{\partial \omega} \Im[Z^T(\omega)] = 0$  with respect to  $\omega$ , we obtain the angular frequency at which the loss stiffness exhibits a peak value.

$$\omega = f(\zeta) \cdot r, \quad f(\zeta) = \sqrt{\frac{-8\zeta^4 + 4\zeta^2 + 8\zeta^2 \sqrt{\zeta^4 - \zeta^2 + 1}}{3}} \quad (33)$$

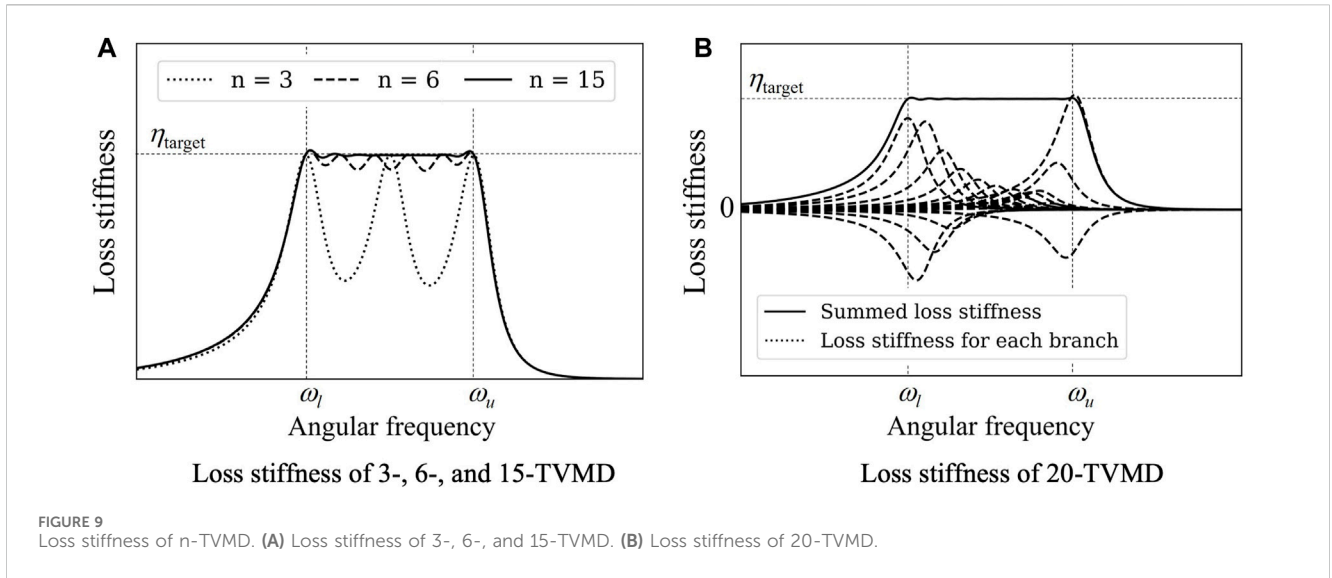


FIGURE 9 Loss stiffness of n-TVMD. (A) Loss stiffness of 3-, 6-, and 15-TVMD. (B) Loss stiffness of 20-TVMD.

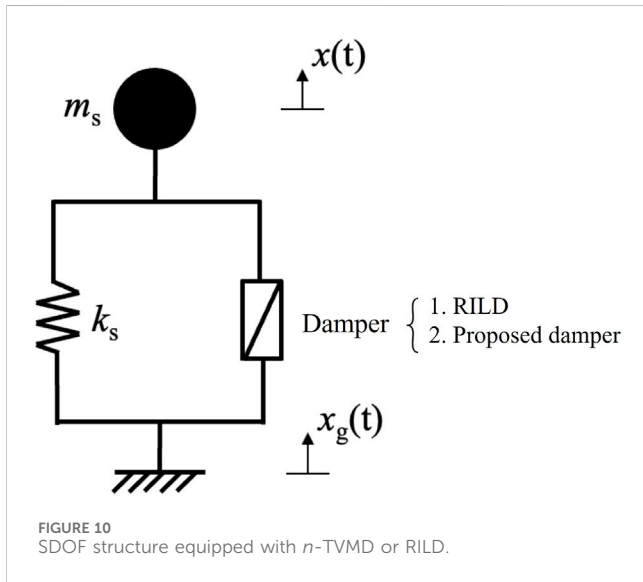


FIGURE 10 SDOF structure equipped with n-TVMD or RILD.

Therefore, the peak value of the loss stiffness is

$$\max_{\omega} (\text{Im}[Z^T(\omega)]) = k_d \frac{f(\zeta)}{f^2(\zeta) + \left(1 - \frac{f^2(\zeta)}{4\zeta^2}\right)} \quad (34)$$

Figure 7A presents the shape of the TVMD loss stiffness function and its peak value coordinates.

Eq. 34 indicates that the peak value of the loss stiffness is proportional to the stiffness of the branch  $k_d$ . As shown in Figure 7B, the peak value decreases and approaches  $k_d/2$  as the damping ratio of the branch  $\zeta$  increases.

Figure 7C shows that the shape of the loss stiffness function becomes longer and narrower as  $\zeta$  decreases.

## 4.2 Finite TVMD model

Herein, we denote a second-order causal RILD device comprising  $n$  branches of TVMDs as  $n$ -TVMD. For an  $n$ -

TVMD, the frequency band in which the loss stiffness is specified is limited to  $[\omega_\ell, \omega_u]$ .

Regarding the distribution of the relaxation parameters, Genta and Amati (2009) adopted geometric progression. Liu and Ikago (2021b) adopted fundamental natural frequencies as the relaxation parameters to avoid the parameters of their proposed device to become negative values. This study adopted a uniform distribution as follows:

$$\lambda_j = \omega_\ell + \frac{(j-1)(\omega_u - \omega_\ell)}{n-1}, \quad j = 1, 2, \dots, n \quad (35)$$

The number of branches  $n$  should be chosen properly to avoid negative parameters of the branches.

All the branches share the same damping ratio  $\zeta$ , and the  $j$ th branch is designed to exhibit a peak value at frequency  $\lambda_j$ . Thus, from Eq. 33,

$$r_j = \frac{\lambda_j}{f(\zeta)} \quad (36)$$

Let  $Z_n(\omega; \omega_\ell, \omega_u)$  denote the dynamic stiffness of the  $n$ -TVMD with a target frequency range  $[\omega_\ell, \omega_u]$ , and the loss stiffness is

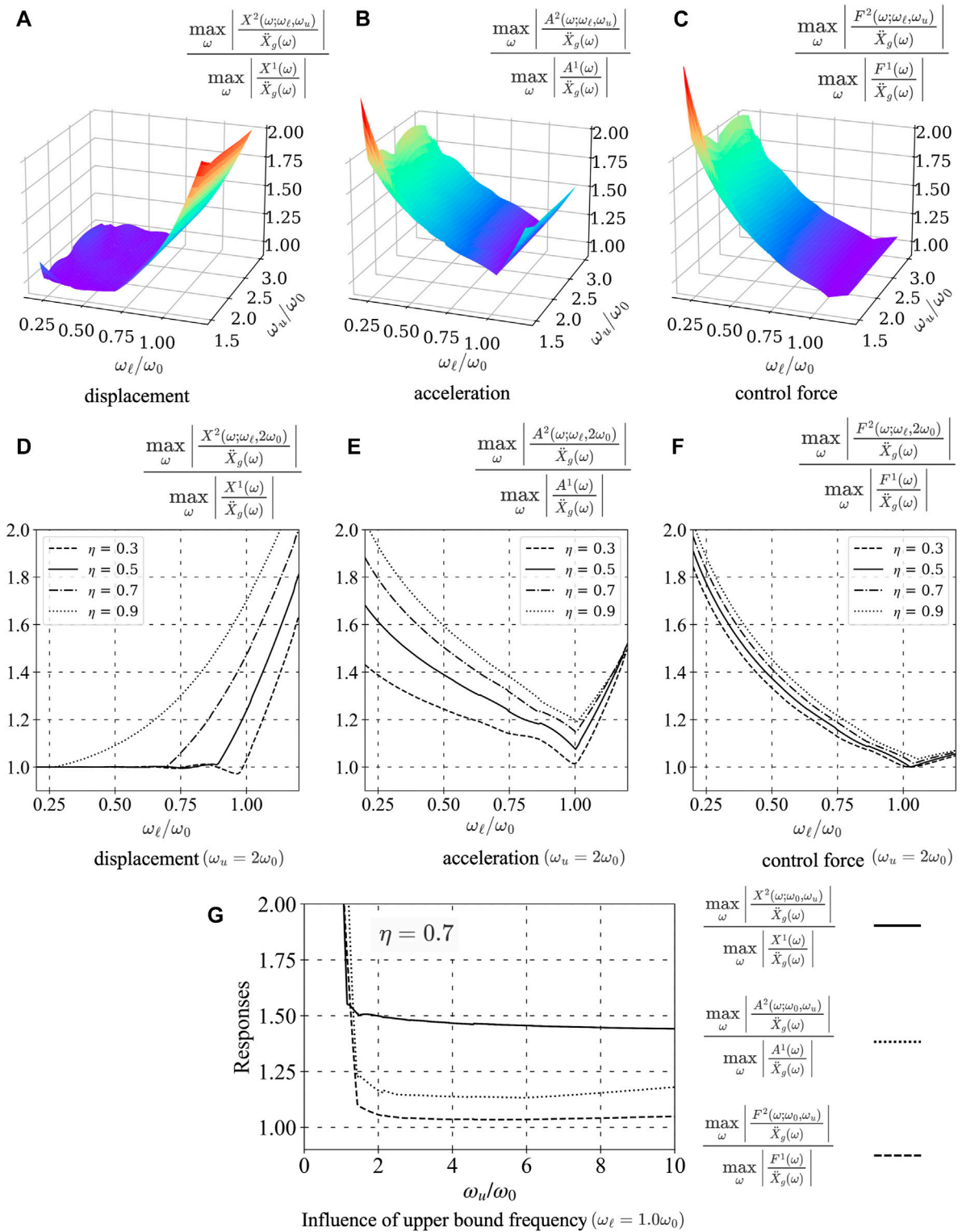
$$\begin{aligned} \text{Im}[Z_n(\omega; \omega_\ell, \omega_u)] &= \sum_{j=1}^n k_{d,j} \frac{r_j^3 \zeta^4 \omega}{\omega^2 \zeta^4 r_j^2 + \left(r_j^2 \zeta^2 - \frac{\omega^2}{4}\right)^2} \\ &= \sum_{j=1}^n k_{d,j} \frac{f(\zeta) \lambda_j^3 \omega}{f^2(\zeta) \omega^2 \lambda_j^2 + \left(\lambda_j^2 - \frac{f^2(\zeta) \omega^2}{4\zeta^2}\right)^2} \end{aligned} \quad (37)$$

We consider incorporating  $n$ -TVMD into a base-isolation layer, the isolator stiffness of which is  $k_s$ , and the target loss stiffness to be imparted is  $\eta k_s$ . Accordingly, the following condition is imposed to realize a constant loss stiffness within the frequency region of interest.

$$\begin{aligned} \text{Im}[Z_n(\lambda_1; \omega_\ell, \omega_u)] &= \text{Im}[Z_n(\lambda_2; \omega_\ell, \omega_u)] = \dots \\ &= \text{Im}[Z_n(\lambda_n; \omega_\ell, \omega_u)] = \eta k_s \end{aligned} \quad (38)$$

When we define  $\beta_j$  and  $Q_j(\lambda_k)$  as follows:





**FIGURE 11** Comparison of responses of ideal RILD and proposed model. (A,D) Displacement. (B,E) Acceleration. (C,F) Control force. (G) Influence of upper bound frequency.

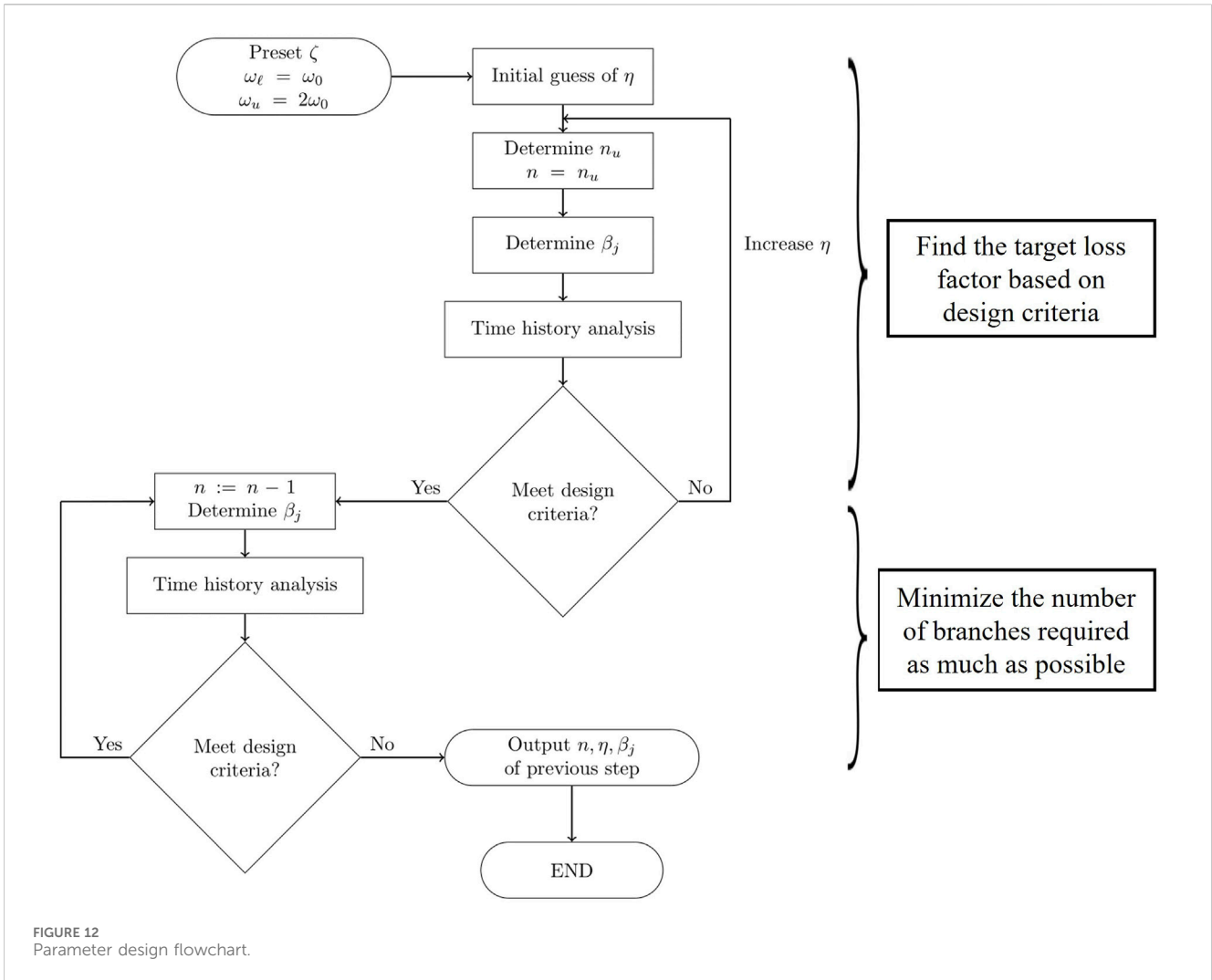


FIGURE 12 Parameter design flowchart.

$$\beta_j = \frac{k_{d,j}}{k_s}, \quad Q_j(\lambda_k) = \frac{f(\zeta)\lambda_j^3\lambda_k}{f^2(\zeta)\lambda_j^2\lambda_k^2 + \left(\lambda_j^2 - \frac{f^2(\zeta)\lambda_j^2}{4\zeta^2}\right)^2}, \quad (39)$$

Eq. 38 can be rewritten as

$$\frac{\text{Im}[Z_n(\lambda_k)]}{k_s} = \sum_{j=1}^n \beta_j Q_j(\lambda_k) = \eta, \quad j = 1, 2, \dots, n. \quad (40)$$

Figure 8 illustrates how constant loss stiffness is realized in a four-TVMD model in the specified frequency range. The dashed lines represent the loss stiffness of each branch. The summation of the loss stiffnesses of all the branches attains a constant value stiffness within the target frequency range, as shown by the solid line.

On solving Eq. 40 with respect to  $\{\beta_j\}$ , we obtain

$$\begin{Bmatrix} \beta_1 \\ \beta_2 \\ \vdots \\ \beta_n \end{Bmatrix} = \begin{bmatrix} Q_1(\lambda_1) & Q_2(\lambda_1) & \cdots & Q_n(\lambda_1) \\ Q_1(\lambda_2) & Q_2(\lambda_2) & \cdots & Q_n(\lambda_2) \\ \vdots & \vdots & \ddots & \vdots \\ Q_1(\lambda_n) & Q_2(\lambda_n) & \cdots & Q_n(\lambda_n) \end{bmatrix}^{-1} \begin{Bmatrix} \eta \\ \eta \\ \vdots \\ \eta \end{Bmatrix}. \quad (41)$$

A greater number of branches of TVMDs causes the loss stiffness to become flatter when ζ is fixed, as shown in Figure 9A.

However, some of the β<sub>j</sub> values become negative when λ<sub>j</sub> are uniformly distributed and n exceeds a certain value, as shown in Figure 9B. The maximum n required to maintain β<sub>j</sub> as positive, thereby ensuring the feasibility of the n-TVMD is denoted as n<sub>u</sub>, which can be reduced by increasing ζ.

Fewer branches of TVMD are preferable in practical structural design, which is realized at the expense of accuracy in approximating the constant loss stiffness. Discussions on achieving a compromise between feasibility and accuracy when designing an n-TVMD are presented in the next section.

### 4.3 Discussion on the target frequency band for single-degree-of-freedom systems

To elucidate the influence of the target frequency range  $[\omega_\ell, \omega_u]$  on the control efficacy of an n-TVMD, we examined an SDOF structure equipped with an n-TVMD, as shown in Figure 10.

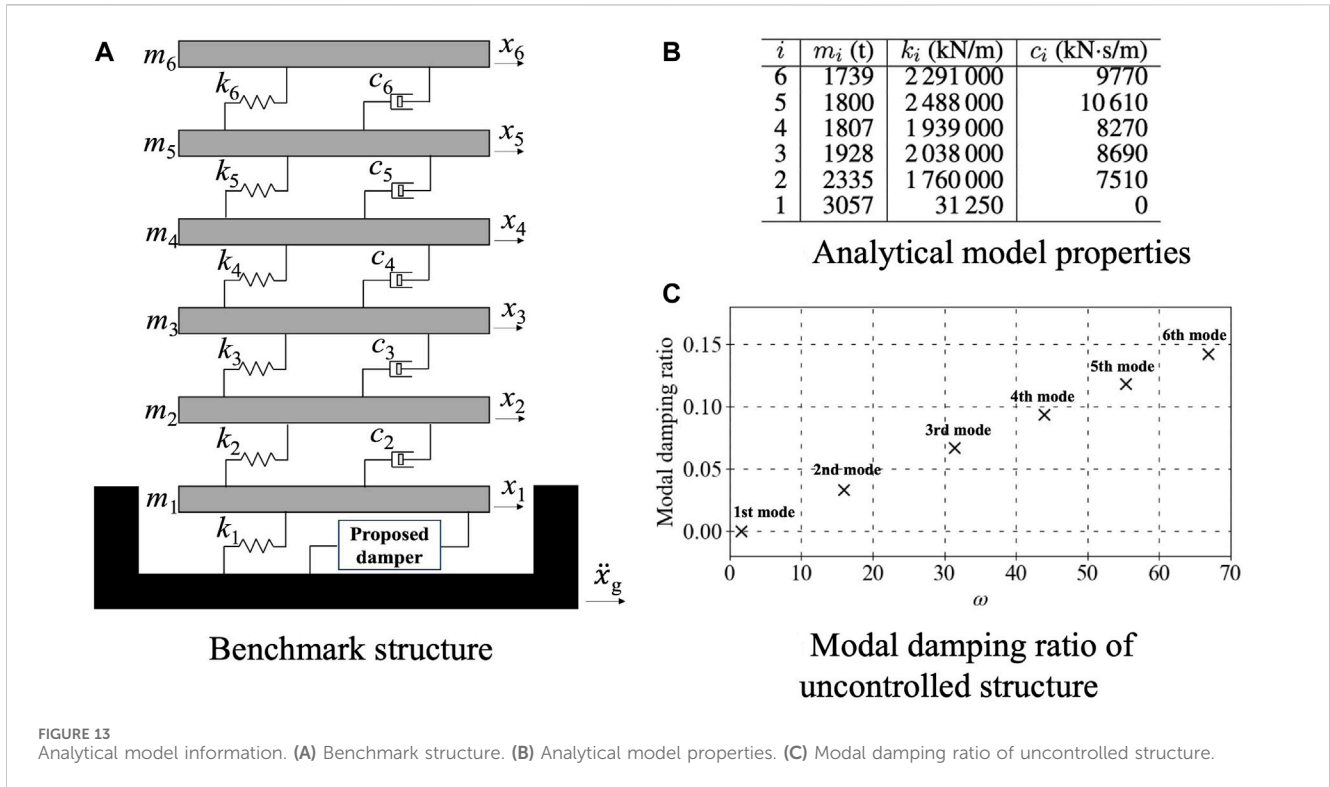


FIGURE 13 Analytical model information. (A) Benchmark structure. (B) Analytical model properties. (C) Modal damping ratio of uncontrolled structure.

The transfer functions from the ground acceleration  $\ddot{X}_g(\omega)$  to the displacement, floor response acceleration, and damper resistive force are

$$\begin{aligned} \frac{X^j(\omega; \omega_\ell, \omega_u)}{\ddot{X}_g(\omega)} &= \frac{m_s}{-\omega^2 m_s + k_s + Z^j(\omega; \omega_\ell, \omega_u)}, \\ \frac{A^j(\omega; \omega_\ell, \omega_u)}{\ddot{X}_g(\omega)} &= \frac{k_s + Z^j(\omega; \omega_\ell, \omega_u)}{-\omega^2 m_s + k_s + Z^j(\omega; \omega_\ell, \omega_u)}, \\ \frac{F^j(\omega; \omega_\ell, \omega_u)}{\ddot{X}_g(\omega)} &= \frac{Z^j(\omega; \omega_\ell, \omega_u) m_s}{-\omega^2 m_s + k_s + Z^j(\omega; \omega_\ell, \omega_u)}, \end{aligned} \quad (42)$$

where the superscript  $j$  represents the damping element incorporated into the structure;  $j = 1$  and  $2$  correspond to RILD and proposed models, respectively.

Thus,

$$\begin{aligned} Z^1(\omega; \omega_\ell, \omega_u) &= Z^{\text{RILD}}(\omega) = i\eta k_s \text{sgn}(\omega), \\ Z^2(\omega; \omega_\ell, \omega_u) &= Z_n(\omega; \omega_\ell, \omega_u). \end{aligned} \quad (43)$$

Figures 11A–C, present the peak values with respect to  $\omega$  of the transfer functions of the SDOF structure containing the  $n$ -TVMD system ( $j = 2$ ) normalized by those of the SDOF structure containing RILD ( $j = 1$ ). The horizontal axes indicate the lower- and upper-bound frequencies normalized by the fundamental angular frequency. The vertical axes indicate the peak values of (a) displacement, (b) acceleration, and (c) control force transfer functions normalized by those of RILD. The  $n$ -TVMD exhibits the best performance with a lower-bound frequency near  $\omega_0$ , while the displacement mitigation performance is close to that of RILD as long as the lower-bound frequency is lower than  $\omega_0$ . When the upper bound frequency exceeds twice the fundamental frequency, the performance of the  $n$ -TVMD approaches that of RILD.

Figures 11D–F, present the peak values of the transfer functions with respect to the lower-bound frequency as the loss factor is varied when the upper-bound frequency is fixed at twice the fundamental natural frequency. The upper bound of the lower-bound frequency  $\omega_\ell$ , which exhibits a displacement control performance similar to that of RILD, decreases as the loss factor increases, as shown in Figure 11D. However, the optimal lower-bound frequencies that minimize the acceleration and control force remain almost constant. Utilizing this characteristic can minimize the acceleration and damping force responses, rendering them closer to an ideal RILD. The increased displacement response can be managed with a higher loss factor to satisfy design requirements.

The above observations suggest that setting  $\omega_\ell = \omega_0$  is a viable option for  $n$ -TVMD to realize a control performance similar to that of an ideal RILD when the target loss factor  $\eta$  is relatively low. Figure 11G presents the cross-sections of Figures 11A–C along  $\omega_\ell = \omega_0$ . As discussed in Section 3.3, the proposed system improves the storage stiffness more than the conventional causal RILD model [see Figure 6A], resulting in a better approximation of the floor response acceleration and damper resistive force, especially when  $\omega_u > 2\omega_0$ . However, this is attained at the expense of the displacement response owing to the reduced loss stiffness at low frequencies [see Figure 6B].

Considering that a lower value of  $\omega_u$  necessitates a smaller number of branches, thus resulting in enhanced feasibility and cost efficiency, we suggest setting  $\omega_u = 2\omega_0$  for SDOF systems.

#### 4.4 Parameters design flowchart

Figure 12 presents the parameter design flow for an  $n$ -TVMD. The damping ratio  $\zeta$  and target angular frequency range  $[\omega_\ell, \omega_u]$  are given

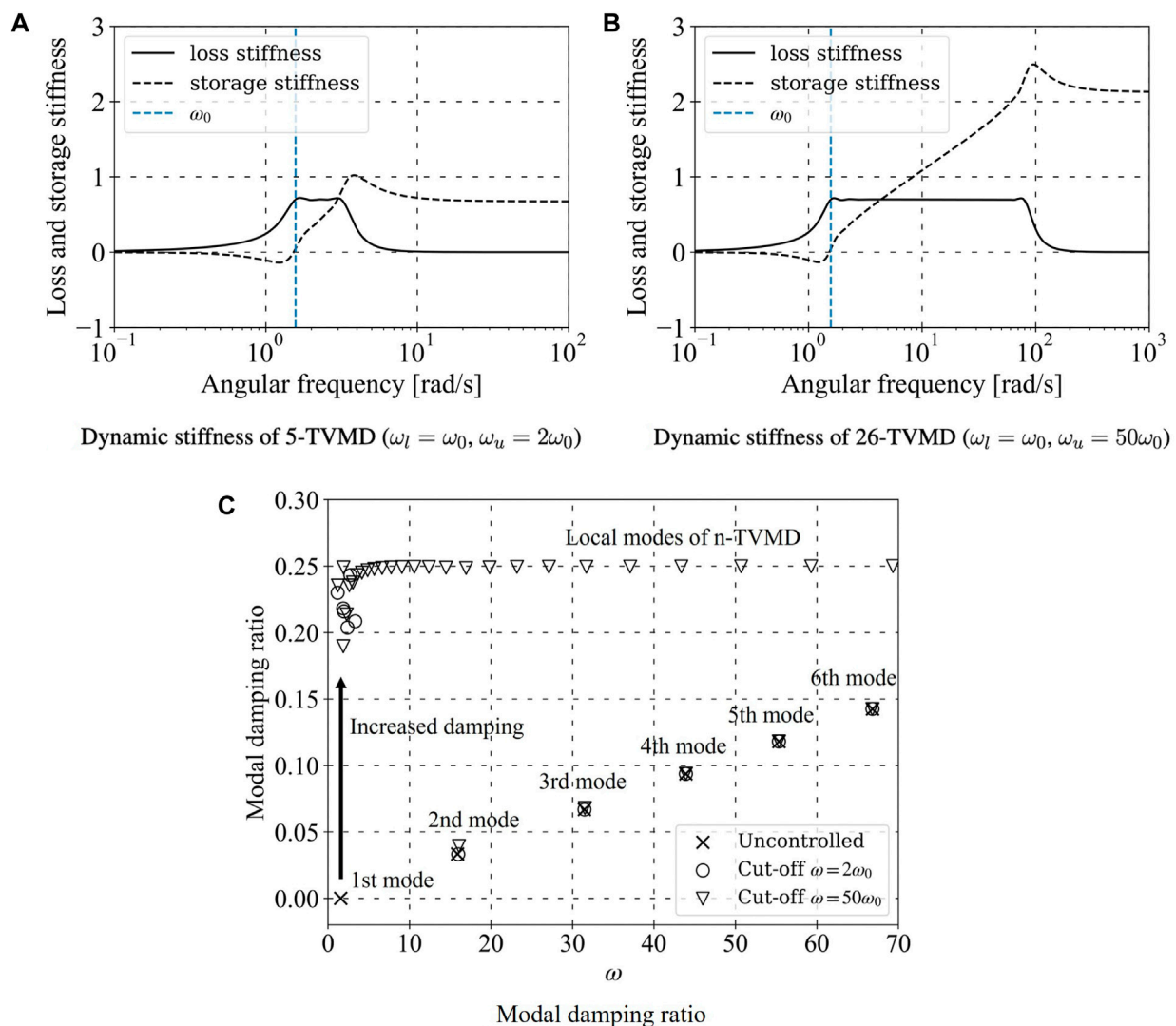


FIGURE 14 Analytical model equipped with 5-TVMD and 26-TVMD. (A) Dynamic stiffness of 5-TVMD. (B) Dynamic stiffness 26-TVMD. (C) Modal damping ratio.

first. Herein,  $\zeta = 0.25$ , and the target angular frequency range is  $[\omega_0, 2\omega_0]$ , where  $\omega_0$  is the fundamental angular frequency of the controlled structure. The first iteration is performed to identify the loss factor  $\eta$  with the maximum feasible number of branches  $n_u$  that satisfy the design criteria. In this study, we began with an initial estimate of the value of  $\eta$ , determined  $n_u$  and  $\beta_p$ , and performed a time-history analysis. The  $\eta$  value was gradually increased until the design criteria were satisfied. In the second iteration, the number of branches  $n$  was decremented until the minimum  $n$  satisfying the design criteria was reached.

### 4.5 Case study

Figure 13 presents the analytical model of an example multi-degree-of-freedom (MDOF) base-isolated structure with its properties listed in Figure 13B. The isolator horizontal stiffness was designed such that the fundamental undamped natural period was 4.0 s, following the base-isolation design practice in Japan.

The mass-proportional portion of the Rayleigh damping imparts undesirable damping to the first mode of the isolated structure beyond that provided by the dampers in the isolation layer, thus resulting in an underestimated seismic response, as reported in Hall (2006). Therefore, stiffness-proportional damping was adopted in this study, with a damping ratio of 2% assigned to the first mode of the superstructure with a fixed base (Ryan and Polanco, 2008; Anajafi et al., 2020). The inherent damping at the isolation layer is ignored. Figure 13C presents the modal damping ratios of the structure with no damping devices incorporated into the isolation layer.

#### 4.5.1 Discussion on target frequency band for MDOF structure

This section presents an investigation of the high-mode effect on the performance of the  $n$ -TVMD incorporated into the example MDOF base-isolated structure.

Here, the loss factor is  $\eta = 0.7$ . In the case of the target frequency range, two cases,  $[\omega_0, 2\omega_0]$  and  $[\omega_0, 50\omega_0]$ , were investigated. The upper-bound frequency of the former case was the same as that of the

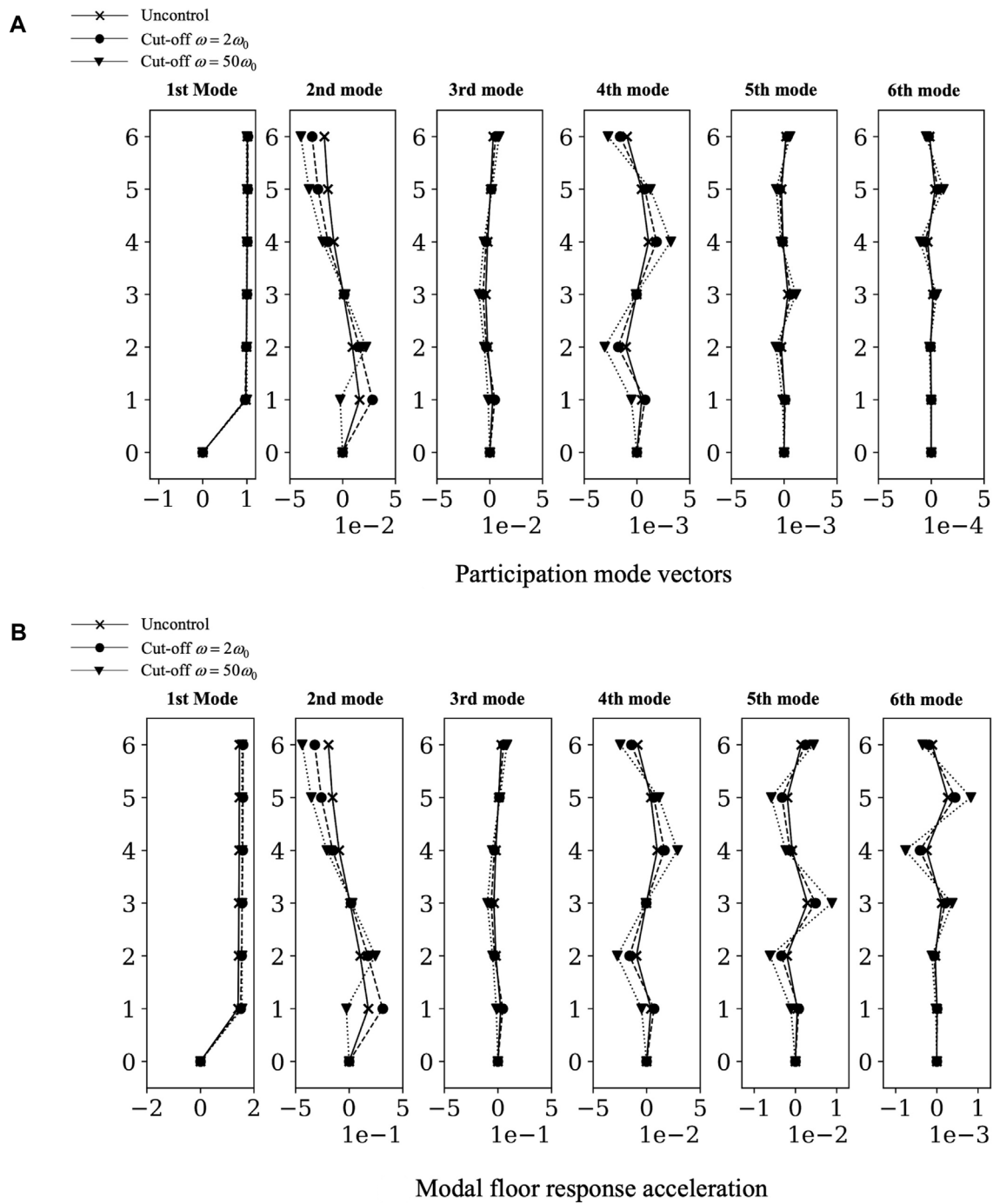


FIGURE 15 Participation mode vectors and modal floor response acceleration. (A) Participation mode vectors. (B) Modal floor response acceleration.

SDOF case. The latter case was a control, the target angular frequency range of which includes all fundamental natural angular frequencies of the entire structure. The former and latter cases comprised 5 and 26 branches, respectively. Figures 14A, B present the dynamic stiffnesses of the 5- and 26-TVMD systems, respectively. It should be noted that narrowing the target frequency band reduces the undesirable storage stiffness at high frequencies.

Figure 14C presents the modal damping ratios of the uncontrolled structure and cases comprising the 5- and 26-TVMD systems. The  $\times$ ,  $\circ$ ,

and  $\nabla$  notations represent the uncontrolled and 5- and 26-TVMD controlled cases, respectively. The  $n$ -TVMDs adds  $n$  degrees of freedom, thus resulting in  $n$  additional modes owing to the inerters. The  $\circ$  and  $\nabla$  notations, which are distributed at a damping ratio of approximately 0.25, represent the damping ratios of the locally independent modes of TVMD branches. The damping ratio of the first mode was increased by  $n$ -TMVDs, while those of the higher modes remained almost constant. This is because the  $n$ -TVMDs are activated by the isolator displacement, which is dominated by the first mode.



TABLE 1 Ground motions.

Number	Earthquakes	Station	Component
	Recorded ground motions		
1	Imperial Valley, United States, 1940	El Centro	N-S
2	Kern County, United States, 1952	Taft	E-W
3	Tokachi-oki, Japan, 1968	Hachinohe Harbor	N-S
	Synthetic ground motions		
4	Imperial Valley, United States, 1940	El Centro	N-S
5	Kern County, United States, 1952	Taft	E-W
6	Kobe, Japan, 1995	JMA Kobe	N-S

TABLE 2 Damper properties.

LVD	RILD	$n$ -TVMD			
		$n = 3, \eta = 0.85$			
		Parameters	TVMD1	TVMD2	TVMD3
$\eta = 0.5$	$\eta = 0.56$	$m_d$ (t)	3,215	1,171	1,039
		$k_d$ (kN/m)	8,452	6,157	10,925
		$c_d$ (kN-s/m)	2,606	1,343	1,684

Figure 15A presents a comparison of the participation mode vectors of the uncontrolled, 5-TVMD controlled ( $\omega_u = 2\omega_0$ ), and 26-TVMD controlled ( $\omega_u = 50\omega_0$ ) cases. This figure indicates that an increase in the number of branches  $n$  to include the high-mode frequencies only slightly improves the seismic response displacement because the participation of high modes is limited.

Supplementary Figure S1 presents the acceleration spectrum for the type-two surface subsoil provided in the building seismic design code of Japan. Modal floor response accelerations, as products of the participation mode vectors and acceleration spectra, are presented in Figure 15B. In contrast to the displacement response, the high modes—and especially the second mode—have a significant effect on the floor response accelerations. The addition of  $n$ -TVMDs increases the floor response acceleration. Moreover, widening the target frequency band increases the floor response accelerations.

Thus, the selection of the upper bound of the target frequency as twice the fundamental natural angular frequency is a better option for mitigating floor response acceleration.

#### 4.5.2 Time history analysis

Three recorded and three synthetic ground motions were employed as design earthquakes, in accordance with the practice in Japan. The three recorded ground motions were scaled such that their peak ground velocities (PGVs) were 0.5 m/s. Table 1 lists the details of these ground motions. The synthetic ground motions were generated such that their response acceleration spectra were compatible with the design spectrum, as shown in Supplementary Figure S1. Each ground motion is identified by a number, as shown in Table 1.

For comparison, a base-isolated structure comprising ideal RILD, LVD, and the proposed model ( $n$ -TVMD) was examined.

These three damping systems were designed such that the maximum isolator displacement yielded by the six ground motions was 0.3 m. The condition of the isolator displacement was employed as the design criterion for the design flow presented in Figure 12. Following the design process presented in the previous section, the number of TVMDs was reduced to three. Table 2 lists the designed properties of the damping models that satisfy this criterion.

Supplementary Figure S2A presents the time-history seismic responses of the three systems under No. 6 ground motion (synthetic ground motion with the 1995 JMA Kobe record phase property). The diagram shows that the ideal RILD has a clear advantage in protecting seismic isolation structures, and the performance of the proposed model lies between RILD and LVD. The maximum values from the time-history results of the damping force and damper stroke demonstrate the feasibility of the proposed model in practical engineering applications. Supplementary Figures S2C, S2D present a comparison of their maximum responses under each ground motion. The No. 6 ground motion yielded the highest responses in terms of isolator displacement and rooftop response acceleration. Thus, the No. 6 ground motion was used to realize the damping system design, and both systems yielded a maximum isolator displacement of 0.3 m for the No. 6 ground motion.

Supplementary Figure S2B presents a description of the relationship between the maxima of the isolator displacement and floor response acceleration yielded by the RILD, LVD, and proposed damping models subjected to six ground motions. The isolator displacement decreased as the loss factor of the damping systems increased, while an excessively large loss factor compromises the floor response acceleration in the LVD and proposed models. The proposed system realizes a similar response mitigation effect to the RILD if an isolator displacement of 0.4 m or greater is allowed. There are no feasible designs for LVD in the gray area, i.e., the area in which the maximum allowable isolator displacement and rooftop acceleration are less than 0.36 m and 1.43 m/s<sup>2</sup>, respectively. Nonetheless, there are feasible designs for RILD and the proposed model.

#### 4.6 Feasibility of proposed model

The proposed model comprises multiple branches of TVMDs. A TVMD is a commercially available device that has been applied to real-life building structures in Japan (Sugimura et al., 2012; Ishii et al., 2014;



Ogino and Sumiyama, 2014). Supplementary Figure S3A illustrates the installation of a TVMD in a building frame (Sugimura et al., 2012). The inertance, stiffness, and maximum resistive force of the TVMD device are 5,400 tons, 7,300 kNm/s, and 68,600 kN/m, and 1,200 kN, respectively. Thus, the parameters of the TVMD devices listed in Table 2 fall within the feasible range. When incorporating the proposed device into a seismic isolation layer, the rotary inerter dampers are connected to a laminated rubber device to provide a tuned stiffness, as shown in Supplementary Figure S3B.

This study focused exclusively on theoretical and numerical analyses. Therefore, experimental studies using small- or full-scale specimens should be conducted in the future. In addition, because the rotary inerter damper is a relatively new device that has not yet been widely adopted, its cost is considered a challenge.

## 5 Conclusion

The amplitude and phase of the RILD resistive force were proportional to the displacement and in phase with the velocity, respectively. This resulted in the benefit of the direct control capability of the seismic response displacement in a low-frequency structure such as a seismically isolated building.

This study was motivated by one of the most successful causal RILD models, i.e., Biot's model, which comprises infinite Maxwell elements with first-order dynamic stiffness. The dynamic stiffness of each branch was expanded to the second order. Because a branch of second-order dynamic stiffness can be decomposed into two branches with first-order dynamic stiffness, it was found that the second-order causal RILD model encompasses Biot's model, which suggests that the proposed system can improve the storage stiffness while maintaining constant loss stiffness characteristics.

As a physical device used for realizing the proposed second-order branch, the TVMD, which is a commercially available device, is employed. The negative-stiffness effect of an inerter in a TVMD branch successfully reduces the undesirable storage stiffness compared to Biot's model.

By limiting the target frequency range in which a constant loss stiffness is achieved, the required number of branches is significantly reduced, thus enhancing the feasibility of the proposed device.

An analytical example was used to demonstrate that the proposed device approximated the performance of RILD well when the maximum allowable isolator displacement was 0.4 m or greater. The performance of the proposed device degraded when the demand for isolator displacement mitigation became more severe; nevertheless, it outperformed LVD. Moreover, the properties of the

proposed device, i.e., the inertance, damping coefficients, stiffness, and maximum resistive force were in the realizable range.

## Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## Author contributions

BW: Funding acquisition, Investigation, Writing–original draft, Writing–review and editing. KI: Supervision, Writing–original draft, Writing–review and editing. SK: Conceptualization, Methodology, Validation, Writing–original draft, Writing–review and editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fbuil.2024.1411170/full#supplementary-material>

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