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Analytical and numerical case studies on tailoring stiffness for the design of structures with displacement control

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This paper discusses the role that structural stiffness plays in the context of designing adaptive structures. The focus is on load-bearing structures with adaptive displacement control. A design methodology is implemented to minimize the control effort by making the structure as stiff as possible against external loads and as flexible as possible against the effect of actuation. This rationale is tested using simple analytical and numerical case studies.

KEYWORDS

adaptive structures, optimization, mass reduction, actuators, displacement control

1 Introduction

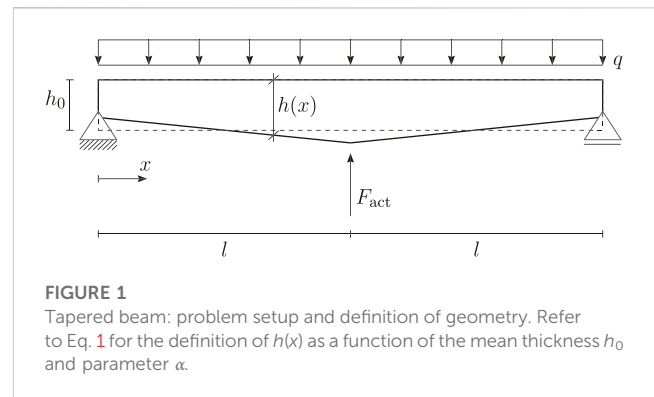
The integration of load-bearing structures with active elements can improve the performance of a certain amount of available material or, *vice versa*, reduce the material required to achieve a target performance. When strategic changes in the geometry and stiffness of parts of the structure are developed through actuation, it is possible to counteract the effect of external loads by reducing and optimizing stress, deformation, and vibration states. Early work in the design and application of adaptive structures was carried out by [Zuk and Clark \(1970\)](#) and [Domke \(1992\)](#), showing the potential for material savings compared to conventional passive structures. Early concepts of design and control methods for structures equipped with active components, primarily for vibration control, are given by [Soong \(1988\)](#), [Wada \(1990\)](#), [Reinhorn et al. \(1993\)](#), [Utku \(1998\)](#), and [Adam and Smith \(2008\)](#). A comprehensive state-of-the-art review up to 2011 is provided in [Korkmaz \(2011\)](#). Recent investigations are reviewed by [Neuhäuser et al. \(2013\)](#) and [Schwegmann \(2022\)](#).

For civil engineering applications, [Sobek and Teuffel \(2001\)](#), [Senatore et al. \(2011\)](#), [Geiger et al. \(2020a\)](#), [Reksowardojo et al. \(2022\)](#), and [Blandini et al. \(2022\)](#), among others, have shown that structural adaptation is particularly beneficial for stiffness-governed structures such as high-rise buildings and long-span roof structures. The first adaptive high-rise building, named D1244, is a 36.5-m tower equipped with 12 actuators that has been realized within the framework of the Collaborative Research Center SFB 1244 “Adaptive Skins and Structures for the Built Environment of Tomorrow” ([Blandini et al., 2022](#)). Investigation on adaptive bridge structures has also been carried out. A lightweight and flexible stress-ribbon footbridge equipped with pneumatic muscle actuators fitted in the handrail was implemented by [Bleicher et al. \(2011\)](#). Experimental testing was carried out on a small-scale model of a single-span beam bridge known as the *Stuttgarter Träger* ([Teuffel, 2004](#)). Displacements caused by a moving load were controlled through the action of a linear

actuator that, by changing the orientation of the end support, caused a counteracting bending moment. Recent studies on active vibration control (Reksowardojo et al., 2022) have focused on retrofitting high-speed railway bridges with adaptive tensioning systems, showing that it is possible to reduce the acceleration peaks caused by train crossings to acceptable limits without incurring a weight penalty due to oversizing.

Generally, relying on stiffness generated through material resistance to satisfy serviceability requirements results in oversizing as most civil structures are subjected to strong but rarely occurring loading events. Instead, structural adaptation enables a significant reduction of material, carbon, and energy requirements because deflection limits can be satisfied through geometry and stiffness control when required, that is, upon the occurrence of a strong loading event. The design philosophy adopted in most studies on adaptive structures is to employ active control to satisfy serviceability limit states (SLS). However, active control is typically not required to satisfy ultimate limit states (ULS), thus avoiding the risk of loss of load-bearing capacity in the event of control system failure and concurrent occurrence of extreme loading events. A holistic design and optimization approach for adaptive structures was developed by Senatore et al. (2019). The structural elements are sized to take the ULS without requiring active control (i.e., passively) but ignoring geometric compatibility and displacement limits (SLS), typically producing very flexible and lightweight structures for stiffness-governed problems. Then, actuators are optimally placed, and control commands are obtained to satisfy SLS requirements using minimum actuation energy. This approach was further developed into an all-in-one (AIO) optimization formulation by mixed-integer programming whereby cross-section sizing, actuator placement, and control commands are obtained simultaneously (Wang and Senatore, 2020; Wang and Senatore, 2021). In these studies, adaptive structures are designed by simultaneously minimizing the energy embodied in the material (sizing optimization) and the energy required for adaptation during service (actuator placement and control commands).

The work presented in this paper offers an alternative strategy to design structures that use active displacement control to comply with serviceability requirements. Basic design principles are employed to minimize the actuation effort by strategically tailoring the structural stiffness. Serviceability requirements typically concern the deflection and acceleration limits of the structural response under loading. For passive structures, satisfying serviceability limits results in material strength and element capacity being inefficiently exploited because stiffness requirements lead to over-dimensioning the load-carrying capacity. Alongside the amount of required material mass, an important indicator of the efficiency of adaptive structures is the required actuation effort. Reduction of material mass and deflections are contrasting objectives because stiff structures that embody large material mass undergo small deformations under loading. However, flexible structures with smaller material embodiment typically require smaller actuation energy for displacement control. As discussed by Senatore et al. (2019) and Geiger et al. (2020b), the design of adaptive structures requires a paradigm shift that accounts for adaptation early in the design phase. The performance of passive structures that are optimal with respect to a certain objective—for



example, limiting displacements by stiffness maximization—could be further improved through adaptation. However, the resulting adaptive structure would be suboptimal. For example, a structure having low performance in the passive state in terms of stiffness but purposefully designed to be adaptive could have significantly better performance if strategically integrated with active components. Generally, as shown by Senatore et al. (2019) and Geiger et al. (2020b), a holistic design of the adaptive system leads to higher quality solutions than those obtained by first designing an (optimal) passive structure and subsequently improving its performance through adaptation. This paper discusses basic principles for designing adaptive structures using simple problems that can be solved analytically. The main contribution of this work is to show how optimal solutions can be obtained to fulfill the conflicting objectives of a structure to be as stiff as possible against external loads and as flexible as possible to minimize actuation energy for displacement control. The working hypotheses derived from analytical studies are tested through numerical examples. While referring to typical structural configurations, such as beams, floor slabs, and trusses, the analytical and numerical studies formulated in this work are mainly intended to illustrate the advantages of active displacement control in different scenarios.

The paper is structured as follows: in Section 2, strategies for designing adaptive structures are discussed using simple configurations for which it is possible to obtain analytical solutions. Section 3 presents numerical studies in which the analytically derived solutions are verified through finite element analyses, including an adaptive ribbed slab configuration. Finally, the results are summarized and discussed in Section 4.

2 Analytical case studies

2.1 General concept

In the following subsections, conceptual case studies show the interaction between load, structural stiffness, and actuation effort for structures with active displacement control. The structural configurations under examination are designed so that response analysis and optimization of design parameters are obtained through exact analytical solutions. This allows for a rigorous assessment of the basic concepts proposed herein.

2.2 Tapered beam with single force actuation

2.2.1 Problem setup

An elastic beam of length $2l$ is pinned at both ends and subjected to a uniformly distributed load q . The cross section is symmetrically tapered along the beam's length, defined by a constant width b , mean height (i.e., thickness) h_0 , and a tapering constant α , as indicated in [Figure 1](#). A single vertical actuation force F_{act} is applied at the center of the beam. F_{act} could be applied by a hydraulic actuator ([Kelleter et al., 2020](#)), and it is assumed that it is slack in the passive state; that is, it does not contribute to the (passive) structural stiffness. Applying symmetry boundary conditions at mid-span, only the left half of the system is modeled. The cross-sectional height of the symmetric structure is defined by the function

$$h(x) = \frac{h_0}{l} [(1 - \alpha)l + 2\alpha x], \quad (1)$$

with $0 \leq x \leq l$.

For $\alpha = 0$, the height is constant, $h = h_0$, while for $\alpha = 1$, it is zero at the supports and $h = 2h_0$ at mid-span. Vice versa, for $\alpha = -1$, the height is $h(0) = 2h_0$ at the supports and $h(l) = 0$ at mid-span. In the following, α is restricted to

$$-\frac{3}{4} \leq \alpha \leq \frac{3}{4} \quad (2)$$

to exclude impractical small cross sections. An exemplary evaluation of $h(x)$ for a few values of α is shown in [Supplementary Material S1](#). The volume of the beam is

$$V = 2h_0bl, \quad (3)$$

which is independent of α . The structure is modeled as a straight beam, neglecting the small inclination of its axis resulting from the tapering. Bernoulli beam theory is employed for a linear elastic analysis. One load case q is considered. The observed system response is the mid-span deflection w_l , subject to the constraint

$$w_l \leq \hat{w} \quad (4)$$

where \hat{w} can be set to a specific deflection limit. Minimizing the volume V (and thus the mass) and the actuation force F_{act} can be conflicting objectives, which might require a multi-objective optimization. This, however, is not considered in this work. Instead, the focus is on minimizing the actuation force for a target mass reduction compared to a passive system and satisfying the displacement limits (Eq. 4).

2.2.2 Passive system

Consider the passive system ($F_{act} = 0$). Because the structure is statically determinate, the bending moment can be obtained directly from equilibrium and boundary conditions as

$$M(x) = \frac{1}{2}qx(2l - x), \quad (5)$$

which is independent of the cross section. The bending stiffness is

$$EI(x) = \frac{Ebh_0^3[(1 - \alpha)l - 2\alpha x]^3}{12l^3}. \quad (6)$$

Inserting the curvature equation $\kappa = \frac{M}{EI}$, integrating twice, and applying the required boundary conditions gives the exact solution for the deflection $w(x)$. The deflection at mid-span is

$$w_l = \frac{3ql^4((\alpha + 3)(\alpha + 1)^2 \ln(\frac{1+\alpha}{1-\alpha}) - 12\alpha^3 - 14\alpha^2 - 6\alpha)}{8(\alpha + 1)^2 Ebh_0^3 \alpha^4}. \quad (7)$$

The deflection as a function of the position along the beam is given in [Supplementary Material S2](#). The passive system is optimized through volume (mass) minimization, ensuring that the deflection limit is satisfied without actuation. The problem formulation is

Objective function	$V(h_0)$
Design variables	h_0 and α
Constraints	$w_l \leq \hat{w}$

As the objective function is linear, the constraint is active at the optimum, $w_l = \hat{w}$. Because the analytic expressions are rather elaborate, a numerical example sets $q = 5$ kN/m, $l = 5$ m, $b = 2.5$ m, and $E = 3 \times 10^7$ kN/m². Solutions are obtained for different values of \hat{w} .

\hat{w}	h_0	α	V
0.04 m	0.2082 m	0.5374	1.0412 m ³
0.03 m	0.2292 m	0.5374	1.1460 m ³
0.02 m	0.2624 m	0.5374	1.3118 m ³
0.01 m	0.3306 m	0.5374	1.6528 m ³

The tapering coefficient α is identical for all solutions, ensuring maximum stiffness for a given volume determined by the average cross-section height h_0 .

When α is positive, more material is placed in the beam mid-span, increasing the stiffness in the region of significant bending moment. The average cross-section height h_0 increases as \hat{w} is reduced because larger stiffness is required to satisfy tighter deflection limits. In the limit of $\hat{w} \rightarrow 0$, the required h_0 and thus the volume—tends to infinity. Reducing the deflection to zero would require an infinite stiffness, which can only be satisfied by the active system through displacement control (e.g., $F_{act} \neq 0$).

2.2.3 Adaptive system, design for minimum actuation effort

The adaptive system is equipped with a single actuator applying a vertical force F_{act} . Taking the values from [Section 2.2.2](#) as a reference, the volume of the beam is restricted to $V = 1.0$ m³ (i.e., $h_0 = 0.2$ m). Considering the benchmark solutions for the passive system, it is clear that this leads to a displacement $w_l > \hat{w}$ for all cases, such that actuation is required.

The average cross-section height h_0 is fixed in this study; therefore, the tapering coefficient α is the only design variable. Different strategies are tested to minimize the actuation force.

Strategy n1 is to optimize the *passive* system for stiffness to minimize the difference displacement

$$\Delta w = w_{pas} - \hat{w} \quad (8)$$

that must be compensated by actuation. In [Eq. 8](#), w_{pas} is the displacement of the passive system (i.e., uncontrolled displacement). From previous results ([Section 2.2.2](#)), optimizing

the passive stiffness yields a value of $\alpha = 0.5374$. The corresponding non-controlled displacement (i.e., without actuation) is $w_{\text{pas}} = 0.0452$ m. The actuation forces required to meet different deflection requirements and the mass reductions compared to the benchmark passive system are

\hat{w}	F_{act}	mass reduction
0.04 m	1.9867 kN	4.0%
0.03 m	5.8440 kN	12.7%
0.02 m	9.7012 kN	23.8%
0.01 m	13.5584 kN	39.5%
0.00 m	17.4156 kN	(100%)

A mass reduction of 100% is theoretically possible because the passive system would need an infinite stiffness to satisfy a zero deflection limit. It has been pointed out by Geiger et al. (2020b) and proved numerically through the formulations given in Senatore et al. (2019) and Wang and Senatore (2020) that a holistic optimization of the adaptive system leads to better quality solutions than fitting actuation to an optimized (stiffness maximized) passive structure.

Following these approaches, **strategy n2** and the corresponding optimization problem can be formalized as follows.

Objective function	F_{act}
Design variable	α and F_{act}
Constraints	$w_l \leq \hat{w}$ and $h_0 = 0.2$ m

Analytic expressions of the problem solution are derived as mentioned previously. In particular, the objective function is given as

$$F_{\text{act}}(\alpha) = \frac{2Ebh_0^3\hat{w}\alpha^3(\alpha^2 + 2\alpha + 1)}{3l^3(4\alpha^2 + \Lambda + 2\alpha)} + \frac{ql(12\alpha^3 + 2\alpha(7\alpha + 3) + \Lambda(\alpha + 3))}{4\alpha(4\alpha^2 + \Lambda + 2\alpha)},$$

with $\Lambda = (\alpha + 1)^2 \ln \frac{1 - \alpha}{1 + \alpha}$.

(9)

The formula of F_{act} is reported in **Supplementary Material S3**. Minimization for different deflection limits \hat{w} yields the following results.

\hat{w}	α	F_{act}	w_{pas}
0.04 m	0.5274	1.9868 kN	0.0452 m
0.03 m	0.4980	5.8164 kN	0.0452 m
0.02 m	0.4374	9.5887 kN	0.0458 m
0.01 m	0.2197	13.1221 kN	0.0516 m
0.00 m	-0.75	13.5175 kN	0.6982 m

Mass reduction is the same as strategy n1 because the volume has been prescribed. Instead, the displacements w_{pas} obtained for the passive case ($F_{\text{act}} = 0$ kN) are given. The actuation forces F_{act} are smaller than those required to control the mass-

optimized structure in the passive state (i.e., strategy n1). That being said, the difference in the required actuation forces is marginal because, given a certain volume, the load-carrying behavior of this simple statically determinate system is only marginally affected by α . The differences are more pronounced for the underslung beam structure investigated in **Section 2.3**.

The reduction of the actuation force becomes more important as the deflection limit becomes tighter. The uncontrolled displacement of the optimal structure gradually increases as \hat{w} is reduced. For the case $\hat{w} = 0.00$ m, the optimal structure has an uncontrolled displacement of almost 70 cm. The optimum is a local minimum at the boundary of the admissible domain as the constraint $\alpha \leq -0.75$ becomes active. The corresponding structure has a very small cross section in mid-span (for $\alpha = -1$, it would essentially be a hinge) and is, therefore, quite flexible. That being said, the actuation effort is smaller than that required for the stiffer system produced from strategy n1 due to the flexibility of the structure.

Strategy n3 is derived from these observations. To minimize the displacement to be compensated, the difference $\Delta w = w_{\text{pas}} - \hat{w}$ should be as small as possible. On the other hand, the displacement w_{act} produced by a unit actuation $F_{\text{act}} = 1$ should be as large as possible. In other words, the structure must be stiff

Objective function	$O(\alpha) = \frac{w_{\text{pas}} - \hat{w}}{w_{\text{act}}}$
Design variable	α
Constraints	$h_0 = 0.2$ m

against the external load q and flexible against actuation. This can be achieved by minimizing the ratio between Δw and w_{act} . The corresponding optimization problem is

The analytical computation shows that the objective function is identical to the expression for F_{act} in Eq. (9) and that optimization strategy n3 gives the same solution as strategy n2, which minimizes the required actuation force. This observation can be explained by computing the actuation force from the displacement w_{act} caused by a unit actuation and the required displacement compensation Δw ,

$$F_{\text{act}}w_{\text{act}} = \Delta w = w_{\text{pas}} - \hat{w} \Rightarrow F_{\text{act}} = \frac{w_{\text{pas}} - \hat{w}}{w_{\text{act}}}. \quad (10)$$

In this case, the solutions are identical owing to the simple problem setup that considers a single displacement variable. However, a more general statement can be formulated:

“To minimize the actuation effort for displacement control, the structural design should maximize stiffness against the external loads and minimize stiffness against actuation.”

An overview of the results (for $\Delta w = 0.01$ m) of the different optimization strategies is shown in **Table 1**. Strategy n2 and strategy n3 produce identical solutions. Strategy n1 gives a different solution requiring a slightly higher actuation force.

Generally, because the effect of external loads and actuation forces lead to different deformation modes, there is a certain potential to realize both apparently contrasting objectives. This is demonstrated in the following section by a simple example structure with two different load-carrying mechanisms.

TABLE 1 Tapered beam, optimization results.

	Strategy n1	Strategy n2	Strategy n3
α	0.5373	0.2197	0.2197
w_{pas}	0.0452 m	0.0516 m	0.0516 m
F_{act}	13.558 kN	13.122 kN	13.122 kN

2.3 Underslung beam

2.3.1 Problem setup

An underslung beam, shown in Figure 2 is subjected to a uniform load q_0 . In addition, the self-weight g of the beam is considered. The displacements w_A and w_B at locations A and B indicated in Figure 2 are observed. The stiffness of the structure comprises the bending stiffness of the beam, which depends on the cross-section height, h , and the stiffness of the underslung cable, which depends on the diameter, d . The geometry is chosen to balance the stiffness provided by the cable and the bending stiffness of the beam. As only linear analyses are performed in this study, the effects of prestress and geometric stiffness in the cable are neglected.

The displacements are limited to $w_{max} = 2$ cm. In addition, the mid-span displacement w_B should be as small as possible. For example, the vertical member is equipped with a linear actuator that can change its length as a hydraulic actuator. Thus, w_B can be controlled to be exactly zero, and, as in the previous section, the actuation effort is minimized to achieve this objective. The objective to completely reduce the mid-span displacement is motivated by the aim to showcase the interplay between (passive) structural stiffness and the contribution of actuation, in this case, realizing an apparently infinite stiffness at the midpoint for the adaptive system. The total reduction of displacements through actuation has been experimentally verified in previous work (Senatore et al., 2017). In the following, N denotes the total normal force in the actuator. N_{act} is the actuation contribution to the total force. While the element force N is caused only by elastic deformation in the uncontrolled state, in the controlled state, the force is the sum of the elastic deformation of the element and the inelastic deformation caused by the actuator length change. The actuation contribution to the total force is denoted as N_{act} . N_{act} is used as a simple measure to quantify actuation effort. The passive case is considered first for the benchmark.

2.3.2 Passive system

The minimum mass required to satisfy the displacement limit is obtained by solving the following optimization problem.

Objective function	$M(h, d) = 2\rho_s\pi\left(\frac{d}{2}\right)^2\sqrt{\left(\frac{l}{2}\right)^2 + h^2} + \rho_c bhl$
Design variables	h and d
Constraints	$w_A \leq w_{max}$ and $w_B \leq w_{max}$

The problem can be solved analytically, and the following values are obtained.

Item	Value
Beam height	$h = 23.2$ cm
Cable diameter	$d = 11.3$ cm
Total mass	$M = 9400.9$ kg
Displacement A	$w_A = 2.00$ cm
Displacement B	$w_B = 0.70$ cm

The normal force in the (passive) vertical member is $N = -330.84$ kN.

2.3.3 Adaptive system

The vertical actuator can reduce the mid-span displacement w_B to zero. Using the passive configuration obtained in the previous section, an elongation $\Delta l = 0.71$ cm is required. The value is marginally larger than w_B because of the resulting vertical downward displacement caused by the flexibility of the cable. The corresponding actuation force contribution is $N_{act} = -3.85$ kN (the negative sign indicates a compression force). The total force in the actuator is $N = -300.84$ kN - 3.85 kN = -304.69 kN.

After actuation, the displacement is reduced to $w_A = 1.52$ cm. This is not optimal because the actuator has performed more work than necessary to satisfy the deflection limit $w_A \leq w_{max}$.

The remaining potential in the structure for the given mass $M = 9400.9$ kg can be used to reduce the actuation force contribution N_{act} . As mentioned previously, two different strategies are employed. **Strategy n1** seeks to obtain a system with maximum stiffness with respect to w_A and w_B . This gives the following optimization problem.

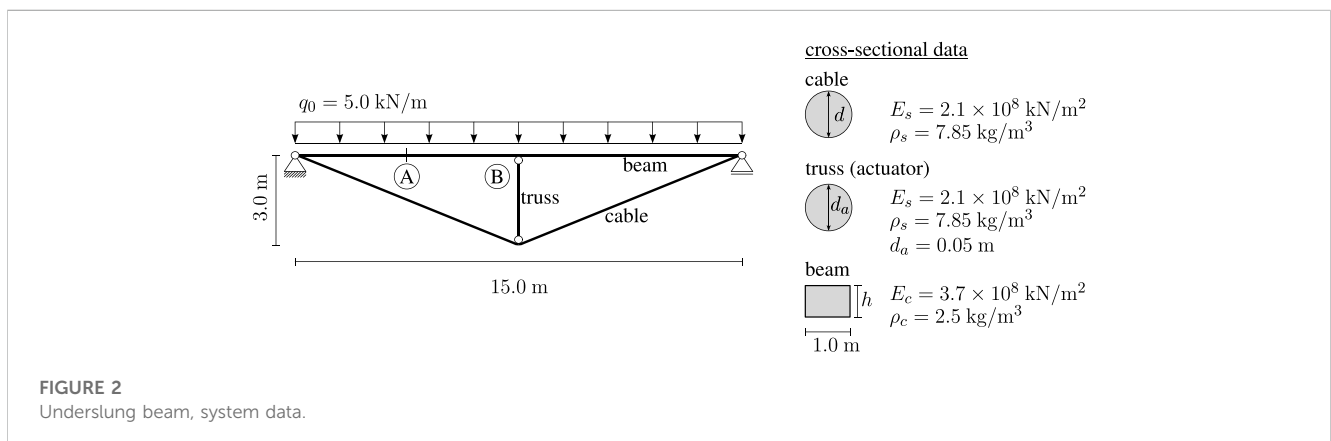


FIGURE 2 Underslung beam, system data.

Objective function	$O(h, d) = w_A + w_B$
Design variables	h and d
Constraints	$M = 9400.9$ kg

The analytical solution yields the following values.

Item	Value
Beam height	$h = 22.4$ cm
Cable diameter	$d = 13.7$ cm

Compared to the previous solution, more material is distributed in the underslung cable, whose stiffness is important to limit w_B . The uncontrolled displacements are

Item	Value
Displacement A (passive)	$w_A = 2.06$ cm
Displacement B (passive)	$w_B = 0.55$ cm

which do not satisfy the deflection limits. This is expected because the mass has been kept constant. The previous configuration is the only solution that satisfies the deflection limits without active control. However, the displacement w_B has been reduced by 21%. Therefore, the actuation required to eliminate the mid-span displacement, $\Delta l = 0.56$ cm, is also smaller. The resulting controlled displacements are

Item	Value
Displacement A (active)	$w_A = 1.68$ cm
Displacement B (active)	$w_B = 0.00$ cm

and the actuation force contribution is $N_{act} = -2.73$ kN, which is smaller than that required for the previous solution. Nevertheless, this solution is also suboptimal because the displacement w_A is over-compensated. The solution can be further improved by explicitly minimizing the actuation force and including the actuator length change in the design variables (**strategy n2**).

Objective function	$O(h, d) = N_{act}$
Design variables	h, d and Δl
Constraints	$M = 9400.9$ kg, $w_A \leq w_{max}$ and $w_B = 0$

The resulting design is

Item	Value
Beam height	$h = 21.1$ cm
Cable diameter	$d = 17.0$ cm

Compared to the previous designs, the diameter of the underslung cable is significantly increased, while the beam becomes more flexible. This has two effects. First, as the underslung structure is stiffer, the displacement w_B becomes smaller. Second, as the beam becomes more flexible, the force required for displacement control also becomes smaller. These observations align with the hypothesis formulated at the end of **Section 2.2.3**. The resulting uncontrolled displacements are

Item	Value
Displacement A (passive)	$w_A = 2.30$ cm
Displacement B (passive)	$w_B = 0.44$ cm

Because the beam is more flexible, the displacement at point A is significantly larger than in previous cases. However, through actuation, the mid-span displacement is eliminated, and point A is also controlled into the target position.

Item	Value
Displacement A (active)	$w_A = 2.00$ cm
Displacement B (active)	$w_B = 0.00$ cm

The required actuator length change is $\Delta l = 0.44$ cm, and the actuation force contribution is $N_{act} = -1.81$ kN. The actuation force is less than half of that required for controlling the mass-optimized passive solution and 24% smaller than that required in the solution produced by strategy n1. The total force in the actuator $N = -329.8$ kN is also reduced than the other solutions, albeit only marginally. This value cannot change significantly due to the structural configuration of a double-span continuous beam. **Section 2.2.3** showed that minimizing the ratio of uncontrolled displacement over the displacement caused by a unit actuation, that is, the flexibility against actuation, gives the same solution as minimizing the actuation force. This is also true for the example discussed in this section. **Table 2** gives the results obtained by the two optimization strategies. Strategy n3 results are not given because they are identical to those produced by strategy n2, as shown in **Section 2.2**.

3 Numerical investigation of an adaptive ribbed slab

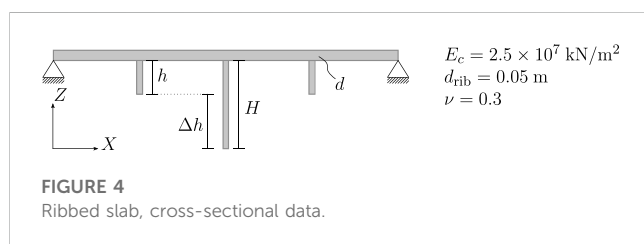
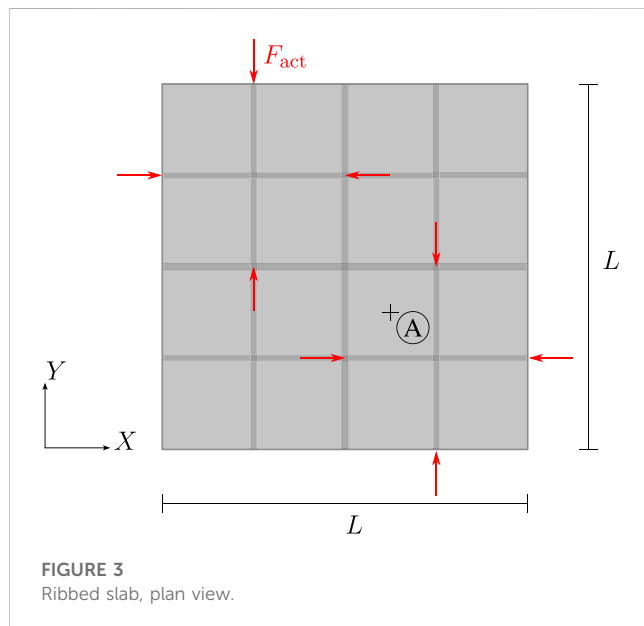
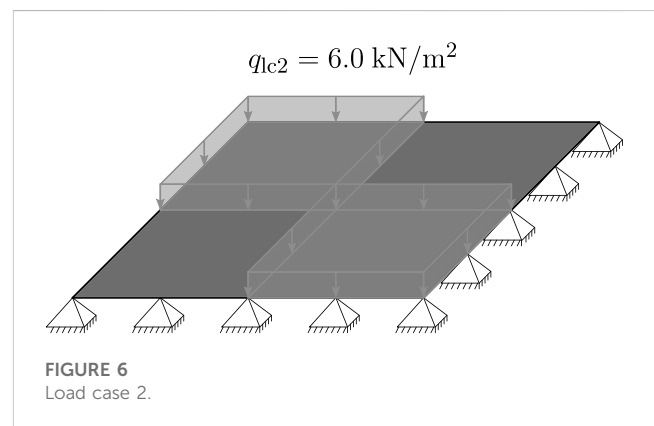
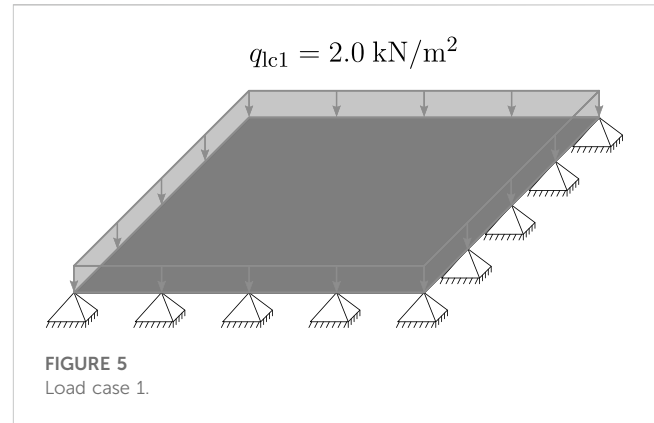
3.1 Problem setup

A square ribbed slab with side length $L = 10$ m and thickness d , simply supported along all four edges, is considered (**Figure 3**). There are main and secondary ribs (**Figure 4**). The height H of the main ribs differs by Δh from the height h of the secondary ribs. All ribs have identical width d_{rib} .

The two central main ribs divide the plate into four subsections. Each of these subsections is equipped with a pair of secondary ribs. Individual actuation of the secondary ribs is implemented through horizontal forces parallel to the rib axes. These forces can be thought

TABLE 2 Underslung beam, optimization results.

	Strategy n1	Strategy n2
h	22.4 cm	21.1 cm
d	13.7 cm	17.0 cm
N_{act}	-2.73 kN	-1.81 kN
w_A (passive)	2.06 cm	2.30 cm
w_A (active)	1.68 cm	2.00 cm
w_B (passive)	0.55 cm	0.44 cm
w_B (active)	0.00 cm	0.00 cm



of as generated by an actuator that pulls on a cable embedded in each rib (no bonding) via a duct, as carried out in Reksowardojo et al. (2022). For simplicity, the contribution of the cables to the (passive) stiffness of the structure is neglected. The height h of the secondary ribs equals the eccentricity of the actuation forces F_{act} (with respect to the plate axis) as they are modeled at the bottom of the secondary ribs. Figure 3 indicates the location of the actuation forces in the plan view for the case of actuation of the upper left and lower right subsections of the slab.

In the following optimization problems, the design variables are the geometry parameters d , h , and Δh , and the actuation force F_{act} .

Two load cases are considered. Load case 1 is a distributed load on the entire slab (Figure 5). In case 2, only two quarter subsections are loaded (Figure 6). This setup is inspired by a checkerboard-type load case that is considered in building codes for certain scenarios. For example, if the main ribs are relatively stiff, the deflections near the centers of the loaded subsections of the plate are larger for load case 2 than for load case 1.

The uncontrolled displacements are constrained to satisfy deflection limits under load case 1. The rationale of this strategy is to have the adaptive system react against only “exceptional” load cases and, second, to work in a design scenario with contrasting stiffness and flexibility requirements. The deflection limit is $w_{lc1} < \hat{w}$ with $\hat{w} = 0.02$ m. In contrast, under load case 2, deflection limits $w_{lc2} < \hat{w}$ are satisfied through active control. The locations of the actuation forces applied to counteract the effect of load case 2 are indicated in Figure 3.

3.2 Passive system

A benchmark passive configuration is first obtained that satisfies displacement limits under both load cases without actuation. The optimization has been implemented using the Python package PyAnsys, which enables communication between Python and the commercial finite element software Ansys. A shell element type SHELL181 is employed for the ribs and the slab using a regular mesh of 10 elements along the length L . SHELL181 is a four-node element based on Reissner–Mindlin shell theory (shear deformable). It has been verified through a convergence analysis that the chosen mesh

density, in conjunction with reduced integration with hourglass stabilization, provides sufficiently accurate results. The following constrained optimization problems have been solved using sequential least squares programming.

The passive configuration is obtained through volume minimization. Therefore, the objective function is the volume of the entire ribbed slab structure. Constraints include deflection limits for both load cases: w_{lc1} and w_{lc2} ; these values refer to the largest deflections occurring anywhere on the slab for the corresponding load case. The geometric dimensions of the ribs are limited within a certain range for practical applications. The formulation of this optimization problem is

Objective function	$V(h, \Delta h, d) = dL^2 + 2LHd_{rib} + 4Lhd_{rib}$
Design variables	$h, \Delta h, d$
Constraints	$w_{lc1} \leq \hat{w}$ and $w_{lc2} \leq \hat{w}$ $0.01 \text{ m} \leq \left\{ \begin{matrix} h \\ \Delta h \end{matrix} \right\} \leq 1.0 \text{ m}$ $0.01 \text{ m} \leq d \leq 0.1 \text{ m}$

The optimization process yields the following solution.

Item	Value
Slab thickness	$d = 0.02417 \text{ m}$
Height of secondary ribs	$h = 0.2847 \text{ m}$
Height difference to main ribs	$\Delta h = 0.41012 \text{ m}$
Overall volume V	$V = 3.68 \text{ m}^3$

With this configuration of the ribbed slab, it is possible to satisfy the displacement limits under load case 1 and load case 2 without actuation. The thin plate and ribs can be realized using fiber reinforcement. Potential stability issues are not considered in this simplified linear study.

3.3 Adaptive system

3.3.1 Verification of the above hypothesis on the relation of actuation effort and stiffness

Referring to Section 2.2.3, an analysis is carried out to confirm that minimizing the actuation force is equivalent to minimizing the ratio of the amount by which the displacement limit is violated in the uncontrolled (i.e., passive) state to the displacement caused by a unit actuation, $\frac{w_{pass} - \hat{w}}{w_{act}}$. The direct transfer to a problem for which the location of the critical displacement is initially unknown is relatively complex; therefore, as a proof of concept, the deflection at point A is considered, as indicated in Figure 3. Point A is located at $X = 6.25 \text{ m}$ and $Y = 3.75 \text{ m}$. This is near the maximum displacement location that occurs in most cases.

The objective is to obtain a solution whose volume is reduced to $V = 3.0 \text{ m}^3$. The displacement limit at point A under load case 1 must be satisfied without actuation. Under load case 2, there is no limit for the uncontrolled state, that is, the displacement limit will be satisfied through actuation.

Strategy n3 (Section 2.2.3), in this case, is formulated as

Objective function	$\frac{w_{pass} - \hat{w}}{w_{act}}$
Design variables	$h, \Delta h, d$
Constraints	$V = 3.0 \text{ m}^3$ $w_{lc1} \leq \hat{w}$ $0.01 \text{ m} \leq \left\{ \begin{matrix} h \\ \Delta h \end{matrix} \right\} \leq 1.0 \text{ m}$ $0.01 \text{ m} \leq d \leq 0.1 \text{ m}$

In the objective function, w_{pass} is the uncontrolled displacement under load case 2, \hat{w} is the displacement limit, and w_{act} is the displacement caused by a unit actuation ($F_{act} = 1$). The following solution is obtained.

Item	Value
Slab thickness	$d = 0.0198 \text{ m}$
Height of secondary ribs	$h = 0.161 \text{ m}$
Height difference to main ribs	$\Delta h = 0.532 \text{ m}$

For this configuration, an actuation force $F_{act} = 220.8 \text{ kN}$ is required to satisfy the displacement limit $w_{lc2,A} \leq \hat{w}$ under load case 2.

The solution global optimality cannot be guaranteed because the optimization problem has been formulated using a nested analysis and design approach (NAND). However, different starting configurations have been tested, resulting in an identical solution.

Direct minimization of the actuation force has been denoted as strategy n2, which, in this case, is formulated as

Objective function	F_{act}
Design variables	$h, \Delta h, d, F_{act}$
Constraints	$V = 3.0 \text{ m}^3$ $w_{lc1} \leq \hat{w}$ and $w_{lc2} \leq \hat{w}$ $0.01 \text{ m} \leq \left\{ \begin{matrix} h \\ \Delta h \end{matrix} \right\} \leq 1.0 \text{ m}$ $0.01 \text{ m} \leq d \leq 0.1 \text{ m}$

Compared to strategy n3, this approach explicitly accounts for both load cases and the actuation force that is the objective function and a design variable simultaneously. The obtained solutions are

Item	Value
Slab thickness	$d = 0.0198$ m
Height of secondary ribs	$h = 0.161$ m
Height difference to main ribs	$\Delta h = 0.532$ m
Actuation force	$F_{act} = 220.8$ kN

Results are identical to those obtained with strategy n3, including the actuation force, confirming the statement given in Section 2.2.

3.3.2 Minimizing compliance vs. minimizing actuation effort

In this section, further analysis is carried out to compare the minimization of the displacement difference $\Delta w = w_{pass} - \hat{w}$, denoted as strategy n1, with the direct minimization of the actuation force, denoted as strategy n2. Strategy n1, which minimizes the largest displacement and actively compensates the difference to satisfy the displacement limit, is reformulated as

Objective function	w_{lc2}
Design variables	$h, \Delta h, d$
Constraints	$V = 3.0$ m ³
	$w_{lc1} \leq \hat{w}$
	0.01 m $\leq \left\{ \begin{matrix} h \\ \Delta h \end{matrix} \right\} \leq 1.0$ m
	0.01 m $\leq d \leq 0.1$ m

Both load cases are considered; no active control is employed for displacement compensation. As explained in Section 3.2, the maximum displacement is considered, not only the one at point A. The obtained solution is

Item	Value
Slab thickness	$d = 0.0198$ m
Height of secondary ribs	$h = 0.232$ m
Height difference to main ribs	$\Delta h = 0.329$ m

The value of the objective function is $w_{lc2} = 0.0366$ m. As expected, this violates the deflection limit of 0.02 m. Satisfying the deflection limit under load case 2 requires an actuation force $F_{act} = 367.9$ kN.

For strategy n2, formally, the same optimization problem is obtained as in the previous subsection, repeated here for convenience.

Objective function	F_{act}
Design variables	$h, \Delta h, d, F_{act}$
Constraints	$V = 3.0$ m ³
	$w_{lc1} \leq \hat{w}$ and $w_{lc2} \leq \hat{w}$
	0.01 m $\leq \left\{ \begin{matrix} h \\ \Delta h \end{matrix} \right\} \leq 1.0$ m
	0.01 m $\leq d \leq 0.1$ m

The main difference to the problem stated in Section 3.3.1 is that the maximum displacement is considered and not only that at point A. This is the reason the solution is marginally different.

Item	Value
Slab thickness	$d = 0.0209$ m
Height of secondary ribs	$h = 0.151$ m
Height difference to main ribs	$\Delta h = 0.454$ m
Actuation force	$F_{act} = 233.9$ kN

The slab thickness d is almost identical to the one obtained from strategy n1, but the height of the secondary ribs is smaller, while more material is distributed into the main ribs. This causes a larger uncontrolled displacement under load case 2 $w_{lc2} = 0.0464$ m. However, it significantly reduces the actuation effort requiring a 36% smaller actuation force. Table 3 gives an overview of the two optimization strategies. Strategy n3 results are not given because, as shown in Section 3.3.1, it produces the same solution as strategy n2.

TABLE 3 Ribbed slab, optimization results.

	Strategy n1	Strategy n2
d	0.0198 m	0.0209 m
h	0.232 m	0.151 m
Δh	0.329 m	0.454 m
F_{act}	367.9 kN	233.9 kN
w_{lc2} (passive)	0.0366 m	0.0464 m

4 Conclusion

The hypothesis that it is an effective strategy to design an adaptive load-bearing structure to be as stiff as possible against external loads and as flexible as possible against actuation actions has been confirmed. Analytical solutions have verified that

minimizing the ratio of the amount by which the displacement limit is violated in the uncontrolled state to the displacement caused by a unitary actuation force is identical to minimizing the actuation force. This means that, as an alternative to formally solving a mathematical optimization problem to minimize actuation effort, which is a complex task, some combination of stiffness *versus* load and flexibility *versus* actuation can be pursued as the engineering rationale for designing adaptive structures.

The value of the numerical examples formulated in this work lies not in providing feasible designs that can be fabricated but in providing intuitive yet analytically verified evidence that a trade-off between stiffness and flexibility is the key to efficient adaptive structure design. When designing adaptive *versus* conventional passive structures, the goals are different. This study confirms previous findings that designing adaptive structures requires a holistic, systemic approach as opposed to maximizing the stiffness and then reducing displacements actively under strong loading.

Data availability statement

The original contributions presented in the study are included in the article and the [Supplementary Material](#); further inquiries can be directed to the corresponding author.

Author contributions

AT, TP, and MB wrote the first draft of the manuscript. GS contributed extensively through revision, and LB reviewed the revised manuscript. GS and LB also contributed to the basic concept of adaptive ribbed slabs. Moreover, GS, LB, and MB were responsible for the research strategy and direction. MB derived the analytical solutions reported in [Section 2](#); TP contributed to the basic setups of the analytical

case studies. AT performed the numerical studies in [Section 3](#). All authors contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fbuil.2023.1135117/full#supplementary-material>

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