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\*CORRESPONDENCE Diana Golodnitsky, ⊠ golod@tauex.tau.ac.il

RECEIVED 11 September 2023 ACCEPTED 25 October 2023 PUBLISHED 14 November 2023

#### CITATION

Cherf S and Golodnitsky D (2023), Study of 3D binder-free silicide/silicon anodes for lithium-ion batteries. *Front. Batteries Electrochem.* 2:1292295. doi: 10.3389/fbael.2023.1292295

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# Study of 3D binder-free silicide/ silicon anodes for lithium-ion batteries

## Shiraz Cherf and Diana Golodnitsky\*

School of Chemistry, Tel-Aviv University, Tel-Aviv, Israel

Silicon anode materials have attracted much attention as an alternative to the graphite anode in Li-ion batteries since the theoretical capacity of silicon is an order of magnitude higher than that of graphite. However, the drastic volume changes of silicon during lithiation/delithiation cause breakup of the electrode, electrical isolation of the active material and capacity fade. Binders and conducting agents, while improving adhesion and electrical conductivity, reduce the volumetric capacity of the Si anodes. In this article, we present the study of improved, easy-to-fabricate binder-free 3D silicon anodes. The anodes are prepared by combining for the first time three approaches: use of Si nanoparticles, use of porous, high-surface-area metal foam current collector and formation of metal silicide layer in between. The fabrication of 3D anodes includes electrophoretic deposition of silicon nanoparticles (SiNP) on copper, nickel, and titanium foams followed by annealing at different temperatures and time. Analysis of morphology and electrochemical performance of composite 3D silicon/silicide anodes reveals that increased annealing time of SiNPs-deposited on Ni foam results in a thicker Ni<sub>3</sub>Si<sub>2</sub> layer, which leads to the enhanced capacity retention and power capability. At C/10 and C/2 rates the reversible capacity of NMC/3DSi-Ni<sub>3</sub>Si<sub>2</sub> cells was 880 and 530 mAh/g<sub>Si+Silicide</sub>, respectively.

#### KEYWORDS

binder free silicon anodes, Si nanoparticles, metallic foam current collector, metal silicide, electrophoretic deposition

## Introduction

In recent years, one of the main areas of focus in the field of lithium-ion batteries (LIBs) is the replacement of the commonly used graphite anode with silicon anode, known for its much higher theoretical gravimetric capacity of 3572 mAh/g vs. 372 mAh/g of graphite (Xu et al., 2015; Guo et al., 2020). In addition to its abundance in nature, environmental friendliness and low cost, silicon exhibits low lithiation/delithiation potential (0–0.4 V vs. Li/Li<sup>+</sup>) (Zheng et al., 2018; Schneier et al., 2020). However, when using pure Si as an anode material, researchers have faced several crucial issues caused by a drastic volume change of ~310% of the Si particles upon the lithiation/delithiation process. They include high intrinsic resistivity of Si and partially lithiated Si particles, a lack of elasticity of the electrode layer, high internal stresses, and loss of the electrical contacts between the active material and the current collector. Recent models and experiments support the variety of published experimental data and predict the large stress formation during the beginning of the silicon lithiation and even greater stress generation on delithiation (Ratynski et al., 2020). The internal stresses cause pulverization of Si particles followed by cracking of the solid-electrolyte interphase (SEI), formed as a result of electrolyte reduction during the

initial cycles. Unstable SEI leads to further electrolyte decomposition, which facilitates the degradation of the cell (Peled et al., 1997; Kim et al., 2006; Oumellal et al., 2011; Zhang et al., 2019; Schneier et al., 2020). The complex interplay between the above-mentioned hindrances causes poor reversibility and strong capacity fade of Si-based anodes.

Various approaches have been investigated to overcome these issues and to enhance the capacity retention of Si anodes. The most intuitive approach is to reduce the size of Si particles from micro-to nano-scale. By in-situ TEM supported by modeling Liu et al. showed (Liu et al., 2012) that below a critical particle size of ~150 nm cracking did not occur during the first lithiation. Moreover, within the nano-size range, the smaller are the particles, the better the electrochemical behavior. Suppression of the volume expansion can be achieved also by designing nanostructures with high surface-tovolume ratio, such as nanotubes and nanowires (NWs). Since NWs are grown on current collectors, they exhibit good electrical contact. Another strategy is the introduction of silicon-carbon composites. Owing to its conducting properties, carbon can reduce the internal resistance of the electrode. In addition, carbon can perform as a buffer for volume expansion. Prevention of delamination can be achieved by adding binders to the slurry, which is composed of active material and carbon. Silicon particles can also be encapsulated in a conducting material (metals, carbons, etc.). Using porous substrates can suppress the volume expansion and consequent SEI growth, while enhancing the capacity retention, given that conduction pathways are not getting blocked (Yoshio et al., 2006; Song et al., 2007; Oumellal et al., 2011; Um et al., 2016; Schneier et al., 2019; Zhang et al., 2019; Gyanprakash D and Kumar Rastogi, 2023).

Another investigated strategy is the integration of conductive materials such as metals, oxides, and nitrides, which are expected to decrease the internal electrode resistance by reducing the interparticle- and charge-transfer resistances (Johnson et al., 2010; Sa and Wang, 2012; Zhao et al., 2015; Song et al., 2016; Zheng et al., 2018; Domi et al., 2019; Aminu et al., 2020). In some publications enhancement of Si-metal contact is proposed to be achieved by introducing a metal-silicide phase through thermal treatment. Due to its high conductivity and structural stability, metal-silicide can serve as a buffering component to lessen the volume expansion of the Si particle during the lithiation. While the reversible capacity of neat silicide electrodes is much lower than that of a silicon electrode in organic electrolytes, the stability and power capability of the composite silicone/silicide electrode is expected to improve. The electrochemical performance is mainly affected by the four properties of silicide: 1) mechanical properties to release the stress from Si, 2) low electronic resistivity, 3) moderate reactivity with Li<sup>+</sup>, and 4) high thermodynamic stability (Domi et al., 2019; Kimura et al., 2020).

According to the publications of Kimura et al., Ding et al., and Usui et al. (Usui et al., 2011; Umirov et al., 2019; Ding et al., 2021), metal silicides are classified into two basic types. The first, in which the metal is lithium-inactive, hence does not alloy with Li, as described by the reaction shown in Eq 1. Such inactive metals are Cu, Ni and Ti.

$$M_x Si_y + yLi \leftrightarrow yLiSi + xM$$
 (1)

The second type is lithium-active metals, which react with Li by the reversible reaction shown in Eq 2. Depending on the cell voltage, some of the lithium ions are consumed to lithiate the metal, thus decreasing anode performance. Such active metals are Mg, Ge, and Sn.

$$M_x Si_y + (x+y)Li \leftrightarrow yLiSi + xLiM$$
 (2)

However, in some recent articles nickel and titanium silicides were found to undergo multi-step reversible lithiation (Banholzer and Burrell, 1986; Schmuch et al., 2018), like shown in Eqs 3, 4:

$$xLi^{+} + xe^{-} + NiSi \leftrightarrow Li_{x}NiSi$$
(3)

$$2Li_xNiSi + (y - 2x)Li^+ + (y - 2x)e^- \leftrightarrow Li_ySi + Ni_2Si$$
(4)

Due to the outstanding properties of Si-nanowires (NWs), their combination with silicides results in a high discharge capacity (Song et al., 2016; Stokes et al., 2019; Aminu et al., 2020). However, because of their high preparation cost, these anodes are less suited for commercialized lithium-ion batteries. Kimura et al., 2020 compared different Silicide/Si composite alloys, and found that Si-Zr and Si-Ti present discharge capacity of ~700 mAh/g<sub>composite</sub> after 50 cycles, however, poor stability was obtained. Si-Cu silicide was found to have the lowest capacity of  $\sim 200 \text{ mAh/g}_{\text{composite}}$ . Kim et al., 2005 reported that coating the Si particles with Cu and annealing at 400°C, was found to enhance the discharge capacity and cyclability of the anode. Usui et al., 2014 reported a high-rate performance for Gd-Si and La-Si/Si composites. Huang et al., 2013 presented Si-Ni composite with a stable capacity of  $\sim$ 400 mAh/g<sub>composite</sub> after 100 cycles, when preparing the composite at 900°C. WEN et al. (2006), pressed NiSi2-based slurry mixture onto nickel foam and reported a discharge capacity of ~200 mAh/g<sub>Si</sub>. Titanium silicide (TiSi2) is attractive due to its high electrical conductivity (resistivity  $\sim 10 \ \mu\Omega$ ), thermal stability, mechanical strength, and light weight. Also, TiSi2 in C54 crystal structure rarely reacts with lithium at 0–3 V vs.  $\mathrm{Li^+/Li}$ voltage range (Kim et al., 2015). Lee et al., 2009, reported stable reversible capacity of Si-Ti composite of 450 mAh/g after 50 cycles when optimizing the milling time. However, all these anodes include binders and conducting agents that reduce the volumetric capacity of the Si anodes.

Good adhesion of the active material to the current collector is of particular importance to the binder-free anodes. In our research, presented here, we study the electrochemical behavior of binderfree, easy-to-fabricate 3D Si anodes by simultaneously applying three strategies: the use of Si nanoparticles (SiNPs), the use of porous, high-surface-area metal foam as a current collector and formation of an interfacial metal-silicide layer.

## Materials and methods

## Electrode fabrication

#### Surface preparation of 3D current collector

Copper (1,300  $\mu$ m-thick, 95% porosity), Nickel (500  $\mu$ m-thick, 95% porosity) and Titanium (600  $\mu$ m-thick, 35% porosity) foams (Dongguan Gelon Lib. Co) were used as current collectors and substrates. Cu and Ni foams were used as is, or pressed to the

uniform thicknesses of 500 and 100  $\mu$ m. Because of its low porosity of 35%, 600  $\mu$ m-thick titanium foam was used as is. To remove the oxide layer on their surface and to increase surface roughness, the foams were etched prior to Si deposition. Copper and nickel foams were immersed in 20% HNO<sub>3</sub> solution for 2 mins, and titanium foam was immersed in 3M H<sub>2</sub>SO<sub>4</sub>, heated to 50°C for 10 min. All foams were then rinsed using ultrasonication: 90 s in DW followed by 90 s in acetone.

## Loading of current collector by silicon

Silicon nanoparticles, (SiNPs,  $D_{50}$  of 100 nm, Tekna) were electrophoretically deposited (EPD) on the metal foams: 100 mg SiNPs and 100 mg Citric Acid Anhydrous (Sigma-Aldrich) were homogeneously dispersed in 100 mL acetone at 8 min ultrasonication. Anhydrous Citric Acid is added to charge the surface of the SiNPs negatively, as described elsewhere (Yang et al., 2015). Graphite cathode was maintained at 1.5 cm distance from the anode. The anodic EPD was performed under potentiostatic conditions of 100 V for 60 s.

## Formation of metal silicides

High electrically conducting metal-silicide copper, nickel and titanium phases are obtained at temperatures of:  $350^{\circ}$ C (Cu<sub>3</sub>Si-  $\eta$ " phase) and 500°C (Cu<sub>3</sub>Si-  $\eta$ ' phase), 950°C for (Ni<sub>3</sub>Si<sub>2</sub>) and 750°C for (C54 phase- TiSi<sub>2</sub>) (Olesinski and Abbaschian, 1986; Gambino and Colgan, 1998; Bhaskaran et al., 2007; Zhang et al., 2008; Riani et al., 2009; Sufryd et al., 2011; Huang et al., 2013; Dodony et al., 2019; Mados et al., 2021). To form the metal-silicide layer, the foams with deposited Si particles were annealed at the desired temperature and at varying duration (40 min, 2 h and 5 h). Annealing was made with the use of a CARBOLITE TZF 12/65/550 horizontal-tube furnace under reducing atmosphere (4% H<sub>2</sub>/96% Ar) at a heating rate of 10°C/min and gas flow rate of 50 cm<sup>3</sup>/min.

Silicon/silicide-carbon coated anodes were prepared on Si particles electrophoretically deposited on 100  $\mu$ m thick nickel foam. Si-deposited Ni foam was submerged in a solution of sucrose (4 g) in DW and acetone (1:1 v/v) for 4 h, followed by 12 h drying under vacuum. Then, the samples were pyrolyzed for 2 h at 950°C under reducing environment (4% H<sub>2</sub>/96% Ar) at a heating rate of 10°C/min and gas flow rate of 50 cm<sup>3</sup>/min.

## Characterization

#### Morphology and composition

The Si-deposited foams were characterized by Scanning Electron Microscopy (Quanta 200FEG SEM equipped with an Energy-Dispersive X-ray Spectrometer, EDS). The images were taken at 15 kV, the magnification varied from  $\times$ 500 to  $\times$ 10,000 for the 200 and one micron scales, respectively. X-Ray Diffraction patterns were obtained with Bruker, D8 Discovery diffractometer and X-ray Photoelectron Spectroscopy (XPS) spectra with Physical Electronics Inc., instrument (United States). Curve fitting was done by XPSPEAK 4.1 software using a 10% Gaussian–Lorentzian convolution with a Shirley-type background.

## Electrochemical tests

The as-deposited and annealed Si-foam samples were cut into 12 mm diameter discs and were dried under vacuum for 12 h at  $50^{\circ}$ C

and 2 h at 100°C. The mass loading of the anodes varied from 1.03 to 1.66 mg/cm<sup>2</sup> footprint area. CR2032 coin cells were assembled inside a glovebox filled with ultra-high-pure argon (content of O<sub>2</sub> and H<sub>2</sub>O <0.1ppm). The coin cell contained two stainless-steel discs, Sideposited anode, 2400 Celgard separator (19 mm diameter) and a 12-mm diameter NMC-622 cathode with 2 mAh/cm<sup>2</sup> loading (Targray). The capacities of the studied 3D anodes vs. lithium were smaller, about 0.25 mAh per footprint of 1 cm<sup>2</sup>. While the cells are unbalanced and the cathode is in excess, the negative-to positive (n-to-p) active material ratio did not significantly differ between the experimental samples. All coin cells contained 120 µL electrolyte of 1 M LiPF<sub>6</sub> in EC: DEC (1:1) with 2%(v/v) VC, to which 15%(v/v) fluoroethylene carbonate (FEC) was added (Solvay-Fluor). After sealing, the coin cells were tested in a Biologic BCS-805 cycler over a voltage range of 2.8-4.1 V. The results were analyzed with the EC-lab software. The specific capacity of every cell was calculated using the mass loading of each anode. The C-rate was determined using the discharge capacity of the third cycle.

## **Results and discussion**

# Morphology, structure and composition tests

The initial thickness of the purchased copper foam was 1 mm, which was further reduced by calendaring to 500  $\mu m$  and 100  $\mu m.$  As shown in Figure 1, the calendaring of the 1,000 µm-thick foam to a 500 µm-thick foam is followed by a slight decrease in its porosity (Figures 1A, B). Calendaring to 100 µm thickness significantly reduces the porosity and the surface area of the foam, resulting in a more foil-like surface appearance (Figure 1C). Rough estimation suggests porosity reduction from 95.0% to 88.9% and 82.7%, respectively. The porosity of the nickel foam, which underwent calendaring, was reduced from 95.0% to 64.1% (Figures 1D, E). Because of the stiffness and low porosity (35%) of the 600 µm-thick titanium foam (Figure 1F) it was used as is. Figures 1G-I display exemplified SEM micrographs of Cu, Ni and Ti foams loaded with silicon nanoparticles (SiNPs) by electrophoretic deposition at 100 V for 60 s. At high magnification similar surface morphology is observed, with most SiNPs not exceeding a few tens nanometers in size. Silicon mass loading of the 3D-anodes varied from 1.03 to 1.66 mg. The difference in the mass loading has been reflected in the thickness variation of the electrophoretically deposited layer on top of the foams. There are several reasons that can influence this difference. Poor penetration of Si into the bulk has been particularly found for pressed foams. This may be attributed to the formation of silicon aggregates in the suspension, which block the pores of the foam and prevent migration of Si particles into the inner part of the foam, as found in (Xu et al., 2017). Formation of thin silicon deposit on titanium is presumably caused by highresistant titanium oxide, incompletely removed by etching, thereby hindering the EPD process.

Upon annealing, transition metal atoms diffuse into silicon, creating metal-silicide. Diffusion coefficients of Cu, Ni and Ti in silicon at  $1,100^{\circ}$ C are  $1 \ge 10^{-4}$ ,  $4 \ge 10^{-5}$ , and  $6 \ge 10^{-11}$  cm<sup>2</sup>/s, respectively, indicating that thermal penetration of copper in silicon is the fastest one (Weber, 1983; Chen and Liang, 2019). This is



reflected in high-copper-content silicide as compared to those of nickel and titanium and was expected to enable higher electronic conductivity of the 3D anodes. According to silicon phase diagrams with transition metals, Cu<sub>3</sub>Si has two phases with different structures: below 467°C the  $\eta$  " phase is formed, with an orthorhombic structure, and above 467°C Cu<sub>3</sub>Si forms η' phase with a rhombohedral structure. Ni<sub>3</sub>Si<sub>2</sub> structure is characterized by the orthorhombic unit cell. In TiSi2, the base-centered orthorhombic C49 TiSi<sub>2</sub> phase forms first, after which the low-resistivity C54 TiSi<sub>2</sub> (face-centered orthorhombic) is formed. Bearing in mind this information, Si-loaded copper samples were annealed at 350°C and 500°C. Si-loaded Ni samples were annealed at 950°C and Siloaded Ti samples were annealed at 750°C (Olesinski and Abbaschian, 1986; Pretorius, 1996; Gambino and Colgan, 1998; Bhaskaran et al., 2007; Zhang et al., 2008; Riani et al., 2009; Sufryd et al., 2011; Huang et al., 2013; Dodony et al., 2019; Mados et al., 2021). As expected, the thinner is the foam and/or the smaller is the pore size after calendaring, the thicker is the deposited Si layer on the surface. This, in turn, results in larger content of pristine silicon particles, which are left unreacted with the metal at high temperature. As presented in Figures 2A, B, annealing of Si-Cu

sample at 500°C makes the deposited layer more compact and homogeneous. Since the  $\eta$ °, which is formed first, is converted to the  $\eta$ ′ phase (Samson et al., 1993) it can be suggested that after 2 h of annealing both phases are present, and increased annealing time is required for the full transformation of the  $\eta$ ″ phase to the  $\eta$ ′ phase.

Increase of the annealing duration is expected to promote formation of thicker metal silicide layers. As can be seen in Figure 2, the fine morphology of the outer silicon layer, unreacted with metal, is similar for Si-Ni and Si-Cu 3Dsubstrates annealed for 2 h. However, the low-magnification EDS maps show that upon annealing of Si-Ni sample for 40 min there is a clustering process of silicon nanoparticles at the outset. Silicon-poor voids between clusters are clearly seen in the element distribution maps (Figure 2C). These voids disappear with prolonged thermal treatment, indicating gradual formation of the Ni<sub>3</sub>Si<sub>2</sub> layer. The fine surface morphology of silicon deposit on 600 µm-thick titanium foam annealed at 750°C for 40 min is preserved (Figure 2F), while prolonged annealing causes merging of individual nanoparticles (Figures 2G, H). Silicidation processes are known to occur in general via two mechanisms, which depend on the type of metal and the reaction between the metal and silicon. Silicon nanoparticles



#### FIGURE 2

SEM micrographs and EDS mapping (red- deposited Si; blue, green and white- copper, nickel and titanium foams, respectively) of the annealed SiNPs-loaded foams.

are easily oxidized even at room temperature and their surface is covered by an ultrathin "native" oxide with a thickness of about 1 nm. The first group of metals, such as Al, Ti, and Mg have thermodynamically favorable reaction products with SiO<sub>2</sub>. These metals easily decompose the SiO<sub>2</sub> surface layer on the silicon particle. The neat silicon is then consumed for the silicide



formation, and the  $O_2$  is dissolved in the metal to form a metal-oxide layer near the surface. This mechanism is homogeneous, as seen in the SEM images of the Si-Ti samples shown in Figure 2. The second mechanism involves the group of metals which do not have thermodynamically favorable reaction products with SiO<sub>2</sub>, such as Ni, Cu, W and Pt. This mechanism is diffusion-based and results in inhomogeneous growth of the silicides at the metal/ silicon interface. The observed silicon-poor voids may be caused by SiO desorption from the surface, according to Eq 5:

$$Si + SiO_2 \rightarrow 2SiO \uparrow$$
 (5)

This reaction is catalyzed by Ni, Cu and W atoms, which diffuse to the SiO<sub>2</sub>/Si interface and nucleate at the oxide defect or void. The diffusion occurs via bulk and through micro-voids of 10-20Å diameter, the latter is the predominant mechanism. Once the metal penetrates the Si/SiO<sub>2</sub> interface, it reacts with silicon to form silicide. The energy released in this reaction speeds up the void formation. As more Si is consumed for enhanced growth of metal-silicide, void-filling process occurs through precipitate filling (Ting et al., 1984; Dallaporta et al., 1990; Aurongzeb et al., 2005; Li et al., 2010; Jung et al., 2012).



X-Ray Diffraction (XRD) was used to study the composition and structure of the silicon 3D on-foam anodes. The measurements were performed on 5 h-annealed samples, as enhanced annealing time enables the formation of thicker silicide layer. The chosen thicknesses were 100 µm for copper and nickel foams and 600 µm for titanium, with similar mass loading of SiNPs. As shown in the normalized XRD spectra (Figure 3), all samples present diffraction peaks assigned to Si at  $2\theta$  scattering angles of  $28.6^{\circ}$ ,  $47.3^{\circ}$ ,  $56.1^{\circ}$  and  $76.4^{\circ}$ (Kim et al., 2005; Yan et al., 2007; Lee et al., 2009; Zhang et al., 2019). Moreover, all samples present diffraction peaks attributed to the metal substrate, located at: 43.3°, 50.4°, 74.1° and 74.3° for copper (Kim et al., 2005; Ma et al., 2016), 44.5°, 51.8° and 76.3° for nickel (Yan et al., 2007; Zhang et al., 2008; Li et al., 2013), and 27.4°, 35.2°, 36.1°, 39.8°, 52.3°, 56.6°, and 69.8° for titanium (Mondal et al., 2014; Lee et al., 2016). In addition, all samples present clear Bragg lines associated with the M<sub>x</sub>Si<sub>y</sub> phases. For copper, the diffraction peaks are located at scattering angles of 43.4° and 50.6° and are attributed to Cu<sub>3</sub>Si (Kim et al., 2005; Ma et al., 2016; Zhang et al., 2019). As expected, the intensity of these peaks is higher for the sample annealed at 500°C than for the annealed at 350°C



sample. However, in our tests we have not succeeded in distinguishing between the  $\eta''$  and  $\eta'$  phases of copper silicide. For Si-Ni sample, the peaks detected at the scattering angles 44.5°, 44.7 and 51.9° have been attributed to the orthorhombic Ni<sub>3</sub>Si<sub>2</sub> (Du et al., 2011; Li et al., 2013). For Si-Ti sample, the diffraction peaks assigned to TiSi<sub>2</sub> are located at  $2\theta$ = 37.7°, 39.2°, 41.3°, 44.1° ad 68.9° (Roy et al., 1995; Lee et al., 2009). In all samples, the XRD analysis implies the existence of three layers: the bottom layer of metal foam; the top layer, which consists of neat unreacted silicon nanoparticles, and a metal-silicide layer which is formed in-between upon annealing.

The X-ray Photoelectron Spectroscopy measurements were performed on the 5-h-annealed samples (Figure 4), as those used for the XRD tests. Due to the thick layer of neat-SiNPs on their surface, the copper and nickel samples underwent sputtering at a rate of 47.6 Å/min (calibrated to SiO<sub>2</sub>) until the atomic % of copper and nickel significantly increased on behalf of the Si-XPS signal. Sputtering time was 1 minute for the copper samples and 6 minutes for the nickel sample. Despite the prolonged sputtering, reaching the depth of about 30 nm, the nickelsilicide layer could not be detected. We attribute this to the formation of thick silicon layer on the top of 3D-Ni-foam during EPD process, in agreement with (Xu et al., 2017), explained by poor penetration of SiNPs to the bulk of the foam. After sputtering, the Cu atomic percent increased from 0% to 3.7% and 21.5% for the copper samples annealed at 350°C and 500°C, respectively. This implies a thicker silicide layer for the latter, as expected. Due to the thin unreacted Si layer on the titanium foam, sputtering was not required to detect the silicide. Fitting of the XPS spectra shown in Figure 4, enabled assignment of the XPS peaks at 103.7 eV and 101 eV to SiOx and Si, respectively. The peaks at 98.8 eV and 99.4 eV are assigned to TiSi2 and Cu3Si, respectively, confirming the formation of a silicide layer between the metal and the neat silicon deposits (Yang et al., 1984; Banholzer and Burrell, 1986; Aarnink et al., 1990).

## **Electrochemical tests**

Pristine and annealed  $100 \mu$ m-thick silicon-on-foam samples were tested as the anodes in Si/NMC cells. High-voltage NMC cathode is known as the most recent cutting-edge positive electrode for LIBs. It has low internal resistance coming from manganese, high capacity from nickel and the low content of cobalt in the lattice which enables lowering the cost of the cathode active material (Schmuch et al., 2018).

Figure 5 presents the cycle life of the NMC/Si cells with asdeposited 3D Si-on-Cu electrode (Si<sub>Cu</sub>) and the anodes annealed at  $350^\circ\text{C}$  and  $500^\circ\text{C}$  for 40 mins and 2 h (Si-Cu\_3Si). NMC/Si\_{Cu} cell with pristine anode displays an initial lithiation capacity of 2,641 mAh/  $g_{Si}$ , the initial capacity of delithiation was 1,520 mAh/ $g_{Si}$ , with an irreversible capacity (Qirr) of 42%. As shown in Figure 5, the discharge capacity completely fades at the 20th cycle. Since our anodes are binder-free, we suppose that such capacity decay stems from the loss of mechanical and electrical contacts of silicon nanoparticles with the current collector caused by the internal stresses occurring on charge/discharge. This, in addition, is followed by a continuous SEI formation on newly exposed surface of Si particles. The cell with Si-Cu<sub>3</sub>Si anode annealed at 350°C for 2 h presents a discharge capacity of ~300 mAh/g<sub>Si+Silicide</sub> after 80 cycles, while the other copper-foam-based anodes show even lower capacity. As mentioned above, increasing annealing temperature from 350°C to 500°C results in a transition of the η" copper silicide phase to the  $\eta'$  phase, . Annealing time of 2 h at 500°C results in worse performance compared to the sample annealed for 2 h at 350°C. When keeping in mind the similar Qirr and Faradaic efficiency (23% and 98.6%-99.4%, respectively, at prolonged cycling) we suggest that  $\eta''$  Cu<sub>3</sub>Si phase is beneficial for LIB.

The cycle life of the cell with pristine and annealed silicon anode deposited on the nickel foam (NMC/Si<sub>Ni</sub>, NMC/Si-Ni<sub>3</sub>Si<sub>2</sub>) is shown in Figure 6A. Until the 30th cycle the cell with the anode annealed for 40 min (~0.7 h;  $_{07}$ Si<sub>Ni</sub>) presented higher discharge capacity values than of the cell comprising Si<sub>Ni</sub> anode annealed for 2 h ( $_{2}$ Si<sub>Ni</sub>). At the same time the Q<sub>irr</sub> of  $_{07}$ Si<sub>Ni</sub> (7.2%) and long-term capacity loss/cycle (1.1%/cycle) were higher, than those of  $_{2}$ Si<sub>Ni</sub> (4.8% and 0.8%/cycle, respectively). We assign lower capacity retention of the 40 min annealed Si-Ni<sub>3</sub>Si<sub>2</sub> anode to the thicker layer of neat, unreacted silicon nanoparticles on top of thinner layer of Ni-silicide. Good long-term stability of Si-Ni<sub>3</sub>Si<sub>2</sub> anode annealed for 2 hours, which exhibits 520 mAh/g<sub>Si+Silicide</sub> after 80 cycles can be explained, in addition, to high reversibility of lithiation of nickel silicide layer, in agreement with (Liu et al., 2007).

As described in the literature (Schneier et al., 2019), one of the ways to improve the electrical conductivity of Si-anodes is carbon coating of the particles. In our tests the sample of silicon electrophoretically deposited on nickel foam was wetted with sucrose solution as carbon precursor and annealed at 950°C for 2 h. Energy dispersive X-ray spectrometer analysis of the sample detected 38.04 at % of carbon, formed by pyrolysis of sucrose, 15.54 at% of Si and 46.41 at% of nickel. The morphology of this sample was very similar to that prepared without carbon coating. As presented in Figure 6B the initial discharge capacity of the Si-Ni<sub>3</sub>Si<sub>2</sub>-C anode is 1600 mAh/g<sub>Si+Silicide</sub>, lower than the initial discharge capacity obtained for the anode prepared without the carboncoating-2,180 mAh/g<sub>Si+Silicide</sub>. This difference may be caused by the formation of silicon and nickel carbides during pyrolysis, which are known to be inactive anode materials (Sri Devi Kumari et al., 2013; Huang et al., 2018). During the first 50 cycles, the Si-Ni<sub>3</sub>Si<sub>2</sub>-C anode displays lower capacity loss per cycle, presumably accompanied by better accommodation of volumetric expansion



(A) Cycle life of 100 µm thick 3D SiNPs-on-nickel anodes pristine and annealed at 950°C for 40 min and 2 h. (B) Cycle life of annealed 3D SiNPs-onnickel anodes with and without carbon coating.

of silicon nanoparticles on the top of nickel silicide layer. In addition, the carbon-reinforced anode presents higher capacity values over cycles #20–50 at C/5 and C/10 rates. Increasing the C-rate from C/ 10 to C/2 after 50 cycles, results in a similar capacity value of ~530 mAh/g<sub>Si+Silicide</sub> for both anodes. Good capacity retention is observed for both anodes, as well. Reducing the C-rate back to C/10 results in a slightly higher capacity for the carbon-reinforced anode–601 mAh/g<sub>Si+Silicide</sub> vs. 575 mAh/g<sub>Si+Silicide</sub> for the carbonless one. The capacity retention rate is maintained. Increasing the C-rate from C/10 to 1C is followed by a capacity drop to 440 and

410 mAh/g<sub>Si+Silicide</sub> for the sample prepared with- and withoutcarbon coating, respectively. Decreasing the C-rate back to C/ 10 results in a capacity of ~540 mAh/g<sub>Si+Silicide</sub> for both anodes. Silicon anodes deposited on titanium foam (Si<sub>Ti</sub>) present a different trend, in which the discharge capacity decreases with enhanced annealing duration. Moreover, the capacity loss/cycle is the lowest for the sample annealed at 40 min (0.8%/cycle). Regarding Q<sub>irr</sub> and Coulombic efficiency, the 40 mins and 2 h annealed anodes present similar data (~5% and 99% at cycle #80, respectively). We suggest that when initially a thin layer of silicon is electrophoretically

FIGURE 6



deposited on the foam, prolonged annealing further thins out the neat silicon on top of the growing silicide layer. As found in (Lee et al., 2018), silicon-titanium core-shell particles show lower capacity, as compared to neat silicon. The authors (Schmuch et al., 2018) ascribe the origin of this phenomenon to the formation of titanium diffusion barrier, which limits lithiation of Si. However, in our case titanium does not envelope silicon nanoparticles. Based on higher Coulombic efficiency of Si-TiSi<sub>2</sub> anode than that of neat Si anode and in accordance with the dilatometry and Raman data presented in (Lee et al., 2018), we believe that titanium silicide makes the volumetric changes in the composite anode more reversible and more stable.

The capacity retention of NMC/Si-TiSi<sub>2</sub> cell (0.82%/cycle capacity loss) is better than of the NMC/Si-Ni<sub>3</sub>Si<sub>2</sub> ones, as shown in Figure 7. Regarding the discharge capacity after 80 cycles, the Si-TiSi<sub>2</sub> anode annealed at 2 h delivers 430 mAh/g\_Si+Silicide. Silicon anodes deposited on 500 µm-thick copper and nickel foams and annealed for 2 h (not shown here) display discharge capacities of 305 and 236 mAh/g\_Si+Silicide, respectively. However, overall, Si-Ni<sub>3</sub>Si<sub>2</sub> anode on 100 µm-thick foam still outperforms by 20% that of Si-TiSi<sub>2</sub> in terms of capacity value at cycle #80.

Differential capacity analysis (DCA) is a technique used to study the mechanisms of charge/discharge. The dQ/dV peaks correspond to phase transitions in the active electrode material arising from cation insertion/de-insertion or conversion reactions, from which the behavior of the cell can be understood (Berecibar et al., 2016; Fly and Chen, 2020). Figure 8A shows normalized dQ/dV plots for Si/Li cells with the binder-free silicon anode, presented by us in the previous publication (Mados et al., 2021). It is well established that on the first lithiation, crystalline silicon becomes amorphous (completely or partially), depending on the current density and voltage window). This happens at about 90 mV. During 100% DOD delithiation, on the second and subsequent cycles, typically two dQ/dV peaks are observed. The dQ/dV peak at about 450 mV corresponds to the transformation of the lithium-rich c-Li3.75Si phase and partially delithiated/more disordered (defective) c-Li3.75-8Si crystalline phase to amorphous lithium silicon alloy



(a-Li<sub>-1.1</sub>Si) (Ogata et al., 2014). The dQ/dV peak at about 270 mV is assigned to the delithiation of a-Li<sub>-1.1</sub>Si. Two lithiation peaks, at about 100 and 250 mV, clearly visualized in the dQ/dV plots on cycling, are ascribed to the lithiation of large clusters of silicon, and to the conversion of amorphous lithium silicide to



crystalline phases such as Li<sub>3.75</sub>Si, assisted by the formation of small clusters of silicon. These clusters may act as nuclei for the transformation of c-Li<sub>3.75</sub>Si/c-Li<sub>3.75</sub>- $\delta$ Si into a-Li<sub>-1.1</sub>Si on further cycling. The different overpotentials associated with the amorphous–crystalline lithium silicide conversions on discharge and charge are ascribed to the hysteresis of the charge/discharge reaction paths, caused by different kinetics (Ogata et al., 2014). found that it is much easier to add additional Li to c-Li<sub>3.75</sub>Si to form c-Li<sub>3.75+ $\delta$ </sub>Si than to remove Li from the structure. The defective structure formed on adding one Li atom to a supercell formed with two Li<sub>15</sub>Si<sub>4</sub> unit cells, that is, Li<sub>3.875</sub>Si, has a lower formation energy than the structure formed by removal of Li, Li<sub>3.625</sub>Si.

NMC cathodes are known to present reversible nickel redox chemistry at ~3.7 V. The role of cobalt is less clear, while manganese is maintained as  $Mn^{+4}$ . As shown in Figure 8B, the dQ/dV charge plots of NMC-622 cathode vs. Li/Li<sup>+</sup> are represented by 2 peaks at 3.66 V and 3.74 V, attributed to Ni<sup>+2</sup> oxidation to Ni<sup>+3</sup> and Ni<sup>+4</sup>. The broad dQ/dV discharge peak at 3.71 V with highly distinguishable shoulder is assigned to overlapped nickel reduction reactions. Upon cycling no significant shifts and redistribution of the relative intensity of



the peaks was detected in agreement with the literature data (Shaju et al., 2002; Johnson, 2018; Mu et al., 2018; Ruan et al., 2018). The normalized dQ/dV plots of the NMC/Li and exemplified NMC/3D-Si-Cu<sub>3</sub>Si cell are shown in Figure 8C. The evolution of an additional peak at  $\sim$ 3.9 V (charge) and  $\sim$ 3.8 V (discharge) is detected in the plot of NMC/3D-Si-Cu<sub>3</sub>Si cell as compared to the plot of the NMC/Li cell. Besides, some broadening of the peaks associated with oxidation/reduction of nickel in the cathode is observed, suggesting their overlapping with the peak related to lithiation/delithiation of Si-based compounds. Bearing in mind the high stability of the NMC cathode upon prolonged cycling (Figure 8B), we assigned the major changes in the dQ/dV curves of the NMC/Si cell to those induced by the electrochemical behavior of the 3D-silicon anode.

dQ/dV plots of NMC/3DSi-Cu<sub>3</sub>Si cells are presented in Figures 9A, B. We have found that prolonged annealing is followed by the increase in the peak areas that are associated with lithium-rich phase c-Li<sub>15</sub>Si<sub>4</sub> (~3.9 V- charge and ~3.8 V-discharge) on behalf of the lithium-poor phase a-Li<sub>x</sub>Si peak (~3.5 V- charge and ~3.4 V- discharge). This increase is more pronounced for the anodes annealed for 5 h. Short, 40 min



annealing time causes formation of the insufficiently thick copper silicide layer. For the Si-Cu anode annealed at 350°C for 5 h, we suggest that a very thick silicide interlayer is formed, thus, less unreacted SiNPs are free to participate in the reversible electrochemical reactions, hence enabling high content of lithium-rich silicon phases. For the annealing temperature of 500°C, at which the  $\eta$ " phase is transformed into the  $\eta$ ' phase, the 2 h annealing time was found to be the optimal annealing duration. The presence of  $\eta$ " phase of copper silicide is reflected in faster kinetics of the lithiation/delithiation process as seen in the Figure 9. Upon prolonged cycling, the normalized dQ/dV plots show an increase in the intensity of the peaks associated with lithium-rich c-Li<sub>15</sub>Si<sub>4</sub> phase.

The normalized dQ/dV plots of NMC/3D-Si-Ni<sub>3</sub>Si<sub>2</sub> cells with the anodes annealed at 950°C for 40 min and 2 h are shown in Figures 10A, B. Both anodes present similar intensities of the peaks associated with the lithiated a-Li<sub>x</sub>Si and c-Li<sub>15</sub>Si<sub>4</sub> phases and smaller shifts of dQ/dV peaks upon prolonged cycling. This agrees with the enhanced capacity retention of the cell (Figure 6). The appearance of the dQ/dV peaks at ~4 V can be assigned to Li plating/stripping on the bare nickel foam left in the bulk of the 3D current collector caused by the incomplete penetration of silicon nanoparticles during the EPD process.

As mentioned above, the 3D-Si-TiSi<sub>2</sub> anode differs from the silicon anodes deposited and annealed on copper and nickel foams. First, the deposited layer of silicon nanoparticles is thinner. Second, the mechanism of titanium silicide formation is a two-step process, in which titanium metal decomposes the silicon oxide SiO<sub>2</sub> followed by the formation of TiSi<sub>2</sub> and TiO<sub>2</sub> near the surface (Ting et al., 1984; Yang et al., 1984; Dallaporta et al., 1990; Roy et al., 1995). We have found that increasing the annealing time results in the thickening of the mixed titanium silicide-titanium oxide layer, which causes lowering of the reversible discharge capacity, however it is still higher when compared to 3D-Si-on-Cu and 3D-Si-on-Ni anodes. This is reflected in stronger intensity of the dQ/dV peak associated with lithium-rich phase c-Li<sub>15</sub>Si<sub>4</sub> (Figure 11).

# Conclusion

3D Silicon/Silicide anodes were prepared by electrophoretically depositing silicon nanoparticles on copper, nickel, and titanium foams, followed by annealing at different temperatures. The metal silicides were obtained at temperatures of:  $350^{\circ}$ C (Cu<sub>3</sub>Si-  $\eta$ " phase) and  $500^{\circ}$ C (Cu<sub>3</sub>Si-  $\eta$ ' phase) for copper,  $950^{\circ}$ C for nickel (Ni<sub>3</sub>Si<sub>2</sub>) and  $750^{\circ}$ C for titanium (C54 phase- TiSi<sub>2</sub>).

XRD analysis implies the existence of three layers: the bottom layer of metal foam; the top layer which consists of neat-Si nanoparticles and a metal-silicide layer which is formed in between. XPS measurements confirm this structure, as well. In addition, from XPS and XRD measurements, it could be concluded that a thicker silicide layer on the copper anode annealed at 500°C was obtained as compared to the anode annealed at 350°C.

The 3D anodes on copper foam exhibit low reversible capacity, which may be attributed to poor adhesion of silicon to the current collector. Cu<sub>3</sub>Si-  $\eta$ " phase intermediate layer provides better performance than Cu<sub>3</sub>Si- $\eta$ ' silicide. Due to the thick layer of silicon nanoparticles electrophoretically deposited on the surface of nickel foam, it was shown that enhanced annealing time is required for higher capacity and stability of the NMC cells with 3D Si<sub>Ni</sub> anode. The 3D Si<sub>Ti</sub> anodes presented a different trend than the copper and nickel anodes, in which the discharge capacity decreases with annealing time. The anode annealed for 40 min displayed a discharge capacity value of ~500 mAh/g\_Si+Silicide after 80 cycles, and the sample annealed for 2 h presented a capacity value of ~400 mAh/g\_Si+Silicide after 80 cycles.

Based on the analysis of the structure and electrochemical properties of the 3D-on foam anodes the enhanced electrochemical performance of  $Si_{Ni}$  and  $Si_{Ti}$  composites can be attributed to the introduced  $Ni_3Si_2$  and  $TiSi_2$  phases which act as good binding layers of silicon nanoparticles to the current collector, improving the structural stability and the electrical conductivity of the material. We believe that both nickel and titanium silicides are not the spectator phases, but undergo reversible lithiation, ultimately leading to better cycle performance. Careful study is essential to understand the complex interplay between the porosity, tortuosity, the thickness of foam and the loading of active material for 3D-electrode designs. The optimization of the thickness of the interfacial silicide layer to suppress the formation of the crystalline Li-rich silicon phase during lithiation for further stabilization of the electrode is under way and will be published elsewhere.

## Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

# Author contributions

SC: Data curation, Investigation, Validation, Visualization, Writing-original draft. DG: Conceptualization, Methodology, Resources, Supervision, Writing-review and editing.

# Funding

The author(s) declare financial support was received for the research, authorship, and/or publication of this article. This work was partially supported by the Gordon Energy Fund of Tel Aviv University. The idea of testing copper foam as the current collector for binder-free silicon anodes came to the authors after discussion with Addionics Ltd. researchers.

# Acknowledgments

The authors wish to thank Dr. Pini Shekhter and Dr. David Levy from the NanoCenter of Tel Aviv University for the XPS and the XRD analysis.

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# Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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