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Interplanetary scintillation observation and space weather modelling

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Interplanetary scintillation (IPS) refers to random fluctuations in radio intensity of distant small-diameter celestial object, over time periods of the order of 1 s. The scattering and scintillation of emergent radio waves are ascribed to turbulent density irregularities transported by the ubiquitous solar wind streams. The spatial correlation length of density irregularities and the Fresnel radius of radio diffraction are two key parameters in determining the scintillation pattern. Such a scintillation pattern can be measured and correlated between multi-station radio telescopes on the Earth. Using the "phase-changing screen" scenario based on the Born approximation, the bulk-flow speed and turbulent spectrum of the solar wind streams can be extracted from the single-station power spectra fitting and the multi-station cross-correlation analysis. Moreover, a numerical computer-assisted tomography (CAT) model, iteratively fit to a large number of IPS measurements over one Carrington rotation, can be used to reconstruct the global velocity and density structures in the inner heliosphere for the purpose of space weather modelling and prediction. In this review, we interpret the underlying physics governing the IPS phenomenon caused by the solar wind turbulence, describe the power spectrum and cross correlation of IPS signals, highlight the space weather application of IPS-CAT models, and emphasize the significant benefits from international cooperation within the Worldwide IPS Stations (WIPSS) network.

KEYWORDS

interplanetary scintillation, Fresnel diffraction, solar wind turbulence, computer-assisted tomography, space weather, coronal mass ejection, Sun, radio astronomy

1 Introduction

The solar wind escapes from the Sun, travels at a supersonic and super-Alfvénic speed, permeates interplanetary space, and ultimately fills the whole heliosphere. The ion species of the solar wind plasma include protons, alpha particles, and minor heavy ions. The solar wind was intuitively conceived as a result of hot coronal expansion. The Alfvén surface is the locus where the radial motion of the accelerating solar wind passes the radial Alfvén

speed. The sub-Alfvénic solar wind in the inner heliosphere was firstly measured by Parker Solar Probe (PSP) during its 8th and 9th solar encounters, at a distance of ≈ 16 solar radii (R_{\odot}) from the Sun. The first *in situ* detections enabled by PSP reveal the distinct nature of turbulence, anisotropy, intermittency, and directional switchback properties of these sub-Alfvénic winds (Kasper et al., 2019). According to PSP observation during encounter 8, the magnetic field and flow velocity vectors were highly aligned in the sub-Alfvénic solar wind (Zank et al., 2022). The solar wind in the heliosphere was locally sampled by Ulysses along its out-of-ecliptic orbit. According to the Ulysses' fast-latitude scan between $\pm 80^{\circ}$, the distribution of the solar wind within the heliosphere is clearly bimodal, with differing compositions, temperatures, temperature anisotropies, speeds, small scale fluctuations (McComas et al., 2002). At solar maxima, this bimodal configuration gives way to a more complex mixture of slow and fast streams at all heliospheric latitudes, depending on the distribution of open and closed magnetic regions and the highly tilted magnetic polarity inversion line. The background solar wind flow is frequently disturbed by coronal mass ejections (CMEs), large-scale expulsions of plasma and magnetic field from the solar atmosphere. CMEs cause phenomena on the Earth such as geomagnetic storms and solar energetic particles that can result in major space weather effects (Forbes et al., 2006). As CMEs are the main driver of interplanetary and geomagnetic disturbances, interplanetary space can be considered as a transmission channel of hazardous space weather effects connecting the Sun and the Earth. The space weather chain between the CME initiation at the Sun and its ensuing disturbance at 1 AU can be quantitatively studied via global three-dimensional (3D) magnetohydrodynamic (MHD) simulations of the heliosphere such as Space Weather Modeling Framework (SWMF) (Tóth et al., 2005), Conservation Element and Solution Element (CESE)-MHD model (Feng et al., 2010; Feng, 2020), CORona-HELiosphere (CORHEL) (Riley et al., 2012), and EUROpean Heliospheric FORecasting Information Asset (EUHFORIA) (Pomoell and Poedts, 2018), ENLIL-Cone model (Odstrčil et al., 2004), Multi-Scale FLUId-Kinetic Simulation Suite (MS-FLUKSS) flux-rope model (Singh et al., 2019), Grid Agnostic MHD for Extended Research Applications (GAMERA) (Merkin et al., 2016). Presently, and in the foreseeable future, numerical models based on the 3D MHD equations are the only self-consistent mathematical descriptions that can span the enormous distances associated with large-scale space weather phenomena (De Zeeuw et al., 2000).

The currently observable signatures of interplanetary solar wind and CMEs include local particle/field properties, heliospheric white-light emission, interplanetary radio bursts, and interplanetary scintillation (IPS). The former three are observed aboard interplanetary spacecraft, and the last is received by ground-based radio telescopes. Using both particle and wave detectors, the solar wind can be comprehensively diagnosed for its properties such as the speed, temperature, mass flux, composition, magnetic field, charge states, and waves/turbulence. *In-situ* measurements of solar wind are inherently confined to interplanetary Sun-orbiting trajectories of host spacecraft. Heliospheric white-light imaging fills the observation gap between near-Sun coronagraph imaging and *in situ* measurements. At large elongations from the Sun, the white-light brightness depends on both the local electron density

and the efficiency of the Thomson-scattering process (Xiong et al., 2013). The CME substructures can be identified from their white-light emission observed by Heliospheric Imager (HI) instrument onboard Solar TERrestrial RELations Observatory (STEREO), such as the leading-edge pileup, interior void, filamentary structure and rear cusp (DeForest et al., 2011). Across the interplanetary CME-driven shock front, non-thermal electrons are accelerated to produce kilometric type II bursts (Cane et al., 1987). The accelerated electron beams generate plasma waves, which get converted into electromagnetic radiation at the fundamental and harmonic of the local plasma frequency. As the interplanetary shock moves anti-sunward through the expanding solar wind, the slow-drifting type II radio emissions are generated at lower frequencies. By triangulating the type II radio source at several times and frequencies, the CME-driven shock can be tracked through interplanetary space (Reiner et al., 1998). In addition, faint IPS radio signals, transmitted through the turbulent solar wind, can be received by large-aperture radio telescopes on the Earth. IPS is the variation in the apparent strength of extragalactic radio sources introduced by density irregularities in the solar wind (Hewish et al., 1964).

IPS telescopes can be used to measure both the solar wind speed and the micro-turbulence spectrum of the interplanetary plasma. The above-mentioned four techniques of *in situ* measurements, white-light imaging, radio burst detecting, and IPS recording complement each other for the common purpose of interplanetary space weather monitoring. The single-point *in situ* measurements by spacecraft cannot provide a global view of interplanetary 3D large-scale structures. Either white-light imager or type II radio burst detector can be used to track one CME throughout the interplanetary space. The interplanetary CME is generally identified as a moving brightness front in white-light images and a drifting brightness pattern in radio spectrogram. Both white-light and radio signatures can be related to coronagraph images and *in situ* measurements. Moreover, IPS data set during one Carrington rotation can be fed into a IPS-based computer-assisted tomography (CAT) model to reconstruct 3D spatial distribution of large-scale solar wind structures within 1 AU (e.g., Jackson et al., 1998; Kojima et al., 1998; Hayashi et al., 2003). Particularly, several radio telescopes, such as LOW Frequency ARray (LOFAR) (van Haarlem et al., 2013), have been commissioned to observe the solar corona during PSP perihelion passages. By combining the imaging and beam-forming observational modes, LOFAR can be used to detect solar type III radio bursts and other plasma processes associated with energetic electrons in the corona. The low-frequency bands available with LOFAR can be used to monitor IPS signals of the ubiquitous solar wind from the Mercury orbit to beyond 1 AU. Both the imaging of the corona and the observation of IPS can contribute to the study of large-scale solar wind flows along the PSP orbit.

Radio scattering and scintillation observations are well suited for probing the corona and the inner heliosphere. The various radio methods include intensity scintillation, phase scintillation, angular broadening, and spectral broadening. Angular and spectral broadening are used to diagnose the high-frequency end of the solar wind turbulence spectrum, where kinetic damping and other wave-particle interactions are important (Harmon and Coles, 2005). Intensity scintillation observations using spaced antennas have proven to be particularly effective in extracting the solar wind speed.

In this review paper, we focus on the topic of interplanetary intensity scintillation, introduce the historical and current IPS-related radio telescopes around the world in Section 2, present the magnetic fluctuation spectrum and density fluctuation spectrum of solar wind turbulence in Section 3, interpret Fresnel diffraction caused by turbulent density irregularities in Section 4, analyze spatial and temporal correlation of IPS time-series signals in Section 5, describe the IPS-CAT modelling for space weather prediction in Section 6, emphasize the significance of international cooperation within the worldwide IPS Stations (WIPSS) network in Section 7, and present a summary and discussion in Section 8.

2 Historical and current IPS-related radio telescopes around the world

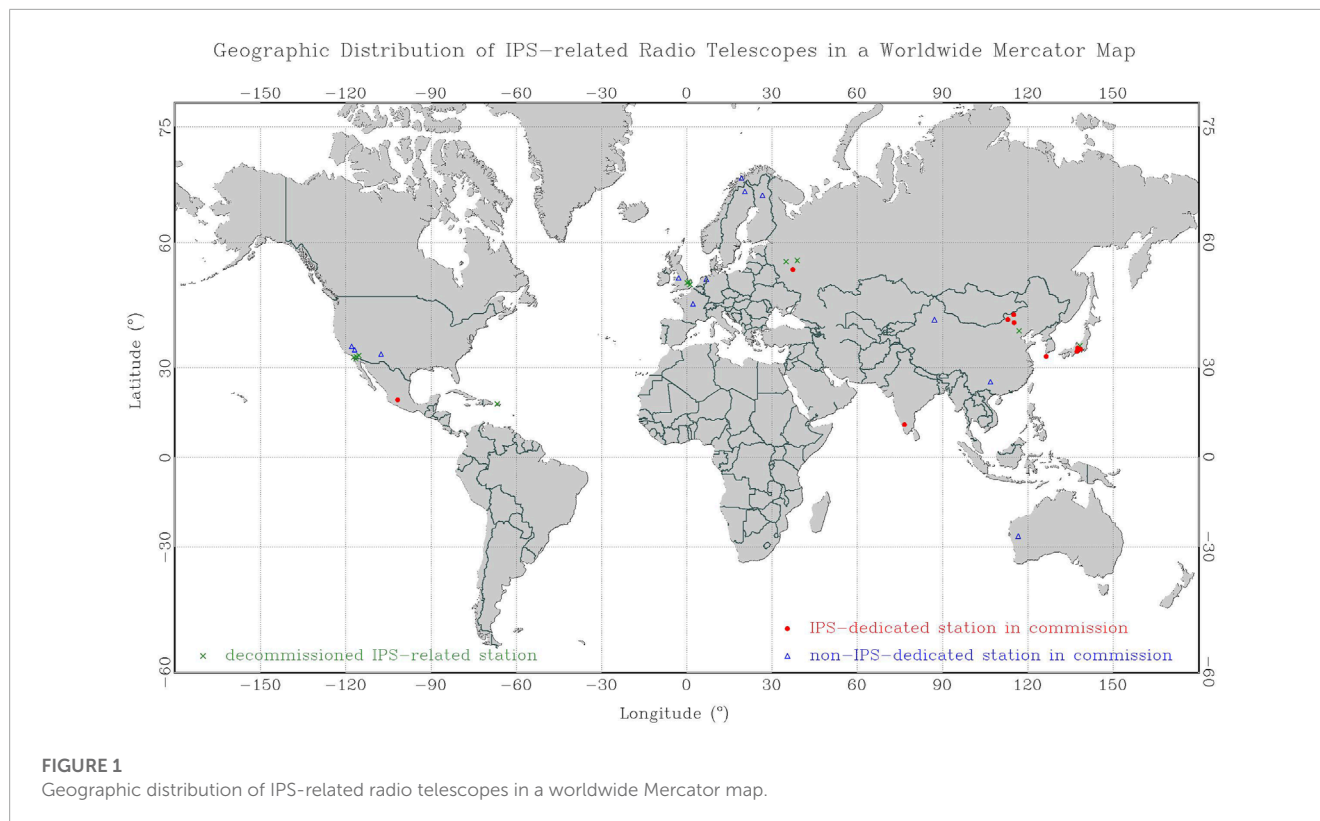
The IPS phenomenon refers to random fluctuations in radio intensity of distant small-diameter celestial object, over time periods of the order of 1 s. Such random radio fluctuations result from the passage of radio rays through the irregular interplanetary plasmas. Density irregularities are present in the solar wind, and are manifested through fluctuations in the refractive index. The first IPS discovery at Cambridge gives the observation evidence that such a scintillation is found to occur at any angular distance from the Sun (Hewish et al., 1964). Using the Cambridge IPS array during its early IPS sky survey, the serendipitous discovery of pulsars (pulsating radio stars) was found by Jocelyn Bell Burnell and published by Hewish et al. (1968). The Cambridge IPS survey at 81.5 MHz covers the area of sky between declinations -10° and $+83^\circ$ at all values of right ascension. Those compact radio sources, such as pulsars and some unusual extragalactic sources, or those in which energy is being released from active beams in the outer lobes of intrinsically powerful radio galaxies and quasars, are summarized in a catalog of 1,789 radio sources which exhibit IPS at 81.5 MHz (Purvis et al., 1987). The sensitivity of the IPS survey is not uniform over the sky, being determined largely by the galactic background emission.

Historical and current IPS-related radio telescopes are symbolized in Figure 1 to display geographic distribution in a worldwide Mercator map. These telescopes can be classified as three types: (1) decommissioned IPS-related stations, including Cambridge IPS array in United Kingdom (Dennison and Hewish, 1967), University of California at San Diego (UCSD) IPS array in United States (Armstrong and Coles, 1972; Coles and Kaufman, 1978), Miyun Synthesis Radio Telescope (MSRT) in China (Qiu, 1996), and Arecibo radio telescope in Puerto Rico (Abe Pacini, 2020); (2) non-IPS-dedicated stations in commission, including Five-hundred-meter Aperture Spherical radio Telescope (FAST) in China (Nan et al., 2011), Murchison Widefield Array (MWA) in Australia (Oberoi and Benkevitch, 2010), LOw Frequency ARray (LOFAR) in western Europe (van Haarlem et al., 2013; Fallows et al., 2023), and European Incoherent SCATter (EISCAT) Radar in northern Europe (Bourgois et al., 1985); (3) IPS-dedicated station in commission, including Institute for Space-Earth Environmental research (ISEE) IPS array in Japan (Tokumaru et al., 2011), Ooty Radio Telescope (ORT) in India (Manoharan and Ananthakrishnan, 1990), MEXican Array Radio Telescope (MEXART) in Mexico (Mejia-Ambriz et al., 2010), Big Scanning Array of Lebedev Physical Institute (BSA LPI) in Russia (Shishov et al., 2010), and 327-MHz

radio array in Korea. As shown in Figure 2, multiple radio telescopes at different geographic locations can be combined to form a multi-station IPS array, which allows an accurate determination of the spatial properties of the drifting pattern of IPS (Little and Ekers, 1971; Coles and Kaufman, 1978; Bourgois et al., 1985). The early such work of multi-station IPS observations was done at United Kingdom (Dennison and Hewish, 1967), Russia (Vitkevich and Vlasov, 1970), United States (Armstrong and Coles, 1972), and Japan (Watanabe and Kakinuma, 1972). Multi-station IPS observations had been made regularly at frequency of 74 MHz (Armstrong and Coles, 1972) and at 69.3 MHz (Kakinuma et al., 1973) for which the probed coronal regions were beyond $60 R_\odot$. The first observations of IPS with EISCAT facilities were made at frequency of 933.5 MHz to measure the solar wind velocity at the heliocentric distances between 15 and $70 R_\odot$ (Bourgois et al., 1985). Now, the ISEE IPS array consisting of Toyokawa, Kiso, and Fuji stations is the only operational multi-station IPS facility. In addition, a brand-new three-site IPS-dedicated system in northern China is expected to be constructed in the late 2023 and calibrated afterwards, supported by the mega-framework of the Meridian Space Weather Monitoring Project in China (Yan et al., 2018).

The current IPS-dedicated radio telescopes include the single-station systems [such as ORT (Manoharan et al., 1995), MEXART (Romero-Hernandez et al., 2015), and BSA LPI (Shishov et al., 2010)] and the multi-site ISEE system (Tokumaru et al., 2011). The ORT has a parabolic cylinder 530 m long in the north-south direction and 30 m wide in the east-west direction (Swarup et al., 1971), which is equipped with a 12-consecutive simultaneous beam system with a separation of ~ 3 arc-minute between adjacent beams. The BSA LPI telescope at Pushchino has two independent 16-beam systems at frequency of 111 MHz, which is the world's largest ($70,000 \text{ m}^2$) currently operating radio array (Shishov et al., 2010). The MEXART consists of 64×64 (4,096) full-wavelength dipole antenna array, operating at 140 MHz, with a bandwidth of 2 MHz, occupying about 9,660 square meters ($69 \text{ m} \times 140 \text{ m}$) (Mejia-Ambriz et al., 2010). The current ISEE IPS system in commission consists of three antennas at Toyokawa, Fuji, and Kiso. The radio telescope at Toyokawa, called the Solar Wind Imaging Facility Telescope (SWIFT), has the largest aperture among the ISEE IPS system. The SWIFT consists of a pair of asymmetric cylindrical parabolic reflector antennas with an aperture size of 108 m (north-south) by 19 m (east-west) (Tokumaru et al., 2011). A new Chinese IPS-dedicated system under construction consists of one main station and two sub-stations: (1) a parabolic dish antenna with its aperture of 30 m at either sub-station; (2) three cylindrical parabolic reflector antennas placed side by side at the main station at Ming'antu, each of which is 140 m in north-south direction and 40 m in east-west direction (Wang et al., 2021).

IPS observations have been extensively used to study the solar wind since the first discovery of IPS signals in 1964 by the Cambridge IPS array (Hewish et al., 1964). A comparison with spacecraft observations in the ecliptic is used as a calibration for the IPS observations. According to correlation of IPS and spacecraft plasma density measurements, heliospheric structures can be classified as either corotating or detached from the Sun (Houminer, 1971). The IPS observations have proved to be remarkably sensitive to long lived structures such as corotating interaction region (CIR) (Burnell, 1969; Coles, 1978). In 1970s, the recurrent solar wind



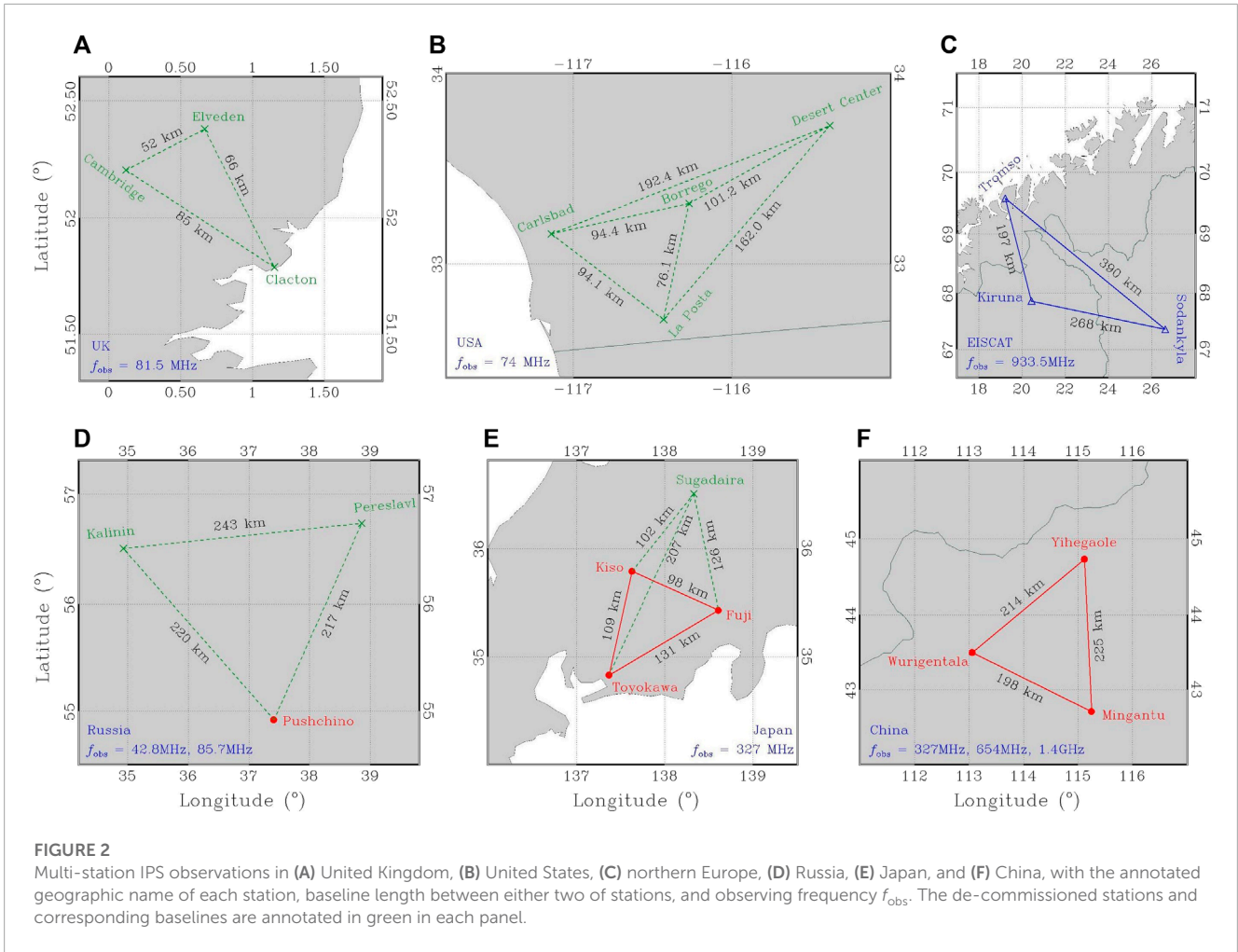
streams discovered by IPS observations are statistically correlated with the brightness of the EUV corona in Fe XV (Watanabe et al., 1974). The inverse correlation between the solar wind speed and the magnetic flux expansion factor, proposed by Wang and Sheeley (1990), was substantiated by IPS observations of solar wind speed at different latitudes of the heliosphere during one full solar cycle (Sheeley et al., 1991). The stream interface could be a shear and sliding layer between a fast stream at the high latitude and a slow stream at the ecliptic plane, or a compression layer within the CIR, as the IPS observations detect the velocity gradient and normal scintillation level for the sliding layer, and an intermediate velocity and enhanced scintillation level for the compression layer (Bisi et al., 2010). As revealed from IPS observations, interplanetary CME-driven shocks tend to propagate toward the low-latitude region near the solar equator and the fastest propagation directions tend toward the heliospheric current sheet near 1 AU (Wei and Dwyer, 1991; Wei et al., 2005). Using a large-aperture radio telescope, both recurrent CIRs and transient CMEs are readily detected in IPS time-series signals.

3 Solar wind as a natural turbulence laboratory

The solar wind plasma is collisionless, and waves/turbulence are ubiquitous. Using the solar wind magnetic field data, an effective magnetic Reynolds number is estimated to be about $260,000 \pm 20,000$ (Weygand et al., 2007). The very large magnetic Reynolds number suggests that large-scale turbulent irregularities should develop, even if the nascent wind were originally smooth and laminar. Because of solar wind density gradients, turbulence in the

sub-Alfvénic solar wind is driven by reflection of low-frequency Alfvén waves (Verdini et al., 2009). As the first-ever mission to “touch” the Sun, PSP offers an unprecedented opportunity to characterize the solar wind and the solar energetic particles near their origin. According to PSP’s *in situ* observation within 0.3 AU, the dominant composition of solar wind turbulence is ascribed to the outward Alfvén mode, and the minor composition is found to be the inward Alfvén mode and the outward fast mode (Zhu et al., 2020). MHD turbulence is always locally imbalanced in creating patches of positive and negative cross-helicities. The conservation of cross-helicity results in a hierarchical structure of MHD turbulence. The slow solar wind is fully mixed by sub-diffusive eddies on time scales corresponding to a 1–2 h crossing time on the Earth; and that solar wind variability on shorter timescales is dominated by turbulent processing, rather than by remnants of variability in the source process at the Sun (DeForest et al., 2015).

The solar wind turbulence is an ensemble of fluctuations with random phases and a broad range of wave vectors. Such fluctuations are manifested in, say, the magnetic field $\delta\mathbf{B}$, electron number density δn , plasma bulk flow velocity $\delta\mathbf{v}$. The Elsässer variables for the fluctuating velocity $\delta\mathbf{v}$ and magnetic $\delta\mathbf{B}$ fields are defined as $\mathbf{z}^{\pm} = \delta\mathbf{v} \pm \delta\mathbf{B} / \sqrt{\mu_0 \rho}$, where ρ is the mean plasma density. The fluctuation energy is usually transferred through a forward cascade. Namely, the energy is injected from a preexisting population of MHD waves at very low angular frequencies ω and wave numbers \mathbf{k} , nonlinearly transported through successively shorter wavelengths via wave-wave interaction, and eventually dissipated at ion kinetic scales via collisionless wave-particle interaction. For Alfvén waves with isotropic kinetic pressure, the density spectrum of wave energy $E(\mathbf{k})$ is related to the magnetic fluctuation spectrum $S_B(\mathbf{k})$ in the moving plasma frame. Such a mathematical relation is governed by



the following equation (Barnes, 1979):

$$S_B(\mathbf{k}) = \mu_0 \left(1 + \mathbf{e}_k \cdot \mathbf{v}/v_p\right)^{-1} E(\mathbf{k}) \quad (1)$$

Here \mathbf{e}_k is the unit wave vector of \mathbf{k} , \mathbf{v} the solar wind speed vector, and $v_p = \omega_0/|\mathbf{k}|$ the wave phase velocity, where ω_0 is the intrinsic wave frequency in the plasma frame. From $S_B(\mathbf{k})$, the density fluctuation spectrum $S_n(\mathbf{k})$ can be derived on basis of wave compressibility $C(\mathbf{k})$

$$S_n(\mathbf{k}) = \left(\frac{n^2}{B^2}\right) C(\mathbf{k}) S_B(\mathbf{k}) \quad (2)$$

$$C(\mathbf{k}) \equiv \frac{(\delta n/n)^2}{(\delta B/B)^2} = \left(\frac{\mu_0 \Omega}{\omega_p^2}\right) \frac{|\mathbf{k} \cdot \boldsymbol{\sigma} \cdot \delta \mathbf{E}|^2}{|\mathbf{k} \times \delta \mathbf{E}|^2} \quad (3)$$

Here n is the mean plasma number density, B magnetic flux density, δn density fluctuation, δB magnetic fluctuation, $\delta \mathbf{E}$ electric fluctuation, Ω proton cyclotron frequency, ω_p plasma frequency, $\boldsymbol{\sigma}$ conductivity tensor (Gary, 1986; Harmon and Coles, 2005). The δn , δB , and $\delta \mathbf{E}$ refer to temporal fluctuations at the kinetic scale of Ω , which are estimated to be 32 Hz at 10 R_\odot , 1 Hz at 55 R_\odot , and 0.1 Hz at 1 AU, respectively (Bale et al., 2016). Whereas, n and B are statistically averaged over a much longer macroscopic scale to be slowly-changing background values. The turbulence amplitude in the corona (Yamauchi et al., 1996) and the heliosphere (Coles and

Harmon, 1978) can be measured by IPS observations of electron density spectra. A “turbulent” density spectrum is a direct signature of non-Alfvénic fluctuations such as convected pressure-balance structures (Burlaga et al., 1990) or fast magnetoacoustic waves (Tu and Marsch, 1995; Bruno and Carbone, 2013), because low-frequency Alfvén waves are essentially noncompressive. The near-Sun broadband density spectrum begins with a roughly Kolmogorov power law at large spatial scales of $>10^3$ km, then breaks to a local flattening at $\sim 10^2$ km, and finally cuts off at an inner scale of ~ 10 km (Coles and Harmon, 1989). The flattening and cutting-off parts in the density spectrum can be respectively ascribed to enhanced compressibility and cyclotron damping of highly oblique Alfvén/ion cyclotron waves. Harmon and Coles (2005) proposed that the density fluctuation spectrum observed in radio scattering is a composite of a power law component mimicking the underlying magnetic spectrum and a flatter component associated with the enhanced compressibility of oblique Alfvén waves at high wavenumber. As shown in Figure 3, the density spectrum in the sub-Alfvénic solar wind observed by PSP during Encounter 8 is a power law that resembles neither the z^+ spectra nor the compressible magnetic field spectrum, suggesting that these are advected entropic rather than magnetosonic modes and not due to the parametric decay instability (Zank et al., 2022).

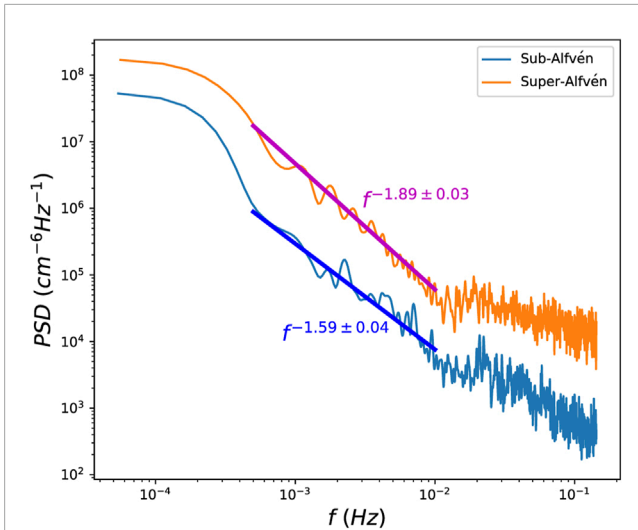


FIGURE 3

Power spectrums for the fluctuating density variance δn in the sub-Alfvénic and super-Alfvénic regions, observed by PSP during its encounter 8 (Zank et al., 2022).

The three-dimensional magnetic fluctuation spectrum $S_B(\mathbf{k})$, advected at the solar wind speed \mathbf{v} , is measured at a relatively stationary spacecraft as temporal turbulence data $S_{Bsc}(f)$. The one-dimensional frequency spectrum measured by spacecraft is termed “spacecraft magnetic spectrum” (Harmon and Coles, 2005). Using Taylor’s hypothesis, the measured magnetic frequency spectrum can be interpreted as mapping of the turbulence wave-number into the stationary spacecraft frame.

$$S_{Bsc}(f) = \frac{2\pi}{v} \iint S_B(k_x, k_y, k_z) dk_y dk_z, \quad \text{where } k_x = 2\pi f/v \quad (4)$$

Here the x -direction is along the solar wind velocity vector. Taylor’s hypothesis assumes that when the turbulent fluctuations are advected with a speed v that is much higher than the typical fluctuation speed δv ($v \gg \delta v$), the time (τ) and the spatial (\mathbf{r}) lags of the measured structures are connected as $\mathbf{r} = \mathbf{v}\tau$. The frozen-in approximation is not strictly valid inside the Alfvén surface, where PSP is periodically scheduled to explore.

In-situ measurements of magnetic field fluctuation of interplanetary solar wind have substantiated a power law spectrum as $|\delta B(f)|^2 \approx f^{-\alpha}$. The solar wind turbulence in an inertial range is similar to the classical Kolmogorov picture of fluid turbulence, and the spectral index α is approximately 5/3 (Leamon et al., 1998). Then, the Kolmogorov dissipation rate Q_{kol} can be evaluated from velocity fluctuation $\langle \delta v^2 \rangle$, correlation length of the fluctuations L_c , and solar wind density ρ (Hollweg, 1986).

$$Q_{kol} = \rho \langle \delta v^2 \rangle^{3/2} / L_c \quad (5)$$

Where $\langle \delta v^2 \rangle$ is related to the wave pressure of solar wind turbulence p_w and cross-section area of solar wind flow tube by

$$\langle \delta v^2 \rangle = \frac{\langle \delta B^2 \rangle}{\mu_0 \rho} = \frac{2p_w}{\rho} \quad (6)$$

Further, Q_{kol} can be quantitatively incorporated as an observation-constrained empirical term in numerical MHD models

of Alfvén wave turbulence-driven solar wind to generate the global distribution of solar wind MHD parameters from the inner corona to 1 AU (e.g., Li et al., 2004).

4 Fresnel diffraction from the phase-changing screen in the turbulent solar wind

Consider a planar layer of turbulent plasma with its inherent density fluctuations $\delta n(\mathbf{r})$, a planar radio wave propagating through the turbulent layer would experience accompanying phase fluctuations $\delta \phi(\mathbf{r}) = r_e \lambda \delta n(\mathbf{r}) \Delta z$ (Bastian, 1994). Here, r_e is the classical electron radius, λ the radiation wavelength, Δz the depth of turbulent plasma layer.

The planar turbulent layer can be consider as an infinitely thin “two-dimensional phase-changing screen” $\phi(x, y)$ at $z = 0$, whose statistical properties are independent of x , y , and time t . A mean-squared phase ϕ_0^2 is statistically defined to be a constant of $\phi_0^2 \equiv \langle \phi^2(x, y) \rangle$. For arbitrary distance $\mathbf{r} = (r_x, r_y)$, the real phase function ϕ at two points of (x, y) and $(x + r_x, y + r_y)$ is statistically correlated as an auto-correlation function (ACF) $\varrho(\mathbf{r})$.

$$\phi_0^2 \varrho(r_x, r_y) \equiv \langle \phi(x, y) \phi(x + r_x, y + r_y) \rangle \quad (7)$$

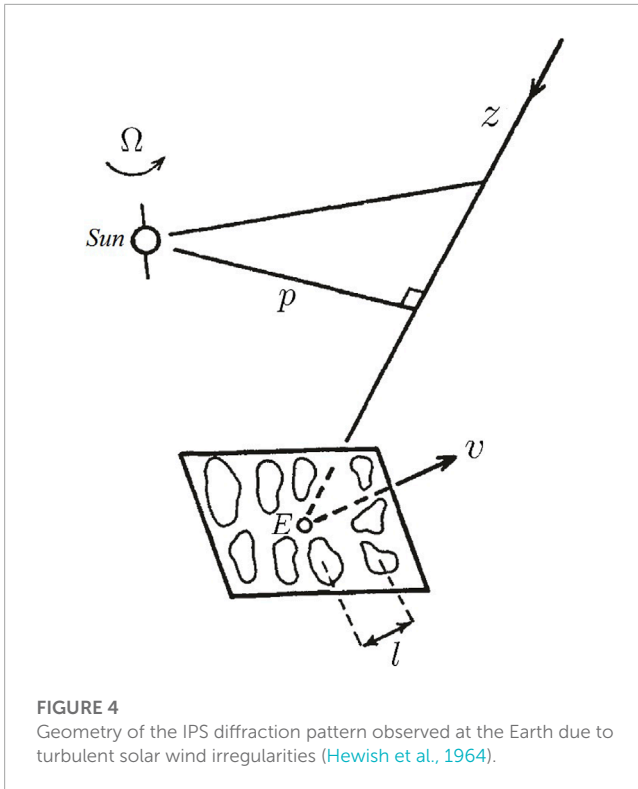
With $\varrho(0, 0) = 1$ and $\langle \phi(x, y) \rangle = 0$. As denoted by angular brackets, the statistical averaging over space (x, y) and over time (t) is equivalent. The Fourier transform of ϱ and its second moment are given as.

$$\phi_0^2 \varrho(r_x, r_y) \equiv \iint dq_x dq_y \phi^2(q_x, q_y) \exp[i(q_x x + q_y y)] \quad (8)$$

$$\begin{aligned} q_{0x}^2 &\equiv [-d^2 \varrho(r_x, r_y) / dx^2]_{x=0, y=0} \\ &= \iint dq_x dq_y q_x^2 \phi^2(q_x, q_y) / \phi_0^2 \end{aligned} \quad (9)$$

$$\begin{aligned} q_{0y}^2 &\equiv [-d^2 \varrho(r_x, r_y) / dy^2]_{x=0, y=0} \\ &= \iint dq_x dq_y q_y^2 \phi^2(q_x, q_y) / \phi_0^2 \end{aligned} \quad (10)$$

The first derivative of $\varrho(r)$ vanishes at the origin, under the reasonable assumptions that q_{0x} and q_{0y} are finite and that $\phi^2(q_x, q_y)$ is an even function. The two-dimensional (2D) ACF may be anisotropic and have different correlation lengths along the x - and y - directions. Here, the 2D “correlation lengths” are defined by $a_x \equiv q_{0x}^{-1}$ and $a_y \equiv q_{0y}^{-1}$, respectively. The smaller correlation length of $a = \min(a_x, a_y)$ is estimated to be larger than 100 km in the interplanetary solar wind (Cohen et al., 1967). $\varrho(\mathbf{r})$ decreases rapidly for the distance of $|\mathbf{r}| > a$. The correlation length a is used to delimit the size of “turbules” of fluctuating plasma irregularities. For a plane radio wave of wavenumber $k = 2\pi/\lambda$, $ka \gg 1$ in all cases. The phase fluctuation $\phi(\mathbf{r})$ is contributed by many turbules of solar wind flows along the line of sight (LOS). Since the solar wind density decreases rapidly with distance from the Sun, the majority of the phase fluctuations are introduced close to the pierce point (p-point), which is defined to be the position closest to the Sun on the LOS. The depth Δz of scintillation region along the LOS is fairly small, compared to its distance z from the Earth. The fluctuating interplanetary plasma



is approximated by a plane surface at distance z along which the phase of the radio wave fluctuates but the amplitude is constant. Such an approximation is formulated into a “thin phase-changing screen” theory (Salpeter, 1967). The correlation length a within the phase screen is generally Gaussian (Cohen et al., 1967). The incident plane wavefront, emitted from a point-like radio source such as pulsars, would be randomly distorted after its traversal through the phase screen. Interference between the different emergent plane waves makes up the angular spectrum. The density inhomogeneities in the screen can result in diffraction patterns of intensity variations observed on the Earth (Hewish et al., 1964), as shown in Figure 4. The observed time-series fluctuations of radio flux intensity depend on the relative velocity of the Earth through the pattern, and is consequently related to the orbital velocity of the Earth (30 km s^{-1}) and the velocity of the interplanetary solar wind. The diffraction scale $(\lambda z)^{1/2}$, also termed the radius of the first Fresnel zone, is about 122 km for $\lambda = 10 \text{ cm}$ and $z = 1 \text{ AU}$. The width of the scattered cone of radiation is determined by the ACF across the emergent wavefront. As interpreted in a diffraction theory of IPS (Little, 1951), the ratio of diffraction scale $(\lambda z)^{1/2}$ to the correlation length a is an important criterion determining the type of scintillation phenomena observed.

A length $z_0 \equiv k a^2$ is defined as the “Fresnel distance” for diffraction from turbules of size a . Then, another length $l_0 \equiv z_0/\phi_0 \equiv k a^2/\phi_0$ is defined as the “typical focal length”. The “thin phase screen” theory can be extended to the regime where the rms phase fluctuations are large and distances to the screen z are comparable with typical focal lengths l_0 (Salpeter, 1967). Supposing that a plasma slab of thickness Δz depicted in Figure 4 is comparable with the distance of closest approach to the Sun, the triple inequality of $\lambda \ll a \ll \Delta z \ll z$ generally holds. The phase along one radio ray

path is delayed due to the fluctuating refractive index. Also, the ray path is lengthened due to refraction. The “thin phase-screen” approximation is still justified when the two inequities of $z_0 \gg \Delta z$ and $l_0 \gg \Delta z$ hold, for any ϕ_0 and z (Salpeter, 1967).

For an extended radio source with its angular size θ_0 , the brightness is usually supposed to have a Gaussian distribution $I(\epsilon) = I_0 \exp(-\epsilon^2/\theta_0^2)$ with respect to solar elongation ϵ . Both the source size $\epsilon_0 z$ and the diffraction scale $(\lambda z)^{1/2}$ are measured at a distance z , the normal distance of the receiver from the “phase screen”. For small ϵ_0 , the turbulence at distance z contributes proportionally to $z^{5/6}$, as for a point source. However, when the source size approaches the diffraction scale ($\epsilon_0 z \approx (\lambda z)^{1/2}$), high wavenumbers are attenuated by the averaging over the source rather than by diffraction; the relative contribution from z reaches a maximum and then decreases, being proportional to $z^{1/3}$ for large z . This decrease is so gradual that the major contribution to the integral over z still comes from near the Sun, for small ϵ . Hence, the scintillation from an extended source varies with ϵ in about the same way as that from a point source (Young, 1971).

As δI is the fluctuation in intensity I about its mean $\langle I \rangle$, scintillation index m is defined as the ratio of the rms intensity to the mean ($m^2 = \langle \delta I^2 \rangle / \langle I \rangle^2$). In the weak scattering case of an ideal source, the first Born approximation is valid and the index is much less than 1 ($m \ll 1$). Both finite bandwidth and finite source diameter have the effect of reducing the source coherence and thus reducing the intensity fluctuation. Numerical simulation of wave propagation in 3D random medium demonstrates the following consequences of gradual scattering enhancement (Martin and Flatte, 1988): (1) Under weak scattering, the small-scale Fresnel length structure of the medium dominates the intensity scattering pattern; (2) As the strength of scattering increases, caustics and interference fringes around focal regions begin to form; (3) In stronger scattering, the clustering of bright regions begins to reflect the large-scale structure of the medium. As shown in Figure 5, the scintillation index m for each compact source increases in the weak scattering region, reaches a peak where the index for an ideal source would saturate, then begins to decrease as the spatial intensity spectrum starts to widen (Coles, 1978). m peaks at a certain distance, where transition from weak to strong scattering occurs. The transition distance from weak to strong scattering, also called the scattering turnover distance, depends on the observing frequency, e.g., $4 R_\odot$ for 8,085 MHz, $12 R_\odot$ for 1,410 MHz, $34 R_\odot$ for 327 MHz, and $50 R_\odot$ for 195 MHz. The normalized scintillation level called the g -level, or g factor, is defined by $g = m / \langle m \rangle$. Here, $\langle m \rangle$ is the mean level of scintillation for the source at elongation ϵ at the time of observation. The intensity variation of signal strength from a compact radio source can be measured as g -level (Bisi et al., 2010). Such g -level is a proxy for the level of interplanetary disturbance along the LOS.

5 Spatial and temporal correlation of IPS time-series signals measured on the Earth

The space and time correlation of intensity fluctuations is evaluated by equation $\rho(\mathbf{s}, \tau) = \langle \delta I(\mathbf{r}_0, t_0) \delta I(\mathbf{r}_0 + \mathbf{s}, t_0 + \tau) \rangle$. The IPS power spectrum can be derived from Fourier Transformation of ACF, $P_I = \frac{1}{2\pi} \int \rho(0, t) \exp(-i 2\pi f t) dt$. To yield g -levels in real time,

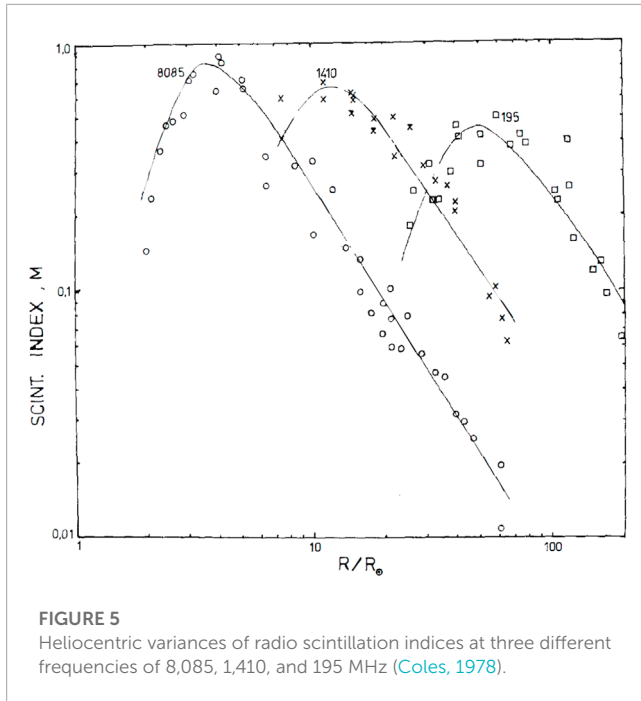


FIGURE 5
Heliocentric variances of radio scintillation indices at three different frequencies of 8,085, 1,410, and 195 MHz (Coles, 1978).

the white noise P_{WN} is subtracted from the scintillation signal spectrum P_f , and then system gain corrections are determined by automatically calibrating with the white noise level at the high-frequency end of the power spectrum (Jackson and Hick, 2004). For each radio source, IPS data are automatically edited to remove any obvious interference discerned in the daily observations, and white noise is subtracted from the signal to obtain scintillation index, i.e., $m = f(P(f) - P_{WN})/P_{WN}df$.

The IPS model for the local intensity modulation of radio waves makes use of a Born approximation to the general weak scattering theory (Tatarskii et al., 1993). The spatial fluctuation of the local density irregularities is conveyed by the ambient solar wind flow, and consequently introduces a scintillation pattern in the (x, y) plane perpendicular to the IPS ray-path along the z direction. Here (x, y, z) is a Cartesian coordinate system centred on the Earth. The intensity $P_{\delta I}$ in a total spectrum of the spatial wave vector \mathbf{q} is merely a linear superposition of all contributions from every thin scattering layer along the IPS ray-path connecting the Earth to an extragalactic radio source, as described by $P_{\delta I} = \int \delta P_{\delta I}(\mathbf{q}, z) dz$. The two-dimensional spatial wave vector \mathbf{q} can be resolved into (q_x, q_y) components in the reference of IPS ray-path or $(q_{\parallel}, q_{\perp})$ components in the reference of local magnetic field. The absolute value of spatial wavenumber \mathbf{q} is evaluated as $q = |\mathbf{q}| = (q_x^2 + q_y^2)^{1/2} = (q_{\parallel}^2 + q_{\perp}^2)^{1/2}$. For each scattering layer with its depth dz at distance z , a mathematical relation between the radio intensity scintillation $\delta P_{\delta I}(\mathbf{q}, z)$ and the electron density irregularities $\delta n(z)$ is given by the following equation set.

$$\delta P_{\delta I}(\mathbf{q}, z) = w(\mathbf{q}) \delta n^2(z) \tag{11}$$

$$w(\mathbf{q}) = 2\pi(r_e \lambda)^2 F_{\text{diff}}(\mathbf{q}) F_{\text{source}}(\mathbf{q}, z) S_n(\mathbf{q}) \tag{12}$$

$$F_{\text{diff}}(\mathbf{q}) = 4 \sin^2 \left(\frac{q^2 r_F^2}{4\pi} \right) \tag{13}$$

$$F_{\text{source}}(\mathbf{q}, z) = \exp(-q^2 z^2 \theta_0^2 / 2) \tag{14}$$

$$S_n(\mathbf{q}) = (q_{\parallel}^2 + q_{\perp}^2 / A_R^2)^{-\alpha/2} \tag{15}$$

Here, $w(\mathbf{q})$ is a weighting factor at spatial wave vector \mathbf{q} , the plasma number density fluctuation δn , the classic electron radius $r_e = 2.82 \times 10^{-5}$ m, the Fresnel radius $r_F = (\lambda z)^{1/2}$, the spectral exponent α , and the axial ratio of anisotropy degree A_R (Coles and Harmon, 1989; KlingleSmith, 1997; Xiong et al., 2011). When $\lambda = 1$ m, and $z = 1$ AU (1.5×10^{11} m), the Fresnel radius is about 387 km. The cylindrically-symmetric coordinate system $(q_{\parallel}, q_{\perp})$ with its axis along the local magnetic field is transferred from the coordinate system (x, y, z) defined with respect to the IPS ray-path. As the variation of δn along the IPS ray-path z is not known *a priori*, it is a common practice in IPS studies to assume some empirical δn variances such as $\delta n \propto n$ (Houminer and Hewish, 1972) and $\delta n \propto r^{\text{PWR}} n^{\text{PWN}}$ (Asai et al., 1998). Here, r is the heliocentric distance, PWR a power of radial falloff, and PWN the power of electron density. The parameters of PWR and PWN are determined via best fit of IPS data over one interval chosen. Particularly, the term F_{diff} is a high-pass Fresnel filter with its Fresnel radius r_F . Such a radius r_F determines the maximum scale of the irregularities, at which the amplitude fluctuation can be received at the Earth.

Using solar wind velocity to relate the temporal and spatial fluctuations, the one-dimensional (1D) temporal power spectrum P_f recorded at the Earth can be calculated as an integral of multiple 2D “phase screen” $\delta P_{\delta I}(q_x, q_y, z)$ along the z -axis. The spatial spectrum of intensity $\delta P_{\delta I}(q_x, q_y, z)$ carried by an anti-sunward solar wind speed \mathbf{v} , which is drifted across an IPS ray-path with its intersection angle $90^\circ - \theta$. Only the speed component perpendicular to the IPS ray-path $V_{\text{drift}} = |\mathbf{v}| \cdot \cos \theta$ is detectable by a terrestrial radio antenna. V_{drift} is the solar wind velocity projected on to the “phase screen” perpendicular to the z -axis. As depicted in Figure 6, the geometry factor $\cos \theta$ varies along the entire IPS ray-path. Supposing V_{drift} in the “phase screen” is along x -axis, the temporal frequency of IPS signal f is derived as $f = q_x V_{\text{drift}} / (2\pi)$, and the temporal IPS spectrum $P(f)$ is derived from the following equations:

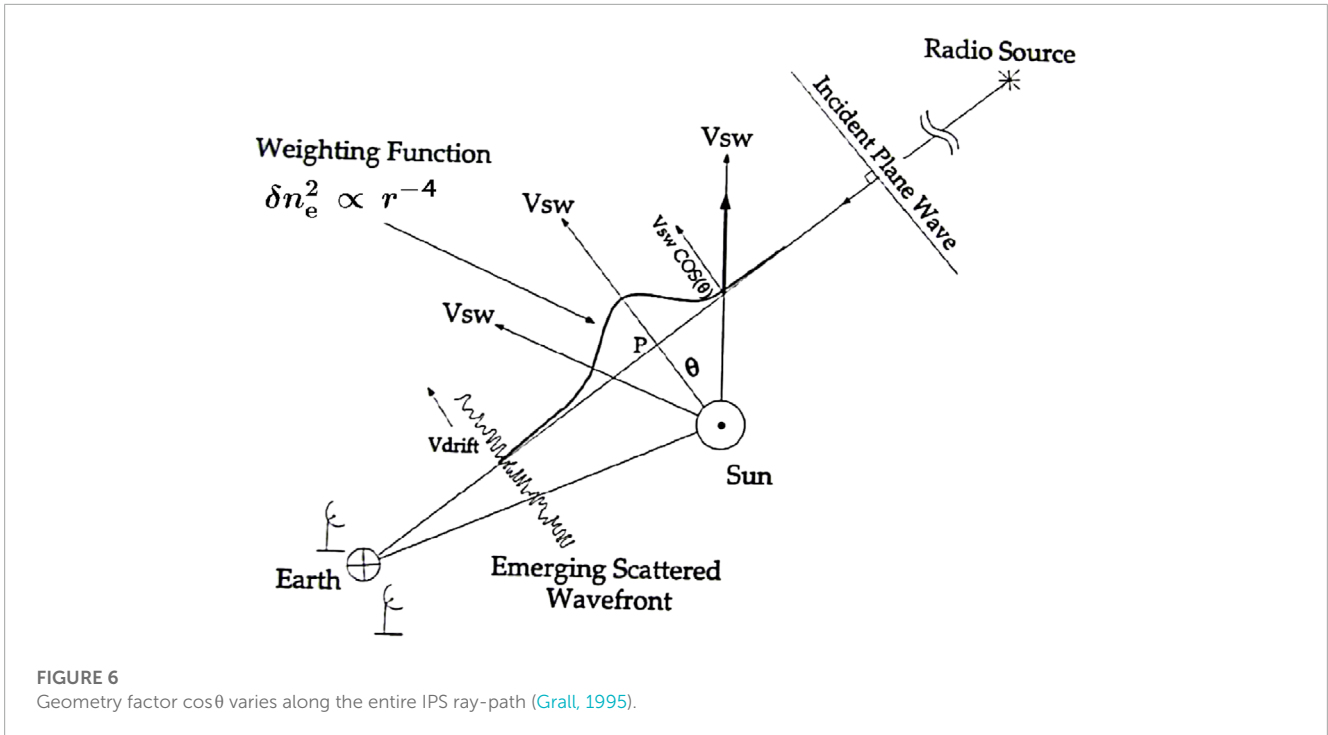
$$P(f) = (2\pi r_e \lambda)^2 \frac{1}{|\mathbf{v}|} \delta z \int F_{\text{diff}} F_{\text{source}} S_n dq_y \tag{16}$$

for an infinitely thin “phase screen” δz at point p .

$$P(f) = (2\pi r_e \lambda)^2 \int \frac{1}{|\mathbf{v}| \cdot \cos \theta} \int F_{\text{diff}} F_{\text{source}} S_n dq_y dz \tag{17}$$

for a finite-depth “phase screen” astride point p .

Accordingly, the scintillation index m is related to small-scale density variations along the z -axis by the equation $m^2 \propto \int_0^{+\infty} P(f) df$. Because the IPS power spectrum reflects radial speed v and other parameters of the solar wind, a spectral-fitting method such as the Levenberg-Marquardt method for nonlinear least-squares data fitting (Dennis et al., 1981) can be used to extract those parameters from IPS time-series signals recorded at a single station (e.g., Manoharan and Ananthakrishnan, 1990; Tokumaru et al., 2011; Chang et al., 2019). According to Eqs 14–16, the four fitting parameters include solar wind velocity \mathbf{v} , anisotropic axial ratio A_R , power-law spectral index of density fluctuation α , angular size of radio source θ_0 . For instance, as shown in Figure 7, a typical IPS power spectrum of radio source 3C48 observed at Toyokawa, Japan in 2009, which is characterized by a flat level at low frequencies and a steep fall at high frequencies, with a knee-like transition point at Fresnel frequency, is well fitted



to infer $v = 499 \text{ km s}^{-1}$ and $\alpha = 4.34$ (Tokumar et al., 2011). As shown in Figure 8, a change in velocity scales the spectrum in frequency and the whole spectrum contracts or expands depending on whether the velocity decreases or increases (Manoharan and Ananthakrishnan, 1990). As the signal-to-noise (S/N) ratio of each observed spectrum should be high enough to show a well formed Fresnel knee, Chang et al. (2019) suggested that the minimum S/N ratio for a reliable power spectral fitting is $\geq 13.5 \text{ dB}$. If the signal-to-noise ratio has become too small, the Fresnel knee in this IPS spectrum is not clearly identified above the instrumental noise floor at high frequencies. Uncertainties of IPS data is generally ascribed to the degenerated S/N ratio of recorded IPS signals, which is probably caused by the unstable receiver temperature and/or the occasional human-made electromagnetic interference. Under conditions of weak scintillation, IPS signals recorded at different frequency channels are usually highly correlated. The co-spectrum of intensity scintillations at either two frequencies can be normalized by the geometric mean of the corresponding two power spectra. Using a single antenna to receive simultaneous three-frequency IPS signals, Scott et al. (1983b) found a clear two-frequency Fresnel filter from the normalized co-spectrum, and estimate the solar wind velocity at elongations $16^\circ\text{--}32^\circ$. The solar wind velocity inferred from the spectrum-fitting method may have linear change and minute-scale variability (Liu et al., 2022).

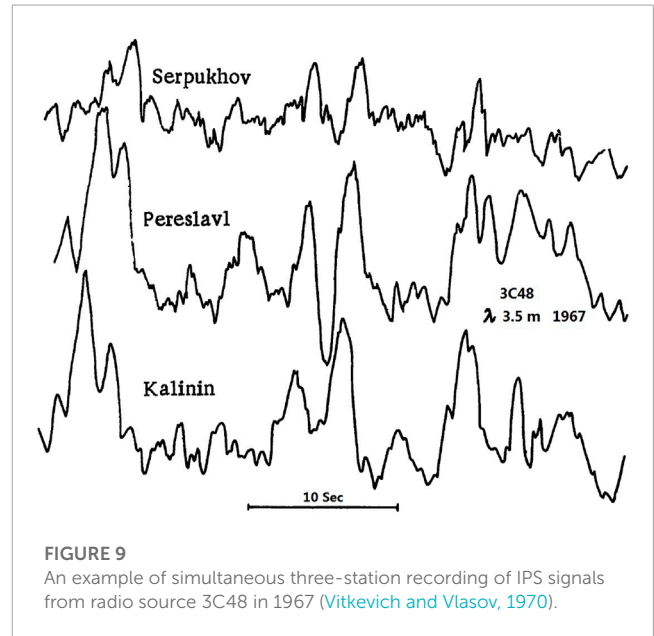
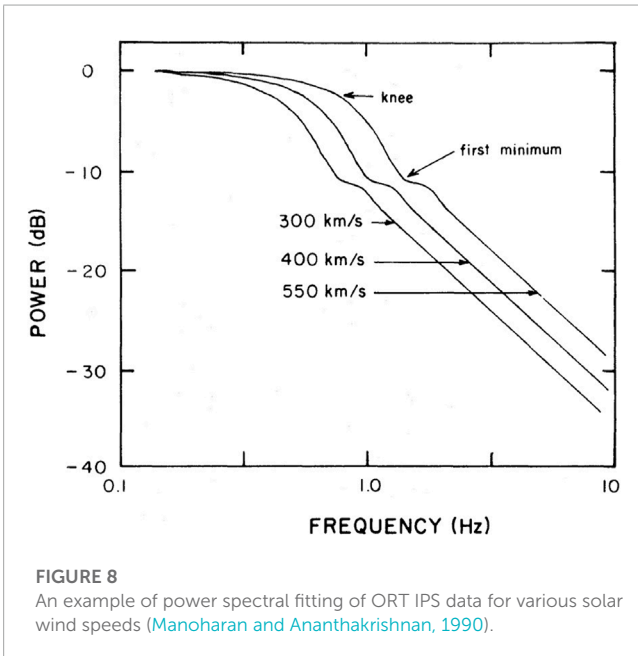
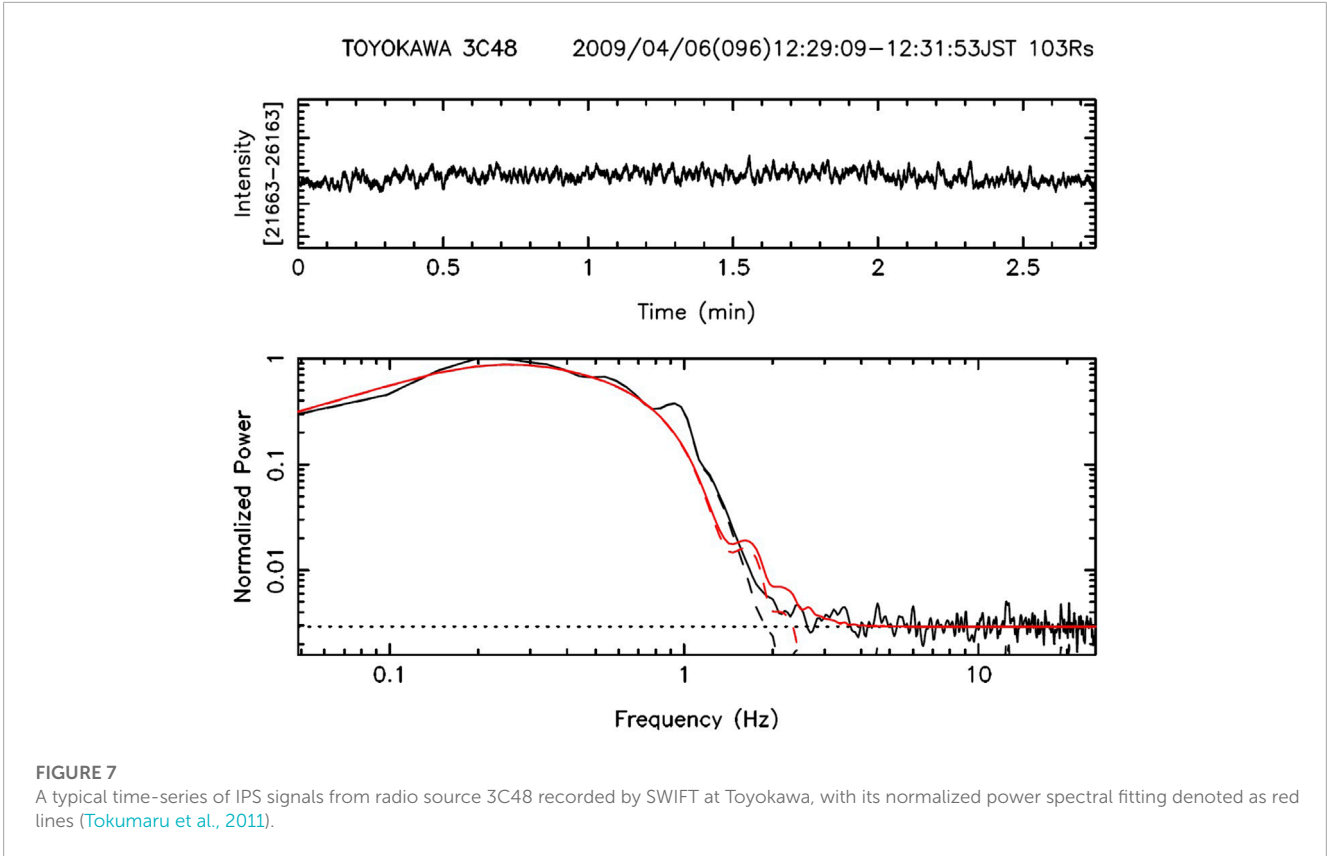
The IPS signals can be simultaneously recorded at multiple stations, as shown in Figure 2. The separation of two receiving antennas at the order of 200 km provides an appropriate range of baselines and allows an accurate determination of the spatial properties of the drifting pattern. Because of the Earth's rotation, the baseline projected onto the celestial sphere describes an ellipse and a radio source can be observed with a baseline heavily fore-shortened (Bourgeois et al., 1985). For instance, a triangular network of observing sites at Serpukhov, Pereslavl, and Kalinin in

Russia was built to record IPS signals from the same radio source (Figure 9). The multi-station scintillation method of measuring the solar wind velocity has been very accurate, particularly near the Sun and at high heliographic latitudes (Kojima et al., 2013). The nearly frozen-in diffraction pattern $\delta P_{\delta I}(q_x, q_y, z)$ can be sequentially received by two radio stations separated by a baseline \mathbf{s} . If the baseline \mathbf{s} is approximately parallel to the drifting direction $\mathbf{V}_{\text{drift}}$ of interplanetary density irregularities, the scintillation patterns at the two telescopes will be correlated with some time lag τ . Specifically, the spatial-to-temporal conversion is merely a cut in the spatial correlation function along the direction of $(\mathbf{s} - \mathbf{V}_{\text{drift}} \cdot \tau)$. When the baseline \mathbf{s} is zero, the cross-correlation function (CCF) between two stations is degenerated to the ACF, which always has a component of uncorrelated white noise at zero lag. For a single scattering layer, the CCF is simply derived by shifting the ACF at a time lag $|\mathbf{s}|/|\mathbf{V}_{\text{drift}}|$. Inversely, the drifting speed $|\mathbf{V}_{\text{drift}}|$ can be inferred from the time lag τ , which is the manifestation of flow speed of local density irregularities. Thus, the vector velocity $\mathbf{V}_{\text{drift}}$ is calculated from three time delays identified along each baseline of joint three-station measurements. However, the calculated flow speed $\mathbf{V}_{\text{drift}}$ is a weighted integral along one IPS ray-path. The apparent drifting speed $\mathbf{V}_{\text{drift}}$ could be biased from the local solar wind velocity $\mathbf{v}(z)$.

In the Born approximation, the CCF along a baseline \mathbf{s} can be described by the following equation.

$$C(\mathbf{s}, \tau) = 2 \pi r_e^2 \lambda^2 \iiint F_{\text{diff}} F_{\text{source}} S_n \exp(-i\mathbf{q} \cdot (\mathbf{s} - \mathbf{v}(z) \tau)) \times d^2\mathbf{q} dz \quad (18)$$

The velocity $\mathbf{v}(z)$ probably has two random components: (1) one parallel component expected from the radial variations of solar wind speed in the scattering region; (2) one perpendicular component



anticipated from radially propagating Alfvén waves. These random components result in an apparent spread of pattern-drifting speed around some mean large-scale bulk-flow speed. Little and Ekers (1971) proposed that the drifting and changing scintillation pattern can be modelled as an ensemble of frozen-in patterns, each having a spatial ACF $C(s,0)$ and a pattern speed v . Then, IPS CCF can be

integrated over a probability density function (PDF) $p(v)$ of the form

$$C(s, \tau) = \iint C(s - v\tau, 0) p(v) d^2v \quad (19)$$

A prescription of $p(v)$ can be uniform (Grall et al., 1996) or Gaussian (Kojima et al., 2013). The observed CCF $C(s, \tau)$ are usually normalized by the square root of the product of the two

ACFs at zero lag $\tau = 0$. IPS velocities are computed by making model fits to the shape of the normalized CCF. A long baseline can improve the ability to resolve streams of different velocities (Grall et al., 1996; Breen et al., 2006; 2008; Bisi et al., 2010), provided that the time interval is long enough to derive well-defined scintillation spectra, when the observing geometry is suitable for CCF analysis. Herein, though the baseline between Multi-Element Radio Linked Interferometer Network (MERLIN) and EISCAT network is extremely long to be 2,000 km, correlation between the scintillation patterns observed on 15 May 2002 was still significant (Breen et al., 2006). When an IPS ray-path traverses through both fast and slow solar wind streams, the delay-CCF profile measured by widely separated stations such as EISCAT radar has two clearly separated peaks: one narrow peak at a short delay, the other broad peak at a large delay. If measured by closely spaced stations such as ISEE radio array in Japan, only one peak exists in the delay-CCF profile. As the baseline between two stations is gradually shortened, two distinct peaks would overlap and merge into one compound peak. Multi-streams along an IPS ray-path produce a skewed CCF, but the degree of this skewness depends on the baseline length: a longer baseline produce a stronger skewness (Kojima et al., 2013). The CCF analyses between more closely spaced stations is used to estimate an intermediate velocity perpendicular to one IPS ray-path, which is averaged between multiple solar wind streams cut by the IPS ray-path.

Using a long baseline to de-convolve the LOS integration of CCF measurements, multiple solar wind streams are discernible from the measured delay-CCF profile. As shown in Figure 10, double-peaked CCFs are found from EISCAT observation of south polar solar wind, whose baseline was 240 km radial and 3 km tangential. The fast and slow wind speeds are inferred to be 500 and 777 km s⁻¹ on basis of one model fit of the delay-CCF profile (Grall et al., 1996). Various IPS observations using EISCAT, MERLIN, Very Long Baseline Array (VLBA) antennas substantiate that the acceleration of polar solar wind is almost completed within a heliocentric distance of 10 R_{\odot} (Grall et al., 1996; Klinglesmith, 1997). However, the velocities of the near-Sun solar wind derived from IPS measurements have a large velocity spread. This large velocity spread, mostly aligned along the radial direction within 20 R_{\odot} , is considered to be caused by the Alfvén-wave motion (Harmon and Coles, 2005; Kojima et al., 2013). The dominant contributor to the velocity spread is LOS projection effects rather than changes in wave dispersion near the ion cyclotron frequency (Harmon and Coles, 2005). As the amplitude of Alfvén turbulence is much smaller in the interplanetary space compared with the inner solar corona, IPS speed is likely to be close to the bulk plasma flow speed, at least in the slow solar wind (Klinglesmith, 1997).

The basic solar wind parameters include the mean velocity, random velocity, spatial anisotropy, and micro-turbulence spectrum. Near the Sun, spatial structure is quite anisotropic (Dennison and Blesing, 1972) and the solar wind speed has an important random component (Little and Ekers, 1971). The random velocity is insignificant at distances greater than 40 R_{\odot} , but increases rapidly with decreasing distance, approaching the mean velocity by 20 R_{\odot} (Scott et al., 1983a). The solar wind velocity can be recursively estimated by fitting the temporal power spectra observed with a single antenna, or straightforwardly calculated by using the time delays between three pairs of radio antennas. A

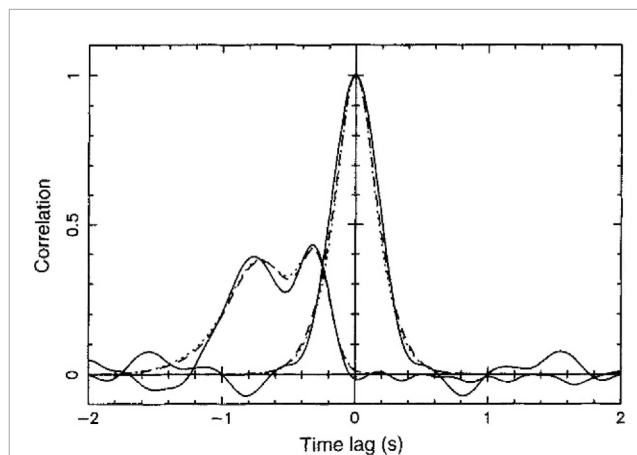


FIGURE 10
Intensity correlation functions from EISCAT observation of radio source 0323 + 055 at -52° helio-latitude on 28 April 1994 (Grall et al., 1996).

comparison between single-station and three-station solar wind measurements (Manoharan and Ananthkrishnan, 1990; Mejia-Ambriz et al., 2015; Chashei et al., 2021) generally showed good agreement within the error estimates. For instance, using nearly simultaneous IPS observations by both BSA LPI and ISEE radio telescopes, Chashei et al. (2021) found the correlation between the daily speed estimates from the compact source 3C48 is 50% during 6 years from 2014 to 2019. All the IPS records studied by Shishov et al. (2010) were selected by the range of radio source elongations between 25° and 60° , which corresponds to heliocentric distances of LOS p -points within the range of 0.4 and 0.8 AU. However, the single-station model-fitting process was found to be difficult for solar distance less than 40 R_{\odot} , because in this range neither random velocity nor spatial anisotropy can be neglected (Scott et al., 1983a). The multi-station joint observation can separate the similar effects of random velocity and anisotropy. The models developed with the multi-station data can be extrapolated to the entire class of single station observations (Scott et al., 1983a). The solar wind speed vector derived from the multi-station cross-correlation analyses is valid for any strength of scattering and is independent of the existence of turbulence in the velocity field (Bourgeois et al., 1985; Chashei et al., 2021). A comparison between the single-station and multi-station methods of velocity estimation is essential to examine a possible systematic bias between them and thus to improve the accuracy of IPS observation (Tokumaru et al., 2011), which is routinely performed for the ISEE IPS observations.

6 IPS-CAT modelling for space weather prediction

The 3D solar wind flows are remotely sampled at multiple discrete IPS ray-paths over a large portion of Sun-centered sky map. To optimize the use of IPS observations and produce 3D global heliospheric representations, two iterative CAT models were separately developed in late 1990s (Jackson et al., 1998; Kojima et al., 1998) that fit IPS observations of drifting speed

V_{drift} and scintillation factor g to a kinematic solar wind model to provide 3D velocities and densities. The general flowchart for numerical IPS-CAT models is plotted in Figure 11, with the same notations as used in the text of Jackson et al. (2010c). The subscripts j and k are used when physical quantities such as density n and speed \mathbf{v} refer to discrete $N_\theta \times N_\phi$ grids on a 2D reference sphere. The spatial resolution of current CAT models along latitude and longitude directions is typically at 5° – 10° (Hayashi et al., 2003; Jackson and Hick, 2004; Hayashi et al., 2016). The reference sphere, also called source surface, is usually set at the super-Alfvénic region, i.e., $r_{\text{ref}} = 30R_s$. Because the realistic solar wind flows are already accelerated at the inner boundary of r_{ref} , the complicated mechanisms governing tran-Alfvénic acceleration and heating of solar wind flows are absent within the simulation domain of IPS-CAT models. An initial prescription of the inner boundary condition can be arbitrary, as a unique solution of solar wind speed distribution on the inner boundary is gradually converged as a result of repeated perturbation of radial speed $\delta v_{r,j,k}$ at the boundary mesh.

The essence of IPS-based CAT models is an automatically iterative procedure modifying the solar wind velocity distribution on an inner boundary sphere. The boundary-driven 3D CAT models can be ballistic, kinematic, or magneto-hydrodynamical according to physical description of solar wind flows. For a ballistic model, the solar wind plasma velocity is assumed constant along the streamlines (Kojima et al., 1998). For a kinematic model, the momentum and mass are conserved to describe the collision and merge of different solar wind plasma parcels emanating from the inner boundary (Jackson et al., 1998). For an MHD model, nonlinear MHD processes are self-consistently simulated to address very complicated interplanetary dynamics from near the Sun to well beyond 1 AU, such as shock formation. The mathematical treatment of the solar wind flows in IPS-CAT models is increasingly sophisticated from the ballistic model to the kinematic model, and then to the MHD model. Using any of these IPS-CAT models, 3D volumetric data of speed $\mathbf{v}_{i,j,k}$ is numerically reconstructed.

The simulation of IPS velocity measurement $V_{\text{drift},\mu}^{\text{mdl}}$ in numerical solar wind is performed for multiple IPS ray-paths ($\mu = 1, \dots, N_{\text{obs}}$). The IPS simulation is made with the integration (Kojima et al., 1998; Hayashi et al., 2003)

$$\begin{aligned} V_{\text{drift},\mu}^{\text{mdl}} &= \int \hat{\omega}(z) \delta n_e^2(z) |\mathbf{v}(z) \times d\mathbf{z}| \int \hat{\omega}(z) \delta n_e^2(z) dz \\ &\equiv \int W(z) \delta n_e^2(z) |\mathbf{v}(z) \times d\mathbf{z}| \end{aligned} \quad (20)$$

where \mathbf{z} is the position vector of a point on the ray-path at the distance z from Earth. The weighting factor $\hat{\omega}(z)$ is calculated as an integral over spatial wave vector \mathbf{q} , i.e., $\hat{\omega}(z) = \iint w(\mathbf{q}) d^2\mathbf{q} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} w(q_x, q_y) dq_x dq_y$, with $w(\mathbf{q})$ term given in Eq. 13. The weight $\hat{\omega}(z)$ is normalized to be $W(z)$. The normalized weighting factor of segments along each ray-path $W_{v,\mu}, v = 1, \dots, N_{\text{los}}$ is pre-calculated. The observation-modelling comparisons of drifting speed and scintillation factor are evaluated as $\Delta V_{\text{drift},\mu} = V_{\text{drift},\mu}^{\text{mdl}} - V_{\text{drift},\mu}^{\text{obs}}$ and $\Delta(g^2)_\mu = (g^2)_\mu^{\text{mdl}} - (g^2)_\mu^{\text{obs}}$ for all IPS ray-paths $\mu = 1, \dots, N_{\text{obs}}$. The discrepancy between the actual and simulated IPS velocities ($\Delta V_{\text{drift},\mu}, \mu = 1, \dots, N_{\text{obs}}$) is examined for its standard deviation $\sigma(\Delta V)$. A provisional analysis with several iterations is carried out to discard the outliers of bad IPS velocity data, whose discrepancy

is larger than a threshold of $|\Delta V_{\text{drift},\mu}| \geq 1.5\sigma(\Delta V)$ (Hayashi et al., 2003).

With the 3D distribution of $\mathbf{v}_{i,j,k}$ within the simulation domain, IPS data made at various heliocentric distances and times are traced back along the streamlines to the source positions on the inner boundary. In Figure 11, subscript μ is used to identify a LOS while v refers to a segment at a certain distance from the observer along the LOS. On the footpoints backwards mapped from each IPS ray-path, radial speed is perturbed to be $\delta v_{r,\mu,v}$ for $v = 1, 2, \dots, N_{\text{los}}$ and $\mu = 1, 2, \dots, N_{\text{obs}}$. The $\delta v_{r,\mu,v}$ and $\delta n_{\mu,v}$ at the streamline footpoints are used to modify surrounding speed and density on the $j-k$ mesh. The conversion from $(\delta v_{r,\mu,v}, \delta n_{\mu,v})$ to $(\delta v_{r,j,k}, \delta n_{j,k})$ is in a Gaussian spread function of equal-solar-surface areas to improve the stability of the iteration process (Jackson et al., 2010c). The differences between actually observed and calculated model values are gradually minimized via the iterative reconstruction. As a rate of convergence, ΔV_{drift} rapidly decreases to 10% or better level of the average IPS velocity $V_{\text{drift}}^{\text{obs}}$ (Hayashi et al., 2003). The LOS-integrated IPS observations of the solar wind speed and density turbulence level are de-convolved by the CAT procedure to reconstruct the 3D solar wind speed (and density) structures.

IPS data-constrained numerical CAT 3D models can reconstruct the transient CME structures and their ambient large-scale solar wind flows. The Carrington maps of velocity and density at the inner boundary are smoothed each iteration using a 2D Gaussian spatial filter and a 1D Gaussian temporal filter (Jackson et al., 2010c). Such a temporal filter on the inner boundary is not used to reconstruct the global structures of corotating solar wind. For a corotating IPS-CAT model, the global solar wind is assumed to be slowly evolving in time during one Carrington rotation. The absolute density scale of the solution cannot be determined from the tomographic reconstruction itself, because g -level is a proxy for the solar wind density variance. The averaged scale of reconstructed density is externally calibrated according to *in situ* measurements near the Earth. For the UCSD kinematic IPS-CAT model, g -levels can be replaced or augmented by heliospheric Thomson-scattering intensity as measured by the Solar Mass Ejection Imager in a polar orbit around the Earth (Jackson et al., 2010c). As shown in Figure 12A, IPS scintillation indices calculated from the 3D density distribution of numerical MHD simulations are useful in denoting CME propagation direction on the plane of sky (Iwai et al., 2021). As shown in Figure 12B, the 3D numerical solution of MHD IPS-CAT model has been demonstrated to be able to simulate the Archimedean spiral structure of the solar wind on the equatorial plane, and match the *in situ* near-Earth and/or Ulysses observation data during one Carrington rotation period (Hayashi et al., 2016). The 3D global structures of interplanetary disturbances, daily reconstructed from the UCSD kinematic IPS-CAT model, are shown in the website of <https://ips.ucsd.edu/> for the purpose of real-time space weather forecast. One of the data products generated from the tomographic reconstruction is the large-scale distribution of 3D density structures shown in Figure 12C (Jackson and Hick, 2004).

Regarding multiple iteration steps, there is a major difference between the UCSD time-dependent kinematic IPS-CAT model and other MHD IPS-CAT models. The former goes through a Carrington rotation or any time period in a single “time-dependent” run (or iteration). In other words, each iteration is a time-dependent simulation by itself. On the other hand, the latter performs

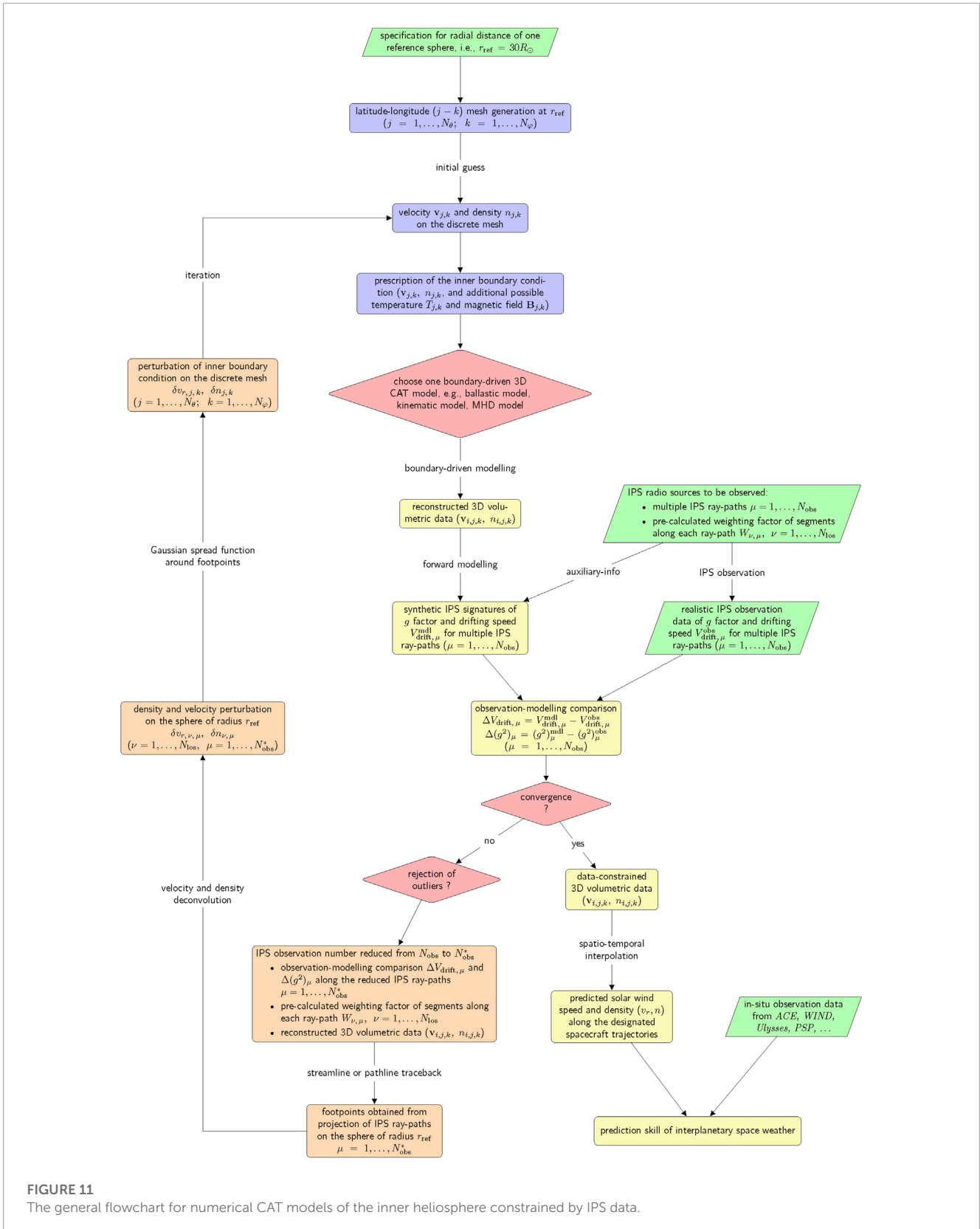


FIGURE 11
The general flowchart for numerical CAT models of the inner heliosphere constrained by IPS data.

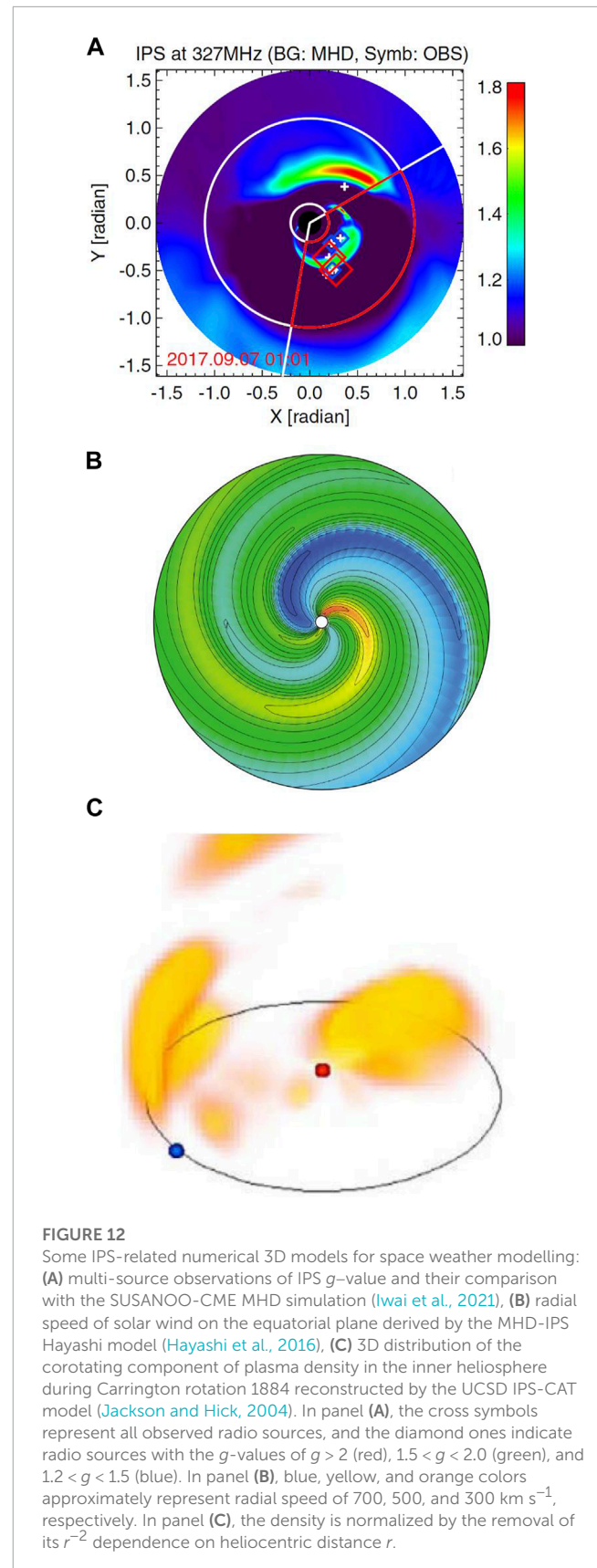
tomographic iteration for a steady state simulation at a certain time cadence (typically 1 day), and then a time-dependent MHD simulation is performed afterwards using the iteratively constrained boundary conditions. Streamlines are imaginary lines that represent

the direction of the flowing fluid at a certain point in time. Only in a steady flow are streamlines identical to pathlines, which are defined to be flow paths that the fluid particles take while flowing. The above-mentioned difference between different tomography

models is essentially ascribed to the inconsistency between pathlines (also called trajectories) and streamlines in an unstable flow.

The UCSD kinematic IPS-CAT model can accurately reproduce the general large-scale 3D structure of the solar wind density and speed in the inner heliosphere, and even smaller-scale fluctuations at 6-h cadence at Earth (Jackson et al., 2015; Jackson et al., 2020). Obviously, all the IPS ray-paths converge at Earth, so near-Earth space in the IPS-CAT model is always very well constrained by IPS data. The *in situ* velocity measurements at the first Sun-Earth Lagrangian point (L1), such as near-real time Advanced Composition Explorer (ACE) data, are further included into the UCSD time-dependent tomography to constrain the iterated results (Jackson et al., 2010b). As a result, the current “L1-data constrained” kinematic IPS tomography performs remarkably at Earth, with Pearson correlations typically above 0.9. In addition, the 3D solar wind velocity reconstructed from the UCSD kinematic modelling can be used to carry and extend the solar magnetic field lines, described by the current sheet source surface model (Zhao and Hoeksema, 1995), out to the edge of the global boundary considered by the IPS analysis (Jackson et al., 2012). Such IPS-aided information about disturbed solar wind structures in three dimensions is valuable in interpreting *in situ* observations from interplanetary spacecraft.

The inner boundary conditions provided by the UCSD time-dependent tomography have been used to drive multiple numerical MHD models, such as MS-FLUKSS model (Kim et al., 2014), ENLIL model (Jackson et al., 2010a), Alfvén Wave Solar atmosphere Model (AWSoM) (Sachdeva et al., 2019). An *ad hoc* adjustment of the inner boundary values in the MS-FLUKSS MHD model could deliver more comparable results at Earth, but diverges considerably from the UCSD kinematic IPS-CAT model below $100 R_{\odot}$ (Kim et al., 2014). Sachdeva et al. (2019) compared the AWSoM MHD model with the UCSD kinematic IPS tomography at 20, 100, and $215 R_{\odot}$, and found reasonable agreements at 100 and $215 R_{\odot}$ and a 20–30% discrepancy at $20 R_{\odot}$; Jian et al. (2015) compared all the coronal and heliospheric model combinations (including MHD models) installed at the NASA Community Coordinated Modeling Center (CCMC) and found that the kinematic IPS tomography correlated best with the solar wind speed and density measured at Earth. On the other hand, when the same CCMC models were compared with Ulysses data during the fast latitudinal scan in 2007, the kinematic IPS tomography deviated significantly when Ulysses was away from Earth and the ecliptic plane (Jian et al., 2016), confirming what Kim et al. (2014) showed earlier. The performance drawback of the UCSD kinematic IPS-CAT model at Ulysses orbit is owing to two reasons that “the numbers of IPS signals are limited out of the ecliptic plane at present” and “IPS observations over the north and south ecliptic poles are generally obtained close to the solar surface” (Jian et al., 2016). The outstanding mystery of the solar wind acceleration nearer the Sun is insufficiently addressed in the mathematical descriptions of current IPS-CAT models, which results in the degenerated performance of kinematic IPS-CAT model at Ulysses orbit (Jian et al., 2016) and the noticeable prediction discrepancy between different IPS-CAT models at $20 R_{\odot}$ (Sachdeva et al., 2019).



The differences between the IPS kinematic-model data-fitting procedure and the current 3D MHD modeling techniques provide interesting insights into the physical principles governing the expulsion of CMEs as well as expectations and limitations of various IPS-CAT models (e.g., Jackson et al., 2015; Yu et al., 2015).

7 International cooperation within the worldwide IPS stations network

The intensity variance of IPS signals on the time-scale of 0.1–10 s for the micro-scale density irregularities, with a characteristic scale of tens to a few hundred of kilometers. The sensitivity of radio telescope is characterized as system noise temperature T_{sys} , effective aperture area A_e , and minimum detectable flux density ΔS_{min} . A high-sensitivity radio system to observe celestial compact radio sources is desired, because the flux of IPS signals received at Earth are generally faint to be less than 1 Jansky. Due to increasing of radio telescope sensitivity, much more fainter radio sources are found to have the IPS phenomena. However, man-made radio emissions in all frequency bands are increasingly causing serious interference signals for most of radio astronomical observatories in the world. Under the guidance of International Telecommunication Union, radio frequency spectra are painstakingly parcelled out between radio-based applications such as personal communications, satellite broadcasting, GPS and amateur radio, and the sciences of radio astronomy, Earth exploration and deep-space research. The key bands of VHF and UHF radio spectra widely used in IPS observations should be carefully protected by the local administrative regulations. As shown in Figure 2, the observing frequencies for the current IPS-dedicated radio telescopes are 327 MHz in India and Japan, 111 MHz in Russia, 140 MHz in Mexico.

The numerical IPS-CAT models have been proved to be capable of reconstructing 3D large-scale velocity and density structures in the inner heliosphere. Such a 3D reconstruction of interplanetary structures is of great interest for the space weather prediction community. Since the routine IPS observations at the ISEE in 1999, a near real-time prediction analysis system on basis of UCSD kinematic IPS-CAT model have been operated and developed for over two decades to provide heliospheric solar wind speed and density at Earth (Jackson et al., 2010b) and at Mars (Jackson et al., 2007). The construction of SWIFT at Toyokawa in the late 2000s significantly upgraded the overall sensitivity of the ISEE IPS system, and achieved a finer resolution in the CAT reconstruction from those IPS data (Tokumaru et al., 2011; Hayashi et al., 2016). The time cadence interval used by the UCSD IPS-CAT modelling is relatively short in comparison with that of a solar rotation, i.e., about 1 day for ISEE IPS data (Jackson et al., 2015). The spatial and temporal resolutions of IPS-CAT models are essentially constrained by the number of available IPS ray-paths.

The insufficient sampling of the inner heliosphere provided by the existing observations of IPS can be ascribed to several reasons including limited sky coverage in declination and hour-angle, insufficient sensitivity, limitations posed by weather and geographic locations, and slow slew rate of antenna beams (Oberoi and Benkevitch, 2010). For instance, the SWIFT antenna at Toyokawa forms a single beam in the local meridian,

steerable between 60°S and 30°N with respect to the zenith (Tokumaru et al., 2011), which results in sparse polar coverage, especially in the southern hemisphere. The solar wind speeds and scintillation levels have been basically determined on a daily basis between April and December from ISEE IPS observations. However, the ISEE IPS data are unavailable in winter owing to snow at the observatories (Tokumaru et al., 2021), and has noticeable coverage gaps in certain parts of the sky because of the unevenly scattered IPS sources. An extensive gap in the ISEE IPS tomography map data due to the above-mentioned reasons had better be filled by an analytic Legendre-polynomial formula for the latitudinal profiles of the yearly filtered solar wind speed (Porowski et al., 2022). As IPS observations are usually taken around the time of meridian transit for each radio source, daily meridian scan observations of the sky map around the Sun can be sequentially made at multiple IPS stations located at different geo-longitudes. The coordinated observation of multiple IPS-dedicated stations distributed at different time zones on the Earth is highly desired to improve the routine prediction performance of IPS-based tomographic reconstruction.

The Worldwide IPS Stations (WIPSS) Network aims to bring together the worldwide real-time capable IPS observatories with well-developed and tested analysis techniques (Bisi et al., 2019). Now, the real-time internet access to the original IPS observation data is only provided by the ISEE IPS system among these IPS-dedicated radio telescopes. Other IPS-dedicated radio telescopes at Ooty and Pushchino have accumulated a huge volume of IPS data since their operations in the 1970s. The Ooty radio telescope is unique for its long axis of parabolic cylinder reflector being parallel to the Earth's rotation axis. Using such an equatorial mount, a celestial radio source can be tracked for about 10 h by the mechanical rotation of the cylinder in the east-west direction (Manoharan, 2010). Some non IPS-dedicated radio telescopes such as EISCAT (Bourgeois et al., 1985), LOFAR (Fallows et al., 2016), and MWA (Morgan et al., 2018) have been intermittently used to study IPS phenomena. The southern-biased FOV of MWA provides a good complement to the northern-biased FOV of other telescopes located at the northern hemisphere of the Earth. The LOFAR4SW project, implemented under the European Horizon 2020 programme as a design study, was to develop an upgrade to the existing LOFAR infrastructure so that it would be possible to use the instrument's capabilities for space weather purposes (Bisi et al., 2020; Carley et al., 2020). In addition, the new Chinese IPS-dedicated radio telescopes under construction in northern China will join the WIPSS network for the international space weather service. The daily observed radio sources are estimated to be nearly 100 by SWIFT (Iwai et al., 2021), 500 by MEXART (Mejia-Ambriz et al., 2010), and several thousand by ORT (Manoharan et al., 2017) and BSA LPI (Chashei et al., 2021). Different IPS techniques (single-site versus multi-site) and analysis procedures followed at different observatories need to be cross-calibrated against one another to yield consistent results (Oberoi and Benkevitch, 2010). Jackson et al. (2023) demonstrated that both spatial and temporal coverage of UCSD kinematic CAT model can be significantly increased with more IPS data input from ISEE, LOFAR, and BSA LPI within the WIPSS network groups.

8 Summary and discussion

IPS is the phenomenon of random fluctuations in the intensity of distant compact object, smaller than an arc-second, induced by the ubiquitous inhomogeneous structures in the turbulent solar wind. The radio signal from a distant compact radio source is scattered by the density inhomogeneities of the solar wind on the order of 100 km. A diffraction pattern of radio intensity fluctuations arises from the interference between any pair of incident plane waves. The spatial correlation length of density inhomogeneities and the Fresnel radius of radio diffraction are two key parameters in determining the scintillation pattern. In weak scattering, a “2D phase-changing screen” scenario is justified on the basis of the Born approximation. The diffractive contribution of the scattered signal is quantified as a Fresnel filter in weak-scattering theory. In strong scattering, scintillation index decreases because intensity variation interferes or cancels each other within the observation frequency band. A typical power spectra of IPS signals recorded at a single station is flat at low frequencies, then has a sudden drop over the Fresnel knee. Using a nonlinear spectral-fitting method, the IPS power spectra around the knee is analyzed to infer solar wind velocity, radio source size, and turbulence spectral index. The intensity diffraction pattern that transported away from the Sun by the solar wind can be measured and correlated between multiple radio stations on the Earth. Two simultaneous IPS time series from separate stations can be cross-correlated to determine the solar wind speed from time delay. Using three stations for IPS allows the solar wind velocity vector to be determined to a high degree of accuracy. Therefore, IPS serves as an effective tool for remote sensing of the inner heliosphere, determining the flow speed and turbulence amplitude associated with either undisturbed solar wind or transients, such as CMEs.

The global 3D distribution of solar wind speed and density can be determined by current IPS-based numerical CAT models. The simulation domain of those IPS-CAT models are generally prescribed beyond the Alfvén surface of solar wind flows. Tokumaru et al. (2021) compared solar wind speeds derived from the IPS-CAT analysis with *in situ* observations conducted by the near-Earth and Ulysses spacecraft, and found that the discrepancy between the IPS and *in situ* observations can be improved by changing the power index of the empirical relation between the solar wind speed v and density fluctuations δn_e . The real-time IPS predictions often suffer reduced performance since they are limited to the data available only up to the time when the prediction is made (Jackson et al., 2015; Jackson et al., 2020; Jackson et al., 2023). With a large number of IPS radio sources to be observed by the WIPSS network on a regular daily basis, IPS-CAT models can be fully exploited to map and predict the structure and motion of major disturbances in the inner heliosphere. The potential synergy between the above-mentioned IPS-capable

radio telescopes on the Earth, complemented with other ground-based and spaceborne instruments, would enable the simultaneous exploration and scientific understanding of solar wind dynamics and structures in three dimensions, thereby facilitating major breakthroughs in the interplanetary space weather prediction.

Author contributions

MX was responsible for the organization of this article, contributed to all sections, and wrote the initial manuscript version. KY provided the important insights on how to design figures 2 and 11, and made major contributions to the revision of sections 2. All authors contributed to the initial concept, read and revised the paper, approved the final version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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