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Investigating the variations in the composition and heating of interacting ICMEs

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Interacting coronal mass ejections (CMEs) have been commonly reported during the STEREO era. With the interaction of CMEs in the heliosphere, it is expected that the participating CMEs will either merge to form a single interplanetary CME (ICME) or will arrive as distinct entities or ICMEs at 1 AU. Previous studies have focused on *in situ* observations of solar wind, i.e., plasma and magnetic field properties to understand the nature of the CME–CME interaction and its impact. In this study, we examine the observations of composition parameters of those ICMEs that resulted due to the interaction of two CMEs during their propagation between the Sun and the Earth. We report two events of the CME–CME interaction observed in 2012, of which one led to a merged structure after the interaction, as observed at 1 AU. The second interaction event was reported to arrive at L1 as two distinct structures. Our analysis reveals distinct composition signatures in the form of ion charge state enhancements. The results improve our understanding of the signatures of ICMEs and different complex structures formed after the interaction. The study reveals that compression can occur due to the passage of the shock associated with the following CME through the preceding CME and not due to the CME–CME interaction. The results also highlight the importance of the comparison of solar wind proton velocity data with the expected temperature data, in particular, to understand the ICME–ICME interaction processes.

KEYWORDS

CME–CME interaction, ICME, solar wind, compositional signatures, charge states

1 Introduction

Coronal mass ejections (CMEs) are large expulsions of plasma and magnetic field from the Sun, which have propagation speeds ranging from a few hundred to a few thousand kilometers per second. Depending upon their initial speeds, their travel time from the Sun to the Earth is known to range from 1 to 4 days. Around solar maximum, the number of CMEs launched from the Sun is approximately five per day (St. Cyr et al., 2000; Yashiro et al., 2004; Webb and Howard, 2012) in contrast to one CME in 5 days during the solar minimum phase (St. Cyr et al., 2000; Gopalswamy et al., 2005; Gopalswamy et al., 2006; Webb and Howard, 2012). It is also well known that, generally, homologous CMEs originate from the same active region and exhibit a close morphological resemblance in coronal and coronagraphic observation at an interval of several hours (Zhang and Wang, 2002; Chertok et al., 2004; Kienreich et al., 2011; Li and Zhang, 2013). When such CMEs

occur in quick succession, particularly as expected during the solar maximum phase, they can interact in the heliosphere if they propagate along the same direction. A detailed review on the interaction of CMEs has been presented by [Lugaz et al. \(2017\)](#) and [Manchester et al. \(2017\)](#).

If the successive CMEs are directed earthward, their interaction can enhance the geo-effectiveness due to the extended period and enhanced strength of the southward magnetic field, which are responsible for causing intense geomagnetic storms ([Wang et al., 2003](#); [Farrugia and Berdichevsky, 2004](#); [Farrugia et al., 2006](#); [Lugaz et al., 2013](#); [Lugaz et al., 2017](#)).

As the CMEs interact in the heliosphere, it is expected that the participating CME will either merge to form a single interplanetary CME with different properties than those of the participating CMEs or will arrive as distinct entities of ICMEs at 1 AU, retaining their original properties. Merging of CMEs is expected when reconnection can set in between the trailing edge of the preceding CME and the leading edge of the following CME, resulting in a single flux rope ([Palmerio et al., 2021](#)). Simulation studies on the resulting magnetic structure of interacting CMEs carried out by [Schmidt and Cargill \(2004\)](#) and [Lugaz et al. \(2013\)](#) show that, in general, two flux ropes with the opposite orientation offer favorable configuration for efficient magnetic reconnection during the interaction, in particular at the interface between the two flux ropes, where antiparallel fields meet. [Kilpua et al. \(2019\)](#) provided supporting observations for the aforementioned simulation results for the interacting CMEs of June 2012, investigated in the present study. However, irrespective of the orientation of the flux rope between the interacting ICMEs, there is always a radial compression of the preceding CME as the shock driven by CME2 propagates through CME1. When the axial fields of the two CMEs are antiparallel, there is often a short period of overexpansion following the interaction as the rate of overexpansion depends partly on the reconnection rate between the two CMEs ([Lugaz et al., 2013](#)). Recently, *in situ* observations of solar wind, i.e., plasma and magnetic field properties, have been examined to understand the nature of interaction and its impact ([Mishra et al., 2015a](#); [Mishra et al., 2016](#); [Mishra et al., 2017](#); [Srivastava et al., 2018](#)). During the STEREO era, several events of interacting CMEs have been reported in detail by [Mishra and Srivastava \(2013\)](#), [Mishra and Srivastava \(2014\)](#), [Temmer et al. \(2014\)](#), [Mishra et al. \(2015b\)](#), and [Shen et al. \(2017\)](#).

In addition to plasma and magnetic parameters, the other class of signatures, which is important, are the composition signatures of the ICMEs ([Zurbuchen and Richardson, 2006](#)). Therefore, it is crucial to examine and understand the observations of composition parameters in interacting ICMEs. In the present study, we aim to understand the space weather effects of the interacting CMEs in the heliosphere in the context of composition signatures.

The charge state distributions of heavy ions in ICMEs observed in the *in situ* data generally provide information on the conditions prevalent in the corona where the CME plasma originates. The charge state has been used to distinguish fast wind from slow wind ([von Steiger and Zurbuchen, 2003](#)) as they originate from different source regions in the corona, i.e., coronal holes and streamers, respectively. It is also to be noted that the timescale needed for solar wind expansion is typically much shorter than that of ionization and recombination, thereby freezing-in the relative ionization states and maintaining the values that are present at

the source region ([Hundhausen et al., 1968](#)). This suggests that the ionic fractions measured *in situ* are dictated by the physical parameters near the Sun where freeze-in occurs ([Rakowski et al., 2007](#); [Gruesbeck et al., 2011](#); [Gruesbeck et al., 2012](#); [Lynch et al., 2011](#); [Song et al., 2015a](#); [Song et al., 2015b](#)), which have been further used to infer the eruption process of flux ropes ([Song et al., 2016](#); [Wang et al., 2017](#); [Huang et al., 2018](#)). The high ionization states refer to a hot source region and can also describe the thermal history of the interplanetary plasmas by comparing the freezing-in temperatures of different charge state pairs ([Gopalswamy, 2006](#)). One expects that the interacting ICMEs can result in unusual compositional signatures compared to an isolated ICME, partly because of the conditions at the Sun and partly due to the interaction. The launch of successive CMEs from the Sun suggests the possibility that the fraction of heavy elements settling at different solar heights and their confinement time in the solar atmosphere can be different for interacting CMEs as compared to isolated CMEs. Additionally, the sequence of energy release processes leading to successive CMEs may give rise to a higher fraction of ionization in the following CME plasma. Furthermore, CME–CME interactions can lead to different compositional signatures through the mixing of plasma from the two CMEs. This suggests the possibility that plasma from one CME can become entrained within the other CME, which can further lead to a sequence of multiple or longer duration peaks of compositional signatures than those in an isolated ICME.

In the case of isolated CMEs, the well-known composition signatures are the enhanced alpha to proton ratio, and elevated Fe/O , C^{+6}/C^{+5} , and O^{+7}/O^{+6} ratios ([Henke et al., 2001](#); [Leprì et al., 2001](#); [Zurbuchen et al., 2003](#); [Kilpua et al., 2017](#)). Furthermore, in interacting CMEs, it is expected that the charge state of elements will show enhancement with several peaks in between. These peaks may be associated with the arrival of distinct CMEs or different solar wind structures arising due to participating CMEs in the interaction. The interacted CME structures are generally marked by signatures of complex ejecta ([Burlaga et al., 2002](#)), which are enhanced for a duration of more than 2 days ([Rodkin et al., 2018](#)), implying that composition signatures of interacting CMEs can last longer than those of isolated CMEs ([Temmer et al., 2017](#)). Furthermore, the ion composition of ICMEs are generally associated with the solar source regions of CMEs and are frozen-in; therefore, they are expected to remain unaltered during their heliospheric propagation. The ion charge state and mass composition of solar wind plasma can, therefore, be used to identify various components of ICMEs and their source regions ([Fisk et al., 1998](#); [Zhao et al., 2009](#); [2014](#); [Kilpua et al., 2014](#); [Rodkin et al., 2017](#)). As mentioned previously, the important composition parameters, i.e., C^{+6}/C^{+5} , O^{+7}/O^{+6} , alpha to proton density ratio ($N\alpha/Np$), and average ion charge state $\langle Fe \rangle$, can be considered as markers of the sources of the participating CMEs in the interaction.

As per the present understanding, the ion charge state of the solar wind at the L1 point corresponds to its coronal state at a height where it is frozen-in and is normally valid from distances between 1.5 and $4 R_{\odot}$ depending upon the type of ion and also the level of activity ([Feldman et al., 2005](#)). The ion composition of CME plasma and its evolution largely depend upon the temperature, density, mass, and velocity. The typical composition parameters of ICMEs, as reported by [Zurbuchen and Richardson \(2006\)](#) and [Rodkin et al. \(2017\)](#), are as follows: proton speed $\sim 450 \text{ km}\cdot\text{s}^{-1}$,

proton temperature as $<5 \times 10^5$ K, $N\alpha/Np > 8\%$, $C^{+6}/C^{+5} > 1$, $O^{+7}/O^{+6} > 0.8$, $Fe/O > 0.2$, $Q_{Fe} > 12$, and B is 3–40 nT (Table 1). In contrast, the respective composition parameters of the normal solar wind are $360 \text{ km}\cdot\text{s}^{-1}$, 6×10^4 K, $N\alpha/Np < 8\%$, $C^{+6}/C^{+5} < 1$, $O^{+7}/O^{+6} < 0.8$, $Fe/O \approx 0.1$, Q_{Fe} is 9–11, and B is 4 nT (Table 1). Furthermore, Gopalswamy et al. (2013) found that faster CMEs have higher ion charge states than the slower CMEs. In another study, the typical parameters of ion charge states, as reported for ICMEs in the ascending phase of the solar cycle, are marked by Q_{Fe} ranging from 10.2 to 17.6 (max) and the average value is 10.8. The O^{+7}/O^{+6} ratio reported for the same period has an average of 0.34 and the maximum value ranges from 0.2 to 1.5 (Rodkin et al., 2017).

Owing to the interaction of two CMEs or the passage of an IP shock of the following CME through the ejecta of the preceding CME, a compression of the CME is expected. In particular, the propagation of a shock inside magnetic ejecta can lead to compression, resulting in the enhancement of the southward interplanetary magnetic field component along with elevated values of the dynamic pressure. This can further result in intense geomagnetic storms and also trigger substorms. Therefore, it is of interest to investigate whether observations suggest that the interaction of CMEs leads to compression. A previous study by Elliott et al. (2005) on compression and rarefaction of solar wind/CME structures suggested that any compression leads to heating of the plasma. This occurs as the fast solar wind stream overtakes the slow solar wind stream and is accompanied by an increase in the solar wind speed. In rarefaction, cooling occurs and proton speed decreases when the fast solar wind stream surpasses the slow solar wind stream. Elliott et al. (2005) fit the proton temperature and velocity ($T_p - -V$) curves separately for compression and rarefaction and found that the expected temperature is given by $T_{\text{exp}} = 640V - 1.56 \times 10^5$ (for compression) and $T_{\text{exp}} = 459V - 1.18 \times 10^5$ (for rarefaction).

In this paper, we examine the time profiles of the ion charge composition of two interacting CME events observed in the year 2012. We attempt to identify the sources of the ICMEs and the corresponding composition signatures at L1, with structures corresponding to their sources on the solar surface. We also examine the signatures of compression and rarefaction of ICMEs estimated from the expected temperature and compare them with the proton velocity observations recorded by *in situ* instruments.

2 Observations

In the present study, we have analyzed the composition parameters of two events of the CME–CME interaction observed in the same year, 2012, one during June 2012 and the other during November 2012.

For the analysis, we obtained 1-h data on the alpha to proton ratio and 2-h data on charge state ratios and elemental abundance ratios collected by the Solar Wind Ion Composition Spectrometer (SWICS) (Gloeckler et al., 1998) onboard the Advanced Composition Explorer (ACE) (Stone et al., 1998). Additionally, we used 1 min averaged solar wind plasma and magnetic field data and hourly averaged latitude (θ) and longitude (ϕ) values of the interplanetary magnetic field from the OMNI database (<https://cdaweb.gsfc.nasa.gov>).

We mark the time of arrival of shock and the start and end time of the ICMEs based on the Richardson–Cane (RC) catalog (Richardson and Cane, 2004; Richardson and Cane, 2010) for the two events. It is important to mention that in the RC catalog (<https://izw1.caltech.edu/ACE/ASC/DATA/level3/icmetable2.htm>), the ICME timings are based primarily on plasma and magnetic field signatures (Cane and Richardson, 2003).

3 Data analysis

As mentioned in the previous section, for the present study, we selected two cases of interacting CMEs, which showed distinct signatures in *in situ* observations of plasma and magnetic parameters at L1, as identified from the RC catalog. The first CME–CME interaction event was observed during 13–14 June 2012 which resulted in a merged structure and has been reported in detail by Srivastava et al. (2018). The second event of 9–10 November 2012 resulted in two distinct structures separated by an interaction region and has been discussed in depth by Mishra et al. (2015a). In what follows, we discuss the composition parameters and their evolution with time for the two events separately.

3.1 Interacting CMEs of 13–14 June 2012

As reported by Srivastava et al. (2018), two CMEs were launched from the same active region NOAA 11504 on 13 and 14 June 2012, separated by approximately 24 h. These CMEs, which were launched with average 3D speeds of $560 \text{ km}\cdot\text{s}^{-1}$ and $900 \text{ km}\cdot\text{s}^{-1}$, respectively, were observed to interact at a distance of $100 R_{\odot}$ from the Sun. The interaction resulted in a geomagnetic storm of moderate intensity ($\text{Dst} \sim -86 \text{ nT}$). The interaction phase and the interplanetary signatures of interaction have been discussed by Srivastava et al. (2018) and also by Kilpua et al. (2019). From the examination of *in situ* plasma and the magnetic parameters of these interacting CMEs, it was found that it led to the strongest sudden storm commencement (SSC) ($\sim 150 \text{ nT}$) of the solar cycle. The SSC was unique because of its long duration of approximately 20 h. Figure 1A shows the plasma and magnetic properties of the interacting CMEs of June 13 and 14, as observed at L1 during 17–18 June 2012. The times of the observed CMEs of 13 and 14 June 2012 and the associated flares are tabulated in Table 2.

We plotted the plasma and magnetic parameters of the interacting ICMEs of June as observed by ACE and WIND (Figure 1A). The ICME arrival is marked by the arrival of the interplanetary shock S1 at 08:42 UT on June 16, followed by the first ICME (ICME1), which is marked by the boundaries between 08:42 UT and 21:40 UT. After the passage of the first CME, the second shock S2 associated with the second CME is observed at 21:40 UT on June 16. Finally, the second ICME (ICME2) arrived, which is marked by the boundaries between 21:40 UT on June 16 and 21:00 UT on June 17. The ICME2 structure marks the presence of a long duration magnetic cloud (MC) of approximately 14 h duration during 22:12 UT on June 16–12:31 UT on June 17. During this period, the plasma β value remained less than 1, the total magnetic field B remained higher than 10 nT, and the magnetic field angle θ rotated from $+70^\circ$

TABLE 1 Composition signatures of normal solar wind, isolated ICMEs, and the June and November 2012 events.

	Average Vp (km/s)	Tp (K)	Na/Np	C ⁺⁶ /C ⁺⁵	O ⁺⁷ /O ⁺⁶	Fe/O	Q _{Fe}	Average total B (nT)
Solar wind	360	6 × 10 ⁴	<8%	<1	<0.8	0.1	9–11	4
Typical ICME	450	<5 × 10 ⁵	>8%	>1	>0.8	>0.2	>12	3–40
June event	430	<0.8 × 10 ⁵	>5%	>1	>0.5	>0.3	>11	18
November event	390	<1.7 × 10 ⁵	>5%	>1	>0.4	>0.3	>11	16

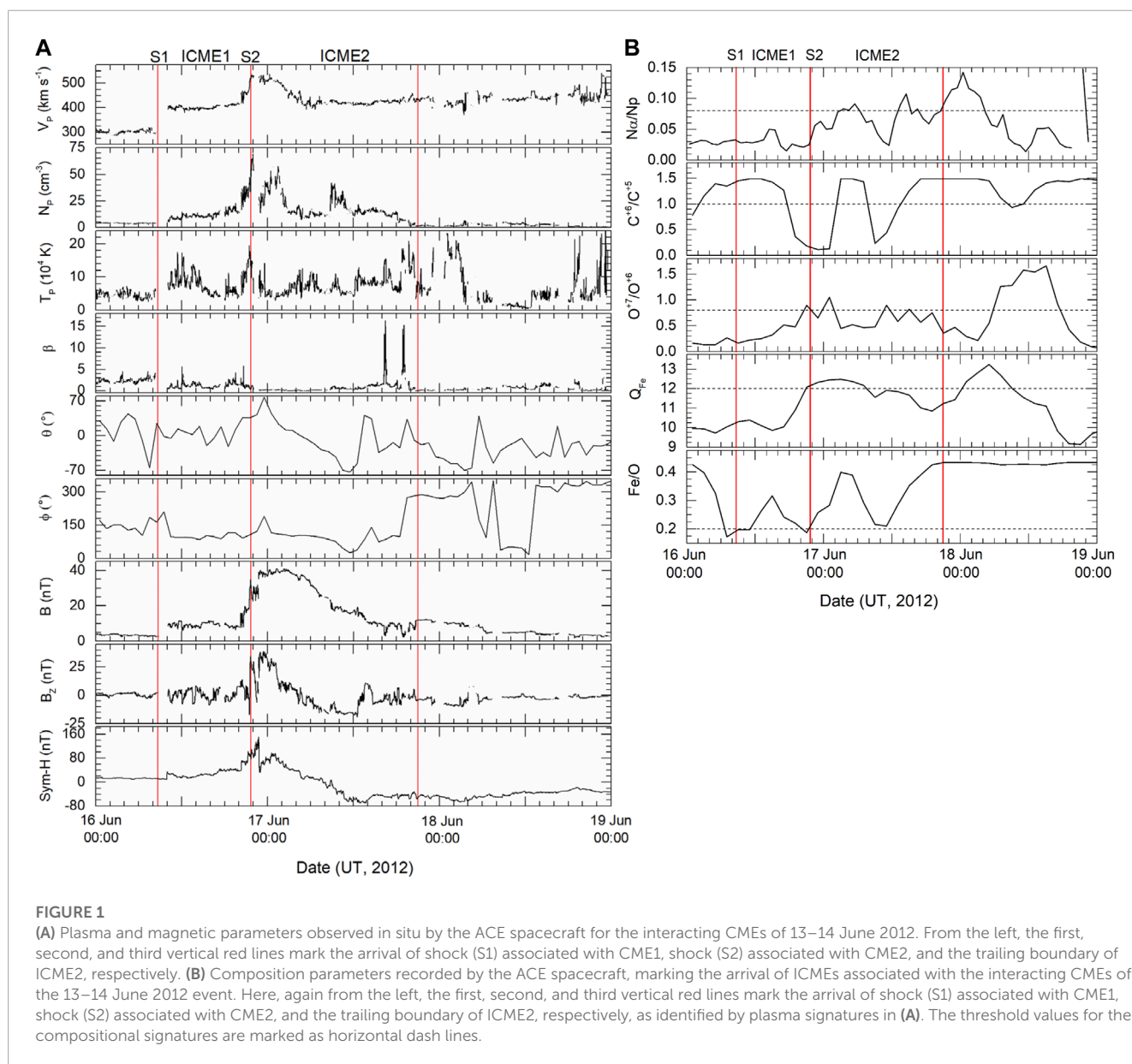


TABLE 2 CME and associated flare characteristics for the 13–14 June 2012 event.

S.No.	CME date	Flare onset date/time (UT)	Flare class	CME onset date/time (UT)	3D speed (km/s)
1	2012/06/13	2012/06/13 11:29	M1.2	2012/06/13 12:30	560
2	2012/06/14	2012/06/14 12:52	M1.9	2012/06/14 13:40	900

to -70° , fulfilling the criteria of a MC as defined by Burlaga et al. (1981).

3.1.1 Composition signatures

The ICMEs and associated shocks observed at the L1 point by the ACE and WIND spacecraft, corresponding to the June event, are tabulated in Table 3. Among other parameters, the profiles of composition parameters like average values of Q_{Fe} and the O^{+7}/O^{+6} ratio during the passage of ICMEs, as measured at L1, were plotted. The O^{+7}/O^{+6} parameter is temperature dependent, and the Fe/O parameter is known to be magnetic structure dependent (Rodkin et al., 2018). Since the solar wind ion charge states “freeze-in” within a few solar radii from the Sun, ICMEs show an increased abundance of high-charge states. For example, the O^{+7}/O^{+6} ratio is greater than 1.0 in the majority of the ICMEs (Henke et al., 2001), and it serves as a good signature of the hot plasma (increased electron temperature) in the structure of MCs. On the other hand, the elemental ratios depend on chromospheric temperatures, the magnetic field configuration at the origin of the plasma, and the plasma confinement time before the release [e.g., Laming (2015), and references therein]. In ICMEs, increased amounts of high-charge states of elements, such as Fe, Ne, Si, and Mg, are often observed, suggesting extended confinement times [e.g., Lepri et al. (2001); Zurbuchen et al. (2016)]. We note the maximum values of the aforementioned parameters and also the average values during the passage of interacting ICMEs through the L1 point. It is to be noted that the plasma and magnetic properties of the ICME have values higher than those of the normal solar wind and lie in the range of a typical ICME, as defined by Rodkin et al. (2017) (Table 1).

In the case of the interacting CMEs of June 2012, on the basis of the composition parameters, we note that ICME1 has $Na/Np > 0.6$, $C^{+6}/C^{+5} > 1.5$, and $O^{+7}/O^{+6} > 0.6$ (only in the trailing edge). The Fe/O ratio is >0.2 in the middle of ICME1; $Q_{Fe} > 12$ in the trailing edge and the average value of B lies in the range of 1–10 nT. This seems to be a relatively smaller enhancement than the background solar wind. However, close to the trailing end of the ICME (boundary identified using the plasma parameters), the value of B is enhanced to 10–20 nT in ICME1.

ICME1 is followed by the passage of the second shock and then an MC, wherein the O^{+7}/O^{+6} values varied between 1.0 and 0.2, Fe/O varied from 0.2 to 0.4, and Q_{Fe} from 12 to 10. C^{+6}/C^{+5} increased from 0 to 1.5 and finally decreased to 0. The Na/Np ratio showed a gradual increase from the leading to the trailing edge of the MC and also marked the enhancement with two peaks. These values of compositional parameters are in good agreement with those reported for fast MCs (Gopalswamy et al., 2013). Such fast MCs (mean $934 \text{ km}\cdot\text{s}^{-1}$) have been reported with the O^{+7}/O^{+6} value exceeding 0.6 and $Q_{Fe} > 11$ (Table 4).

3.1.2 Duration of ICMEs based on composition signatures

As mentioned in Section 3.1, conventionally, the ICME boundaries are defined based on the plasma and magnetic signatures of ICMEs (Cane and Richardson, 2003). However, some authors also take composition signatures into consideration to mark the ICME boundaries (Lepping et al., 1997; Lepri et al., 2001; Huttunen et al., 2005; Richardson and Cane, 2010).

We have compared the ICME boundaries of these interacting CMEs derived from plasma and magnetic parameters with those derived from the composition parameters. For this purpose, we identify the boundaries within which Q_{Fe} and the O^{+7}/O^{+6} ratio remain above the threshold values. Normally, these values are expected to drop to their normal or threshold values after the passage of the ICMEs. However, in a few cases, the composition parameters do not show the expected decrease. As per the aforementioned criteria, we marked the end of the ICME boundary at 14:00 h on June 18, which suggests that the duration of the ICME is extended by approximately 17 h compared to that defined by the plasma parameters.

The ICME duration as obtained from the plasma and magnetic field parameters, i.e., from June 16, 9:00 UT to June 17, 21:00 UT, is approximately 36 h, which is close to the mean values of a normal ICME duration (Dasso et al., 2009; Lugaz et al., 2013; Lugaz and Farrugia, 2014; Lugaz et al., 2015). However, if we include the charge state signatures, the duration of the June event is estimated to be approximately 53 h. This increased duration in charge state enhancement has also been reported previously for isolated ICMEs (Lepri et al., 2001; Richardson and Cane, 2004; Zurbuchen and Richardson, 2006; Gopalswamy et al., 2013; Owens, 2018).

Furthermore, we also found that out of the total ICME duration, the charge state enhancement is observed only for a short period and not continuously throughout the ICME. The times for the enhancement of different parameters, i.e., Na/Np , Q_{Fe} , O^{+7}/O^{+6} , C^{+6}/O^{+5} , and Fe/O are reported for $\sim 33\%$, $\sim 30\%$, $\sim 37\%$, $\sim 28\%$, and $\sim 33\%$ of the total duration of the ICME in the present case, respectively. This strongly suggests that interacting CMEs do not comprise uniformly distributed hot plasma components but correspond to the inhomogeneous plasma and magnetic structure of the participating CMEs.

3.1.3 Associated flares and ICME composition

The associated flares with the interacting CMEs in the June event are M-class flares, i.e., M1.2 and M1.9 class, respectively. As mentioned previously, the two flares originated in the same active region NOAA AR 11504, located at around S16E18 on 13 June 2012. It is expected that heating during the early rise phase of CMEs will create very high ionic charge states. The charge state values reached may depend on the amount of energy available through reconnection and related processes and also on the amount of energy available for the associated flare. Furthermore, the heating and associated acceleration of the CME can drag the heavier elements from the lower corona and carry further out. It was suggested that the physical mechanisms causing heavier elements in the ICME flux ropes and those leading to higher ionization states of elements in ICMEs are related (Reinard, 2008), although it may have some spatial dependence, i.e., different plasma parcels may get affected differently by these mechanisms during the eruption. Reinard (2005) reported that all CMEs show a slight-to-moderate correlation between the charge states and the associated flare magnitude or class. They noticed that O^{+7}/O^{+6} and Q_{Fe} are better correlated when the *in situ* spacecraft is likely to cross the center of the CME ejecta (i.e., between E30 and W45). Furthermore, they conclude that the charge states in these “central events” display a moderate correlation with flare magnitude, indicating that enhanced charge states in the solar wind are the result of flare-related heating in

TABLE 3 IP shocks and ICMEs associated with the 13–14 June 2012 CMEs.

S.No.	CME date	Shock date/time (UT)	ICME start date/time (UT)	ICME end date/time (UT)	MC start date/time (UT)	MC end date/time (UT)
1	2012/06/13	2012/06/16 08:42	2012/06/16 08:42	2012/06/16 21:40	—	—
2	2012/06/14	2012/06/16 21:40	2012/06/16 21:40	2012/06/17 21:00	2012/06/16 22:12	2012/06/17 12:31

TABLE 4 Compositional parameters of June 2012 ICMEs.

Date of CMEs	Shock date/time (UT)	ICMEs boundary range date/time (UT)	MC boundary range date/time (UT)	N_{α}/N_p (average, S1 & S2, minimum, maximum) in ICME	C^{+6}/C^{+5} (average, S1 & S2, minimum, maximum) in ICME	O^{+7}/O^{+6} (average, S1 & S2, minimum, maximum) in ICME	Q_{Fe} (average, S1 & S2, minimum, maximum) in ICME	Fe/O (average, S1 & S2, minimum, maximum) in ICME
June 13–14, 2012	S1: 06/16 08:42, S2: 06/16 21:40	06/16 08:42 to 06/17 21:00	06/16 22:12 to 06/17 12:31	(0.055, S1:0.033, S2:0.025, 0.015, 0.107)	(1.03, S1: 1.44, S2: 0.11, 0.18, 1.49)	(0.55, S1: 0.16, S2: 0.65, 0.22, 1.05)	(11.34, S1: 10.3, S2: 12.3, 9.85, 12.48)	(0.3, S1: 0.19, S2: 0.26, 0.18, 0.43)

the corona. For June 2012 ICMEs, the values of O^{+7}/O^{+6} , Q_{Fe} , and N_{α}/N_p are enhanced with peak values of ~ 1.05 , ~ 12.48 , and ~ 0.107 , respectively, as shown in the right panel of [Figure 1](#). The average O^{+7}/O^{+6} , Q_{Fe} , and N_{α}/N_p values for the June 2012 case lie close to the lower end of the range given for M-class flares [[Table 3](#) in [Reinard \(2008\)](#)].

3.1.4 Relationship between the expected and observed proton temperatures with proton velocity

Using [Elliott et al.'s \(2005\)](#) formulae mentioned in [Section 1](#), we calculated the expected proton temperature values for compression and rarefaction for preceding and following ICMEs separately for the June event. The empirical formulae by [Elliott et al. \(2005\)](#) are based on ACE data for the estimation of the expected solar wind proton temperature. These formulae should hold good for data obtained by any spacecraft located at the L1 point. Furthermore, it is to be noted that minor variations are expected in the formulae derived from observations by different spacecraft located close to L1, e.g., WIND, ACE, STA, and STB, primarily due to the different heliocentric distances of the probes ([Yu et al., 2016](#)). However, as the empirical relationship is derived from a statistical study over a certain period of the solar cycle, we expect the overall trend (within error bars) to remain the same for the selected ICMEs in our study. [Figure 2](#) shows the variation of the observed proton velocity V_p (lower panel), proton temperature T_p (black curve), and overplotted expected temperatures for compression and rarefaction (red and blue curves) in the upper panel.

From a close inspection of the observed proton temperature and the expected temperature plots for the June events in [Figure 2](#), we examine whether the majority of the data points lie close to the compression or rarefaction profiles. Furthermore, we corroborate the same by comparing with the observed proton velocity data (lower panel). From [Figure 2](#), we note that the expected temperature for ICME1 at the leading and trailing edge shows compression, while the central part shows rarefaction. However, the observed proton

velocity is clearly close to compression during ICME1 and does not show any signatures of rarefaction at the central part. Based on the expected temperature profile for ICME2, the leading edge, trailing edge, and central part all show rarefaction. However, the observed proton velocity plot shows signatures of rarefaction in the leading edge only, and compression in the central and trailing part of ICME2.

This analysis clearly suggests the importance of comparing the expected temperature profile with that of the observed proton temperature and speed profile, particularly in the case of the CME–CME interaction.

3.2 Interacting CMEs of 9–10 November 2012

Another interaction event was recorded in the same phase of solar cycle 24 when two CMEs with similar 3D speeds $620 \text{ km}\cdot\text{s}^{-1}$ and $910 \text{ km}\cdot\text{s}^{-1}$ were launched within a 24-h period on 9 and 10 November 2012 from NOAA AR 11608. These CMEs propagated in the same direction and were observed to interact at a distance of $35 R_{\odot}$ from the Sun. The detailed study of this interaction event by [Mishra et al. \(2015a\)](#) showed that it led to a strong geomagnetic storm ($Dst \sim -108 \text{ nT}$). In the following section, we describe the composition signatures of the interacting CMEs of 9 and 10 November 2012. The ICME and associated flare properties are listed in [Table 5](#). ICMEs associated with the interacting CMEs and their times are tabulated in [Table 6](#).

3.2.1 Composition signatures

From [Figure 3](#), we note that the first ICME is an MC based on the criteria of [Burlaga et al. \(1981\)](#). Prior to the arrival of ICME, a long-duration sheath region was observed from 12 November 22:20 UT to 13 November 08:52 UT. An enhancement of the alpha to proton ratio was observed from $\sim 2\%$ to 10% in the sheath region. The value of C^{+6}/C^{+5} dropped from ~ 1 to 0.3 , O^{+7}/O^{+6} ratio from

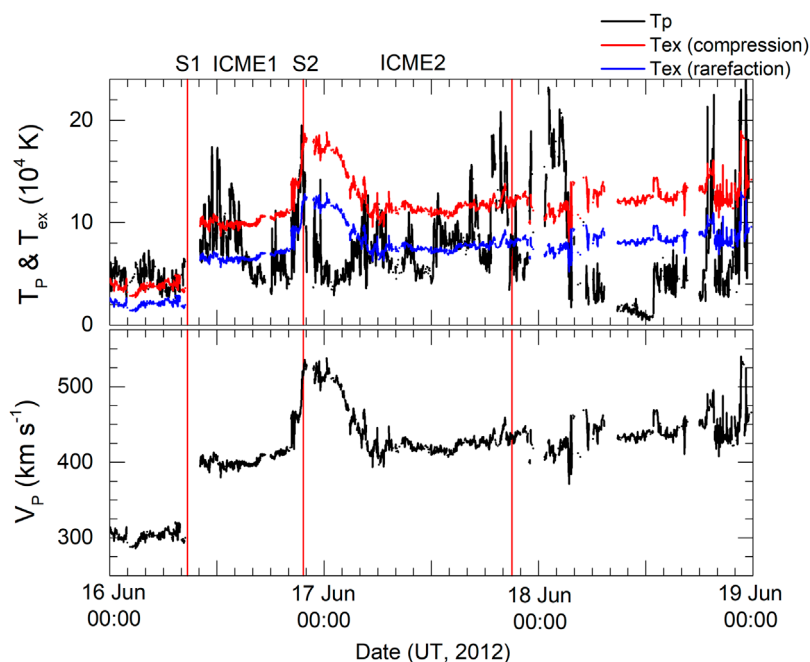


FIGURE 2 Variation of the proton velocity, proton temperature, and overplotted expected temperature of compression and rarefaction for interacting CMEs of the 13–14 June 2012 event.

TABLE 5 CME and associated flare characteristics for the 9–10 November 2012 event.

S.No.	CME date	Flare onset date/time (UT)	Flare class	CME onset date/time (UT)	3D speed (km/s)
1	2012/11/09	2012/11/09 10:41	B5.2	2012/11/09 14:32	620
2	2012/11/10	2012/11/10 04:22	C2.0	2012/11/10 04:49	910

TABLE 6 IP shocks and ICMEs associated with the 9–10 November 2012 CMEs.

S.No.	CME date	Shock date/time (UT)	ICME start date/time (UT)	ICME end date/time (UT)	MC start date/time (UT)	MC end date/time (UT)
1	2012/11/09	2012/11/12 22:20	2012/11/13 08:52	2012/11/14 02:25	2012/11/13 07:43	2012/11/14 02:24
2	2012/11/10	—	2012/11/14 12:00	2012/11/14 21:21	—	—

TABLE 7 Compositional parameters of November 2012 ICMEs.

Date of CMEs	Shock date/time (UT)	ICMEs boundary range date/time (UT)	MC boundary range date/time (UT)	N_{α}/N_p (average, shock, minimum, maximum) in ICME	C^{+6}/C^{+5} (average, shock, minimum, maximum) in ICME	O^{+7}/O^{+6} (average, shock, minimum, maximum) in ICME	Q_{Fe} (average, shock, minimum, maximum) in ICME	Fe/O (average, shock, minimum, maximum) in ICME
Nov9-10, 2012	11/12 22:20	11/13 08:52 to 11/14 21:21	11/13 07:43 to 11/14 02:24	(0.05, 0.021, 0.016, 0.09)	(1.00, 1.25, 0.32, 1.49)	(0.4, 0.09, 0.05, 0.86)	(10.55, 10.35, 9.29, 12.42)	(0.35, 0.31, 0.20, 0.43)

~ 0.1 to 0.7 , Q_{Fe} increased from ~ 10.5 to 12.8 , and Fe/O ranged between ~ 0.3 and 0.4 in the sheath region.

The sheath region was followed by a long-duration MC from 13 November 08:52 UT to 14 November 02:25 UT. In the MC region, the O^{+7}/O^{+6} ratio ranged between ~ 0.4 and 0.9 , which is enhanced compared to a normal ICME (>0.6). Values of the Fe/O ratio ranged between ~ 0.2 and 0.4 , Q_{Fe} ranged between ~ 10.5 and 13 , C^{+6}/O^{+5} from ~ 0.3 to 1.5 , and the alpha to proton ratio increased from $\sim 4\%$ to 10% (Table 7). All the composition signatures showed similar enhancement as in the case of the June 2012 event and are in agreement with those reported for MCs previously (Gopalswamy et al., 2013). After the passage of the MC, a small interaction region was observed between 14 November 02:25 UT and 12:00 UT. This region was marked by a decrease in the constant values of $C^{+6}/O^{+5} \sim 0.6$ and O^{+7}/O^{+6} as ~ 0.05 , and the almost constant value of $Q_{Fe} \sim 9.5 - 10$ and $Fe/O \sim 0.3 - 0.4$.

3.2.2 Duration of ICMEs based on the composition signatures

According to the RC catalog, based on the plasma properties, the shock arrival is marked on 12 November at 23:11 UT. The ICME started at 08:00 UT on 13 November and ended on 14 November at 03:00 UT. In this case, the Fe/O ratio peaked twice, once in the MC and then in the interaction region and ICME2 to ~ 0.4 . A low value is reported in between, i.e., corresponding to the trailing edge of the MC. As the FIP bias depends on the magnetic field topology in the source region at the Sun, the behavior of the Fe/O parameter in this case suggests that the interacting CME events comprise plasma of different magnetic structures. It is interesting to note that other important composition parameters like the enhanced values of the O^{+7}/O^{+6} ratio and $Q_{Fe} > 12$ do not extend to the interaction region and ICME2. This is suggestive of the fact that although different magnetic structures are present in the ICME, the temperature is not high enough, as for typical ICMEs (Table 1).

On the basis of the composition parameters, we found that the ICME duration is extended by 15 h, i.e., up to 22:00 UT 14 November 2012. The identified ICME boundaries are in accordance with those reported by Mishra et al. (2015a). It is important to mention that the total duration of the ICME is ~ 46 h if the composition signatures are considered.

Furthermore, as observed for the June event, in this case also, out of the total ICME duration, the charge state enhancement is observed only for a short period and not continuously throughout the ICME. The times for enhancement of the different parameters $N\alpha/Np$, Q_{Fe} , O^{+7}/O^{+6} , C^{+6}/C^{+5} , and Fe/O are reported for $\sim 34\%$, $\sim 26\%$, $\sim 22\%$, $\sim 32\%$, and $\sim 30\%$ of the total duration of the ICME in the present case, respectively. This result reconfirms that interacting CMEs do not comprise uniformly distributed hot plasma components, as was found for the June event.

3.2.3 Associated flares and ICME composition

The associated flares with the interacting CMEs in the November event are of the B5.2 and C2.0 flare classes. As mentioned previously, the two flares originated in the same active region NOAA AR 11608, located at around S21 W04 on 10 November 2012. For November 2012 ICMEs, the values of O^{+7}/O^{+6} , Q_{Fe} , and $N\alpha/Np$ seem to be enhanced (right panel of Figure 3B). The average values of O^{+7}/O^{+6} , Q_{Fe} , and $N\alpha/Np$ are ~ 0.4 , ~ 10.55 ,

and ~ 0.05 , respectively. These values are much lower than the range of the values of the composition signatures listed for C-class flares [Table 3 in Reinard (2008)]. This may be because CME1 is associated with a B-class flare. However, the maximum values of the aforementioned parameters are ~ 0.86 , ~ 12.42 , and ~ 0.09 , respectively, which are indicative of the presence of ICME hot plasma.

The stronger a flare is, the hotter the flare plasma is expected to be, which is directly related to the enhancements in the ionic composition signatures of the ICMEs. To the best of our knowledge, no study showing that flare intensity dictates the duration of the compositional signatures has been reported previously. However, we expect that the duration of the compositional signatures will strongly depend on the relative position of the intercepting *in situ* spacecraft with respect to the central core of the ICME/flux rope. This is because the enhanced ionic and elemental composition associated with the CME flux rope system can drag additional material along with it, including the overlying plasma that are magnetically connected, creating an envelope of material that indirectly and only partially participates in the eruption. Therefore, an *in situ* spacecraft intercepting the central part of the flux rope system can observe enhanced elemental and ionic compositional signatures of ICMEs.

3.2.4 Relationship between the expected and observed proton temperatures with proton velocity

Similar to the June event, we calculated the expected temperature T_{exp} for compression and rarefaction for preceding and following ICMEs of the November event (Figure 4). We note that during ICME1, the expected temperature at the leading edge suggests compression, although the central part and trailing edge clearly suggest rarefaction. On the contrary, the observed proton velocity data show rarefaction during the leading edge of ICME1 and are moderately compressed in the trailing part. This implies inconsistency in the inferred heating from the expected temperature and observed proton velocity profile. However, during the interaction region and ICME2, both the expected temperature and proton velocity are suggestive of high compression.

4 Results and discussion

The two interacting CME events of June and November 2012 occurred in the rising phase of solar cycle 24. These two events manifested different structures at 1 AU in *in situ* data after the interaction of the associated CMEs. The composition and compression signatures of the two interacting events were examined.

4.1 Composition signatures of the interacting events

Our analysis shows that the boundaries for the two interacting ICME events defined by plasma and magnetic parameters differ from those defined by composition parameters. The durations of the two events of interacting CMEs are 53 h (2.2 days) and 48 h

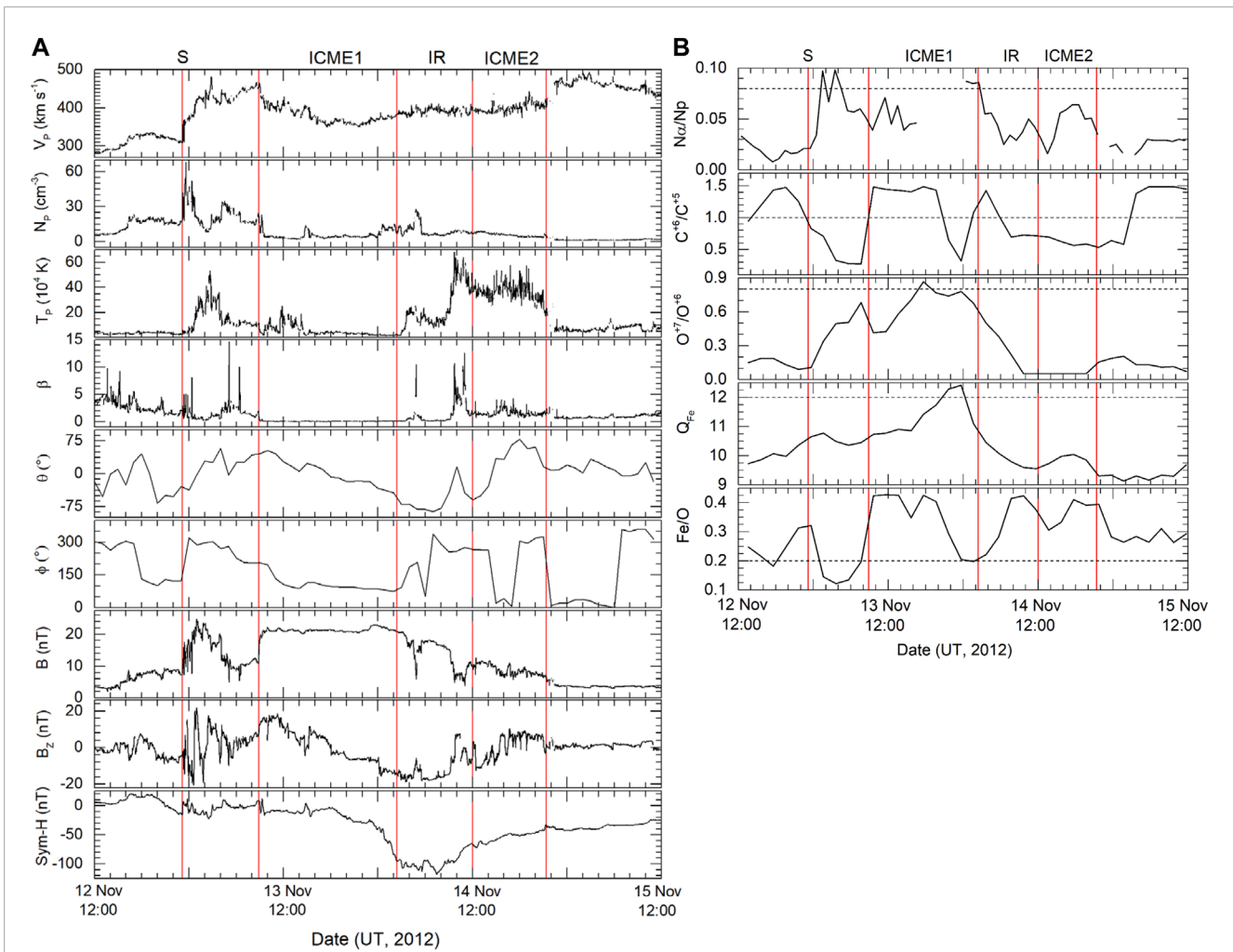


FIGURE 3
(A) Plasma and magnetic parameters observed in situ by the ACE spacecraft for the interacting CMEs of 9–10 November 2012. The vertical lines marked in red, from left to right, denote the arrival of the shock, ICME1 leading and trailing boundaries, ICME2 leading and trailing boundaries. Between the third and fourth vertical lines are marked by a small interaction region (IR) in between the two ICMEs. **(B)** Composition parameters marking the arrival of ICMEs associated with the interacting CMEs of November 2012. Here, again the vertical lines marked in red denote, from left to right, the arrival of shock, ICME1 leading and trailing boundaries, ICME2 leading and trailing boundaries, as identified by plasma signatures in **(A)**. The IR region is between the third and fourth vertical lines. The threshold values for the compositional signatures are marked as horizontal dash lines.

(2.0), respectively, as found on the basis of the enhancement of ion composition parameters, which is comparable to 2.4 days, as found for multiple source events by Rodkin et al. (2018), and almost twice the duration that was found for single isolated events (Temmer et al., 2017). The duration of charge state enhancement is much longer and extended by several hours in the June 2012 case, in which two distinct ICME structures arrive as a result of the CME–CME interaction. However, the November 2012 event does not show such a long enhancement in the composition signatures. This may be attributed to the association of different classes of flares in the two events. In the June 2012 case, both the flares were M-class flares, wherein the charge state enhancement was observed. In the second case of the November 2012 events, the charge state enhancement is not significant as the associated flares are only B- and C-class flares. Accordingly, the Fe charge state enhancement is less in the November event than that in the June events. This is further

attributed to the fact that the Fe charge state is strongly related to flare class and temperature (Reinard, 2008).

The analysis also reveals that the duration of the charge state enhancement above the threshold values is considerably smaller than the total ICME duration derived from the solar wind plasma and magnetic parameters and ranges between ~30% and 35%, suggesting that the charge state enhancement is not uniform throughout the complex structures of the interacting CMEs that arrive at the Earth.

The maximum and average values of Q_{Fe} and O^{+7}/O^{+6} for the two interacting CME events are representative of the values for isolated ICMEs observed in SC24 and are lower than those observed in SC23 (Gopalswamy et al., 2013). Furthermore, the interacting CMEs are released from the Sun in succession at an interval of 24 h, and usually, the interaction of two distinct CMEs is expected to occur farther out in the corona, where the chances of the two CME plasmas mixing are remote and one would, therefore, presume that the two

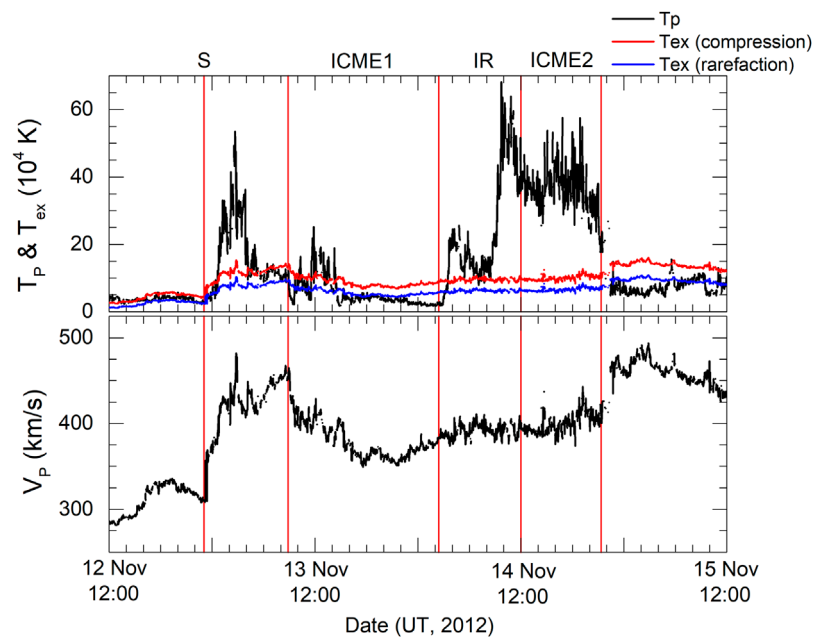


FIGURE 4

Variation of the proton velocity, proton temperature, and overplotted expected temperature of compression and rarefaction for interacting CMEs of the 9–10 November 2012 event.

participating CMEs would retain the composition signatures of the associated flares. This is why charge state values of the corresponding CMEs are in agreement with the associated source flare and in agreement with the values of the single isolated event in SC24.

In the two events reported in this paper, both the events involved one fast ($>450 \text{ km}\cdot\text{s}^{-1}$) propagating MC as one of the participating CMEs. These MCs showed enhanced composition signatures in accordance with the previous results (Gopalswamy et al., 2013).

4.2 Signatures of compression/rarefaction in interacting events

June events: As discussed in the previous section, based on the expected temperature data, we find that ICME1 leading and trailing edges show signatures of compression in the June event. However, the central part of ICME1 appears to be rarefied as the observed temperature is lower than the expected temperature. We further note that the duration of ICME1 is approximately 12 h, out of which for approximately 8 h, the ICME is compressed. For 4 h only, we observe signatures of rarefaction in the temperature data. For the entire ICME1, the observed constant value of the proton velocity data reveals that the CME is significantly compressed.

As it is difficult to identify the signatures of compression or rarefaction in the mid region of ICME1 based on the proton velocity data alone, this essentially highlights the importance of the comparison of solar wind proton velocity data with the expected temperature data, in particular to understand the ICME–ICME interaction processes.

Furthermore, the expected proton temperature profile reveals that ICME2 underwent rarefaction. However, the observed proton velocity plot shows rarefaction in the leading part,

and the trailing part is moderately compressed as it remains constant.

November events: In this particular case, the expected proton temperature profile suggests moderate compression in the leading part of ICME1. On the other hand, the trailing part of ICME1 showed clear signatures of over-rarefaction. The proton velocity signified rarefaction during the leading part, as is also evident by a decrease of proton speed, suggesting the expansion of ICME1 (Figure 4) and moderate compression in the trailing part.

Furthermore, ICME2 showed overcompression, which is suggested by a constant solar wind velocity. Overcompression is also observed in the interaction region between the two ICMEs marked by a constant solar wind speed profile. It is important to note here that the preceding CME, despite being hit by the following CME, has not undergone compression. However, the following ICME2 shows significant compression in both the expected temperature and proton velocity data. Such signatures of heating may be attributed to a probable flank encounter of the following ICME2 with the *in situ* spacecraft, as also reported by Mishra et al. (2015a) for the same event.

A comparison of June and November interacting CME events shows that ICME1 shows a signature of compression and ICME2 shows clear rarefaction in the June event. However, in the November case, ICME1 shows a signature of rarefaction, but during the interacting region and ICME2, clear signatures of compression are noted. The analysis of observations also suggests that if the following CME is not driving a strong shock, it is unlikely that the preceding CME will get compressed. Basically, our study supports the idea that compression can occur due to the passage of the shock associated with the following CME through the preceding CME and not the CME–CME interaction.

5 Conclusion

Among other parameters of solar transients or ICMEs, the ion charge composition of the plasma is one of the crucial identifiers of their source regions for isolated CMEs. In this study, we investigate the ion charge state evolution of the ICME plasma by considering the ratios of alpha to proton, carbon, C^{+6}/O^{+5} , and oxygen, O^{+7}/O^{+6} , Fe/O , and the average charge of iron ions, Q_{Fe} , which were measured *in situ* with ACE. Although the ion charge states of interacting CMEs are not expected to be altered by the interaction process, the ion composition profiles in interacting events, at 1 AU, are difficult to interpret and depend on the parameters of the participating CMEs, their temporal boundaries, the mutual orientation of their magnetic fields, the presence of shocks, and sheath regions.

Our present study on interacting CMEs of June and November 2012 and their ion charge state and mass composition of the solar wind plasma helps us to separate their different components and their source (Fisk et al., 1998; Zhao et al., 2009; 2014; Wang, 2012; Kilpua et al., 2014).

The main conclusions of the present study are as follows:

1. The two reported events of 12–13 June 2012 and 9–10 November 2012, were accompanied by M-class flares or below. The associated CMEs also had moderately high speeds ranging from 560 to 900 km·s⁻¹. Both CMEs were associated with MCs that displayed strong composition signatures.
2. In the CME–CME interaction events, the ion composition profiles can have several peaks corresponding to the plasma temperatures in the individual solar sources/flares of associated ICMEs. In the interacting CMEs reported here, the ion composition profiles displayed several maxima, suggesting the inhomogeneous nature of plasma due to the participating CMEs. As in this case, CME plasma mixing is generally not expected and the peak values of O^{+7}/O^{+6} and Q_{Fe} correspond to the plasma temperatures in the individual solar sources of these CMEs.
3. The duration of interacting CMEs is found to be twice as long, as found for the individual CMEs, if the boundaries are identified, based on the composition parameters.
4. It is also concluded that the duration of the charge state-enhanced plasma in the interacting CME events is shorter and that they are observed for approximately 35% or less of the entire duration of the ICME, implying the passage of different magnetic structures with plasma at different temperatures.
5. The study reconfirms the finding of previous studies (Lugaz et al., 2005; Liu et al., 2012; Mishra et al., 2015b; Lugaz et al., 2016; Srivastava et al., 2018), which showed that compression can occur due to the passage of the shock associated with the following CME through the preceding CME and not the CME–CME interaction processes.
6. The results also highlight the importance of the comparison of solar wind proton velocity data with the expected temperature data, in particular to understand the ICME–ICME interaction processes.

Our study emphasizes the importance of examining the compositional signatures of interacting CMEs, which helps in distinguishing the portions of individual parts of the interacting ICMEs and thus the interaction process. The present study is

limited because of the lack of composition observations of the ICMEs before the interaction phase. The composition observations of the interacting ICMEs from the multi-spacecraft at different heliocentric distances, in particular before and after the interaction, will be useful in understanding the plasma processes involved in altering the composition.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material. Further inquiries can be directed to the corresponding author.

Author contributions

NS and WM contributed to the initial conception of the paper. The analysis was carried out primarily by ZM under the guidance of NS. The main draft was prepared by NS, WM, and ZM. All of the authors have read the paper and approved its final version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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