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SPECIALTY SECTION This article was submitted to Space Physics, a section of the journal Frontiers in Astronomy and Space Sciences

RECEIVED 07 December 2022 ACCEPTED 08 March 2023 PUBLISHED 27 March 2023

#### CITATION

Mishin EV (2023), The evolving paradigm of the subauroral geospace. *Front. Astron. Space Sci.* 10:1118758. doi: 10.3389/fspas.2023.1118758

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# The evolving paradigm of the subauroral geospace

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An assessment of the status quo of fast subauroral flows-subauroral ion drifts (SAID) and subauroral polarization streams (SAPS), is presented. For a few decades, their development has been interpreted in terms of the voltage and current magnetospheric generators based largely on the drift motion of test particles. Recent multispacecraft observations revealed serious flaws in the generator paradigm and called for a new generation mechanism of fast-time subauroral flows and ring current (RC) injections. A novel model includes them in the overarching problem of the penetration of magnetotail plasma flow bursts (MPFs) into the plasmasphere and the substorm current wedge (SCW) development. SAID are created near the plasmapause, where inbound MPFs are short-circuited by the cold plasma. This stops the MPF's electrons and forms the "dispersionless" plasma sheet (PS) boundary. The SAID electric field-the inherent part of the short-circuiting loop-stops the inward-moving MPF's ions. In turn, SAPS are an integral part of the two-loop SCW system, or SCW2L, where the downward (R2) current emerges in response to the upward (R1) current in the SCW's "head." The meridional Pedersen current, which connects the R1 and R2 currents, leads to SAPS that ultimately drive the fast-time RC injections on the duskside.

#### KEYWORDS

subauroral ion drifts, subauroral polarization streams, current and voltage generators, plasmasphere boundary layer, polarization shorting, two-loop substorm current wedge

# 1 Introduction

The subauroral ionosphere is adjacent to the equatorward border of the auroral zone and maps along magnetic field lines into the inner magnetosphere adjacent to the electron plasma sheet (PS) boundary. Henceforth, the whole region, which overlaps with the ring current (RC), the outer radiation belt, and the plasmasphere, is termed the subauroral geospace. In addition to the radiation belt dynamics, the spatiotemporal variability of subauroral plasma during space storms and substorms remains among the key space weather topics for many years (Basu et al., 2008). The disturbed subauroral convection is dominated by westward,  $V = V_W$ , plasma streams—narrow SAID near midnight and broad SAPS on the duskside. During the substorm recovery phase, extremely high speed and electron temperature SAID channels contain subauroral arcs known as STEVE and Picket Fence (MacDonald et al., 2018).

Galperin et al. (1974) were the first to report on "polarization jets"—fast,  $V_W \ge 1$  km/ s, westward flows of the width  $\Delta_S \le 100$  km in latitude—equatorward of diffuse aurora in the premidnight sector. Then, Smiddy et al. (1977) and Maynard et al. (1980) reported on latitudinally narrow, poleward subauroral electric fields, or SAEF,  $E_A > 30$  mV/m. Spiro et al. (1979) described "polarization jet" events occurring in the post-dusk sector at altitudes of 180–600 km and 55–70 ILAT during enhanced magnetic activity. They coined the term "subauroral ion drifts" or SAID, which presently refers to SAEF as well.

$$V_W[\text{km/s}] \approx \frac{10^3 E_{\Lambda}[\text{mV/m}]}{B_0[\text{nT}]}.$$
 (1)

The first SAID model (Southwood and Wolf, 1978)-a voltage generator-(hereafter, VG<sub>SW</sub>) considers the drift motion of hot  $(\geq 1 \text{ keV})$  PS particles in the large-scale corotation,  $E_{corr}$ , and dawn-to-dusk, E<sub>dd</sub>, electric fields with electron precipitation included. This process creates an enhanced radial (outward) electric field,  $E_{\Lambda} \sim \Phi_g / \Delta r_g$ , in a near-midnight gap,  $\Delta r_g$ , with the voltage,  $\Phi_g$ , between the electron precipitation border and the proton Alfvén layer. The VG<sub>SW</sub> scenario assumes the current closure in the conjugate ionosphere to maintain quasineutrality and does not consider the polarization field developing once electron and ion trajectories diverge. This is an invalid assumption for macroscopic plasma processes. The plasma effects were first explored by De Keyser et al. (1998) and De Keyser (1999), who considered the rotational discontinuity (RD) at the plasmapause. However, the basic assumptions of the RD model are not supported by the data (see Burke et al., 2000; Mishin, 2013).

Anderson et al. (1993) proposed a current generator (hereafter,  $CG_A$ ) scenario. Here, the poleward electric field,  $E_A$ , forms in the low-conductance subauroral segment of the ionospheric Pedersen current, which connects the Region 2 (R2) downward field-aligned current (FAC) with the Region 1 (R1) upward FAC. The duskside Region 2 FAC flows from the asymmetric or "partial" ring current (Supplementary Eq. S4), which is built up by hot PS particles driven by the disturbed convection electric field. Unlike the VG<sub>SW</sub> scenario, CG<sub>A</sub> does not violate charge neutrality but has its own limitations. Namely, SAID follows the slow, ≥1 h timescale, partial RC development and maximizes at the minimum of the Pedersen conductance,  $\Sigma_P$ , between the R1 and R2 FACs. In addition, the ultimate consequence of the PS electrons' Alfvén layer is a broad, "dispersive" auroral boundary,  $r = R_A (\varphi, \varepsilon)$ , on a large scale determined by the field line curvature (see Supplementary Section 1.1).

In addition to near-midnight narrow flows, significantly wider and relatively modest flows were documented on the duskside (LaBelle et al., 1988; Yeh et al., 1991; Burke et al., 1998, 2000). Both narrow and broad flows have been united under the generic term "sub-auroral polarization streams," or SAPS (Foster and Burke, 2002). In other words, it was assumed that SAID and SAPS develop due to the same mechanism and that the SAID are a subset of the SAPS. Subsequently, the term "SAPS" is frequently used for nearmidnight SAID, and the  $CG_A$  paradigm is used for the interpretation and modeling of both (Galperin et al., 1974; Yu et al., 2015; Lin et al., 2019; Wei et al., 2019).

On the other hand, recent multispacecraft observations show that the underlying physics of SAIDs and SAPSs is different and that their salient features disagree with the paradigm (Mishin, 2013; Mishin et al., 2017; Mishin and Streltsov, 2021a, Chapter 5.1). Nonetheless, the generator paradigm remains in use by the community. This is consequent to the human mind's "inertness" (Kahneman, 2011), which disregards the evidence contradicting the established paradigm even though an evolving novel concept consistently explains the data. Whatever the reason, that holds the key to progress in the understanding of fast subauroral flows and, hence, the development of a realistic model of space weather effects at mid-latitudes.

Therefore, the main objective of this paper is to survey satellite observations, highlighting the salient features of the fast-time SAID and SAPS events near the substorm onsets, denoted as  $t_0$ , and to describe a novel concept and demonstrate its conformity with the data. We do not consider the ensuing SAID/SAPS evolution, including the ionospheric density (conductivity) reduction in the region of strong electric fields, which is important for maintaining flow channels (Wang et al., 2021; Liang et al., 2022). The essential elements and processes in the inner magnetosphere and magnetotail characteristic of magnetospheric substorms are introduced in Supporting Information (SI) to give the necessary background for readers unfamiliar with the problem.

# 2 Observations of subauroral flows

# 2.1 Single-satellite observations

Figure 1 shows three basic types of subauroral flows in the top ionosphere encountered during the expansion phase of stormtime substorms on March 17, 2013 and April 6, 2000 (Mishin et al., 2004, 2017). In these events, the SAID and SAPS channels differ mainly in the width,  $\Delta_S$ ; usually, the SAPS peak amplitude is smaller than that of the SAID. It is notable that the F18 SAID peak is saturated due to the instrumental limitation of 4 km/s or  $\approx 160 \text{ mV/m}$  of the drift meter onboard F18 (F17 and F19); this limit is 3 km/s or  $\approx$  120 mV/ m for the earlier DMSP satellites. The cross-channel voltage,  $\Phi_S =$  $-\int_{x_0}^{\Delta_S} E_{\Lambda}(x) dx$  (x is the distance across the channel originated at  $x_0$ ), demonstrates the significance of the subauroral flows for the global electrodynamics of the inner magnetosphere (Burke et al., 1998). It is notable that the SAID-related boundary of precipitating electrons-the auroral boundary-is dispersionless, viz., the inner border does not depend on the particle energy (Newell and Meng, 1987). In addition, the electron boundary near dusk in the SAPS event is close to the ion boundary, contrary to the gradient-curvature drift (Supplementary Eq. S1).

The third type (frame c) is the so-called "SAPS Wave Structure," or SAPSWS, denoting the SAPS region with enhanced ultralow frequency (ULF, ~0.5–10 mHz), electromagnetic, mostly Alfvén waves (Mishin et al., 2003, 2004). The wave magnitude,  $\delta E \propto \delta V_W$ , in a strong SAPSWS is greater than the mean amplitude,  $E_0 \propto V_0$ . Usually, such structures are collocated with precipitating ~10 keV protons—the source of proton aurora. In addition, strong plasma density irregularities within SAPSWS- and SAID-related troughs (Mishin and Blaunstein, 2008; Nishimura et al., 2021; Sinevich et al., 2022) interfere with communication and navigation, thus making them an integral part of the midlatitude space weather.

The SAPSWS features change throughout substorms (Mishin and Burke, 2005; Mishin and Mishin, 2007; He et al., 2019). Figure 1D shows the SAPSWS evolution over three successive orbits of DMSP F8 on June 5, 1991, with substorm breakups prior to the first two encounters. Clearly, the SAPSWS<sub>1</sub> moved equatorward and turned into a more enhanced and structured SAPSWS<sub>2</sub>. A similar transition of a pre-substorm SAPSWS during a stormtime substorm was triggered by the arrival of the



Examples of (A) SAID and (B) SAPS on March 17, 2013, and (C) strong SAPSWS on April 6, 2000. From top to bottom: The horizontal component of the convection velocity; energy–time spectrograms of electron and ion downcoming directional differential number fluxes from F18 and F14 and energy flux from F16; variations of the electron (red diamonds) and ion temperature; and 1-s averaged ion density. Vertical black and cyan dashed lines indicate the auroral boundary and the peak amplitude, respectively. The cross-channel voltage, Φ<sub>S</sub>, is indicated. (D) Development of the pre-dusk SAPSWS over three successive orbits of DMSP F8 on June 5, 1991: (top) 1-s averaged ion densities and (bottom) the horizontal component of convection velocities *versus* the dipole MLAT. 1, 2, and 3 indicate SAPSWS<sub>1,2,3</sub> near 16:38; 18:20, and 20:05 UT, respectively. The color codes in logarithmic scale for the particle and energy fluxes are given to the right of the spectrograms. Compiled from Mishin and Burke (2005) and Mishin et al. (2004; 2017).

SCW's head—the westward traveling surge (WTS)—at the adjacent auroral region (Mishin et al., 2002). By the time of the third crossing during the recovery phase, the SAPSWS<sub>2</sub> had converted into a typical SAPS structure at roughly the same location but without short-scale oscillations in the flow velocity and density.

In addition, Figure 1 exemplifies the well-established feature that subauroral flows collocate with elevated ion,  $T_i$ , and electron,  $T_e$ , temperatures (Anderson et al., 1991; Moffett et al., 1998; Mishin et al., 2004). Karlsson et al. (1998) and Figueiredo et al. (2004) explored statistical features of SAEF/SAID events in a 400–1750 km altitude range. These were largely detected during the substorm recovery phase, with some events lagging the onset by  $\delta t_O \leq 10$  min during the expansion phase (Anderson et al., 1991, 2001; Khalipov et al., 2003). Note that the actual time lag,  $\delta t_O$ , is uncertain, as a single satellite gives only its upper limit.

A vast majority of the SAID events surveyed by Figueiredo et al. (2004) occurred, like in Figure 1A, between 20.0 and 23.0 MLT and on the poleward side of the ionospheric density trough. However, as in Figure 1B, during storms or the recovery of substorms, subauroral

flows usually collocate with deep density troughs in which the ion composition exhibits significant changes (Anderson et al., 1991). Namely, the density of the main F<sub>2</sub>-region ion, O<sup>+</sup>, drops, while the NO<sup>+</sup> and O<sub>2</sub><sup>+</sup> densities increase. This change indicates enhanced charge exchange reactions, O<sup>+</sup>+N<sub>2</sub>→NO<sup>+</sup> +N and O<sup>+</sup>+O<sub>2</sub>→O<sub>2</sub><sup>+</sup>+O, that, in ~10 min, reduce the plasma density within flow channels (Schunk et al., 1976; Mishin et al., 2004). Figueiredo et al. (2004) have also reported that the SAID channels are wider at the beginning of the substorm expansion phase. The strongest fields (up to  $E_A \sim 400 \text{ mV/m}$ ) were encountered near 22 MLT earlier in the substorm recovery phase than at other local times. The cross-channel voltage was gradually decreasing during the substorm recovery from the initial ~1–10 kV. The width and the peak amplitude were anticorrelated, like in a persistent magnetospheric voltage generator (VG).

The VG scenario agrees with Rich et al.'s (1980) observations of downward FACs between 0.2 and ~1  $\mu$ A/m<sup>2</sup> associated with SAEFs of nearly the same peak amplitudes. In other words, there was no correlation between the net downward current and *E*<sub>A</sub>. Similarly,

Anderson et al. (1991) found that though the ion drift persists at the conjugate point of the same orbit, the FACs fall off. Burke et al. (2000) reported on concurrent measurements in conjugate hemispheres, with one side of the field line in darkness and the other sunlit, that show almost the same potential drops across the channels with drastically different conductance. Alternatively, Figueiredo et al. (2004) found that SAID events in the substorm recovery were usually associated with a pair of downward and upward FACs of ~3  $\mu$ A/m<sup>2</sup> on average. The strongest fields were located closer to the density ( $\Sigma_P$ ) minimum, suggesting  $E_{\Lambda} \propto j_{\parallel}/\Sigma_P$ , as typical of a current generator. The overall results suggest that both types of magnetospheric generators contribute to the SAID evolution during the recovery of individual substorms and in the course of storms.

In conclusion, single, low-orbit satellite observations, mostly during the substorm recovery phase, have usually been interpreted in terms of the test-particle voltage (VG<sub>SW</sub>) and current (CG<sub>A</sub>) magnetospheric generators. In both generators, a slow,  $\geq 1$  h timescale of the SAID formation is predetermined by the relatively slow motion of test particles driven by the convection electric field. Fast-time,  $\delta t_O \leq 10$  min, SAID and SAPSWS events remained largely disregarded and unexplained, as well as the dispersionless auroral boundary and SAPSWS-related fast RC injections.

# 2.2 Magnetically conjugate multispacecraft observations

Long-period orbits of magnetospheric satellites make conjugate ionosphere-magnetosphere observations relatively rare. To name a few, earlier observations from the Dynamics Explorer (DE) 1 at altitudes of  $h_1 \approx 12,400$  km and DE 2 at  $h_2 \approx 850$  km explored the distribution of auroral electric fields over scale sizes (Weimer et al., 1985). The global electrodynamics of the inner magnetosphere (Burke et al., 1998; 2000) and SAPSWS (Mishin and Burke, 2005) has been studied using CRRES-DMSP conjunctions. Anderson et al. (2001) presented the first SAID observations from Akebono ( $h \approx 1.4R_E$ ) and DMSP (~850 km). Some of the 110 SAID events from Akebono resembled ionospheric events when electrostatically mapped to a common altitude. This excludes substantial field-aligned voltage in the SAID channel between the two spacecraft. However, we must note that the geomagnetic field distortion during magnetic storms introduces errors in the model mapping values (Tsyganenko et al., 2003).

# 2.2.1 SAID and the dispersionless PS boundary near the plasmapause

Puhl-Quinn et al. (2007) and Mishin and Puhl-Quinn (2007) explored the first near-equatorial ( $L \approx 4.3-4.8$ ) substorm SAID events from the Cluster spacecraft conjugate to ionospheric flows from the DMSP spacecraft. Cluster encountered events I and II during, respectively, the recovery phase of the April 8, 2004 substorm with the DP 1 onset at  $t_O^{(II)} \approx 06:20$  UT and the expansion phase of the March 18, 2002 substorm commencing at  $t_O^{(II)} \approx 09:48$  UT near L = 6.8 and 22.9 MLT (Frey et al., 2004). In event II, Polar crossed the northern SAID channel at  $h \approx 0.9 R_E$ , thus creating a constellation of three spacecraft in nearly the same magnetic tube in both hemispheres (Mishin et al., 2010).

Figure 2 depicts the salient features of these events, where the leading Cluster 1 (C1) satellite crossed the SAID channel during both the southern (inbound) and northern (outbound) segments of the inner-magnetospheric pass. The others followed in the succession shown in Figure 2Ac. Henceforth, the time lag between the Cluster satellites is taken into account in combined plots. The maximum separation between the Cluster satellites was  $\approx$ 1,000 km (I) and 300 km (II). They detected almost identical characteristics not only in the southern but also in the later encountered northern channels. That refers to the peak magnitudes of  $E_S^{(I)} \approx 25$  and  $E_S^{(II)} \approx 9 \text{ mV/m}$ , the width of  $\Delta_S^{(I,II)} \sim (0.1 - 0.15) R_E$ , and a broadband wave spectrum near the plasmapause. The cross-channel voltage,  $\Phi_S$ , is  $\approx 6.8$  (I) and  $\approx 3.6$  (II) kV, as indicated. Comparing the channel positions during subsequent crossings gives the radial speed of  $\leq 0.5-0.8$  km/s in both events, i.e., much smaller than that assumed in the rotational discontinuity model.

In event I, DMSP F13, F14, and F15 observed SAID events in the near-midnight southern ionosphere resembling those of F16 (Figure 2). F16 had excellent magnetic and temporal conjugacy with Cluster (Figure 2Aa), while F14 crossed the channel in ~8 min after the onset (Puhl-Quinn et al., 2007, Table 1). The electrostatic mapping of the C1 electric field to the DMSP altitude gives a perfect match of the widths of  $\approx 0.5^{\circ}$  at the bases of the channels (Puhl-Quinn et al., 2007, Figure 6). Yet, the instrumental limitation of the F16 drift meter,  $\approx 120 \text{ mV/m}$ , prohibits the comparison with the mapped peak value of  $E_s^{(m1)} \approx 300 \text{ mV/m}$  (cf. Figueiredo et al., 2004). In event II, the Cluster electric field during the inbound (outbound) segment maps into  $E_s^{(II)}$  from F14 (Polar) in the southern (northern) ionosphere within a few percent. This indicates the absence of noticeable field-aligned electric fields in the SAID magnetic tube above ~850 km (cf. Anderson et al., 2001).

It is evident that the outer/poleward edge of the SAID channel collocates with the plasma density surge at the plasmapause. It is coincident with the drop of  $\geq 1$  keV electron fluxes, as evident in 1-keV electron counts (Cluster) and the PS (Polar)/auroral (F14 and F16) boundary. In event II, the Polar  $\geq 1$  keV ion and electron fluxes are like those mapped from Cluster and F14, respectively. We underscore that "the hot ion population" in the plasmasphere actually means a " $\geq 1$  keV, increases as  $e\Phi(x) = -e \int_{x_0}^x E_{\Lambda}(x) dx$  (Mishin and Puhl-Quinn, 2007). This makes up a nose-shaped RC ion tip, or the "nose," in the near-midnight plasmasphere. Notably, the SAID inner edge collocates with the pressure drop in the nose.

As in Figure 1A, the precipitating electron fluxes from Polar and F14/F16 have the "dispersionless" border (Newell and Meng, 1987). Furthermore, the overlaid scaled 1-keV flux from the pertinent DMSP satellite (the gray curve) perfectly matches the Cluster 1-keV counts. This suggests that the entire population of hot electrons, such as those from Polar, is halted at the plasmapause. At the same time, the suprathermal  $\gg$ 1- $\leq$ 300 eV, electron population is enhanced near the plasmapause and further earthward, along with a broadband wave spectrum featuring the "bursty" enhancement near the plasmapause. This novel magnetospheric feature—turbulent plasmasphere boundary layer, or TPBL—is an inherent part of the MPF-plasmasphere interaction resulting in



(A) World line plots of Cluster 1, Polar, and DMSP in the dipole meridional system (DMS). The  $X_{DMS}-Z_{DMS}$  plane is the meridional plane at 21.83 MLT (April 8, 2004) and 23.0 MLT (March 18, 2002). Electric field vectors are drawn at specific times, and dipolar magnetic field lines are drawn for reference. (B) (top row) Frequency–time spectrograms for the electric field in  $V^2m^{-2}Hz^{-1}$ . The solid line shows the lower hybrid resonance, and the dashed lines indicate the second, fourth, and 10th harmonics of the proton gyrofrequency. The rest is in the same format as Figure 1 except that (1) the meridional (outward) electric fields are shown instead of the convection velocity and (2) 1-keV electron counts from Cluster with directional differential number fluxes from DMSP and Polar. The temperature plots have been removed. Color codes in the logarithmic scale for the particle fluxes and wave spectral energies are given to the right of the spectrograms. The gray curve in the C1 and Polar electron data shows the scaled 1-keV electron flux from F14 (see text). The cross-channel voltage  $\Phi_S$  in kV is indicated. Compiled from Puhl-Quinn et al. (2007), Mishin and Puhl-Quinn (2007), Mishin et al. (2010), and Mishin (2013).

# SAID (Mishin et al., 2010; Mishin, 2013; Mishin and Sotnikov, 2017).

Figure 3 shows the insomuch strongest magnetospheric SAID events from Van Allen Probe B (RBSP-B) during the main phase of the March 17, 2013 major storm (Mishin et al., 2017) and from Polar on April 25, 1998 during the recovery phase of a weak magnetic storm (Kim et al., 2010). During the outbound (inbound) segment, RBSP-B (Polar) observed a SAID channel of  $\Delta_S \approx 0.15 R_E$  (~0.1 $R_E$ ) and  $E_S \approx 35$ (≈55) mV/m. Their main features in the magnetosphere: the ion nose, a sharp,  $\Delta r \approx 10^{-2} R_E$ , dispersionless hot electron boundary at the abrupt plasmapause (cf. Malaspina et al., 2015, Figure 4), greatly enhanced suprathermal fluxes in the plasmasphere, and the low-frequency "bursty" wave pattern resemble those in Figure 2. Moreover, intensified UH emissions in the plasmasphere are indicative of ~30-100 eV suprathermal electrons (Mishin and Burke, 2005), while enhanced electron cyclotron harmonic (ECH) and upper hybrid (UH) waves in the high-frequency wave spectrum are typical of substorm injections (Meredith et al., 2000). Notably, there is also the similarity of the F14 auroral electron population with that in Figures 1, 2.

Concluding remarks are in order. As Mishin and Puhl-Quinn (2007) have perceived, the cutoff of ~10 keV electron fluxes at the plasmapause, as illustrated in Figures 2, 3, indicates that the cold plasma interrupts penetration of the MPF's electrons into the plasmasphere. It was further substantiated (Mishin, 2013; Mishin et al., 2017; Nishimura et al., 2022) that the SAID channel is located between the electron PS boundary and the hot ion nose inside the plasmasphere, devoid of the ejected MPF's electrons. In other words, inwardly penetrating MPF's ions-near-midnight RC injections-create a fast-time voltage generator (henceforth, VG<sub>FT</sub>). The VG<sub>FT</sub>-associated RC ion nose and "dispersionless" electron border do not fit in the test particle Alfvén layer description (Supplementary Section S1.1). Notably, both features persist for more than an hour after the substorm onset, as witnessed by the THEMIS data on March 23, 2007 (Mishin and Sotnikov, 2017, Figure 5) and Cluster successive crossings of the southern and northern channels. An additional, non-test-particle approach that consistently explains the SAID/VG<sub>FT</sub> features is described in Section 3.1.

#### 2.2.2 SAID small-scale current system

Puhl-Quinn et al. (2007) and Mishin and Puhl-Quinn (2007) used the Cluster data for events I and II to explore for the first time



SAID events from Van Allen Probe B (RBSP-B) on March 17, 2013, and Polar and DMSP F14 on April 25, 1998. The same format as Figure 2 except that (1) the energy fluxes are shown instead of number fluxes. In the frequency–time spectrogram, the thin (thick) greenish lines show the upper hybrid resonance (electron cyclotron harmonic waves), light dashed lines show multiples of the electron cyclotron frequency, and the dark solid thick/thin line indicates the H<sup>+</sup> and O<sup>+</sup> lower hybrid resonance. The cross-channel voltage  $\Phi_S$  in kV is indicated. RBSP-B ephemeris data are shown at the bottom. Adapted from Mishin (2013) and Mishin et al. (2017).

the SAID-related FACs in the equatorial magnetosphere. They isolated the small-scale magnetic structure,  $\delta B_j = B_j - \tilde{\Delta} B_j$ , using either a standard polynomial fitting or a spline/pchip procedure to fit large-scale magnetic components near the SAID channel. Here,  $\tilde{\Delta} B_j$  is the fit of the magnetic *j*-component. Figure 4A exemplifies this procedure with the data from DMSP F14 on April 8, 2004. Here **X**—downward (pointing to Earth's center), **Y**|| **v**<sub>sat</sub>, **Z** = **X** × **Y**-antisunward is the satellite system of reference.

Antisymmetric  $\delta B_Y$  and  $\delta B_Z$  variations show that the small-scale current system is crossed at an angle  $\alpha \approx 45^\circ$ . For such satellite trajectories, this system comprises a pair of small-scale upward  $(j_{\parallel}^{\uparrow} < 0)$  and downward  $(j_{\parallel}^{\downarrow} > 0)$  FAC sheets aligned with the west-east direction. In the thin current sheet approximation, small-scale FACs are calculated as

$$j_{\parallel} \left[ \frac{\mu A}{m^2} \right] \approx 0.8 \frac{\delta B_E[nT]}{\Delta Y[km]} \approx \frac{0.75}{\nu_{sat} \cos \alpha} \frac{\partial}{\partial t} \delta B_E \approx \frac{0.1}{\cos \alpha} \frac{\partial}{\partial t} \delta B_E, \quad (2)$$

(Rich et al., 1980). Here,  $\delta B_E$  (eastward) is in nT, time *t* in sec;  $\alpha \approx \arctan(\frac{\delta B_{Y_s}}{\delta B_{Z_s}}) < 60^\circ$  is the satellite incidence angle; and  $v_{sat}$  is the satellite speed (7.5 km/s for DMSP).

In the magnetosphere, the VDH (geomagnetic spherical) coordinate system is used:  $\mathbf{e}_V = \mathbf{e}_r$  (radial/outward),  $\mathbf{e}_D = \mathbf{e}_{\varphi}$ 

(azimuthal/eastward), and  $\mathbf{e}_H = \mathbf{e}_{\theta}$  (meridional/northward). Here,  $\varphi$  and  $\theta$  are counted from the dawn-dusk meridian and the geomagnetic North Pole, respectively;  $\mathbf{e}_I$  is the unit vector along the *J* axis. In events I and II, the spacecraft crossed an almost onedimensional magnetic structure with  $\delta B_Z \sim \delta B_Y \gg |\delta B_X|$ . Smallscale magnetospheric FACs and azimuthal currents are calculated as  $j_{\parallel/Y} \left[\frac{\mathrm{Im}^3}{\mathrm{Im}^2}\right] \approx \frac{T_{COSR}}{T_{COSR}} \frac{\partial}{\partial t} \delta B_{Y/Z}$ , with the radial speed of  $v_C \approx 5$  km/s.

The calculated currents in the southern hemisphere from DMSP (Figure 4B) and C1 (Figure 4C) are presented in the SAID system of reference with the origin at the plasmapause and the abscissa,  $\xi$ , pointed across the *L* shell (positive inward), and scaled by the SAID width,  $\Delta_s$  (Mishin and Puhl-Quinn, 2007). Notably, small-scale magnetospheric FACs  $j_{\parallel}^{\perp(M)}$  near  $\xi = 0$  virtually mimic their ionospheric counterparts, while being more structured inside the magnetospheric FACs encompass the SAID poleward border but not the channel, which contradicts the CG<sub>A</sub> requirement (cf. Figures 6C, 8B, C). Moreover, the  $E_{\Lambda}(x)$  peak does not collocate with the minimum of a local density trough but rather is on the wall, hence showing no notable relation to the plasma density/ $\Sigma_P$  (Mishin, 2013; He et al., 2016).

Next, we present a few examples of the fast-time subauroral events, with the time lag between their creation/change and the



The March 18, 2002 and April 8, 2004 SAID events. (A) F14 data near the substorm onset on April 8, 2004: (top) The SAID electric field (pluses) and 2-s averages of  $\Delta B_Z$ ;  $\Delta B_Y$ -150 nT and (bottom) The resulting small-scale variations,  $\delta B_{Y,Z}$ , in the satellite system of reference. Triangles indicate the fit,  $\tilde{\Delta} B_J$ . From Mishin (2013, Figure 6). (B) DMSP data near the substorm onset on March 18, 2002: (top) meridional electric fields,  $E_S^{(I)}$  and (bottom) the total FAC densities in  $\mu A/m^2$  (positive downward). Data from F14/F16/F15/F13 are indicated by pluses/thin lines/triangles/thick lines. (C) Cluster C1 inbound passes: (top) meridional electric fields (thin lines) with the cross-channel voltage (thick) superimposed and (bottom) the small-scale field-aligned and azimuthal currents ( $j_Y > 0$  (eastward) and  $j_1^{1} > 0$ ). Adapted from Mishin and Puhl-Quinn (2007).

substorm onset significantly shorter than the slow,  $\geq 1$  h timescale predicted by the paradigm.

## 2.2.3 Onset timing of subauroral flows and ring current injections

For the first time, the fast response of a pre-existing SAPSWS to the breakup of a stormtime substorm was revealed from concurrent multispacecraft, radar and magnetometer observations during the September 25, 1998, storm (Mishin et al., 2002; Mishin and Mishin, 2007). Figure 5 summarizes the results from DMSP F13, LANL 97A, and 1994-084, a 150-MHz incoherent scatter radar with a 2 min/ 20 km temporal/spatial resolution, and the chain of magnetometers at 65°-66° MLAT. As indicated in the MLAT-MLT map, the radar field of view (FOV) was near the (IGRF model) MLT sector of DMSP F13 and LANL 1994-084. The latter comes with a caveat concerning the mapping of the perturbed geomagnetic field (Tsyganenko et al., 2003). However, SAPS extend over many MLT hours and 3-5° in latitude (Foster and Burke, 2002), so that the radar and satellites were sampling the SAPS MLT sector even with the anticipated mapping inaccuracy. We underscore that this substorm, with the onset at  $t_0 \approx 08:18$  UT (Mishin et al., 2002; Figure 1), occurred before the sawtooth interval (Henderson et al., 2006) of this storm.

As evident in Figure 5F, the magnitude of Pi2 (5–25 mHz) pulsations at each magnetometer site intensifies after local commencements. Their MLT-time dependence resembles a front (WTS) traveling from the earliest onset at  $\approx$ 08:18 UT near 21 MLT to 16.5 MLT at a speed of  $\sim$ 0.9 LT-h/min [see more details in Mishin et al. (2002, Figure 10)]. The Pi2/WTS expansion is indicative of the

auroral westward electrojet (WEJ) development (Supplementary Section S3.1), which is consistent with the WEJ in Figure 5C (magenta arrows) with the "head" between ~67° and 70° MLAT near 15.5 MLT fully developed between 08:20 and 08:30 UT [see Mishin et al. (2017) Supporting Information Figure S10]. An enhanced eastward electrojet (the cyan arrow) in the SAPS region near the center of the counterclockwise vortex—the signature of the downward R2 current—is also evident. This duskside, large-scale MLAT-MLT pattern is the same as the expansion-phase SCW2L pattern depicted in Supplementary Figure S1 and that from Ebihara and Tanaka (2015, Figure 1F).

Figure 5D shows the signatures of a substorm injection at geosynchronous orbit located in the auroral region. Namely, high-energy (>113 keV) ion fluxes from LANL 1994-084 near 15.5 MLT increased simultaneously at ~08:20 UT. Dispersive ion enhancements at ~08:21:30 UT from LANL 97A near 13 MLT are consistent with high-energy ions westerly drifting from ~15: 30 MLT. This suggests that the (dispersionless) injection front presumably related to the SCW2L system stopped between ~15.5 and 13.0 MLT.

The F13 data in Figure 5A show that the post-onset SAPSWS is enhanced and more structured than its higher-latitude, pre-onset counterpart. The radar backscatter power is a good proxy for the electric field greater than a ~20 mV/m threshold of the Farley–Buneman instability—the cause of radar echoes. The continuous radar data (Figure 5B) determined the start of the SAPSWS transition along the ~15.5. MLT meridian  $\approx 08:22$  UT when the pre-substorm weak radar backscatter from  $\approx 55^{\circ}-56^{\circ}$ 



onset at  $t_0 \approx 08:18$  UT. The vertical dashed line marks the auroral boundary. (B) The sidelobe coherent backscatter power from the region 53–58 ILAT in the 15.3–15.5 MLT sector; the time is shown on top. (C) A MLAT–MLT map of equivalent currents at 08:30 UT. Solid/dashed lines indicate the clockwise/ counterclockwise direction; the magenta/cyan arrows mark the westward/eastward electrojet (WEJ/EEJ). The total intensities of downward/ upward (+/–) FACs in kA are shown in the centers of vortices. Green and black dots mark the location of the LANL spacecraft and ground magnetometers, respectively. The blue (red) thick line shows the coherent backscatter region (the post onset track of F13). (D) Dispersive and (E) dispersionless enhancements of energetic ion fluxes from LANL 97A and LANL 1994-084, respectively, and (F) Pi2 pulsations in the dusk sector, with the dashed line indicating the local Pi2 onset. Adapted from Mishin et al. (2002; 2017).

ILAT started expanding equatorward, while increasing and structuring [see additional details in Mishin and Mishin (2007, Figure 6)]. Given a 2-min radar time resolution, this change was facilitated by the front's (WTS) arrival at the radar FOV. Therefore, it is fair to conclude that the pre-existing SAPSWS was altered by the WTS.

Similarly, the post-onset RC pressure buildup in the premidnight sector and SAPS-associated giant undulations of several hundred kilometers in wavelength were associated with the WTS expansion (Goldstein et al., 2005; Henderson et al., 2010; Henderson et al., 2018; Horvath and Lovell, 2021a). In addition, radar investigations described rapidly emerging or changing subauroral flows during substorm breakups (Erickson et al., 2002; Foster et al., 2004; Parkinson et al., 2005; Oksavik et al., 2006; Koustov et al., 2008; Makarevich and Dyson, 2008; Kunduri et al., 2018; Lyons et al., 2021).

Presented next are examples of multispacecraft events with a fortuitous conjunction of various spacecraft. The latter method resolved the ambiguity in determining the onset timing inherent to a single satellite, which cannot undeniably prove whether it is a freshly created channel or one from prior substorms.

Figure 6 presents a structured SAPS event during the May 6, 2003 substorm with two expansion onsets at  $t_O^{(1)} \approx 11:03$  and  $t_O^{(2)} \approx 11:$  33 UT (Figure 6A). The SuperMAG data (Gjerloev, 2012) in the

auroral region near 65°-66° MLAT show the negative bay in the premidnight sector—the effect of the westward electrojet—in  $\delta t_{O}^{(1)}$ ~2 min and the eastward electrojet between 19 and 20 MLT intensifying in  $\delta t_{O}^{(1)}$  ~5 min (Mishin et al., 2017, Figures S3, S4). The rearmost C3 entered the after-onset plasmasphere at 11:09 UT, while the others entered through the pre-onset plasmapause determined from the satellite floating potential and cold plasma density,  $n_p$  (Figure 6B). A few minutes prior to  $t_{\Omega}^{(1)}$ , each Cluster probe detected electromagnetic ultralow frequency (ULF) oscillations at ~3-4 mHz, with the largest crest-to-trough magnitudes of  $\approx 8 \text{ mV/m}$  and 5 nT measured by C3 just outside the plasmapause. A strong SAPSWS of the amplitude of  $E_{\Lambda} \approx$ 5-7 mV/m with the inner boundary at  $R_{in}/R_E \approx 4.6$  (C1), 4.35 (C2), 4.09 (C3), and 4.0 (C4) at  $\approx 24^{\circ} - 27^{\circ}$  MLAT was detected during the outbound pass along the ≈19.5 MLT meridian. Gaps in the electric field data preclude analyses of the inner structure seen in the magnetic data.

The absence of pre-onset subauroral flows during the inbound pass is justified by the average corotation field measured by the headmost C1 (and then C2) before crossing the SAPSWS inner boundary,  $R_{in}$ , at  $\approx$ 11:24 UT. Variations of  $\approx$  2 mV/m detected by C3 (and C4  $\approx$  25 min ahead) just interior to the inbound plasmapause are, most likely, the pre-onset ULF waves penetrating the plasmapause. The notable consequence is that the SAPSWS



bottom: the GSE electric field components, background 1 keV electron counts (with the superimposed cold plasma density indicated by gray curves), differential number fluxes of omnidirectional 5-27 eV H<sup>+</sup> ions in (cm<sup>2</sup> s sr keV)<sup>-1</sup>, floating potential, and the GSE magnetic field components. **(C)** DMSP F14 and F15 data in the same format as Figure 3 plus the magnetic field components and FACs. Vertical black and cyan lines indicate the PS/auroral boundary and the SAPS inner edge (C1-4) or peak (F14/F15), respectively. Adapted from Mishin (2016) and Mishin et al. (2017).

developed between  $\approx$ 11:24 and  $\approx$ 11:09 UT when C3 entered the plasmasphere, thus giving the 6 <  $\delta t_O^{(1)}$  < 21 min time lag.

Figure 6C shows subauroral flows detected by DMSP F14 and F15 near 21 and 23 MLT and  $L \approx 4.6$  in  $\delta t_O^{(1)} \approx 2$  and 10 min, respectively. LANL 1991-080 near 1.5 MLT observed concurrent electron and proton injections lagging the onsets by  $\delta t_{\Omega}^{(1)} \approx 2$  and then 10 min and  $\delta t_{O}^{(2)}$  ~1 min, while LANL 1994-084 near 21 MLT detected electron (proton) injections with the time lag of  $\delta t_{\Omega}^{(1)} \approx 5$ ( $\approx$ 5) min and  $\delta t_{\Omega}^{(2)} \approx$ 7 ( $\approx$ 1) min (Mishin et al., 2017, Figure S2). This timing implies an earthbound MPF impinging on the near-midnight plasmasphere and creating the F14/F15 fast-time subauroral flows. The overall event is a good example of the consequent formation of fast-time subauroral flows near midnight first and then near dusk. The former was apparently triggered by the MPF, while the latter emerged well before ~1 h required for ~20 keV ions in Figure 6C to gradient-curvature drift (Supplementary Eq. S1) from 23 MLT to 20 MLT at  $L \sim 5$ . It is notable that the insignificant magnetic field distortion justifies making use of the dipole approximation in (Supplementary Eq. S1).

Figure 7, 8 present two more "exemplary" events detected during weak isolated substorms (AE < 500 nT). Shown in Figure 7A are the meridional electric field and 1-keV electron counts from C1 during the April 18, 2005 inner-magnetospheric pass. The geomagnetic

activity was quiet well before the entry in the plasmasphere at  $\approx$ 10: 48 UT, so the electric field was close to the corotation field until ULF oscillations were detected around the substorm onset at  $t_O \approx$  12: 00 UT. During the outbound pass, the foremost C1 encountered the inner boundary of a SAID channel (shown in green) near  $L_{in} =$ 5.3 and 21.1 MLT at  $\approx$ 12:27 UT. Like in previous events, the outer boundary near 12:36 UT collocated with the drop of the background electron counts and the satellite floating potential.

An ion nose (a RC injection) near 21 MLT was encountered in ~25 min after the onset. Much earlier, alongside the LANL 97A near-dusk proton injection (not shown), DMSP F15 observed a near-dusk SAPSWS and ~10–20-keV ion injection near L = 6.3 in  $\delta t_O \sim 2$  min. It is notable that the C4 and C3 hot ion distributions are remarkably alike, and the voltages across the C1 and C3 channels are practically the same, though the (earlier) C1 channel is significantly broader. This difference with a typical C3/SAID is due to the more oblique path of C1 (and C4) through the channel. The anticorrelation between the width and the peak intensity indicates a potential structure persisting for at least 20 min after its creation.

The April 22, 2004 substorm commenced at 12:37 UT. No distinct subauroral flows were found in the DMSP database for the prior 2 h. LANL 1991-080 near 1.3 MLT and 1994-084 near



22.5 MLT detected substorm injections at about 12:41:30 UT. During the inbound pass, each Cluster satellite, in a few minutes, encountered practically similar flow channels close to the geosynchronous orbit in the southern hemisphere. Though only F16 of the DMSP satellites was available near the substorm onset, it was fortuitously close to the Cluster's ionospheric footpoint. The electric pattern in the plasmasphere (averaged over small-scale oscillations) and the hot ion population appear similar to that in the ionosphere.

C1 (F16) observed a SAID channel near 20.9 (20.6) MLT, lagging the substorm onset by  $\delta t_O \approx 20$  (6) min. Taking the timing of the LANL injections into account, a 6-min time lag appears close to the actual creation time of the channel. As in the aforementioned events, the C1/SAID inner boundary collocates with the hot ion density/pressure drop in the ion nose, while a broadband wave spectrum and the currents are intensified near the outer boundary (cf. Mishin and Streltsov, 2021b, Figures 8.3, 8.6). F16 was moving almost across the SAID channel—well-matched for calculating small-scale FACs. Again, the FACs do not bracket the channel, while  $j_{\parallel}^{\downarrow}(x)$  mimics the shape of  $E_{\Lambda}^{(I)}(x)$ . Like in the

aforementioned events, auroral and subauroral flows connect continuously over the local auroral boundary, which indicates the continuous electric field along the (WTS?) meridian (Supplementary Section S3.1).

In summary, subauroral flows and tens keV RC injections near midnight and dusk lag the substorm onset by a few to  $\approx 20$  min. This time lag is much shorter than the slow,  $\gtrsim 1$  h timescale from the test-particle generator paradigm. In addition to that, the crucial features of fast-time subauroral flows disagree with such generator paradigm predictions as the channel enclosure by (small-scale) FACs and the peak location at the density (conductance) minimum. Additionally, in the fast-time events, the PS (auroral) boundary is dispersionless and located close to the ion boundary, even near dusk, contrary to the test-particle drift. On the other hand, the fast timescale and near-midnight MLT sector are characteristic of the earthbound-ejected substorm MPFs (cf. Gkioulidou et al., 2014; Wang et al., 2019).

The fact that the SAID region is devoid of  $\geq$ 1 keV (hot) electrons implies that plasma quasineutrality in this hot ion-abundant region is maintained by the short-circuiting current system (Supplementary Section S2.2). Therefore, Mishin and Puhl-Quinn



(2007) introduced the short-circuiting of an MPF at the plasmapause as the cause of the fast-time SAID and RC ion nose near midnight. In turn, the fast-time duskside events indicate that tens of keV ions in the plasmasphere move westward much faster than the gradient-curvature drift. Taking into account their relationship with the SCW/WTS documented in some events, Mishin (2016) and Mishin et al. (2017) suggested that SAPS/SAPSWS are an integral part of the SCW2L circuit depicted in Supplementary Figure S1. These conjectures are discussed next.

# 3 SAID and SAPS origin

# 3.1 SAID: The interaction of mesoscale flows with the plasmasphere

Figure 9A (Streltsov and Mishin, 2018) depicts the SAID formation by the MPF's polarization-shorting at the plasmapause. It is worth noting that the explored SAID events safely satisfy the self-polarization limit for the MPF's density,  $N_h \equiv n_b > n_b^{(sp)}$  (Supplementary Eq. S7). Furthermore, owing to the steep plasma density gradient, the plasmapause is a perfect site for an "abrupt"

polarization shorting at  $L = L_{\min} (n_p^{(\min)})$ . A typical value of the critical plasma density is of  $n_p^{(\min)} \sim 10 \text{ cm}^{-3}$  (Mishin, 2013). As soon as the cold plasma at the pre-substorm plasmapause shorts out the polarization charge, a self-similar polarization penetration breaks apart (Supplementary Section S2.2). That is, the (hot) MPF's electrons are halted and create a steep, energy-independent boundary, which naturally explains the Newell and Meng (1987) dispersionless PS/auroral boundary.

As the incoming hot electrons accumulate near  $L_{\min}$ , they create a narrow peak in the density and pressure, as seen in Figure 9B, along with enhanced waves in the TPBL. In a steady state, the pressure buildup is balanced by precipitation and the diamagnetic drift,  $u_{de} = \omega_{ce} r_{ce}^2 \partial \ln P_e / \partial r$ , directed westward (eastward), inward (outward) of the peak. The azimuthal currents,  $j_{de} = -N_e e u_{de}$ , excite low-frequency plasma modes (Mishin et al., 2010, 2017; Mishin, 2013). The peak's thickness,  $\delta X_{eb}$ , is determined by anomalous diffusion,  $D_{\perp} \sim v_{eff} r_{ce}^2$ , of hot electrons. Such a peak at the PS innermost border persists for more than an hour after the substorm onset, as revealed by a sequence of border crossings by the THEMIS satellites on March 23, 2007 (Mishin and Sotnikov, 2017, Figure 5). The peak's lifetime is consistent with that of a "bursty," broadband wave spectrum in the TPBL measured during successive crossings of the Cluster satellites in various SAID events.

It is important that the principal condition for the SAID creation is that a MPF penetrates into the stopping point,  $L_{min}$ , at the plasmapause. However, as discussed in Supplementary Section S3.2, not every streamer "touching" the PBA initiates the onset. As it intuitively makes sense, Fukui et al. (2020) have statistically shown that the key factor in the "choice" between the breakup and pseudobreakup is the "strength" of MPFs, including their persistence, total pressure, and earthward magnetic flux transport rate. Anyway, in the substorm/pseudobreakup events facilitated by streamers, SAID can emerge just before the onset/after the prebreakup arc brightening. In the case of streamers resulting in torches that eventually intensify and grow into onsets (Henderson, 2012; 2022), SAID could be seen as being substantially ahead of the latter.

Now, let us consider the behavior of the hot MPF's ions that penetrate further inward of  $L_{\min}$ , thus forming an RC injection in the near-midnight plasmasphere. Recall that the SAID innermost boundary collocates with the density/pressure drop in the ion nose with the increasing lowermost energy,  $\varepsilon_{\min}(\xi) \approx e\Phi(\xi)$  $\geq 1$  keV, approaching the decreasing uppermost energy,  $\varepsilon_{\max}$ . This observation implies that the ions are stopped by the SAID electric field, which sustains the short-circuiting current loop in the plasmasphere. Furthermore, the dependence  $\varepsilon_{\min}(\Phi)$ implies the penetration of non-magnetized particles through a potential barrier. The force balance,  $N_h e \nabla \Phi + \nabla P_h = 0$ , gives  $\Phi_S[kV] \approx 6P_h[nPa]/N_h[cm^{-3}]$ , which reduces to  $\Phi_s \approx 3.4$  (18-Mar-02) and  $\approx 7$  (8-Apr-04) kV/(mV/m), in good agreement with the data (Mishin and Puhl-Quinn, 2007).

The demagnetization/chaotization condition (Supplementary Eq. S10) is satisfied in intense events with wave "bursts" of the root mean square magnitude of  $\delta E_{RMS} \sim 1 \text{ mV/m}$  and even greater, such as in frame B (Mishin et al., 2010; Mishin, 2013; Mishin and Sotnikov, 2017). The unmagnetized  $\geq 10 \text{ keV}$  ions "slip" with respect to the magnetic field lines and rapidly permeate the near-midnight plasmasphere over the distance of  $\Delta_S \sim 0.1R_E \gg \rho_{ci}$  (the ion



gyroradius of  $\sim$ 30–50 km). Additionally, the observation that the diamagnetic effect of the hot RC ions is suppressed in the TPBL (Mishin et al., 2010, Figure 3; Mishin et al., 2017, Figure 9) implies that the hot ions—the main contributor to the pressure, are demagnetized.

Figures 9C, D exemplify meridional electric fields and precipitating fluxes of soft electrons in a narrow region adjacent to the auroral border near substorm onsets during fast-time SAID events. Mishin and Streltsov (2020) explained this feature by the Landau resonance interaction,  $\omega_{\bf k} = k_z v_z$ , of the hot electrons with broadband LH waves in the TPBL. It results in diffusion in the velocity space along isolines  $v_{\perp} = \text{const}$ , so that the pitch-angle decreases toward the loss cone (Kapitanov and Mishin, 1978). However, if cyclotron resonances,  $\omega_k \pm \omega_{ce} = k_z v_z$ , become significant, the cyclotron diffusion proceeds along circles in velocity space,  $(v_z - u)^2 + v_{\perp}^2 = \text{const}$ , and increases pitch-angle (Galinsky and Shevchenko, 2012). The least possible energy,  $\varepsilon_c$ , for the cyclotron resonance,  $v_c \approx \omega_{ce}/k_z$ , with the observed LH spectrum is about 3–4 keV. In other words, intense lower hybrid

waves in the TPBL facilitate the precipitation of soft,  $\varepsilon < \varepsilon_c \approx 3-4$  keV electrons into an arc at the equatorward auroral boundary.

The MPF's polarization shorting approach, where the plasmapause separates the SAID from the "fresh," innermost border of PS electrons, provides a consistent explanation of the long-known statistical facts. First, since the main ionospheric trough is adjacent to the ionospheric footprint of the plasmapause (Yizengaw and Moldwin, 2005; Heilig et al., 2022), "fresh" SAID channels are mapped on the trough's poleward boundary. Then, the plasmasphere's shrinkage due to the increasing convection and erosion (Goldstein et al., 2003) makes the plasmapause move closer to Earth. A successive MPF will form a new SAID channel interior to the "new" plasmapause. This way, sequential substorm breakups or pseudobreakups can create a sequence of SAID channels. Of those, the earlier channels remaining in the presently high-conductance auroral zone will eventually disappear. This assumes that each subsequent MPF moves freely through the plasma sheet regardless of its modification by the initial MPF. A rigorous solution to this problem is not known at this time. During the recovery phase, the plasmasphere expands so that the plasmapause moves outwards. That leaves the most recent, innermost



(A) A keogram from Fort Smith ASI west. The color scale represents auroral luminosity. EO marks the expansion onset, and numbers 1–4 mark the subsequent streamers. Pink vertical lines indicate the times when the CVW radar observed westward flow enhancements near  $60^{\circ}$  MLAT. (B) The F17 westward flow velocity and electron differential energy fluxes in eV/(cm<sup>2</sup> s ster eV). The vertical black dashed (solid) line indicates the innermost auroral boundary near  $-63^{\circ}$  MLAT, which maps to about  $+62.5^{\circ}$  MLAT (the entry into the auroral zone at -64.6 MLAT). Markers O and 1–3 indicate the flow and flux enhancements caused by the onset and the following MPFs. Adapted from Gallardo-Lacourt et al. (2017) and Mishin and Streltsov (2020).

channels in place—most frequently, a double-SAID structure (He et al., 2016; Nishimura et al., 2019; Horvath and Lovell, 2021b).

Figure 10 illustrates this scenario by the data from the THEMIS allsky imager FSMI-west and DMSP F17 during the expansion phase of an isolated substorm with an auroral onset at  $t_{EO} \approx 03:54$  UT on March 2, 2013 (Gallardo-Lacourt et al., 2017). The top frame is a keogram from FSMI-west showing four post-onset streamers. Their average equatorward speed is about 1.2° MLAT/min, according to the slope of the white dashed lines. This inference agrees well with the enhancement in the westward flows near 65° and then 60° MLAT detected by the SuperDARN CVW radar (Gallardo-Lacourt et al., 2017, Figure 4). Streamer 4 was well poleward of the DMSP F17 footpoint and hence disregarded.

At such a speed, only streamer 1 could reach the auroral boundary prior to the F17 crossing at  $\approx$ 04:05 UT. In other words, in addition to the onset-related MPF, only the streamer 1-related MPF had reached the PS boundary. Therefore, given the plasmasphere's contraction after the onset, the first post-breakup MPF formed the PS boundary and SAID (marked "1") closer to the Earth. The onset-related SAID ("O") was left in the "fresh" auroral zone. Similarly, the two auroral flow/flux spikes ("2"and "3") can be related to the later streamers 2 and 3 that lagged behind the satellite. The spiky fields of ~20–25 km in latitude map to tailward fields in the central plasma sheet between  $L \approx 5–5.5$  of the radial extent ~300–400 km. These are typical of the dipolarization fronts of earthbound MPFs (Supplementary Section S2). Furthermore, the coincident streamer-associated "spiky" precipitation is consistent

with intensified electron scattering from the turbulent MPF's (DF) forefront.

To conclude, the polarization shorting of reconnection-ejected fast mesoscale flows over the near-midnight plasmapause consistently explains observations of the fast SAID formation. Similarly, weak transient SAPS during quiet times correlate with auroral streamers (Makarevich et al., 2011; Lyons et al., 2015; Gallardo-Lacourt et al., 2017). This hints at a common process underlying SAID and SAPS generation and the overarching problem of the penetration of magnetotail plasma flows into the inner magnetosphere. Notably, the state-of-the-art global numerical models that do not include fast mesoscale flows but traditionally employ test-particle models of the inner magnetosphere (Yu et al., 2015; Lin et al., 2019; Wei et al., 2019) were unable to capture the observed fast-time events nor had these models addressed the narrow width of SAID channels, abrupt dispersionless PS/auroral boundary, and their connection with the plasmapause.

In short, the simulations are consistent with the global development of the Region 2 FACs and the average position of the subauroral channels after 2–3 h in the storm. Because of a slow buildup of the simulated ring current by gradient-curvature drifting ions, even in the modeled perturbed magnetic field, the simulations missed the channels during the initial phase of the March 17, 2013, and 2015 storms. The simulated magnitudes are smaller, and both the simulated width of the channel and the PS boundary (the Alfvén layer) greatly exceed the observed values. The latter does not seem to be consequent only to the insufficient spatial resolution but rather the spatial dependence of the particles' drift, which is determined largely by the geomagnetic field gradient.

Notably, Wang et al. (2021) simulated the fast SAID creation using the "inertialized" Rice Convection Model (RCM-I), which includes the inertial effects and allows modeling of MPFs as bubbles in the plasma sheet. This approach somewhat helps to ease the time limitation but still significantly overestimates the widths of the PS boundary and flow channel. The effects of the cold plasma and plasma turbulence on the bubble's propagation (Supplementary Section S2.1) have not been considered.

## 3.2 SAPS and the substorm current wedge

The fast-time duskside events coincident with the WTS development suggest a causal WTS–SAPS connection (Mishin, 2016). Mishin et al. (2017) suggested a tentative scenario based on the SCW2L model depicted in Supplementary Figure S1. Specifically, they conclude that SAPS are an integral part of the SCW2L circuit. Here, the Pedersen current continuity between the R2L and R1 loops requires the poleward electric field,  $\mathbf{E}_{\Lambda}^{(I)}$ , in the low-conductance subauroral part along the WTS front's meridian to increase. The resulting  $\mathbf{E}_{\Lambda}^{(I)} \times \mathbf{B}$  westward flow (SAPS) is evident in the averaged satellite data of Supplementary Figure S1C.

Yet, the SCW2L model deals with the current circuit and does not directly address the fast RC buildup on the duskside by the sunward transport of tens of keV ions interior to the plasmapause at a speed faster than  $V_{gc}$  (Supplementary Eq. S1). Mishin et al. (2017) suggested the  $E_{\Lambda} \times B$  drift in the SAPS electric field emerging at the front's meridian as a mechanism of the sunward transport at the front's average speed. In other words, the R2 loop emerging in response to

the R1 current at the WTS front leads to duskside RC injections. This is contrary to the  $CG_A$  model, where RC injections build up the RC pressure, leading to the downward R2 current and SAPS.

In a steady state,  $\mathbf{E}_{\Lambda}^{(I)}$  maps to the outward electric field,  $\mathbf{E}_{\Lambda}^{(M)} \sim \mathbf{E}_{\Lambda}^{(I)}/L^{3/2}$ , in the conjugate equatorial magnetosphere. However, it usually takes several Alfvén wave bounces, i.e., a few minutes, to reach a steady state in a magnetic tube, which significantly exceeds the time available for a typical WTS front,  $\Delta t_f$   $\sim \Delta Y_f/V_f \sim 3-30$  s (Supplementary Section S3). That is, the moving front and the related R2L circuit are intrinsically non-stationary. Thus, ULF Alfvén waves are inevitably related to the SCW2L/WTS development not only in the auroral part but also in the subauroral part of the circuit, especially enhanced by the ionospheric feedback (Streltsov and Mishin, 2018). This conjecture is consistent with the observation that SAPS wave structures are more enhanced and irregular near substorm onsets (Mishin and Burke, 2005; Mishin et al., 2017).

The front's irregular structure and intermittent development with strongly enhanced MHD waves are potentially capable of breaking the drift motion of energetic particles, most easily, ions. In this case, they could be simply picked up by the sunward-moving front, such as in the dipolarization pulse scenario. The emerging polarization field may further complicate the overall process, making it even more non-stationary. The apparent consequences of this scenario are as follows: according to the SCW concept (Supplementary Section S3), faster/denser MPFs with greater ram pressure result in SCWs that develop faster with greater LT extents, viz., stronger substorms. Faster WTS fronts tend to preserve the shape of the PS/auroral boundary and the ion nose along the path from midnight to dusk. This way, as is consistent with the data, the abrupt PS/auroral boundary can be formed even near dusk. In weak substorms, the front stops at larger MLTs so that higher-energy electrons (ions) lag (get ahead of) the lower-energy ones, thus making the electron boundary (ion nose) more extended and dispersive along the pass to dusk.

# 4 Conclusion

The presented examples of many multispacecraft observations make evident the shortcomings of the subauroral generator paradigm based on the single (test) particle approach. Specifically, SAID, SAPS, and RC injections lag the substorm onset by much shorter time than predicted by standard single particle drift. The freshly formed SAID reside between the PS inner boundary near the plasmapause and the earthward tip of the hot (RC) ion flux but are not enclosed by a pair of enhanced small-scale upward and downward FACs. At the same time, the time lags are consistent with the propagation of earthward bursts of mesoscale hot plasma flows (MPFs) from the tail to the near-midnight plasmasphere and the substorm current wedge (SCW) to dusk. In accordance with the known association of flow bursts with magnetic field dipolarization, the fast SAPS and RC injections on the duskside are coincident with the SCW/WTS development in the adjacent auroral region.

Thus, it seems conceivable to consider a common process underlying the SAID and SAPS generations and the overarching problem of the penetration of MPFs into the inner magnetosphere and the substorm expansion. Namely, smallerscale SAID interior to the near-midnight plasmapause result from short-circuiting of MPFs by the cold plasma. Polarization shorting naturally explains the narrow width of SAID channels, the abrupt dispersionless PS/auroral boundary, and their intimate relation with the plasmapause, which is not explained by the test-particle Alfvén layer. This concept also views SAID as an inherent part of a turbulent plasmasphere boundary layer formed between the PS inner boundary and the earthward tip of the hot ion flux, *viz.*, the inner edge of the RC injection. Enhanced plasma waves in the TPBL and the SAID channel play a vital role in the penetration of the MPF's ions into the plasmasphere and the precipitation of the MPF's particles into the ionosphere, as well as in the energization of the plasmaspheric particles.

While SAID can be created during pseudobreakups, fast-time SAPS are an integral part of a two-loop circuit of the substorm current wedge (SCW2L). The SAPS poleward electric field emerges to support the Pedersen current along the front's meridian and transports the plasma particles sunward with the front's speed, thereby creating RC injections on the duskside. This major new approach to understanding SAPS is opposite to the standard paradigm where R2 currents form as the RC pressure builds up. Still, more careful studies, both experimental and numerical, are needed in order to identify and specify the processes involved.

# Author contributions

The Author listed have made a direct and intellectual contribution to the work and approved it for publication.

# Funding

This research was supported by the Air Force Office of Scientific Research, LRIR 22RVCOR011. Approved for public release; distribution is unlimited. Public Affairs release approval # AFRL-2022-5856.

# Acknowledgments

I acknowledge fruitful discussions with Dr. Anatoly Streltsov of Embry-Riddle Aeronautical University and his invention of a catchy image of the plasmapause as a power plant in the magnetosphere.

# Conflict of interest

The author declares that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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# Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fspas.2023.1118758/ full#supplementary-material

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