



# Hydrogen Line Shape Uncertainties in White Dwarf Model Atmospheres

M. H. Montgomery<sup>1\*</sup>, B. H. Dunlap<sup>1</sup>, P. B. Cho<sup>1</sup> and T. A. Gomez<sup>2</sup>

<sup>1</sup>Department of Astronomy, University of Texas at Austin, Austin, TX, United States, <sup>2</sup>Sandia National Laboratories, Albuquerque, NM, United States

For isolated white dwarf (WD) stars, fits to their observed spectra provide the most precise estimates of their effective temperatures and surface gravities. Even so, recent studies have shown that systematic offsets exist between such spectroscopic parameter determinations and those based on broadband photometry. These large discrepancies (10% in  $T_{\text{eff}}$ ,  $0.1 M_{\odot}$  in mass) provide scientific motivation for reconsidering the atomic physics employed in the model atmospheres of these stars. Recent simulation work of ours suggests that the most important remaining uncertainties in simulation-based calculations of line shapes are the treatment of 1) the electric field distribution and 2) the occupation probability (OP) prescription. We review the work that has been done in these areas and outline possible avenues for progress.

## OPEN ACCESS

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### \*Correspondence:

M. H. Montgomery  
mikemon@astro.as.utexas.edu

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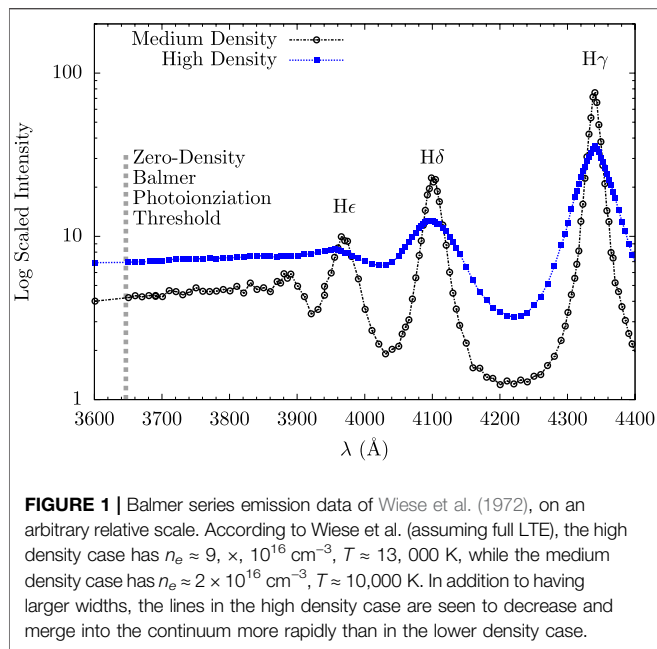
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## 1 INTRODUCTION

In white dwarf (WD) astronomy, the stellar parameters  $T_{\text{eff}}$  and  $\log g$  are commonly derived from fits to normalized Balmer line profiles. First employed for a large sample of WDs by Bergeron et al. (1992), this “spectroscopic method” has been instrumental in some of the most important aspects of WD astronomy, such as the shape of the DA population mass distribution, the boundaries of the ZZ Ceti pulsational instability strip, characterizations of the luminosity function, and the evolution and formation rate of DA WDs (Bergeron et al., 1992; Liebert et al., 2005; Eisenstein et al., 2006; Gianninas et al., 2006; Kepler et al., 2007). The model atmospheres used for these determinations (Bergeron et al., 1992; Koester, 2010) incorporate theoretical models for the hydrogen line profiles (Lemke, 1997). While these profiles have received an important update (Tremblay and Bergeron, 2009), they are still largely based on the “unified theory” of line broadening developed by Vidal et al. (1973, hereafter VCS).

In spite of its many successes, there are signs of problems with the spectroscopic method. For instance, recent studies have shown that there is approximately a 10% offset in  $T_{\text{eff}}$  and a  $0.1 M_{\odot}$  offset in stellar mass when comparing spectroscopic values with those determined from broadband photometry and parallax (Bergeron et al., 2019; Genest-Beaulieu and Bergeron, 2019), with the spectroscopic values being higher in temperature and in mass<sup>1</sup>. Since broadband photometry is much less dependent on the details of the Balmer line shapes, the cause of this discrepancy could lie in the way the spectral lines are modeled. In addition, Fuchs (2017) showed that fits to individual Balmer lines in a WD spectrum yield  $T_{\text{eff}}$  and  $\log g$  values that significantly disagree with one another. Thus, the time is ripe for a re-examination of the physics that goes into these model atmospheres.

<sup>1</sup>Using standard models for a  $0.6 M_{\odot}$  DA WD at  $T_{\text{eff}} = 10,000$  K (Tremblay et al., 2011) and assuming uncorrelated offsets in  $T_{\text{eff}}$  and stellar mass, this translates into fractional age uncertainties of 24% and 28%, respectively.



**FIGURE 1** | Balmer series emission data of Wiese et al. (1972), on an arbitrary relative scale. According to Wiese et al. (assuming full LTE), the high density case has  $n_e \approx 9 \times 10^{16} \text{ cm}^{-3}$ ,  $T \approx 13,000 \text{ K}$ , while the medium density case has  $n_e \approx 2 \times 10^{16} \text{ cm}^{-3}$ ,  $T \approx 10,000 \text{ K}$ . In addition to having larger widths, the lines in the high density case are seen to decrease and merge into the continuum more rapidly than in the lower density case.

Recently, Cho (2021) and Cho et al. (2022) have calculated a grid of line profiles using an improved version of the *Xenomorph* code (Gomez, 2013; Gomez et al., 2016; Cho, 2021). While *Xenomorph* includes many physical and numerical improvements over previous line profile theories employed in astronomy, Cho et al. (2022) identified two physical effects which had the largest effect on the shapes of the calculated profiles: 1) the treatment of screening in the calculation of electric fields due to the plasma charges, and 2) the prescription used for the occupation probability (OP) of the atomic states. We discuss each of these in detail in the following sections.

## 2 PHYSICAL BACKGROUND AND PHENOMENOLOGY

When an atom is placed in a dense plasma, the plasma particles will perturb the wavefunctions and shift the energy levels of that atom. In a white dwarf atmosphere, the dominant perturbations to atomic energy levels come from free electrons and protons. Each atom is perturbed differently by the surrounding charges, and the final spectrum we observe is the ensemble average of all perturbed atoms.

In high density plasmas, two things happen in the spectra: the lines broaden in a non-trivial way and the bound-free continuum gets pushed toward lower energies (see, e.g., Däppen et al., 1987; Seaton, 1990). In **Figure 1**, we see this phenomenon at work in the data of Wiese et al. (1972). At medium density, the line shapes have a clearly-defined width and exhibit the classical Lorentzian line shape. At high density, however, the line shapes begin to deviate from this Lorentzian shape, with H $\gamma$  becoming more triangular and H $\beta$  (not shown) developing two peaks. Some lines become so broad that they overlap, as is the case for H $\epsilon$  and H $\delta$ .

The higher- $n$  lines have disappeared entirely, showing a featureless continuum. In the medium density data of Wiese et al., the He and H8 lines are clear features, while at high density H8 is no longer visible and He is nearly gone. Inglis and Teller (1939) give an empirical formula that describes the last line that one can expect to distinctly observe in a plasma. The disappearance of lines into a continuum is often referred to as line merging or continuum lowering.

Because line broadening is the result of an ensemble average of all perturbations of the plasma particles (Gomez et al., 2018), we need to consider how the interactions modify the atomic structure as well as the fraction of atoms that experience a particular set of conditions. In hydrogen, the interaction between the atom and plasma can be well-approximated by the plasma electric field (Gomez et al., 2021). Given the difference in mass between ions and electrons, to a first approximation the electric field  $F$  due to the ions can be treated as a static field. Letting  $J_{ab}(\omega, F)$  be the electron broadening of the transition between states  $a$  and  $b$  in the presence of an electric field  $F$ , we can write the total broadening as an integral over the ion field:

$$I(\omega) = \int_0^{\infty} dF P(F) J_{ab}(\omega, F), \tag{1}$$

where  $P(f)$  is the distribution function of ion electric fields (e.g., see Griem et al., 1959). Much study has been devoted towards modeling  $P(f)$ , which represents the fraction of atoms that experience a given electric field strength. Correlations of the charged particles in the plasma can have a significant effect on the electric field distributions and thus the line shape. These plasma correlations are often treated as a screening effect, where the fields of particles far from the atom are shielded by the nearby particles. In **Section 3**, we show a case in which a choice different from the standard one for screening and correlation effects can better represent the electric fields of the electrons and protons, both separately and together.

The broadening of spectral lines where the atom is weakly perturbed by the plasma can be calculated using a perturbation-theory approach, using a linear-combination of wavefunctions to describe the perturbed wavefunction. However, at higher densities, a perturbation-theory approach with plasma electric fields is insufficient to accurately describe the plasmas. For example, the atomic structure of a hydrogen atom at high densities may more closely resemble an H $_2$  or H $_2^+$  molecule than it does an isolated atom in an external electric field (see, e.g., Allard et al., 1994; Allard et al., 2004; Zammit 2015).

An exact calculation of the atomic structure in the presence of many plasma particles does not exist. There are ad-hoc treatments, such as occupation probability (OP), that mock up the observational effect of line merging or continuum lowering. In these methods, the phenomenon is treated from a chemical and statistical mechanical point of view, which tries to estimate the probability of the existence of a state given the local electric field and/or the distribution of nearby charges. The “occupation probability” of a level  $n$  is denoted by  $w_n$ , and  $w_n$  is also the

fraction of atoms in the plasma for which this state exists. For the fraction  $1 - w_n$  of atoms for which this state has been dissolved, OP provides a model with which the oscillator strength of transitions to and from these levels is spread into a “pseudo-continuum” which merges smoothly with the normal bound-free continuum. In the spectrum, this has the effect of extending continuum opacity to lower energies and so can modify the shape of the spectrum in the region where the lines are observed. Finally, since OP restricts the range of electric field strengths that the radiating atom experiences, the intrinsic shape of the lines is also affected.

### 3 DISTRIBUTION OF ELECTRIC FIELDS

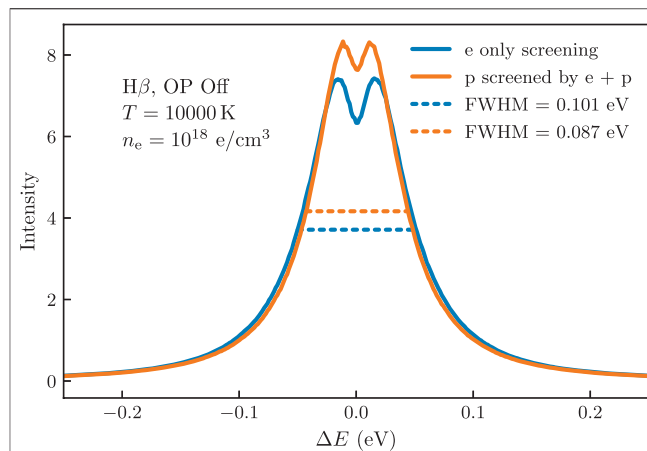
In *Xenomorph*, the radiating/absorbing atom is treated quantum mechanically while the perturbing electric field of the plasma is treated classically. Thus, the surrounding plasma particles are considered to be classical particles, with the protons and electrons interacting through purely electrostatic forces. While various analytical approaches have been developed to approximate the distribution of electric fields (Hooper, 1966; Iglesias et al., 1983; Iglesias et al., 2000), simulation-based codes such as *Xenomorph* require an electric field time series so that the equations can be integrated in time. The computationally least expensive approach to this is a non-interacting  $N$ -particle simulation in which the plasma particles follow straight line trajectories and are re-injected when they leave the simulation domain. The neglected interactions between particles are then partially re-introduced using Debye-shielded potentials for the charged particles:

$$V(r) = \frac{Ze}{r} e^{-r/\lambda_D}. \quad (2)$$

(see Eq. 3 for a definition of the Debye length,  $\lambda_D$ ). Finally, in order to simulate an ensemble average over plasma configurations, a very large number of electric field time series is computed. Such an approach is computationally feasible for such non-interacting simulations and has been employed in many previous calculations (e.g., Stamm et al., 1984; Stamm and Smith, 1984; Gigoso and Cardeñoso, 1987; Stambulchik and Maron, 2006; Djurović et al., 2009; Rosato et al., 2020).

We note that there is not agreement in the community whether the Debye shielding in these calculations should include screening by both electrons and protons, or just electrons. A standard assumption is that protons are screened by both the electrons and protons, but that electrons are screened by electrons only (e.g., Stambulchik and Maron, 2006). This results in a different Debye length being used for the electron and proton contributions. In the WD field, the standard semi-analytical approaches of Vidal et al. (1973) and Tremblay and Bergeron (2009) assume that the screening of both the ions and electrons is by electrons only, and this is also assumed in the recent simulation-based calculations of Cho (2021) and Cho et al. (2022).

To investigate the validity of these assumptions, Stambulchik et al. (2007) used a fully *interacting*  $N$ -particle simulation (denoted by “FMD” = “full molecular dynamics”) to directly compute the electric field distribution of a Coulomb plasma with  $T = 1$  eV and  $N_e = N_{\text{ion}} = 10^{18} \text{ cm}^{-3}$ . They then used this electric field history in their



**FIGURE 2 |** The effect of screening on line shape of  $H\beta$ . Increasing the amount of screening experienced by the plasma particles yields narrower lines. Doubly screening ions by both ions and electrons produces a narrower profile than singly screening both types of particles.

simulation-based line profile code to compute the FWHM of the  $H\alpha$  line ( $n = 3 \rightarrow 2$ ). Next, they used an electric field history calculated using non-interacting plasma particles where the ions were screened by ions and electrons and the electrons were screened by electrons only. They found the FWHM was  $\sim 6\%$  larger in the FMD case than in the non-interacting case. However, transitions involving higher- $n$  lines may be more affected by such differences in the electric field distribution, and it is these higher- $n$  Balmer lines that are most used in the analysis of WD spectra.

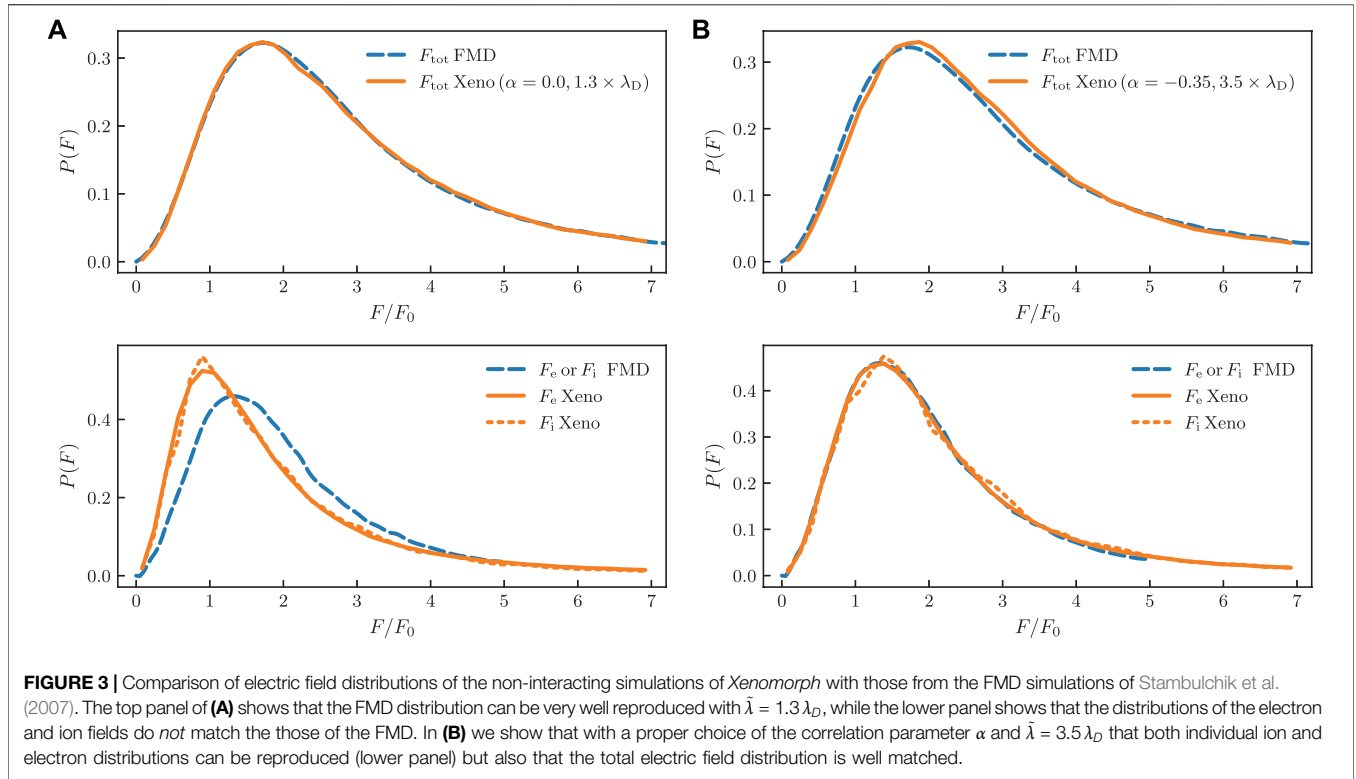
In a related study, Cho et al. (2022) used the code *Xenomorph* to look at the results of two non-interacting simulations in which two different prescriptions for the screening were used. In the first, the standard approach of screening by electrons only was used, so  $\lambda_{D,i} = \lambda_{D,e}$ , where

$$\lambda_{D,e} = \left( \frac{k_B T}{4\pi n_e e^2} \right)^{1/2}. \quad (3)$$

In the second case, the ions were screened by both ions and electrons, so  $\lambda_{D,i} = \lambda_{D,e}/\sqrt{2}$ . As shown in **Figure 2**, for the  $H\beta$  line the two screening prescriptions produce lines with FWHM that differ by 14%. While this is not a huge effect, Cho et al. (2022) note that the prescription for screening has a larger effect on the computed line profiles than much of the improved physics implemented in *Xenomorph*.

Of course, the physics of screening, or more precisely the distribution of electric fields in a plasma (and their variation with time) is in principle a solved problem. The physics is known (the  $1/r^2$  Coulomb force between charged particles) and molecular dynamics simulations can be run until sufficient accuracy is achieved<sup>2</sup>. In reality, due to the large difference in mass between electrons and ions, such simulations are very

<sup>2</sup>We note that the deBroglie wavelength of the electrons in this regime is much less than the average inter-particle distance, so effects related to electron degeneracy are negligible.



expensive computationally (Gigosos et al., 2018), and only a small number of plasma conditions can be calculated in this way. In addition, simulation codes such as *Xenomorph* need thousands of such simulations for identical plasma conditions in order to approximate an ensemble average over the surrounding plasma, and this is usually prohibitively expensive.

It is for this reason that non-interacting  $N$ -particle simulations are employed. Ideally, we would like a procedure for “fixing up” the non-interacting simulations so that they best approximate the FMD results, both in terms of total electric field distribution and in terms of the individual distributions of the electrons and ions. We show such an attempt in **Figure 3A**. For the non-interacting simulation in *Xenomorph* we have used a modified screening length for both protons and electrons with  $\tilde{\lambda}_D = 1.3 \times \lambda_{D,e}$ . In the upper panel we see that this reproduces the distribution of the total electric field of the FMD simulation nearly identically, although the lower panel shows that the total electric fields of the ions and electrons individually do *not* match that of the FMD (only  $F_e$  is shown for the FMD since the distribution of  $F_i$  is identical to it). Since electrons and ions move on very different time scales, the time dependence of the total electric field will not approximate that of the FMD even though their time averages are nearly identical.

A hint to a possible improvement is given by Stambulchik et al. (2007). They examine the decay in time of correlations of the ion and electron total electric fields in an FMD simulation. They find that their results can be explained by a simple model in which the total electron field acquires a low-frequency component proportional to the total ion field, i.e.,

$$\vec{F}_e = \vec{F}_e + \alpha \vec{F}_i, \tag{4}$$

where  $\vec{F}_e$  and  $\vec{F}_i$  are uncorrelated, and  $\alpha$  is a numerical constant. They find a value of  $\alpha \approx -0.3$  from their simulations.

Using this information we make a second attempt to “fix up” the non-interacting calculations. We calculate the electric field of the electrons as in **Eq. 4**, with the result that the total field is given by

$$\vec{F}_{\text{tot}} = \vec{F}_e + \vec{F}_i = \vec{F}_e + (1 + \alpha)\vec{F}_i. \tag{5}$$

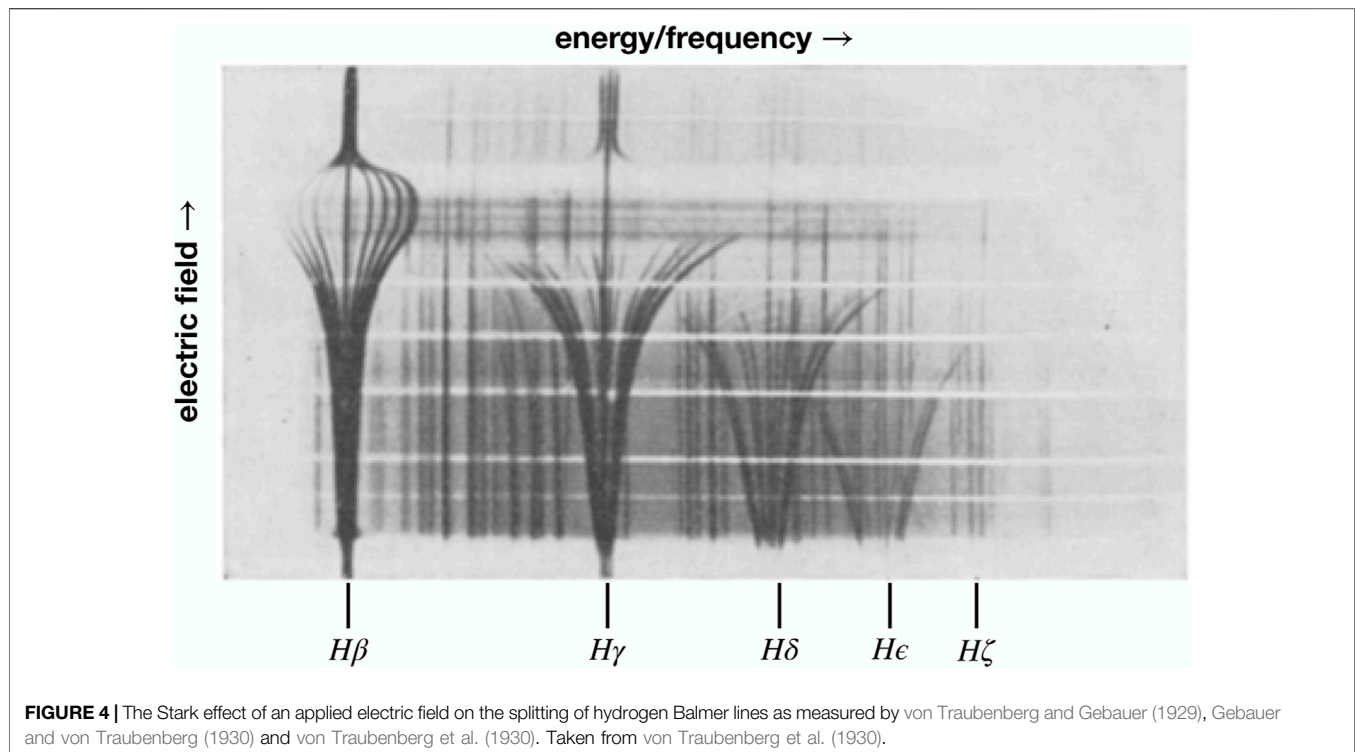
Interpreting  $\vec{F}_e$  and  $\vec{F}_i$  as the electric fields of the electrons and ions in the non-interacting simulation, we vary  $\alpha$  and  $\tilde{\lambda}_D$  to fit the results of the FMD simulations. We show a fit to the electric field distributions in **Figure 3B**, where we have chosen  $\tilde{\lambda}_D = 3.5 \times \lambda_{D,e}$  and  $\alpha = -0.35$ . With this choice, we see that we are able to match both the individual distributions of the electrons and ions in the FMD simulation as well as the total electric field distribution.

Stambulchik et al. (2007) note that  $|\alpha|$  is expected to be of order  $\Gamma$ , where

$$\Gamma = \frac{Z^2 e^2}{a k_B T}, \text{ where } a = \left(\frac{4}{3} \pi N_e\right)^{-1/3}. \tag{6}$$

For these plasma conditions ( $N_e = 10^{18} \text{ cm}^{-3}$ ,  $T = 1 \text{ eV}$ ) we find  $\Gamma = 0.23$ . We note that our chosen value closely approximates  $\alpha \approx -1.5 \Gamma$ , which is equal to the expected first order fractional reduction in the average electric field due to Debye screening (see **Eq. 4** of Stambulchik et al., 2007).

Fully-interacting simulations would need to be run to see whether  $\alpha = -1.5 \Gamma$  is a valid parameterization over a range of temperatures and densities. In any case, this approach offers the



promise that only one fully-interacting simulation needs to be run for a given set of plasma conditions and, from this, best-fit values of  $\tilde{\lambda}_D$  and  $\alpha$  can be determined. In turn, these values can be used to generate an arbitrary number of non-interacting simulations.

## 4 OCCUPATION PROBABILITY

In a dense plasma, the presence of nearby ions is believed to lead to a suppression of spectral features; the ions produce electric fields which perturb the emitting or absorbing atom and are thought to lead to the dissolution of bound states needed to produce individual spectral features. Early experiments by H. von Traubenberg and Gebauer (1929), von Traubenberg et al. (1930) showed how the spectral features in the Balmer series changed as a spatially constant electric field was increased (see **Figure 4**). Above a certain threshold (different for each line), the spectral features were seen to vanish.

While this is a very important result, there are reasons that this might not apply to the plasmas we commonly observe. The most important of these is that in a normal laboratory or astrophysical plasma the electric microfields experienced by radiating or emitting atoms are due to nearby ions, and these fields will not be spatially uniform. In addition, not all atoms will experience the same electric field, so the resulting spectrum will be due to an ensemble average over a distribution of plasma states and electric microfield values. Finally, for an atom immersed in a spatially uniform electric field, when the electron tunnels away from the atom it escapes to infinity. In a real plasma, the fields strong enough to allow such movement are

due to nearby ions, so when the electron leaves the atom (either by tunneling or classical “over the barrier” motion) it will not escape to infinity, but rather be bound to the nearest ion or shared among nearby ions (Fisher and Maron, 2002).

In response to this fundamentally difficult problem, Inglis and Teller (1939) suggested a simple criterion for the merging of spectral lines into the continuum, namely, that this should occur when the energies of neighboring levels (e.g.,  $E_n$  and  $E_{n+1}$ ) begin to overlap due to Stark splitting. Slightly later, Unsöld (1948) calculated the critical electric field above which a state should no longer exist. He did this for the case of a uniform electric field and for a field due to a nearby ion. Using the nearest-neighbor approximation for the distribution functions of both the electric field and inter-ionic distance, he computed the fraction of atoms in the plasma for which the level  $n$  exists. Nowadays, this quantity is referred to as the occupation probability of a level, denoted by  $w_n$ .

### 4.1 The Hummer and Mihalas Treatment of Occupation Probability

The most commonly employed treatment in the astronomical community is based on the work of Hummer and Mihalas (Hummer and Mihalas, 1988, hereafter HM88). Using a chemical picture, they wished to calculate the equation of state of a non-ideal gas using the internal partition function of the gas. Since the energy levels scale like  $E_n \propto 1/n^2$ , these energies approach zero for large  $n$  and their respective Boltzmann factors approach one, leading to the formal divergence of the partition function. However, if one introduces a high- $n$  cutoff



(based on the Inglis-Teller criterion, for example), then the partition function remains finite and all thermodynamic quantities can be derived.

After evaluating many different effects and approaches, HM88 eventually settled on an expression for the critical field strength,  $F_{\text{crit}}$ , that is the same as that used by Inglis and Teller (1939), i.e., the field strength required for levels  $n$  and  $n + 1$  to cross due to Stark splitting. Their justification for this criterion depends on the waxing and waning of the electric microfield that allows particles to move from level  $n$  to  $n + 1$ , eventually leaving the atom. For  $F > F_{\text{crit}}$ , the upper state in a given transition is assumed to be dissolved, so  $J_{ab}(\omega, F) = 0$  for this case. Thus, the upper limit of the integral in Eq. 1 can be replaced with  $F_{\text{crit}}$ , i.e.,

$$I(\omega) = \int_0^{F_{\text{crit}}} dFP(F)J_{ab}(\omega, F). \quad (7)$$

We note that we have reservations concerning the above picture since a plasma in equilibrium will have as many particles entering a state due to the inverse of this process as are leaving due to the process itself. Thus, such a process appears to have more to do with the *lifetime* of a given state than its *dissolution*.

Nayfonov et al. (1999) improved upon the Holtmark microfield distribution used in HM88 by using fits to the microfield distribution based on the work of Hooper (1966, 1968). These updated occupation probability calculations are currently employed in many stellar atmosphere codes (e.g., Hubeny et al., 1994; Tremblay and Bergeron, 2009; Koester, 2010) which are the current state-of-the-art in the astronomical community.

## 4.2 Fits to Laboratory Data

The experiments of Wiese et al. (1972) in the early 1970s remain benchmark measurements of emission in a hydrogen plasma, covering electron densities of  $1.5 \times 10^{16} \text{ cm}^{-3}$  to  $10^{17} \text{ cm}^{-3}$  and temperatures of 9,000–14,000 K. As a result, there have been several attempts at fitting these spectra employing different versions of OP.

Däppen et al. (1987) fit data from Wiese et al. (1972) (the curves in **Figure 1**) with both the HM88 formalism for OP as well as a prescription that assumed  $w_n = 1$  for all lines out to  $n = 30$ , and  $w_n = 0$  for  $n > 30$ . They found the best agreement with data for the  $w_n = 1$  prescription, i.e., no OP for  $n \leq 30$ , except in the vicinity of the Balmer jump, which was not well modeled.

Stehle and Jacquemot (1993) also modeled the high density data of Wiese et al. (1972). Unlike Däppen et al. (1987), they also included the effects of OP on the line *shapes* as well as on the line *strengths*. While calculations with and without OP provided good fits to the data, the best agreement was found for the case where field ionization was neglected, i.e.,  $w_n = 1$  for all levels.

Like Stehle and Jacquemot (1993), Tremblay and Bergeron (2009) included the effects of OP (using the HM88 prescription) on both the line shapes and strengths. They find that their fits to the low-density data of Wiese et al. (1972) are better than those using the VCS theory (which lacks OP), but the situation is less clear for the high-density data. They state that the data “...do not provide such a

stringent constraint on the broadening theories because of the large range of acceptable plasma state parameters, and also because of potential departures from LTE.”

There are strong physical reasons for believing that OP or a prescription very much like it should be used to cause lines to merge into the continuum as the density is increased. Thus far, however, fits to laboratory data have failed to demonstrate this quantitatively.

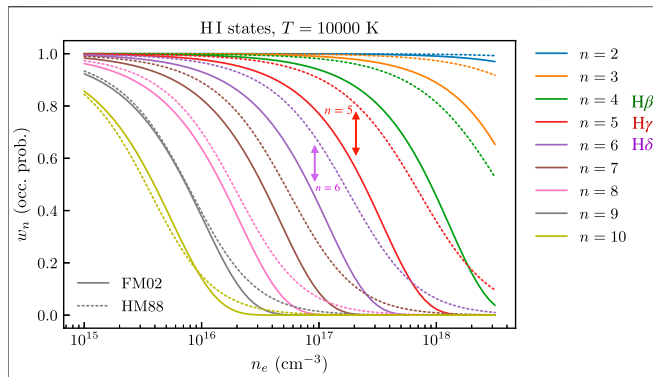
## 4.3 Fits to White Dwarf Spectra

The atmospheres of WDs are home to many different physical processes that operate simultaneously. In addition, an emergent spectrum is an *integral* over layers of different temperatures and densities. As a result, a simple test isolating the effects of OP at a particular set of plasma conditions is not possible. Even so, we can test the ability of model atmospheres that incorporate the effects of OP to reproduce the observed spectra of WDs. In particular, we can test how well different physical assumptions allow us to obtain consistent values of  $\log g$  and  $T_{\text{eff}}$  when fitting different lines in a stellar spectrum.

The first systematic study of this was by Bergeron (1993), who applied the OP formalism of HM88 to modify the strengths of the hydrogen lines. As the line opacity is decreased with decreasing  $w_n$ , the pseudo-continuum opacity is increased. Because this opacity extends to lower energies than the traditional bound-free continuum opacity, it modifies the shape of the spectrum in the region of the Balmer lines. Thus, even though Bergeron (1993) did not apply the OP formalism to modify the input line shapes, changes to the pseudo-continuum opacity can modify the spectrum in ways similar to changes in the line wings. OP would prescribe a decrease in line wing opacity since opacity farther in the wings arises from perturbations by stronger electric fields, which OP assumes to destroy the state. In the absence of suitable line shapes that include this effect, Bergeron (1993) chose to decrease the pseudo-continuum opacity as a stopgap to mimic this effect. Formally this was achieved by tuning the critical microfield cutoff to a value that minimized the discrepancy among parameters derived from fits to individual Balmer lines. As this was used as a proxy for modified line shapes, it was not taken to suggest anything fundamental about the critical microfield.

Next, Tremblay and Bergeron (2009) used the strict formalism of HM88 for OP to calculate line profiles and include them in model atmospheres. They found that this allowed them to obtain much more consistent inferred parameters for  $T_{\text{eff}}$  and  $\log g$  when fitting different sets of Balmer lines in an observed spectrum than when pure VCS profiles with no OP were used for the fits. This approach has become the standard in the field for obtaining stellar parameters from spectroscopic fits.

Finally, even when using the improved model atmospheres of Tremblay and Bergeron (2009), Fuchs (2017) and Tremblay et al. (2010) have found that, for cooler white dwarfs, highly discrepant values of  $T_{\text{eff}}$  and  $\log g$  are often derived when individually fitting different lines in the Balmer series *in the same star*. Whether this problem stems from the simplified treatment of convection in the model atmospheres or from inaccuracies in the line profiles is unclear. Thus, while it is not clear if an improved version of OP would resolve these problems, an *incorrect* treatment of OP could make such a resolution impossible.



**FIGURE 5 |** The occupation probability of given energy levels  $n$  as a function of the electron number density. The solid curves give the OP of the FM02 formalism while the dotted curves are those of the HM88 formalism. For  $n \leq 8$ , the FM02 OP is less than that of HM88.

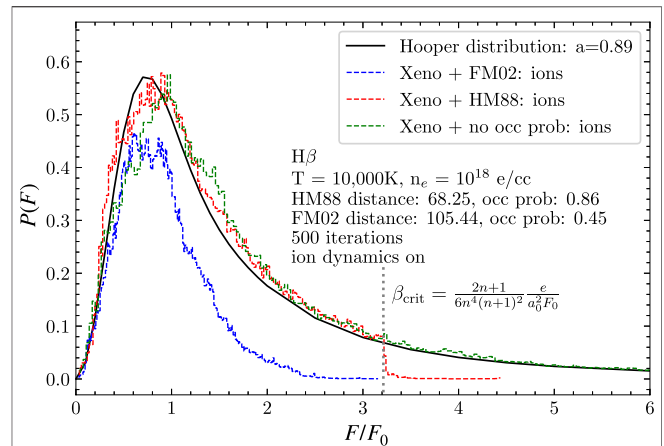
### 4.4 An Alternate Version of Occupation Probability

While the approach to OP of HM88 is mainly based on the assumption of a uniform electric field, Fisher and Maron (2002, hereafter FM02) assume that the dominant contribution to the local field is due to the nearest neighbor (NN) ion. Since this field increases in the direction of the NN, a given state becomes unbound at a weaker field than in the HM88 formalism. Thus, for a given state  $n$  we expect the FM02 value of  $w_n$  to be less (more dissolved) than that of HM88. A comparison of these two prescriptions is shown in **Figure 5**. For  $n \leq 8$ , the FM02  $w_n$  is less than that of HM88. As an example, the arrows indicate electron densities at which the values of  $w_5$  and  $w_6$  of the two prescriptions are quite different.

On the other hand, FM02 have a different interpretation of the meaning of OP than previous authors. While the OP is still the fraction of atoms with level  $n$  in which the optical electron is bound to a single nucleus, the atomic states that are eliminated are replaced by “collectivized” states in which the electron is shared among two or more nuclei; these states are still considered to be bound (i.e., negative energy) states. FM02 stress that when states first become “collectivized” that their energies and eigenfunctions (around a single nucleus) will be very similar to their values before collectivization, so these states will still produce spectral features similar to those of the formally bound states. It is only when the NN approaches more closely and the states become “more collectivized” that the spectral features will be significantly altered. Thus, taking the FM02 minimum distance for collectivization as the criterion for destruction of a single-nucleus bound state should lead to an overestimate of the dissolution of spectral features. As such, this value of OP represents a lower bound (i.e., greatest possible dissolution) of a spectral feature in the FM02 formalism. To our knowledge, the FM02 formalism has not been employed in any stellar model atmosphere codes.

### 4.5 Implementation in Xenomorph

*Xenomorph* is a simulation-based line profile code developed by T. Gomez (Gomez, 2013; Gomez et al., 2016), and which was



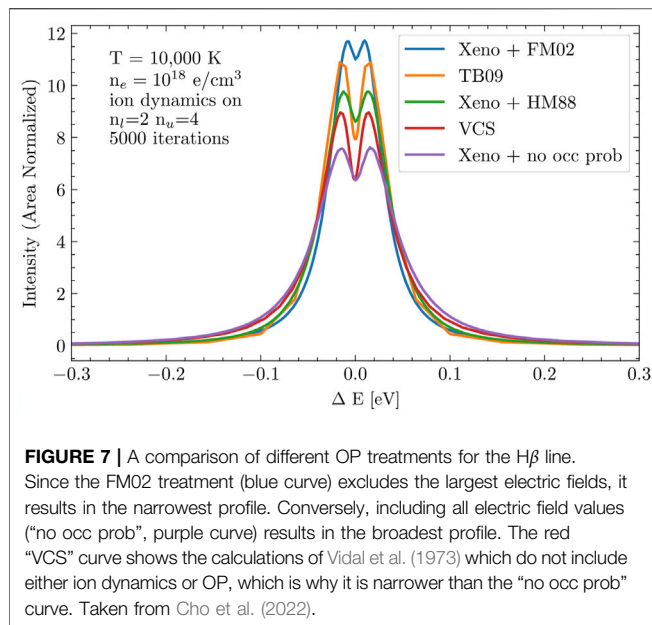
**FIGURE 6 |** The microfield distribution produced by *Xenomorph*, for different OP prescriptions. For HM88, we see that our prescription for reversing the radial velocities of nearby approaching ions leads to a sharp cutoff at  $F/F_0 \approx 3.2$ . We note that the HM88 and FM02 distributions have been normalized to their OP values, 0.86 and 0.45, respectively. Taken from Cho et al. (2022).

recently updated by Cho (2021). While many simulation-based approaches have been made in the literature (e.g., Stamm et al., 1984; Stamm and Smith, 1984; Gigosos and Cardenoso, 1987; Stambulchik and Maron, 2006; Djurović et al., 2009; Rosato et al., 2020; Tremblay et al., 2020), to our knowledge *Xenomorph* is the first such code to include a numerical implementation of OP.

For the HM88 formalism, the electric microfield experienced by the radiator needs to be held below the critical value for the given upper level  $n$  of the transition. We implement this as follows. When the local microfield first increases to the critical value, the nearest ion that is approaching is located and its radial velocity is reversed. Since it is now receding from the radiator, the magnitude of its contribution to the total field will decrease in subsequent time steps. If the electric field of the radiator continues to increase in the next time step, then the next-nearest ion that is approaching is found and its radial velocity reversed. This procedure is continued until the local microfield begins decreasing in subsequent time steps. As shown in **Figure 6**, this procedure leads to a sharp cutoff in the microfield distribution (red dashed curve) experienced by the radiating atom.

For the FM02 formalism, rather than limiting the electric microfield, it is the distance to the nearest ion that needs to be limited. Thus, whenever an ion would take a time step that brings it too close to the radiator, its radial velocity is reversed so that it begins moving away. As mentioned in the previous section, this likely leads to an overestimate on the effect of OP on the spectrum. As such, the naive application of the FM02 formalism provides an upper bound on the importance of OP for these spectra.

In **Figure 7** we show a comparison of different OP treatments for the  $H\beta$  line. Since the FM02 treatment (blue curve) excludes the largest electric fields, it results in the narrowest profile. Conversely, including all electric field values (“no occ prob”, purple curve) results in the broadest profile. The red “VCS” curve shows the calculations of Vidal et al. (1973) which do not include either ion dynamics or OP, which explains why it is narrower than the “no occ prob” curve, which *does* include ion dynamics.



## 5 FUTURE IMPROVEMENTS

In a real plasma, ions and electrons are free to make close approaches to radiating atoms. According to Fisher and Maron (2002), when the nearest ion reaches a critical distance for a given energy state, that state becomes “collectivized” and the electron is shared among two (or more) nuclei. Just like their single-nuclei counterparts, these collectivized states have definite energy, so in LTE their populations would be proportional to their Boltzmann factors. Their eigenfunctions are modified and may more closely resemble those of an H $_2^+$  molecule than those of an isolated atom in an external electric field (see, e.g., Allard et al., 1994; Allard et al., 2004; Zammit, 2015). Thus, rather than avoiding this circumstance as the current OP treatments do, it may be necessary to quantum mechanically treat these H $_2^+$ -like states and use them as a basis for time-dependent perturbation by the surrounding plasma. While difficult, this offers a potential way forward that is free of the current ambiguities in the various OP prescriptions.

## 6 CONCLUSION

Recent studies of white dwarf stars show that systematic offsets exist between spectroscopic parameter determinations and those based on broadband photometry. These large discrepancies (10% in  $T_{\text{eff}}$ , 0.1  $M_{\odot}$  in mass) provide scientific motivation for reconsidering the atomic physics employed in the model atmospheres of these stars. As pointed out by Cho (2021) and Cho et al. (2022), two physical effects which have a large effect on the shapes of the calculated line profiles of hydrogen are 1) the distribution of electric fields experienced by the radiating atom, and 2) the prescription used for the occupation probability (OP) of the atomic states. In this article we have examined the assumptions

and approaches used when incorporating these effects in line profile and WD atmosphere calculations. Improvements in both treatments offer the promise of a more self-consistent implementation of the physics and improved model atmospheres of WD stars.

Finally, for lines such as Ly $\alpha$ , and at higher densities in general, a quantum treatment of the plasma electrons becomes important. Recently, Gomez et al. (2020) and Gomez et al. (2021) have developed a new approach. While offering a solution to the under-prediction of widths of “isolated-lines” in Li-like ions (e.g., Griem et al., 1997), their approach could also offer key improvements useful in WD model atmospheres. Going forward, a synthesis of approaches may be required to represent the physics with the greatest fidelity, which in turn will allow us to extract the greatest scientific return from the WD stars.

## DATA AVAILABILITY STATEMENT

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## AUTHOR CONTRIBUTIONS

All authors listed have made a substantial, direct, and intellectual contribution to the work and approved it for publication.

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