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Honokiol decreases alpha-synuclein mRNA levels and reveals novel targets for modulating alpha-synuclein expression

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Background: Intracytoplasmic inclusions comprised of aggregated alpha-synuclein (α syn) represent a key histopathological feature of neurological disorders collectively termed “synucleinopathies,” which includes Parkinson’s disease (PD). Mutations and multiplications in the *SNCA* gene encoding α syn cause familial forms of PD and a large body of evidence indicate a correlation between α syn accumulation and disease. Decreasing α syn expression is recognized as a valid target for PD therapeutics, with down-regulation of *SNCA* expression potentially attenuating downstream cascades of pathologic events. Here, we evaluated if Honokiol (HKL), a polyphenolic compound derived from magnolia tree bark with demonstrated neuroprotective properties, can modulate α syn levels in multiple experimental models.

Methods: Human neuroglioma cells stably overexpressing α syn, mouse primary neurons, and human iPSC-derived neurons were exposed to HKL and α syn protein and *SNCA* messenger RNA levels were assessed. The effect of HKL on rotenone-induced overexpression of α syn levels was further assessed and transcriptional profiling of mouse cortical neurons treated with HKL was performed to identify potential targets of HKL.

Results: We demonstrate that HKL can successfully reduce α syn protein levels and *SNCA* expression in multiple *in vitro* models of PD with our data supporting a mechanism whereby HKL acts by post-transcriptional modulation of *SNCA* rather than modulating α syn protein degradation. Transcriptional profiling of mouse cortical neurons treated with HKL identifies several differentially expressed genes (DEG) as potential targets to modulate *SNCA* expression.

Conclusion: This study supports a HKL-mediated downregulation of *SNCA* as a viable strategy to modify disease progression in PD and other synucleinopathies. HKL has potential as a powerful tool for investigating *SNCA* gene modulation and its downstream effects.

KEYWORDS

alpha-synuclein (α Syn), Parkinson's disease, *SNCA*, natural compound, polyphenol, therapeutic target

1. Introduction

Alpha-synuclein (α syn) accumulation is a key feature in the pathogenesis of Parkinson's disease (PD) and related synucleinopathies (Spillantini et al., 1997). These diseases are characterized by the misfolding and aggregation of α syn protein that can propagate between cells in the brain and accumulate as Lewy bodies (LB) and Lewy neurites (LN) in susceptible cellular populations (Luk et al., 2012). Expression of α syn is a strong disease modifier as individuals with a triplication of the *SNCA* gene locus develop aggressive forms of PD with dementia (Polymeropoulos et al., 1997; Singleton et al., 2003; Houlden and Singleton, 2012). Experimentally, the expression level of α syn is an important determinant of the rate of fibrillization and neurotoxicity (Rockenstein et al., 2014). Additionally, knocking out α syn in mice (Dauer et al., 2002) or knocking down α syn in differentiated human dopaminergic cells increases resistance to the mitochondrial toxin MPP⁺ (Fountaine and Wade-Martins, 2007). Disease-modifying therapies for PD remain a major unmet medical need and reducing α syn levels is a promising therapeutic target (Junn et al., 2009; Mandler et al., 2015; Schneeberger et al., 2016; Valera et al., 2016; Kallab et al., 2018). Downregulation of α syn via the use of passive or active immunization (Helmschrodt et al., 2017; Kantor et al., 2018; Brys et al., 2019; Savitt and Jankovic, 2019; Uehara et al., 2019), antisense oligonucleotides strategy (Junn et al., 2009; Dehay et al., 2016; Vaikath et al., 2019), and viral vector technology (Menon et al., 2021) has demonstrated beneficial effects and could attenuate downstream cascades of pathologic events. However, neurotoxicity associated with robust reduction of *SNCA* mRNA levels was reported in studies that utilized RNAi tools to directly target *SNCA* transcripts and immunization against α syn still requires larger efficacy trials. Therefore, further studies are necessary to develop a strategy that safely and successfully down-regulates α syn.

Phytochemical compounds can contribute to the brain's chemical balance and current evidence supports the applicability of natural compounds to treat neurodegenerative disorders (Perez-Hernandez et al., 2016; Sharifi-Rad et al., 2020). The medicinal properties of plants are mostly attributed to their secondary phytochemical metabolites that have a wide spectrum of pharmacological activities, including but not limited to, antioxidant, anti-tumor, anti-inflammatory, and neuroprotective properties (Kumar and Khanum, 2012; Forni et al., 2019). Honokiol (HKL) is a polyphenolic compound derived from the bark of magnolia plant that has demonstrated favorable effects in experimental models of cancer (Ong et al., 2019), Alzheimer's

disease (Wang et al., 2018) (Ramesh et al., 2018), and PD (Chen et al., 2018a). Additionally, oral administration of HKL attenuated age-related cognitive impairment and neuronal injury in senescence accelerated mice (Matsui et al., 2009). Importantly, intraperitoneal administration of HKL produced a desirable bioavailability (Wang et al., 2011) with considerable blood brain barrier penetration (Lin et al., 2012).

A previous study demonstrated that chronic HKL treatment prevented dopaminergic neuronal loss and motor impairments in a hemi-parkinsonian mouse model (Chen et al., 2018a). Here, we use multiple cellular models to further characterize potential benefits of HKL in PD. Additionally, we demonstrate that HKL can modulate *SNCA* expression levels and interrogate the molecular mechanism(s) whereby HKL has its effect on *SNCA*.

2. Materials and methods

2.1. Wt- α syn H4 cell culture

A stable H4 neuroglioma cell line expressing human wt- α syn was generated and previously described (Moussaud et al., 2015). Cells were maintained at 37°C in a 95% air/5% CO₂ humidified incubator in Opti-MEM supplemented with 10% FBS, 200 μ g/mL G418, and 200 μ g/mL Hygromycin. Tetracycline (1 μ g/mL, Sigma, #T7660-5G) was added to culture media to block the expression of α syn in the transgene cells.

2.2. Cortical primary neurons preparation

Pregnant adult CD-1 mice were ordered from Jackson Laboratory (Bar Harbor, ME). Cell culture dishes were freshly prepared for each litter and were coated with poly-D-lysine (PDL) diluted in DPBS at a final concentration of 0.1 mg/mL. All media were made fresh for each litter and used within 1–2 weeks. Dissection buffer was prepared containing 1X HBSS without phenol red, calcium, or magnesium (Gibco, #14185-052) and HEPES (Gibco, #15630-106) at final concentration of 10 mM. Primary culture FBS medium comprised NeuroBasal Medium without L-Glutamine (Gibco, #21103-049), 10% FBS (Gibco, #10437-028), 1% GlutaMAX (Gibco, #35050-061), and 1% Penstrep (Gibco, #15140-122). Neuronal media comprised NeuroBasal medium without L-Glutamine, 2% B-27 (ThermoFisher, # 17504044), 1% GlutaMAX, and 1% Penstrep.

2.3. HKL and DMSO solutions preparation

A 100 mM stock solution of HKL (MedChem express, #HY-N0003-50MG) was dissolved in 100% DMSO and stored at -30°C . For treatment of cells, HKL was further diluted in the appropriate cell culture media at a final concentration of $10\ \mu\text{M}$. DMSO controls were similarly prepared with a final concentration of 0.01% DMSO. Wt- αsyn and primary cortical neurons were treated with 0.01% DMSO or $10\ \mu\text{M}$ HKL for 72 h before being processed.

2.4. Induced pluripotent stem cell (iPSC)-derived neurons treatment with HKL

Lymphoblast cell lines were acquired from the Coriell Institute for Medical Research, Line # GM15010, female origin (New Jersey, USA) from a patient carrying a triplication in the *SNCA* gene, reprogrammed into induced pluripotent stem cells (iPSCs) called line '3x-1', and characterized previously (Stojkowska et al., 2022). iPSCs were cultured on Matrigel (Corning, #354277) coated plates and maintained in mTESR1 media. Differentiation into midbrain dopaminergic neurons occurred using previously established protocols (Kriks et al., 2011). Briefly, iPSC lines were accutased (Corning, # 25058CI) and seeded onto Matrigel (Corning, #354277) coated plates, allowed to grow to confluency, then treated with dual SMAD inhibitors followed by a cocktail of growth factors (Cuddy et al., 2019). After differentiation, the neurons were cultured in neurobasal medium (ThermoFisher, # 21103049) with NeuroCult SM1 Neuronal Supplement (Stem cell technologies, #5711) and 1% glutamine and penicillin/streptomycin. Patient derived *SNCA* triplication iPSC-neurons were used to evaluate the effects of HKL in a human relevant model of synucleinopathy. Neurons were matured for 60 days and subsequently treated with $10\ \mu\text{M}$ HKL or 0.01% DMSO for 72 h. Cells were pelleted, snap frozen, and shipped to Mayo Clinic-Jacksonville for αsyn and *SNCA* mRNA quantitation.

2.5. Western blotting analysis

To prepare whole cell lysates, cells were washed twice with ice-cold PBS and total proteins were isolated by incubating the cells on ice in radio-immunoprecipitation assay (RIPA) lysis buffer (50 mM Tris-HCl, pH 7.4, 150 mM NaCl, 1 mM EDTA, 1 mM EGTA, 1.2% Triton X-100, 0.5% sodium deoxycholate, and 0.1% SDS) containing 1 mM phenylmethylsulfonyl fluoride (PMSF), protease inhibitor cocktail, and phosphatase inhibitor cocktail. Collected cells were sonicated on ice and centrifuged at $10,000 \times g$ for 10 min at 4°C . The protein concentration was determined with Bradford reagent (Thermo Fisher, #23225). 5–10 μg of total proteins were separated on bis-tris polyacrylamide gradient gels (NuPAGE Novex 4-12% Bis-Tris Gel, Life tech, #NW04120BOX) or TGX stain-free gels (BioRad, #4568126) and transferred to PVDF membranes. Membranes were then blocked for 1 h at room temperature (RT) in TBS-T (500 mM NaCl, 20 mM Tris, 0.1% Tween 20, pH 7.4) supplemented with 5% non-fat dried milk. Subsequently membranes were incubated

overnight at 4°C with primary antibodies (see **Supplementary Table 1** for list of antibodies) followed by 1 h at RT with HRP-conjugated secondary antibodies. Proteins were detected using an enhanced chemiluminescent detection system (ECL, EMD Millipore, #WBKLS0500) and the BioRad ChemiDoc MP (#12003153) imaging system. Blots were quantified using ImageJ and Image lab software (BioRad, #17006130) and normalized to the appropriate loading control such as Vinculin (Sigma, #V9131), GAPDH (Abgent, #AP7873a), Actin (Sigma, #A5060), or total protein.

2.6. Cell toxicity, viability, and proliferation

Cell toxicity was assessed using the Toxilight bioassay kit (Lonza, #LT17-217) in both primary cortical neurons and in wt- αsyn cells to determine the viability of cells after treatment with HKL. In both cases, cells were treated with 0.01% DMSO or $10\ \mu\text{M}$ HKL for 72 h. The culture plates were removed from the 37°C incubator and left at room temperature for 5 min. 20 μl of conditioned media was transferred from each well to a 96-well luminescence compatible plate. Fresh adenylate kinase (AK) detection reagent was used for each experiment, in which 100 μl was added to each conditioned media containing well and incubated at room temperature for 5 min. Luminescence was then read for 1 second in a microplate reader (EnVision, PerkinElmer).

Cell proliferation was determined using WST-1 (abcam, #ab155902) assay according to manufacturer instructions. Briefly, WT- αsyn cells were plated in a 96-well plate with 10,000 cells per well in 100 μl of media containing tetracycline. The following day, tetracycline was removed, and the cells were treated with either 0.01% DMSO or $10\ \mu\text{M}$ HKL in 100 μl of media. After 24, 48, and 72 h, 10 μl /well of WST-1 reagent was added to each well and the plate was incubated at 37°C for 4 h. WST-1 absorbance was read at 450 nm on an EnVision microplate reader (EnVision, PerkinElmer). Three biological replicates of the cell proliferation assay were performed each with three technical replicates for each group in each set.

2.7. Degradation assays

Wt- αsyn cells were grown in the absence of tetracycline for 24 h. Then, tetracycline was added to suppress further expression of αsyn , and cells were treated with $10\ \mu\text{M}$ HKL or 0.01% DMSO. Cells were then harvested at 0, 6, 12, 24, 48, and 72 h for western blot and qPCR analysis to evaluate rate of αsyn and *SNCA* mRNA degradation.

2.8. mRNA extraction and qPCR

Total RNA was extracted from cells using TRIzol Reagent (Ambion Life Technology, #15596018) followed by DNase RNA cleanup using RNeasy (Qiagen, #74106). The quantity and quality of RNA samples were determined by the Agilent 2100 Bioanalyzer using an Agilent RNA 6000 Nano Chip.

Complementary DNA (cDNA) synthesized with Applied Biosystems High-Capacity cDNA Archive Kit was used as a template for relative quantitative PCR using ABI TaqMan chemistry (Applied Biosystems, #4368814). mRNA expression was quantified using Hs00240906_m1 (human *SNCA*), Mm01188700_m1 (mouse *Snca*), Mm00497442_m1 (*txnl1*, Thioredoxin-Related Protein 1), and Hs02800695_m1 (*HPRT1*, Hypoxanthine-guanine phosphoribosyltransferase) probes (see **Supplementary Table 2** for complete list of probes). Each sample was run in quadruplet replicates on the QuantStudio 7 Real-Time PCR System (Thermo Fisher) and quantification was done using the $2^{-\Delta\Delta CT}$ method.

2.9. RNAscope/immunocytochemistry (ICC)

RNAscope is a variation of FISH (fluorescent *in situ* hybridization) used to visualize RNA transcripts within cells. Kits and probes were purchased from ACD (Fluorescent multiplex detection reagent kit, #320851 and *SNCA*, #571241) and used according to manufacturer's instructions. Briefly, cells were fixed with 4% PFA, *SNCA* mRNA was amplified, and the secondary fluorescent detection probe (Thermo Fisher, Alexa Fluor 488 #A11000) was added. Cells then underwent ICC to examine α syn protein levels. Cells were permeabilized using 0.1% Triton-X in 1X PBS and incubated at RT. Following additional washes, bovine serum albumin (BSA) was used to block non-specific antigens. Primary antibody, 4B12 (α syn, # 807802, 1:1,000) was diluted in blocking buffer and incubated at RT. Secondary fluorescent antibody Alexa Fluor 568 (Thermo Fisher, # A11004, 1:1,000), were incubated after washes in the dark. Hoechst staining (#H3570, 1:5,000) was completed prior to imaging and quantification on a Perkin Elmer Operetta CLS High Content Imager (Johns Creek, GA).

2.10. Honokiol derivatives

Magnolol (#M0125) and 4-O-Methylhonokiol (#M184770) were ordered from LKT Labs (St. Paul, MN). Derivatives arrived in powdered form and were prepared in the same manner as HKL (i.e., dissolved in 100% DMSO and diluted to 10 μ M in the appropriate culture medium).

2.11. RNA sequencing

The mRNA samples were sequenced by the Mayo Clinic Genome Analysis Core (Rochester, MN) using Illumina HiSeq 4000 (San Diego, CA). Reads were mapped to the mouse genome mm10. The raw gene read counts, along with sequencing quality control, were generated using the Mayo Clinic RNA sequencing (RNA-seq) analytic pipeline: MAP-RSeq version 3.0.1. Conditional quantile normalization (CQN) was performed on raw gene counts to remove biases created by GC content and technical variation, to adjust for gene length and library size differences, and to obtain similar quantile-by-quantile distributions of gene expression

across samples. Based on the bimodal distribution of the CQN-normalized and log₂-transformed reads per kilobase per million (RPKM) gene expression values, genes with average log₂ RPKM ≥ 2 in at least one group were considered to have expression above the detection threshold. Using this selection threshold, 19,005 genes were included in downstream analyses.

2.12. Rotenone treatment

Wt- α syn cells were plated in 6-well plates at 1×10^5 cells/well with tetracycline. The following day, tetracycline was removed and 0.5 μ M rotenone (#R8875, Sigma) was added for 2 h at 37°C. After the 2-h incubation, cells were washed, fresh media was added, and wells were treated with 0.01% DMSO or 10 μ M HKL for 72 h then harvested for protein and mRNA analysis.

2.13. Statistical analysis

All data were assessed using Graph Pad Prism 9 software (San Diego, CA) and analyzed by one-way ANOVA with Dunnett's multiple comparisons test or unpaired Student t-test where appropriate. Proliferation rate of wt- α syn cells was analyzed with repeated measures two-way ANOVA, followed by Dunnett's multiple comparisons test. Statistical analysis of degradation rate of α syn and *SNCA* mRNA was conducted with paired Student t-test. Differences were considered statistically significant when $p < 0.05$. Results are presented as mean \pm standard error of the mean (SEM).

3. Results

3.1. HKL reduces overexpressed α syn *in vitro*

Because HKL has previously been reported to inhibit α syn amyloid fibril formation in a cell-free aggregation assay (Das et al., 2018) we sought to examine the effect of HKL on α syn in cellular synucleinopathy models given the critical role this protein plays in PD pathogenesis. Tetracycline-regulated H4 neuroglioma cells stably overexpressing human wt- α syn were treated with escalating doses of HKL for 72 h, harvested, and α syn protein levels were assessed by Western blot. While doses of HKL in the range 0.625–5 μ M did not alter α syn levels, 10 μ M HKL decreased α syn protein levels by 39% [$F(7,16) = 21.84$, $p < 0.0001$] (Figure 1A). Therefore, we chose to use 10 μ M HKL for the remainder of the experiments. Additional experiments confirmed that 10 μ M HKL consistently reduces α syn levels by up to 70% [$t(14) = 9.18$, $p < 0.0001$] (Figure 1B). Because α syn expression in the H4 stable cell line is under control of a constitutively active tetracycline regulated promoter, in support of a specific effect on α syn expression, we confirmed that HKL has no effect on expression of GFP in a similar tetracycline-regulated GFP-expressing H4 stable cell line (Supplementary Figure 1). Importantly, 10 μ M HKL treatment did not induce toxicity and did not affect cell proliferation in H4 wt- α syn overexpressing cells

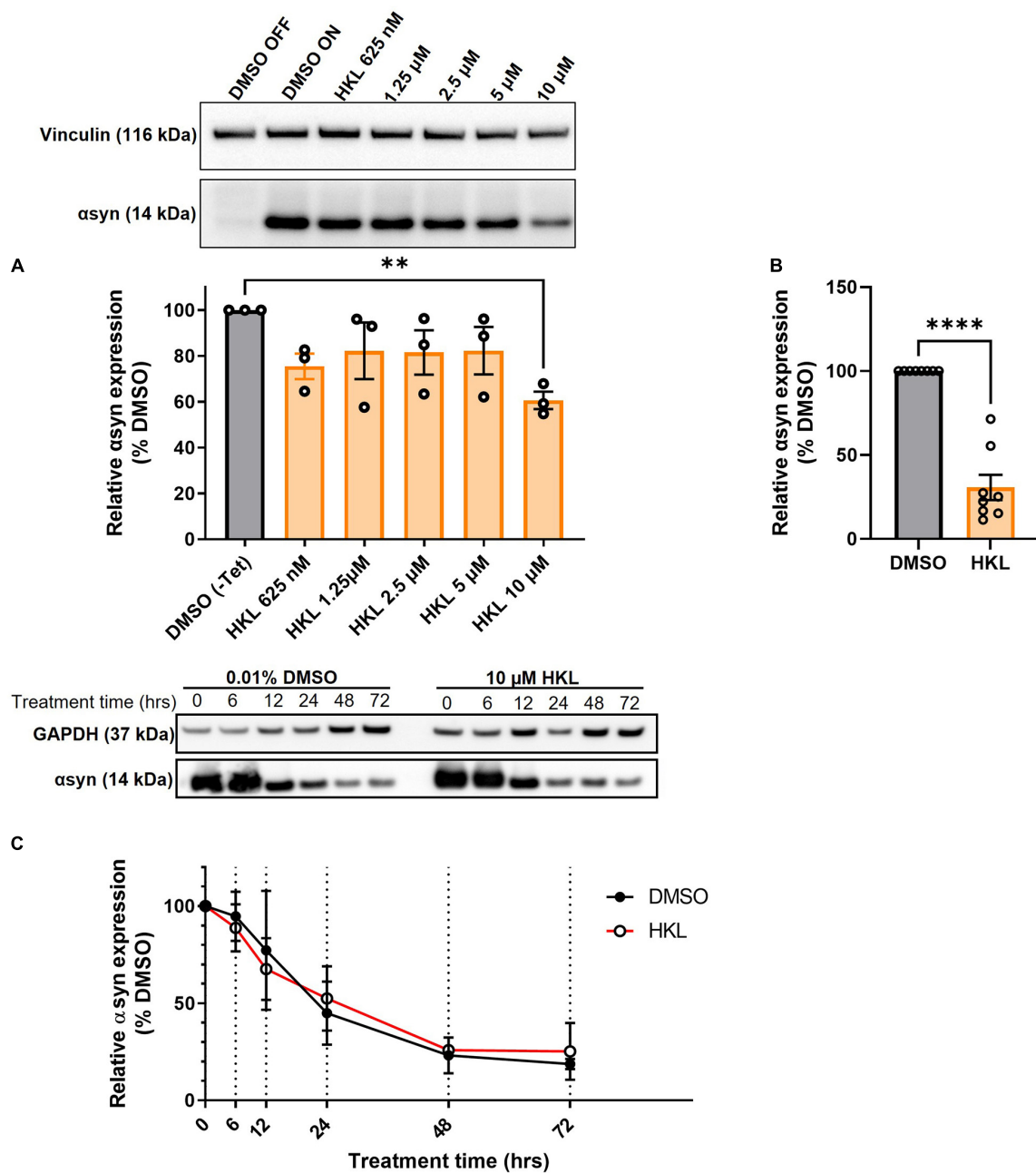


FIGURE 1

HKL reduces α syn levels in H4 cells stably overexpressing wt- α syn. Western blot and quantification of α syn expression following treatment with different doses of HKL ($n = 3$ biological replicates/treatment, One-way ANOVA and Dunnet's *post hoc*) (A). Confirmation of α syn expression reduction induced by 10 μ M HKL ($n = 8$ biological replicates/treatment, unpaired Student's *t*-test) (B). Western blot and quantification of α syn expression levels at 0, 6, 12, 24, 48, and 72 h of 10 μ M HKL treatment ($n = 3$ biological replicates/time point, paired Student's *t*-test) (C). Data are represented as mean \pm SEM. ** $p < 0.01$; **** $p < 0.0001$.

(Supplementary Figures 2A–C). Together, these findings support HKL as an effective and safe compound to modulate α syn levels *in vitro*.

3.2. HKL does not increase α syn degradation

One mechanism by which α syn expression may be decreased by HKL is via an increase in the rate of protein degradation. Next,

we conducted a degradation assay in wt- α syn cells to determine if increased degradation was the primary mechanism by which HKL regulated α syn levels (Figure 1C). Here we took advantage of tetracycline regulation to study the degradation rate of α syn in the presence of HKL or vehicle (DMSO). Cells overexpressing wt- α syn were cultured in the absence of tetracycline to allow α syn expression before being treated with 10 μ M HKL. At time zero, tetracycline was added to the media to inhibit additional gene expression and samples were collected at 0, 6, 12, 24, 48, and 72 h post treatment. Interestingly, HKL did not change the degradation

rate of α syn [$t(5) = 0.068, p = 0.95$] compared to vehicle treated cells.

3.3. HKL reduces SNCA transcription in cells overexpressing wt- α syn

If decreased protein expression in the presence of HKL is not due to an increase in rate of degradation, an alternative explanation could be that regulation is occurring at the level of transcription. To test this, we treated H4 cells overexpressing wt- α syn with HKL for 72 h and assessed SNCA mRNA levels using quantitative PCR. We observed a significant decrease (51%) in SNCA transcripts levels in cells treated with HKL compared to vehicle [$t(14) = 5.35, p < 0.0001$] (Figure 2A). This decrease was confirmed using RNAscope to visualize and quantify SNCA transcripts and multiplexed with ICC to evaluate corresponding α syn protein (Figure 2B). We calculated the total number of nuclei per field ($n = 25$ fields) and normalized the average SNCA mRNA spots and the average α syn protein fluorescence intensity to the average number of nuclei for each treatment. Taken together, qPCR, RNAscope, and ICC confirm that HKL treatment significantly decreases SNCA transcript levels by 73% [$t(6) = 18.85, p < 0.0001$] and α syn expression by 45% [$t(6) = 3.94, p < 0.01$] while not affecting expression of α Tubulin [$t(6) = 2.20, p = 0.07$] (Figures 2C–E).

3.4. HKL does not affect rate of SNCA mRNA degradation

Our data so far indicate that HKL can modulate α syn expression and that the modulation is via a transcription related mechanism. The nature of the H4 overexpressing cells (wt- α syn) excludes the possibility that regulation is at the level of the promoter; thus, we examined whether HKL modulates SNCA levels post-transcriptionally. Here we again took advantage of our tetracycline regulated cell lines to evaluate the rate of mRNA degradation using the same paradigm used previously to examine rate of protein degradation. Interestingly, we determined that HKL does not affect the degradation rate of SNCA mRNA compared to vehicle control (Figure 2F) [$t(5) = 1.38, p = 0.22$].

3.5. HKL reduces α syn in mouse primary cortical and but not in iPSC-derived neurons

Because our stable cell lines expressing wt- α syn are under the control of a constitutively active promoter yet our data support HKL reducing expression by altering levels of transcription, we examined the effect of HKL on endogenous levels of α syn where expression is controlled by the endogenous promoter. To evaluate the effect of HKL on endogenous α syn expression, mouse primary cortical neurons were treated at 7 days-*in vitro* with escalating doses of HKL for 72 h. Interestingly, HKL reduced *Snca* mRNA levels in mouse primary cortical neurons at a dose as low as 6 μ M

(Figure 3A) [$F(5,12) = 8.67, p < 0.01$]. To be consistent, however, we continued to use 10 μ M HKL in subsequent experiments. Consistent with our previous data, 10 μ M HKL treatment resulted in a 44% decrease in α syn protein expression (Figure 3B) [$t(10) = 9.05, p < 0.0001$] and a 25% decrease in *Snca* mRNA levels (Figure 3C), [$t(16) = 7.16, p < 0.0001$] compared to vehicle (DMSO) treatment.

To confirm the effect of HKL on endogenous human SNCA we treated human iPSC-derived neurons harboring the SNCA triplication with 10 μ M HKL for 72 h. We observed a non-significant 30% reduction in α syn protein expression induced by HKL (Figure 3D) [$t(4) = 1.26, p = 0.28$] and a non-significant 33.4% reduction in SNCA mRNA levels (Figure 3E) [$t(4) = 2.43, p = 0.07$].

3.6. Chemical analogues of HKL are not effective in modulating α syn

Magnolol is a structural isomer of HKL also extracted from the bark of magnolia, differing only by the position of one hydroxyl group (Figure 4A), and has been reported to have similar biological effects as HKL (Hoi et al., 2010). Hence, we wanted to determine whether magnolol or 4-*O*-methyl-honokiol (4-*O*-M-HKL), a HKL-like derivative with good blood brain barrier permeability (Lee et al., 2011), exhibit similar effects on α syn regulation. Somewhat surprisingly, we found that magnolol and 4-*O*-M-HKL have no effect on α syn [$F(3, 8) = 7.79, p < 0.01$] and SNCA mRNA levels [$F(3,8) = 5.91, p < 0.05$] (Figures 4B, C). This finding highlights the specificity and robustness of HKL in regulating α syn expression and SNCA modulation.

3.7. HKL differentially regulates gene expression

To further assess specific genetic regulatory targets of HKL we conducted bulk RNA sequencing of mouse primary cortical neurons treated with 10 μ M HKL or vehicle (DMSO) for 72 h. As expected, transcriptomic analysis confirmed *Snca* down-regulation in HKL treated cells and identified numerous differentially expressed gene (DEG) targets between groups (Figure 5). Combining a discovery and replication dataset from 2 mouse litters revealed a total of 293 DEGs. Importantly, among the top 25 DEGs, three major classes of targets were identified, and these encode for proteins involved in myelination (*Bcas1*), synaptic transmission and cellular communication (*Angptl4, Pla2g7*), and cell signaling and transmembrane transport (*Neat1, Gfap*). To further validate these findings, we selected 4 DEGs and validated the effects of HKL on their expression using quantitative RT-PCR. Consistent with our bulk RNA sequencing data *Angptl4* and *Neat1* were significantly upregulated by HKL and *Snca, Cav1*, and *Kcnq3* were all significantly downregulated by HKL (Supplementary Figure 3). Further investigation and pathway analysis will be required to clarify the specific cellular pathways modulated by HKL that may directly or indirectly lead to the reduction of α syn levels. These data support HKL as a potential new tool to identify pathways

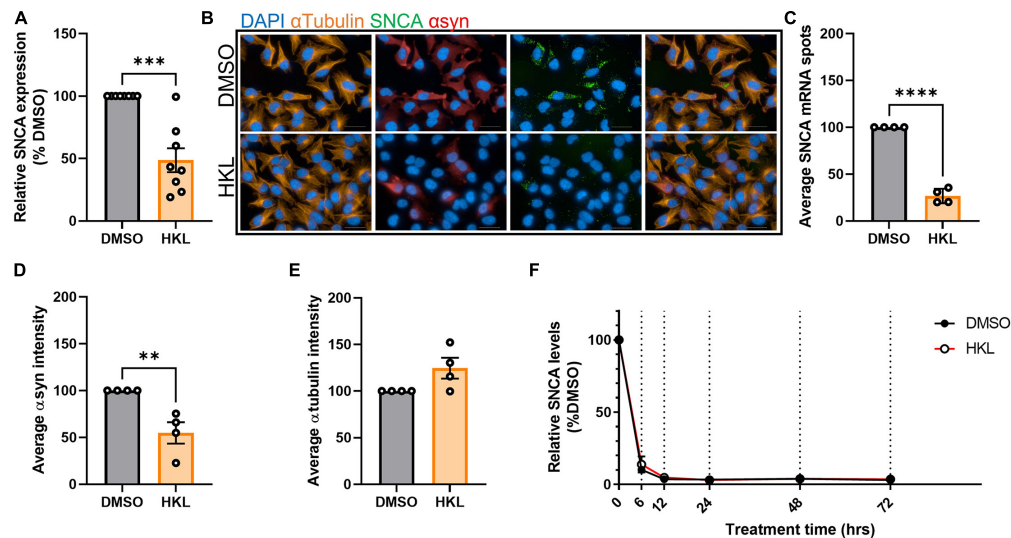


FIGURE 2

HKL reduces expression of α -syn transcripts. qPCR assay to determine *SNCA* mRNA levels after 10 μ M HKL treatment of H4 wt- α -syn cells ($n = 8$ biological replicates/treatment) (A). Representative RNAscope images of H4 wt- α -syn cells stained for α -tubulin, *SNCA* mRNA, and α -syn (B). Four biological replicates with 25 fields/well (2 wells/replicate) were evaluated to determine the effects of HKL and vehicle on *SNCA* mRNA (C), α -syn (D), and α -tubulin (E). Quantification of *SNCA* mRNA levels at 0, 6, 12, 24, 48, and 72 h of 10 μ M HKL treatment in wt- α -syn cells ($n = 3$ biological replicates/time point, paired Student's *t*-test) (F). Data are analyzed with unpaired Student's *t*-test and are represented as mean \pm SEM. ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$. Scale bars = 200 μ m.

contributing to α -syn pathology and identify potential therapeutic targets.

3.8. HKL reduces rotenone-induced α -syn expression

Because HKL reduces *SNCA/Snca* and α -syn levels in multiple cellular models, we next asked if HKL can modulate α -syn levels under pathological conditions. Abundant data supports the fact that exposure to rotenone, a worldwide-used pesticide, is associated with human parkinsonism and *in vitro* treatment with rotenone has been widely used to model synucleinopathy while *in vivo* rotenone treatment can induce a parkinsonian-like phenotype with nigrostriatal degeneration (Sanders and Timothy Greenamyre, 2013; De Miranda et al., 2018). When H4 wt- α -syn cells are treated with rotenone we observe a significant increase in α -syn protein levels [$F(2,18) = 21.08, p < 0.0001$] and *SNCA* mRNA expression [$F(2,6) = 21.57, p < 0.01$] (Figures 6A, C). Subsequent treatment with 10 μ M HKL after rotenone exposure reduced both α -syn protein and *SNCA* expression levels indicating that HKL can prevent a rotenone-induced cellular response [α -syn: $t(12) = 4.02, p < 0.01$; *SNCA*: $t(4) = 12.90, p < 0.001$] (Figures 6B, D).

4. Discussion

PD is neuropathologically characterized by intracellular inclusions of aggregated α -syn. Multiplications of the *SNCA* gene locus increases the risk of PD, making α -syn attenuation an important target for drug discovery (Singleton et al., 2003; Olanow and Kordower, 2017). Current therapeutics for PD include

medications to promote dopamine production, such as Levodopa, and surgical interventions such as deep brain stimulation (DBS), for advanced stage patients (Groiss et al., 2009; Salat and Tolosa, 2013). These treatments are useful in reducing the symptoms of the disease but are not effective to slow the progression of the disease.

In the present study, we demonstrate that HKL, a natural, brain permeable small molecule, can successfully reduce α -syn expression in multiple *in vitro* models of PD, which may present a way to slow disease progression. In the human H4 neuroglioma cell line stably overexpressing wt- α -syn under tetracycline regulation, we provide evidence that 10 μ M HKL treatment is non-toxic and able to efficiently reduce α -syn and *SNCA* mRNA levels (Figures 1A, B, 2A–D and Supplementary Figures 2A–C). Similar effects are observed in mouse primary cortical neurons (Figures 3A–C) and in patient derived *SNCA* iPSC-derived neurons carrying the PD-associated *SNCA* triplication (Figures 3D, E), although we note that α -syn protein and mRNA level decreases did not quite reach statistical significance in the latter. Our data suggest that the effect of HKL is not mediated by increased rates of protein or mRNA degradation (Figures 1C, 2F). Additionally, we found that the effects on α -syn and *SNCA* mRNA expression are specific to HKL, as they were not reproduced with structurally-related HKL analogues, including Magnolol, an isomer of HKL (Figure 4). Further, we evaluated the effect of HKL in a pathological environment of α -syn overexpression and showed that HKL reverses rotenone-induced overexpression of α -syn and *SNCA* mRNA levels (Figure 6). Finally, we took steps towards identifying a mechanism by which HKL may produce these effects by highlighting genes that are differentially regulated in response to HKL treatment (Figure 5 and Supplementary Figure 3).

Previous research has shown that HKL also prevents formation of α -syn aggregates, possibly by stabilizing α -syn native conformation

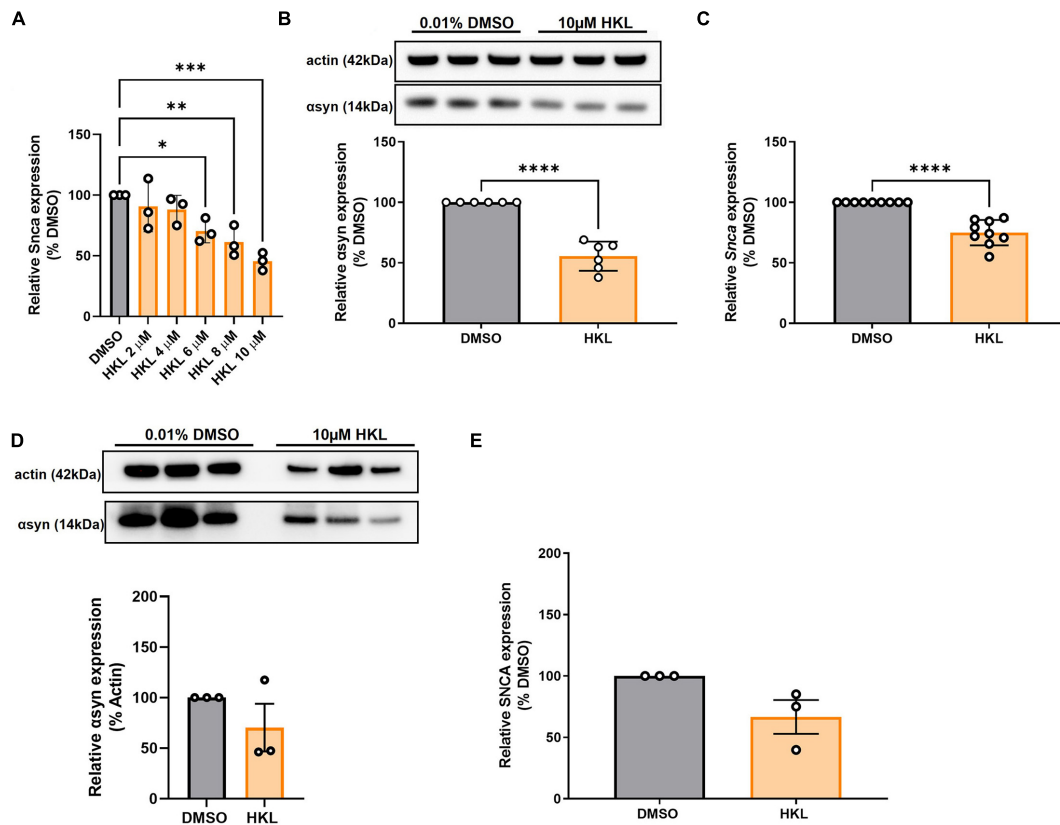


FIGURE 3

HKL reduces *Snca* mRNA and α syn levels in mouse primary cortical neurons but not in human-derived iPSC harboring *SNCA* triplication. Verification of effective dose of HKL on *Snca* levels in mouse primary cortical neurons ($n = 3$ biological replicates/treatment, One-way ANOVA and Dunnet's *post hoc*) (A). Confirmation of α syn expression reduction ($n = 3$ biological replicates/treatment) (B) and *Snca* expression reduction ($n = 4$ biological replicates/treatment) induced by 10 μ M HKL (C). Western blot and quantification of α syn expression following 10 μ M HKL treatment on iPSCs ($n = 3$ biological replicates/treatment) (D). Levels of *SNCA* mRNA following 10 μ M HKL treatment ($n = 3$ biological replicates/treatment) (E). Data are analyzed with unpaired Student's t-test and represented as mean \pm SEM. * $p < 0.05$; ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$.

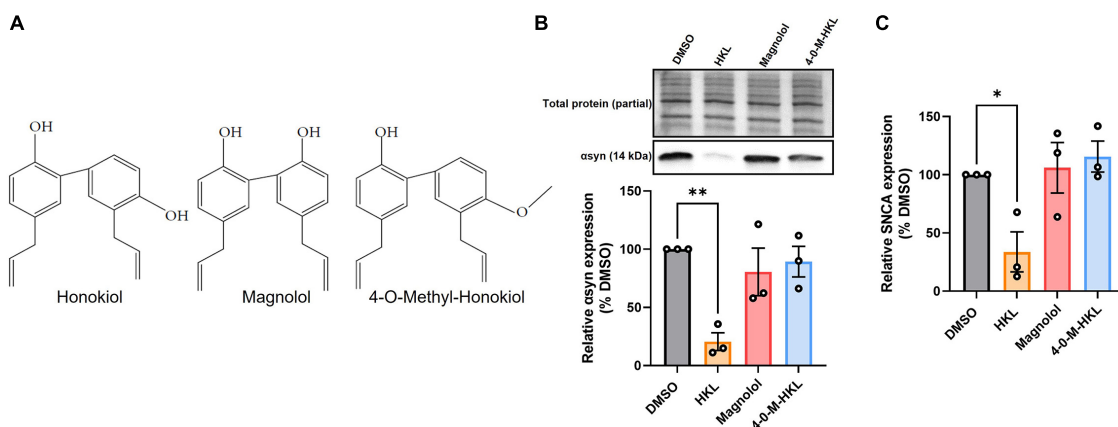
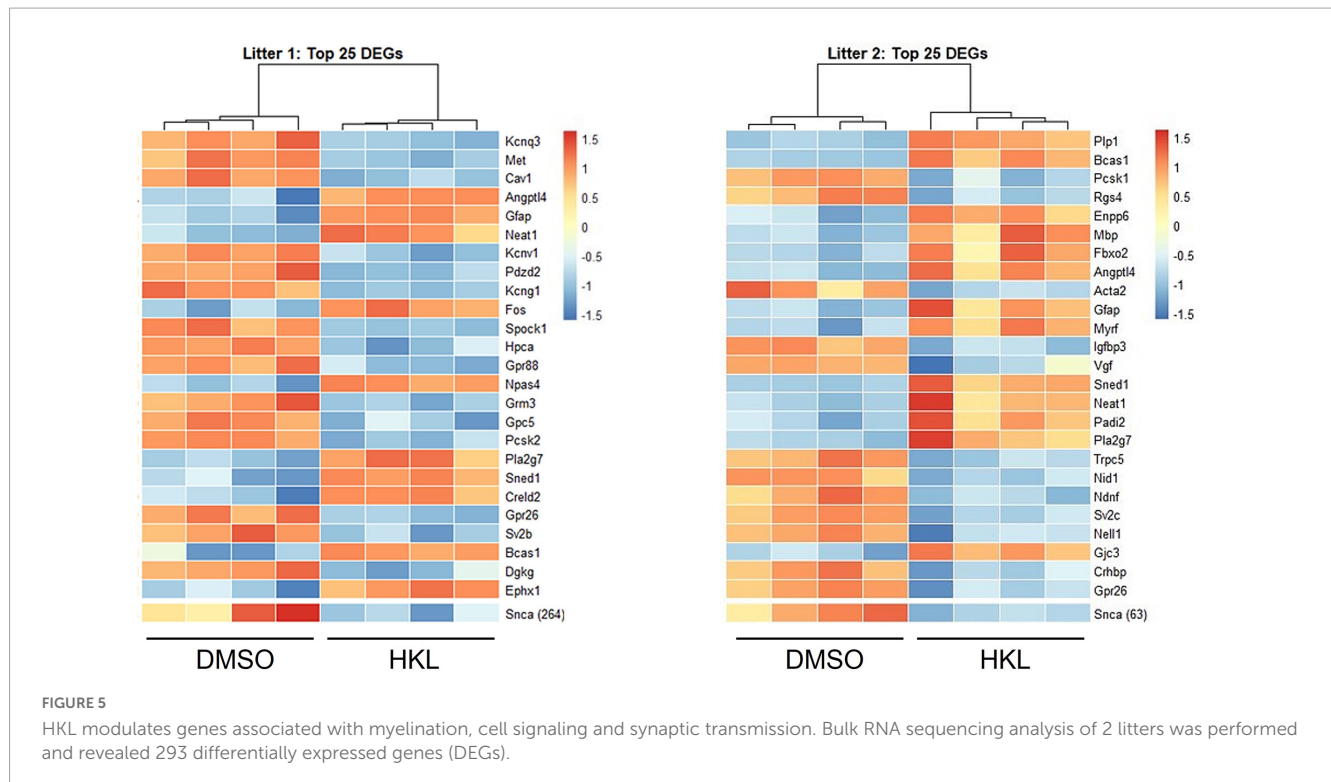


FIGURE 4

HKL analogues do not modulate expression of α syn and *SNCA* mRNA in H4 wt- α syn cells. Structures of HKL, Magnolol, and 4-O-M-HKL (A) Western blot and quantification of α syn expression following 72 h treatment with 10 μ M HKL, 10 μ M Magnolol, and 10 μ M 4-O-Methyl-HKL (B). Levels of *SNCA* mRNA following treatment with HKL and analogues (C). Data are analyzed with one-way ANOVA followed by Dunnet's *post hoc* test and are represented as mean \pm SEM ($n = 3$ biological replicates/treatment). * $p < 0.05$, ** $p < 0.01$.

(Das et al., 2018). Additionally, mice with a unilateral 6-OHDA striatal lesion that undergo sub-chronic treatment with HKL demonstrate improvements in motor function, attenuation

of nigrostriatal dopaminergic neuronal loss, and reduction in oxidative stress (Chen et al., 2018b). We used H4 cells stably overexpressing α syn as an *in vitro* synucleinopathy model



(Delenclos et al., 2019) to triage any effects of HKL on α syn and SNCA mRNA levels. In line with previous results, we observed significant and consistent reduction in α syn levels (Figures 1B, 2D, 4B, 6A, B) and demonstrated, for the first time, that HKL reduces SNCA gene expression (Figures 2A–C, 4C, 6C, D). Notably, in contrast to previous reports of cell cycle arrest and apoptosis induced by HKL in H4 cells after 48-h treatment (Guo et al., 2015), we did not observe significant toxicity or changes in proliferation rate associated with HKL treatment (Supplementary Figures 2A–C).

Importantly, our primary cortical neurons physiologically expressing α syn under the mouse *Snca* promoter provide a more physiologically relevant neuronal environment and allow us to recapitulate the effects of HKL in reducing α syn protein and mRNA levels (Figures 3A–C). Finally, using iPSC-derived neurons from patients harboring the SNCA gene triplication, we tested the effects of HKL on a physiologically relevant PD model. Our results indicate a non-significant decrease in SNCA mRNA and protein levels (Figures 3D, E), most likely because of the small number of biological replicates included in the current study. Additional studies will need to be conducted to clarify the different transcriptional and post-transcriptional effects on the *Snca* and SNCA genes. Nonetheless, it is important to note that HKL promotes an overall reduction in α syn and mRNA levels in different cell models, but the effect size may differ among the models. Therefore, caution is necessary when investigating the mechanisms of *in vitro* α syn modulation induced by HKL.

HKL, magnolol, and 4-O-M-HKL are polyphenols with known antioxidant, anti-inflammatory, and anti-tumor effects (Liu et al., 2008; Lee et al., 2009; Shen et al., 2010). Recent evidence even proposes these compounds to have neuroprotective potential

(Kumar and Khanum, 2012). Although these compounds are structurally similar and share mechanisms to exert their effects (Woodbury et al., 2013), magnolol and 4-O-M-HKL did not modulate levels of α syn and SNCA mRNA in our experiments (Figure 4). This finding suggests that HKL has a unique mechanism of action to regulate α syn.

It has been suggested that HKL modulates the amyloidogenic pathway by activating Sirtuin-3 (SIRT3) exerting antioxidant activity and improving mitochondrial function (Ramesh et al., 2018). The mechanism of HKL in regulating α syn aggregation has not been clearly elucidated, but recent evidence suggests HKL inhibits fibril formation by directly interacting with lysine-rich region of the N-terminus of the A53T α syn (Das et al., 2018; Jovcevski et al., 2020). Here, we demonstrate that regulation of α syn and mRNA levels by HKL do not result from increased rates of transcript and protein degradation (Figures 1C, 2F), and are probably not a direct regulation of transcription, indicating that HKL could be acting to post-transcriptionally modulate SNCA and *Snca* genes, thus expression of α syn would be reduced.

Our RNAseq results are in line with this hypothesis by demonstrating that the SNCA gene was downregulated in cultures from two mouse litters subjected to sequencing (Figure 5). Furthermore, we identified other genes that were differentially expressed after HKL treatment. Of interest, *Angptl4* is an up-regulated gene that encodes angiopoietin-like 4, a secreted protein that modulates triacylglycerol homeostasis (Koliwad et al., 2012). Indeed, HKL is a partial agonist of peroxisome proliferator-activated receptor-gamma (PPAR γ) and was shown to have its neuroprotective effects inhibited by PPAR γ antagonists in a hemiparkinsonian mouse model (Chen et al., 2018b). Considering that PPAR γ signaling may influence expression and activity of several genes associated with redox balance, fatty acid oxidation, immune

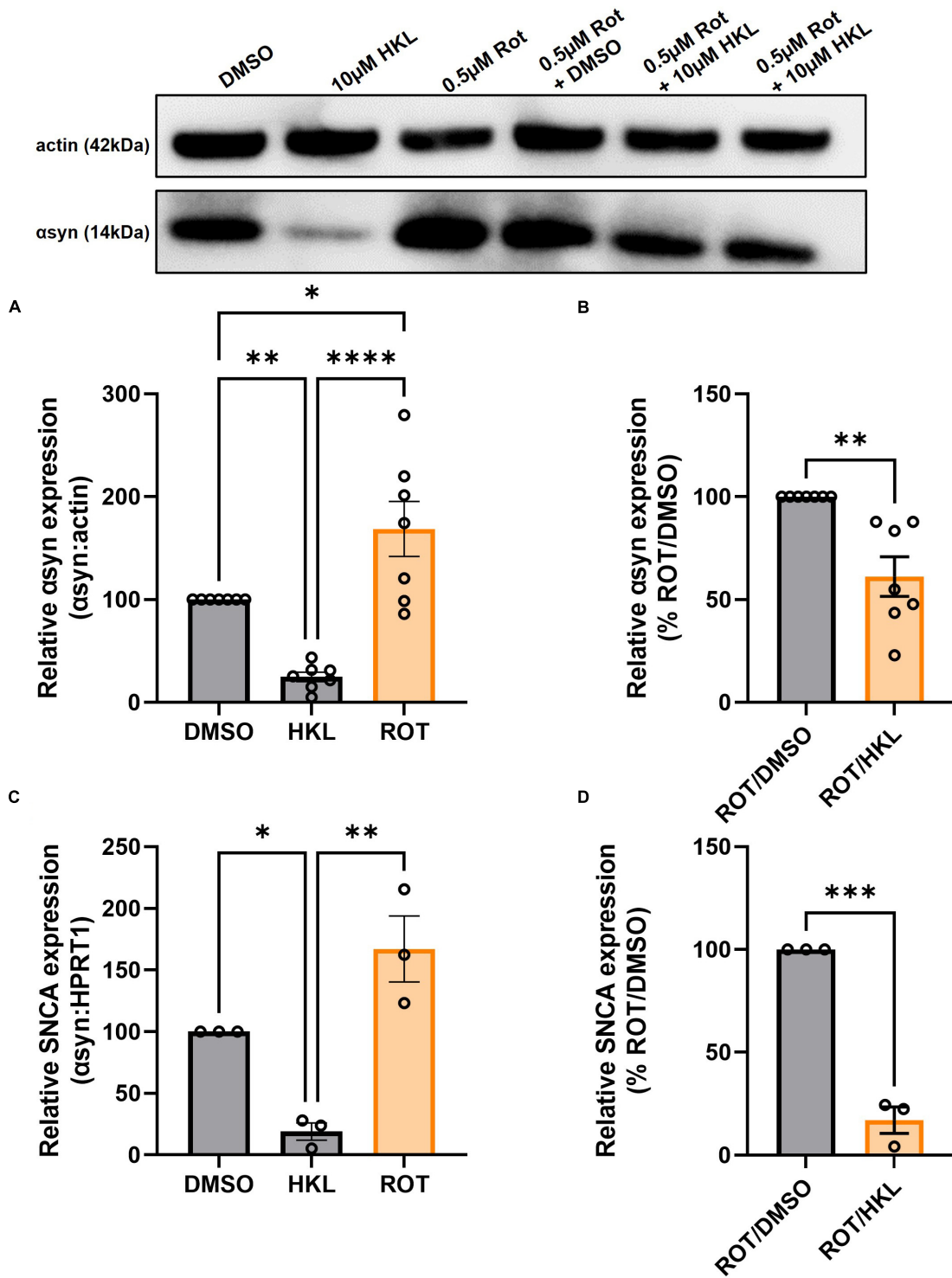


FIGURE 6

HKL reduces alpha-syn levels in H4 wt-alpha-syn cells treated with rotenone. Western blot and quantification of alpha-syn expression following treatment with 10 µM HKL and 0.5 µM rotenone (n = 7 biological replicates/treatment, One-way ANOVA and Dunnet's post hoc) (A). Western blot and quantification of rotenone-induced alpha-syn expression after HKL and DMSO treatment (n = 7 biological replicates/treatment, Student's t-test) (B). qPCR assay to determine SNCA mRNA levels after 10 µM HKL and 0.5 µM rotenone treatment (n = 3 biological replicates/treatment, One-way ANOVA and Dunnet's post hoc) (C). qPCR analysis of rotenone-induced SNCA mRNA levels after HKL and DMSO treatment (n = 3 biological replicates/treatment, Student's t-test) (D). Data are represented as mean ± SEM. *p < 0.05; **p < 0.01; ***p < 0.001; ****p < 0.0001.

response, and mitochondrial function (Corona et al., 2014), it is possible that HKL indirectly modulates alpha-syn expression via PPARγ activation. Further studies will be required to confirm

or refute this hypothesis. Another potential pathway for alpha-syn modulation induced by HKL is via the long-non-coding RNA *Neat1*, an essential structural component of nuclear paraspeckles

that has been found increased in the brains and leukocytes of PD patients (Boros et al., 2020). It is possible that *Neat1* suppresses the expression of hyper edited *Snca* transcripts through nuclear retention, and/or inhibition of nuclear-cytoplasm transport (Prasanth et al., 2005; Chen and Carmichael, 2009). Of note, it has been reported that HKL is a modulator of sirtuin3 and other AMPK α -CREB signaling pathways (Ramesh et al., 2018). However, we have not observed significant changes related to Sirtuin3 and AMPK α -CREB signaling-associated genes in our cellular models following HKL treatment (unpublished data).

In summary, we demonstrate that the natural small molecule HKL can modulate levels of α syn protein and transcript in multiple cell models of synucleinopathies. We also provide initial evidence of mechanisms by which HKL regulates α syn, which suggests both direct gene regulation and indirect metabolism regulation. Additional studies will need to validate these findings in *in vivo* PD models and to clarify pathways through which HKL reduces α syn.

Data availability statement

Original datasets are available in a publicly accessible repository: <https://www.synapse.org/#!Synapse:syn51376668>.

Author contributions

MD and PJM contributed to the conception and design of the study. SJF, JDB, MJL, DA, ZBK, AHF, IS, DJQ, PP, and NND conducted the experiments, collected, and analyzed the data. SJF wrote the first draft of the manuscript. SLB contributed to data analysis and the first draft of the manuscript. SJF, SLB, PJM, JDB, and NND contributed to the manuscript revision. All authors contributed to the manuscript revision, read, and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fnagi.2023.1179086/full#supplementary-material>

SUPPLEMENTARY FIGURE 1

HKL does not affect GFP expression in H4 cells stably overexpressing wt- α syn. Western blot and quantification of GFP expression following treatment with 10 μ M HKL [$n = 3$ biological replicates/treatment, $t(4) = 0.58$, $p = 0.59$] (A). Effects of HKL treatment in eGFP mRNA levels [$n = 3$ biological replicates/treatment, $t(4) = 1.25$, $p = 0.28$] (B). Data are analyzed with unpaired Student's t-test and are represented as mean \pm SEM.

SUPPLEMENTARY FIGURE 2

HKL does not induce toxicity nor affect cell proliferation. The Toxilight assay was performed in H4 wt- α syn cells after 72 h treatment with 10 μ M HKL [$n = 5$ biological replicates, $t(8) = 0.10$, $p = 0.35$] (A). Proliferation WST-1 assay in H4 wt- α syn cells assessed over 72 h of HKL treatment ($n = 3$ biological replicates, repeated measures two-way ANOVA, time $F(1.13, 9.03)$ $p < 0.05$, treatment $F(3, 8) = 0.35$, time \times treatment $F(6, 16) = 0.17$ $p = 0.98$) (B). Toxicity of 72 h treatment with 10 μ M HKL on mouse primary cortical neuron was evaluated in the Toxilight assay [$n = 3$ biological replicates, $t(4) = 0.06$, $p = 0.96$] (C). Data are analyzed with unpaired Student's t-test and are represented as mean \pm SEM.

SUPPLEMENTARY FIGURE 3

Validation of DEGs in mouse primary cortical neurons. Of the top 25 differentially expressed genes (DEGs) identified with bulk RNA sequencing in mouse primary cortical neurons, the following were validated to confirm the effect of HKL in these cells. Data were analyzed with Student's t-test and are represented as mean \pm S.E.M. ****Cav1 - $t(4) = 21.12$, $p < 0.0001$, **Kcnq3 - $t(6) = 4.21$, $p = 0.0056$, ****Angpt4 - $t(8) = 8.82$, $p < 0.001$, *Neat1, $t(8) = 2.95$, $p = 0.0184$, ***Snca $t(8) = 5.79$, $p = 0.0004$.

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