# Entao Shi, Yongmei Wang\*, Nan Jia, Jinghua Mao, Guanda Lu and Shaolin Liang Absorbing Aerosol Sensor on Gao-Fen 5B satellite

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Abstract: The Absorbing Aerosol Sensor (AAS) will be launched aboard the GaoFen-5B satellite in China. The main purpose of AAS is to monitor absorbing aerosols by measuring the solar backscatter radiation. AAS is an ultraviolet-visible imaging spectrometer that uses a single charge coupled device to capture both the spectrum and the cross-track direction with a 114° wide swath. The large field of view enables daily global coverage with 4-km spatial resolution. The spectral range of the instrument extends from 340 to 550 nm with spectral resolution (full width at half maximum) of 2 nm. This paper provides details of the instrument design, including system design, optical design, and mechanical design, as well as detector and calibration unit on orbit. The numerous simulations show that all design results satisfy the specification and vibration requirements of the instrument.

**Keywords:** absorbing aerosol; absorbing aerosol index; astigmatic telescope; imaging spectrometer; solar backscatter radiation.

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**1** Introduction

Aerosol is an important but complicated factor in climate and atmospheric chemistry. Absorbing aerosol is a kind of aerosol, such as dust, biomass burning, and volcano ash. The absorbing aerosol index (AAI) indicates the presence of elevated levels of absorbing aerosols in the troposphere. It separates the spectral contrast at two ultraviolet (UV) wavelengths caused by absorbing aerosols from that of other effects, including molecular Rayleigh scattering, surface reflection, gaseous absorption, and aerosol and cloud scattering [1]. Because the surface albedos of land and ocean are smaller in the UV region than in the visible and near-infrared regions, this UV radiance should be suitable for aerosol detection over land.

A series of instruments, including TMOS (Total Map Ozone Suit) on NIMBUS-7 [2], GOME (Global Ozone Monitoring Instrument) on ERS-2, SCIAMACHY (Scanning Imaging Absorption Spectrometer for Atmospheric CartograpHY) on ENVISAT [3], OMI (Ozone Monitoring Instrument) on the EOS-AURA satellite [4], OMPS (Ozone Mapping Profiler Suite) on NPP/NPOESS [5], and TROPOMI on Sentinel-5P [6], have been used for ozone observation and aerosol retrieval products, which include the total of ozone and other trace gases, AAI, UV-aerosol optical depth, etc. [7, 8]. Moreover, TOU (Total Ozone Unit) on FY-3 [9] in China has also a total of ozone and AAI product with lower resolution. These products have been used for the global observation of ozone, heavy dust, biomass burning, volcanic eruption events, etc.

AAS is a UV-visible spectrograph that combines high spatial resolution and lower spectral resolution together with daily global coverage. The small ground pixel projection and high temporal resolution will contribute to the analysis of the source of air pollution. AAS is intended to serve as the first instrument for absorbing aerosol monitoring by measuring a continuous spectrum in the range of 340–550 nm in China. In this paper, we discuss the overall design of AAS.

## 2 System description

AAS is a wide field-of-view (FOV), pushbroom imaging spectrograph using a two-dimensional detector to achieve

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Table 1: Specifications of AAS.

| Index                           | Characteristics                |  |
|---------------------------------|--------------------------------|--|
| Spectral range (nm)             | 340–550 nm                     |  |
| Spectral resolution (nm)        | ~2 nm                          |  |
| Field of view                   | ±57°                           |  |
| Spatial resolution              | 4 km×4 km (at nadir)           |  |
| SNR                             | >1000 (10.89 µW/cm² · sr · nm) |  |
| Wavelength calibration accuracy | 0.1 nm                         |  |
| Dynamic range                   | 10 <sup>3</sup>                |  |
| Stray light                     | 10 <sup>-3</sup>               |  |

spectral and spatial imaging. One direction on the charge coupled device (CCD) corresponds to the large FOV across track to the flight direction; on another direction on the CCD, the spectrum is registered. Table 1 summarizes a number of important specifications of AAS.

AAS includes two major subassemblies: one is the optical bench, which is the heart of the instrument, and the other one is the electrical control unit. The optical bench consists of the telescope, spectrometer, detector module, and calibration unit. A polarization scrambler is placed in the optical path of the telescope to make the measurement insensitive to the polarization status of the incoming radiance.

Figure 1 shows the block diagram of the working principle of AAS. Atmospheric backscattered radiation

entering the instrument is reflected by the primary mirror of the super-wide-angle telescope system, and is depolarized by the polarization scrambler, and then the incoming radiation is concentrated on the slit of the spectrometer by the second mirror of the telescope system. The light is collimated, dispersed, and then imaged onto the detector. The spectral and spatial distributions of the instantaneous FOV (IFOV) are detected by a two-dimensional CCD. Global coverage monitoring of aerosol is achieved by the IFOV detector and satellite motion.

To satisfy long-term stability operation over an 8-year lifetime, calibration on orbit, including irradiance and wavelength calibration, plays an important role. Two diffusers are used to measure solar irradiance. Wavelength calibration is performed by detection of the solar Fraunhofer lines during the solar calibration. Moreover, a preset white-light source (WLS) is used to monitor detector performance in-flight.

In order to ensure the stability of the instrument, a cooling system is needed. In this instrument, a thermal radiator is mounted to create a stable environment for detectors and optical bench. The operation temperature of the optical bench is  $293 \pm 2$  K, and the detector will operate at <278 K.

The optical system, detector, calibration unit, and mechanism are described in detail in the following sections.



Figure 1: Functional schematic of AAS.

## **3** Descriptions of AAS

### 3.1 Telescope

The telescope of AAS images the back-scattering light of the atmosphere onto the spectrometer's entrance slit. The telescope has a very large FOV on cross-orbit direction or swath and a small FOV along the tracking direction in order to meet the requirements of global coverage and high spatial resolution. Some of the key parameters of the telescope are given in Table 2.

The AAS telescope is an anamorphic, astigmatic, reflective, two-concave-mirror, along tracking telecentric [10–12], cross-direction f-theta telescope. Anamorphic means the telescope has different powers at swath direction and along tracking direction, whereas astigmatic means the telescope has different back focal lengths at two directions. The two concave mirrors are referred to as primary mirror and secondary mirror, in the order of light from the atmosphere backscattered to the telescope. The two mirrors of the telescope have freeform surface compared with a spherical surface to meet the stringent spatial requirement; cross-direction f- $\theta$  means the image height is linear to the field angle at swath direction. The entrance pupil is imaged to infinity, which is equivalent to image telecentricity. The surface sag of the freeform mirrors [13] is illustrated in the following equation (Zemax user's manual):

$$Z = \frac{cr^2}{1 + \sqrt{1 - (1 + k)c^2r^2}} + \sum_{i=1}^N A_i E_i(x, y)$$
(1)

The anamorphic telescope has two different F-numbers in the two perpendicular directions; this difference has been achieved by using a rectangle stop. The telescope further has different powers in the along tracking and swath directions, which are achieved using the different radii on freeform mirrors in two different directions. The optical system of the telescope is shown in Figure 2.

Table 2: Characteristics of the telescope.

| Item                    | Unit | Value                     |  |
|-------------------------|------|---------------------------|--|
| Focal length (spectral) | mm   | 68                        |  |
| Focal length (spatial)  | mm   | 34                        |  |
| Spectral range          | nm   | 340-550                   |  |
| FOV                     | deg  | $114 \times 0.18^{\circ}$ |  |
| F/# (spectral)          | -    | F/9                       |  |
| F/# (spatial)           | -    | F/8                       |  |
| Telecentric             | -    | Yes                       |  |
| f-θ                     | -    | Yes                       |  |
| Total length mm         |      | ≤230                      |  |





Figure 2: Telescope layout.



Figure 3: Ground projection of FOV.

The off-axis two freeform mirrors are adopted in the telescope system, and the tilt angle of each mirror and the optical axis is 6.5° to avoid obscuration. This off-axis structure of the mirror inevitably leads to off-axis distortion, which causes image bending. Deviations of FOV along the spectral direction ensure that the telescope image is straight. Thus, that a slit homogenizer could be used to mitigate the instrument spectral response function error. After optimization, the maximum FOV deviation is 6.8° at the edge of FOV. Due to the curvature of FOV, the shape of the FOV footprint projected on the ground in different FOVs changes from the rectangular shape of the conventional spectrometer to a diamond shape, as shown in Figure 3.



Figure 4: Schematic of slit.

## 3.2 Slit homogenizer

A slit homogenizer is used to mitigate the error of the exact shape of the lines in the measured spectrum [14]. The telescope is an astigmatism. At swath, the telescope and spectrometer are coupled to keep the spatial image resolution, while at the along tracking (ALT) direction, the telescope is focused at the slit homogenizer entrance. The scheme of the slit homogenizer is shown in Figure 4; the slit width is 0.24 mm, the length is 70 mm, and the slit thickness is 9.6 mm.

### 3.3 The spectrometer

The spectrometer includes a collimator system, a diffraction grating, and an imaging optical system. Figure 5 indicates the spectrometer optics for AAS from the telescope to image plane as modeled in Zemax. The view shown is the three-dimensional layout, and the rays are colored by wavelength. The collimator system adopts a refractivereflective system [15]. After two stages of collimating, the



Figure 5: Optical schematic of AAS.

parallelism of the outgoing beam is <5'. Then, the collimated beam is dispersed by a plane diffraction grating. Finally, the spectrum is imaged onto the detector by a camera lens. The collimated beam incidents to diffraction grating and dispersed to the imager, consisting two fused silica lenses and five CaF2 lenses, which focus different wavelengths of light to the detector. The parameters of the spectrum are listed in Table 3.

### 3.4 Detector

The AAS spectrometer will achieve a Signal-to-Noise Ratio (SNR) of >1000:1 in all spectral bands. A CCD from Teledyne E2V (UK) is used as detector. This CCD (55–30) device is split into an imaging section and a storage section, and each section has 1252(H)×576(V) pixels with pixel size of 22.5  $\mu$ m<sup>2</sup>. The device utilizes the advanced inverted-mode operation to lower dark current and has high quantum efficiency at UV wavelength (UV enhanced). The device will be operated in a frame transfer mode. CCD preamplifier electronics are mounted closely to the associated focal plane assembly module (ASM) in optical assembly for minimizing the signal disturbance.

The optical bench is kept at room temperature  $(293\pm2 \text{ K})$ . However, the CCD module needs to be cooled in order to suppress the detector dark current. The CCD detector is operated at  $278\pm1$  K. The temperature stability for both the optical module and the detector is at a high level in order to maintain the required radiometric accuracy.

### 3.5 Calibration unit

For ensuring the long-term stability requirement of 2% change over 8 years on orbit, the calibration unit is an important module. The calibration wheel includes solar irradiance measurement and internal calibration, which is driven by motor. For solar irradiance calibration, the calibration stability is maintained by periodic solar irradiance observation using two scatter diffusers. Calibration

| Parameter                  | Description      | Unit | Value 1          | Value 2 |
|----------------------------|------------------|------|------------------|---------|
| Wavelength range           | Shortest/longest | nm   | 340 nm           | 550 nm  |
| Spectral resolution        | _                | nm   | 2 nm             |         |
| Grating angle of incidence | _                | deg  | 43.15°           |         |
| Grating diffraction order  | _                | -    | 1                |         |
| Grating line density       | _                | g/mm | 300 g/mm         |         |
| Oversampling×pixel size    | Spectral/spatial | μm   | [4×2]:22.5 μm    |         |
| F/#                        | Spectral/spatial | -    | F/9              | F/8     |
| Slit                       | Width/length     | mm   | 0.24             | 70      |
| Collimator focal length    | Spectral/spatial | mm   | 376.5 mm         |         |
| mager focal length         | _                | mm   | 86.28 mm         |         |
| lmage height               | Spectral/spatial | mm   | 10.19 mm/16.1 mm |         |

Table 3: Spectrum parameters.

of the working diffuser is performed weekly and that of the reference diffuser once every 3 months, so as to minimize the influence of contamination. The light path for solar irradiance measurements is the same as the light path for Earth radiance measurements. In order to monitor the wavelength shift on orbit, wavelength calibration is performed during the solar calibration and atmospheric radiation measurement by using the spectral structure of the solar Fraunhofer lines [16].

Internal calibration is performed using WLS to monitor the non-uniformity response (pixel-to-pixel

response non-uniformity) and the bad pixels of the detector. The WLS is a quartz foam halogen lamp (5 W and 12 V) that has good stability and repeatability. In the optical bench, the WLS illuminates the diffuser and is scattered to the telescope from the entrance pupil.

### 3.6 Mechanical design

The mechanical design of AAS adopted the modular construction concept. The mechanical module of AAS optical



Figure 6: Mechanics of AAS.

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subassembly includes M1 ASM, M2 ASM, optical bench, spectrometer module, focal plane ASM, and electronic control unit. This construction could ensure meeting the high-precision requirements of optical system tolerance, and easy mounting and alignment. The spectrometer module was insulated by titanic and polyimide spacer for the thermal stability requirement. The mechanics of AAS is shown in Figure 6.

A large number of numerical simulations have been performed to verify and support the mechanical design. This comprises finite element model, thermal analysis, and stiffness and strength calculations. In particular, a large effort was made to reduce the stresses exerted on the elements of the spectrometer optics. As a result, the spectrometer measurement would be affected in an unpredictable manner and after the calibration of the instrument. The simulation showed that the mechanical design can survive the launch vibrations and can also work stably in the space environment.

## **4** Conclusion

The AAS instrument will be launched aboard the Gao-Fen 5B satellite in 2020. AAS is a no-scanning nadir wide FOV imaging spectrometer that uses a two-dimensional detector to combine high spatial resolution and lower spectral resolution together with daily global coverage. All design results satisfy the specification requirements of AAS. The module level test results thus far look very promising. However, the integration, performance test, and high-accuracy calibration for AAS will face many technical challenges.

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