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Prevalence of extended-spectrum β -lactamase producing Enterobacterales in Africa's water-plant-food interface: A meta-analysis (2010–2022)

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Background: Multidrug-resistant extended-spectrum β -lactamase (ESBL)-producing Enterobacterales is regarded as a critical health issue, yet, surveillance in the water-plant-food interface remains low, especially in Africa.

Objectives: The objective of the study was to elucidate the distribution and prevalence of antimicrobial resistance in clinically significant members of the Enterobacterales order isolated from the water-plant-food interface in Africa.

Methods: A literature search was conducted using six online databases according to the PRISMA guidelines. All available published studies involving phenotypic and genotypic characterization of ESBL-producing Enterobacterales from water, fresh produce or soil in Africa were considered eligible. Identification and characterization methods used as well as a network analysis according to the isolation source and publication year were summarized. Analysis of *Escherichia coli*, *Salmonella* spp. and *Klebsiella pneumoniae* included the calculation of the multiple antibiotic resistance (MAR) index according to isolation sources and statistical analysis was performed using RStudio.

Results: Overall, 51 studies were included for further investigation. Twelve African countries were represented, with environmental AMR surveillance studies predominantly conducted in South Africa. In 76.47% of the studies, occurrence of antimicrobial resistant bacteria was investigated in irrigation water samples, while 50.98% of the studies included fresh produce samples. Analysis of bacterial phenotypic antimicrobial resistance profiles were reported in 94.12% of the studies, with the disk diffusion method predominantly used. When investigating the MAR indexes of the characterized *Escherichia coli*, *Klebsiella pneumoniae* and *Salmonella* spp., from different sources (water, fresh produce or soil), no significant differences were seen across the countries. The only genetic determinant identified using PCR detection in all the studies was the *bla*_{CTX-M} resistance gene. Only four studies used whole genome sequence analysis for molecular isolate characterization.

Discussion: Globally, AMR surveillance programmes recognize ESBL- and carbapenemase-producing Enterobacterales as vectors of great importance in AMR gene dissemination. However, in low- and middle-income countries,

such as those in Africa, challenges to implementing effective and sustainable AMR surveillance programmes remain. This review emphasizes the need for improved surveillance, standardized methods and documentation of resistance gene dissemination across the farm-to-fork continuum in Africa.

KEYWORDS

multidrug resistance (MDR), ESBLs, environmental AMR surveillance, foodborne pathogens, low and middle-income countries (LMICs), meta-analysis, Enterobacterales

1. Introduction

Antimicrobial resistance (AMR) is regarded as one of the top ten threats to global health (WHO, 2020). This follows as the emergence and spread of drug-resistant pathogens that have acquired new resistance mechanisms continue to threaten the effectiveness of clinically important antibiotics to treat common infections (Koutsoumanis et al., 2021; Rahman et al., 2022). Globally, major organizations including the World Health Organization (WHO), Food and Agriculture Organization of the United Nations (FAO), World Organization of Animal Health (OIE) and the European Commission (EC) have recognized the need for further investigation and a multidisciplinary approach to combatting AMR (Koutsoumanis et al., 2021). However, in low and middle income countries (LMICs), such as those in Africa, challenges to implementing effective and sustainable AMR surveillance programmes remain (Elton et al., 2020). This follows as LMICs often lack the necessary infrastructural and institutional capacities and effective reporting systems to roll out sustainable surveillance programmes (Elton et al., 2020).

Many different sources and routes for human acquisition of antimicrobial resistant bacteria are recognized, including human-to-human transmission, direct contact with food-producing animals and pets, foodborne transmission as well as the environment (Koutsoumanis et al., 2021). In LMICs, the main driver of AMR is reported to be transmission and not antimicrobial use (Koutsoumanis et al., 2021). Non-human sources of pathogens such as extended-spectrum β -lactamase (ESBL)-producing *Escherichia coli* and plasmid mediated AmpC (pAmpC)-producing *E. coli* has been reported, with the need for longitudinal studies and continuous monitoring (Mughini-gras et al., 2019). Furthermore, the Centers for Disease Control and Prevention (CDC) recently reported that urgent AMR threats in the United States (US) include carbapenem-resistant Enterobacterales, while ESBL-producing Enterobacterales, drug-resistant nontyphoidal *Salmonella*, and drug-resistant *Salmonella* serotype Typhi are regarded as serious threats, among others (CDC, 2019). The Enterobacterales form part of the normal epiphytic microflora of fruit and vegetables, and include members ubiquitous in terrestrial and aquatic environments, as well as human foodborne pathogens including pathogenic *E. coli* and *Salmonella* spp. (Rajwar et al., 2015). Moreover, Enterobacterales are adapted to sharing genetic material and often clinically significant resistance genes through carriage on mobile genetic elements (Partridge, 2015). Recently, a comprehensive assessment of the global burden of AMR stated

that the six leading pathogens for deaths associated with resistance included *E. coli*, followed by *Staphylococcus aureus*, *Klebsiella pneumoniae*, *Streptococcus pneumoniae*, *Acinetobacter baumannii*, and *Pseudomonas aeruginosa* (Murray et al., 2022).

In the last decade, an increased emphasis on the role of the environment in dissemination of AMR has been reported (WHO, 2015; Koutsoumanis et al., 2021). Furthermore, authors have reported on the importance of an integrated One Health approach for developing and implementing mitigation strategies in combatting AMR (White and Hughes, 2019; Ikhimiukor et al., 2022). The One Health concept in AMR mitigation strategies recognizes that humans, animals (including wildlife), environments, and ecosystems are key priorities (White and Hughes, 2019). To date, most AMR surveillance studies, especially in LMICs in Africa, have focussed on humans and animals. As an example, from 901 studies in LMIC-based studies in 2000–2018, the rapid increasing trends of AMR in the food-animal sector for common indicator pathogens such as *E. coli*, *Campylobacter* spp., *Salmonella* spp., and *S. aureus* have been reported (Ikhimiukor et al., 2022). The main objective of the current study was to elucidate the distribution and prevalence of AMR in clinically significant members of the Enterobacterales family isolated from the water-plant-food nexus in Africa.

2. Materials and methods

The review and meta-analysis of occurrence included published articles from January 2010 – December 2022 and was compiled according to the Preferred Reporting Items for Systematic Reviews and Meta-Analysis (PRISMA) guidelines (Page et al., 2021).

2.1. Search strategy

Information on published articles from all countries on the African continent as defined by the African Union (African Union Commission, 2022) that reported on the occurrence of multidrug resistant Enterobacterales in the water-plant-food interface were included. Two authors independently performed comprehensive literature searches using five online databases: Google Scholar, PubMed Database, EBSCOhost Online Research Databases, Science Direct and Semantic Scholar. Boolean operators (“AND”, “OR”) were applied to search the articles and only English publications were included. The predefined search terms “(extended-spectrum

beta-lactamase or extended-spectrum or beta-lactamase or ESBL or ESBL-producing) AND (Enterobacteriaceae or Enterobacterales) AND Africa AND (water or irrigation water or vegetables or fruit or fresh produce or produce or soil)” were used to retrieve relevant articles published within the chosen timeframe. The WHO Global Action Plan to tackle AMR (GAP-AMR) as well as the Global Antimicrobial Resistance and Use Surveillance System (GLASS) was established in 2015 and national action plans developed in individual countries subsequently followed (WHO, 2020; Willemsen et al., 2022). For the current review, the authors chose a timeframe that included environmental AMR surveillance studies 5 years prior to the launch of GLASS up to the most recent articles available in 2022, to provide an overview of current analysis of environmental AMR profiles in African countries over at least a decade.

2.2. Study inclusion and exclusion criteria

Publications were independently reviewed by two authors (LR and ED) to determine eligibility and duplicate entries were identified by considering the title, authors and the year of publication. Inclusion criteria comprised of all available full text articles involving phenotypic and genotypic characterization of ESBL-producing Enterobacterales from water, fresh produce or soil in Africa. More specifically, publications that described the occurrence of antimicrobial resistant bacteria in fresh produce production, including soil, harvested produce and associated irrigation water, as well as fresh produce at retail were considered eligible. Given the diversity of plant-associated bacteria, only members within the Enterobacterales order were included in the current review. This follows as a dramatic escalation in AMR among bacteria, especially members of the Enterobacteriaceae have been noted globally, resulting in ESBL-producing Enterobacteriaceae forming part of the WHO list of critical priority pathogens that pose the greatest threat to human health (WHO, 2017a; Lynch and Clark, 2021). Studies that focused on wastewater, human- or animal health and studies that did not identify the bacterial organisms to at least genus level were excluded (Figure 1). Furthermore, studies that focused on transmission of AMR or multidrug resistant bacteria through non-plant food sources (e.g. dairy, aquaculture or meat products) were not considered eligible for the current review. All published articles available on the selected databases at the time of data extraction ($n = 922$) were individually reviewed and those not meeting the pre-defined inclusion criteria were excluded from the final articles for analysis.

2.3. Data synthesis, analysis and reporting

Overall, 51 studies were included for further investigation. The publication year, isolation source (water, soil or fresh produce), percentage bacterial occurrence, and identification and characterization methods used were summarized for all publications (Supplementary Table 1). Network analysis was carried out using UCINET[®] 6 for Windows (Borgatti et al., 2002),

with matrices created of the articles based on the isolation source and publication year and country. NetDraw2.175 was used to draw the network.

For selected bacterial species (*E. coli*, *K. pneumoniae* and *Salmonella* spp.) isolated from the different sources, where the information was not already included in the published results and possible to calculate, the multiple antibiotic resistance (MAR) indexes were calculated for analysis of the potential health risk (data not shown). The MAR index for each bacterial species in the respective studies was calculated as $x/(y.z)$ where x represents the aggregate resistance of antibiotics to all isolates, while y represents the total number of antibiotics and z the number of isolates from the isolation source (Riaz et al., 2011). The β -lactamase genes detected in *E. coli*, *K. pneumoniae* and *Salmonella* spp. across the different countries were represented using DataWrapper (Lorenz et al., 2012) and Microsoft Excel and PowerPoint.

2.4. Statistical analysis

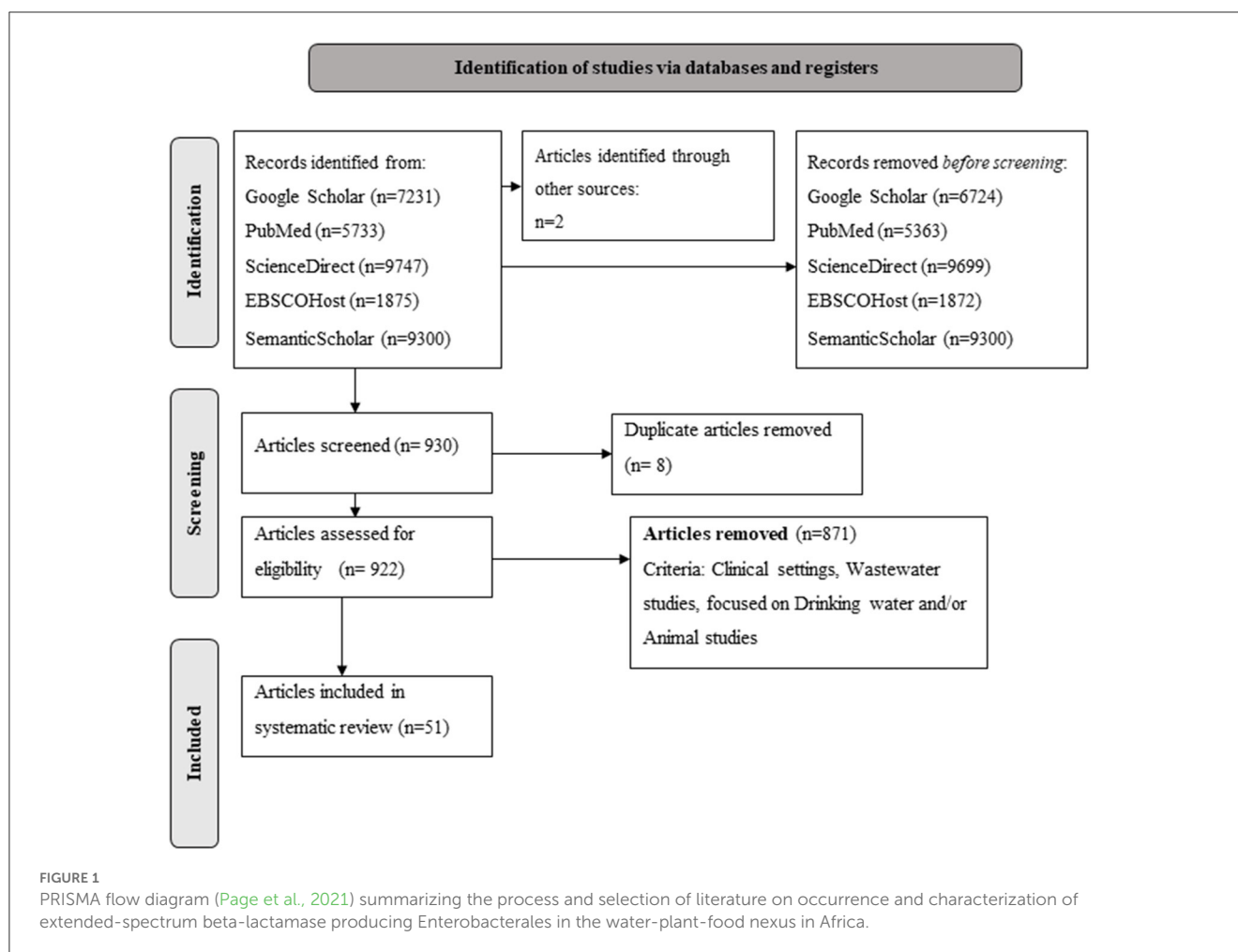
Data were analyzed using RStudio (RStudio Team, 2020). The Shapiro-Wilk test was performed on the standardized residuals to test for deviations from normality (Shapiro and Wilk, 1965). ANOVA was used to test for significant differences between the MAR indexes of the characterized *E. coli*, *K. pneumoniae* and *Salmonella* spp. per country and publication year, respectively. Student's protected t-LSD (Least significant difference) were calculated at a 5% significance level to compare significant source effects of the MAR indexes for the characterized isolates (Snedecor and Cochran, 1980).

3. Results

3.1. General overview

Based on the eligibility criteria (Figure 1), a total of 51 articles were included in the systematic review. The included studies represented 12 African countries (Figure 2). The majority of the studies were conducted in South Africa ($n = 20$), followed by Nigeria ($n = 10$), Tunisia ($n = 6$), Algeria ($n = 4$), Benin ($n = 2$), Ghana ($n = 2$), Morocco ($n = 2$), Egypt ($n = 1$), Tanzania ($n = 1$), Sudan ($n = 1$), Kenya ($n = 1$), and Democratic Republic of the Congo ($n = 1$).

The occurrence of antimicrobial resistant bacteria in irrigation water samples were evaluated in the majority (76.47%) of the studies (Figure 3). Where indicated, the type of irrigation water included predominantly surface water (river) sources, followed by borehole, ponds, wells, streams and/or canals. In nine studies, irrigation water in conjunction with associated irrigated fresh produce were analyzed, while another eight studies focussed on bacterial isolation and characterization from water and soil in the agricultural environments (Figure 3). Six studies included analysis of irrigation water, soil and associated fresh produce, while eleven studies focussed on isolation and characterization of bacteria from fresh produce only and one focussed on soil analysis only (Figure 3). Overall, the studies that included water, soil and/or fresh produce samples were predominantly conducted in South



Africa ($n = 13$), while studies that focussed on fresh produce or water samples only, were conducted mostly in Nigeria ($n = 8$) (Figure 3). The majority of the studies were published in 2020 ($n = 13$), followed by 2015 and 2022 ($n = 7$, respectively) and 2021 ($n = 5$).

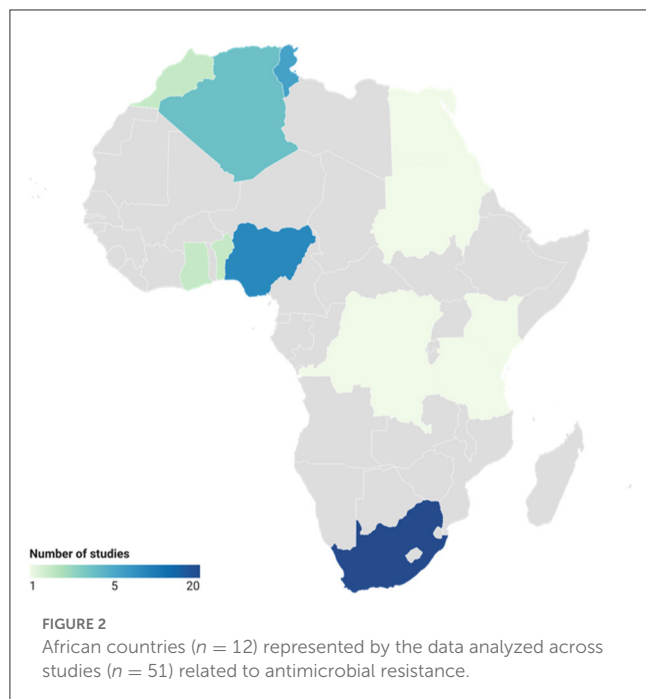
3.2. Identification and characterization of bacterial isolates

Enterobacterales from 15 different genera were isolated and characterized for antimicrobial resistance, either phenotypically, genotypically, or both, in 12 African countries. Bacterial isolate identification was performed using principally three methods, alone or in combination, that included biochemical tests, PCR and/or mass spectrometry (Supplementary Table 1). Matrix assisted laser desorption ionization time of flight (MALDI-ToF) mass spectrometry identification appeared to be the gold standard for isolate identification in South African studies, used in 60% ($n = 12$), followed by 16S rDNA PCR identification ($n = 5$), biochemical tests ($n = 2$) and one study that used the OmniLog system for identification. In the other African countries, biochemical tests [analytical profile index (API) or indole testing] were

predominantly used for isolate identification ($n = 18$), followed by MALDI-ToF ($n = 7$) and 16S rDNA PCR identification ($n = 4$). One study in the Democratic Republic of the Congo used only selective media for isolate identification, while the Phoenix 100 phenotyping system and a combination of biochemical tests and PCR was used in two studies in Tunisia, respectively.

The 51 studies included in the current review reported on isolation and characterization of 15 different Enterobacterales genera from irrigation water sources, soil and fresh produce (Supplementary Table 1). In total, 20 (39.22%) articles focussed on *E. coli* only, three (5.88%) on *Klebsiella pneumoniae*, two (3.92%) on *Salmonella* spp., and one each on *Citrobacter* spp., and *Enterobacter* spp. In the remaining 24 articles that focused on the Enterobacterales family, the most frequently reported bacteria were *Klebsiella* spp. (43.14%), followed by *Citrobacter* spp. (35.29%), *Enterobacter* spp. and *E. coli* (33.33% each), *Serratia* spp. (15.69%) and *Salmonella* spp. and *Proteus* spp. (11.76% each).

Analysis of phenotypic antimicrobial resistance profiles of the isolates were reported in 94.12% of the studies, with the disk diffusion method predominantly used (Supplementary Table 1). Phenotypic results analysis mainly relied on the interpretive criteria of the Clinical and Laboratory Standards Institute (CLSI, including NCCLS, $n = 31$), followed by the European Committee of Antimicrobial Susceptibility Testing (EUCAST, $n = 3$) and the



Antibiogram Committee of the French Society of Microbiology ($n = 4$). Furthermore, in seven studies, both the CLSI and EUCAST criteria were used for results interpretation. Additionally, 72.55% of the studies ($n = 37$) included PCR detection of the resistance genes, with DNA sequencing as a complementary test to the PCR included in 31.37% of the studies. Of the 31.37%, only 7.84% ($n = 4$) used the whole genome sequencing (WGS) technique for further characterization.

3.3. Shared resistance genes in potential human pathogens within the water-plant-food interface

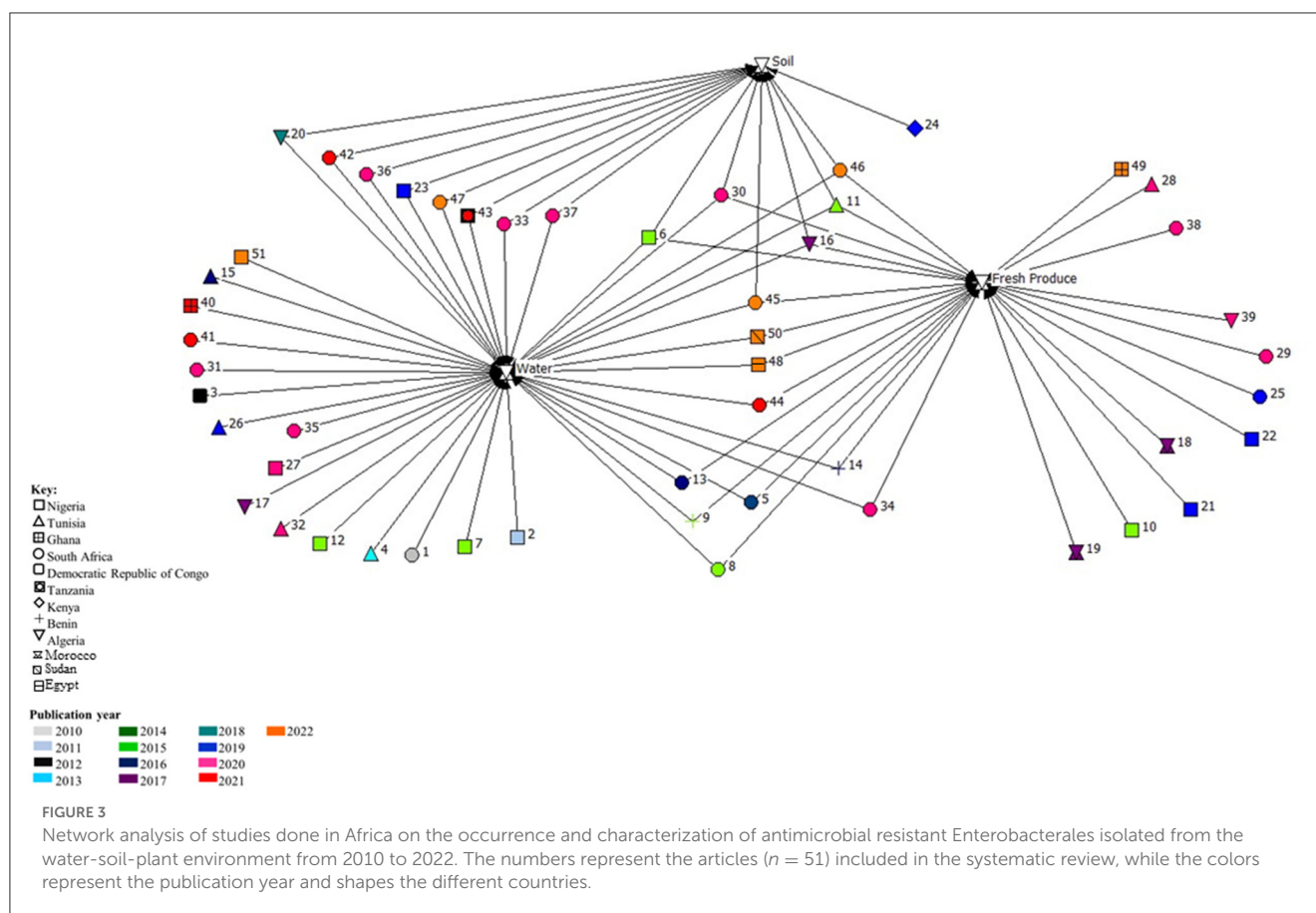
The 37 studies that included PCR analysis of resistance genes were predominantly in South Africa ($n = 13$), followed by Tunisia and Nigeria ($n = 6$ each), Benin, Morocco and Algeria ($n = 2$ each) and one study each in Kenya, Sudan, Ghana, Egypt and the Democratic Republic of Congo. Overall, the greatest number of resistance genes were identified in isolates from water samples, however, this comes with a caveat that more studies focussed on water sample analysis ($n = 39$) alone or in combination with fresh produce and/or soil (Figure 3). In South Africa, Nigeria, Algeria and Tunisia, the *bla*_{CTX-M} ESBL resistance gene was identified in isolates from water, fresh produce and/or soil. Furthermore, the *bla*_{CTX-M} resistance gene was the only genetic determinant identified in most of the studies that included PCR analysis, with the exception of studies in Ghana, Morocco and Egypt where the *bla*_{TEM} gene was predominantly identified. Additionally, beta-lactamase genes including *bla*_{SHV}, *bla*_{TEM}, *bla*_{OXA}, as well as AmpC resistance genetic determinants (*bla*_{FOX}, *bla*_{MOX}, *bla*_{CIT}), sulfonamides and tetracyclines were identified in isolates from water, fresh produce and soil in the South African studies. Isolates

from water samples in Nigeria predominantly harbored genes which contributed to resistance against tetracyclines, followed by aminoglycosides (aminoglycoside kinase, *aph*). In water sample isolates from both South Africa and Tunisia, the *bla*_{VIM} and *bla*_{IMP} carbapenem resistance genes were identified. Additionally, the *bla*_{KPC} and *bla*_{NDM} carbapenem resistance genes were identified in isolates from water samples, while the *bla*_{GES} carbapenem resistance gene was present in isolates from water and fresh produce, and the *mcr* gene was detected from isolates in soil samples, all in studies conducted in South Africa. The NDM carbapenem resistance gene was also identified in isolates from soil samples in Nigeria and water samples from Egypt.

3.4. Further analysis of selected potential human pathogens from the water-soil-fresh produce environment

3.4.1. *Escherichia coli*

In studies from all nine countries where *E. coli* was isolated, water samples predominantly included river water used for fresh produce irrigation in urban areas. River water was reported to be impacted by anthropogenic activities (agricultural, industrial and/or domestic) in all the included studies that investigated the presence of multidrug resistant Enterobacterales. The fresh produce samples were purchased at open air markets or formal retailers and farm fresh produce and soil samples included soil from the field where fresh produce was harvested. At least nine classes of antibiotics were included for phenotypic antimicrobial resistance screening in most of the studies that focussed on characterization of *E. coli*. The dominant resistance patterns of the *E. coli* isolates included resistance to antibiotics within the tetracycline, penicillin and sulfonamide antibiotic classes, followed by aminoglycosides and quinolones. For the studies where calculations were possible, isolated *E. coli* had multiple antimicrobial resistance (MAR) indexes of ≥ 0.2 , except for two studies in South Africa in 2014 and 2016 (Supplementary Table 2). These studies were conducted in Tunisia, Nigeria, Algeria, Morocco, Sudan, Ghana or South Africa, with significant differences in the MAR indexes between certain countries ($p = 0.006$) (Figure 4). With a one-way ANOVA, sufficient evidence was given that the MAR indexes of characterized *E. coli* per publication year did not differ significantly ($p = 0.18$). Furthermore, no significant differences were seen in the overall MAR indexes of the characterized *E. coli* from different sources being either water ($p = 0.215$), fresh produce ($p = 0.435$) or soil ($p = 0.471$) samples throughout the study period. Overall, 17 studies that included PCR analysis (either presence/absence detection or further sequencing), screened for resistance genes in *E. coli* isolated from water, soil or fresh produce samples. The greatest diversity of β -lactamase genes was found in *E. coli* isolated from samples analyzed in South Africa (Figure 5). In isolates from Tunisia, South Africa, Nigeria, Kenya, Algeria, Sudan, Morocco and Benin, both the *bla*_{TEM} and *bla*_{CTX-M} genetic determinants were found (Figure 5). Where sequencing was done, the *bla*_{TEM} genetic determinants included TEM-1, TEM-2, TEM-3 and TEM-215 in *E. coli* isolates from South African studies and TEM-15 in isolates



from Tunisia. The bla_{CTX-M} genetic determinants included CTX-M-15 (Tunisia, South Africa, Sudan, Algeria and Nigeria), CTX-M-55 (Tunisia and South Africa), CTX-M-14 (Morocco) and CTX-M-1, CTX-M-3, CTX-M-2, CTX-M-14, CTX-M-8/25, CTX-M-27, CTX-M-9 (South Africa).

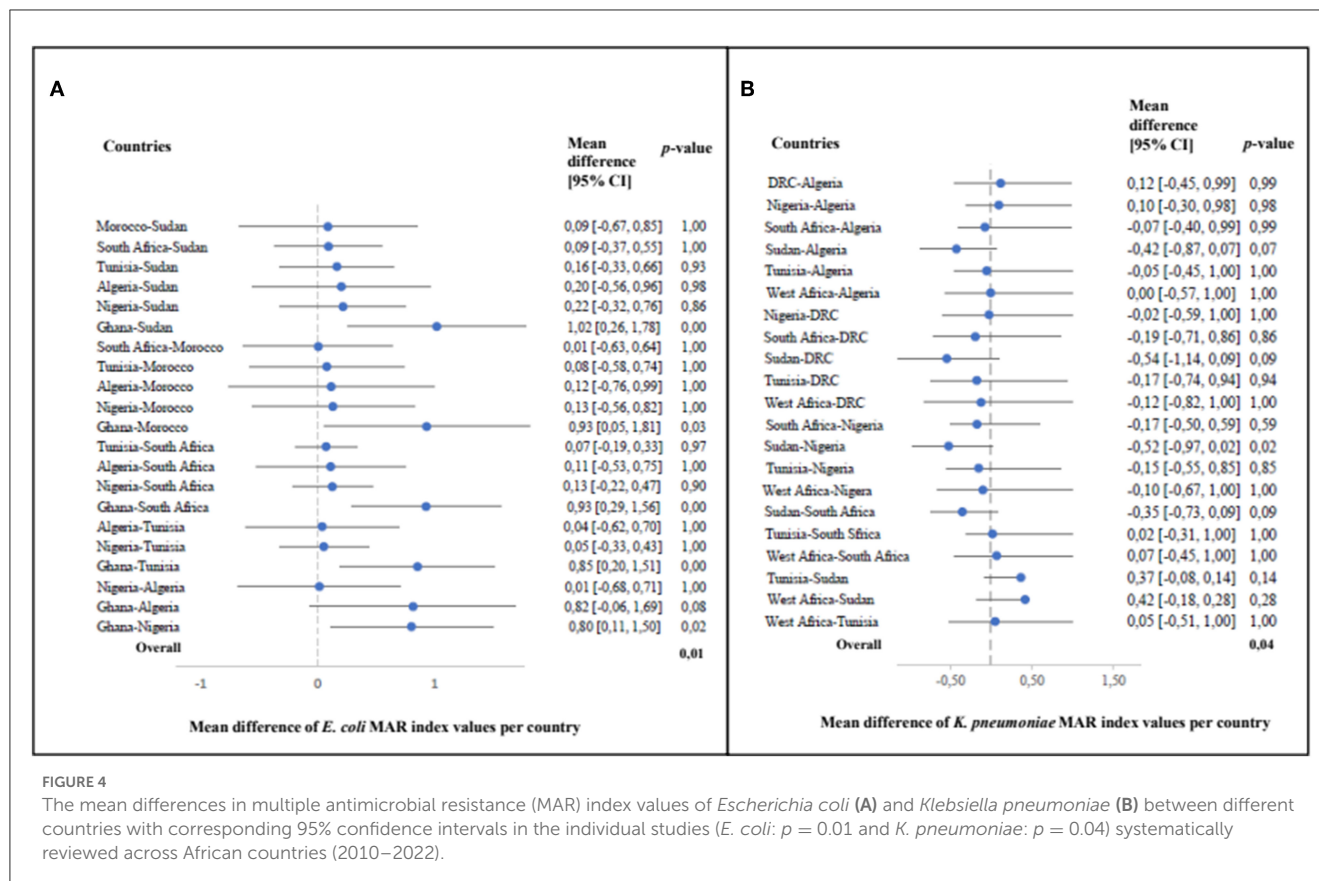
3.4.2. *Klebsiella pneumoniae*

The samples where *K. pneumoniae* were isolated included mainly water, followed by fresh produce and soil. The soil sampled in the included studies were either close to food vending sites or where fresh produce were harvested in the field. The articles that focussed on characterization of *K. pneumoniae* only and included phenotypic characterization (Mouss et al., 2016; Zekar et al., 2020) tested seven classes of antibiotics for phenotypic resistance screening. This included penicillins, cephalosporins, carbapenems, aminoglycosides, quinolones, fluoroquinolones and sulfonamides. Similarly, where several members of the Enterobacterales family (including *K. pneumoniae*) were characterized, antibiotics which are usually used to treat infections by these pathogens, were included. Resistance to penicillin such as amoxicillin/clavulanic acid (Augmentin) were dominant, followed by resistance to aminoglycosides (including tobramycin) and cephalosporins such as ceftriaxone and cefotaxime. Overall, the MAR indexes ranged between 0.12 and 0.83 (Supplementary Table 2), which is an indicator that the *K. pneumoniae* isolates were from potentially high-risk environments where antibiotics are indiscriminately used (Krumperman, 1983; Fadare et al., 2020; Veloo et al., 2022).

Significant differences were observed in the MAR indexes of the characterized *K. pneumoniae* per country ($p = 0.04$) as well as publication year ($p = 0.07$). However, the MAR indexes of the characterized *K. pneumoniae* from the different isolation sources i.e., water ($p = 0.84$), fresh produce ($p = 0.63$) and soil ($p = 0.84$) were not significantly different. In all nine countries where PCR analysis were included, *K. pneumoniae* strains harboring CTX-M genetic determinants were found (Figure 5). Where sequencing was done, the CTX-M variants included CTX-M-1 in isolates from the Democratic Republic of the Congo and CTX-M-15 in *K. pneumoniae* isolates from Tunisia, Nigeria and South Africa. Carbapenem resistance genes identified in *K. pneumoniae* included VIM and IMP variants in isolates from Tunisia, GES and OXA-48 genetic determinants in *K. pneumoniae* from South Africa and Algeria and the NDM-1 carbapenem resistance determinant in *K. pneumoniae* isolated from samples in Nigeria and Egypt.

3.4.3. *Salmonella* spp.

The studies where *Salmonella* spp. were detected were all conducted in Nigeria or South Africa. From the studies in Nigeria, samples that tested positive for *Salmonella* spp. predominantly included irrigation water, followed by fresh produce and soil. The *Salmonella* spp. positive samples from studies conducted in South Africa predominantly water samples, followed by soil samples from the fields in fresh produce production in selected studies. All samples came from urban areas where the river water used for irrigation. The three *Salmonella* spp. focussed articles



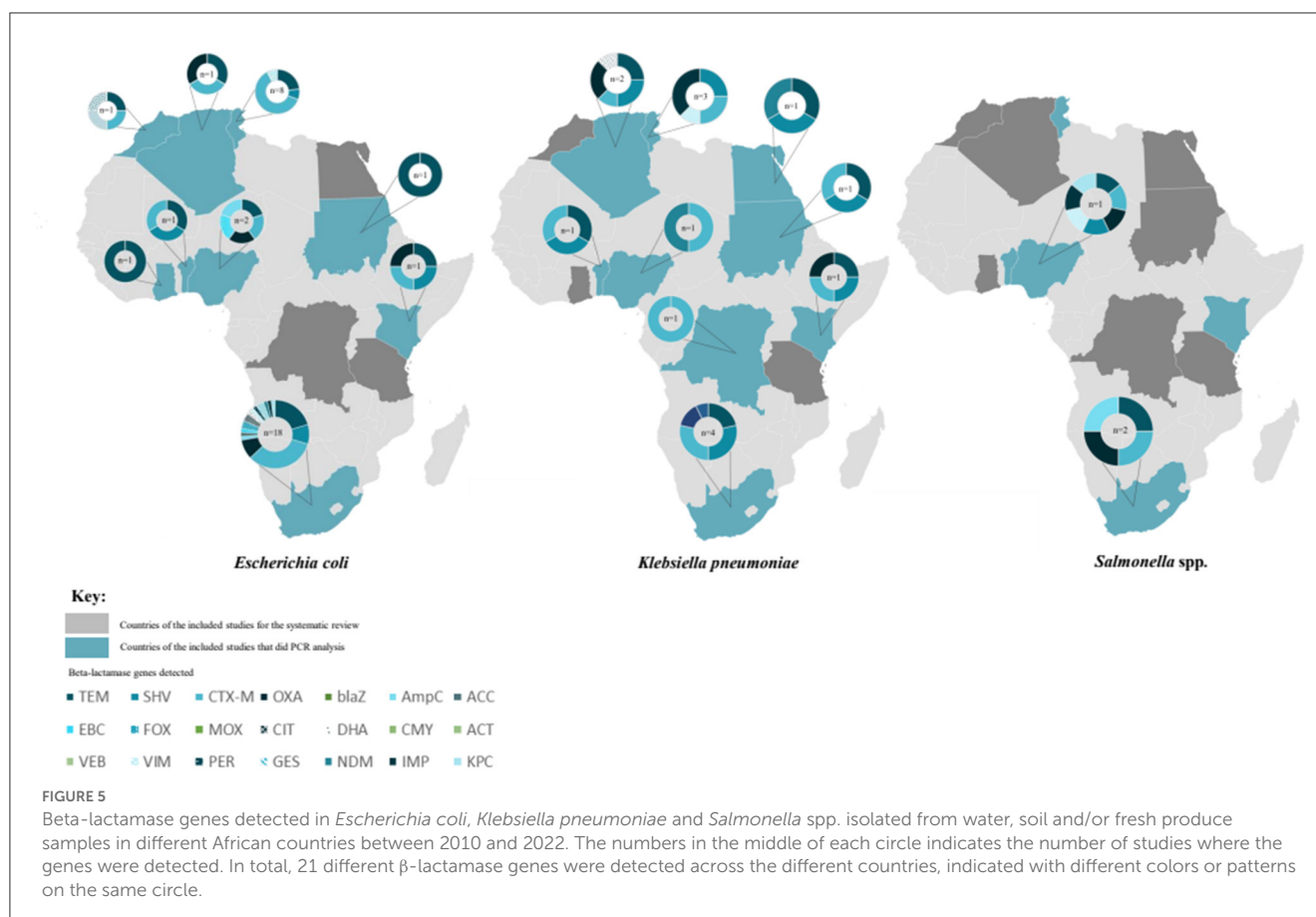
were conducted in Nigeria and South Africa between 2011 and 2015 (Akinyemi et al., 2011; Abakpa et al., 2015; Raseala et al., 2020). Different antibiotics were tested in the respective studies, however, dominant resistance patterns in all three studies included resistance against antibiotics within the penicillin class (ampicillin or augmentin), sulfonamides, tetracycline and/or aminoglycosides. Similarly, multiple resistance to antibiotics from at least three different classes were seen in the isolates from the four studies that focussed on isolation of Enterobacteriales and detected *Salmonella* spp. (Odigie et al., 2013; Richter et al., 2019; Iwu et al., 2020; Akinola et al., 2022). The MAR indexes were ≥ 0.2 in six of the studies (Supplementary Table 2), with no significant difference in MAR index values of characterized *Salmonella* spp. per country ($p = 0.51$) or publication year ($p = 0.92$). The MAR indexes of the characterized *Salmonella* spp. from water, fresh produce and soil were not significantly different ($p = 0.64$, $p = 0.62$, $p = 0.87$, respectively). Only three studies included PCR analysis of resistance genes in isolated *Salmonella* spp. (Figure 4). In these studies (Nigeria and South Africa), the β -lactamase genetic determinants included *bla*_{TEM} and *bla*_{CTX-M}, and *bla*_{OXA} while *Salmonella* spp. isolated from samples in Nigeria additionally harbored VIM, IMP and KPC genetic determinants.

4. Discussion

The role of the environment in dissemination of AMR is increasingly being reported (Koutsoumanis et al., 2021). This meta-analysis summarized the published data available on the occurrence

of clinically significant Enterobacteriales harboring antibiotic resistance genes, including genes expressing broad-spectrum β -lactamases, ESBLs and/or AmpC β -lactamases, isolated from the water-plant-food nexus in Africa. Environmental antibiotic resistant bacteria are typically classified as (i) carriers, that have a role in dissemination of resistance genes but cannot colonize the human body, and (ii) vectors, comprising of ARB that can colonize and invade the human body (Manaia, 2017). Globally, AMR surveillance programmes recognize ESBL- and carbapenemase-producing Enterobacteriales as vectors of great importance in AMR gene dissemination (WHO, 2014, 2020). Based on eligibility criteria within the systematic approach used, 51 studies were identified for the current meta-analysis.

It is well known that the environment contains a natural antimicrobial resistance gene pool as well as resistance genes resulting from anthropogenic activities (Manaia, 2017). Previous research have further demonstrated that water is a unifying transmission pathway for dissemination of AMR throughout different environments (Liguori et al., 2022). Moreover, irrigation water is regarded as one of the main routes of transmission of human pathogenic bacteria onto fresh produce. At least 13 of the studies in the current analysis, from six different countries, all demonstrated that multidrug resistant potential pathogens such as *E. coli*, *K. pneumoniae*, *Salmonella* spp., *Enterobacter* spp., *Serratia fonticola* and *Citrobacter freundii* were present in fresh produce and associated irrigation water (Supplementary Table 1), which corresponds to global studies linking irrigation water to fresh produce contamination (Blaak et al., 2014; Ye et al., 2017; Vital et al., 2018; Banach and Van Der Fels-Klerx, 2020).



The current review also elucidated that water was mainly investigated in environmental AMR studies in Africa between 2010 and 2022. These studies had heterogeneous study locations and methods used, with *E. coli* predominantly isolated and characterized. The WHO recently published an integrated global surveillance protocol on ESBL-producing *E. coli* as an indicator (WHO, 2021). The procedures described were specifically designed to be conducted in a harmonized manner to provide the opportunity to increase capacities and to build national integrated surveillance systems for AMR within a One Health approach (WHO, 2021). The environmental aspect of this protocol proposes to detect and quantify ESBL-producing *E. coli* in contamination hotspot sources including surface water such as rivers that receive wastewater (WHO, 2021). However, the current metadata analysis showed that the methodology, including the frequency and number of samples analyzed, isolation, identification and characterization methods used (even within countries) differed, which contrasts the WHO proposed protocol to conduct research in a harmonized manner.

The results from the current review showed that for studies in South Africa and Algeria, MALDI-ToF was predominantly used for identification of the potential pathogens, while countries including Nigeria, Tunisia, Ghana, Egypt, Morocco and Kenya among others, predominantly used biochemical tests such as API strips for isolate identification. Recently, the reproducibility and accuracy of MALDI-ToF mass spectrometry was evaluated through comprehensive comparison studies in the clinical field

(Hou et al., 2019). The authors concluded that the high-throughput, cost effective method with high accuracy resulted in MALDI-ToF mass spectrometry superseding previous conventional molecular or biochemical identification systems (Hou et al., 2019). Moreover, globally, MALDI-ToF is increasingly being used in food safety following approval by the U.S. Food and Drug Administration (Cheng et al., 2016). This follows as fast and consistent detection of foodborne pathogens in a cost-effective manner is vital in food safety analyses where time sensitivity represents an important factor (Cheng et al., 2016; Elbehiry et al., 2017). In many LMICs however, researchers still rely on biochemical tests for isolate identification due to infrastructural or funding constraints. This was evident in the current review where selected studies in Nigeria, South Africa and Tunisia as well as the studies from Benin, Ghana, Egypt, Morocco, Kenya and Tanzania all utilized biochemical tests, predominantly the API20E panels, which are reported to give efficient economical identification (Popov et al., 2022). From the current study, ESBL-producing Enterobacterales predominantly included *E. coli* and *K. pneumoniae* isolates. Of note was that 39.22% of the studies focussed on isolation and characterization of *E. coli* only, therefore, firm conclusions on the prevalence of ESBL-producers in the water-plant-food environment from these African countries cannot be drawn from this data alone. The WHO has reported that *E. coli* and *K. pneumoniae*, amongst other third-generation cephalosporin-resistant Enterobacterales, are categorized as a critical priority for global antimicrobial resistance research and development (WHO, 2017a).

Across all countries from the current meta-analysis, the Kirby-Bauer disk diffusion method was mainly used for phenotypic AMR analysis, with the CLSI criteria predominantly followed. However, the antibiotics included in analysis differed across studies and countries, therefore, no conclusion regarding phenotypic resistance patterns of potential pathogens isolated from the different matrices could be reported. Of note is that multidrug resistance (MDR), which is defined as resistance to more than one antibiotic class (Magiorakos et al., 2012), was reported in the majority of the studies. In 2014, the WHO released the first report on AMR surveillance and highlighted the need for an improved and coordinated global effort, while the FAO reported in 2018 on the need for increased environmental antimicrobial resistance surveillance (WHO, 2014; FAO, 2018). Phenotypic resistance to antimicrobials considered as critically important in clinical treatment were detected in the isolates from the water-plant-food nexus in the current meta-analysis. As an example, *E. coli* isolated from fresh produce and water across all countries showed resistance against β -lactams including penicillins like amoxicillin/clavulanic acid, cephalosporins, carbapenems and aminoglycosides including gentamycin, among others. Furthermore, both Abakpa et al. (2015) and Richter et al. (2020) reported on the occurrence of MDR *Salmonella* spp. isolated from irrigation water and fresh produce. Antibiotics from classes including β -lactams (amoxicillin/clavulanic acid, cefoxitin, imipenem), cephalosporins (cefepime), tetracyclines, and aminoglycosides (gentamycin) amongst other, formed part of the antibiogram profiles in Nigeria and South Africa, respectively. Previous studies have reported that penicillins (specifically amoxicillin/clavulanic acid) are the most commonly prescribed antibiotics in hospital settings in Nigeria as well as South Africa (Okoro et al., 2019; Alabi and Essack, 2022). Tetracycline resistance was also evident in a high number of environmental bacterial isolates from the current review. The use of antibiotics in food animals and overuse in clinical settings have been linked to transmission and rise in environmental multidrug resistant bacteria (Jones-Dias et al., 2016). Along with spread of multidrug-resistance bacteria across all One Health domains, outbreaks of ESBL- and carbapenemase-producing bacteria present a serious challenge to clinicians, with the increasing occurrence in environments posing a public health concern.

The *bla*_{CTX-M} ESBL resistance gene was identified in all the studies that included PCR analysis in the current review. Similarly, Muthupandian et al. (2018) reported that class A and class D ESBLs are common in bacteria from clinical settings in Africa, with the CTX-M-15 gene being the most prevalent. Screening of resistance to carbapenem antibiotics were included in 25 (59.5%) of the studies from seven different countries in the current review. Imipenem, conferring resistance to Ambler Class B carbapenem-resistant metallo- β -lactamases (Sawa et al., 2020) was the dominant antibiotic included in phenotypic screening, followed by meropenem, which confers resistance to Ambler Class A carbapenemases (Sawa et al., 2020). Carbapenem antimicrobials are considered the last-resort antibiotics for treatment of infections caused by third- and fourth generation cephalosporin resistant and multidrug-resistant bacteria (Sheu et al., 2019). Similar to the results from the current review, Brunn et al. (2022) reported on the presence of carbapenem resistance in environmental reservoirs

globally and suggested that wastewater treatment plant effluents were a primary environmental contaminating source. In the subset of studies that included genotypic analysis in the current review, only selected studies ($n = 9$) screened for presence of carbapenem resistance genes. The KPC genetic determinant was identified in isolates from water samples, while the GES carbapenem genetic determinant was detected in isolates from water and fresh produce samples.

Class A carbapenemases, which include KPC and GES, are plasmid-encoded and frequently detected in clinically significant *Klebsiella* spp. and *Pseudomonas aeruginosa* (Sawa et al., 2020). In the current review, these genes were present in *E. coli* and *K. pneumoniae* isolates in selected studies conducted in South Africa. Perovic et al. (2016) reported that the introduction of carbapenemase-producing Enterobacterales in South Africa was molecularly confirmed at the end 2011. Furthermore, following environmental introduction, that rapid gene dissemination occurs (Perovic et al., 2016). Recently, Ragheb et al. (2022) reported on the genetic environments of carbapenemases from a One Health perspective in Africa. The authors concluded that the most commonly found carbapenemases were variations of NDM, OXA-48 and VIM being reported from clinical, animal and environmental samples.

Class B carbapenemases are typically encoded on a plasmid, transposon, integron, or chromosome and include the IMP, VIM and NDM genetic determinants (Sawa et al., 2020), supporting rapid gene dissemination across the different one health domains. Interestingly, studies in South Africa from the current review only reported on presence of the VIM and IMP genetic determinants in *E. coli* isolates, while the same genetic determinants were reported in *K. pneumoniae* only, in similar studies in Tunisia. Additionally, the NDM carbapenem resistance gene was detected in soil *K. pneumoniae* isolates from Nigeria, water *E. coli* isolates from South Africa and fresh produce *K. pneumoniae* isolates from Egypt. The results from the current review reiterates that clinically significant antibiotic resistant bacteria are no longer restricted to hospital settings, supporting the WHO (2017c) findings that global research and development strategies should include antibiotics active against more common community bacteria.

Similar to Ragheb et al. (2022), the results from the current review showed that detection of ESBL- and carbapenemase-producing Enterobacterales in Africa were relatively low as only nine countries from the entire continent have done environmental AMR surveillance in the current review. Moreover, only studies from six countries have included PCR analysis of β -lactamase genes. However, it must be reiterated that the low prevalence of these multidrug-resistant critical priority pathogens does not represent low environmental occurrence, but rather limited research on the role of the environment in dissemination of AMR within Africa. In selected studies from the current review, multidrug resistant potential pathogens were detected in different samples along the fresh produce farm-to-fork continuum. The mobility of antimicrobial resistance genes in association with mobile genetic elements and the subsequent potential of these genes to move across species, highlights the vital importance of standardized methods, achievable for LMICs as well as, to establish internationally comparable baseline environmental occurrence

data (Ikhimiukor et al., 2022; Liguori et al., 2022). From a food safety perspective, knowledge of AMR dissemination along the food supply chain, especially in food crops commonly consumed raw, is critically important for risk communication, intervention and public health (WHO, 2017b).

The inclusion of WGS has been reported as a promising tool for estimation of ARB in a one health context (Aslam et al., 2021). In the current review, only four studies included WGS analysis (Adelowo et al., 2020; Le Terrier et al., 2020; Zekar et al., 2020; Altayb et al., 2022), conducted in Nigeria, Algeria and Sudan, respectively. Additionally, a follow-up WGS analysis study for further characterization of isolates previously published was found in South Africa (Richter et al., 2021), however, this study was not included in the current meta-analysis, to avoid duplication of isolate occurrence information. Whole genome sequencing has successfully been incorporated in integrated food safety surveillance programs in high-income countries (Brown et al., 2019). Across borders, platforms such as GenomeTrakr and PulseNet International are used to share outbreak investigation data, however, there is still a long way to go in the ability to attribute sporadic illness to specific food categories (Brown et al., 2019). As sequencing costs reduce and methods for designing and interpreting metagenomic studies improve, the use of WGS in surveillance studies, especially in infrastructure and capacity-limited LMICs, are increasingly becoming a possibility (Ikhimiukor et al., 2022). The globalization of food supply chains necessitates an integrated surveillance system and platform to share molecular data for food safety purposes. The addition of WGS analysis to phenotypic AMR surveillance studies can aid in understanding the genetic basis of AMR mechanisms and distinguish isolates with phenotypically identical antibiograms, in addition to virulence genes and single-nucleotide polymorphism (SNP) analysis typically used in food safety surveillance and foodborne outbreak investigations (Brown et al., 2019; WHO, 2020). Subsequently, valuable information on the pathways of AMR dissemination across all sectors can be obtained.

5. Conclusion

The available data on occurrence of multidrug-resistant Enterobacterales in environmental settings in Africa emphasizes the need for improved surveillance and documentation of resistance gene dissemination across the farm-to-fork continuum globally. This follows as clinically significant bacterial isolates were found in various water sources and fresh produce. Furthermore, these human pathogenic microbes harbored resistance traits that corresponded to antibiotics often used in clinical settings as well as animal husbandry. The information obtained from the current review could however not be used to determine the extent of the human health risk in consumption of fresh produce where ESBL-producing potential pathogens were present. In addition to a need for harmonized methodology, the cost-effectiveness of One Health AMR surveillance systems, especially in LMICs, should also be considered and further investigated to inform the development and effective and efficient systems in Africa. The results further posed a challenge in comparative studies, as standardized methods were not utilized across the board. Although limited environmental AMR

surveillance studies were found in comparison to published data on AMR surveillance in human and animal health, this review showed the vital importance of including information from the water-plant-food nexus in food safety surveillance programs related to AMR in a One Health context. It was further highlighted that comparable indicators to monitor AMR in food crop value chains is necessary. Establishing WGS as a surveillance tool in addition to phenotypic data in AMR surveillance studies will provide comprehensive information to inform comparable national and international actions plans against AMR.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

Author contributions

LR, ED, SD, and LK contributed to the conceptualization of the study. LR and ED performed the data extraction. LR summarized the data, prepared all figures, and performed the statistical analysis. All authors contributed to the manuscript revision, read, and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fsufs.2023.1106082/full#supplementary-material>

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