



# Utilization of Food Processing By-products in Extrusion Processing: A Review

Debomitra Dey<sup>1</sup>, Jana K. Richter<sup>1</sup>, Pichmony Ek<sup>1,2</sup>, Bon-Jae Gu<sup>1</sup> and Girish M. Ganjyal<sup>1\*</sup>

<sup>1</sup> Food Processing Laboratory, School of Food Science, College of Agricultural, Human, and Natural Resource Sciences, Washington State University, Pullman, WA, United States, <sup>2</sup> Faculty of Chemical and Food Engineering, Institute of Technology of Cambodia, Phnom Penh, Cambodia

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### \*Correspondence:

Girish M. Ganjyal  
girish.ganjyal@wsu.edu

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The processing of agricultural products into value-added food products yields numerous by-products or waste streams such as pomace (fruit and vegetable processing), hull/bran (grain milling), meal/cake (oil extraction), bagasse (sugar processing), brewer's spent grain (brewing), cottonseed meal (cotton processing), among others. In the past, significant work in exploring the possibility of the utilization of these by-products has been performed. Most by-products are highly nutritious and can be excellent low-cost sources of dietary fiber, proteins, and bioactive compounds such as polyphenols, antioxidants, and vitamins. The amount of energy utilized for the disposal of these materials is far less than the energy required for the purification of these materials for valorization. Thus, in many cases, these materials go to waste or landfill. Studies have been conducted to incorporate the by-products into different foods in order to promote their utilization and tackle their environmental impacts. Extrusion processing can be an excellent avenue for the utilization of these by-products in foods. Extrusion is a widely used thermo-mechanical process due to its versatility, flexibility, high production rate, low cost, and energy efficiency. Extruded products such as direct-expanded products, breakfast cereals, and pasta have been developed by researchers using agricultural by-products. The different by-products have a wide range of characteristics in terms of chemical composition and functional properties, affecting the final products in extrusion processing. For the practical applications of these by-products in extrusion, it is crucial to understand their impacts on the qualities of raw material blends and extruded products. This review summarizes the general differences in the properties of food by-products from different sources (proximate compositions, physicochemical properties, and functional properties) and how these properties and the extrusion processing conditions influence the product characteristics. The discussion of the by-product properties and their impacts on the extrudates and their nutritional profile can be useful for food manufacturers and researchers to expand their applications. The gaps in the literature have been highlighted for further research and better utilization of by-products with extrusion processing.

**Keywords:** by-product, extrusion, functional properties, direct expansion, pellets, pasta

## INTRODUCTION

In a world with an increasing population, the sensible and resource-saving use of raw materials is becoming critical. The rising demand for food involves the production of more waste and by-products and thus raises the question to address this concern (King et al., 2017; Krishnan et al., 2020). The agricultural and food processing sector generates a large quantity of waste annually (Ravindran and Jaiswal, 2016). The accumulation of these products from the industries creates a negative impact on the environment due to the issues associated with waste disposal and dumping. The waste produced by the food industries is collected over the different phases throughout the supply chain, including the stages of harvesting, grading, sorting, and processing (Raak et al., 2017; Marić et al., 2018; Teigiserova et al., 2019). There is a need to valorize these agricultural wastes into food products as they serve as a cheap source of dietary fiber, protein, and bioactive compounds such as phenolic compounds, antioxidants, minerals, and vitamins (Peschel et al., 2006; Santana-Méridas et al., 2012; Ravindran and Jaiswal, 2016; Masli et al., 2018b; Rivera-González et al., 2019; Wang et al., 2019). The utilization of by-products could lower the negative environmental impacts and improve the nutritional profile of products for human consumption (Lai et al., 2017; Majerska et al., 2019). However, most often, agricultural by-products are considered low-value assets, and in the post-harvest stage, about 14% of food loss and waste have occurred worldwide (FAO, 2019).

By-products are versatile and arise from a variety of products and processes. For instance, a great amount of pomace is generated during juice processing; bran, hull, husk, or pods are obtained during grain milling; and a variety of oil cakes is produced in the oil industry (Norajit et al., 2011; Santana-Méridas et al., 2012; Sharma et al., 2015; Marić et al., 2018; Majerska et al., 2019). Besides their origin, the by-products greatly vary in composition and functional properties and therefore play a significant role in the determination of the characteristics of fortified products (Ačkar et al., 2018; Marić et al., 2018; Wang et al., 2019; Xie et al., 2021). Significant research has been reported on strategies to convert the food waste into more value-added products, including incorporating them into different foods, fractionation into various components, and extraction of compounds from the waste (Ravindran and Jaiswal, 2016; Lai et al., 2017; Majerska et al., 2019; Teigiserova et al., 2019).

Agricultural wastes can be incorporated into value-added products manufactured using extrusion processing as extrusion is a versatile technique that can handle a variety of raw materials and is an energy-efficient process (Ačkar et al., 2018; Gu et al., 2019). This is because extrusion combines the simultaneous application of unit operations, such as mixing, kneading, cooking, and forming under high temperature, high shear, and short-time processing technique (Stojceska et al., 2008a; Singkhornart et al., 2013; Gu et al., 2020). Cereal flours are the most common raw materials used for extrusion processing (Faraj et al., 2004). An advantage of extrusion processing is the minimization of nutritional losses as compared to conventional

heat processing techniques due to the short processing times (Sevatson and Huber, 2000). Additionally, the high-temperature processing of extrusion destroys the antinutritional compounds such as phytates and trypsin inhibitors and enzymes such as lipases and lipoxidases (Rathod and Annature, 2016; Gu et al., 2020; Liu et al., 2020).

This review aims to discuss the status of the utilization of agricultural by-products from different sources in extrusion processing. An attempt has been made to compare the chemical composition of food by-products and their functionality as it helps to predict their performance in the extrusion processing. The effects of by-product inclusions and extrusion conditions on physicochemical properties and nutritional profile of final products (direct-expanded products, third-generation products, and pasta) are reviewed thoroughly. Finally, research gaps are highlighted for the better valorization of agricultural by-products utilizing extrusion processing.

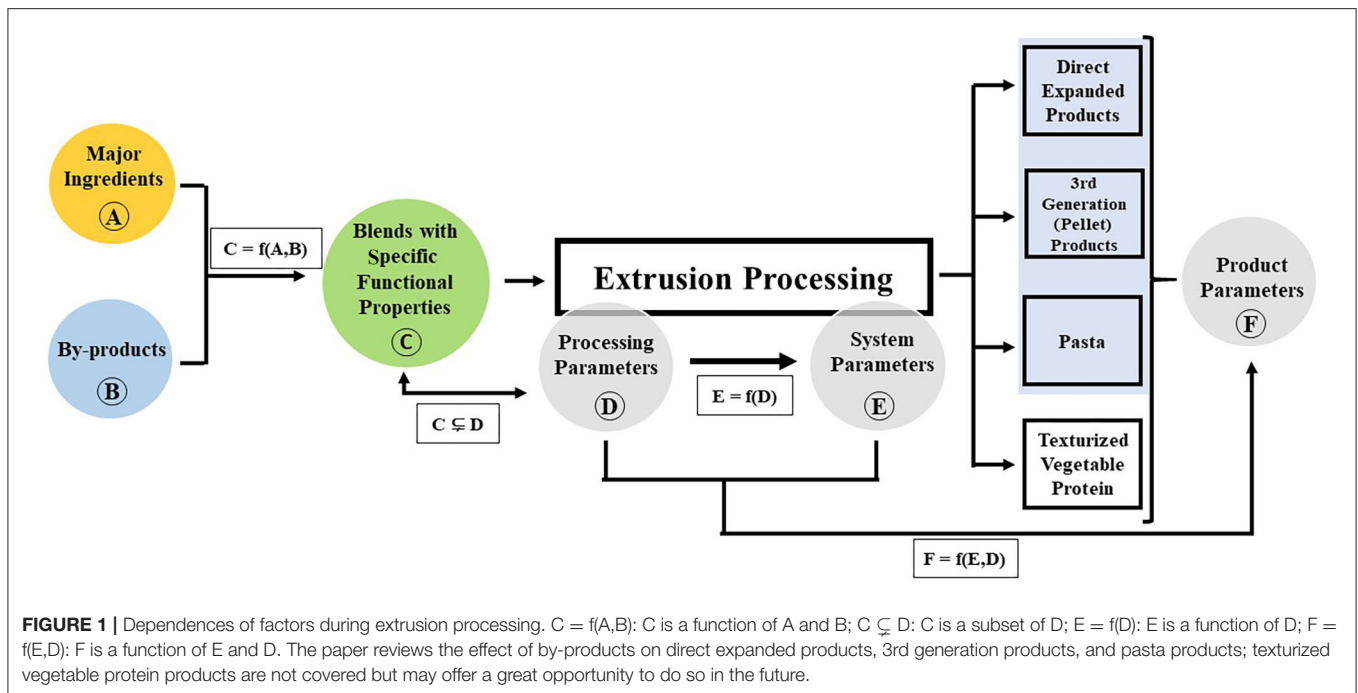
## BRIEF OVERVIEW OF EXTRUSION PROCESSING

Extrusion is a process in which material is continuously moved through a barrel encasing a single or a set of screws and forced through a shaped opening (die) by combining diverse operations in a short time (Bordoloi and Ganguly, 2014; Kaisangsri et al., 2016; Masli et al., 2018a). The number of extruded food applications, including pasta, snacks, texturized vegetable protein, and others, has increased tremendously over the years. The versatility of extrusion processing makes it an ideal candidate for the utilization of the by-products.

During extrusion, the raw material is subjected to shear forces, high temperatures, and a high-pressure condition (Kazemzadeh, 2012; Gu et al., 2017). As a result, the solid material undergoes physicochemical changes into a melt state (Alam et al., 2016; Kaisangsri et al., 2016; Gu et al., 2017). As this viscous material leaves the system and equilibrates with the atmosphere, it further experiences changes in its physicochemical properties before it arrives at the final stable state.

Extrusion processing has been explained as multiple input and multiple output system (MIMO) (Eerikäinen et al., 1994), where the parameters are divided into extrusion processing parameters (input), extrusion system parameters (dependent), and product parameters (output) (Gu et al., 2017). The extrusion input parameters include feed moisture, feed rate, screw speed, temperature, and die dimensions, and the system parameters include back pressure, torque, and specific mechanical energy (SME). Expansion index, solubility properties, and density of the extrudates are considered as output parameters. **Figure 1** shows the dependencies of these parameters.

The versatility of the extrusion process comes from the enormous flexibility that the extruder provides in terms of the options in the processing parameters. The setup of the equipment, including the screw configuration as well as the die design, and the selection of the raw material, screw speed, temperature, among others, have an impact on the final quality of the extrudate produced. The screw profile can be



modified to impart different degrees of shear to manufacture different products (Ek and Ganjyal, 2020). Products such as direct-expanded snacks and texturized vegetable proteins need high shear and are typically manufactured using a twin-screw extrusion system. In comparison, pasta manufacturing requires lower shear rates and typically utilize a single-screw system. System parameters can be modified indirectly by altering the extrusion processing variables (Gu et al., 2017). They significantly affect the molecular transformation of the material and therefore, impact the final texture of the extrudates (Filli et al., 2012; Singha et al., 2017). The SME determines the energy required to extrude materials; it influences the degree of breakdown/transformation of starch during extrusion and is calculated using the screw speed, torque, and flow rate values (Godavarti and Karwe, 1997; Filli et al., 2012; Fang et al., 2014; Kowalski et al., 2018). Torque values are correlated with the viscosity of the melt (Chevanan et al., 2010; Masli et al., 2018a; Mazlan et al., 2019). Backpressure (BP) is the pressure opposing the flow of the material toward the die. BP is also positively correlated with the viscosity of the melt and further shows a negative correlation with the screw speed due to the shear-thinning behavior of the melt (Kaisangsri et al., 2016; Wang S. et al., 2017).

The overall interactions between the extrusion processing parameters and system parameters determine the final product characteristics of extrudates. Therefore, a thorough understanding of the input variables, their correlations, and their impact on extrudate properties is essential for designing extruded products with consistent quality. Additionally, it becomes important to understand the physicochemical characteristics of the by-products and their components, and the various changes that the individual components undergo during extrusion, to effectively incorporate by-products into the extruded products. Along with this, it is also critical to

understand how the ingredient components interact with each other as they are subjected to extrusion conditions.

Starch is the main component of numerous extruded products. Under the extrusion conditions of high shear and high-temperature, starch is transformed into a viscoelastic material by the combination of mechanical breakdown, gelatinization, and melting (Lai and Kokini, 1991; Ye et al., 2018). The ability of starch to expand when exiting the system through the die is important for many extrudates (Kowalski et al., 2015; Aluwi et al., 2016). The pressure drop enables the vaporization of the moisture in the extrudate resulting in an expanded cell structure (Gu et al., 2017). On the contrary, proteins, and lipids generally hinder the full expansion of the starch. Proteins lose their native structure, denature, interact with starch, and affect the water distribution in the material (Moraru and Kokini, 2003; Allen et al., 2007; Day and Swanson, 2013; Offiah et al., 2019). Lipids act as lubricants by reducing the mechanical energy input and the friction between the material and the screws as well as between the material particles (Guy, 2001; Moraru and Kokini, 2003; Fasina et al., 2006). Due to this lubricating effect, the temperature in the barrel decreases and lowers the degree of starch gelatinization. Besides that, lipid concentrations above a critical level result in the coating of starch granules and hinder starch from absorbing water for gelatinization (Moraru and Kokini, 2003; Ilo et al., 2008).

One of the major components of agricultural by-products are dietary fibers, which can be categorized into soluble and insoluble fibers. Shear forces cause the fiber to degrade into compounds with reduced molecular weight, and thus, the fraction of insoluble fibers often decreases in favor of the soluble fraction (Alam et al., 2016). At low concentrations, insoluble dietary fibers (IDF) generally distribute evenly throughout the starch matrix, strengthen the starch matrix, and may result in an increased

expansion (Ganjyal and Hanna, 2004; Kaisangsri et al., 2016; Masli et al., 2018a; Wang et al., 2019). However, at higher fiber levels, uniform distribution is not achieved and fiber particles may disrupt cell walls causing a decrease in expansion. In addition, IDF may compete with starch for water, hindering the starch to fully gelatinize, increasing the melt viscosity, and raising the resistance against cell formation (Yanniotis et al., 2007; Robin et al., 2012; Masli et al., 2018a). Soluble dietary fibers (SDF), on the other hand, do not have a negative effect on the expansion; in fact, they may lead to a slight increase in the expansion (Brennan et al., 2008; Robin et al., 2012; Wang et al., 2019).

## SOURCES AND GENERAL CHARACTERISTICS OF BY-PRODUCTS

The characteristics of by-products are not only dependent on the source of raw materials but also on their processing. Knowing the chemical composition of by-products is essential to understand how they may behave together with other ingredients in the extrusion process. This understanding is useful for setting the extrusion process parameters for different mixtures.

**Table 1** provides the chemical composition of selected by-products from the literature reports. It is important to note that they cannot be compared due to the differences in the way the materials are processed, along with the differences in the raw materials. In general, fruit and vegetable by-products contain a high amount of dietary fiber, total sugars, and are low in fat and protein. On the contrary, by-products from grain milling contain a high amount of dietary fiber, protein, and in some cases, a high amount of fat. Oil cake, the by-products from oil processing, should be defatted and contain a high amount of protein, except olive pomace. The following subsections describe briefly different by-products and their chemical compositions.

### Fruit and Vegetable Processing

Fruit and vegetable processing generate by-products in the form of pomace, seed, and pulp, peel, or stem. Fruit pomace is the by-product of juice processing, and it may contain a high sugar content. Fruit pomace and peel from various fruits, including apple, citrus, grape, berries, mango, pineapple, and others, have been studied in extrusion applications. Vegetable by-products include carrot pomace, tomato, cauliflower trimming, asparagus residues, and others.

Fruit and vegetable by-products generally contain high total fiber content and bioactive compounds, including polyphenols, antioxidant compounds, and vitamins. They are a low-cost source of bioactive compounds and vitamins and could be incorporated into food products to offer nutraceutical functionality. For example, carrot and tomato pomace contained a high content of fiber and carotenes (Altan et al., 2008a; Kaisangsri et al., 2016). In terms of dietary fiber, fruit and vegetable by-products may have different contents of insoluble and soluble fiber, which can affect their degree of inclusion in the direct-expanded products (Brennan et al., 2008; Wang et al., 2019). For instance, the total dietary fiber, insoluble fiber, and

soluble fiber contents of citrus pomace are 62.6–68.3%, 54.0–62.0%, and 4.6–10.3%, respectively (Figuerola et al., 2005). Wang et al. (2019) reported that the total dietary fiber of pomace from berries (blueberry, cranberry, and grape) ranged from 45.9 to 59.3%, of which 2.4–3.4% was soluble fiber; meanwhile, apple pomace had 6.1% of soluble fiber and 53.1% of total dietary fiber.

### Grain Milling

The by-products of grain milling include bran, hull, husk, or pods. The most used components in the extrusion process are bran and hulls (from wheat, corn oat, rice, rye, and others). As shown in **Table 1**, bran contains high levels of insoluble fiber, a significant amount of protein, and in some cases, a high amount of fat (Grigelmo-Miguel and Martin-Belloso, 1999; Zhang et al., 2011; Wang P. et al., 2017; Aktas-Akyildiz et al., 2020). In addition to high dietary fiber,  $\beta$ -glucan, and antioxidant compounds are of interest from the wheat bran (Fleischman et al., 2016). On the other hand, rice bran, which is also a good source of dietary fiber and micronutrients, contains a high content of fat that needs to be defatted, and it also contains lipase enzyme, which needs to be stabilized by heat treatment before further utilization (Wang P. et al., 2017). The rye bran is mainly utilized for its arabinoxylan and  $\beta$ -glucan content (Nikinmaa et al., 2017).

### Oil Processing

The major by-product of oil processing is oil cake or pomace such as olive pomace, soybean meal, hemp cake, sesame oil cake, and others. Due to the high oil content, most of these oil cakes or pomace should be defatted before using in food applications. As shown in **Table 1**, defatted soybean meal, defatted hemp cake, semi-defatted sesame oil cake, and cottonseed meal have a high protein content (34.5–55.3 %) (Nascimento et al., 2012; Reyes-Jáquez et al., 2012; Korkeerd et al., 2015; Antun et al., 2017). Meanwhile, olive pomace has 13.4% protein and 42.7% fiber (Ying et al., 2017).

### Others

Other potential by-products for the inclusion in extruded products include brewer's spent grain from the brewing industry, sugarcane bagasse from sugar processing, and whey protein from cheese processing. Stojceska et al. (2008b) reported that brewer's spent grain contained 16% of total dietary fiber, 4.8% of protein, and 2.1% of fat. Sugarcane bagasse contains approximately 90% of total dietary fiber (Masli et al., 2018b).

The by-products can vary significantly in their chemical composition. It is also important to realize that some of these by-products require special treatments and processing for their further utilization due to their chemical composition. The chemical composition and the structure of these by-products have a tremendous influence on their functional characteristics, and then their applications in extrusion processing. In addition, by-products inherently have different colors. Therefore, high inclusion levels of these by-products may result in color changes in the extrudates, which can be desirable or undesirable by consumers.

**TABLE 1** | Chemical composition of selected by-products.

By-products	TDF (%)	IDF (%)	SDF (%)	Total Sugars (%)	Protein (%)	Fat (%)	Ash (%)	References
<b>FRUIT AND VEGETABLE PROCESSING</b>								
Apple pomace	53.1	47.0	6.1	48.7	0.5	–	1.8	Wang et al., 2019
Apple pomace (Liberty)	89.8	81.6	8.2	–	3.6	2.4	0.6	Figuerola et al., 2005
Blueberry pomace	59.1	56.7	2.4	24.2	13.0	–	1.1	Wang et al., 2019
Cranberry pomace	59.3	56.2	3.0	22.2	5.2	–	0.6	Wang et al., 2019
Concord grape pomace	45.9	42.6	3.4	36.8	9.1	–	3.5	Wang et al., 2019
Grapefruit pomace	62.6	56.0	4.6	–	8.4	3.2	3.2	Figuerola et al., 2005
Lemon pomace	68.3	62.0	6.3	–	7.9	1.9	3.9	Figuerola et al., 2005
Mango peel fiber	64.6	36.9	27.7	–	5.7	3.4	2.9	Korkerd et al., 2015
Orange pomace	64.3	54.0	10.3	–	6.7	0.9	2.7	Figuerola et al., 2005
Pear pomace	36.1	22.0	14.1	–	0.3	–	–	Grigelmo-Miguel and Martin-Belloso, 1999
Passion fruit shell	56.8	–	–	–	7.5	0.6	0.9	da Silva Alves et al., 2018
Pineapple pomace	45.2	44.4	0.8	–	4.7	0.6	2.2	Selani et al., 2014
Carrot pomace	63.3	–	–	–	4.4	–	1.8	Kaisangsri et al., 2016
Asparagus residue	49.0	38.6	10.4	–	Nd	–	–	Grigelmo-Miguel and Martin-Belloso, 1999
Artichoke residue	58.8	44.5	14.3	–	Nd	–	–	Grigelmo-Miguel and Martin-Belloso, 1999
<b>GRAIN MILLING</b>								
Wheat bran	62.4	60.1	2.3	–	16.2	5.3	5.9	Aktas-Akyildiz et al., 2020
Oat bran	23.8	20.2	3.6	–	Nd	–	–	Grigelmo-Miguel and Martin-Belloso, 1999
Rice bran	34.6	–	–	–	14.2	16.1	10.1	Wang P. et al., 2017
<b>SUGAR PROCESSING AND BREWING</b>								
Sugarcane bagasse	89.7	–	–	0.9	–	–	–	Masli et al., 2018b
Brewer's spent grain	16.0	–	–	–	4.8	2.1	1.0	Stojceska et al., 2008b
Germinated brown rice meal	5.4	2.9	2.7	–	44.4	4.3	1.9	Korkerd et al., 2015
<b>OIL PROCESSING</b>								
Olive pomace	42.7	–	–	–	13.4	14.2	3.5	Ying et al., 2017
Defatted soybean meal	16.3	11.5	4.8	–	45.1	1.3	6.0	Korkerd et al., 2015
Defatted hemp cake	60.4	–	–	–	34.5	0.5	9.8	Antun et al., 2017
Semi-defatted sesame oil cake	22.7	–	–	–	35.0	11.2	8.6	Nascimento et al., 2012
Cotton seed meal	–	–	–	–	55.3	12.6	7.8	Reyes-Jáquez et al., 2012

TDF, Total Dietary Fiber; IDF, Insoluble Dietary Fiber; SDF, Soluble Dietary Fiber.

## FUNCTIONAL PROPERTIES OF AGRICULTURAL BY-PRODUCTS

The functional characteristics of food ingredients typically include hydration properties (water absorption and solubility), rheological properties (under different conditions of temperature and shear), emulsification properties, melting properties, and others (Ying et al., 2017; Masli et al., 2018b; Wang et al., 2019; Demuth et al., 2020). These characteristics significantly influence how each ingredient interacts with the processes and other ingredients in a food product (Table 2). Both the composition and the functional properties dictate the

transformation of ingredients during extrusion processing and the final characteristics of the extruded products.

### Hydration Properties

It is essential to understand the hydration properties of the by-products since they determine the final quality and stability of extruded products. The hydration properties of by-products are associated with the chemical and structural composition of the fiber (Robertson and Eastwood, 1981). Most by-products are known to have good hydration properties; hence, they tend to compete with starch for absorption of water. The interference

**TABLE 2** | Functional properties and potential applications of selected by-products in food processing.

By-product(s)	Key components of by-product(s)	Functional properties			Potential application in food	References
		Hydration	Viscosity	OHC		
Apple pomace	Pectin, Sugars, Insoluble Dietary Fiber, and Starch High Moisture Microstructure – irregular shape with round edges	High	Good viscosity profile due to presence of starch and pectin	Low	- Employed as a thickening and gelling agent - No additional sugar inclusion in the food matrix; AP has a good amount of sugar	Masli et al., 2018a,b
Sugarcane bagasse	High amount of Insoluble Dietary Fiber, low amount of sugar Microstructure- thread-like fibrous particles	High	Poor viscosity profile due to failure to form a viscous dispersion	High	- Can be used as an emulsion-enhancer - Stabilization of high-fat food - Fiber fortification in the food matrix	Masli et al., 2018b
Pineapple pomace	High Insoluble Fiber and Total Dietary Fiber	High	–	Low	- Modifying texture and viscosity of food due to high hydration	Elleuch et al., 2011; Selani et al., 2014
Oat bran	High soluble fiber content Microstructure—Porous	High	Poor viscosity profile due to failure to form a viscous dispersion	Low	- Fiber fortification of food	Zhang et al., 2011; Masli et al., 2018b
Semi-defatted sesame cake	High on dietary fiber and bioactive components such as amino acids	–	Low viscosity, however, improve the viscosity profile when added at optimum concentration with starch	–	- Fiber fortification of food; improves the protein content and bioactive components in food products	Nascimento et al., 2012
Pea hull	Insoluble dietary fiber and starch	High	Good viscosity profile due to presence of high starch	Low	- Good thickening agent and potentially used to limit syneresis in starch-based food	Masli et al., 2018b

OHC, Oil holding capacity.

thereby affects the viscosity of the starch melt and impacts the final product characteristics.

The water holding capacity of by-products depends on the source of by-products due to the variability in the chemical and structural composition of the by-products (Elleuch et al., 2011). The soluble fractions of fiber, such as pectin and gum, participate in increasing the viscosity; hence, they act as thickeners and texture improving agents in food processing (Masli et al., 2018b). Insoluble fractions of fiber, such as cellulose, hemicellulose, and lignin, have a high tendency to increase the water and oil binding properties. Hence, these materials can be used in high-fat foods as stabilizers and offer additional functionality by improving the shelf life of food (Elleuch et al., 2011; Masli et al., 2018b).

Substantial work has been done in the past on analyzing and defining the hydration properties of pomaces from various sources (Lopez et al., 1996; Elleuch et al., 2011; Selani et al., 2014; Kurek et al., 2015; Wang S. et al., 2017; Masli et al., 2018a,b). The hydration properties are influenced by the chemical composition and structure of the by-products. The amorphous nature of fiber

is responsible for the high-water binding capacity and behaves as a hydrocolloid. Water retention capacity (WRC) of cranberry pomace is reported to be  $5.60 \pm 0.08$  g/g due to the high amount of lignin of  $31.3 \pm 2.2$  g/100 g (Wang et al., 2019); this might be due to the amorphous nature of lignin and its tendency to absorb water. Similarly, apple pomace had a WRC and swelling capacity (SC) of  $5.60 \pm 0.08$  g/g and  $5.66 \pm 0.53$  ml/g, respectively, and this is attributed to the high soluble fiber content and particle size of apple pomace. Selani et al. (2014) reported that pineapple pomace had approximately  $45.22 \pm 3.62$  % insoluble fiber component, which is responsible for the maximum water absorption capacity of  $5.32 \pm 0.67$  g water/g sample in comparison to lemon peel ( $1.74$ – $1.85$  g water/g sample) and apple pomace ( $1.62$ – $1.87$  g water/g sample). These results suggest the potential application of pineapple pomace in products to improve viscosity (Elleuch et al., 2011).

In a study on wheat bran extrusion, the water-binding capacity (WBC) for the non-extruded sample was 361.9%. The WBC decreased with an increase in die temperature. Due to the

**TABLE 3** | General effects of by-product inclusion on extruded product characteristics.

Type of extruded products	Product parameters	Major findings reported in the literature
Direct expanded products	Expansion Ratio (ER)	<ul style="list-style-type: none"> <li>In most cases, increasing by-product contents reduces the expansion ratio</li> <li>In some cases, 5–10% of by-products can maintain or increase the expansion ratio</li> <li>Small particle sizes (&lt;125 μm) of by-products help to improve the expansion ratio</li> <li>Chemical composition of by-products significantly affects the degree of by-product inclusions in extrudates</li> <li>Insoluble fibers reduce the expansion ratio, while soluble fibers and sugars contribute to the better expansion to some extent</li> </ul>
	Density	<ul style="list-style-type: none"> <li>In most cases, increasing by-products contents increase the density</li> <li>Density is strongly correlated with the expansion ratio</li> </ul>
	Water Solubility Index (WSI)	<ul style="list-style-type: none"> <li>In most cases, WSI decreases with increased by-product contents</li> <li>WSI is proportional to the expansion ratio</li> </ul>
	Water Absorption Index (WAI)	<ul style="list-style-type: none"> <li>In most cases, WAI increased with increased by-product contents</li> <li>Initial water absorption characteristics of by-products with high fiber contents and expansion ratio affects WAI</li> </ul>
	Hardness/Structure	<ul style="list-style-type: none"> <li>The hardness of extrudates generally increases with decreasing expansion ratio</li> <li>Increasing by-product contents increases the hardness of extrudates</li> <li>The cross-section structure of extrudates containing by-products exhibits small and homogenous cell sizes with a decrease of porosity, especially when by-products have high insoluble fiber content</li> </ul>
	Color	<ul style="list-style-type: none"> <li>Color of by-products progressively intensifies the color of extrudates as the by-products contents increase</li> <li>Color changes could occur due to the degradation of pigments in by-products, Maillard reaction, and oxidation during extrusion</li> </ul>
	Nutritional properties	<ul style="list-style-type: none"> <li>High retention of phenolic compounds and antioxidant activity values (75–97%) in extrudates could be due to the degradation of compounds and their transformation to easily extractable forms</li> <li>Insoluble fibers are partially converted into soluble fibers after extrusion</li> <li>At a low level of by-product inclusions, β-carotene retains more compared to high inclusion levels in corn-based extrudates</li> </ul>
Third generation products (Pellets)	Expansion Index (EI)	<ul style="list-style-type: none"> <li>The EI reduces with an increase in the concentration of by-product</li> <li>High shear and higher starch gelatinization are also responsible for reducing the EI</li> <li>The EI of pellets depends upon the time of post-extrusion treatment, such as frying and heating</li> </ul>
	Penetration Force (PF)	<ul style="list-style-type: none"> <li>PF is negatively correlated to EI</li> <li>PF values depend upon the moisture and temperature conditions during extrusion processing</li> <li>Higher inclusion of by-products increases the hardness and thereby increases the PF</li> </ul>
Pasta	Optimal cooking time	<ul style="list-style-type: none"> <li>Optimal cooking time generally increases with an increase in by-product level</li> <li>Low concentration of by-product may not have any effect on the optimal cooking time</li> </ul>
	Cooking loss	<ul style="list-style-type: none"> <li>Cooking loss generally increases with an increase in by-product level</li> <li>Low concentration of by-product may not affect the cooking loss significantly</li> </ul>
	Firmness/Hardness	<ul style="list-style-type: none"> <li>The inclusion of by-product generally influences the firmness/hardness of pasta</li> <li>Some by-products lead to an increase in firmness/hardness of pasta, some to a decrease</li> <li>Some by-products show a greater influence on the firmness/hardness of pasta at lower levels of inclusion, whereas others show greater changes at higher concentrations</li> </ul>
	Water Absorption and Swelling Index	<ul style="list-style-type: none"> <li>Water absorption and swelling index mostly increase with an increase in by-product level</li> </ul>

modification of soluble fiber during extrusion, the amount of soluble dietary fiber was observed to increase from 2.3% (d.b.) to 3.8% (d.b.) during extrusion at 100 rpm and 105°C. In the case of wheat bran, it might have some amount of starch, which could contribute to water absorption, thus affecting the WBC values (Masatcioglu et al., 2014).

The SC was higher for extruded oat bran compared to the untreated oat bran due to the higher SDF content of extruded oat bran, as the solubility of fiber is reported to increase during extrusion (Zhang et al., 2011). The solvent retention capacity was lower for unextruded oat bran; however, the extruded oat bran had a higher solvent retention capacity. With the

increase in the concentration of hemp, the WAI and WSI increased (Norajit et al., 2011), as the fiber content in the formulation increased.

The SC and WBC are the two properties determined by the amount of soluble fiber fractions in the food matrix. Therefore, it is essential to understand the chemical composition and physical characteristics such as particle size (Alam et al., 2014; Kallu et al., 2017; Wang S. et al., 2017), density (Altan et al., 2008a; Wang et al., 2019), and porosity (Altan et al., 2008a; O'Shea et al., 2014), as these attributes define the behavior of by-products during hydration.

## Oil Holding Capacity

The OHC of by-products is an essential functional property to understand the emulsifying properties and flavor retention in food products such as meat and baked products (Cadavid et al., 2014). Additionally, the information on OHC is useful in post-extrusion processing steps, especially during seasoning the extruded products with oil.

The OHC was high for sugarcane bagasse and potato due to the high insoluble fiber content as well as the microstructure of the pomace, which was fibrous and porous. The insoluble fiber content of sugarcane was  $98.97 \pm 0.97\%$  and  $54.97 \pm 1.10\%$  for potato peels (Masli et al., 2018b). The OHC for pineapple pomace was  $2.01 \pm 0.23$  g oil/g sample (Selani et al., 2014), which is higher than peach (1.02–1.11 g oil/g sample) and lower than pomegranate (5.9 g oil/g sample) as reported in an earlier study by Viuda-Martos et al. (2012). The comparatively higher OHC for pineapple could be attributed to the high insoluble fiber component of  $44.44 \pm 3.60\%$  d.b.

A study on jaboticaba fruit and its by-products-peels and pomace reported the OHC to be in the range of 2.79–2.98 g oil/g sample. However, the values for OHC were not significantly different between the whole fruit, peels, and pomace as the insoluble fiber content was similar in the material, and additionally, there was not a major difference in the particle sizes of the sample. Additionally, the authors also mentioned that jaboticaba pomace has an anthocyanin content of  $5.73 \pm 0.71$  mg C3G/g d.w, and it could be incorporated in snacks.

OHC of by-products depends on the source, particle size, composition, and microstructure of the by-product. The OHC of by-products is a function of porosity rather than the tendency to soak oil (Nelson, 2001). Therefore, the by-products with porous structures have a higher tendency to bind oil (Petravić-Tominac et al., 2011).

## Viscosity

The pasting properties help to understand the functionality of the by-products in terms of thickening and gelling characteristics and texture-improving properties. Viscosity increases with the increase in the level of dietary fiber and decreases with the increase in temperature (Elleuch et al., 2011). The viscosity profile of the by-products depends upon the level of by-product inclusion, particle size, structure, chemical composition, functional properties, and extrusion processing parameters. The insoluble fraction of fiber, such as cellulose and hemicellulose, show high hydration

capacities; however, they fail to form viscous solutions and therefore, cannot be used as a thickening or gelling agent in food processing (Lecumberri et al., 2007; O'Shea et al., 2015).

Masli et al. (2018b) reported that apple and potato by-products showed high viscosity due to the high starch and pectin content. Pea hulls had a peak viscosity of  $723 \pm 0.9$  mPa.s due to high starch content in the range of 15.99–19.86%. In the case of bran, the viscosity was poor due to the low starch content and the high insoluble fiber content of  $91.04 \pm 0.64\%$  d.b. Another study by O'Shea et al. (2015) reported that apple pomace had a higher viscosity compared to orange pomace. Though orange pomace has a higher pectin content than apple pomace, the presence of low degree methylated pectin led to a lower viscosity.

The inclusion of by-products at high levels in the food matrix dilutes the amount of starch due to the competition between by-products and starch for water absorption. This interaction leads to poor viscosity of the mixture. For instance, the inclusion of olive oil pomace, when incorporated at higher levels, interferes with the swelling and transformation of starch and disrupts the starch matrix (Ying et al., 2017). This leads to lower viscosity of the final product. Therefore, olive oil pomace cannot alone impart the functionality of improving textures in food products.

Zhang et al. (2011) reported that extrusion improved the functionality of soluble dietary fiber of oat bran. The apparent viscosity was lower for the untreated oat bran. The viscosity of oat bran improved due to molecular changes under the conditions studied. Demuth et al. (2020) reported that there were significant changes in the structure and functionality of Arabinoxylan (AX), depending on the parameters of extrusion processing.

Antun et al. (2017) used pressed hemp cake at different concentrations (0, 5, and 10%) with two different moisture contents, 20 and 25%, and extruded at four different temperatures of 150, 160, 165, and 180°C. The peak viscosity of unextruded samples decreased with an increase in hemp concentration; however, upon extrusion, the peak viscosity (PV) values further decreased. This reduction is due to the damage of starch and gelatinization of starch during extrusion. In the case of corn grits, the PV was 129 BU at 150°C, and 10% hemp extrudates had PV of 129 BU at 180°C. This signifies the role of proteins and fiber in hemp that protects starch from damage due to the formation of starch-protein-fiber complexes. Additionally, the protective function of hemp decreases the cold paste viscosity of starch, indicating better starch stability in suspension. The cold paste viscosity was observed to decrease after extrusion, as the cold paste viscosity is an indicator of the viscosity at the end of the cooling phase.

Nascimento et al. (2012) reported the application of semi-defatted sesame cake (SDSC) in the extrusion of corn grits. The viscosity profile of SDSC had a viscosity drop during the cooling stage, then increased at 25°C, and showed a further reduction in viscosity. The change in the viscosity profile of SDSC can be attributed to the denaturation of native proteins ( $35 \pm 0.3$  g/100 g), resulting in molecular rearrangement and thereby increasing the free volume of polypeptide chains. The dietary fiber content of SDSC was  $22.7 \pm 0$  g/100 g, and for corn grits, it was  $0.7 \pm 0.0$  g/100 g. Due to the high dietary fiber content, SDSC



could additionally impart functionality in the form of swelling capacity and hydration properties; however, the authors did not assess these functional properties of the raw SDSC.

Based on the source and chemical composition of the by-products, their functionalities differ. Therefore, an approach to categorize the by-products based on their functionalities can be a valuable tool for the food processors to incorporate these materials into the food matrices.

## EFFECT OF EXTRUSION PROCESSING ON DIRECT-EXPANDED PRODUCTS

Direct-expanded products, including cereals and snacks, usually have a crispy texture. The major ingredient used in direct-expanded products is usually cereal flour or starch that contains (>70%) of starch (Moraru and Kokini, 2003). The moisture content used for direct expanded products is usually in the range of 14–20% (wet basis), the melt temperature at the die usually ranges from 120 to 160°C (Chinnaswamy, 1993; Ek et al., 2020).

A significant amount of work has been reported to incorporate different by-products into the direct-expanded products such as apple pomace, carrot pomace (Kaisangsri et al., 2016), pomaces from berries (Wang et al., 2019), pineapple pomace (Selani et al., 2014), mango peel (Mazlan et al., 2019), passion fruit shell (da Silva Alves et al., 2018), wheat bran (Robin et al., 2011a; Fleischman et al., 2016), rye bran (Alam et al., 2016), oat fiber (Sayanjali et al., 2017), rice bran (Wang P. et al., 2017), olive pomace (Ying et al., 2017), and brewer's spent grain (Stojceska et al., 2008b). The following sections discuss how by-product inclusions affect the properties of final extruded products. **Table 3** provides the general effects of by-products on the final products.

### Expansion and Density

The most common way to express expansion is the expansion ratio (ER), which is the ratio of the diameter of an extrudate and the diameter of the die. Most of the studies on by-products in extrusion processing showed that as the by-product content increased, the expansion ratio decreased, and the density of the extrudates increased (Selani et al., 2014; Kaisangsri et al., 2016; da Silva Alves et al., 2018). The porosity and cell size of the extrudate cross-section reduce with increasing by-product content, especially for the by-products containing high amount of insoluble fiber (Yanniotis et al., 2007; Robin et al., 2011a; Kaisangsri et al., 2016; Masli et al., 2018a; Mazlan et al., 2019; Wang et al., 2019). Depending on the source and particle size of by-products, the inclusion levels of 5–10% can either maintain or increase the expansion of extrudates with more uniform and smaller cell sizes. A study on carrot pomace inclusions in cornstarch extrudates found that the addition of 5 g/100 g carrot pomace had a higher expansion ratio (3.80) compared to the extrudate without the pomace (3.62) at the moisture content of 15%. The expansion decreased significantly at 10 and 15 g/100 g of carrot pomace. In another study, the expansion ratio of extrudates with 10.5% of pineapple pomace was not significantly different from the control at the same extrusion conditions (Selani et al., 2014). This result supported other findings that

by-products with high fiber content can increase or maintain the expansion ratio up to 10% inclusions at specific extrusion conditions (Kaisangsri et al., 2016; Kallu et al., 2017; Wang S. et al., 2017; Masli et al., 2018a).

Small particle size (<125 µm) of the by-products aids in the better expansion of extrudates compared to larger particle sizes at the same level of inclusions (Alam et al., 2016; Kallu et al., 2017; Wang et al., 2019). A smaller particle size (~28 µm) of rye bran increased the expansion and crispiness of rye-flour extrudates compared to the larger particle size bran (Alam et al., 2016). With smaller particle size, extrudates with the levels of inclusion of 15 and 30% had no significant differences in expansion compared to control (Alam et al., 2016). This retention of expansion at a high inclusion level of bran could be attributed to the high starch content (38.4–44.8%) in rye bran.

Fleischman et al. (2016) showed that the expansion of extrudates with purple wheat bran was the highest, followed by white and red wheat bran. The purple wheat bran contained the highest starch content and lower fiber content relative to others. Wang et al. (2019) reported that the apple pomace exhibited the highest expansion, followed by cranberry, blueberry, and grape, respectively. The authors explained that the chemical composition of these pomace, including total dietary fiber (TDF), soluble dietary fiber (SDF), insoluble dietary fiber (IDF), and sugar content, affected the expansion significantly at studied conditions. High SDF, high sugar content, and low crystallinity index could be the reason for the highest expansion for apple pomace, among others. Another study with grape pomace also explained that high sugar content in grape pomace could be the reason for the increase in expansion ratio of extrudate with 5% of grape pomace, compared to the control (Bibi et al., 2017). In another study on apple pomace addition to regular and waxy cornstarch showed that extrudates with 15% inclusion of apple pomace had similar or even higher ER than the control in some conditions (Masli et al., 2018a). This was attributed to the characteristics of apple pomace containing high content of SDF (12%), high sugar content (35%), and irregular round shape microstructure. In contrast, the extrudates with sugarcane bagasse had significantly lower ER compared to the control extrudate, which was attributed to the high IDF content and fibrous-like microstructure of sugar cane bagasse (Masli et al., 2018a). SDF could interact with water and change the viscosity profile of starch melt, which could still maintain the continuous phase of starch melt and be favorable for the expansion of extrudates (Brennan et al., 2008; Xie et al., 2021). Unlike SDF, IDF could disrupt the continuous starch melt so that the melt could not be strong enough to hold the cells during expansion and thus decrease expansion (Robin et al., 2011b; Masli et al., 2018a). In addition, a low level of sugar could support the expansion because sugar can lower the glass transition temperature of the starch melt and thus change the viscoelastic properties of starch melt (Carvalho and Mitchell, 2000). The interactions of sugar, SDF, and IDF of the by-products and their synergic effects on the expansion of direct-expanded products need further investigation.

The inclusion of broccoli in corn-based extrudates exhibited higher expansion compared to the inclusion of olive paste

(Bisharat et al., 2013). The presence of high lipids in olive paste was concluded as the reason for this effect. Insoluble fibers in the by-products are one of the main reasons for reduced expansion. Meanwhile, the presence of lipids in some by-products could hinder the expansion further.

In addition, the extrusion process conditions could help to improve the expansion of extrudates with by-product inclusion, even though in most cases, the improvement is not significant compared to control. Mazlan et al. (2019) reported that there were combined effects of mango peel level, feed moisture content, temperature, and screw speed on the expansion of corn-grit extrudates. The expansion of extrudates with 8% inclusion of mango peel increased from 1.76 to 2.70 when the temperature and screw speed increased from 100 to 150°C, and 76–100 rpm, respectively (Mazlan et al., 2019). Barley-tomato pomace extrudates had a decrease in sectional expansion as the tomato pomace and die temperature increased; however, screw speed did not affect the expansion of extrudates significantly (Altan et al., 2008a).

Another way to improve the performance of by-products in the direct-expanded products is pre-treatments, including fermentation, or surface modifications of by-products. Rye bran treated with fermentation maintained the ER of rye flour-based extrudates up to 40% of the inclusion level (Nikinmaa et al., 2017). The authors explained that the increase in soluble fiber and dextran could be the reason for maintaining the ER. Brennan et al. (2008) reported that extrudates with soluble fibers had higher expansion than insoluble fiber.

## Water Absorption Index and Water Solubility Index

Water Absorption Index (WAI) and Water Solubility Index (WSI) are the important qualities related to the bowl-life of breakfast cereals and the mouthfeel of direct-expanded snacks. Generally, long bowl-life or being crispy longer in milk is preferred for breakfast cereals, so low WAI and low WSI are desirable for these products (Kowalski et al., 2015). For baby food or snacks, high WSI is preferable because the products can easily melt in the mouth (Kowalski et al., 2015). WSI generally increases with the increase in expansion ratio (Kowalski et al., 2015). On the contrary, both WAI and WSI values may depend on the expansion ratio and the initial water absorption characteristics of raw materials, especially when by-products with high fiber contents are added.

The increased inclusion level of by-products generally yields extrudates with increased WAI and decreased WSI. However, these two properties are significantly influenced by the combined effects of raw materials, the expansion of the extrudates, and the processing conditions. WAI values were reported to increase with the increase of grape pomace (Bibi et al., 2017), carrot pomace (Kaisangsri et al., 2016), and cauliflower trimming (Stojceska et al., 2008a). The authors attributed these results to the water absorption capability of fiber present in the by-products. In the case of the passion fruit shell, WAI was reduced when 10% of passion fruit shell was added, but WAI was higher than the control in some conditions at 15% of passion fruit

shell (da Silva Alves et al., 2018). On the contrary, WAI of barley-tomato pomace extrudates decreased with the increased tomato pomace (Altan et al., 2008a). Interestingly, the WAI of extrudates enriched by pineapple was not significantly affected by the pineapple content (Selani et al., 2014). At feed moisture of 15 g/100 g, WAI of carrot pomace enriched extrudates increased with the carrot pomace levels. At high feed moisture (30 g/100 g), the carrot pomace inclusion did not significantly impact the WAI of the corn starch extrudates (Kaisangsri et al., 2016).

The overall WSI values of extrudates decrease with increasing by-product inclusions (Selani et al., 2014; Kaisangsri et al., 2016). However, the WSI of grape-pomace enriched extrudates increased with grape pomace at the low moisture content (16%), while WSI values decreased with pomace at the high moisture content (24%) (Bibi et al., 2017). It could be attributed to the degradation of starch and the breakdown of grape pomace into the more soluble fiber at a high energy input of extrusion due to the low moisture content in this case. Meanwhile, the WSI of extrudates increased with increasing screw speed, increasing tomato pomace levels, and decreasing temperature (Altan et al., 2008a).

Due to the combined effects of by-products and processing conditions, using specific mechanical energy input to anchor the effects of by-products inclusion on WSI could be useful. A study of wheat bran incorporated into wheat flour showed that WSI of extrudates positively correlated to SME for wheat flour and low wheat bran inclusion (12.6%), while WSI had a weak positive correlation to SME for high wheat bran inclusion (22.4%) (Robin et al., 2011a). It could be attributed to the more rigid nature of the wheat bran or fiber and the decrease of starch content.

## Hardness

The extrudate hardness has been observed to increase with the decrease of the expansion ratio. The increased level of by-products generally increases the hardness of the extrudates because their expansion decreases. For example, the hardness of corn-mango peel extrudates increased as the mango peel content exceeded 8% (Mazlan et al., 2019). Similar observations were reported in the studies of grape pomace, tomato pomace, and broccoli trimming (Altan et al., 2008a,b; Bisharat et al., 2013; Bibi et al., 2017). On the contrary, the amount of pineapple pomace added did not affect the hardness of extrudates (Selani et al., 2014).

## Nutritional Properties

Adding by-products into raw materials obviously increases the nutritional content of the blends. However, the fate of different compounds in the final product depends upon the interactions of all the ingredients in the mixtures and the processing conditions. The compounds of interest are also different depending on the by-products, for instance, dietary fiber and  $\beta$ -carotene for carrot pomace, dietary fiber and lycopene for tomato peel, and total phenolic compounds and dietary fiber for fruit pomaces.

A study on the effect of extrusion processing on the antioxidant activity of apple pomace showed that the polyphenols of extruded products retained 80–97% compared to the raw mixtures (Leyva-Corral et al., 2016). The high retention was

attributed to the combined effect of feed moisture content, shear stress, and temperature, which degrade the compounds or cause their transformation to easily extractable forms (Leyva-Corral et al., 2016). Total phenolic compounds of grape-pomace enriched extrudates retained ~75 at 5% inclusion, and there was no significant loss at 10% inclusion of grape pomace before and after extrusion (Bibi et al., 2017). The total antioxidant activity of extrudates was retained to approximately 90% compared to raw mixtures. This retention was higher compared to the baking of grape seed flour, and it could be attributed to the short residence time (cooking time) in extrusion processing (Bibi et al., 2017). Similarly, another study found that extrusion cooking almost doubled the total phenolic compounds of the extrudates enriched with cauliflower inclusion of up to 20% (Stojceska et al., 2008a). Total antioxidant capacity also increased after extrusion for up to 10% of cauliflower inclusion (Stojceska et al., 2008a). The increase could be the consequence of high temperature, water stress, which could induce the production of phenolic compounds.

Interestingly, Kaisangsri et al. (2016) reported that at a low level (5 g/100 g) of carrot pomace, the retention of  $\beta$ -carotene was higher (74%) compared to the 30 g/100 g of carrot pomace (35%), after the extrusion processing. At a low level of pomace, the  $\beta$ -carotene may be protected by the starch matrix, which makes  $\beta$ -carotene less susceptible to degradation.

The fiber content of the extrudates decreased because of the conversion of insoluble fiber to soluble fiber (Rzedzicki et al., 2004; Sayanjali et al., 2017; Demuth et al., 2020). Extrusion processing conditions such as feed moisture content and temperature affected the TDF, IDF, and SDF fraction. Increasing moisture content from 14 to 23% increased the TDF and IDF because low moisture content led to more intensive change or degradation of TDF and IDF while resistant starch was formed (Rzedzicki et al., 2004).

## EFFECTS OF BY-PRODUCTS ON PHYSICO-CHEMICAL PROPERTIES OF 3RD GENERATION EXTRUDED (PELLET) PRODUCTS

The third-generation extruded products (TGE) or pellets are processed using extrusion under conditions of high moisture (30–35%), low shear, and low temperatures of <100°C in the forming zone of the extruder (Aguilar-Palazuelos et al., 2006). After extrusion, the pellets are cooled and dried to 10–11% moisture, and the TGE becomes stable and reaches the glassy state (Osman et al., 2000; Sevatsion and Huber, 2000; Aguilar-Palazuelos et al., 2006). TGE does not expand on extrusion and is termed as a non-expanded product. Generally, the starch content for TGE is >60% (Sevatsion and Huber, 2000) in contrast to direct-expanded products that utilize starch above 80% concentration (Gu et al., 2017). The TGE produced by extrusion is highly dense and is firm compared to direct-expanded products and is processed further using a microwave, baking, or frying to form expanded products before consumption (Sevatsion and Huber, 2000). On the industrial scale, TGE is expanded by hot

oil, hot air puffing, or microwaving. They are further seasoned and flavored and sold as ready-to-eat snacks that are shelf-stable.

The functional properties of starches impart the final texture characteristics to the pellets, such as firmness, expansion, and crunchiness. Additionally, proteins, dietary fiber, vegetable oil, shortenings, flavorings, and colorants may be added to improve the nutritional and textural attributes of the products (Aguilar-Palazuelos et al., 2006). The inclusion of vegetable oil or shortenings is employed as processing aids as they help to reduce the stickiness and control expansion of the products (Sevatsion and Huber, 2000).

The physicochemical properties of TGE include the expansion index (EI), bulk density, and the penetration force to break the extrudates (Table 3). The production of pellets with the inclusion of by-products from the agricultural industries is limited. However, there are a few reports on pomace inclusion in pellets in the literature, which are briefly discussed below.

### Expansion Index (EI)

The critical factors responsible for the TGE expansion include time, moisture content, and temperature of cooking. The high EI of extrudates is obtained by partial gelatinization of starch, which allows entrapment of water in the extrudates, which can be utilized during microwave expansion (Tovar-Jiménez et al., 2015).

Lower EI values can be attributed to the starch dextrinization due to the shear and temperature during extrusion. The EI is reported to increase under conditions of high temperature and low moisture, as lower moisture imparts higher shear rates and improves viscoelastic properties of the melt by the degradation of starch. Ruiz-Armenta et al. (2018) reported maximum EI of whole-grain yellow corn and Naranjita fruit bagasse pellets at a barrel temperature of >128°C and moisture content of <24%. These pellets were expanded in a microwave oven for 20 s post extrusion. It should also be noted that an optimal gelatinization percentage of about 50% is ideal for higher EI. Lower gelatinization of starch would lead to reduced absorption of water by starch, which would result in less expanded structures. However, in this study, the higher level of Naranjita bagasse led to a decrease in the EI, as fiber interferes during the formation of the air cells and collapses the microstructure of the extrudates.

Tovar-Jiménez et al. (2015) reported on the inclusion of orange vesicle flour in starch extrusion used in the microwave for the expansion of the pellets. The optimum microwave time to obtain pellets with maximum EI was 28 s, and extrusion processing conditions such as low moisture (22%) and high temperatures (143°C) are responsible for high EI. High shear and high temperature may lead to starch dextrinization (Sarifudin and Assiry, 2014), which is also responsible for lowering of EI. Generally, the inclusion of pomace reduces the EI of pellets due to the interference of pomaces in the cooking of starch during extrusion.

### Penetration Force (PF)

PF is the maximum force required for initial breakage of the extrudates, and it measures the resistance of the extrudates to breakage (Yagci and Gögüş, 2009; Tovar-Jiménez et al., 2015;

Navarro-Cortez et al., 2018). PF is negatively correlated to EI and positively correlated to bulk density (BD) (Pérez et al., 2008; Ruiz-Armenta et al., 2018). High PF values can be associated with high BD of extrudates, wherein the extrudates with high PF and BD result in hard texture. Tovar-Jiménez et al. (2015) reported that as the moisture content decreased, the penetration force decreased in their study on orange vesicle flour. In the same study, high PF values were attributed to high temperature and high moisture conditions, as higher moisture content is linked to the hardness of the extrudates.

Additionally, the increase in fiber content in the formulation with lower barrel temperature is also responsible for higher PF values. As with higher fiber, the starch content is diluted, thereby leading to the collapse of the air bubbles, which further reduces the EI and increases the PF (Navarro-Cortez et al., 2018). The increase in fiber inclusion is also reported to increase in hardness, as it interferes with the formation of nucleation and formation of air bubbles (Stojceska et al., 2008a). The increase in hardness is another factor for the increase in PF and BD of the extrudates (Navarro-Cortez et al., 2018).

## INCLUSION OF BY-PRODUCTS IN PASTA PRODUCTS

Pasta is usually produced by a cold-extrusion process using a single screw extruder (New Food, 2014; Tiwari and Jha, 2017). The screw typically exhibits a constant diameter with deep flights and a uniform pitch (New Food, 2014). The temperature typically remains below 50°C, and the moisture content ranges depending on the pasta shape, in most cases, from 28 to 32% (Wójtowicz and Mościcki, 2011). As a result, extrusion primarily serves as a compounding tool rather than a cooking tool. Precooked pasta, on the contrary, is produced at higher temperatures ranging from 70 to 100°C with moisture content up to 36% (Wójtowicz and Mościcki, 2011). The raw material most used to produce pasta is durum wheat semolina (Wójtowicz and Mościcki, 2011; Alam et al., 2016). However, other flours, such as those from different wheat varieties, rice, maize, or corn, can also be used. Eggs, vegetables, natural emulsifiers, protein, and enriching supplements, among others, may be added as supplements. Common parameters that help to determine the quality of pasta are optimal cooking time, cooking loss, hardness or firmness, swelling index, and water absorption. High water absorption, low cooking loss, and high firmness/hardness are indicators of high cooking quality (Bruneel et al., 2010).

Many studies have been conducted to incorporate agricultural by-products into pasta products. The inclusion of by-products has a significant effect on the gluten and starch matrix. Most components in the by-products, especially fiber, disrupt the matrix and thus enhance the penetration of water into the pasta product (Kaur et al., 2012; Lončarić et al., 2014; Padalino et al., 2018; Simonato et al., 2019). In addition, the by-product components compete with the major components, mainly starch, for water, and hence, inhibit the formation of a strong starch and gluten network (Ajila et al., 2010; Lončarić et al., 2014). High protein concentrations, on the other hand, result in the formation

of a strong protein network and prevent water penetration (Sozer and Kaya, 2003; Nilusha et al., 2019). The strength of the gluten and starch matrix highly affects the parameters that are used for the determination of the quality of pasta. The following sections will discuss how the inclusion of agricultural by-products influences the optimal cooking time, cooking loss, firmness or hardness, and water absorption as well as swelling index. An overview of the general effect by-products has on pasta is provided in **Table 3**.

## Optimal Cooking Time

The optimal cooking time (OCT) is defined as the time that is required for the white core inside the pasta to disappear (Lončarić et al., 2014; Sant'Anna et al., 2014; Piwińska et al., 2015; Simonato et al., 2019). Due to the disruption of the gluten matrix and the resulting enhanced penetration of water inside the pasta, the inclusion of by-products, in general, leads to a decrease in OCT. The reported OCTs for durum wheat semolina pasta without any incorporation of by-product vary greatly throughout the literature. For instance, Zarzycki et al. (2020) reported an OCT of 5 min for ribbon pasta, whereas Simonato et al. (2019) indicated that the OCT for spaghetti was > 13 min. The wide range for the control pasta may be a result of diverse shapes as well as different preparation conditions during extrusion processing; however, exact conditions are rarely reported. Therefore, it is difficult to compare the changes of OCTs, that are induced by the incorporation of diverse by-products from different studies, and to draw conclusions about the reasons for the different behaviors of the various by-products.

Sant'Anna et al. (2014) and Crizel et al. (2015) reported that the incorporation of grape marc powder and orange dietary fiber into wheat flour fettuccine up to a level of 7.5% did not change the OCT significantly. These results may be explained by the low concentrations of by-products that do not disrupt the gluten matrix continuously. A study by Lončarić et al. (2014), who studied the effect of apple (Granny Smith) peel powder on durum semolina pasta, strengthens this assumption. Fettuccine that was enriched with 10% apple by-product had an OCT of 7.3 min, like the control. However, an increase above 10% level led to an OCT decrease. The OCT for the pasta with 15% of the by-product was more than 1 min shorter (6.2 min). Studies on olive pomace, on the contrary, showed that even concentrations up to 5% of by-products could result in an OCT drop. Simonato et al. (2019) reported that 5% olive pomace in durum wheat semolina spaghetti lowered the OCT from 13.3 to 12.3 min.

The OCT drop because of the incorporation of different cereal brans or oat fiber compared to the studies on apple and olive pomace is comparatively low when considering the relatively high inclusion levels. The fortification of durum semolina pasta with 25% of wheat, rice, oat, and barley bran resulted in a decrease in OCT by 14 s, 16 s, 21 s, and 16 s, respectively, relative to the control (Kaur et al., 2012). This lesser effect on the OCT is most likely a result of the different compositions of the by-products. Wheat, rice, barley, and oat bran contained a lower amount of total dietary fiber compared to olive pomace (Kaur et al., 2012; Simonato et al., 2019). Additionally, the different brans showed relatively high carbohydrate contents that may have

partly supported the gluten matrix formation rather than its disruption. However, Piwińska et al. (2015) studied the impact of oat fiber on fusilli pasta. Although this by-product contained a great amount of fiber, a relatively small decline in OCT of 24 s was reported for an inclusion level of 20%. These results suggest that other factors, such as particle size, in addition to the fiber content in the by-products, also play a role in the disruption of the gluten matrix.

Although most studies state a lower OCT for pasta that is fortified with any agricultural by-product, exceptions can be seen. Zarzycki et al. (2020) reported a significant increase of the OCT between the control and pasta that contained 5% flaxseed cake. A further increase in flaxseed concentration up to 23%, however, did not change the OCT significantly. This may be due to the continuous disruption of the starch and gluten matrix at an incorporation level of 5% by-product.

The above-mentioned studies have shown that the incorporation of by-products up to a certain fortification level generally does not affect the OCT significantly. Increasing the by-product concentration, however, leads with a few exceptions to an unfavorable decrease in the OCT. The level at which a significant decrease in the OCT can be seen varies greatly throughout the different by-products and is likely dependent on the by-product composition.

## Cooking Loss

During cooking, pasta loses solid matter into the cooking water. The amount of solid matter in the cooking water, reported as the percentage of the weight of the starting material, is defined as the cooking loss (CL) (Ajila et al., 2010; Lončarić et al., 2014; Gull et al., 2015; Padalino et al., 2018; Simonato et al., 2019). Good-quality pasta should not exceed a CL of 12% (Sant'Anna et al., 2014). The weaker matrix of fortified pasta, in general, leads to an increase of this parameter as the starch retention is diminished and hence leaches into the cooking water more easily. Besides that, substances from the by-products itself may also dissolve in water and hence increase the CL (Kaur et al., 2012; Simonato et al., 2019).

Studies on mango peel powder (Ajila et al., 2010), grape marc powder (Sant'Anna et al., 2014), carrot pomace (Gull et al., 2015), and orange dietary fiber (Crizel et al., 2015) revealed that concentrations of 2.0 to 2.5% of such by-products do not change the CL significantly. These findings suggest that such levels may not weaken the starch and gluten matrix to a great extent. However, by-product inclusions of 4 or 5% showed a significant increase in CL not only compared to the control sample but also compared to the treatments with the lower incorporation level. The substitution of 5% durum semolina with mango peel powder or orange dietary fiber led to a rise of CL by about 40% compared to the control pasta (Ajila et al., 2010; Crizel et al., 2015). The inclusion of 4% carrot pomace increased the solid loss by more than 60% (Gull et al., 2015). Simonato et al. (2019) showed an even greater rise in CL when including 5% olive pomace into spaghetti; the CL increased by more than 95%, from 4.13% (control) to 8.07% (5% by-product). Again, the composition of the by-products may be one reason for the different behaviors. For instance, according to Gull et al.

(2015), carrot pomace contained significantly less fiber ( $11.66 \pm 0.57$  g/100 g) than what was reported for olive pomace ( $48 \pm 1.7$  g/100 g DM) by Simonato et al. (2019). However, the high concentration of total dietary fiber in mango peel powder ( $51.2 \pm 1.08$  %) reveals that the amount of fiber is not the only factor that affects the CL. When raising the level of grape marc powder, carrot pomace, orange dietary fiber, and olive pomace to 7.5% or 10%, no further significant change in CL was observed (Sant'Anna et al., 2014; Crizel et al., 2015; Gull et al., 2015; Simonato et al., 2019). Lončarić et al. (2014), on the contrary, reported a higher CL change (9.9% vs. 11.5%) when the apple peel powder level in fettuccine was increased from 10% to 15% compared to the increase of by-product from 0% to 10% for which the CL increased by only 0.5%.

A completely different pattern was observed when flaxseed cake or oat fiber was added to semolina flour. Zarzycki et al. (2020) stated that the CL dropped with an increase in flaxseed cake from 5.67% for the control pasta to 4.44% when 23% of by-product was added. Similarly, the inclusion of oat fiber, as reported by Piwińska et al. (2015), led to a lower CL in fusilli. The control showed a CL of 5.15% that declined to 3.45% when 16% of durum semolina was substituted by oat by-product. However, raising the level of oat fiber to 20% resulted in a significant increase to 4.31%. The decreases of CL may be a result of suspended insoluble fiber fractions in the gelatinized starch and the interactions between these two components and gluten that prevent the starch from leaching into the cooking water (Piwińska et al., 2015).

The selected studies have shown that the fortification of pasta with different by-products may have a significant impact on the CL. In most cases, the CL increases when by-products are incorporated into pasta. Similar to the OCT, low concentrations of by-products usually do not affect the CL extensively.

## Firmness or Hardness

Many studies report values for firmness or hardness of pasta. However, the definitions of the terms are not used consistently. Some surveys define firmness as the (maximum) force that is required to cut the pasta (Ajila et al., 2010; Wójtowicz and Mościcki, 2011; Zarzycki et al., 2020), whereas other studies use both terms to describe the mean maximum force during compression to a predetermined degree (Lončarić et al., 2014; Gull et al., 2015; Padalino et al., 2018; Simonato et al., 2019). It is furthermore important to note that the conditions at which the different analyses were undertaken vary greatly. Besides that, the extrusion conditions, which are, as mentioned earlier, rarely given, may also influence the firmness/hardness as reported by Wójtowicz and Mościcki (2011). They showed that the hardness of pasta increased with screw speed. The comparison of different studies reveals that the incorporation of by-products can lead to an increase of firmness/hardness as well as to a decrease, and thus a generalized statement about a positive or negative effect of by-products on the firmness/hardness of pasta cannot be made.

Inclusion of pomaces such as carrot pomace, apple peel powder, and flaxseed cake reduce the firmness/hardness of pasta (Lončarić et al., 2014; Gull et al., 2018; Zarzycki et al., 2020).

This lower firmness/hardness can be attributed to by-product components that prevent the development of a strong gluten network. The fortification of durum semolina Ziti-cut pasta with 2% carrot pomace decreased the firmness significantly from 5.94 N to 2.88 N (Gull et al., 2015). Raising the level of by-product in steps of 2% up to 10%, however, did not result in a further significant change. The incorporation of apple peel powder by Lončarić et al. (2014) was conducted at by-product levels of 10% and 15%. A significant change in hardness was observed between the control pasta ( $1,328 \pm 201$  g) and the pasta that contained 10% apple peel powder ( $1,109 \pm 125$  g). No further significant change was seen when 15% of by-product was added. Due to the lack of data at lower inclusion levels, it is not apparent which amount of apple by-product was required to lead to a significant change of firmness and if firmness increases with the inclusion level up to 10% or if it stagnates at a lower level such as for carrot pomace. Zarzycki et al. (2020), who used the pasta cutting force as an indirect indicator for hardness, recorded the first significant decrease of hardness between 9 and 13% of flaxseed cake incorporation. However, in this study, the further increase of by-product level up to 23% resulted in a continuous decrease of hardness. Due to a lack of information on the by-product characteristics, such as composition or particle size, an assumption on the reasons for the different behaviors cannot be made. Padalino et al. (2018) studied the fortification of pasta with tomato and olive by-product, suggested smaller by-product particles, or the additional inclusion of transglutaminase for a beneficial influence of the pasta's characteristics by minimizing the decrease of hardness.

Completely different results regarding firmness/hardness of pasta when fortified with by-products were reported by Ajila et al. (2010) and Simonato et al. (2019). They analyzed the effect of mango peel powder and olive pomace, respectively, and reported an increase in firmness when such by-products were added. The gradual addition of mango peel powder, from 2.5% up to 7.5%, caused a constant increase of force required to shear the macaroni by about 10 gf for each inclusion level from  $44.0 \pm 1.70$  gf for the control to  $73.46 \pm 1.22$  gf for the pasta that contained 7.5% by-product (Ajila et al., 2010). The firmness reported by Simonato et al. (2019) increased significantly from  $132 \pm 0.69$  N for the control spaghetti to  $150.53 \pm 0.57$  N when 5% olive pomace was incorporated and  $163.18 \pm 0.18$  N when 10% of durum semolina was substituted by the by-product. These results suggest that by-products that are high in fiber may also play a beneficial role in the firmness of pasta products due to the resistance of the dietary fiber particles (Ajila et al., 2010; Simonato et al., 2019).

The firmness/hardness of by-product fortified pasta generally differs from the control pasta. However, it is not possible to give a general correlation between the inclusion level and the change in firmness/hardness as some studies showed a greater difference in firmness/hardness at lower inclusion levels, whereas others reported that higher levels led to bigger changes.

## Water Absorption and Swelling Index

Water absorption describes the amount of water that is held by the pasta after cooking, while the swelling index (SI) is an indicator of absorbed water that is utilized for the gelatinization

of starch and hydration of proteins (Gull et al., 2018; Padalino et al., 2018). In a disrupted gluten matrix, water is absorbed more easily. A strong protein network, however, prevents water penetration and reduces starch swelling (Sozer and Kaya, 2003; Nilusha et al., 2019). Furthermore, hydrophilic components may compete with starch for water and preferentially absorb available water (Fuad and Prabhasankar, 2010). Keeping the above factors under consideration, it becomes comprehensible that different by-products have contrasting effects on the characteristics of pasta. However, both parameters, the water absorption, as well as SI, mostly increase with an increase in by-product incorporation levels.

Lončarić et al. (2014), Gull et al. (2015), Piwińska et al. (2015), and Simonato et al. (2019) reported an increase in water absorption when pasta was fortified with apple peel powder, different cereal brans (wheat, rice, barley, and oat), high-fiber oat powder, and olive pomace, respectively. Apple peel powder increased the mass of water that was absorbed by 100 g cooked pasta from 128.7 g for the control to 131.1 g and 132.3 g when 10 or 15% apple peel powder was incorporated (Lončarić et al., 2014). The substitution of durum semolina with different cereal brans resulted in greater changes in water absorption for the diverse incorporation levels. The control showed a water absorption of about 118%, which increased depending on the bran origin continuously to about 123–126% for the samples with 10% by-product, and to about 128–130% for the samples with 25% by-product (Kaur et al., 2012). The enrichment of pasta with high-fiber oat powder by Piwińska et al. (2015) showed similar results up to a level of 12% by-product. Raising the level further to 16 and 20% led to a slight, but not significant, decrease in water absorption when pasta was dried conventionally; however, vacuum dried pasta that contained 16 or 20% oat powder took up much less water. These declines may be attributed to the shorter OCT at such by-product level inclusions. An even larger rise in water absorption was reported by Simonato et al. (2019), who studied the effect of olive pomace in spaghetti. The water absorption increased from 178.00% for the control to 199.43% for spaghetti, which contained 10% by-product. The authors further described a significant rise in SI for the mentioned samples. The SI increased from  $1.65 \pm 0.02$  g water per g dry pasta (control) to  $1.92 \pm 0.09$  g water per g dry pasta (10% olive pomace). Both parameters, the water absorption, as well as the SI, are contradictory to what was reported by Padalino et al. (2018), who worked on the incorporation of olive paste flour into pasta. A slight, but not significant, decline in water absorption could be seen between the control (141%) and the spaghetti that was enriched with 10% olive paste flour (138%). However, the SI decreased from 1.86 for the control to 1.75 g water per g of dry pasta for 10% olive by-product fortified pasta. These conflicting outcomes may be explained by different processing conditions but could also be a result of different compositions of the two olive by-products.

Most of the studies have shown that fortified pasta holds more water than the corresponding control. However, the different by-products affect the water absorption as well as the SI dependent on their composition and other physical characteristics.

## CONCLUSIONS AND PROSPECTS

Many efforts have been undertaken to incorporate food by-products into extruded food products. The diverse agricultural by-products and their functionalities play a significant role in the effect they have on the final extruded product. Generally, at the by-product inclusion levels of 5–10%, the extruded products retain good textural properties. Products enriched with by-products provide more nutritional value, including an increase in dietary and bioactive compounds; however, their color and texture are modified.

Despite the extensive research reported so far, there are still gaps in understanding the role of by-products and their functionality to improve the texture and sensory attributes of the extruded products, especially in pasta, 3rd generation (or pellet type) products, and texturized protein products.

The functionality of by-products is a critical factor that influences the final properties of extruded products. A categorization of by-products based on functionality could be a valuable resource for the food processors to manufacture products that are nutritious with better texture and palatability.

The lack of understanding of the molecular interactions between different food components during extrusion makes it hard to evaluate the impact of by-products that have different compositions thoroughly. As the by-products constitute a variety of components (dietary fiber, antioxidants, minerals, vitamins, and acid), a detailed understanding of each component and their interactions with major food ingredients (carbohydrate, protein, and lipid) is important to produce snacks with desirable flavors and texture. Fourier Transformed Infrared (FTIR), Nuclear Magnetic Resonance (NMR), and X-ray diffraction (XRD) could explain these molecular interactions. The understanding of the interactions of the components could be informative to alter the final properties of extrudates.

For the pellet and pasta products, the reports on system parameters of the extrusion processing are scarce, and they should be addressed. Uncovering the impact of parameters on their properties would be informative to increase the usage of by-products. Moreover, the incorporation of by-products in other extruded products could open new avenues for processors in the future. For instance, the by-product inclusion in texturized vegetable protein (TVP) would allow them to make these products rich in fiber and bioactive compounds. The by-products depending on their functionality can also find applications in the high moisture meat analog (HMMA) products.

There is limited information on the sensory analysis of the by-product inclusion in extruded products. The sensory evaluation of these products should be addressed because consumer acceptability is a drive for the commercialization of these value-added products. In addition, studies with a holistic approach concerning the costs and benefits of using a specific by-product should be evaluated if the benefits outweigh the costs. Commercial, environmental, and nutritional aspects should also be addressed for their effective utilization.

## AUTHOR CONTRIBUTIONS

DD, JR, PE, and B-JG prepared the outline of the paper, conducted the literature review, drafted the manuscript, and prepared figures and tables. GG conceptualized the study and edited the manuscript. All authors reviewed and approved the final version of the manuscript.

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**Conflict of Interest:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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