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Pre-clinical evaluation of antiproteases as potential candidates for HIV-1 pre-exposure prophylaxis

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Previous studies on highly HIV-1-exposed, yet persistently seronegative women from the Punwami Sex Worker cohort in Kenya, have shed light on putative protective mechanisms, suggesting that mucosal immunological factors, such as antiproteases, could be mediating resistance to HIV-1 transmission in the female reproductive tract. Nine protease inhibitors were selected for this study: serpin B4, serpin A1, serpin A3, serpin C1, cystatin A, cystatin B, serpin B13, serpin B1 and α -2-macroglobulin-like-protein 1. We assessed in a pilot study, the activity of these antiproteases with cellular assays and an *ex vivo* HIV-1 challenge model of human ecto-cervical tissue explants. Preliminary findings with both models, cellular and tissue explants, established an order of inhibitory potency for the mucosal proteins as candidates for pre-exposure prophylaxis when mimicking pre-coital use. Combination of all antiproteases considered in this study was more active than any of the individual mucosal proteins. Furthermore, the migration of cells out of ecto-cervical explants was blocked indicating potential prevention of viral dissemination following amplification of the founder population. These findings constitute the base for further development of these mucosal protease inhibitors for prevention strategies.

KEYWORDS

HIV-1, antiproteases, ecto-cervix, pre-exposure prophylaxis, HESN, tissue explants

Introduction

Despite the progress in antiretroviral based HIV pre-exposure prophylaxis, there are still 1.5 million new HIV infections diagnosed per year. New infections disproportionately affect populations experiencing economic and gender inequities (1). The gender gap is most notable in areas of Sub-Saharan Africa, where more than half of those living with HIV and those newly infected with HIV are women (2). The majority of new HIV infections occur through mucosal transmission and with increasing prevalence of antiretroviral (ARV) drug resistance (3, 4), new pre-exposure

prophylaxis (PrEP) strategies specifically designed to effectively protect the mucosal portals of viral entry and not solely ARV-based, need to be considered.

The Punwami Sex Worker cohort in Kenya includes women that have maintained high-risk sexual behavior and remained serologically and PCR negative for HIV (5, 6). Proteomic studies with cervicovaginal lavage (CVL) samples from this cohort suggest that mucosal immunological factors could be mediating resistance to HIV-1 transmission in the female reproductive tract. Antiproteases were among the proteins to be differentially expressed in CVL between HIV-1-resistant women and control groups (7, 8). The majority of over-abundant proteins were antiproteases, some with known anti-inflammatory and anti-HIV-1 activity. Serpin protease inhibitors, known as serpins, play an important role in regulating inflammation and their absence can lead to severe inflammation, tissue damage, and disease (9, 10). Many serpins found overexpressed in the cohort of HIV-resistant women inhibit cathepsin G, which acts as a chemoattractant for macrophages and neutrophils (11, 12) and stimulates T cells (13); and elastase, which is known to increase the risk of HIV-1 infection and impair wound healing (14–17). Anti-inflammatory and anti-HIV-1 activity has also been described for cystatins and other protease inhibitory proteins such as α -2-macroglobulin-like-protein 1 (A2ML1) (18–23). In the present pilot study, we sought to evaluate the potential anti-HIV-1 activity of nine antiproteases including serpin B4, serpin A1, serpin A3, serpin C1, cystatin A, cystatin B, serpin B13, serpin B1 and A2ML1. We used cellular and mucosal tissue models to identify potential direct antiviral mechanisms or inhibition driven by anti-inflammatory-linked processes.

Method

Reagents and virus

Antiproteases (APs) were produced by GenScript (Piscataway, NJ, USA) *via* transient transfection of 293-6E cells with a recombinant plasmid encoding each AP. Purity was determined to be 80%–85% and functional analysis of the protein was confirmed using a Neutrophil Elastase Inhibitor Screening Kit (Biovision) per manufacturer's instructions (24).

HIV-1_{BaL} (25) was provided by the NIH AIDS Research & Reference Reagent Program (<http://www.aidsreagent.org/>). Viral stocks were by passaging through activated PBMCs for 11 days (26).

Cell culture conditions

All cell cultures were maintained at 37 °C in an atmosphere containing 5% CO₂. TZM-bl cells (27–29) were grown in Dulbecco's Minimal Essential Medium (DMEM) (Sigma-

Aldrich, Inc., St. Louis, MO) containing 10% fetal calf serum (FCS), 2 mM L-glutamine and antibiotics (100 U of penicillin/ml, 100 μ g of streptomycin/ml). PM-1 cells (30) (AIDS reagent project, National Institute for Biological Standards and Control, UK) were maintained in RPMI 1,640 medium containing 10% FBS, 2 mM L-glutamine and antibiotics (100 U of penicillin/ml and 100 μ g of streptomycin/ml).

Patients and tissue explants

Surgically-resected specimens of human ecto-cervical tissue were collected at St. Mary's Hospital, Imperial College Healthcare NHS Trust, London, UK. All tissues were collected after receiving signed informed consent from all patients through the Imperial College Healthcare Tissue Bank approved by Research Ethics Committee Wales (IRAS 17/WA/0161). All patients were HIV-negative. Mucosal tissue specimens were transported to the laboratory and processed less than 1 h after resection. Upon arrival in the laboratory, resected tissue was cut into 2–3 mm³ explants comprising epithelial and stromal layers as described previously (31). Non-polarized tissue explants were maintained with DMEM containing 10% fetal calf serum, 2 mM L-glutamine and antibiotics (100 U of penicillin/ml, 100 μ g of streptomycin/ml, 80 μ g of gentamicin/ml).

Infectivity and inhibition assays

The infectivity of virus preparations was estimated in TZM-bl cells (by luciferase quantitation of cell lysates, Promega, Madison, WI) and PBMCs (by measure of p24 antigen content in cell culture supernatant). The extent of luciferase expression was recorded in relative light units (r.l.u) as described previously (32). Viral p24 content in supernatant was measured with HIV-1 p24 ELISA (Innotest HIV antigen ELISA, Fujirebio Europe, Belgium) following manufacturer's instructions. Experiments were performed using a standardized amount of virus culture supernatant normalized for infectivity. Cells or tissue explants were incubated with serial dilutions of APs for 1 h at 37 °C. After 1 h at 37 °C, virus was added to TZM-bl cells (10^{3.3} TCID₅₀/ml) and left for the time of the experiment (2 days). Alternatively, tissue explants were challenged with HIV-1_{BaL} at 10⁴ TCID₅₀/ml. After 2 h of incubation, explants were washed with PBS, transferred to fresh plates and cultured for 24 h. Then, explants were once more transferred to fresh plates to harvest cells that might have migrated out of the tissue. Cervical migratory cells were either transferred to 96-well plates containing PM-1 cells or counted. Tissue explants and co-cultures with PM-1 cells were cultured for 15 days in the absence of inhibitor. Approximately 50% of supernatant was harvested every 3 to 4 days and replaced with fresh media. Infectivity was evaluated in supernatants by analysis of p24 concentration (Innotest HIV antigen ELISA).

Viability assays

Viability in the presence of antiproteases was determined by measuring tetrazolium salt [3-(4,5-dimethyl-2-thiazolyl)-2,5-diphenyl-2H-tetrazolium bromide (MTT)] (Sigma-Aldrich, Inc., St. Louis, MO) cleavage into a blue product (formazan) by viable cells (33). Briefly, cells and explants were incubated or not with antiproteases or Nonoxdol-9 (N-9) for 24 h. Then, culture supernatants were removed and 0.5 mg/ml of MTT added. Plates were incubated for 3 h at 37 °C. MTT solution was aspirated and lysis buffer (98% Isopropanol with 2% HCL 2N) added for 30 min at 37 °C before measurement of optical density (O.D) with a Synergy-HT plate reader (BioTek, Winooski, VT). Alternatively, after incubating tissue explants with MTT solution, dry weight was recorded. Absorbance was measured after overnight incubation with methanol at room temperature. OD values were corrected for explant dry weight.

Statistical and mathematical analysis

IC₅₀ values were calculated from sigmoid curve fitted (Prism, GraphPad) fulfilling the criterion of R² > 0.7. Statistical significance was determined using a two-tailed unpaired Student *t* test, and *P* ≤ 0.05 was considered statistically significant.

Results

Inhibitory activity of APs in TZM-bl cells

The inhibitory activity of the non-formulated APs, serpin B4, serpin A1, serpin A3, serpin C1, cystatin A, cystatin B, serpin B13, serpin B1 and A2ML1, was assessed in TZM-bl cells against the clade B R5-tropic isolate HIV-1_{BaL} (Figures 1A–C, Table 1). The estimated concentration of these antiproteases in cervical secretions is in the µg/ml range, hence the maximum concentrations tested were 100 µg/ml or 32.5 µg/ml depending on manufactured protein stock. In this cellular model, dose-response curves were only obtained for serpin B4 and cystatin A with IC₅₀ values of 15.60 ± 2.57 µg/ml and 6.29 ± 2.98 µg/ml, respectively. No cytotoxicity was observed by MTT viability assay (Supplementary Figure S1A).

HIV-1 prophylaxis potential of APs in cervical tissue

The inhibitory profile measured in TZM-bl cells can only recapitulate a limited number of inhibitory mechanisms. Furthermore, considering the limited predictive power of TZM-bl cells for mucosal compartments and to evaluate the

potential of mucosal proteins as a pre-exposure prophylaxis strategy, we tested the nine APs in a more relevant *ex vivo* model of human ecto-cervical tissue. Titration of the APs in ecto-cervical tissue explants revealed dose-response curves against HIV-1_{BaL} (Figures 1D–F) with different inhibitory profiles. For all APs, except cystatin B, an IC₅₀ value could be calculated (Table 1); however, cystatin A was the only protein reaching inhibitory levels above 80% within the range of concentrations tested. None of the APs were cytotoxic in cervical explants within the range of concentrations tested (Supplementary Figure S1B).

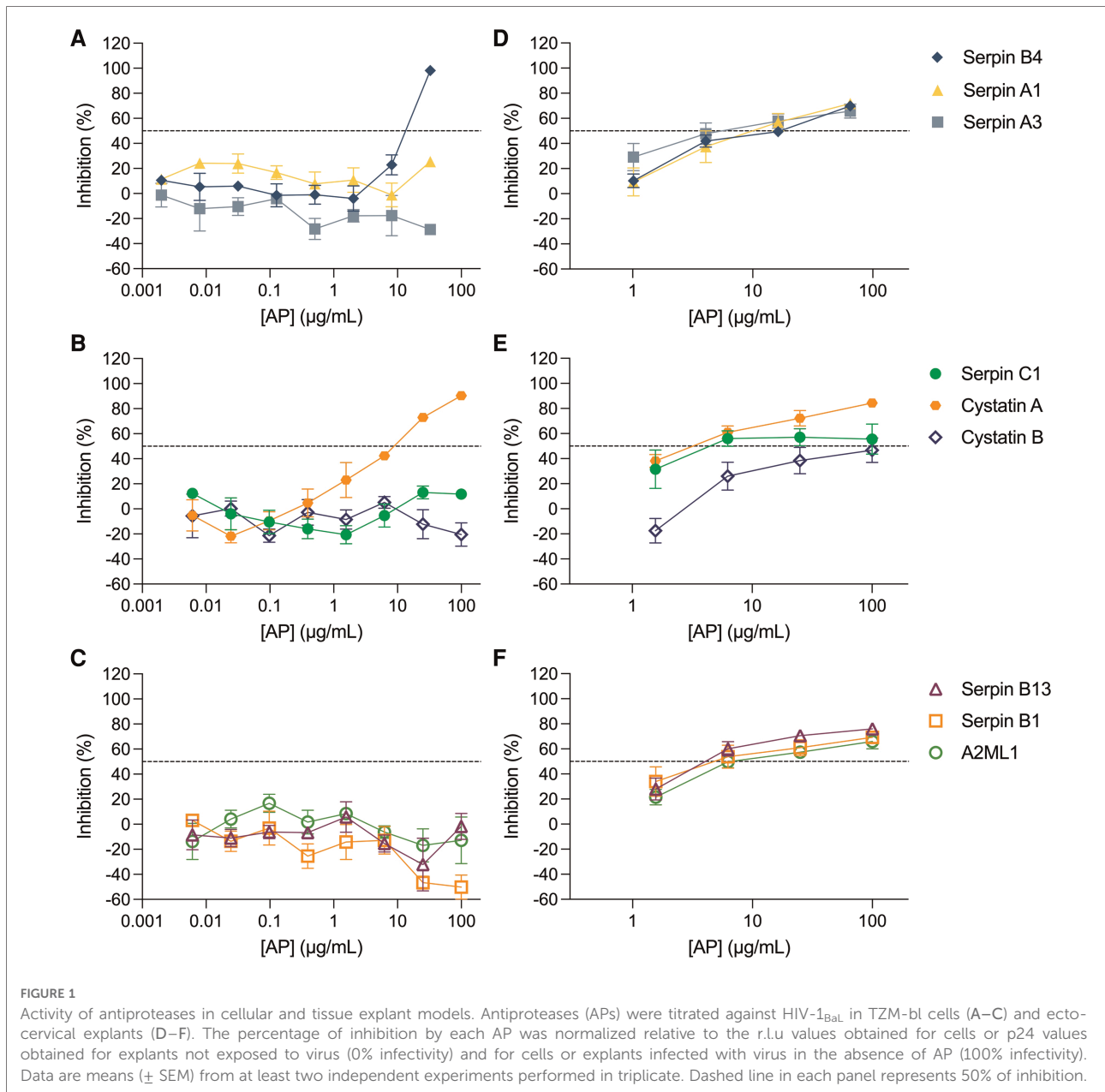
We next considered the potential of a combinatorial approach with all nine APs mimicking their presence in the cervicovaginal tract. When all nine APs were combined at the same concentration and titrated maintaining the same proportion, a higher inhibition level was reached in ecto-cervical explants with the nine AP-combination than with each of the APs titrated individually (Figure 2). The dose-response curve showed a reduction in the IC₅₀, with a value of 0.79 ± 0.039 µg/ml for the nine APs combination (reaching significance towards the IC₅₀ of serpin C1 *P* = 0.0284, cystatin B *P* = 0.0054 and serpin B13 *P* = 0.0498 when tested alone) and an increase of the higher maximum level of inhibition of 91.26 ± 1.824% reached within the range of concentrations tested.

APs are associated with decreased count of *ex vivo* ecto-cervical migratory cells

To further assess the potential of APs as PrEP candidates, we measured their inhibitory activity against *trans*-infection between cervical migratory cells and CD4⁺ T cells in a co-culture model of migratory cells isolated from ecto-cervical explants and a CD4⁺ T cell line, PM-1 cells. We initially evaluated serpin B4, cystatin A and serpin B13. Surprisingly, no viral replication was observed in culture supernatants (Figure 3A). To investigate this non titratable inhibition, new ecto-cervical explants from independent donors were dosed with these APs. A significant reduction in migratory cell count was measured in treated explants compared to the number of migratory cells measured in control explant cultures not dosed with APs (*P* < 0.0001 for all conditions) (Figure 3B).

Discussion

We have evaluated, side by side, the activity of nine mucosal APs, including serpins and cystatins, in cellular and tissue models of *cis*- and *trans*-infection. Cystatin A was the most potent protein candidate in all models used; however, the order of inhibitory potency for the nine APs was different in each model. The maximum inhibitory level achieved by the most potent AP in TZM-bl cells, serpin B4, was not reached



in ecto-cervical tissue explants. These discrepancies highlight the importance of pre-clinical evaluation with models that mimic the mucosal environment. Furthermore, analysis of the inhibitory capacity of serpin B4, serpin B13 and cystatin A against *trans*-infection revealed another potential anti-viral mechanism of protection for these proteins, with a significant reduction in the number of cells migrating out of ecto-cervical explants after overnight culture post-*ex vivo* dosing with APs. Cervical migratory cells are dendritic cells (DCs) (31), and decreased migration of cells from the ecto-cervix prevents onward dissemination of HIV to secondary lymphoid tissue (34). No cytotoxicity was observed in ecto-cervical explants following exposure to any of the AP tested, hence the

reduction in migratory cell count is not due to a cytotoxic effect. This is in line with reports linking certain antiproteases with anti-apoptotic functions (35). Migration of cervical DCs, including myeloid DCs, plasmacytoid DCs and Langerhans cells, has been shown to be modulated by pro-inflammatory cytokines such as TNF- α , IL-1 β , IL-8 and MIP-1 β using ecto-cervical tissue explants cultures (36). Cystatin A has been shown to inhibit IL-8 production by keratinocytes (37). Serpin B13 inhibits cathepsin K, L and V. Cathepsin K has been shown to induce secretion of the pro-inflammatory cytokine IL-6 (38) and to facilitate immune cell migration with cathepsin L (39). Furthermore, the later has been shown to induce proliferation of CD4⁺ T cells (40). Hence, inhibition of

TABLE 1 IC₅₀ of antiproteases against HIV-1_{BaL} in different models.

Antiprotease	IC ₅₀ (μg/ml) ^a	
	TZM-bl cells	Ecto-cervical explants
Serpin B4	15.73 ± 1.55	14.60 ± 8.36
Serpin A1	N/A	12.41 ± 9.23
Serpin A3	N/A	11.01 ± 9.51
Serpin C1	N/A	6.33 ± 2.73
Cystatin A	6.29 ± 1.72	12.72 ± 8.99
Cystatin B	N/A	N/A
Serpin B13	N/A	5.12 ± 1.05
Serpin B1	N/A	6.16 ± 4.45
A2ML1	N/A	10.42 ± 3.48

N/A: value could not be calculated within the range of concentrations tested.
^aData are means (± SEM) derived from at least two independent experiments performed in triplicate.

these cathepsins by serpin B13 could reduce the number of HIV target cells and the levels of IL-6, which is known to be upregulated during the acute phase of HIV infection in the female genital tract (41). However, increased expression of serpin B4 and cystatin A have been described in chronic inflammatory conditions of the skin with infiltration of dendritic cells, macrophages, Th1 cells and neutrophils (42, 43). Hence, further investigation is necessary to assess the impact of these protease inhibitory proteins on the mucosal environment and immunology of the female genital tract.

The APs included in this study were found to be overabundant in the HIV-resistant women from the Punwami Sex Worker cohort, however it remains unclear what triggered this altered mucosal expression levels. Recent studies have shown that serpin and cystatin levels in the female genital tract can be modulated by hormonal treatment (44, 45) or even by chronic sexual abuse (46). The initial combinatorial study performed in ecto-cervical explants aims at reproducing this increased expression of not just one, but all the antiproteases identified in this cohort. The distinct inhibitory potency observed in the TZM-bl cell and in the ecto-cervical explant cultures indicates that the mechanism of action is linked to the anti-inflammatory response induced by these proteins more than by a direct anti-viral mechanism. To our knowledge no study has evaluated the effect of the combination of these anti-proteases on pro-inflammatory cytokines/chemokines and other mucosal factors that could affect the susceptibility to HIV-1 infection.

The tissue explant model is increasingly being used as a pre-clinical tool to reduce the late-stage failure of HIV prevention candidates (47) and in early clinical trials (48–53). Furthermore, a multi-site study has shown that protocol standardization provides measurement consistency among different laboratories (54). This model recapitulates the histological and immunological characteristics of the genital mucosae and early

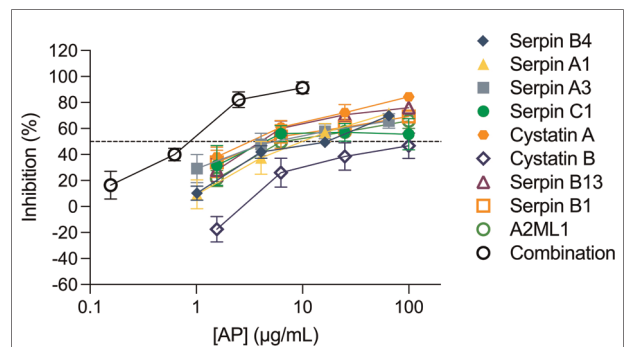


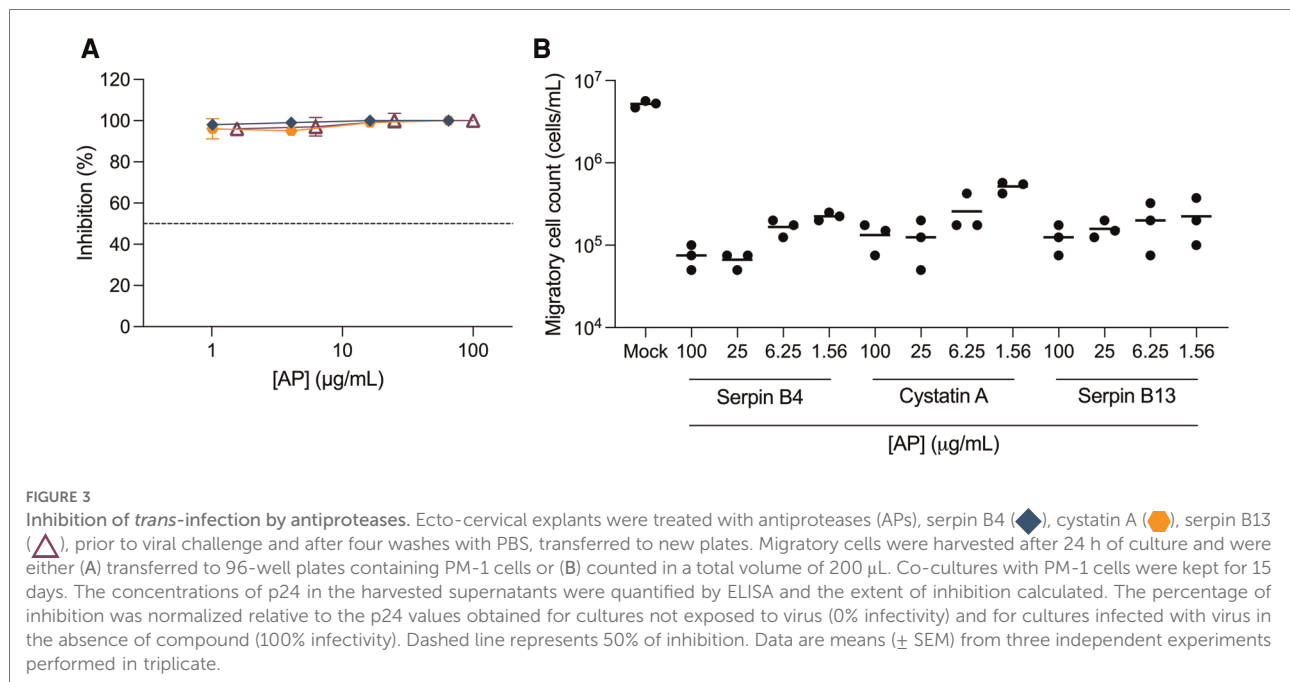
FIGURE 2

Combination of nine antiproteases in ecto-cervical explants are more active against HIV-1_{BaL} than each individual drugs. The dose-response curve of each antiprotease (AP) was compared with that of the combination of the nine APs. The percentage of inhibition by each AP and by the combination was normalized relative to the p24 values obtained for ecto-cervical explants not exposed to virus (0% infectivity) and for explants infected with virus in the absence of APs (100% infectivity). Data are means (± SEM) from at least two independent experiments performed in triplicate. Dashed line represents 50% of inhibition.

responses to stimuli can be measured (55, 56). However, limitations include (i) progressive loss of architecture despite the maintenance of CD4:CD8 T cell ratios and sufficient viability to sustain viral replication for more than 10 days (57); (ii) paucity of data regarding preservation of immune competence (58); (iii) limitation to demonstrate sterilizing protection.

To assess the combinatorial activity (synergy/additivity/antagonism) of anti-viral candidates, the Chou-Talalay equation (59) has often been used. However, to apply this equation correctly, the slopes of all the dose-response curves compared must be parallel and the activity of the candidate must cover the full range between 0% and 100% of inhibition. However, donor-to-donor variation of the explant model, assessment of molecules with different mechanisms of action and limited potency for some, makes this impossible to achieve. Hence, we provided the IC₅₀ value to show the reduction in this value and the maximum inhibitory potency achieved within the range of concentration tested as indicators of increased anti-viral activity.

Our study has several limitations, including the sparse number of explants that can be cut from each ecto-cervical specimen limiting the breadth of the titration and the number of proteins that can be compared within a same donor. The 80%–85% purity of the APs is due to the presence of deletion peptide sequences generated during synthesis and which could affect the anti-viral potency of these proteins or reproducibility of assays. Hence, peptide candidates of higher purity could provide greater inhibitory potency. No analysis of mucosal cytokine/chemokine profile linked to inflammatory responses to APs was performed in this initial study. Furthermore, inhibitory activity was only assessed against a



laboratory-adapted clade B virus and not against transmitted founder isolates from various clades. In future studies, it will also be important to assess the potential presence of HIV genetic material in the migratory cells to better define the mechanism of *trans*-infection inhibition.

The encouraging results obtained in this pilot study support further investigation to assess the mechanism of action of these proteins in the mucosal environment, with a focus on the potential modulation of inflammatory responses. Furthermore, it will be important to define the impact of such modulation on the migratory capacity of cervical DCs and, therefore, on the inhibition of the local expansion and viral dissemination to draining lymph nodes that occur following establishment of the initial founder population during mucosal HIV-1 transmission (34). Evaluation of their activity with increased dosing regimens will inform formulation strategies such as sustained delivery. Understanding the mechanism of action and pharmacological profile will be important for the dosing and formulation strategy. Furthermore, these host mucosal proteins will now be evaluated in combination with ARVs and against multiple viral clades and ARV-resistant isolates. Hence, this study constitutes the base for further development of host mucosal proteins as HIV PrEP candidates.

Data availability statement

The raw data supporting the conclusions of this article will be made available by the authors, without undue reservation.

Ethics statement

The studies involving human participants were reviewed and approved by Imperial College Healthcare Tissue Bank with delegated authority from the governing Research Ethics Committee (Wales REC). The patients/participants provided their written informed consent to participate in this study.

Author contributions

Conceptualization: CH, AB and FP. Methodology: CH, NO and LM. Analysis: CH. Funding acquisition: AB and FP. Writing: CH. Review and editing: all authors except FP. All authors, except FP, contributed to the article and approved the submitted version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/frph.2022.998913/full#supplementary-material>.

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