



# Brain Metastases in Oncogene-Addicted Non-Small Cell Lung Cancer Patients: Incidence and Treatment

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Brain metastases (BM) are common in non-small cell lung cancer patients including in molecularly selected populations, such as *EGFR*-mutant and *ALK*-rearranged tumors. They are associated with a reduced quality of life, and are commonly the first site of progression for patients receiving tyrosine kinase inhibitors (TKIs). In this review, we summarize incidence of BM and intracranial efficacy with TKI agents according to oncogene driver mutations, focusing on important clinical issues, notably optimal first-line treatment in oncogene-addicted lung tumors with upfront BM (local therapies followed by TKI vs. TKI monotherapy). We also discuss the potential role of newly emerging late-generation TKIs as new standard treatment in oncogene-addicted lung cancer tumors compared with sequential strategies.

**Keywords:** brain, metastases, non-small cell lung cancer, EGFR, ALK

## INTRODUCTION

Lung cancer is the leading cause of cancer-related deaths worldwide (1). Because of the lack of screening programs in most countries, more than half of non-small cell lung cancer (NSCLC) patients are diagnosed at an advanced stage<sup>1</sup>. The brain is a common metastatic site in this population, with 30% of patients developing brain metastases (BM) during the course of their disease, with the brain being the only site of metastatic disease in 51% of these cases. Median delay between diagnosis of the primary tumor and development of BM is 11 months. Up to half of cases, patients present with synchronous diagnosis of BM at the time of diagnosis of the primary lung tumor (2). Ironically, the lifetime incidence of BM is increasing due to prolonged survival seen in NSCLC patients thanks to new systemic therapies and improved neuro-imaging techniques (3). Unfortunately, prognosis associated with BM remains poor with reports of median overall survival (OS) between 3 and 14.8 months (4), and compared to other metastatic sites, BM are responsible for a major decrease in quality of life (5).

The discovery of targetable genomic alterations in approximately 30% of advanced NSCLC tumors, mainly adenocarcinomas, has altered the therapeutic landscape and outcome of many of these subgroups of NSCLC patients (6, 7). In the recent era of personalized treatment targeting these alterations, prognosis of NSCLC patients with BM has improved significantly achieving a median OS of nearly 4 years (8). The question whether BM harbor distinct genetic alterations beyond those observed in primary tumors has not been definitively addressed. Recent data with

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whole-exome sequencing in 86 patient-matched BM (including 38 NSCLC patients) reported 53% of cases with potentially clinically informative alterations in BM that were not detected in the matched primary-tumor sample (9). However, these findings have a number of technical limitations and are yet to be supported by clinical evidence. On the other hand, response rates (RR) to targeted therapies in molecularly defined NSCLC patients are typically similar in central nervous system (CNS) and extra-CNS disease, arguing for fewer molecular discordances between the primary tumor and CNS metastases, at least for actionable mutations. This is an important issue to resolve for determining the best treatment strategies for managing BM.

One important consideration, when interpreting CNS efficacy with tyrosine kinase inhibitors (TKIs) in molecularly selected NSCLC patients, is the inherent limitation of the standard RECIST criteria for the measurement of baseline CNS disease and response (10). This assessment does not account for potential pseudo-progression correlating with radionecrosis and non-viable tumors in patients who have received brain radiotherapy (11). New imaging tests might offer better characterization of CNS progression vs. pseudo-progression (12). While the systemic efficacy of TKI in oncogene-addicted NSCLC has been well established, their intracranial efficacy is today less well validated for a number of reasons. Brain imaging during follow-up is often optional in clinical trials, MRI is not commonly used compared to the less sensitive CT scan, and patients with BM are often excluded from NSCLC trials, and when they are accepted, BM is not a stratification criteria. The CNS is shielded by the blood-brain barrier (BBB) and is considered a “pharmacological sanctuary.” The key molecular properties that influence the BBB are the P-glycoprotein (P-gp) or breast cancer resistance protein substrate nature of the TKIs, their molecular weight, polar surface area and lipophilicity index (LogP) (13). These factors may explain why only 2% of small-molecule drugs are able to effectively cross the BBB (14), likely explaining why the CNS is a frequent site of failure after clinical benefit with some TKIs.

In this review, we summarize the incidence of BM in oncogene-addicted NSCLC patients and CNS efficacy for personalized treatment in these different sub-populations. We also evaluate new challenges such as the value of upfront personalized treatment vs. radiotherapy in oncogene-addicted NSCLC patients with BM at baseline, and administration of more potent drugs upfront vs. sequential treatment.

## EGFR-MUTANT NSCLC PATIENTS

Within the lung cancer population, activated epidermal growth factor receptor (*EGFR*) mutations occur in 10% of Caucasians and 50% of Asians (15). There are several classes of activating somatic *EGFR* mutations, with in-frame deletions in exon 19 (ELREA, *Del19*) and single-point mutations in exon 21 (*L858R*) being the most common. These mutations predict sensitivity to first- and second-generation *EGFR* TKIs, such as erlotinib, gefitinib, or afatinib. RRs and progression-free survival (PFS) with *EGFR* TKIs have proven superior to standard first-line platinum doublet chemotherapy, making them the current upfront standard of care (16). Recently, osimertinib a third-generation *EGFR* TKI, showed

a significant improvement in PFS compared with standard of care (erlotinib or gefitinib) as first-line treatment, making it a new treatment option in the first-line setting (17).

## Incidence of BM in *EGFR*-Mutant NSCLC

The baseline incidence of BM in *EGFR*-mutant NSCLC is similar to that of other oncogenic driver mutations, ranging from 23 to 32% (18–20). The cumulative incidence increases over time (19, 21), with a 2-year actuarial risk of CNS progression of approximately 15–20% when patients received standard of care *EGFR* TKIs (21, 22). BM development on *EGFR* TKI treatment is significantly more common among patients with baseline BM (2-year cumulative incidence of 47% among patients with pre-existing BM compared to 11% among those with no prior BM;  $p = 0.003$ ) and correlates with a worse outcome (21, 23, 24). Literature reporting the risk of cumulative incidence of brain progression according to *EGFR* mutation subtype is contradictory, some studies reporting higher cumulative risk among *Del19*-mutant tumors (21), and others among *L858R*-mutant tumors (22, 24).

Although, it has been suggested that *EGFR* mutations appear early during multistep carcinogenesis and may even be associated with an increased propensity for metastatic cell to spread into the brain (25), the lifetime risk is confounded by this molecular subgroup's longer survival. However, some reports suggest that the incidence of BM is higher in *EGFR*-mutant patients compared to *EGFR*-wild type (31.4 vs. 19.7%, odds ratio 1.86, 95% CI: 1.39–2.49;  $p < 0.001$ ) (18), but it could be explained by inability of first-generation *EGFR* TKIs to cross BBB, reported in up to 60% of patients (26, 27). The high incidence and significant rate of CNS failure highlights the need for additional strategies to prevent CNS progression.

## Treatment With *EGFR* TKIs

First- and second-generation *EGFR* TKI brain penetration potential, measured by the unbound brain-to-plasma ratio, termed  $K_{p,uu}$ , is very low (28), indicating that penetration into the brain is diffusion-limited or low passive BBB permeability (13). However, the importance of the BBB for intracranial tumors is debated. Retrospective observational and phase II studies have reported activity with erlotinib and gefitinib in *EGFR*-mutant patients with BM (29–34). Two studies with erlotinib showed intracranial RRs of 58 and 82% and intracranial PFS of 10.1 and 11.7 months (29, 30). Gefitinib achieved an intracranial RR of 88% and intracranial PFS of 14.5 months, with a time to salvage brain radiation from diagnosis of 17.9 months (32) (Table 1).

*In vivo* studies in NSCLC mice showed that afatinib penetrated the BBB and cerebrospinal fluid (CSF) levels correlated with plasma levels (35). In a compassionate-use program including 31 patients, afatinib demonstrated a 35% CNS response in molecularly non-selected patients who had previously failed TKI therapy, with a median time to treatment failure of 3.6 months (36). In a combined dataset *post hoc* analysis in 81 *EGFR*-mutant NSCLC patients with BM (30% had received brain radiotherapy) in the first-line LUX-Lung 3 and LUX-Lung 6 phase III clinical trials, afatinib significantly improved PFS (8.2 vs. 5.4 months, hazard ratio (HR) 0.50,  $p = 0.0297$ ) and RR (21 vs. 5%,  $p = 0.0027$ ), although without a significant difference in OS (22.4 vs.

**TABLE 1** | Efficacy of EGFR tyrosine kinase inhibitors (TKIs) in *EGFR*-mutant non-small cell lung cancer (NSCLC) patients and brain metastases (BM).

Drug	Trial	N	icRR (%)	icDOR (months)	icPFS (months)
Erlotinib	Retrospective (29)	17	82	NA	11.7
	Ph II (30)	8	58.4	NA	10.1
Gefitinib	Ph II (32)	41	88	NA	14.5
	Retrospective (34)	14	43	7.7	9.1
Afatinib	Pooled analysis (37)	81	21 <sup>a</sup>	NA	8.2 <sup>a</sup>
Icotinib <sup>b</sup>	Ph III (38)	85	65	NA	10.0
AZD3759	Ph I (28)	18	83	NA	NA
Osimertinib	AURA + AURA2 (49, 50)	128	54 <sup>c</sup>	NR	1 year: 56%
	AURA3 (51)	116	70 <sup>d</sup>	8.9 <sup>d</sup>	11.7
	FLAURA (17)	128	66	NA	NR

icDOR, intracranial duration of response; icRR, intracranial response rate; icPFS, intracranial progression-free survival; NA, not available; NR, not reached.

<sup>a</sup>Systemic RR and progression-free survival (PFS).

<sup>b</sup>Patients should have at least 3 metastatic brain lesions.

<sup>c</sup>In 50 evaluable patients.

<sup>d</sup>In 30 evaluable patients with osimertinib.

25.0 months; HR 1.14,  $p = 0.64$ ) compared with platinum-based chemotherapy (37). The magnitude of the PFS benefit was suggested being increased, for patients who had received prior whole brain radiotherapy (WBRT,  $n = 24$ ; 13.8 vs. 4.7 months; HR 0.37,  $p = 0.07$ ). Evaluation of intracranial response was not assessed as a separate endpoint in these trials (37), however, these results suggest that asymptomatic BM are not a limitation for upfront treatment with an EGFR TKI (Table 1).

Icotinib, another EGFR TKI only available in Asia, gave an intracranial RR of 65% and median PFS of 10 months in treatment-naïve *EGFR*-mutant patients with at least three BM (38). AZD3759 is a novel reversible EGFR TKI, only active against sensitizing *EGFR* mutations, which was designed to effectively cross the BBB and achieves high drug-free exposure in the brain. In a phase I trial, it achieved an intracranial RR of 83% among 18 EGFR TKI treatment-naïve patients with evaluable BM (28) (Table 1).

The substitution of threonine to methionine at amino acid position 790 (*T790M*) in exon 20 of the *EGFR* gene reduces first-generation EGFR TKI binding by enhancing the ATP binding affinity of the kinase domain of the *EGFR*-mutant receptor (39). It accounts for acquired resistance in approximately 50–60% of patients (40, 41). Knowledge of acquired resistance mechanisms to EGFR TKIs was one of the triggers behind the development of third-generation EGFR-TKIs, such as osimertinib, which are active against *exon19* and *21* mutations as well as the *T790M* mutations. Osimertinib was the first such agent to receive FDA and EMA approval (in November 2015 and February 2016, respectively) for metastatic *EGFR*-mutant and acquired *EGFR T790M*-mutant NSCLC patients progressing on or after EGFR TKI therapy<sup>2,3</sup>.

<sup>2</sup> Available from: <http://www.fda.gov/MedicalDevices/ProductsandMedicalProcedures/InVitroDiagnostics/ucm301431.htm> (Accessed: March 23, 2018).

<sup>3</sup> Available from: [http://www.ema.europa.eu/docs/en\\_GB/document\\_library/EPAR\\_-\\_Product\\_Information/human/004124/WC500202022.pdf](http://www.ema.europa.eu/docs/en_GB/document_library/EPAR_-_Product_Information/human/004124/WC500202022.pdf) (Accessed: March 23, 2018).

The rate of acquired *T790M* mutations is discordant between intracranial and extracranial metastases. In a study of 78 *EGFR*-mutant patients who had undergone re-biopsy after TKI failure, only 17% of CNS lesions were *T790M* mutated compared to 41% of systemic lesions (42), suggesting that the selection pressure is lower intracranially owing to the lower EGFR TKI concentrations in CSF compared to serum concentrations (42, 43). Preclinical data demonstrated greater penetration and brain exposure with osimertinib than with gefitinib, rociletinib, or afatinib (44).

Central nervous system activity of osimertinib was reported in pretreated *T790M*-positive NSCLC patients in the AURA study phase II extension component (45), the phase II AURA2 trial (46), and was recently confirmed in the phase III AURA3 trial (47) and the first-line FLAURA trial (17). In the pooled analysis of the two phase II trials ( $N = 411$ ), osimertinib demonstrated an overall RR of 66% and median PFS of 11 months (48). In the pre-specified subgroup analysis of CNS response in this pooled analysis among 128 patients with CNS metastases at baseline, 50 were evaluable for CNS response. CNS response and DCR were 54 and 92%, respectively, and CNS response was observed regardless of prior radiotherapy. Median CNS duration of response (DOR) was not reached and at 9 months 75% of patients were estimated to remain in response. Median CNS PFS was not reached (49), with 1-year PFS of 56% (50). In the AURA3 trial, osimertinib demonstrated significantly greater efficacy in RR (71 vs. 31%) and PFS (10.1 vs. 4.4, HR 0.30, 95% CI: 0.23–0.41,  $p < 0.001$ ) than platinum-pemetrexed chemotherapy, in 419 *T790M*-positive NSCLC patients who had progressed on first-line EGFR TKIs (47). Among 116 patients from the AURA3 trial with BM (measurable or not), PFS was longer with osimertinib compared to chemotherapy (11.7 vs. 5.6 months, HR 0.32; 95% CI: 0.15–0.69) and cumulative incidence of CNS progression at 6 months was lower with osimertinib compared to chemotherapy (11.5 vs. 28.2%) (51). Among 46 patients with evaluable BM, the intracranial RR was 70% with osimertinib compared with 31% with chemotherapy, with a median DOR of 8.9 vs. 5.7 months, respectively (51) (Table 1). It has been proposed that BM may not develop secondary resistance mutations to EGFR TKIs that develop during extracranial progression, due to reduced drug penetration of the BBB (52). However, CNS efficacy with osimertinib reported in AURA3 trial, appears to contradict this theory. In the CNS full analysis set ( $N = 128$ ) from FLAURA trial, osimertinib reported improved CNS RR (66 vs. 43%) and longer CNS PFS (NR vs. 13.9 months, HR 0.48, 95% CI: 0.26–0.86,  $p = 0.04$ ) and reduced the risk of CNS progression compared with the standard of care. Among evaluable CNS evaluable patients ( $N = 41$ ), osimertinib improved the CNS RR (91 vs. 68%) with similar DOR compared with the standard of care (15.4 vs. 18.7 months) (53).

In light of the reduced CSF concentrations of EGFR TKIs, various studies have examined administration of high doses in an attempt to achieve therapeutic levels (54–57). “Pulsatile” erlotinib at 1,500 mg given weekly resulted in an intracranial RR of 67% with a median time to CNS progression of 2.7 months in nine patients (55). In a phase I trial, pulse and daily low-dose erlotinib prevented progression of untreated or new CNS metastases, without improving extracranial outcome compared with

standard-dose (58). However, the limited number of patients, the short follow-up period and the fact that half of the patients with baseline BM had already been treated are confounding factors that prevent any conclusions being reached regarding efficacy in the CNS with this strategy.

## Combined EGFR TKIs and Antiangiogenics

Activity and an acceptable safety profile of bevacizumab, an anti-VEGF monoclonal antibody, have been reported in NSCLC patients with asymptomatic and untreated BM (59). Moreover, in a preclinical model of lung adenocarcinoma, bevacizumab prevented BM formation (60). In a phase II trial in 154 Asian *EGFR*-mutant NSCLC patients, the addition of bevacizumab to erlotinib as first-line treatment significantly improved PFS compared to erlotinib alone (16.0 vs. 9.7 months, HR 0.54; 95% CI: 0.36–0.79,  $p = 0.0015$ ) (61), leading to EMA approval of the combination in this population in April 2016. Two ongoing phase III trials evaluating erlotinib combined with ramucirumab (NCT02411448) or bevacizumab (BEVERLY study, NCT02633189) compared with erlotinib, will hopefully further validate this strategy.

In the single arm phase II BELIEF trial in 109 Caucasian *EGFR*-mutant NSCLC patients, combined erlotinib and bevacizumab gave median PFS and OS of 13.2 and 28.2 months, respectively. However, the primary endpoint was only met in baseline *T790M*-positive tumors with a median PFS of 16 months, whereas in *T790M*-negative tumors, median PFS was 10.5 months (62). In the subgroup of patients with pretreated BM ( $N = 21$ ), median PFS was 8.8 months. The efficacy of this combination in the BM population does not appear to be superior to standard EGFR TKI therapy (37), however only 21 patients with BM were included in the BELIEF trial. Results from the ongoing randomized phase II BRILLANT trial (NCT0265536), testing bevacizumab plus erlotinib vs. erlotinib in BM *EGFR*-mutant patients, should reveal the efficacy of this combination in this population. Also the combination of osimertinib and bevacizumab in *EGFR*-mutant NSCLC patients and BM is currently assessed in a phase II trial (NCT02971501).

## ALK-REARRANGED NSCLC PATIENTS

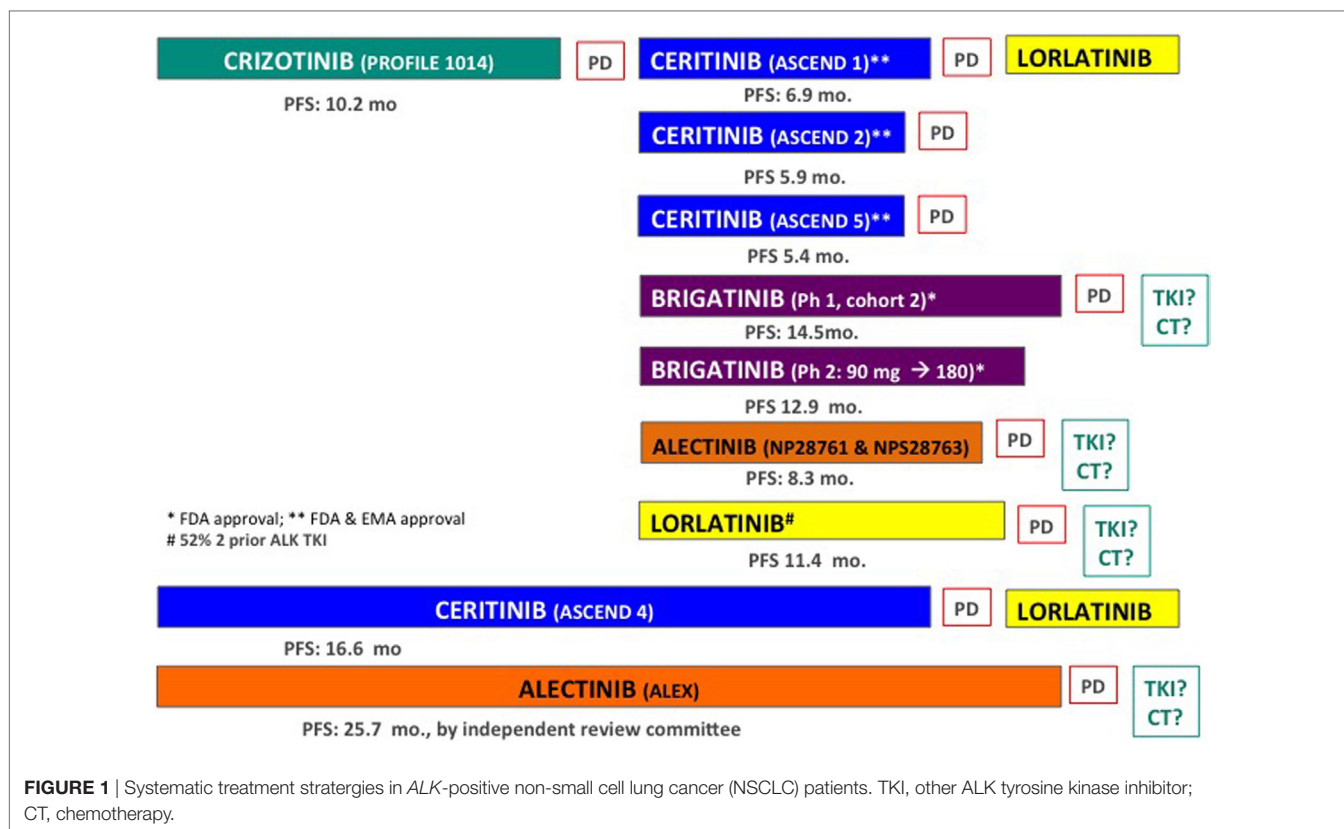
Anaplastic lymphoma kinase (*ALK*) rearrangements result from inversions or translocations on chromosome 2 and are present in ~5% of NSCLC tumors, with no apparent differences in incidence according to race. Crizotinib was the first treatment to be approved in this population achieving a median PFS of 10.9 vs. 7.0 months with platinum-pemetrexed chemotherapy in the front-line setting in the phase III PROFILE 1014 study (63). In the subsequent phase III ASCEND-4 trial in *ALK*-positive (by central immunohistochemistry) NSCLC patients, upfront ceritinib, a second-generation *ALK* TKI, gave a median PFS of 16.6 vs. 8.8 months with platinum-pemetrexed chemotherapy (64). Based on these results, the FDA approved ceritinib as first-line treatment in *ALK*-positive NSCLC patients in May 2017. More recently, the phase III ALEX trial demonstrated a significant improvement in PFS with alectinib (a second-generation *ALK* TKI) compared with crizotinib (25.7 vs. 10.4 months, HR: 0.50, 95%CI: 0.36–0.70,  $p < 0.001$ ) by independent review, and with

a better toxicity profile, as first-line treatment in *ALK*-positive NSCLC patients (65). The EMA and FDA approved alectinib as first-line treatment in 12 October 2017 and in 6 November 2017, respectively. Treatment strategies in this population are provided below and in **Figure 1**.

## Incidence of BM in *ALK*-Positive NSCLC

In *ALK*-positive NSCLC patients, CNS metastases affect from 24 to 42% of patients (19, 65–68) with risk increasing over time, reaching 58% at 3 years (19). In this population, median OS after development of BM was 49.5 months, with no survival differences detected according the number of BM (single vs. more than one BM) (69), confirming the prolonged survival of *ALK*-positive NSCLC patients with BM. However, the CNS is a common site of progression with crizotinib; in patients with known BM (treated or untreated), the CNS was a site of new lesions or non-target progression in 70% of cases of progression during crizotinib treatment. In patients without BM at the time of crizotinib initiation, 20% subsequently experienced CNS progression (68, 70). It remains controversial whether this increased risk was an expression of the natural *ALK*-rearranged disease course independent of the therapy received, or if, as in *EGFR*-mutant NSCLC patients, it is related to low CSF penetrance of *ALK* TKIs. Crizotinib is a substrate for the ATP-binding cassette (ABC) drug efflux transporters, P-gp and ABC subfamily G member 2, and has been associated with poor accumulation of the drug in the brain, a CSF-to-plasma ratio of 0.0026 reported in a case study (71). In support of this, ABCB1<sup>-/-</sup> and ABCG2<sup>-/-</sup> mice had a 25- to 70-fold higher brain concentration following oral administration of crizotinib compared to wild type (71).

Nonetheless clinical evidence for crizotinib CNS efficacy has been reported. A pooled retrospective analysis of crizotinib efficacy in *ALK*-positive NSCLC patients with BM from the PROFILE 1005 phase II and PROFILE 1007 phase III trials has been reported (68). At baseline, 31% of patients (275 of 888) had asymptomatic BM. Analytic subgroups were stratified according to prior brain radiotherapy (60%) or not. The intracranial disease control rate (DCR) at 12 weeks was similar in these two groups at 62 and 56%, respectively. Of note, previously treated patients demonstrated higher CNS objective RR with crizotinib (33 vs. 18%, respectively), as well as prolongation of the median time to intracranial progression (13.2 vs. 7.0 months, respectively; **Table 2**) (68). Intracranial efficacy of crizotinib in treatment-naïve *ALK*-positive patients was studied in the PROFILE 1014 trial (70). Of 343 patients, 79 (23%) had treated BM at baseline. Compared to chemotherapy, crizotinib demonstrated longer PFS (9.0 vs. 4.0 months; HR 0.40, 95% CI: 0.23–0.69,  $p < 0.001$ ) and a better RR (77% vs. 28%,  $p < 0.01$ ). Crizotinib achieved a 12-week intracranial DCR of 85% and median intracranial time to progression of 15.7 months in patients with treated BM (**Table 2**). CNS progression as the only site of progression on crizotinib was reported in 38% of patients with treated BM at baseline and 19% without (70). In the randomized phase III ALEX trial, crizotinib was used as the standard of care in the control arm and brain MRIs were mandatory at baseline and during follow-up (65). Among the 22 patients with measurable BM



**FIGURE 1** | Systematic treatment strategies in *ALK*-positive non-small cell lung cancer (NSCLC) patients. TKI, other *ALK* tyrosine kinase inhibitor; CT, chemotherapy.

at baseline, crizotinib achieved an intracranial RR of 50% and a median duration of intracranial response of 5.5 months. For the seven patients previously treated with brain radiotherapy, crizotinib gave an intracranial RR of 71% and median DOR of 17.3 months (72). Despite these data suggesting intracranial efficacy with crizotinib, especially among previously-treated BM patients, recent data showed that the cumulative incidence rate of CNS progression at 12 months was consistently higher with crizotinib compared with alectinib (32 vs. 4.6% respectively in patients without BM at baseline; HR 0.14, 95% CI: 0.06–0.33,  $p < 0.0001$ ) (65, 72), suggesting that the risk of BM progression may correlate more closely with *ALK* TKI subtype and not the natural *ALK*-rearranged disease course.

## Treatment With Novel *ALK* TKIs

### Ceritinib

Ceritinib is a second-generation *ALK* inhibitor that is 20 times as potent as crizotinib. It is effective in *ALK*-positive patients upfront and patients who progress while on crizotinib, including patients with BM (73). In the phase III ASCEND-4 trial, among 121 *ALK*-positive TKI-naïve NSCLC patients with BM, first-line treatment with ceritinib improved PFS (10.7 vs. 6.7 months, HR 0.70) compared to chemotherapy. Intracranial RR in 22 patients with measurable BM at baseline was 73%, with a median duration of intracranial response of 16.6 months (64). The CNS efficacy of ceritinib in crizotinib-pretreated and *ALK*-naïve

patients was tested in the phase I ASCEND-1 trial (73), as well as the phase II ASCEND-2 (74), and ASCEND-3 (75) trials (Table 2). In the phase III, ASCEND-5 trial in previously treated (chemotherapy and crizotinib) *ALK*-positive NSCLC patients, ceritinib compared with chemotherapy significantly improved PFS across all patient subgroups, including in 133 patients with BM at baseline (56% previously treated with brain radiotherapy), from 1.5 months to 4.4 months (HR 0.54, 95% CI: 0.36–0.80). Among the 17 patients with measurable BM, ceritinib gave a 35% intracranial RR and median duration of intracranial response of 6.9 months (76). Nonetheless, despite these second-generation more potent *ALK* TKIs, BM remained the main site of progression among patients with BM at baseline (76). Based on ceritinib efficacy, an international prospective phase II open-label study is ongoing (ASCEND-7, NCT02336451) specifically evaluating the anti-tumor activity of ceritinib in *ALK*-positive NSCLC patients with BM or leptomeningeal disease (previously treated with radiotherapy or not).

Gastrointestinal toxicity by ceritinib may reduce treatment compliance. The ASCEND-8 (NCT02299505) aimed to evaluate whether administering ceritinib, 450 or 600 mg, with a low-fat meal may enhance gastrointestinal tolerability vs. 750 mg fasted while maintaining similar exposure in 267 treatment-naïve *ALK*-positive NSCLC (neurologically stable BM were stable). The study demonstrated similar efficacy in terms of ORR and DCR with less frequent dose reductions/interruptions and higher relative dose

**TABLE 2** | Efficacy of ALK tyrosine kinase inhibitor (TKIs) in patients with baseline brain metastases (BM).

Drug	Trial (reference)	Brain M1	Measurable Brain M1	icRRR (%)	icTTP (months)	s/ic PFS (months)	icDOR (monthss)
Crizotinib	PROFILE 1005 + 1007 pooled. ALK-naïve (previous CT) (68)	275	22/18 <sup>b</sup>	18/33 <sup>b</sup>	7.0/13.2	NA	26.4./NR <sup>b</sup>
	PROFILE 1014. Ph III ALK-naïve (70)	79	79	85 <sup>c</sup>	15.7	sPFS: 9	NA
Ceritinib	ASCEND 5. Ph III Crizotinib + CT resistant (76)	133	17	35	NA	sPFS: 4.4	6.9
	ASCEND 4. Ph III ALK-naïve (64)	121	22	72.7	NA	sPFS: 10.7	16.6
	ASCEND 3. Ph II ALK TKI-naïve <sup>a</sup> (75)	49	13	62	NA	sPFS: 10.8	NA
	ASCEND 2. Ph II Crizotinib-resistant (74)	100	33	39.4	NA	sPFS: 5.4	9.2
	ASCEND 1. Ph I Naïve and pretreated (73)	94	36	63	NA	NA	8.2, 11.1 <sup>d</sup>
Alectinib	Pooled analysis of ph II. Crizotinib resistant (85)	136	50	64	9.2	NA	10.8
	ALUR ph II. Crizotinib and CT resistant (86, 87)	76	40	54	NA	sPFS: 9.6	17.3
	ALEX. Ph III. ALK TKI-naïve (65, 72)	122	21	81	NA	sPFS: 25.7	
Lorlatinib	Ph I in ALK-positive (11% crizotinib-naïve) (90)	41	19	42	NA	sPFS: 9.6	12.4
	Ph I in ROS1-positive (90)	12	5	60	NA	sPFS: 7.0	12.0
	Ph II in ALK/ROS1-positive (91)						
	ALK TKI treatment-naïve	8	8	75	NA	NR	NA
	Prior crizotinib only and crizotinib ± 1-2 CT	37	37	68	NA	NR	NA
	No-crizotinib TKI ± CT	12	12	42	NA	sPFS: 5.5	NA
	2-3 ALK TKI ± CT	83	83	48	NA	sPFS: 6.9	NA
	ROS1-positive any prior line	25	25	56	NA	sPFS:9.6	NA
Brigatinib	Ph I ALK-naïve and crizotinib resistant (93)	46	15	53	NA	icPFS: 15.6	18.9
	ALTA. Ph II in crizotinib-resistant (94, 95)	153	18	67 <sup>e</sup>	NA	icPFS: 18.4 <sup>e</sup>	NR <sup>e</sup>

icRR, intracranial response rate; icTTP, intracranial time to progression; s/icPFS, systemic/intracranial progression-free survival (PFS); icDOR, intracranial duration of response; CT, chemotherapy; NA, not available; NR, not reached.

<sup>a</sup>ALK TKI naïve and chemotherapy-naïve or up to three lines of chemotherapy with progression during or after the last chemotherapy regimen.

<sup>b</sup>Data reported for previously untreated BM/previously treated BM.

<sup>c</sup>12-week intracranial disease control rate.

<sup>d</sup>Results expressed as ALK inhibitor-naïve patients, ALK inhibitor-pretreated patients.

<sup>e</sup>Patients receiving 180 mg/day.

intensity. Ceritinib administered at 450 mg fed dose demonstrated an ORR of 78% and a median PFS of 17.6 months, suggesting this dose as a potential new treatment regimen. However, fed doses of ceritinib in patients with BM were not reported to provide a clear recommendation in this subset (77, 78).

### Alectinib

Alectinib is a potent ALK TKI, active against several ALK mutations that confer resistance to crizotinib (79). It is able to penetrate the CNS and activity is expected based on animal models showing high brain-to-plasma ratios (0.63–0.94) and activity in intracranial tumor implantation models. Unlike crizotinib and ceritinib, preclinical studies suggest that alectinib is not a substrate of P-gp, a key drug efflux pump typically expressed in the BBB, and that it has greater CNS activity than other ALK TKIs (80). In the clinic, alectinib gave an intracranial RR of 52% in 21 crizotinib-resistant patients with baseline BM treated in a phase I trial (81). Alectinib was approved by the FDA in 2015 for ALK-positive crizotinib-resistant NSCLC patients based on two phase II clinical trials demonstrating a systemic objective RR of 50–52% (82, 83). A pooled analysis evaluating systemic efficacy of alectinib in both phase II trials enrolling 225 ALK-positive crizotinib-resistant NSCLC patients has been performed. Alectinib gave a systemic RR of 51%, and median PFS and OS of 8.3 and 26 months, respectively (84), with 11% of patients having CNS as the only site of progression (85). Intracranial efficacy of alectinib in this population was assessed in 136 crizotinib-resistant

patients with BM (37% with measurable disease and 70% previously treated). Intracranial RR in the whole population was 43% (36% in previously irradiated vs. 59% in patients without prior radiation) with a median DOR of 11.1 months. For patients with measurable disease ( $N = 50$ ), the intracranial RR was 64%, with complete response in 22%, and median intracranial DOR was 10.8 months (85). The phase III ALUR trial comparing alectinib with chemotherapy in 107 previously treated (chemotherapy and crizotinib) ALK-positive NSCLC patients, reported improved outcome with alectinib (PFS 9.6 vs. 1.4 months, HR 0.15; 95% CI: 0.08–0.29;  $p < 0.001$ ) (86). Among 76 patients with baseline BM, alectinib achieved an intracranial RR of 36% reaching to 54% among the 40 patients with measurable BM (87). These results endorse preclinical data showing promising CNS efficacy profile with alectinib.

In the phase III ALEX trial, 303 previously untreated ALK-positive (by immunohistochemistry) NSCLC patients were randomized to receive either alectinib (600 mg twice daily) or crizotinib (250 mg twice daily). Crossover was not allowed. As mentioned previously, PFS was significantly longer with alectinib than with crizotinib and delayed the onset of BM (65). In the ALEX trial, 122 out of 303 (40%) patients had asymptomatic BM at baseline. Alectinib achieved an intracranial RR of 59% with a systemic PFS similar to that reported in the whole population (HR 0.40, 95% CI: 0.25–0.64,  $p < 0.0001$ ) (65), with a median PFS of 14 months among patients with BM at baseline who had not received previous radiotherapy (72). Among the 21 patients with measurable

BM, alectinib gave an intracranial RR of 81% and median DOR of 17.3 months (65) (Table 2). Patients with previously-irradiated BM measurable lesions had higher intracranial RR (86 vs. 79%) and intracranial DOR (not reached vs. 17.3 months), compared with patients without prior radiotherapy (72). Similarly, the phase III J-ALEX trial in 207 Japanese *ALK*-positive NSCLC patients demonstrated the superiority of alectinib in terms of PFS over crizotinib (HR 0.34, 95% CI: 0.17–0.71,  $p < 0.0001$ ), and delayed risk of CNS progression in patients with BM at baseline (HR 0.16, 95% CI: 0.02–1.28) and those without (HR 0.41, 95% CI: 0.17–1.01). Among 43 patients with BM at baseline, alectinib significantly improved systemic PFS over crizotinib as first-line treatment (HR 0.08; 95% CI: 0.01–0.61) (88).

### Lorlatinib

Lorlatinib (PF06463922) is a selective, potent, brain-penetrant next-generation *ALK* and *ROS1* TKI, active against most known resistance mutations (79, 89). Lorlatinib was tested in a phase I trial in 54 pretreated or treatment-naïve *ALK*- ( $N = 41$ ) or *ROS-1* ( $N = 12$ ) positive NSCLC patients (11% treatment-naïve, 52% two or more previous TKIs, and 72% with BM). Patients reached a RR of 46% in the *ALK*-positive population irrespective of the number of prior *ALK* TKI therapies, and median PFS and DOR of 9.6 and 12.4 months, respectively. Lorlatinib was highly active in the CNS, including intracranial responses in 8 of 19 (42%) *ALK*-positive patients with baseline measurable BM, in over a half of whom two or more previous *ALK* TKIs had failed (90) (Table 2). The recommended dose for the phase II trial was 100 mg/day.

In the phase II trial (91), lorlatinib conferred a clinically meaningful benefit, including substantial intracranial efficacy ranging from 42 to 75% in patients with advanced *ALK*-positive disease who were treatment-naïve or who had received a range of prior *ALK* inhibitors and/or chemotherapies (Table 2). In the treatment-naïve cohort ( $N = 30$ ), lorlatinib achieved an RR of 90%, neither PFS nor DOR were reached, while the intracranial RR was 75% among eight patients with BM at baseline. Among the 111 heavily pretreated (two or three previous TKI with or without chemotherapy) patients, lorlatinib reached an overall RR of 39% with median PFS of 6.9 months, and 48% intracranial response among 48 patients with BM at baseline (91). Lorlatinib received breakthrough therapy designation in April 2017 for *ALK*-positive patients previously treated with at least one *ALK* TKI. Based on these results, the ongoing randomized phase III CROWN trial (NCT03052608) is assessing the efficacy of lorlatinib compared to crizotinib as first-line treatment in *ALK*-positive NSCLC patients. Asymptomatic and pretreated BM are not exclusion criteria.

### Brigatinib

Brigatinib is another new *ALK* TKI (also active against *ROS1*, *EGFR-T790M*, *IGFR*, and *FLT3* mutations) with a broader spectrum of preclinical activity than ceritinib and alectinib against known crizotinib-resistant *ALK*-mutants (79, 92). Brigatinib was granted break-through therapy designation by the FDA in October 2014 on the basis of its early phase I/II trial data (93). In the phase I trial, among 71 crizotinib-resistant *ALK*-positive NSCLC patients treated with brigatinib the confirmed RR was 62% with a median PFS of 13.2 months. Among 46 patients

with BM at baseline, the RR was 53% and 35% for those with measurable ( $n = 15$ ) and non-measurable ( $n = 31$ ) intracranial metastases, respectively. The median intracranial PFS and DOR in this population was 15.6 and 18.9 months, respectively. The recommended dose for the phase II study was determined to be 180 mg/day with a 7-day lead-in at 90 mg to reduce the risk of pulmonary toxicity (93).

In the phase II trial, 222 crizotinib-refractory *ALK*-positive NSCLC patients were randomized to brigatinib 90 mg/day (arm A) or 180 mg/day with a 7-day lead-in at 90 mg (arm B) (94), and updated results were recently presented (95). By independent review, the RR was 51 and 55%, in arms A and B, respectively, and PFS was 9.2 and 16.7 months, respectively, while OS was not reached in arm A and was 27.6 months in arm B. This is the longest PFS in crizotinib-resistant tumors reported with new *ALK* TKIs. Based on these results, the FDA approved brigatinib in crizotinib-pretreated patients in 28 April 2017. Among the 154 patients with BM at baseline (69%), intracranial RR (by independent-review) in patients with measurable disease ( $N = 44$ ) was 50 and 67% in arm A and B, respectively. For patients with active BM ( $N = 34$ ) RRs were similar to those with baseline BM, 47 and 73% in arms A and B, respectively. Median intracranial PFS was 12.8 and 18.4 months in arms A and B, respectively (95). The intracranial efficacy of brigatinib compares favorably with other second-generation *ALK* TKIs (74, 85) (Table 2). Brigatinib is currently being investigated in a randomized phase III ALTA-1L (NCT02737501) trial comparing brigatinib vs. crizotinib in *ALK*-positive TKI-naïve patients. Asymptomatic and pretreated BM are not exclusion criteria. This trial allows crossover from crizotinib to brigatinib and may help to elucidate whether a sequential strategy is better than upfront brigatinib.

### Ensartinib

Efficacy of ensartinib (X-396) 225 mg/day in an expansion study has been reported. Forty of the 80 enrolled patients were evaluable for response, achieving 58% partial responses (88% in eight crizotinib-naïve patients, and 64% in 22 crizotinib-resistant) (96). Updated results among 15 TKI-naïve patients showed an 80% RR and median PFS of 23.8 months (97). CNS responses [(60% partial responses) were observed in both crizotinib-naïve and crizotinib-resistant populations, with a median DOR of 5.8 months (98)]. The ongoing phase III XALT3 (NCT02767804) will compare ensartinib with crizotinib as first-line treatment (previous chemotherapy allowed).

## OTHER MOLECULAR ALTERATIONS: ROS1, RET, BRAF, AND NTRK

### ROS1 Rearrangements

*ROS1* rearrangement occurs in approximately 1 to 2% of NSCLC patients. Compared with *ALK* rearrangements, *ROS1* rearrangements are associated with lower rates of extrathoracic metastases, including fewer BM at initial metastatic diagnosis (19 vs. 39%,  $p = 0.033$ ) (99), however *ROS1* does increase the likelihood of BM (100).

In 50 *ROS1*-positive NSCLC patients, crizotinib achieved an RR of 72% and median PFS of 19.2 months (101). Based on these

results, the FDA and EMA approved crizotinib for treatment of *ROS1*-positive NSCLC patients in March and August 2016, respectively. Recently, a phase II trial in 32 Asian *ROS1*-positive NSCLC patients, ceritinib gave an RR of 62%, median PFS of 9.3 months (19.3 months among 30 crizotinib-naïve patients), and median OS of 24 months. Among eight patients with BM, intracranial RR with ceritinib was 63% (102). In a phase I trial with lorlatinib, 12 *ROS1*-positive NSCLC patients achieved an intracranial RR of 50% (80% among five patients with target lesions) and median systemic PFS and DOR of 7 and 12 months, respectively (90) (**Table 2**).

In a phase II study in 47 *ROS*-positive NSCLC patients (28% TKI-naïve, 64% one previous TKI and 8% two or more previous TKIs) treated with lorlatinib, the RR was 36%, with a 45% DCR at 24 weeks, and median PFS and DOR of 9.6 and 13.8 months, respectively (91). Among the 25 patients with BM at baseline, intracranial RR was 56%.

Entrectinib is another *ROS1* TKI (also active against *ALK* and *NTRK*) specifically designed to cross the BBB. In a phase I/II trial, entrectinib (600 mg QD) achieved a RR of 78% and median PFS of 29.6 months among 32 treatment-naïve *ROS1*-positive NSCLC patients. The intracranial RR was 83% among 11 patients with BM at baseline (103, 104). Pending questions are the best treatment sequential strategy and whether *ROS1*-positive NSCLC patients with BM should be treated upfront with entrectinib. Given the low *ROS-1* incidence, it is difficult to perform a randomized trial comparing different treatment strategies.

## RET Rearrangements

In NSCLC, *RET* rearrangements occur in 1 to 2% of unselected cases and 16% of NSCLC tumors that lack other oncogenic drivers. They are more common in adenocarcinomas and in never or lighter-smokers (105, 106). *RET*-rearranged NSCLC patients benefit from pemetrexed-based chemotherapy to a comparable extent as *ALK*- and *ROS1*-rearranged patients (107). Multikinase inhibitors, such as cabozantinib (108) and vandetanib (109, 110) in phase II or retrospective studies (105), have limited efficacy, with RR between 18 and 53%, median PFS between 2.3 and 4.5 months (105, 108–110), and median OS of 6.8 months (105). It has been speculated that the type of fusion partner may play a role in determining treatment response (109); however, this was not validated in the retrospective study (105).

Baseline BM incidence in *RET*-rearranged NSCLC is 27%, without differences in age, smoking status or fusion-partner type. Lifetime incidence of BM in *RET*-rearranged NSCLC patients is 49%. In 37 patients treated with multikinase inhibitors with activity against RET, there were no significant differences in median PFS (2.1 vs. 2.1,  $p = 0.41$ ) or median OS (3.9 vs. 7.0 months,  $p = 0.10$ ) in patients with BM ( $N = 10$ ) vs. without ( $N = 27$ ) (111). In the phase II trial with cabozantinib, baseline untreated BM were present in five patients. Cabozantinib achieved intracranial disease control in two patients with measurable disease (−34 and −1%). Brain progression during TKI treatment may be less common than in other oncogenic alterations. Of 22 patients who discontinued cabozantinib, BM was the cause in only 10% of cases (111). Similarly, intracranial responses have been

reported with alectinib, one patient responding after escalating alectinib to 900 mg twice daily (112). The efficacy of alectinib (900–1200 mg/day) as first-line treatment in *RET*-positive NSCLC patients will be assessed in a multi-cohort phase II/III B-FAST trial (NCT03178552). Treated and asymptomatic BM will be allowed. LOXO-292, another RET TKI has reported tolerability and efficacy in *RET*-dependent cancers even in progressive BM after alectinib (113).

In a phase I trial, vandetanib and everolimus showed anti-tumor activity in *RET*-positive NSCLC patients with BM (114, 115). The short-term outcomes with multikinase inhibitors with activity against RET compared to EGFR/*ALK* TKIs in *EGFR*-mutant/*ALK*-rearranged NSCLC, strongly suggest that there is a need for more selective and potent RET targeted agents as monotherapy or in combination in order to enhance activity (116).

## BRAF-Mutants

The combination of the BRAF inhibitor dabrafenib with the MEK inhibitor trametinib was approved by the FDA and EMA based on clinical activity in 57 pretreated *BRAF-V600E*-mutated NSCLC patients (1–2% of lung adenocarcinoma patients) following a phase II trial giving an RR of 67% and median PFS and OS of 8.6 and 18.2 months, respectively, however no data regarding CNS efficacy are available (117). Similar outcomes were recently replicated among 36 TKI-naïve *BRAF (V600E)*-mutant NSCLC patients (118). In melanoma BM patients, this combination has reported intracranial responses (119), making it highly probable that activity will be observed in NSCLC patients, although this needs to be validated.

## NTRK Rearrangements

Fusions involving the genes *NTRK1*, *NTRK2*, and *NTRK3* are oncogenic drivers. They encode the proteins TRKA, TRKB, and TRKC, respectively, and play roles in neuronal development, cell survival, and cellular proliferation (104). These fusion genes have been detected in a variety of tumors including lung in up to 3% of cases, using different assay (NGS or FISH-based) (120). Entrectinib has reported efficacy in *NTRK*-positive tumors, including NSCLC patients, with a median PFS of 15.6 months (104) and also intracranial activity (104, 120), confirming that entrectinib crosses the BBB. Larotrectinib (LOXO 101) is a pan-TRK TKI. In a phase I clinical trial with 55 *NTRK*-positive solid tumors (five NSCLC patients), larotrectinib achieved an RR of 78% across a wide range of ages and tumor types (121). CNS efficacy of this agent remains unknown.

## UPFRONT TKIs vs. UPFRONT RADIOTHERAPY IN ONCOGENE-ADDICTED NSCLC

In oncogene-addicted NSCLC, TKIs have clearly demonstrated increased CNS efficacy, including with next-generation TKIs, which are more potent than first-generation TKIs. Most data have been generated in *EGFR*- or *ALK*-positive patients, although similar outcomes are expected with other druggable alterations. Nonetheless, alternative treatment options exist in this group such



as surgery, WBRT or stereotactic radiosurgery (SRS) (122), and the optimal treatment combination or sequence remains unclear.

## Sequential Strategies

A systematic review and meta-analysis of 12 non-comparative studies in 363 *EGFR*-mutant NSCLC patients with BM, showed evidence that upfront radiotherapy (SRS or WBRT) improved survival outcomes (123). However, this study is based on published data and not on individual patient data limiting its validation. This study also reported that radiotherapy caused more neurological adverse events relative to *EGFR* TKIs alone. In a retrospective multi-institutional analysis in 351 *EGFR*-mutant TKI-naïve NSCLC patients with BM, median OS for three alternative strategies, SRS followed by an *EGFR* TKI ( $n = 100$ ), WBRT followed by an *EGFR* TKI ( $n = 120$ ), or an upfront *EGFR* TKI ( $n = 131$ ), was 46, 30, and 25 months, respectively ( $p < 0.001$ ) (124). In a multivariate analysis, SRS and WBRT vs. *EGFR* TKI were associated with improved OS, but not with median time to intracranial progression, suggesting that an upfront *EGFR* TKI and deferred radiotherapy is associated with inferior OS. SRS followed by *EGFR*-TKI resulted in the longest OS and allowed patients to avoid the potential neurocognitive sequelae of WBRT. However, the retrospective setting meant that data for quality of life and chronic neurocognitive assessments, extracranial disease burden were unavailable, and randomized study design was not used, all of which can be considered as limitations of this analysis. In addition, it is likely that there were a higher number of oligo-metastatic patients in the SRS arm, in whom there is not an urgent need for a TKI to control the extracranial disease, which would generate a major bias.

In a retrospective study ( $n = 97$ ), intracranial PFS was improved in patients who received upfront radiotherapy followed by icotinib compared to those receiving icotinib alone, although without OS improvement (125). However, the absence of randomization makes it difficult to draw a conclusion. On the other hand, in a phase III trial, upfront icotinib ( $N = 85$ ) compared with WBRT (30 Gy) plus chemotherapy ( $N = 91$ ) in *EGFR*-mutant patients with at least three BM significantly improved intracranial PFS (10.0 vs. 4.8 months; HR 0.56, 95% CI: 0.36–0.90;  $p = 0.014$ ), intracranial RR (67.1 vs. 40.9%,  $p < 0.001$ ), and systemic RR (55.0 vs. 11.1%,  $p < 0.001$ ), with a better toxicity profile. Median OS had no significant difference between the arms (18.0 vs. 20.5 months; HR 0.93, 95% CI: 0.60–1.44,  $p = 0.734$ ) (38).

Any of these studies evaluated radiotherapy strategies compared to third-generation *EGFR* TKIs, so, a prospective randomized trial evaluating intracranial progression after SRS (to avoid the potential neurocognitive sequelae of WBRT) followed by third-generation *EGFR* TKI vs. third-generation *EGFR* TKI followed by SRS is needed. The clinical question has also been raised as to whether SRS as consolidative treatment in brain residual disease after *EGFR* TKI response could improve intracranial PFS in this population or whether this radiotherapy should be only administered in cases of progression on an *EGFR* TKI.

## Concomitant Strategies

In a recent meta-analysis, radiotherapy plus *EGFR* TKIs resulted in a superior RR and DCR, and markedly prolonged the CNS-time to progression and OS of NSCLC patients with BM (126),

although patients were not selected according to *EGFR* status. The role of combining an *EGFR* TKI with WBRT was investigated in a single arm phase II trial of 40 patients (17 *EGFR*-mutant) (127). Patients received erlotinib 150 mg/day for 1 week, followed by erlotinib with concurrent WBRT (2.5 Gy/day, 5 days per week, to 35 Gy) and underwent formal cognitive testing before enrollment and at each follow-up visit. In the *EGFR*-mutant subset, patients had longer OS compared to wild-type *EGFR* (19.1 vs. 9.3 months, respectively). Erlotinib was well tolerated in combination with WBRT with no unexpected cases of neurotoxicity.

In a retrospective study in 133 *EGFR*-mutant patients with BM, radiotherapy (WBRT, SRS) and *EGFR* TKIs (erlotinib, gefitinib) improved median cranial PFS (16.0 vs. 11.5 months,  $p = 0.017$ ) and median OS (22 vs. 15 months,  $p = 0.015$ ) compared with *EGFR* TKIs alone (128). On the contrary, in another retrospective cohort of 230 *EGFR*-mutant BM NSCLC patients, the addition of WBRT to *EGFR* TKIs compared to *EGFR* TKIs alone did not result in significant differences in intracranial PFS (7.4 vs. 6.9,  $p = 0.23$ ) or systemic PFS (7.9 vs. 7.5,  $p = 0.55$ ), and combined treatment was associated with worse survival (26.4 vs. 21.6 months,  $p = 0.049$ ) (129). These results should be interpreted with caution given the sample sizes, absence of evaluation of side effects and non-randomized study design. While it can be argued that *EGFR* TKIs can be safely administered with concurrent WBRT (although for *ALK*-positive patients no data are available), high level evidence to support this is lacking, and concomitant strategies are not overtly recommended in either clinical guidelines (122) or in a recent systematic review (130). In cases of asymptomatic BM patients, given the unclear potential synergistic cognitive toxicities caused by combined therapies, WBRT or SRS should be delayed when other effective systemic therapies are available. A recent systematic literature review about results of combined irradiation and targeted therapies has been also recently published (131).

## Continuing TKIs With and Without Local Therapy

Many strategies to treat CNS disease in *ALK*-positive NSCLC patients have been reported as case reports, such as high-dose crizotinib with a limited intracranial PFS of 1 month (132) and high-dose pemtrexed in combination with high-dose crizotinib with overall stable cerebral disease for 7 months. However, it remains unknown whether the response is attributable to one or both drugs given at high dose (133). In preclinical models, for enhancing CNS drug penetration, P-gp inhibitors such as elacridar, increased the intracranial concentration of crizotinib ~70-fold (134).

In 120 *ALK*-positive NSCLC patients continuing crizotinib beyond initial progression (51% with brain progression), longer median survival was reported compared with patients who received other chemotherapy (16.4 vs. 5.4 months) (135), although this benefit could also be related to local therapies and more indolent disease in the crizotinib arm. Treating isolated CNS progression with local therapies (surgery and/or radiotherapy) while continuing crizotinib could be viewed as an acceptable option (136). In the PROFILE 1014 study, among 25

patients with intracranial progression on crizotinib, 19 received radiotherapy, while continued crizotinib achieved a median treatment time beyond progression of 5.1 months, which was longer than the 2.9 months achieved with crizotinib beyond progression among patients with extracranial progression (70). In a retrospective single-institution study, local therapy (either surgery or radiotherapy) for BM in *EGFR*-mutant (17 treated with erlotinib) or *ALK*-rearranged (38 treated with crizotinib) NSCLC patients and CNS progression allowed continuation of therapy for an additional 7.1 months (137).

Recent studies have reported that *ALK*-positive NSCLC patients with BM treated with SRS and/or WBRT and TKIs have prolonged survival (68, 69, 138). Given the extended OS for *ALK*-positive patients and frequent need for repeated courses of CNS radiotherapy, SRS is the preferred strategy for minimizing cerebral toxicity. Synergistic efficacy of crizotinib and radiotherapy could be explained by increased BBB permeability and decreased P-gp expression following irradiation (139). These results suggest intracranial interventions and TKIs beyond progression are of value in patients with asymptomatic and limited CNS progression on a TKI. This SRS strategy is being validated in an ongoing phase II clinical trial (NCT02314364) among oncogene-addicted (*EGFR*-, *ALK*-, *ROS1*-positive) NSCLC patients with up to four BM.

The promising CNS activity of the next-generation TKIs suggests that switching targeted agents may be a reasonable alternative to local therapies. However, prospective data are needed to determine which strategy offers the best OS, intracranial control rate, quality of life and therapeutic ratio, taking into account the number of BM and whether patients are symptomatic at the time of progression.

## Second- or Third-Generation TKIs Upfront or Sequentially

In *EGFR*-mutant NSCLC patients, osimertinib has reported higher intracranial activity compared with chemotherapy (51) and first-generation *EGFR* TKIs (17), and longer delay of onset of BM (51). However, lack of stratification according the presence of BM, no reported survival benefit with osimertinib and no prospective validation of this efficacy, limit interpretation. Nonetheless, preclinical data strongly support the increased intracranial efficacy of osimertinib compared with other *EGFR* TKIs (44). The ongoing phase II APPLE trial (NCT02856893) assesses the optimal strategy for delivering osimertinib in *EGFR*-mutant NSCLC patients and will prospectively validate the efficacy of osimertinib among those patients with BM at baseline (stratification criteria and brain MRI will be performed at baseline) and also the time to radiological brain progression respect to with first-generation *EGFR* TKI (gefitinib) (140).

In *ALK*-positive NSCLC patients, based on this significant PFS improvement with alectinib and the delay of CNS progression compared with the current standard first-line crizotinib in *ALK*-positive NSCLC patients, alectinib has become a new standard treatment, and is approved by the EMA and FDA. However, it has not yet been demonstrated whether upfront treatment with second-generation *ALK* TKIs impact OS compared with sequential treatment strategies (Figure 1). In *ALK*-positive NSCLC patients, 4-year OS was 57% with upfront crizotinib

in the randomized phase III PROFILE 1014 trial ( $N = 172$ ), and was 70% with alectinib (300 mg twice daily) among 43 Japanese patients included in a phase II trial (141). Although data are immature, no survival benefit has been reported with upfront alectinib compared with crizotinib in the ALEX trial (65). Also, in PROFILE 1014, patients who received crizotinib followed by another *ALK*-TKI had longer OS compared with those randomized to chemotherapy followed by no *ALK*-TKI or other treatment (who had the poorest OS), suggesting a potential benefit of sequential strategies (142).

In a multicentre retrospective study, OS in patients treated with crizotinib followed by alectinib tended to be longer than in patients treated with alectinib alone (143) and median OS up to 50 months has been reported in patients who receive sequential strategies with upfront crizotinib (144, 145). A French nationwide retrospective cohort (CLINALK study) with 318 *ALK*-positive NSCLC patients reported that patients who received next-generation *ALK* TKIs after crizotinib progression (ceritinib, alectinib, lorlatinib;  $N = 84$ ) had improved OS, reaching a median of 89.6 months (146). Large-scale prospective studies are needed to confirm these preliminary observations.

Finally, each *ALK* TKI is associated with a distinct spectrum of *ALK*-resistant mutations, and the frequency of mutations increases significantly after treatment with second-generation *ALK* TKIs (20% with crizotinib vs. 53% with alectinib) (79). It is important to note that there are few new *ALK* TKIs that may overcome alectinib resistance, and efficacy is dependent on the acquired *ALK* mutation subtype upon progression on alectinib (79). Lack of a tissue biopsy for molecular profiling at progression and limited access to new *ALK* TKIs worldwide might limit access to subsequent therapies in alectinib-resistant diseases. Validation of liquid biopsies for dynamic markers of TKI efficacy (147) as well as predictive markers for personalized treatment at progression on *ALK* TKIs is also a challenge. On the other hand, the high CNS response and the delay in the onset of BM with alectinib, which could have a positive impact on patients' quality of life, might justify first-line treatment with alectinib in this population.

## CONCLUSION

Brain metastases are common in NSCLC including in molecularly selected populations, and are associated with a reduced quality of life. A multidisciplinary approach is the optimal strategy in oncogene-addicted NSCLC patients with BM. Based on the available clinical data and long OS in patients with asymptomatic synchronous BM at diagnosis, upfront treatment with TKIs alone should be considered with close CNS surveillance for early intervention in patients with an inadequate CNS response. This strategy may defer CNS radiotherapy and avoid long-term neurologic sequelae associated with local therapies. For patients with symptomatic BM, initial TKI therapy is an option, especially in *EGFR*-mutant and *ALK*-positive NSCLC patients treated with new *EGFR* and *ALK* TKIs based on their higher CNS efficacy. In other cases, sequential treatment initiated with local therapy followed by a TKI is appropriate. For patients who experience CNS progression with controlled extracranial disease while on TKI treatment, local therapy

(preferably SRS) followed by the same TKI is an option in patients with a limited number of lesions or who are asymptomatic. In cases of multiple CNS progression, a switch to another TKI with higher CNS-penetration activity with or without WBRT is appropriate.

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## AUTHOR CONTRIBUTIONS

Review concept, design, acquisition, analysis, and interpretation of data performed equally by BB and JR.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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