



# Aberrant effective connectivity in schizophrenia patients during appetitive conditioning

Andreea Oliviana Diaconescu<sup>1,2\*</sup>, Jimmy Jensen<sup>3,4</sup>, Hongye Wang<sup>1</sup>, Matthäus Willeit<sup>3,5</sup>, Mahesh Menon<sup>3</sup>, Shitij Kapur<sup>3,6</sup> and Anthony R. McIntosh<sup>1,2</sup>

<sup>1</sup> Rotman Research Institute, Baycrest Centre, Toronto, ON, Canada

<sup>2</sup> Department of Psychology, University of Toronto, Toronto, ON, Canada

<sup>3</sup> Schizophrenia Program and Positron Emission Tomography Centre, Centre for Addiction and Mental Health, Toronto, ON, Canada

<sup>4</sup> Department of Psychiatry and Psychotherapy, Charité Universitätsmedizin, Berlin, Germany

<sup>5</sup> Department of Biological Psychiatry, Medical University of Vienna, Vienna, Austria

<sup>6</sup> Institute of Psychiatry, King's College London, London, UK

## Edited by:

Shuhei Yamaguchi, Shimane University, Japan

## Reviewed by:

Jessica A. Turner, MIND Research Network, USA

Keiichi Onoda, Shimane University, Japan

## \*Correspondence:

Andreea Oliviana Diaconescu, Rotman Research Institute, Baycrest Centre, 3560 Bathurst Street, Toronto, ON, Canada M6A 2E1.

e-mail: [adiaconescu@rotman-baycrest.on.ca](mailto:adiaconescu@rotman-baycrest.on.ca)

It has recently been suggested that schizophrenia involves dysfunction in brain connectivity at a neural level, and a dysfunction in reward processing at a behavioral level. The purpose of the present study was to link these two levels of analyses by examining effective connectivity patterns between brain regions mediating reward learning in patients with schizophrenia and healthy, age-matched controls. To this aim, we used functional magnetic resonance imaging and galvanic skin recordings (GSR) while patients and controls performed an appetitive conditioning experiment with visual cues as the conditioned (CS) stimuli, and monetary reward as the appetitive unconditioned stimulus (US). Based on explicit stimulus contingency ratings, conditioning occurred in both groups; however, based on implicit, physiological GSR measures, patients failed to show differences between CS+ and CS- conditions. Healthy controls exhibited increased blood-oxygen-level dependent (BOLD) activity across striatal, hippocampal, and prefrontal regions and increased effective connectivity from the ventral striatum to the orbitofrontal cortex (OFC BA 11) in the CS+ compared to the CS- condition. Compared to controls, patients showed increased BOLD activity across a similar network of brain regions, and increased effective connectivity from the striatum to hippocampus and prefrontal regions in the CS- compared to the CS+ condition. The findings of increased BOLD activity and effective connectivity in response to the CS- in patients with schizophrenia offer insight into the aberrant assignment of motivational salience to non-reinforced stimuli during conditioning that is thought to accompany schizophrenia.

**Keywords:** schizophrenia, appetitive conditioning, fMRI, effective connectivity

## INTRODUCTION

The name “schizophrenia” was first introduced by Bleuler (1911) as a splitting and disintegration of conscious experience. The large spectrum of symptoms underlying the disorder gave rise to the hypothesis that the pathology results from dysfunctional connectivity between distributed brain areas rather than from localized deficits (Friston and Frith, 1995; McIntosh, 1999; Bressler, 2003; Stephan et al., 2009). Recent studies examined the hypothesis of “disconnectivity” in schizophrenia by investigating temporally coherent brain activity derived from functional magnetic resonance imaging (fMRI) data (for a review, see Calhoun et al., 2009).

Schizophrenia has been associated with reduced functional connectivity across fronto-temporal networks supporting verbal encoding (Lawrie et al., 2002; Wolf et al., 2007), superior temporal, and anterior cingulate cortices during speech perception (Mechelli et al., 2007), hippocampal–prefrontal (Meyer-Lindenberg et al., 2001, 2005), and prefrontal–cerebellar networks during working memory tasks (Schlosser et al., 2003; Honey et al., 2005; Kim et al., 2009), occipito-temporal and fronto-temporal networks supporting semantic processing (Jennings

et al., 1998; Kim et al., 2005), and cingulate and prefrontal cortices during rest (Bluhm et al., 2007; Garrity et al., 2007; Zhou et al., 2007).

Patients with schizophrenia also show deficits in reward learning (Sears et al., 2000; Hofer et al., 2001), and increased responses to non-reinforced stimuli (Murray et al., 2008). Indeed, Jensen et al. (2008) suggested that, based on the implicit physiological measure of skin conductance, patients with schizophrenia demonstrated abnormal aversive learning patterns, and could not reliably distinguish between neutral stimuli and stimuli linked to aversive outcomes. Accompanying these behavioral deficits, patients with schizophrenia also exhibited increased blood-oxygen-level dependent (BOLD) activity in the ventral striatum (VS; Juckel et al., 2006; Jensen et al., 2008; Schlagenhauf et al., 2009) and hippocampus (Schmajuk, 2001; Meyer-Lindenberg et al., 2005) in response to non-reinforced stimuli.

In the present fMRI study, we investigated reward learning and group differences in effective connectivity employing an appetitive conditioning paradigm in which visual stimuli were associated with rewards and, as a consequence, became imbued with motivational salience. Galvanic skin recordings (GSR) were acquired as

an index of learning while medicated schizophrenia patients and age-matched controls were presented with visual cues that were selectively paired with monetary reward.

Based on the previous findings of dysfunctional connectivity and abnormal reward learning patterns, we hypothesized that patients with schizophrenia will inappropriately perceive neutral stimuli as motivationally salient showing increased GSR and BOLD activity in response to the non-reinforced visual cues. Furthermore, based on neuroimaging studies demonstrating disruptions in functional connectivity across medial temporal, anterior cingulate, and prefrontal regions (Calhoun et al., 2009), we posited that patients will show decreased effective connectivity between the striatum, hippocampus, and prefrontal cortex in response to the relevant visual cues. In light of recent fMRI studies that demonstrated increased BOLD responses to non-reinforced stimuli (cf. Jensen et al., 2008), we also predicted that patients with schizophrenia, in contrast to controls, will exhibit increased effective connectivity between striatal and prefrontal regions following visual cues not predictive of monetary reward.

## MATERIALS AND METHODS

### PARTICIPANTS

Eighteen patients with schizophrenia and 18 healthy controls gave written informed consent according to the guidelines of the Human Subjects Review Committee of the University of Toronto and completed the study. Patients and healthy controls were all right handed, matched based on age, gender, education, and general cognitive estimates (see **Table 1** and Appendix for details). The patients who participated in the study were medicated with atypical antipsychotics (see **Table 2** for medication details). fMRI data from five

patients and five controls could not be used: six scans were lost due to unsuccessful reconstruction; one participant's structural scan indicated brain abnormalities, and three participants moved more than the allowed 3 mm.

### APPARATUS

#### Stimuli

Red and black circles were the conditioned stimuli in the appetitive session (association with reward was counterbalanced). The appetitive US consisted of visual images that pictured three 1-dollar coins, a 5-dollar bill, or a 10-dollar bill displayed for 800 ms. The images were presented at the center of fixation and indicated that participants would receive the total amount of the money during the experiment. In total, all participants received \$75 extra upon completion of the appetitive conditioning session. The CS- circle was paired with a neutral visual stimulus, a centrally presented star, in 50% of the trials to ensure that the behavioral and BOLD signal response differences between the unpaired CS+ and CS- trials reflected the anticipation of the US, and not just any other stimulus temporally associated with the cues.

#### Galvanic skin conductance

The galvanic skin response (GSR) was measured at 10 Hz using magnetic resonance imaging MRI-compatible silver/silver chloride Ag/AgCl electrodes, which were attached to the left middle and ring fingers. The output was continuously monitored by PowerLab 2/20 (AD Instruments, Castle Hill, Australia) via long, well-isolated cables passed through an RF filter. To correct for possible MRI-induced artifacts, the GSR signal was digitally low-pass filtered using a cut-off value of 2 Hz. Peak amplitude GSR values in the period of 10 s after trial onset were compared to the values at trial onset and the frequency of differential values higher than 0.05  $\mu$ S was calculated.

### PROCEDURE

The US immediately followed the offset of the CS+ circle in 50% of the trials. The visual cues used as CS+ and CS- appeared for 5 s and the appetitive US and a neutral stimulus (a star) for 800 ms. A fixation cross was presented between trials for a period of 9 s. Trials were presented in a pseudorandom order to facilitate learning, but also to not require participants to be in the scanner for lengthy time periods. In total, there were 80 randomized trials in each session: (i) 20 CS+ paired with the US, (ii) 20 CS+ alone, (iii) 20 CS- paired with a neutral stimulus, and (iv) 20 CS- alone. The E-prime software (Psychology Software Tools, Inc., Pittsburgh, PA, USA) controlled the stimulus presentation. Immediately after participants left the scanner, they also completed a post-learning subjective-state questionnaire, in which they were asked to identify which colored circle had been associated with reward, and rate the amount of "pleasantness" they attributed to each visual cue.

### IMAGE ACQUISITION

Magnetic resonance imaging scans were acquired with a GE Signa 1.5 T scanner (General Electric, Waukesha, WI, USA) equipped with a standard head coil. Five hundred nine volumes of 28 contiguous axial 4.4 mm thick slices covering the whole brain were acquired using

**Table 1 | Group description mean  $\pm$  SD.**

	Patients ( <i>N</i> = 13)	Controls ( <i>N</i> = 13)
Age years	37.6 $\pm$ 8.5	36.5 $\pm$ 11.8
Gender: females ( <i>N</i> )	3	4
Education years	15.5 $\pm$ 1.7	16.8 $\pm$ 2.6
WAIS information	21.2 $\pm$ 2.9	22.0 $\pm$ 3.3
Duration of illness years	13.0 $\pm$ 8.9	
Clinical global impression	3.6 $\pm$ 1.3	
PANSS positive	14.1 $\pm$ 7.1	
PANSS negative	15.8 $\pm$ 6.4	
PANSS general	31.3 $\pm$ 10.5	
PANSS total	61.4 $\pm$ 20.6	

Group demographics for controls and patients, and symptom information for patients expressed as mean and SD.

**Table 2 | Patient medication information.**

1. Olanzapine 17.5 mg	10. Risperidone 6.5 mg
2. Olanzapine 15.0 mg	11. Quetiapine 200 mg
4. Olanzapine 10 mg	13. Olanzapine 10 mg
6. Risperidone 1.5 mg	14. Olanzapine 20 mg
8. Olanzapine 22.5 mg	16. Chlorpromazine 100 mg
Haloperidol 2 mg	17. Olanzapine 10 mg
9. Olanzapine 25 mg	18. Clozapine 100 mg

a T2\*-sensitive spiral in-out sequence (TR = 2240 ms; TE = 40 ms; flip angle 85°; matrix 64 × 64; FOV 200 mm × 200 mm). This sequence was chosen because it has reduced susceptibility dropout in frontal and medial temporal regions including the VS (Glover and Thomason, 2004). The first three volumes were discarded to allow for T1 equilibrium effects, and the data from the remaining 506 volumes were used in the analysis.

## DATA PROCESSING

Motion correction was performed using SPM99 (Wellcome Department of Cognitive Neurology, London, UK; Friston, 2007). Additional artifacts were removed using MELODIC (Multivariate Exploratory Linear Optimized Decomposition into Independent Components), an implementation of probabilistic independent component analysis (ICA; Beckmann and Smith, 2004). Artifacts were identified following the guidelines outlined by Beckmann and colleagues. An average of 40% of components per participant were interpreted as artifactual, and removed. There were no differences between the two groups with respect to the number of ICs removed. ICA correction increased the reliability of the voxel saliences representing the condition differences as assessed by bootstrap estimation of standard errors (see below).

After ICA correction, the images were spatially normalized with SPM99 to a locally created spiral template in Montreal Neurological Institute (MNI) space. The computed transformations were applied to all functional images, interpolated to isotropic voxels of 4 mm × 4 mm × 4 mm. The resulting images were smoothed using an 8-mm full width half-maximum isotropic Gaussian kernel. Analysis of Functional Neuroimaging software (<http://afni.nimh.nih.gov/>, Cox, 1996) was used to display statistical maps on a standard EPI template, and anatomical labels for local maxima were obtained using the SPM5 MNI Anatomy Atlas (Friston, 2007).

## PLS ANALYSIS: OVERVIEW

The fMRI data was analyzed using partial least squares (PLS; McIntosh and Lobaugh, 2004) to capture the brain regions that maximally represented group differences in BOLD activity in response to CS+ vs. CS- conditions. PLS is similar to principal components analysis (PCA) or to canonical correlation, with the exception of one important feature: PLS solutions are constrained to the *part* of the covariance structure that is attributable to the experimental manipulations or that relates to a given dependent measure. As such, PLS is ideal for data sets where the dependent measures within a block are highly inter-correlated or not of full rank, such as in the case of neuroimaging data, because items within a block are not adjusted for these correlations as they are in the canonical correlation approach.

Partial least squares computes an optimal squares fit across the entire dataset in time and space simultaneously, which requires the data to be in matrix format. Every row of the matrix contains data for one subject in one condition. The rows are arranged such that subjects are nested within condition blocks for control and schizophrenia groups, respectively. With 2 groups, 13 subjects per group, and 2 conditions (i.e., CS+ and CS-), there were 13 × 2 × 2 rows in the matrix. The columns of the matrix contained BOLD signal intensity for each voxel at each timepoint.

One of the advantages of using PLS is that it makes no assumptions about the shape of the hemodynamic response functions (HRFs). The HRF for any given condition normally lasts for several scans; therefore, a “lag-window” is a short signal segment within a trial that represents the response of each voxel. In the present study, a lag-window of eight lags from stimulus onset was used, representing eight TRs or an interval of approximately 18 s.

The present application of PLS employed the within-task mean-centering approach. Here, trials within each experimental condition were averaged and expressed as a voxel-by-voxel deviation from the grand mean across the entire dataset. Singular value decomposition (SVD) was then applied to the mean-centered deviation matrix. Mathematically, SVD simply re-expresses this matrix as a set of orthogonal singular vectors or latent variables (LVs), the number of which is equivalent to the total number of tasks. The LVs are analogous to eigenvectors in PCA and account for the covariance of the original mean-centered matrix in decreasing order of magnitude. For each LV, the two vectors are linked by a singular value (equivalent to the square root of the eigenvalues). The singular value indicates the proportion of crossblock covariance (i.e., covariance between the two blocks of data: brain activity and experimental design) that is accounted for by each LV.

The two vectors reflect a symmetrical relationship between the components of the experimental design most related to the differing signals in the voxels on one hand, and the optimal, in the least squares sense, spatiotemporal pattern of voxel signals related to the identified experimental design components on the other. The numerical weights at each voxel and timepoint are called *voxel saliences*. Those saliences identify the collection of voxels that are most related to the condition differences expressed in the given LV. The *task saliences*, on the other hand, indicate the degree to which each condition is related to the identified pattern of source waveform differences expressed in the given LV.

## Statistical assessment

Arbitrary decisions regarding the number of LVs to retain and which of the task or voxel saliences to consider relevant were minimized by using two complementary resampling techniques that provided statistical assessment of the LVs. First, permutation tests were performed using sampling without replacement by randomly reassigning the order of the conditions to each subject, and then calculating a new set of LVs for each re-ordering. This ensured that the condition differences identified by the given LV were significantly different from random data.

Second, the stability of the maximal voxel saliences representing the condition differences was assessed using bootstrap estimation of standard errors of the voxel saliences. The rationale for using bootstrap estimations of standard errors was the following: a salience whose value depends on which subjects are included in the sample is less precise than the one that remains stable regardless of the sample chosen. Thus, bootstrap samples were generated using sampling with replacement, keeping the assignment of experimental conditions fixed for all subjects. Importantly, by using bootstrap estimation of standard errors, no correction for multiple comparisons was necessary because the voxel saliences were calculated in a single mathematical step, on the whole brain at once (McIntosh et al., 1996). These two resampling techniques

provided complementary information about the statistical strength of the contrasts identified and their reliability across participants. In the present study, 500 permutations tests and 300 bootstrap estimations were computed.

### STRUCTURAL EQUATION MODELING

Structural equation modeling (SEM) analysis is a multivariate technique based on a structural model which represents the hypothesized causal relations between several variables (see McIntosh and Gonzalez-Lima, 1994; Buchel and Friston, 1997; Bullmore et al., 2000 for methodological details). In the context of fMRI research, these variables are the measured BOLD signal change of  $y_i$  to  $y_n$  brain regions, and the hypothetical causal relations are based on anatomical connections between the regions. The strength of each connection  $y_i \rightarrow y_j$  is specified by a “path coefficient,” which indicates how the variance of  $y_j$  depends on the variance of  $y_i$  if all other influences on  $y_j$  are held constant.

In the present study, the SEM analysis employed covariances of activity between regions of interest computed across participants and for each condition to determine path coefficients. The models were compared statistically to test for condition-specific differences in effective connectivity.

Five brain regions of interest in the right hemisphere that showed maximal task and group differences in the PLS analysis were selected based on prior knowledge of anatomical connectivity and involvement in reinforcement learning (Delgado et al., 2000; Knutson et al., 2000, 2001; Kirsch et al., 2003; Zald et al., 2004; Zink et al., 2004; Seymour et al., 2007). Furthermore, tracer studies in primates have also shown that the VS is anatomically connected to the dorsal striatum (Haber and Knutson, 2010), the hippocampus, and the medial prefrontal and orbitofrontal cortices (Haber et al., 1995; Ferry et al., 2000; Friedman et al., 2002). As the SEM analysis depends on an *a priori* model of anatomical connectivity, we specified only a small number of well validated connections in the right hemisphere and not across both hemispheres to exclude assumptions of commissural anatomical connectivity. The peak of the brain region activation (i.e., the BOLD signal at the third TR) was used in the analysis, averaging a neighborhood of 20 voxels around the location of the region of interest.

The selected regions were the VS [MNI ( $x, y, z$ ) = 8, 4, -4], caudate (16, -4, 16), putamen (28, 8, -8), hippocampus (20, -36 -12), orbitofrontal cortex (20, 16, -24), and the superior medial frontal gyrus (16, 56, 8). Brain region amplitudes were obtained by extracting the BOLD signal from the task PLS analysis across the entire event window (i.e., eight lags) for both unpaired CS+ and CS- conditions. The peak of BOLD activity (i.e., BOLD signal from the third lag or the third TR) was used in the SEM analysis. We decided to select the time window that showed that largest differences between the CS+ and CS- conditions, because we anticipated that the fMRI data would exhibit significant temporal correlation due to low-frequency physiological fluctuation. Furthermore, we chose the third lag because, in comparison to the rest, it also showed robust and distinct functional connectivity patterns in the CS+ and CS- conditions across the two groups.

Structural equation modeling was performed using the program Amos 17.0 (SmallWaters Corp., USA) applying a maximum likelihood algorithm for estimating path coefficients. Statistical

inferences about group differences were based on a hierarchical model approach. This approach compared an alternative model, in which all connections were allowed to vary between the two groups and the two conditions, to a null model in which all path coefficients were constrained to be the same across all groups and all conditions. In the null model, error variances were also set to be the same as those estimated in the alternative model.

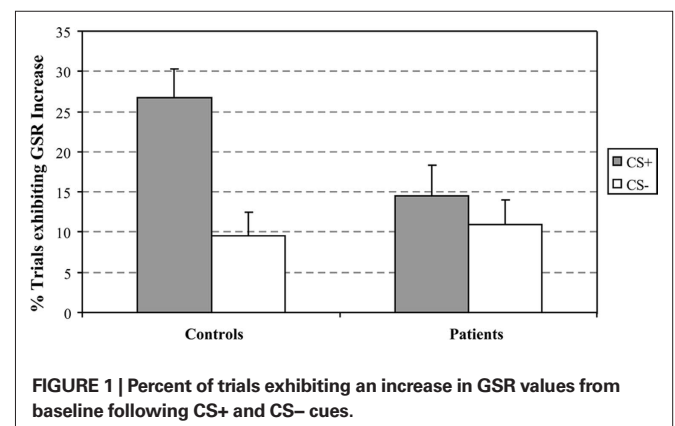
The  $\chi^2$  goodness-of-fit statistic was used to assess the model's ability to reproduce the original correlation matrix. The difference ( $\chi^2_{\text{DIFF}}$ ) was examined with the degrees of freedom equal to the difference between the degrees of freedom in the constrained and the free models. The  $\chi^2_{\text{DIFF}}$  test is a hierarchical test that determines whether a modification to the model leads to significant improvement in the goodness-of-fit of the model (McIntosh and Gonzalez-Lima, 1994; Protzner and McIntosh, 2006). Comparison of the models was done by subtracting the goodness-of-fit  $\chi^2$  value for the null model ( $\chi^2_{\text{HO}}$ ) from the  $\chi^2$  value for the alternative model ( $\chi^2_{\text{HA}}$ ). If the  $\chi^2$  value for the null model was significantly larger than that of the alternative model, then the path coefficients that varied between conditions and groups were statistically distinct (Protzner and McIntosh, 2006).

## RESULTS

### BEHAVIORAL RESULTS

Based on the subjective-state questionnaire following the experiment, controls rated the CS+ as more pleasant than the CS- [1.92 vs. 0.69;  $z = 2.86$ ;  $p < 0.004$ ; for the CS+ vs. CS-, respectively]. Although patients reported awareness of stimulus associations following the experiment, they did not significantly distinguish between the CS+ and the CS- in terms of pleasantness [1.25 vs. 0.58;  $z = 1.72$ ;  $p = 0.08$ ; for the CS+ vs. CS-, respectively].

Galvanic skin conductance was analyzed for unpaired CS+ and CS- trial types only in which there was no subsequent outcome. The percent of trials in which GSR values significantly exceeded baseline values following the onset of either the CS+ or CS- stimuli was analyzed using repeated measures analysis of variance (ANOVA) with group assignment as the between-subject factor and the CS+ and CS- unpaired conditions as the within-subject factors. A main effect of stimulus type was detected with a larger percent of trials showing increased GSR values to the unpaired CS+ condition [ $F(1,21) = 16.82$ ,  $p < 0.001$ ]. As it can be seen from **Figure 1**, an





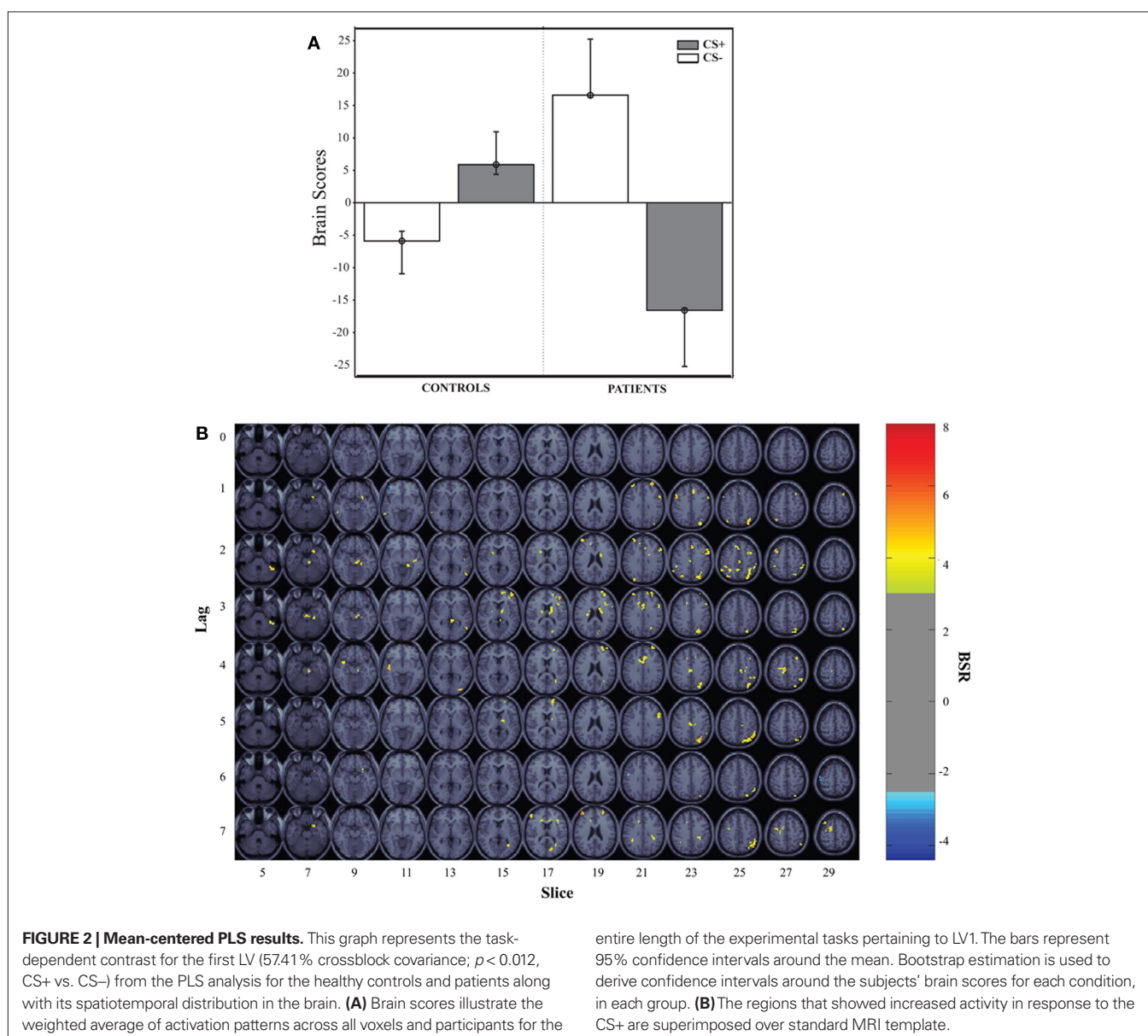
interaction between condition and group assignment was also detected [ $F(1, 21) = 7.1, p < 0.015$ ] with patients exhibiting less differentiation between the CS+ and CS- conditions, decreased GSR signal to the CS+ as compared to controls. The group by condition interaction was primarily driven by the larger percentage of trials exhibiting increased GSR values in the unpaired CS+ condition in controls compared to patients (i.e., an average of 23% in controls compared to 13.5% in patients).

To further examine the GSR patterns driving the group  $\times$  condition interactions, we also compared CS+ to CS- conditions within each group; this analysis was also performed on unpaired trial types. Significant differences between stimulus types were observed in the control group [ $t(11) = 4.25, p < 0.003$ ] with larger GSR values to the CS+ compared to the CS- condition. No significant differences between the unpaired CS+ and CS- conditions were observed in the schizophrenia group [ $t(9) = 1.65, p = 0.13$ ].

## fMRI RESULTS

The first LV, calculated using the mean-centered PLS analysis, captured group differences in the CS+ vs. CS- contrast (LV1 = 57.41% crossblock covariance,  $p < 0.012$ ). Controls showed increased BOLD activity to the CS+ compared to the CS-, and patients reflected the opposite pattern, increased BOLD activity to the CS- compared to the CS+ condition. The task saliences along with the voxel saliences for LV1 are included in **Figure 2**. The brain scores in **Figure 2** indicate how strongly individual subjects express the condition differences identified in LV1. The collection of voxels that reliably expressed the condition differences with bootstrap ratios  $\geq 3$  are listed in **Table 3**.

Group differences in BOLD activity between CS+ and CS- conditions were observed in several brain regions including striatum, the right VS, right caudate, bilateral putamen (**Figure 3**), and



**FIGURE 2 | Mean-centered PLS results.** This graph represents the task-dependent contrast for the first LV (57.41% crossblock covariance;  $p < 0.012$ , CS+ vs. CS-) from the PLS analysis for the healthy controls and patients along with its spatiotemporal distribution in the brain. **(A)** Brain scores illustrate the weighted average of activation patterns across all voxels and participants for the

entire length of the experimental tasks pertaining to LV1. The bars represent 95% confidence intervals around the mean. Bootstrap estimation is used to derive confidence intervals around the subjects' brain scores for each condition, in each group. **(B)** The regions that showed increased activity in response to the CS+ are superimposed over standard MRI template.

**Table 3 | Stable voxels from mean-centered PLS analysis in the two groups (LV1 = 57.41% crossblock covariance,  $p < 0.012$ ).**

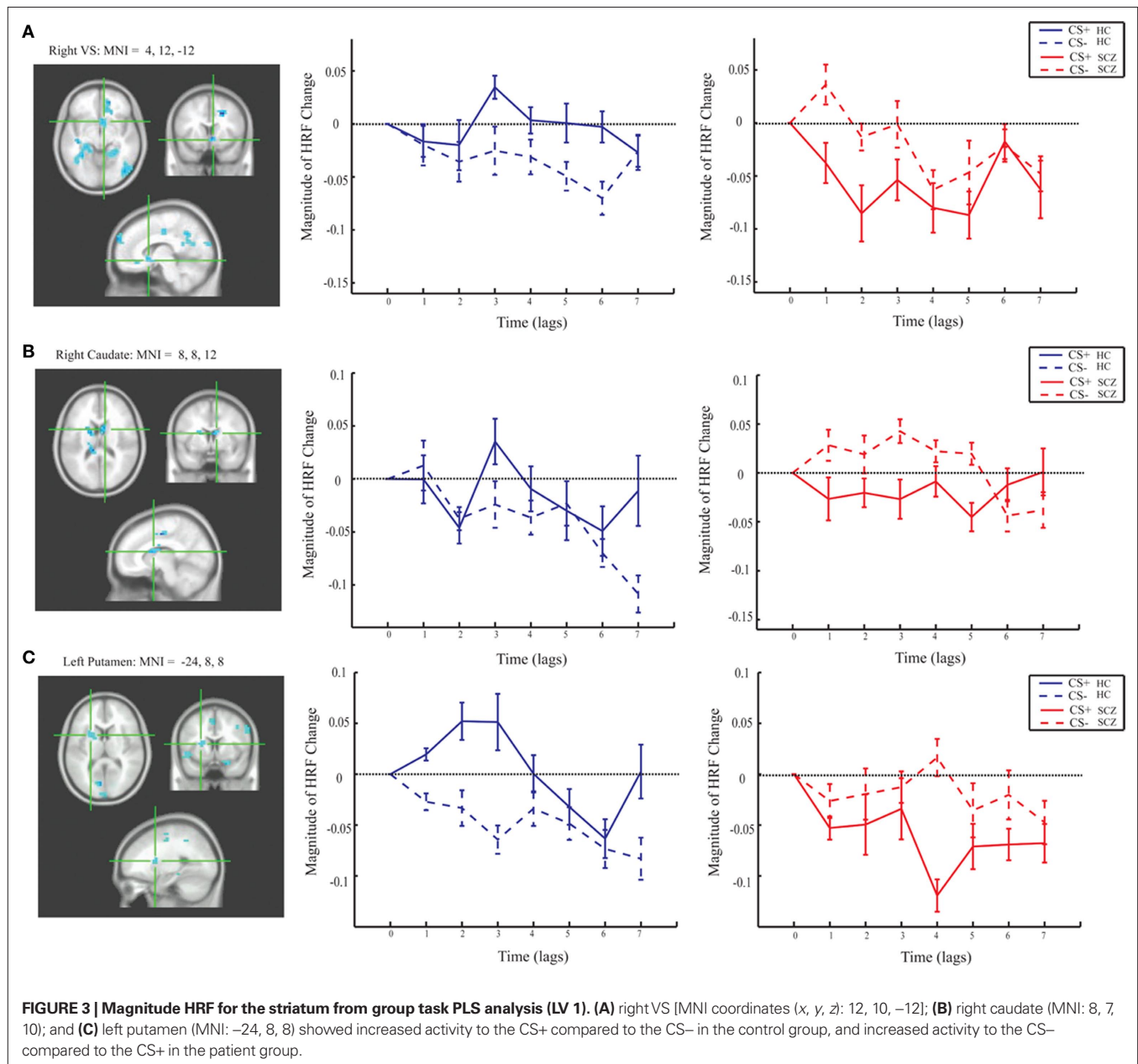
Lag	MINI coordinates			Laterality	Brain regions	BA	BSR	Size
	x (mm)	y (mm)	z (mm)					
1	40	8	-8	Right	Insula	13	5.32	23
1	-4	-44	52	Left	Middle cingulate cortex	24	5.93	32
1	0	-68	44	Left	Precuneus	7	4.79	16
1	12	-24	48	Right	Supplemental motor area	6	5.60	10
1	-12	32	48	Left	Superior frontal gyrus	8	4.89	11
1	-40	52	24	Left	Middle frontal gyrus	46	5.65	18
1	28	60	28	Right	Middle frontal gyrus	46	5.80	15
1	8	-48	-8	Right	Cerebellum		5.86	37
2	8	8	-12	Right	Ventral striatum		5.84	9
2	20	8	-28	Right	Parahippocampal gyrus	35	5.28	21
2	4	-48	40	Right	Precuneus	7	4.26	27
2	-28	-52	44	Left	Inferior parietal lobule	40	6.23	16
2	0	-100	8	Left	Calcarine gyrus	17	4.61	9
2	12	-72	16	Right	Calcarine gyrus	17	4.88	15
2	-32	-72	36	Left	Cuneus	18	4.76	43
2	24	-72	-4	Right	Lingual gyrus	19	5.22	8
2	-32	-8	52	Left	Precentral gyrus	4	4.73	21
2	-8	-20	52	Left	Supplemental motor area	6	6.39	56
2	-10	24	36	Left	Superior medial gyrus	10	5.29	13
3	8	11	0	Right	Caudate		4.80	8
3	-19	6	9	Left	Pallidum		5.44	9
3	28	12	-8	Right	Putamen		4.43	13
3	7	9	-5	Right	Ventral striatum		5.22	9
3	52	-56	24	Right	Angular gyrus	39	4.36	9
3	-56	-28	40	Left	Supramarginal gyrus	40	4.61	10
3	-8	-92	28	Left	Cuneus	18	6.18	16
3	8	-84	16	Right	Cuneus	18	5.00	61
3	-16	-40	-8	Left	Lingual gyrus	19	3.61	9
3	28	-4	64	Right	Superior frontal gyrus	6	4.03	11
3	8	56	-8	Right	Mid orbital gyrus	11		
3	32	20	52	Right	Middle frontal gyrus	46	4.60	17
4	-24	4	6	Left	Putamen		3.86	8
4	16	-12	-12	Right	Hippocampus		5.56	12
4	-8	42	10	Left	Anterior cingulate cortex	25	6.83	42
4	-4	16	28	Left	Anterior cingulate cortex	32	4.89	10
4	4	-60	64	Right	Precuneus	7	4.98	22
4	28	-64	64	Right	Superior parietal lobule	40	5.21	25
4	-8	-92	28	Left	Cuneus	18	4.56	14
4	-24	-12	60	Left	Superior frontal gyrus	6	5.57	29
4	-40	-44	-40	Left	Cerebellum		3.79	8
5	-12	24	31	Left	Middle cingulate cortex	24	5.90	29
5	-40	-72	48	Left	Angular gyrus	39	4.74	21
5	44	-64	44	Right	Angular gyrus	39	6.05	31
5	12	-56	36	Right	Precuneus	7	6.16	84
5	28	-80	36	Right	Lingual gyrus	19	6.60	63
5	-32	-8	48	Left	Precentral gyrus	4	4.41	8
5	20	68	12	Right	Superior frontal gyrus	6	6.06	53
6	12	40	16	Right	Anterior cingulate cortex	25	4.40	14
6	-4	-48	64	Left	Precuneus	7	4.42	15
6	44	-16	40	Right	Precentral gyrus	4	5.03	19

*(Continued)*

Table 3 | Continued

Lag	MNI coordinates			Laterality	Brain regions	BA	BSR	Size
	x (mm)	y (mm)	z (mm)					
6	-16	68	4	Left	Superior frontal gyrus	9	5.51	45
6	24	16	-24	Right	Inferior frontal gyrus	47	6.57	26
7	25	-22	-19	Right	Parahippocampal gyrus	35	4.31	12
7	-40	-24	52	Left	Postcentral gyrus	1	6.23	15
7	-32	29	36	Left	Middle frontal gyrus	46	5.85	21
7	-32	40	-20	Left	Inferior frontal gyrus	47	6.39	15

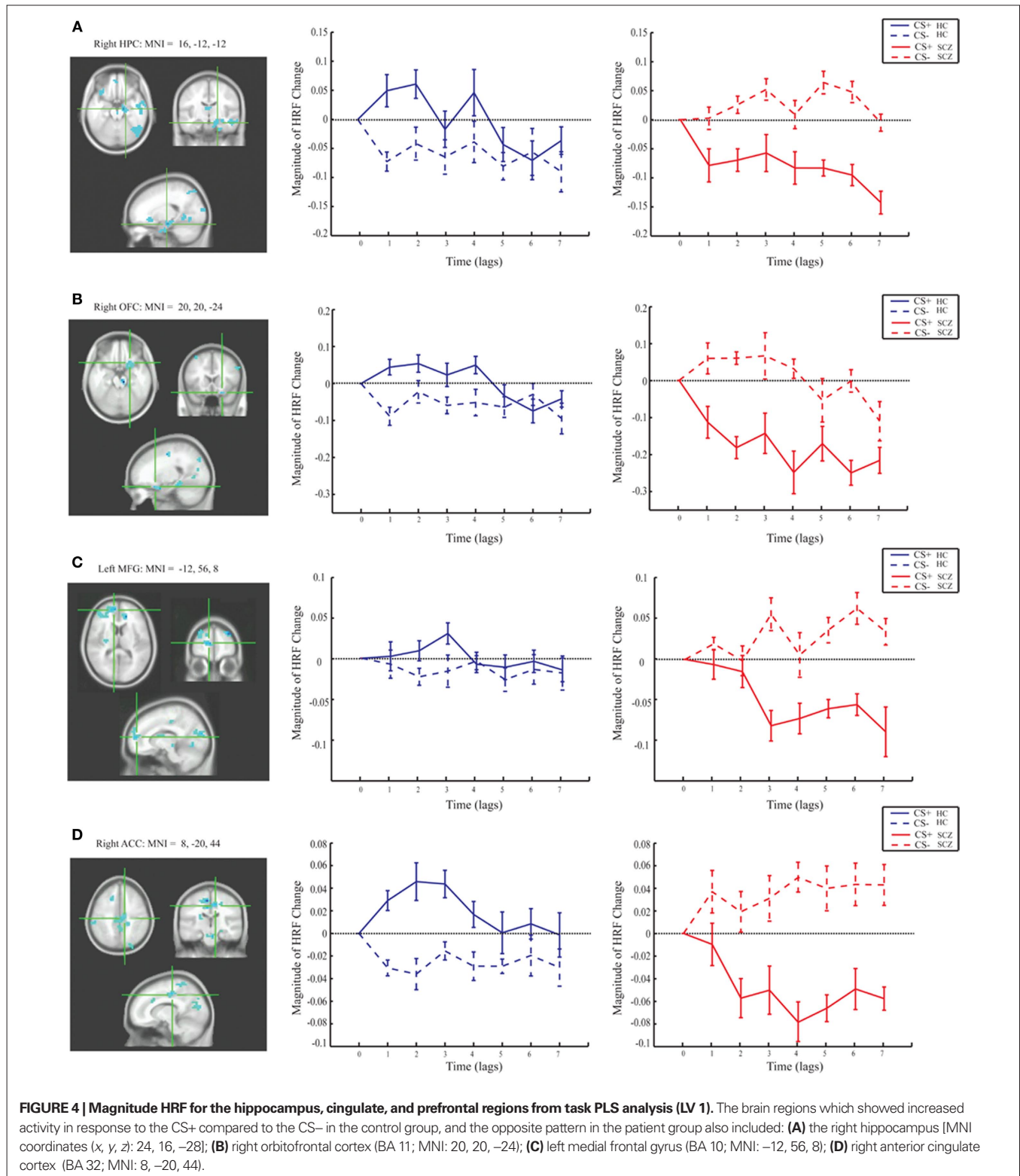
Lag refers to period, in TRs, after stimulus onset during which the activation peak occurred. Coordinates x, y, z indicate voxel locations in MNI space. BSR refers to the bootstrap ratio and size refers to the number of voxels within the given cluster. Regions with positive BSRs indicate increases in BOLD activity in response to the CS+ compared to the CS- condition in the control group, and an increase in BOLD activity to the CS- compared to the CS+ in the schizophrenia group.



right hippocampus, right ACC (BA 25), bilateral medial PFC (BA 10), and right orbitofrontal cortex (BA 11; **Figure 4**). In contrast to the control group, patients exhibited increased BOLD activity to the CS– compared to CS+ conditions in the aforementioned regions (see **Figures 3 and 4**).

## SEM RESULTS

The omnibus  $\chi^2_{DIFF}(44) = 270.07$  ( $p < 0.0001$ ) between the alternative and the null models indicated significant overall group by condition differences. Refer to **Table 4** for the individual path coefficients across the two groups and two experimental conditions.





**Table 4 | Path coefficients and *p* values of the omnibus test across the two conditions and two groups.**

Pathway	Path coefficients (regression weight)			
	Hc_CS+	Hc_CS-	Schiz_CS+	Schiz_CS-
R ventral striatum → R caudate	0.753	0.47 <i>p</i> = 0.001	0.67 <i>p</i> = 0.001	0.88
R ventral striatum → R putamen	0.68 <i>p</i> = 0.001	0.18	0.96	0.83
R ventral striatum → R hippocampus	-0.23	0.3 <i>p</i> = 0.001	-0.03 <i>p</i> = 0.001	1.1 <i>p</i> = 0.001
R ventral striatum → R OFC	0.83 <i>p</i> = 0.001	0.5 <i>p</i> = 0.001	0.13	0.96 <i>p</i> = 0.001
R ventral striatum → R SMFG	0.94 <i>p</i> = 0.001	0.27 <i>p</i> = 0.001	0.56 <i>p</i> = 0.001	0.50 <i>p</i> = 0.001
R caudate → R putamen	-0.04	0.34	-0.15	-0.08
R putamen → R OFC	0.3	-0.69 <i>p</i> = 0.001	-0.11	0.19 <i>p</i> = 0.03
R OFC → R caudate	0.41	0.43 <i>p</i> = 0.04	0.19	-0.26
R OFC → R hippocampus	1.19 <i>p</i> = 0.007	-0.02	1.18 <i>p</i> = 0.001	-0.3
R SMFG → R hippocampus	-0.03	0.3 <i>p</i> = 0.006	-0.33 <i>p</i> = 0.003	0.03
R SMFG → R OFC	-0.12	0.6 <i>p</i> = 0.001	0.42 <i>p</i> = 0.001	-0.08

R, right hemisphere; SMFG, superior medial frontal gyrus (BA 10); OFC, orbitofrontal cortex (BA 11). Shaded path coefficients indicate a significant difference with  $p < 0.05$ .

In comparison to controls, patients exhibited significantly larger connectivity strengths from the (i) VS to the caudate, (ii) VS to the putamen, (iii) VS to the hippocampus, and (iv) VS to the OFC in the CS- condition, and from the VS to the superior medial frontal gyrus in response to both CS+ and CS- conditions. While controls showed increased connectivity from the OFC to the putamen, patients exhibited the opposite connectivity pattern in the CS- condition, from the putamen to the OFC. Increased effective connectivity from the OFC to the hippocampus was observed in the CS+ condition across both control and patient groups.

Pairwise comparisons between the two groups within each condition indicated a significant difference of model fit between the two groups in the CS- condition with  $\chi^2_{\text{DIFF}}(11) = 69.31$  ( $p < 0.0001$ ) and a difference of model fit in the CS+ condition with  $\chi^2_{\text{DIFF}}(11) = 17.8$  ( $p = 0.07$ ) that did not exceed conventional statistical thresholds.

In the CS+ condition, path coefficients from the VS to the OFC (BA 11) were significantly lower in patients compared to healthy controls ( $\chi^2_{\text{DIFF}}(1) = 5.23$ ,  $p < 0.02$ ; **Table 5; Figures 5A,B**).

In the CS- condition, path coefficients from the VS to the OFC and from the VS to the hippocampus were significantly larger in patients compared to healthy controls ( $\chi^2_{\text{DIFF}}(1) = 14.75$ ,  $p < 0.0001$ ;  $\chi^2_{\text{DIFF}}(1) = 16.78$ ,  $p < 0.0001$ ). Larger connectivity strengths from the OFC to the putamen were observed in healthy controls and opposite connectivity strengths, from the putamen to the OFC, were detected in patients ( $\chi^2_{\text{DIFF}}(1) = 6.61$ ,  $p < 0.01$ ; **Table 5; Figures 5C,D**).

## DISCUSSION

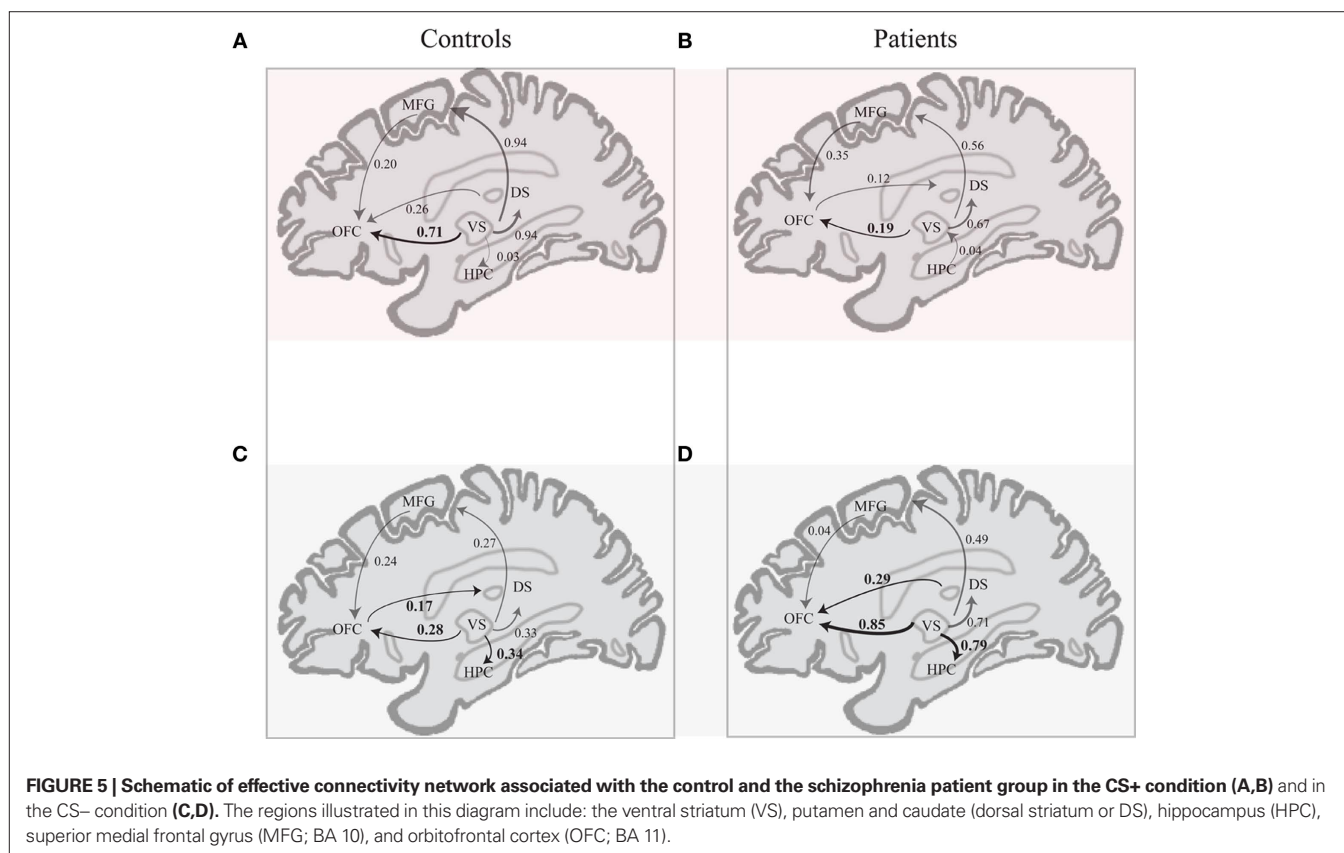
Examination of group differences in the strength of effective connections provides insights into the “splitting” of functional integration between brain regions involved in reinforcement learning in patients with schizophrenia. As reported in recent neuroimaging studies (Jensen et al., 2008; Murray et al., 2008), we found that patients were unable to appropriately condition to monetary reward, and failed to appropriately distinguish rewarding from non-rewarding stimuli. Furthermore, this was associated with increases in BOLD activity in response to the CS- and abnormally larger connectivity strengths from the striatal to cortical regions in the CS- compared to the CS+ condition. These results suggest that patients processed the CS- as a motivationally salient cue, predictive of reward similar to how controls processed the CS+.

We found that abnormal learning patterns observed in patients were associated with increased activity in the ventral and dorsal striatum, hippocampus, parahippocampal gyrus (BA 35), bilateral ACC (BA 25), and medial prefrontal regions (BA 10/11) in response to the CS- compared to the CS+ conditions (**Figures 2–4**). The VS has been associated with both primary (Gottfried et al., 2002; O’Doherty et al., 2002; Jensen et al., 2003) and secondary reinforcement (Knutson et al., 2000, 2001; Kirsch et al., 2003; Zald et al., 2004; Zink et al., 2004; Seymour et al., 2007) across both appetitive and aversive conditioning paradigms. In schizophrenia patients, however, the VS showed abnormally larger BOLD activity to non-rewarding stimuli (cf. Hofer et al., 2001; Juckel et al., 2006; Jensen et al., 2008; Murray et al., 2008).

**Table 5 | Path coefficients,  $\chi^2_{DIFF}$  and  $p$  values for group comparisons (hierarchical models approach) for healthy controls and schizophrenic patients in CS+ and CS- conditions.**

Pathway	Hc vs. schiz CS+ (regression weight)				Hc vs. schiz CS- (regression weight)			
	Chi-square (diff)	$p$	HC	SZ	Chi-square (diff)	$p$	HC	SZ
R ventral striatum → R caudate	0.41	0.52	0.94	0.67	0.00	1.00	0.66	0.66
R ventral striatum → R putamen	0.30	0.60	0.64	0.86	0.94	0.33	0.33	0.71
R ventral striatum → R hippocampus	0.03	0.83	0.03	-0.04	14.75	0.00	0.34	0.79
R ventral striatum → R OFC	5.23	0.02	0.71	0.19	16.78	0.00	0.28	0.85
R ventral striatum → R SMFG	0.39	0.53	0.94	0.56	0.17	0.68	0.27	0.49
R caudate → R putamen	0.03	0.86	-0.03	0.04	0.05	0.83	0.13	0.06
R putamen → R OFC	3.14	0.08	0.26	-0.12	6.61	0.01	-0.17	0.29
R OFC → R caudate	0.47	0.49	0.44	0.19	1.42	0.23	0.18	-0.12
R OFC → R hippocampus	0.01	0.91	1.20	1.17	0.49	0.48	-0.04	0.05
R SMFG → R hippocampus	1.44	0.23	-0.09	-0.32	1.71	0.19	0.25	0.14
R SMFG → R OFC	1.16	0.28	0.20	0.35	3.41	0.06	0.24	0.04

R, right hemisphere; SMFG, superior medial frontal gyrus (BA 10); OFC, orbitofrontal cortex (BA 11). Shaded path coefficients indicate a significant difference with  $p < 0.05$ .



Using an aversive conditioning paradigm, Jensen et al. (2008) found that patients, compared to controls, showed pronounced VS activity to the CS- as compared to CS+. This task-irrelevant activation pattern was also accompanied by reduced learning as indexed by the GSR and self-report measures (Jensen et al., 2008). Furthermore, Murray et al. (2008) also found that patients with first-episode psychosis and positive schizophrenia symptoms were impaired at

selectively responding to task-relevant stimuli (Murray et al., 2008). These behavioral deficits were accompanied by an attenuated BOLD response to reinforced relative to non-reinforced trials across several brain regions including the midbrain, striatum, and the ACC.

The present study also demonstrated that patients with schizophrenia exhibit aberrant effective connectivity patterns in CS+ and CS- conditions. Larger effective connectivity from the VS, dorsal striatum,

and hippocampus to the OFC (BA 11) was observed in response to the CS– in patients, while controls showed a similar effective connectivity patterns, with increased connections from the VS to the OFC (BA 11), in the CS+ condition. Disruptions in hippocampal to prefrontal functional and effective connectivity have been previously shown (cf. Meyer-Lindenberg et al., 2001, 2005; Schlosser et al., 2003).

Due to the increased effective connectivity from the VS to the OFC (BA 11) in patients relative to controls, we would expect that patients with schizophrenia exhibit deficits in decision-making tasks, such as the Iowa gambling task (IGT), which measures the ability to weigh short-term rewards against long-term losses. We predict that patients would inappropriately attribute valence to stimuli that are non-predictive of reward, and select card decks of low frequency and high magnitude of punishments. Furthermore, patients would also exhibit more inconsistent or impulse choice behavior as they are not properly guided by reward information. Indeed, the literature on the IGT in schizophrenia provides evidence for such impairment in patients (cf. Shurman et al., 2005; Lee et al., 2007; Martino et al., 2007; Sevy et al., 2007; Premkumar et al., 2008).

The distinct effective connectivity patterns between the VS and the OFC between the two groups may reflect the functional network consequences of altered DA transmission in patients with schizophrenia. In a recent placebo-controlled, event-related fMRI study, we demonstrated that enhanced DA transmission via amphetamine, an indirect DA agonist, was associated with increased functional connectivity in response to the CS– condition in healthy volunteers (Diaconescu et al., 2010). Changes in the strength of functional connections following administration of DA agonists can be related to the large scale neurochemical perturbations observed in DA-related disorders such as schizophrenia.

It is important to note that the patients in this study were all medicated. Thus, we need to address the possibility that antipsychotic medication could contribute to the group differences in BOLD responses and connectivity patterns. Several neuroimaging studies demonstrated that atypical antipsychotic medication can reduce functional abnormalities in schizophrenia (Davis et al., 2005) by normalizing fronto-temporal activity (Lahti et al., 2003), and increasing functional connectivity between prefrontal, thalamic, and cerebellar regions (Stephan et al., 2001). Increased DA D2 receptor blockade with typical antipsychotic medication like

haloperidol may interfere with dopaminergic neurotransmission in the VS. We also recently showed that in contrast to placebo, haloperidol administration in healthy volunteers was associated with increased functional connectivity in an alternate network which included the amygdala, the insula, the ACC (BA 24/32), middle frontal gyrus (BA 46), and supplementary motor area (SMA, BA 6) following the CS+ compared the CS– during aversive conditioning (Diaconescu et al., 2010).

The majority of the patients recruited in this study were medicated with atypical antipsychotics such as olanzapine. Previous studies that measured the effects of atypical antipsychotics on DA D2 receptor occupancy showed that atypical antipsychotics, including olanzapine which was administered in the majority of the patients recruited in this study, do not alter striatal dopaminergic transmission (Frankle et al., 2004; Kessler et al., 2005).

Investigating reward learning in schizophrenia, Murray et al. (2008) did not find any significant differences in midbrain and striatal activity between unmedicated patients and patients treated with atypical antipsychotics. With respect to VS activation in response to reward-indicating cues, Schlagenhaut et al. (2008) also found a lack of significant differences between healthy controls and patients treated with olanzapine.

The use of reinforcement learning paradigms coupled with effective connectivity measures offers insight into the relationship between abnormal reinforcement learning and disruptions in brain network connectivity across striatal, hippocampal, and prefrontal regions. The findings of abnormal GSR responses and increased BOLD activity and effective connectivity patterns during the CS– condition support the proposal that, following reward learning, non-reinforced stimuli become imbued with motivational salience in patients with schizophrenia compared to controls. The aberrant effective connectivity from the striatum and the hippocampus to the OFC in the CS– condition may also reflect the functional consequences of aberrant DA transmission and cortical plasticity in schizophrenia patients.

## ACKNOWLEDGMENTS

This research was supported by grants from the Canadian Institutes of Health Research, J.S. McDonnell Foundation (Anthony R. McIntosh), AstraZeneca (Shitij Kapur) and the Natural Sciences and Engineering Research Council of Canada (Andreea Oliviana Diaconescu).

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- Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.
- Received: 07 September 2010; paper pending published: 12 October 2010; accepted: 25 December 2010; published online: 17 January 2011.
- Citation: Diaconescu AO, Jensen J, Wang H, Willeit M, Menon M, Kapur S and McIntosh AR (2011) Aberrant effective connectivity in schizophrenia patients during appetitive conditioning. *Front. Hum. Neurosci.* 4:239. doi: 10.3389/fnhum.2010.00239
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## APPENDIX

### PATIENT SELECTION CRITERIA

Inclusion criteria for patients were: (i) age between 18 and 65; (ii) presence of a DSM-IV diagnosis of Schizophrenia or Schizoaffective disorder in the absence of a concurrent major depressive or manic episode; (iii) acceptance of care on a voluntary basis and capable of consenting to participation in the research study; (iv) ability to undergo fMRI. Exclusion criteria for patients were: (i) presence of a serious, unstable medical illness, or any concomitant major

medical, or neurological illness; (ii) acute suicidal and/or homicidal ideation; (iii) presence of DSM-IV substance dependence except caffeine and nicotine within 3 months prior to entering the study; (iv) presence of a concurrent major depressive episode or a manic episode; (v) metal implants or cardiac pacemaker; (vi) use of any illegal psychoactive drugs 2 weeks prior to session or any painkillers or alcohol 48 h prior. The criteria for control participants were the same except that they never had suffered from any psychiatric illness.