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# State of the art on biodegradability of bio-based plastics containing polylactic acid

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Bio-based plastics represent an opportunity to reduce the impact of petroleum-based plastics on the environment, leading to harmful effects on both terrestrial and marine ecosystems. Nevertheless, the plant origin of bio-based plastics does not necessarily imply better management of their end of life. However, when recycling is impossible, the biological degradation of bio-based plastics would be an effective method to reduce their environmental impact. Polylactic acid (PLA) is one of the most produced biopolymers currently among the bio-based plastics already developed for several years. Thus, the objective of this article is to provide a state of the art on the biodegradation of bio-based plastics based on PLA. In particular, the microorganisms catalyzing the different biochemical reactions and the main biodegradation mechanisms are reviewed according to aerobic and anaerobic conditions. Moreover, different microorganisms involved in the degradation of PLA are summarized. Furthermore, a special attention is paid to the analytical methods to evaluate the biodegradation of polylactic acid and to the different existing biodegradation test methods, because this subject has rarely been reviewed in the literature. In the end, several promising topics for the future research are proposed, such as enzyme engineering technology as a recently emerging method for PLA degradation and a new common testing method to collect as much data as possible on the biodegradability to compare different studies.

## KEYWORDS

polylactic acid (PLA), biodegradation, bio-based polymers, aerobic and anaerobic conditions, microorganisms, bacteria, fungi

## 1 Introduction

The widespread use of petroleum-based plastics usually named “plastics” leads to some major environmental issues. Indeed, their accumulation in the environment constitutes a major source of pollution since plastics take a lot of years to degrade and thus affect the terrestrial and marine ecosystems. Geyer et al. (2017) estimated that amongst the  $8.3 \times 10^9$  tons of plastics that have been produced,  $5 \times 10^9$  tons have been released into the environment. However, the accumulation of plastics which continues to increase due to the difficulty of their end-of-life treatment drastically reduces the use of plastics. Thus, if there is no reduction in the production of the latter and improvement in the management of plastic waste, it is estimated that in 2030, the

quantity of these plastics entering the aquatic ecosystem will reach  $90 \times 10^6$  tons per year (Borrelle et al., 2020). Moreover, micro- and nanoplastics (MNPs) pose a risk to human health because they accumulate in the human brain and the concentrations are rising over time (Campen et al., 2024). Several studies have shown a relationship among the increase of MNP concentrations in the environment, the presence of MNP concentrations in the human brain and the increase of the global rate of Alzheimer's disease (van Bussel et al., 2017; Zhu et al., 2023).

Consequently, one of the challenges is to develop a better management of plastics from their production to their end of life (Hopewell et al., 2009). As the recycling of plastics is very limited given the cost generated, one of the solutions is to produce plastics that are more rapidly biodegraded by adding additives to improve the oxidation of the polymer at the end of their life. For example, pro-oxidants allow the more rapid cleavage of macromolecules induced by different environmental factors, such as oxygen, heat, visible light or ultraviolet (Sivan, 2011). Both fragmentation and biodegradation would reduce the persistence of plastics in the environment. Another attractive alternative to these petroleum-based plastics is to produce bio-based plastics from agricultural resources, such as starch, cellulose or molasses. These bio-based plastics are already used in the manufacturing of many cosmetic and industrial products and could limit the environmental consequences linked to the overconsumption of plastics. Nevertheless, only a part of bio-based plastics is biodegradable. A biodegradable plastic can be degraded by microorganisms (bacteria, fungi, algae, etc.) which are present in the environment. These microorganisms have the ability to use biodegradable plastics as a source of carbon and energy, which leads to the production of organic carbon (biomass) and inorganic carbon ( $\text{CO}_2$ ). Amongst biodegradable bio-based plastics, polylactic acid (PLA) that is one of the most produced bio-based plastics, is particularly interesting.

The objective of this article is to provide a state of the art on the biodegradation of bio-based plastics containing PLA. The research in the field is constantly evolving to the development of more biodegradable bioplastics. For example, Tosakul et al. (2024) developed packaging films by incorporating Para rubber (NR) and thermoplastic starch (TPS) into PLA to improve their mechanical properties (tensile toughness) and to significantly increase their degradation rate in a home-compost environment. There have been many review papers on the degradation of PLA in the literature (Anderson and Shive, 1997; Elsayy et al., 2017; Kervran et al., 2022; Zaaba and Jaafar, 2020; Pesaranhajiabbas et al., 2023; Rosli et al., 2021; Shalem et al., 2024). Different mechanisms of (bio) degradation of PLA have been described in these references. In the current work, all the biodegradation mechanisms are classified into two categories according to the degradation conditions, namely, aerobic and anaerobic conditions. In addition, the experimental methods for the quantitative assessment of biodegradation are presented with their advantages and disadvantages.

## 2 Origins and properties of bio-based plastics

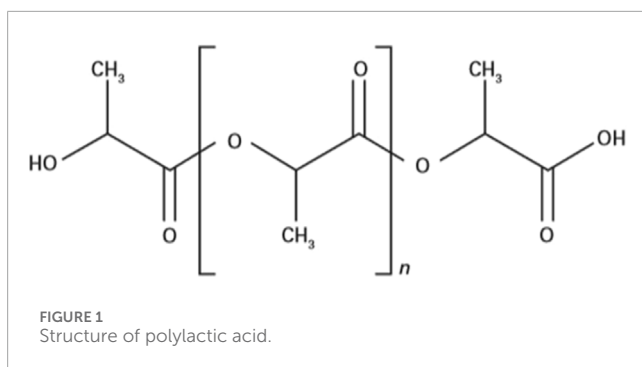
A plastic material consists of polymer which has a structural unit repeating many times. The repeating units are monomers which

are bonded together via covalent bonds to form a high molecular weight polymer consisting of long carbon chains. These polymers have varied structures, sizes and compositions, leading to specific properties. Owing to their bio-based nature, bio-based plastics can reduce the carbon footprint during their production, whereas the origin of most of plastics is petroleum, which significantly increases energy consumption and greenhouse gas emission during the materials production. Bio-based plastics can have different origins: a direct extraction from biomass (starch, cellulose, etc.) or a chemical synthesis from bio-based monomers, such as polylactic acid (PLA), polyethylene (bio-PE), polyethylene terephthalate (bio-PET) and polypropylene (bio-PP). Alternatively, they can be produced by microorganisms, as is the case of polyhydroxyalkanoates (PHAs). Bio-based plastics can have the same properties as their petroleum-based counterparts and contribute to reduce the dependence on fossil resources, since they are partially produced from renewable carbon. Nevertheless, some bio-based plastics are non-biodegradable (bio-PE, bio-PET, bio-PP), whereas others are biodegradable (mixtures of starch, PLA and PHAs). PHAs belong to the family of aliphatic polyesters with, as the most common compounds, poly- $\beta$ -hydroxybutyrate (PHB) and poly(3-hydroxybutyrate-co-3-hydroxyvalerate) (PHBV) (Bugnicourt et al., 2014). Biodegradable bio-based plastics have the benefit of reducing the carbon footprint and energy consumption during the whole life cycle.

## 3 Origins and properties of polylactic acid

PLA is a linear aliphatic polyester synthesized by polycondensation of lactic acid produced from renewable resources by fermentation using facultative anaerobic bacteria (*Lactococcus*, *Lactobacillus*) from agricultural co-products rich in carbohydrates, such as starch, corn, wheat, sugar cane, beet, cellulose or molasses (Murariu and Dubois, 2016). So, it can be used without concern of its depletion. It consists of L and/or D monomeric units of lactic acid ( $\text{C}_3\text{H}_4\text{O}_2$ ) (Figure 1). The natural isomer is L-lactic acid, while D-lactic acid is a synthetic isomer (Penu and Helou, 2017). L-lactic acid can dimerize to form lactide (a cyclic dimer of lactic acid). Thus, PLA can be obtained by the direct polycondensation of L- or D-lactic acid or by the "ring opening polymerization" reaction of lactide. The "ring opening polymerization" reaction produces high molecular mass PLA (>100,000 Da) with different ratios of L-lactide or D-lactide (Boey et al., 2021). Lim et al. (2008) demonstrated that polymers consisting of more than 8% of D-lactide isomer tend to remain amorphous and that the crystallinity of the polymer tends to decrease even more as the stereoisomeric purity of the polymer decreases. It is also possible to obtain a high molecular weight PLA polymer directly by the condensation reaction of lactic acid following dehydration.

PLA is a compostable polymer which has excellent mechanical, structural, thermal and physical properties (Binti Taib et al., 2023). It is one of the best-selling bio-based plastics in the world, since it represents a very good alternative to polypropylene and polystyrene. The possibility of being extruded, injection molded and thermoformed allows it to be used for the manufacture of various products used in the agriculture, packaging and automotive



industries. Ideally, PLA packaging is durable during its service life to optimize its application and has biodegradation properties at the end of life. PLA is also used in the medical industry for the manufacture of resorbable threads owing to its biocompatibility properties (da Silva et al., 2018). In fact, it has no toxic or carcinogenic effects on the human body (Abd Alsaheb et al., 2015). Another advantage of using PLA-based plastics is that its production requires less energy (25%–55%) than conventional petroleum-based plastics (Farah et al., 2016).

As PLA have some drawbacks (such as low elongation) that limit their applications, many researches have been conducted to develop a new material by blending PLA with other polymers, such as polybutylene adipate-*co*-terephthalate (PBAT) (Gonçalves et al., 2017). Various kinds of inorganic and organic fillers, such as mineral clays and natural fibers, have been used in PLA/PBAT biocomposite as reinforcement to improve their performance. The addition of nanofillers can improve the rheological and mechanical performances of these materials. Thanks to new scientific data in the synthesis and properties of these bioplastics, PBAT and PLA represent nearly 35% of the total production capacity of biodegradable polymers in 2023, with PLA having the highest production (31%) (Table 1) (Bioplastic Market Development update 2023, 2024). Given their growing use in different industrial applications and manufacturing technologies (e.g., injection molding, extrusion, film blowing, 3D printing, etc.), especially in the packaging industry, PBAT, PLA and their blends will remain among the most demanded biodegradable polymers in the market. According to the European Bioplastic, it was estimated that in 2028, the production of biodegradable bioplastics would increase from 52% to 62% to the detriment of biobased/non-biodegradable bioplastics (Table 1) (Bioplastic Market Development update 2023, 2024). Among biodegradable bioplastics, PLA remains the most produced biopolymer and its use is increasing significantly (44%) (Table 1) (Bioplastic Market Development update 2023, 2024).

## 4 Assessment of biodegradation of bio-based plastics

Bio-based plastics degrade in various environments, such as aerobic compost, soils or marine sediments (Kalita et al., 2019; Kalita et al., 2021a; Delacuvellerie et al., 2021; Weng et al., 2013). Currently, industrial composts constitute the main treatment

for bio-based plastics. However, the mechanisms involved are not fully understood even in assays or tests performed in controlled conditions, since biodegradation is strongly linked to the environmental conditions. The microorganisms used in biodegradation assays are mainly collection microorganisms isolated from different environments. In most cases, they come from domestic or industrial composts (Sakai et al., 2001; Sedničkova et al., 2018), activated sludge from wastewater treatment plants recovering municipal or industrial wastes as well as digestates from anaerobic digestion plants (Pattanasuttichonlakul et al., 2018; Yagi et al., 2009). Some studies have also been carried out using soil samples (Karamanlioglu et al., 2014), sea water (Curto et al., 2021) or river water (Chiellini et al., 2007).

Standards have been developed by various international standardization organizations (e.g., ASTM International, JIS, ECN, OECD) (Eubeler et al., 2009), in order to assess the impact of the environmental conditions, such as soil, fresh water or sea water, domestic or industrial compost, digester (Table 2). These standards are based on reliable, repeatable and reproducible standardized tests (in solid and liquid phases), in relation to the microbial inoculum and the conditions (temperature, relative humidity) to carry out the tests. Analytical and testing standards define the methodology used to measure biodegradation. Conversely, specification standards set the biodegradation threshold to be achieved for a defined duration depending on the environment. The biodegradation yield must be greater than or equal to 90% in 6, 12 or 24 months for an industrial compost, a domestic compost or a soil, respectively.

## 5 Biodegradation mechanism of PLA-based polymers

The biodegradation of PLA-based polymers is a complex mechanism involving successive or concomitant physical, chemical and biological steps. The degradation of PLA-based polymers can occur by abiotic phenomena (photo-degradation, thermolysis, hydrolysis, oxidation) and/or biotic (enzymatic, biochemical) phenomena. Then, when the assimilation of degraded PLA-based polymers occurs, it corresponds to the integration by microorganisms of low molecular mass degradation residues (Figure 2). These mechanisms can be carried out not only in aerobic conditions, but also in anaerobic conditions with transformation of the polymer into biomass, water, and CO<sub>2</sub> and CH<sub>4</sub>.

### 5.1 Mechanisms of biodegradation under aerobic conditions

PLA shows various biodegradation rates depending on the environment. In ambient conditions encountered in soil or sewage, its biodegradation rate is low (Kale et al., 2007a). It is the same case in marine environments. Conversely, high biodegradation rate was observed in more severe conditions (temperature around 58°C–60°C) such as in industrial composting (Farah et al., 2016).

Biodegradation of PLA-based polymers under aerobic conditions takes place in two successive stages:

**TABLE 1** Share of different kinds of bioplastics in 2023 and prediction in 2028 according to European Bioplastics in percent (Bioplastic Market Development update 2023, 2024).

Bioplastics	Share of production in 2023 (%)	Prediction of share of production in 2028 (%)
Biodegradable	52.1	62
PLA	31	43.6
PHA	4.8	13.5
SCPC	6.4	1.9
PBS	0.9	0.3
PBAT	4.6	1.3
CR	4.2	1.3
Biobased/non-biodegradable	47.9	38
PP	0.5	5.9
PTT	13.5	2.3
PET	2.2	0.4
PE	12.3	6.8
PEF	0	0.3
PA	18.3	18.9
Other	1.1	0.4

**TABLE 2** Main European standards to assess the biodegradation of plastics in different environments.

Environment	Standards	References
Industrial compost	NF EN 13432 (2000) NF EN 14995 (2007) NF EN 14855-2 (2018)	NF EN 13432 (2000)* NF EN 14995 (2007)* NF EN ISO 14855-2 (2018)
Garden compost	NF T 51-800 (2015)	NF T 51-800 (2015)*
Anaerobic digester	NF EN ISO 14853 (2017) NF EN ISO 15985 (2017)	NF EN ISO 14853 (2017) NF EN ISO 15985 (2017)
Soil	NF U52-001 (2005) NF EN 17033 (2018)	NF U52-001 (2005)* NF EN 17033 (2018)*
Fresh water	NF EN ISO 14851 (2019) NF EN ISO 14852 (2021)	NF EN ISO 14851 (2019) NF EN ISO 14852 (2021)
Sea water	NF EN ISO 19679 (2020) NF EN ISO 22403 (2021)	NF EN ISO, 19679 (2020) NF EN ISO 22403 (2021)*

\*indicates specification standards.

- A thermal degradation stage (abiotic) which does not require microorganisms, allowing the PLA to be degraded by hydrolysis;
- A stage of biological degradation, followed by microbial assimilation.

The first abiotic step corresponds to a hydrolysis reaction releasing oligomers, dimers and monomers from PLA. This step is most often carried out between 50°C and 70°C (thermal degradation) by three main reactions (Penu and Helou, 2017). Two reactions occur randomly at the polymer chain: a hydrolysis of ester bonds (R-COO-R') of PLA induced by the diffusion of water molecules in the amorphous parts of the polymer, and the trans-esterification reaction leading to different secondary products, such as acetaldehyde or propanal. The third reaction that takes place only at the end of the chain, is a depolymerization reaction

allowing the reformation of lactide and lactic acid monomers which are more easily degraded by microorganisms. Then, biological degradation extends the degradation of PLA with similar chemical reactions. Indeed, some enzymes secreted by microorganisms can also cut the ester bonds between lactic acid monomers. PLA-degrading enzymes from microorganisms mainly are proteases (serine proteases), and a few of them are lipases, esterases and cutinases (Qi et al., 2017; Xu et al., 2022a). Compared to proteases, lipases and cutinases are not enantio-selective. Some enzymes randomly cut the chain, with the release of polymers having a lower molecular mass. It is mainly proteinase K that causes the breakage of the ester bonds within the polymer. Other enzymes hydrolyse only the ester bonds present at the end of the chain. Usually, optimal conditions for the enzymatic activity are an alkaline pH and a temperature of 37°C. However, Cui et al. (2022a) showed that depolymerization activity was maintained at temperatures up



resulting partly from a higher quantity of microorganisms at the level of the rhizosphere (Janczak et al., 2018).

The biodegradation of PLA-based polymers can also be amplified in the presence of a source of carbon such as glucose (Jang et al., 2002). This compound constitutes an additional source of carbon that is easily assimilated by microorganisms providing energy for the growth of microorganisms. A significant increase in the degradation rate of bio-based plastics has already been observed with a glucose concentration of 0.1% (wt) (Jang et al., 2002). Nevertheless, there exists a threshold glucose concentration as glucose concentration of 5% (wt) is ineffective (Jang et al., 2002). Glucose in starch, which is an extremely efficient carbon source, enhanced the biodegradability of the PLA/starch blends (Lv et al., 2017). The same tendency was obtained by adding gelatin. Guzman-Sielicka et al. (2013) developed a new blend based on PLA/starch/gelatin that could be used for the manufacture of short-shelf-life packaging materials. The effect of the source of carbon will mainly depend on the microflora of the environment in which biodegradation occurs. For example, it was reported that the addition of gelatin in the soil did not improve the biodegradability of the PLA in the specific soil used in that study (Munoz et al., 2024). The addition of plasticizers to improve the flexibility of PLA, such as polyethylene glycol (PEG), also increases the degradation rate of PLA (Xue et al., 2013). Indeed, low molecular weight hydrophilic plasticizers readily dissolve into the medium from the blend, increasing the accessible volume and allowing the entry of water and microorganisms.

PLA/PBAT-based materials, newly introduced in the market, also have the potential to biodegrade in a compost environment (Tabasi and Aji, 2015). However, the incorporation of other additives into PLA/PBAT blends may affect biodegradability of blends by different mechanisms. In particular, the incorporation of compatibilizers could significantly decrease the biodegradation rate of PLA/PBAT-based materials by generating species with a higher molecular weight, which can make a barrier against water diffusion and hydrolysis of the material (Freitas et al., 2017). Given the limited data available in this field, further research is needed to study the effect of compatibilizers, fillers and other additives used in these blends on their biodegradation in different environments.

## 5.2 Mechanisms of biodegradation under anaerobic conditions

Anaerobic digestion is a very promising alternative for managing biodegradable plastic wastes. Currently, research about the degradation of biodegradable plastics in anaerobic conditions has mainly been carried out at laboratory scale with very little data at pilot or industrial scales (Ruggiero et al., 2019). Anaerobic digestion is a biological process, during which organic matter can be transformed into biogas ( $\text{CO}_2$  and  $\text{CH}_4$ ) in the absence of oxygen. This biogas can then be valorised by producing heat and electricity using a cogeneration system. Methane can also be used directly as a biofuel (Sahota et al., 2018). This bioprocess can be used to treat different types of organic waste, such as agricultural and agri-food wastes, activated sludges, and organic municipal wastes (Meyer-Kohlstock et al., 2016). Moreover, anaerobic digestion

produces a digestate rich in nutrients that can be mineralized under aerobic conditions. The product obtained can then be used as fertilizer in agriculture (Sheets et al., 2015). It is therefore important to ensure that the digestate can be spread on soil, by evaluating the potential toxicity of (micro)plastic residues on terrestrial and/or marine organisms (Zimmermann et al., 2020; Fojt et al., 2020).

Anaerobic digestion mainly takes place at two temperature ranges: 35°C–38°C and 55°C–58°C. Several strategies can improve the digestion kinetics, such as the use of a pre-treatment, the incorporation of additives into polymers (fibers, enzymes, calcium carbonate) or the acclimation of the microbial inoculum (Calabro et al., 2020; Ryan et al., 2018). Indeed, pretreatment, in particular at 90°C for 48 h at pH 10, allows to reduce the molecular mass of PLA by hydrolysis leading to a better efficiency of the degrading microorganisms (Benn and Zitomer, 2018). Digestion at high temperature (55°C–58°C) has shown better conversion of PLA to methane: 60, 80% and 90% of PLA were biodegraded in 30, 40 and 60 days, respectively (Yagi et al., 2009). A significant biodegradation of PLA (86%–100%) was also observed in commercial PLA-based disposable cups and plates under thermophilic conditions at different inoculum–substrate ratio and material sizes (Bracciale et al., 2024). In mesophilic conditions (35°C–38°C), PLA is little degraded or even undegraded. For example, PLA conversion to biomethane was not detected in mesophilic anaerobic digester after 170 days (Kolstad et al., 2012). Anaerobic degradability tests on commercial bioplastic bags of polybutylene adipate-*co*-terephthalate (PBAT) or PLA/PBAT carried out under mesophilic conditions (Alvarez-Mendez et al., 2023) also showed that the bags were hardly biodegradable after anaerobic digestion. Although biodegradation was detected mostly in the PLA fraction, none of bags met the NF EN 13432 standard (NF EN 13432, 2000). Finally, a moderate biomethane production was reported by Yagi et al. (2009, 2014) (Yagi et al., 2009; Yagi et al., 2014): 12% at 77 days, 23% at 182 days, and up to 49% after 277 days. Thus, the duration of the experiment is an important factor to consider as along with the C/N ratio of the substrate which influences the performance of anaerobic digestion. To obtain optimal anaerobic digestion, it should lie between 20/1 and 30/1. However, for food waste and urban sludge which are rich in protein, this ratio should be between 6/1 and 16/1. The addition of biodegradable bioplastics, containing more carbon than nitrogen, can therefore increase the C/N ratio, allowing a more stable process in the long term (Benn and Zitomer, 2018).

## 6 Microorganisms degrading polylactic acid

The biodegradation of PLA has been studied mainly in industrial compost under aerobic conditions (Kale et al., 2007b; Castro-Aguirre et al., 2018; Stloukal et al., 2015) or under anaerobic (Bracciale et al., 2024; Gómez and Michel, 2013) conditions at mesophilic or thermophilic temperatures. Most of the isolated microbial strains, capable of degrading PLA, were obtained from consortia from soils, composts, sludge from wastewater treatment plants and/or methanizers (Table 3). They mainly belong to bacteria of the *Actinomycetes* class (filamentous bacteria), but some fungal

strains also have this capacity. The first strain of *Actinomycetes* isolated from soil and capable of degrading PLA is *Amycolatopsis* HT-32 (Pranamuda et al., 1997). Identification based on 16S rRNA sequencing revealed the presence of other genera belonging to the *Pseudonocardiaceae* family, such as *Saccharothrix*, *Lentzea*, *Kibdelosporangium* and *Streptoalloteichus* (Jarerat et al., 2002). Then, the development of molecular biology techniques made it possible to reference other strains of *Actinomycetes* degrading PLA, such as *Streptomyces*, *Laceyella*, *Pseudonocardia* (Table 3). Other bacterial genera, such as *Geobacillus*, *Bacillus*, *Pseudomonas* and *Stenotrophomonas*, can also be involved in the degradation of PLA under aerobic conditions (Table 3). Moreover, some bacteria are involved in the digestion of PLA under anaerobic conditions (Table 3): *Xanthomonadaceae* bacterium, *Mesorhizobium* sp. and *Ureibacillus* sp. (Yagi et al., 2014). The importance of the genus *Tepidimicrobium*, and more particularly *Tepidimicrobium xylanilyticum*, was reported in the digestion of PLA (Tseng et al., 2020). In fact, several bacterial strains of the genus *Tepidimicrobium* were involved in the anaerobic digestion (at high temperatures) of coffee capsules made of biodegradable polymers (Cazaudehore et al., 2021). As these capsules contain a mixture of polymers, genus *Tepidimicrobium* is probably able to biodegrade polymers other than PLA. *Tepidimicrobium* is therefore the dominant genus capable of degrading PLA under anaerobic conditions. On the other hand, the degradation of PLA by fungal strains has been reported in the literature. Some researchers explored the fungal degradation of PLA in soil and compost under aerobic conditions and isolated fungal strains belonging to the genera *Aspergillus*, *Thermomyces*, *Cladosporium*, *Penicillium* and *Rhodotorula* (Karamanlioglu et al., 2014; Nair et al., 2016). Strains degrading PLA are mainly effective at a temperature range between 50°C and 70°C, but some strains can be active at lower temperatures close to 30°C (Table 3).

Microbial communities develop on the surface of PLA-based biopolymers, mostly in the form of a biofilm. The latter is a viscous layer made up of microorganisms coated with extracellular polymeric substances (EPS), excreted by microbial cells (Walczak et al., 2015). The composition of the microbial communities evolves with the time of immersion of the biopolymer (De Tender et al., 2017). It also depends on the environmental conditions in which the biopolymer samples are placed. In the marine environment, the microbial communities of the biofilm present on floating plastics are made up of a much higher proportion of *Rhodobacteraceae* and *Actinomycetaceae* compared to those that are developed on the surface of sedimented plastics. On the other hand, the communities of the biofilm at the surface of sedimented plastics contain a greater proportion of *Pseudoalteromonadaceae* and *Shewanellaceae* (Delacuvellerie et al., 2021). Some specific tests have been performed in order to accelerate the biodegradation of PLA or to improve the rate of PLA biodegradation (Castro-Aguirre et al., 2018). For example, the compost containing PLA coupons (1 × 1 cm<sup>2</sup>) was inoculated with one or more specific microbial strains capable of degrading PLA. This requires reculturing the pure strains in a liquid nutrient medium (such as R2B medium) under the optimal conditions for growth of these bacteria (stirring at 58°C), in order to obtain a bacterial culture at the end of growth phase (beginning of the plateau of the number of bacterial cells after the exponential growth phase). This

culture is then used to inoculate the bioreactor containing the compost with the PLA films, by adding bacterial cells equivalent to 1% of the total bacterial community (10<sup>8</sup> bacterial cells/g of compost) present in the bioreactor. The results indicated that the biodegradation of PLA films occurred in times comparable to organic waste.

## 7 Analytical methods to assess the biodegradation of PLA

### 7.1 Morphological analyses by macroscopic or microscopic observations

The biodegradation process induces some modifications of both optical and mechanical properties of PLA. For example, cracks or discoloration may be visible at the surface of PLA-based polymer samples (Janczak et al., 2020). Moreover, amorphous PLA and crystalline PLA are likely to biodegrade differently leading to specific patterns of degradation (Pantani and Sorrentino, 2013). Amorphous PLA had a spongy appearance while crystalline PLA had a more compact appearance whereas the initial PLA had a smooth surface (Pantani and Sorrentino, 2013; Leejarkpai et al., 2011). These observations support the fact that amorphous PLA is more easily degraded than crystalline PLA.

### 7.2 Mass loss measurements

Mass loss between samples before and after biodegradation is a simple measure to evaluate the degree of polymer biodegradation as it does not require specific equipment (Apinya et al., 2015). The polymer samples are weighed before and after the biodegradation test. Nevertheless, a sample drying step is necessary to avoid biasing the results. The percent mass loss (ML) of the polymer is calculated using the following equation (Equation 1):

$$ML(\%) = \frac{m_i - m_f}{m_i} \cdot 100 \quad (1)$$

with,  $m_i$  the initial mass of the polymer,  $m_f$  the final mass after biodegradation.

### 7.3 Respirometry in aerobic conditions

During the biodegradation of polymers in aerobic conditions (with enough oxygen available in the environment), the polymers are transformed into CO<sub>2</sub> and H<sub>2</sub>O by microorganisms following a succession of biochemical reactions using oxygen as an electron acceptor. OxiTop (WTW, Weilheim, Germany) heads inserted at the opening of the reactors allow to continuously monitor O<sub>2</sub> consumption, resulting in a reduction in pressure inside the reactor. This is only possible as the released CO<sub>2</sub> is trapped in a solution of sodium or potassium hydroxide (NaOH or KOH) contained in a beaker suspended in the reactor. The amount of produced CO<sub>2</sub> is measured using a solution of hydrochloric acid in the presence of phenolphthalein at the end of the experiment (Kolstad et al., 2012). It can be noticed that a nitrification inhibitor must be added

TABLE 3 The main microorganisms degrading PLA reported in the literature.

Microorganisms	Environment	Conditions	T (°C)	References
<b>Filamentous bacteria of Actinomycetes class</b>				
<i>Actinomadura</i> sp. T16-1	Soil	Aerobic	70	Sukkhum et al. (2009)
<i>Amycolatopsis orientalis</i> sp. <i>orientalis</i> , HT-32, SCM_MK2-4	Soil	Aerobic	30	Pranamuda and Tokiwa (1999), Li et al. (2008), Penkhrue et al. (2015)
<i>Amycolatopsis</i> sp. K104-1	Soil	Aerobic	55–60	Nakamura et al. (2001)
<i>Laceyella sacchari</i> LP175	Soil	Aerobic	60	Hanphakphoom et al. (2014)
<i>Pseudonocardia alni</i> AS4.1531 <sup>T</sup>	Soil	Aerobic	30	Konkit et al. (2012)
<i>Streptomyces</i> sp. KKU215	Soil	Aerobic	37	Yottakot and Leelavatcharamas (2019)
<b>Bacteria</b>				
<i>Alcaligenes</i> sp.	Soil	Aerobic	55	Hoshino and Isono (2002)
<i>Bacillus licheniformis</i>	Compost	Aerobic	32	Arena et al. (2011)
<i>Bacillus smithii</i> PL21	Waste	Aerobic	60	Sakai et al. (2001)
<i>Bacillus</i> sp. MYK2	Activated sludge	Aerobic	37	Kim et al. (2017)
<i>Bacillus stearothermophilus</i>	Soil	Aerobic	60	Tomita et al. (2003)
<i>Bacillus brevis</i>	Soil	Aerobic	58	Tomita et al. (1999)
<i>Chitinophaga jiangningensis</i> EA02	Landfill	Aerobic	30	Mistry et al. (2022)
<i>Chryseobacterium</i> sp.	Compost	Aerobic	30	Satti et al. (2017)
<i>Geobacillus thermocatenulatus</i>	Soil	Aerobic	60	Tomita et al. (2004)
<i>Geobacillus thermoleovorans</i>	Compost	Aerobic	58	Castro-Aguirre et al. (2018)
<i>Gordonia desulfuricans</i> EA63	Landfill	Aerobic	30	Mistry et al. (2022)
<i>Mesorhizobium</i> sp.	Sludge	Anaerobic	37	Yagi et al. (2014)
<i>Nocardioides zeae</i> AE12	Landfill	Aerobic	30	Mistry et al. (2022)
<i>Paenibacillus amylolyticus</i> TB-13	Soil	Aerobic	50	Akutsu-Shigeno Yukie et al. (2003)
<i>Pseudomonas aeruginosa</i> S3, S4	Compost	Aerobic	30	Satti et al. (2017)
<i>Pseudomonas geniculate</i> WS3	Soil	Aerobic	30	Bubpachat et al. (2018)
<i>Pseudomonas</i> sp. DS04-T	Activated sludge	Aerobic	50	Wang et al. (2011)
<i>Pseudomonas</i> sp. MYK1	Activated sludge	Aerobic	37	Kim et al. (2017)
<i>Pseudomonas tamsuii</i> TKU015	Soil	Aerobic	60	Liang et al. (2016)
<i>Serratia marcescens</i>	Soil	Aerobic	30	Nair et al. (2016)
<i>Sphingobacterium</i> sp.	Compost	Aerobic	30	Satti et al. (2017)
<i>Stenotrophomonas maltophilia</i> LB 2-3	Compost	Aerobic	37	Jeon and Kim (2013)
<i>Stenotrophomonas pavanii</i> CH1	Activated sludge	Aerobic	30	Bubpachat et al. (2018)

(Continued on the following page)



TABLE 3 (Continued) The main microorganisms degrading PLA reported in the literature.

Microorganisms	Environment	Conditions	T (°C)	References
<i>Ureibacillus</i> sp.	Sludge	Anaerobic	55	Yagi et al. (2013)
<i>Xanthomonadaceae</i> bacterium	Sludge	Anaerobic	37	Yagi et al. (2014)
<b>Fungi</b>				
<i>Aspergillus fumigatus</i>	Soil and compost	Aerobic	55	Karamanlioglu et al. (2014)
<i>Cladosporium sphaerospermum</i>	Soil	Aerobic	30	Nair et al. (2016)
<i>Penicillium chrysogenum</i>	Soil	Aerobic	30	Nair et al. (2016)
<i>Rhodotorula mucilaginosa</i>	Soil	Aerobic	30	Nair et al. (2016)
<i>Thermomyces lanuginosus</i>	Soil and compost	Aerobic	50	Karamanlioglu et al. (2014)

to nitrogen-rich environment, in order to inhibit the oxidation of nitrogen compounds.

This method makes it possible to monitor the biochemical oxygen demand (BOD) of microorganisms over time (Walczak et al., 2015). It allows to determine the percentage of biodegradation using the quantity of CO<sub>2</sub> released (Pattanasuttichonlakul et al., 2018).

The aerobic biodegradation rate (BR) is calculated with respect to a control (reactor not containing a sample) according to Equation 2 (Kale et al., 2007b):

$$BR(\%) = \frac{CO_2 - CO_{2b}}{m(C/100)(44 \times 12)}, 100 \quad (2)$$

where CO<sub>2</sub> is the quantity of carbon dioxide released (g), CO<sub>2b</sub> the quantity of carbon dioxide released in the control (g), m the mass of the sample (g) and C the organic carbon content (%) of the sample.

The concentration of CO<sub>2</sub> released is determined according to Equation 3 (Kolstad et al., 2012):

$$CO_2(g) = \frac{V \times C \times 44}{1000} \quad (3)$$

where V is the volume of HCl (mL) and C the concentration of the HCl solution (g/L).

## 7.4 Respirometry in anaerobic conditions

The biodegradability of polymers under anaerobic conditions results in the transformation of complex molecules into simple molecules (organic acids such as acetic, butyric and propionic acids) which leads to the formation of methane (CH<sub>4</sub>) and carbon dioxide (CO<sub>2</sub>). The biodegradation of a polymer sample can be evaluated in the laboratory by monitoring the production of methane, in order to evaluate its methanogenic potential (BMP) (Cazaudehore et al., 2022). For these laboratory tests, the substrate is first mixed with a culture of anaerobic bacteria, generally from an anaerobic digester. Then, the mixture is stirred in a sealed bottle at a given temperature. The amount of methane generated from the substrate is then measured and the biochemical methane potential of the sample can be determined in g CH<sub>4</sub>/g of added

volatiles. Several devices can be used to monitor the methane produced: volume displacement device, pressure gauge or pressure transducer (Filer et al., 2019). The generation of methane can also be measured by analytical techniques, such as gas chromatography. The anaerobic biodegradation rate (ABR) of a PLA-based polymer is calculated using Equation 4:

$$ABR(\%) = \frac{EMP}{TMP} \quad (4)$$

where EMP is the experimental methane production and TMP the theoretical methane production.

For an organic compound of formula C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>N<sub>n</sub>S<sub>s</sub>, the equivalent theoretical methane production (TMP) is calculated using Equation 5:

$$TMP(L/g) = \frac{22.4 \times \left( \frac{x}{2} + \frac{y}{8} - \frac{z}{4} - \frac{n}{8} - \frac{s}{4} \right)}{12x + y + 16z + 14n + 32s} \quad (5)$$

## 7.5 Size exclusion chromatography

Size exclusion chromatography (SEC) is used to determine the molecular weight of PLA-based polymer samples before and after biodegradation. SEC is based on a separation of compounds according to their size during their passage through an exclusion gel. Smaller molecules can penetrate the pores of the exclusion gel particles, whereas large molecules cannot. Thus, the smaller molecules will be retained on the exclusion gel and the larger ones will be quickly carried away by the mobile phase. This will therefore induce a difference in the elution time of molecules depending on their molecular weight. The device used is a liquid chromatograph equipped with a refractive index (RI) detector, with tetrahydrofuran (THF) as mobile phase (containing 2% by weight of triethylamine). A polystyrene standard (0.5–2,480 kDa) is generally used (Nair et al., 2016).

## 7.6 Infrared spectrometry

Infrared spectroscopy consists of measuring the light absorbed by a solid, a liquid or a gas sample, at several wavelengths contained in the infrared spectrum. In the case of PLA biodegradation, infrared spectroscopy allows to monitor the evolution of the chemical groups present in the polymer. Indeed, as presented previously, PLA polymers are made up of polylactic acid monomers linked by ester bonds. During the hydrolysis of these bonds, the formed hydroxyl groups (-OH) can be detected by the appearance of the peak characterizing these groups at  $3,340\text{ cm}^{-1}$  (Kalita et al., 2019). The surface spectra of PLA samples can be obtained by Fourier Transform InfraRed (FTIR) spectroscopy using the Attenuated Total Reflectance (ATR) technique.

## 7.7 Differential scanning calorimetry

Differential scanning calorimetry (DSC) is a thermal analysis, which allows monitoring the glass transition and crystallization. These parameters are determined by the difference in heat exchange between the sample to be tested and a reference compound (indium standard). The sample to be analyzed is heated up to  $200^{\circ}\text{C}$  with a heating rate of  $10^{\circ}\text{C}/\text{min}$  under a nitrogen atmosphere. DSC enables us to determine the melting temperature ( $T_m$ ), the glass transition temperature ( $T_g$ ), the crystallization temperature ( $T_c$ ), the enthalpy of fusion ( $\Delta H_m$ ) and the enthalpy of crystallization ( $\Delta H_c$ ) (Delacuvellerie et al., 2021).

Carrying out DSC experiments on the PLA-based polymer sample before and after biodegradation gives valuable information on the impact of biodegradation on the crystallinity and melting temperature. If the PLA-based polymer is degraded,  $T_g$  decreases whereas crystallinity increases. This result is mainly the consequence of the quicker degradation of the amorphous PLA than the crystalline PLA. The percentage of crystallinity of the polymer can be determined using Equation 6 (Benali et al., 2015):

$$xc(\%) = \left[ \frac{\Delta H_m - \Delta H_{cc}}{\Delta H^0 \times \left(1 - \frac{\%wt_{filler}}{100}\right)} \right] \cdot 100 \quad (6)$$

where  $\Delta H_m$  and  $\Delta H_{cc}$  are the enthalpies of fusion and crystallization, respectively, at an identical time  $t$ ,  $\Delta H^0$  the enthalpy of fusion of the 100% crystalline polymer ( $=93.0\text{ J/g}$  for PLA) and  $\%wt_{filler}$  the mass percentage of filler.

## 8 Perspectives and future directions

Composting is one of the most efficient biodegradation methods for biopolymers. However, its efficiency depends on the environmental conditions (temperature, humidity, etc.), because these parameters strongly impact the microbial activity into the compost. So, the relevant parameters must be controlled to avoid a variability in the biodegradation yield. Moreover, the composition of compost also plays an important role in the biodegradation process, in particular its content in degrading microorganisms and the presence of carbon sources easily assimilated by microorganisms. Therefore, further research should be conducted to determine the

composition of the compost and the environmental conditions that allow for optimized biodegradation of a given bioplastic.

Much research has been conducted to develop PLA-based biopolymers by mixing them with other constituents to improve their specific properties and increase their biodegradation rate. However, few studies have collected data on the effects of these additives to explain the improvement in the biodegradation rate. To progress in the development of new eco-friendly plastics, it is necessary to better understand the mechanisms involved by studying in more detail the morphology, water absorption, hydrophilicity and structural properties of PLA blends to predict their biodegradation in a given environment.

Significant advances have been made on the biodegradation of PLA, highlighting the vital role of enzymes from microorganisms in biodegradation of PLA. The use of enzymes instead of microbial cells would allow to avoid the assimilation phase of lactic acid products by microorganisms. The released lactic acid could be directed towards repolymerization to manufacture new PLA or towards other applications, thus ensuring a circular economy (Acosta and Alper, 2023). The use of enzymes for PLA degradation is still emerging. Enzyme engineering technology would improve the performance of enzymatic degradation. In addition, the isotopic tracing and fluorescent labelling method would allow new advances to better understand the catalytic mechanism.

## 9 Conclusion

Bio-based plastics offer many environmental benefits as a replacement for petroleum-based plastics. However, the sole reduction of greenhouse gas emissions (mainly carbon dioxide) is not the major characteristic for putting an end to the accumulation of plastics in ecosystems leading to major pollution of the nature. Indeed, plastics of biological origin should have a much higher rate of biodegradation than that of plastics from petroleum. Plastics based on PLA, which represents around a quarter of the production of bio-based plastics, have this property of biodegradability. The reported bibliographic review dedicated to PLA-based plastics highlights that there is a good understanding of the mechanisms involved in their biodegradation both in aerobic and anaerobic environments. Thus, using PLA-degrading enzymes is very effective process in order to increase the biodegradation rate. Moreover, several analytic techniques make it possible to properly characterize the effect of biodegradation on their properties. It has been demonstrated that the biodegradation rate appears to be higher for the amorphous part of the polymer compared to the crystalline part. Blends of PLA with other polymers or sources of carbon also impact the biodegradation rate. Generally, a source of carbon easily assimilated by microorganisms will amplify the biodegradation rate whereas the incorporation of compatibilizers could significantly decrease the biodegradation rate in the case of PLA/PBAT-based materials.

In addition, there is a whole normative context which makes it possible to frame validation tests according to the environment in which bio-based plastics could be found. It has thus been demonstrated that PLA-based plastics have good biodegradability in industrial compost under aerobic conditions but that biodegradation in a marine environment or in domestic compost is less effective. In this regard, the biodegradation of PLA in

marine or domestic environments should be the focus on the future research, as microplastics in such environments are a big issue to address nowadays. On the other hand, anaerobic digestion, i.e., a thermophilic and anaerobic process, also seems to be a promising alternative to the biodegradation of bio-based plastics leading to the production of biogas that can be converted into energy. However, a deeper knowledge of the PLA-degrading microorganisms found in different environments and of the corresponding biodegradation mechanisms involved is still necessary to develop even more effective strategies leading to the reduction of the duration of the biodegradation process. Nevertheless, despite the existence of standards for plastic degradation testing, a common testing procedure should be developed to collect as much data as possible on the biodegradability of bioplastics and to make cross-comparisons between studies.

## Author contributions

CL: Conceptualization, Formal Analysis, Writing—original draft, Writing—review and editing. PL: Methodology, Writing—original draft. CP: Supervision, Validation, Writing—review and editing.

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