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EDITED BY

S.M. Sharifuzzaman,
University of Chittagong, Bangladesh

REVIEWED BY

Xiujuan Shan,
Chinese Academy of Fishery Sciences
(CAFS), China
Taner Yildiz,
Istanbul University, Türkiye

*CORRESPONDENCE

Jinn Shing Weng
✉ j-s.ueng@mail.tfrin.gov.tw

[†]These authors have contributed
equally to this work and share
first authorship

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Spawning characteristics of blue sprat (*Spratelloides gracilis*) in the waters of Penghu, central Taiwan Strait

Li Chi Cheng^{1†}, Yang Chi Lan^{1†}, Kuo Wei Lan^{2†},
Jinn Shing Weng^{1*}, Yu Kai Chen¹, Sheng Tai Hsiao³,
Yi Sui Wu¹, Jia Shin He¹, Hsing Han Huang¹, Yu Cih Huang²
and Hung An Cheng⁴

¹Coastal and Offshore Resources Research Center, Fisheries Research Institute, Council of Agriculture, Kaohsiung City, Taiwan, ²Department of Environment Biology and Fisheries Science, National Taiwan Ocean University, Keelung City, Taiwan, ³Marine Fisheries Division, Fisheries Research Institute, Council of Agriculture, Keelung City, Taiwan, ⁴Agriculture and Fishery Bureau Penghu County Government, Magong, Taiwan

Blue sprat (*Spratelloides gracilis*) is an economically valuable species that inhabits the central Taiwan Strait. However, despite the implementation of a local management scheme involving a closed season between 1 May and 1 June, the annual catch has rapidly decreased over the decades. Thus, the efficacy of the implemented regulations must be investigated. This study sought to clarify the reproductive biology, spawning ground, and behavior of this species in the waters of Penghu. In total, 6,549 specimens were collected between March 2021 and September 2022. Considering gonadosomatic index, group maturity, and oocyte diameter, we found that the spawning season (March–September) peaked in April; new cohorts were distinctly recruited after the spawning peak. The distribution of the spawning ground (latitude, 23°41'N to 23°43'N; longitude, 119°32'E to 119°39'E) was determined by considering the fishing area of mature females and DNA analysis of adhered eggs. We further noted shoals of fish darting in the bottom water without clear schooling movement, females' abdomen frequently contacting substrata for eggs able to attach on during oviposition, and males releasing sperms making water milky white; these indicate that *S. gracilis* exhibits promiscuous spawning behavior. Our findings may facilitate the management and conservation of *S. gracilis* in this region.

KEYWORDS

reproductive biology, spawning season, spawning ground, spawning behavior, fishery management

1 Introduction

Blue sprat (*Spratelloides gracilis*) is a small, pelagic, schooling clupeid distributed in the tropical and temperate Indo-West Pacific region (Lewis et al., 1983; Whitehead, 1985; Randall, 1995; Weng et al., 2005). This species inhabits the relatively clear water of coastal, lagoon, and seaward reefs and feeds on planktonic species such as diatoms, copepods, and other invertebrates. *S. gracilis* is mainly used as baitfish for the tuna fishery in the waters of the Solomon Islands and Papua New Guinea (Daly and Richardson, 1980; Blaber et al., 1990; Milton et al., 1990a; Milton et al., 1990b; Milton et al., 1991); it is also commercially caught in southern Japan (Shirafuji et al., 2007). Likewise, in Taiwan, *S. gracilis* is an economically valuable species for fisheries using various implements: drag-nets, gill nets, Taiwanese Danish seines, and purse-seine nets. The annual catch of this fish increased from 639 metric tons in 2,000 to 1,022 metric tons in 2007 and then plummeted to 79 metric tons in 2021 (Fisheries Agency, 2022) as a consequence of high market demand for decades. Of the total annual catch, approximately 99% is obtained around the Penghu islands, central Taiwan Strait, which appears to be a major fishing ground for this species. The number of fishing vessels *in situ* (Penghu waters) decreased rapidly from 87 boats in 1988 to 9 boats currently, all operated with Taiwanese Danish seine.

Ecological studies have been conducted on *S. gracilis* in the waters of tropical regions, Japan, and Australia. Ecological traits in terms of fish growth, size at maturity, and spawning season are similar between the Solomon Islands and Papua New Guinea (Shirafuji et al., 2007); however, these markedly vary between the temperate waters of Australia, Japan, and the tropical region. Weng et al. (2005) reported that the spawning season of *S. gracilis* extended from February to September and peaked in March–April and July–August between 2001 and 2003 in the subtropical waters of Penghu.

For the sustainability of the traditional fishery in Penghu waters, a management scheme was implemented by the local government in 1995 for the conservation of *S. gracilis*, which involved a closed season between 1 May and 1 June. However, the regulation scheme was based on the experience of fishermen rather than precise scientific evidence, which limited the efficacy of the regulations. The reproductive period of this species reported by Weng et al. (2005) also suggests that the local management scheme failed to account for the reproductive behavior of *S. gracilis*, thus limiting the scheme's efficacy in reducing the fishing pressure on spawners and recruits.

The increasing trend in global warming and extreme climate may interfere with spawning season (Shoji et al., 2011). *S. gracilis* has been studied *in situ* over the past decades. However, few studies have provided precise information on the spawning behavior of this species in the waters of Penghu. Therefore, we conducted this study to clarify the reproductive biology, spawning ground, spawning behavior, and spawning period time shift of *S. gracilis*. For this, we used data collected by sampling fishing vessels and performing underwater observation between 2021 and 2022. Our findings may provide useful information for the effective management of this species in the future.

2 Materials and methods

2.1 Collection of specimens

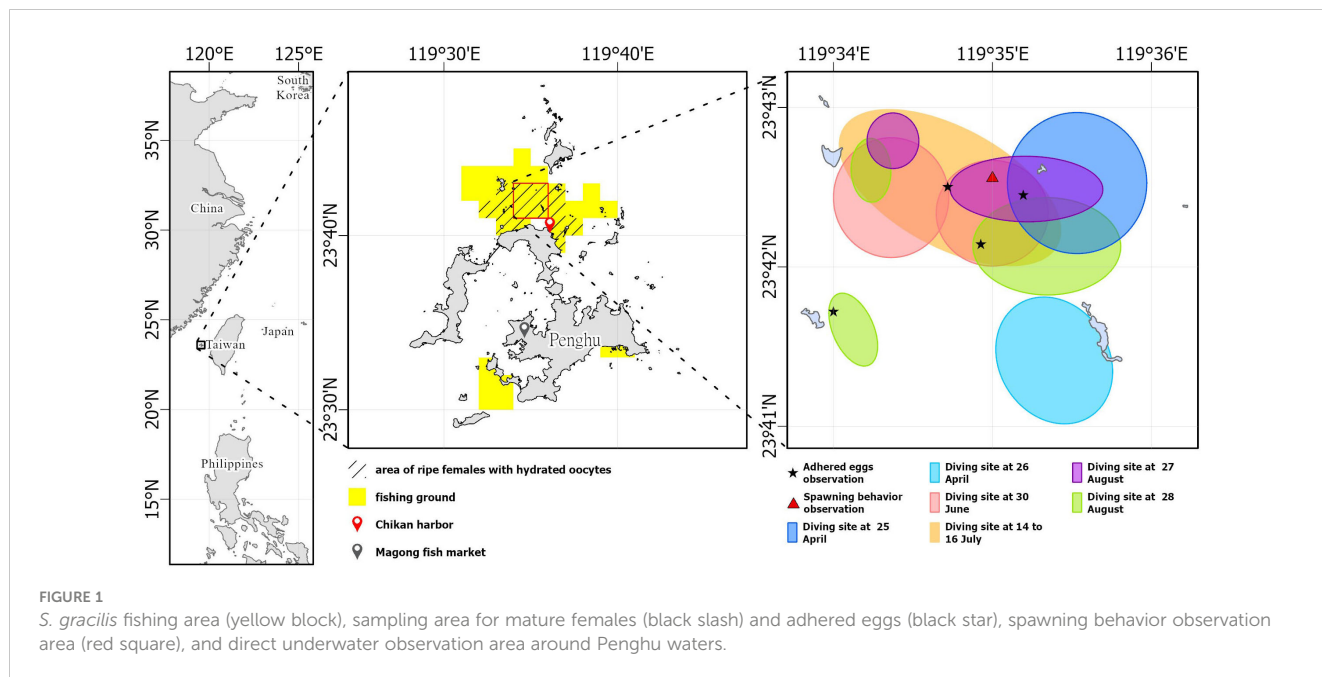
Specimens were collected every month between March 2021 and September 2022 (except May 2021) from fishery landings of specific Taiwanese Danish seine sampling vessels at Magong fish market and Chikan harbor, Penghu. The fishing ground was determined by considering the operation area recorded using the Voyage Data Recorder (VDR) and logbooks of sampling vessels as aforementioned (Figure 1).

The fork length (FL) and body weight (BW) of the collected specimens were measured to the nearest 0.01 mm and 0.01 g, respectively. The gonads were weighed to the nearest 0.01 g. Before the macroscopic examination of specimens, gonads were excised to determine maturity status and preserved in 10% formalin until further processing. A potential regression was used to describe the length–weight relationship using the following equation: $BW = a \times FL^b$, where a and b are constants to be estimated. Sex-specific FL–BW relationships were analyzed using maximum likelihood ratio test (Kimura, 1980a), and all data are fitted using the statistical programming language R (R Development Core Team; www.r-project.org).

2.2 Assessment of gonad development

The gonads were weighed before calculating the gonadosomatic index (GSI) value ($[\text{gonad weight (g)}/\text{BW (g)}] \times 100$) and sex ratio ($[\text{number of females}/\text{total number of specimens}] \times 100$). During the reproductive period, ovarian regions may develop differently. Therefore, data homogeneity was evaluated. Five ovaries obtained from different fish at different developmental stages were examined to ensure homogeneity in oocyte diameter and number. Each ovary was divided into six portions, and 0.05 g of tissue was sampled from each portion. The tissue samples were assessed using a Nikon profile projector at a magnification of 50 \times . Two-way analysis of variance indicated no significant difference in the number of oocytes between the portions collected from the same *S. gracilis* ($p > 0.05$; $n = 30$) but revealed significant differences across specimens ($p < 0.05$). Similar patterns were noted in the frequency distribution of the diameter of oocytes obtained from the same portion, which indicated homogeneity in oocyte diameter and number. For consistency, the middle portion of the left lobe of each ovary was assessed in this study.

In total, 49 ovaries of mature females obtained during spawning season (March to September) were used to detect their histological examination and oocyte diameters. The eggs per 0.05 g of ovaries were measured with a Nikon profile projector V-12 at a magnification of 50 \times , and the distribution of oocyte diameter in each stage was calculated. Reproductive activity was assessed by investigating the macroscopic characteristics and histological sections of specimens (Weng et al., 2005), and four stages were identified (Supplementary Table 1). In general, females are regarded as sexually mature if



they have reached the tertiary yolk, migratory nucleus, or hydrated oocyte stage (Weng et al., 2005; Arocha and Bárrios, 2009). In males, the testis is slender and erythroid during the immature stage and becomes enlarged, opaque, and milky during the reproductive season. Specimens with secondary spermatocytes, spermatids, or spermatozoa were considered to be sexually mature (Weng et al., 2005). We assessed the monthly changes in the GSI values and the relative proportions of various gonad developmental stages, which indicate group maturity of *S. gracilis* to clarify their spawning season.

2.3 Assessment of spawning ground and reproductive behaviors

The spawning ground was determined on the basis of the location where mature female specimens with hydrated oocytes were captured. To confirm the brooding behavior of *S. gracilis*, three researchers performed scuba diving (8 days between April and August 2022) to directly observe the specimens *in situ* by using digital video cameras (Tough TG-6, Olympus, Tokyo, Japan and GoPro Hero7 Black, CA, USA; Table 1). The range of diving sites

TABLE 1 Fishing area, substratum type, spawning behavior, and location of adhered eggs of *S. gracilis* at the scuba diving sites in Penghu waters.

| Sampling date | Area of scuba diving | Timing of scuba diving (local time) | Depth (m) | Observation of spawning behavior | Observation of adhered eggs | Main substrata (minor substrata) |
|---------------|------------------------------------------|-------------------------------------|-----------|----------------------------------|-----------------------------|--------------------------------------|
| 25 April | 23°42'N to 23°43'N; 119°35'E to 119°36'E | Daytime 10:00–12:00 | 10–12 m | — | — | Sandy bottom (gravel and coral reef) |
| 26 April | 23°41'N to 23°42'N; 119°35'E to 119°36'E | Daytime 10:00–12:00 | 10–12 m | — | — | Sandy bottom (rock) |
| 30 June | 23°42'N to 23°43'N; 119°34'E to 119°36'E | Daytime 10:30–13:30 | 6–20 m | Promiscuous | — | Sandy bottom (algae on gravel) |
| 14 July | 23°42'N to 23°43'N; 119°34'E to 119°36'E | Daytime 10:30–13:30 | 6–15 m | — | Attached on algae | Sandy bottom (algae) |
| 15 July | 23°42'N to 23°43'N; 119°34'E to 119°36'E | Daytime 10:30–13:30 | 10–15 m | — | Attached on algae | Sandy bottom (algae) |
| 16 July | 23°42'N to 23°43'N; 119°34'E to 119°36'E | Daytime 10:30–13:30 | 12–15 m | — | Attached on algae | Sandy bottom (algae) |
| 27 August | 23°42'N to 23°43'N; 119°34'E to 119°36'E | Time before sunset 16:00–18:30 | 6–12 m | — | — | Sandy bottom (rock and coral reef) |
| 28 August | 23°41'N to 23°43'N; 119°34'E to 119°36'E | Daytime 10:00–12:00 | 6–18 m | — | Attached on sand and algae | Sandy bottom (algae on gravel) |

The em dash (—) indicates the absence of data.

was determined using the coordinates of the entry and exit points of diving, which were recorded using a global positioning system. Eggs present on the substrata at the spawning ground were manually sampled, preserved in 95% ethanol, until entering the Chikan harbor. The samples transferred to our laboratory were kept frozen at -20°C for further analysis.

The substrata comprised several types of sands and algae, which were identified by observing their phenotypic characteristics. Sands were sampled; the diameter of particle samples was measured using a Nikon profile projector V-12 at a magnification of $50\times$. All egg samples used for genomic analysis were fixed with 95% ethanol (-20°C) and preserved in the Molecular Phylogeny Laboratory, Marine Fisheries Division, Fisheries Research Institute, Council of Agriculture, Taiwan. Mitochondrial cytochrome c oxidase subunit I (COI) and 16S rRNA genes were used as molecular markers for species identification. Total genomic DNA was extracted from each egg using a commercial DNA isolation kit (Gentra, Minneapolis, MN, USA). The LCO-1490 (forward primer, 5'-GGT CAA CAA ATC ATA AAG ATA TTG G-3') and HCO-2198 (reverse primer, 5'-TAA ACT TCA GGG TGA CCA AAA AAT CA-3') primer set, which helps amplify *COI*, was used (Folmer et al., 1994).

Polymerase chain reaction (PCR) was performed in a total reaction volume of $25\ \mu\text{l}$, containing genomic DNA (100 ng), forward primer (5 pmol/L), reverse primer (10 pmol/L), and Taq DNA Polymerase 2X Master Mix Red ($12.5\ \mu\text{l}$; Amliqon, Odense, Denmark). A thermal cycler (Veriti; Applied Biosystems, Foster City, CA, USA) was used for PCR. The reaction conditions were as follows: denaturation (1 cycle) at 95°C for 4 min; 40 cycles at 95°C for 1 min, 48°C for 1 min, and 72°C for 3 min; and final extension at 72°C for 10 min. The products were preserved at 4°C .

PCR products were assessed through agarose gel (1%) electrolysis to confirm the accuracy of fragment lengths. Afterward, the products were eluted using the QIAquick Gel Extraction Kit (Qiagen, Hilden, Germany). Purified PCR

products were sent to MB Mission Biotech (Taipei, Taiwan) for DNA sequencing.

Sequence data were manually edited and automatically assembled using BioEdit 7.0 (Hall, 1999) and Clustal X (Thompson et al., 1997). The sequences were deposited in GenBank under the accession numbers OP804318–OP804321. *Alosa sapidissima* (National Center for Biotechnology Information [NCBI] accession: MG570434) was used as an outgroup in phylogenetic analyses. Phylogenetic relationships were inferred using the neighbor-joining (NJ) and maximum parsimony (MP) methods. NJ trees were constructed using MEGA X (Kumar et al., 2018) and the Kimura two-parameter model of base substitution (Kimura, 1980b) with 1,000 bootstrap repetitions (Felsenstein, 1985). MP tree topology was estimated using the tree bisection–reconnection algorithm.

3 Results

3.1 Size composition

In total, 6,549 specimens (2,553 females, 3,739 males, and 257 sex unknown) were collected. FL and BW ranged from 17.66 to 83.25 mm and 0.02 to 4.75 g, respectively (Figure 2). The maximum-likelihood ratio test indicated that the length–weight relationship varied significantly between the sexes ($p < 0.01$; $p = 4.76 \times 10^{-8}$) and the following were the equations derived (Figure 2).

Female: $\text{BW} = 6.949 \times 10^{-6} \text{FL}^{3.031}$ ($n = 2,553$, range: 0.02–4.62 g BW, 22.39–82.20 mm FL);

Male: $\text{BW} = 4.590 \times 10^{-6} \text{FL}^{3.126}$ ($n = 3,739$, range: 0.02–4.75 g BW, 18.06–83.25 mm FL).

A single mode value was consistently observed in the distribution of FL frequency data obtained during the study period. Seasonally, the mean FL (range, 60–80 mm) was

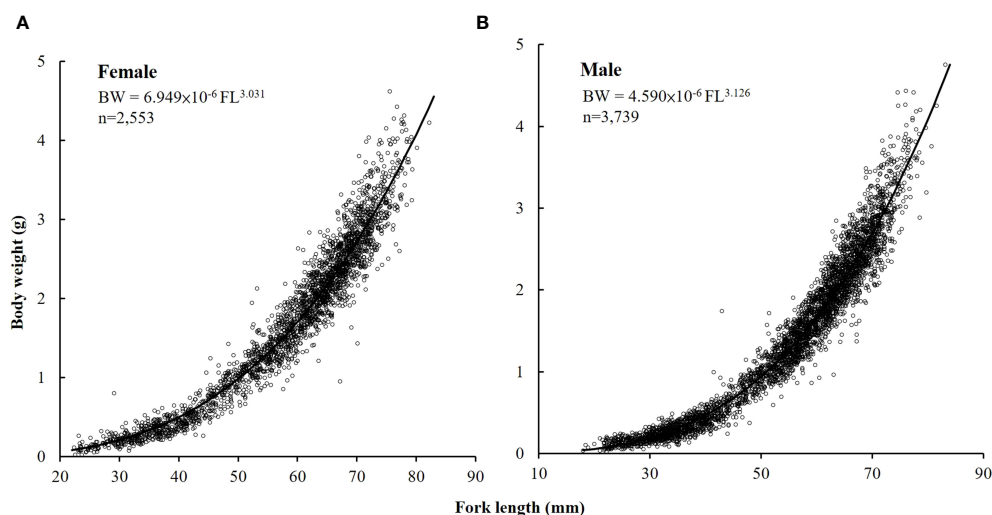


FIGURE 2
The length–weight relationship of (A) female and (B) male *S. gracilis*.

significantly larger in March–April 2021 and January–April 2022 ($p < 0.05$). The mean FL of juvenile ranged from 25 to 50 mm in June 2021, August–September 2021, May 2022, and July–September 2022 (Figure 3).

3.2 Monthly changes in GSI and group maturity

The sex ratio of *S. gracilis* was significantly different from 0.5 ($p < 0.05$; Supplementary Table 2). The sample size in April 2021 ($n = 4$) was too small to obtain statistically significant results. The sex ratio peaked in April 2022 (47.91%) and was lowest in June 2021 (27.93%). The chi-square test indicated that the sex ratio was significantly different from 0.5 in March 2021, June–November 2021, and May–July 2022 ($p < 0.05$; Supplementary Table 2).

The GSI of females ranged from 0.01 to 15.79 during the study period. In 2021, it increased from 7.6 in March to 15.79 (first peak) in April and then decreased in June but increased again to 6.57 (second peak) in July. From August 2021, a gradual decrease was noted in the aforementioned GSI value. The low value was maintained until March 2022. In 2022, the first peak (GSI value, 6.88) was observed in April, and the value decreased in May. A relatively high value (4.34–4.94) was maintained between June and

September. However, no second peak was noted in 2022. Similar patterns were observed for the monthly changes in the GSI of males (Figure 4).

The monthly proportion of mature females, as the tendency of GSI, increased in March and peaked in April 2021. The proportion substantially decreased to 3.23% in June 2021 when 6.45% of the female specimens were in the spent stage. Nonetheless, in July 2021 (second peak), 40% of the female specimens were in the mature stage. This proportion slightly decreased in August and September 2021. Between October 2021 and March 2022, all specimens were in the immature stage. Mature females appeared late and peaked (41.61%) in April 2022. Their proportion rapidly decreased to 1% in May 2022 but increased to >15% between June and August 2022 and then peaked (second peak in 2022; 30%) in September. Similar patterns were observed for the monthly changes in the group maturity of males (Figure 5).

3.3 Spawning season and ground

The histological examination of *S. gracilis* gonads revealed mature oocytes between March and September. Both GSI and the proportion of mature *S. gracilis* showed a first peak in April 2021 and 2022 and a second peak in July 2021; higher values were

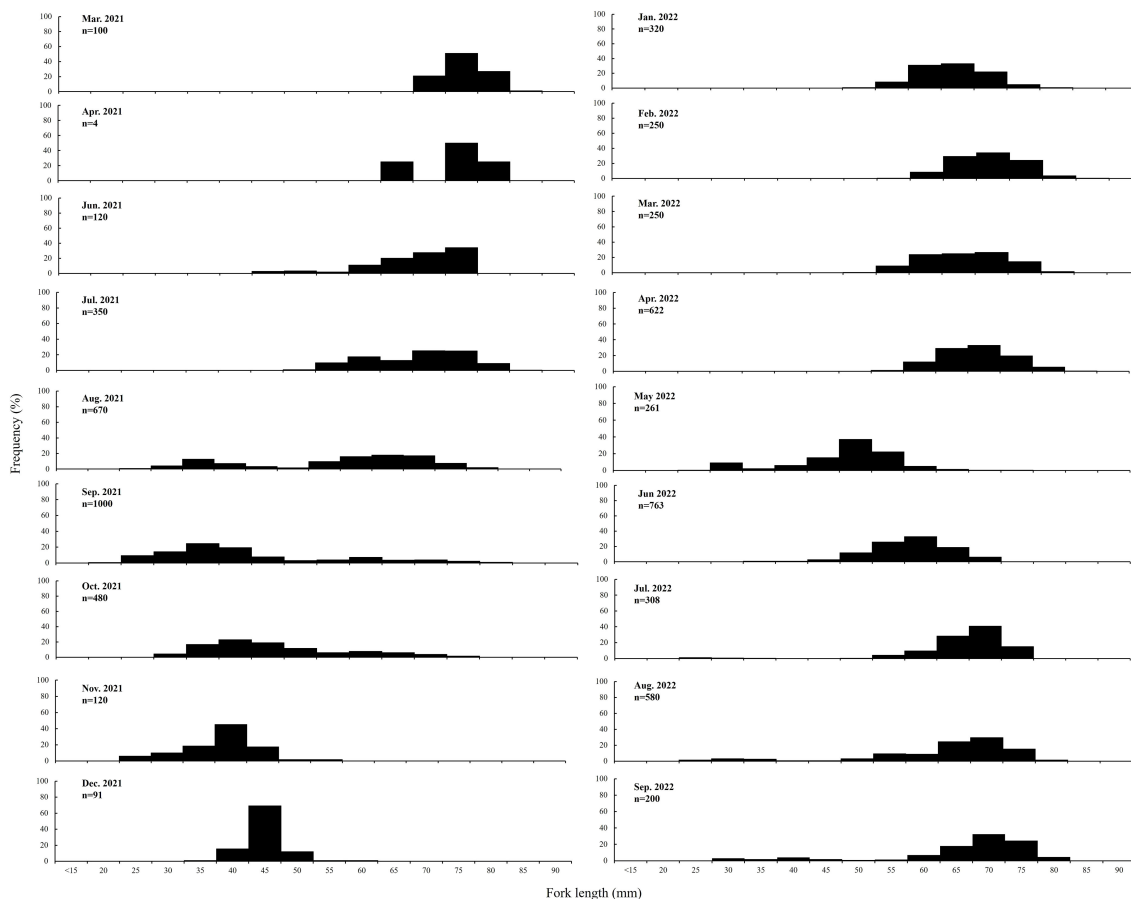


FIGURE 3
Distribution of the fork length of *S. gracilis* specimens collected in the waters of Penghu, central Taiwan Strait.

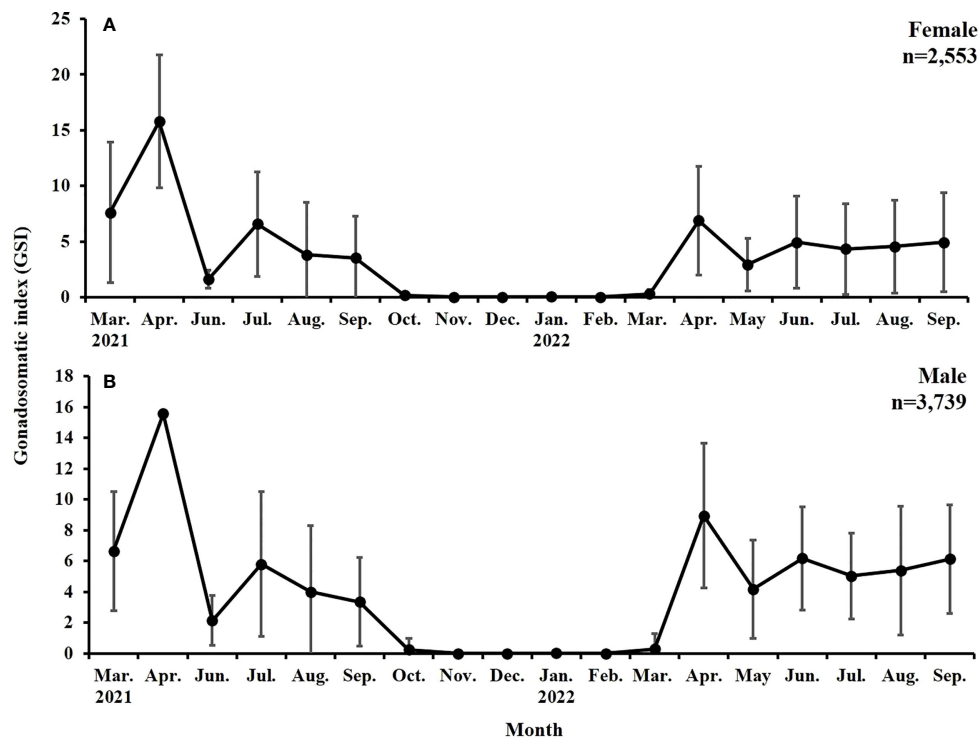


FIGURE 4 Monthly changes in the gonadosomatic index (GSI) of (A) female and (B) male *S. gracilis*.

maintained from June to August 2022. Monthly changes in length frequency distribution indicated that new recruits appeared between May and November. Thus, *S. gracilis* in the waters of Penghu spawned between March and September and exhibited a distinct peak in April in both years. The area for fishing mature females with hydrated oocytes indicated the northern inshore waters of Penghu as the spawning ground of *S. gracilis* (Figure 1).

3.4 Spawning behavior

To validate the aforementioned findings regarding spawning period and ground, direct underwater observation was performed for 8 days (during the daytime) in 2022 (Table 1). The diving sites were the areas where mature females with hydrated eggs were caught by sampling vessels, and the water depth was 12–20 m. The spawning substrata consisted mainly of sand with a grain size of 0.196–1.319 mm ($n = 30$), and gravel, rocks, coral reef, seagrass, sponges, sea anemone, and various algae were distributed sporadically (Supplementary Figure 1).

The spawning behavior of *S. gracilis* was observed on 30 June (lunar date, 2 June; Table 1; Figure 1). Without any clear schooling movement, shoals of fish were found to dart around rapidly, circling near the bottom of the water column and passing close to potential spawning substrata singly or in a tiny shoal comprising two to four individuals. The abdomens, with pectoral fins, of mature females frequently contacted substrata surfaces for oviposition; males released sperms, making the water milky white. The caudal fin was deflected ventrally while the fish moved forward with rapid tail

beats, blowing sand grains (Figure 6; Supplementary Video). This indicates that *S. gracilis* exhibit promiscuous spawning behaviors.

Eggs adhering to sand and red (*Halymenia floresia* subsp. *harveyana*) and brown (*Ecklonia kurome*) algae were sampled (Figures 1, 7; Table 1) on 14–16 July (lunar date, 16–18 June) and 28 August (lunar date, 2 August). Four sequences of the COI barcode were successfully retrieved from the egg clumps. No insertion, deletion, or stop codon was detected in the COI sequences. All nucleotide sequences were trimmed and aligned to a short 602-bp sequence. The analysis of nucleotide pair frequency revealed 214 variable sites, of which 176 were parsimony-informative sites and 38 were singleton variable sites. On the basis of COI sequence data, eggs (0714a, 0715a, 0716a, and 0828a) were identified to be laid by *S. gracilis*. The COI phylogenies constructed using NJ and MP methods exhibited identical topologies (Figure 8). The genetic distances between the eggs and *S. gracilis* sequences obtained from NCBI were very small (0–0.007). The analysis of the COI sequences revealed that the eggs formed a clade with *S. gracilis*, which was strongly supported by a bootstrap value of ≥ 99 , and the clade was distinct from the other species of *Spratelloides* and *Spratelloidinae*. These findings show that the northern inshore waters of Penghu were a key spawning ground of *S. gracilis*.

4 Discussion

Fish employ diverse reproductive strategies to increase the production of offspring and the survival rate of larvae till adulthood; successful reproduction depends on spawning frequency, spawner type, mating practice, fertilization, egg type,

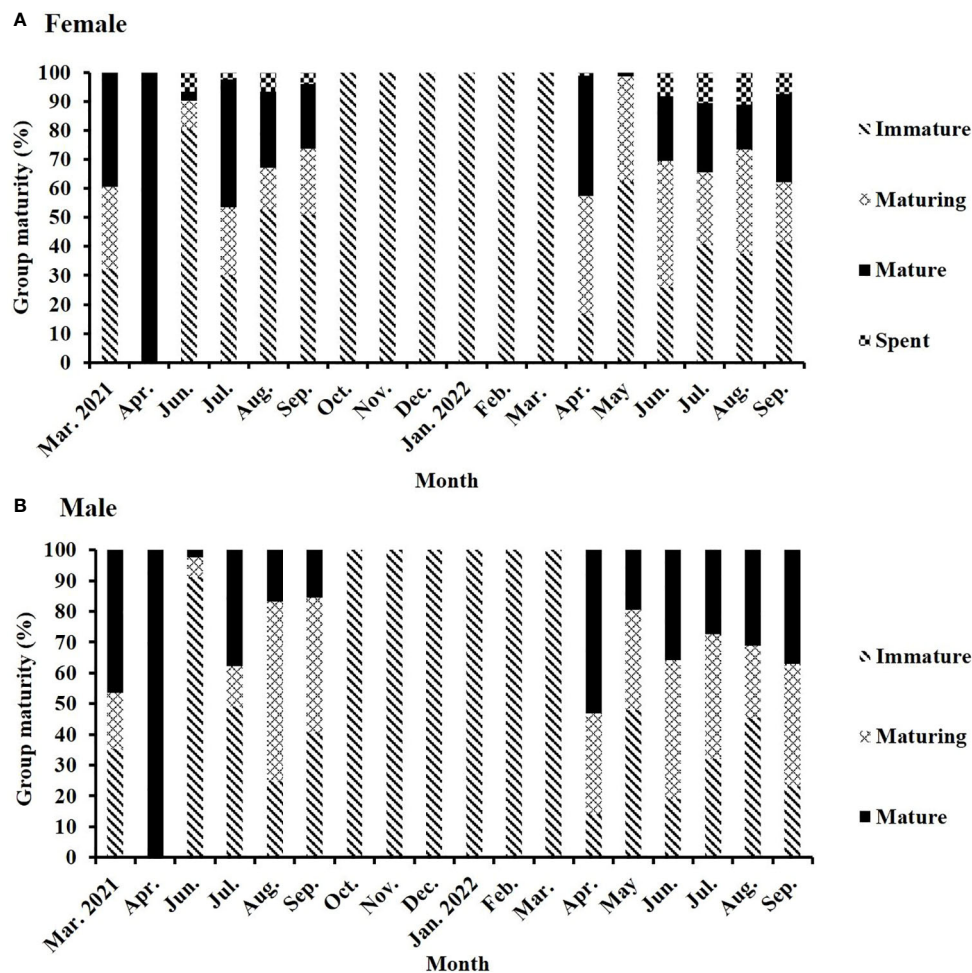


FIGURE 5
Monthly changes in the group maturity of (A) female and (B) male *S. gracilis*.

and spawning time, which are influenced by physiological and environmental factors (Johannes, 1978; Potts and Wootton, 1984; Gross, 1991; Fleming, 1996; LePage and Cury, 1997; D'Onghia et al., 1999; Murua and Saborido-Rey, 2003; Melvin et al., 2009). A major factor that influences the spawning period of herrings is temperature (Lambert, 1987). The spawning season of *S. gracilis* may be June–October (Mizuta et al., 2000), May–October (Matsumura, 1987), April–November (Shirafuji et al., 2007), or even the entire year (Dalzell, 1985; Milton et al., 1991) in the regions located north of Japan and south of tropical waters (Table 2). Weng et al. (2005) reported that *S. gracilis* spawned between February and September in the Penghu region in 2001–2003. On the basis of the macroscopic ovary appearance, GSI value, and group maturity of *S. gracilis* in our study, their spawning period was determined to be March–September 2021 and April–September 2022, which was delayed compared with the period indicated by Weng et al. (2005). As otolith (sagitta) is deposited daily in *S. gracilis* (Milton et al., 1990a; Milton et al., 1990b; Milton et al., 1991; Shirafuji et al., 2007), larvae and juveniles have an FL of 20–60 mm, daily age was 23–53 days, the hatching date was between April and early October, and new recruits appeared between May and November. With the incubation period of *S. gracilis* being

approximately 1 week (Shirafuji, 2004; Shirafuji et al., 2007), the spawning date fell between early April and late September in 2021 and 2022, which is consistent with the spawning season determined in the present study.

Climate and environmental changes affect various features of fish spawning (e.g., spawning period); their reproductive characteristics are regulated by several hydrographic parameters (Gillet and Dubois, 2007; Paumier et al., 2019). In this study, the sea surface temperature (SST) around Penghu was the lowest in March 2021 (21.5°C) and February 2022 (19°C); in both years, the temperature increased to >24°C between April and November (Supplementary Table 2). Thus, the spawning season of *S. gracilis* began with an increase in SST and ended with a substantial decrease in SST. In our study, the second spawning peak was not apparent in 2022, unlike in July 2021; this might be because the monthly SST in 2022 was higher (29°C) than that in 2021 and exceeded the optimal spawning temperature (22.5°C–27.3°C) reported by Weng et al. (2005). This high temperature persisted for more than 2 months (July and August), thus delaying spawning and reducing egg numbers (Brown et al., 2006). The development of *S. gracilis* ovaries is strongly correlated with ambient water temperature

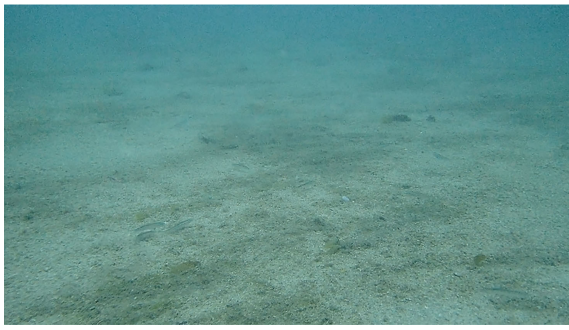


FIGURE 6
Area (between 23°42'N to 23°43'N and 119°34'E to 119°36'E) where the spawning behavior of *S. gracilis* was observed.

(Weng et al., 2005). A study conducted in Papua New Guinea (Dalzell, 1985) indicated no significant correlation between spawning intensity and environmental factors, such as SST and salinity.

Herring are highly migratory species. The extent of annual migration for feeding, overwintering, and spawning is <10 km for Atlantic resident stocks but >3,000 km for migratory Atlanto-Scandian herrings (Haegeler and Schweigert, 1985; Lambert, 1987). They have similar migration routes across years (Stobo, 1982; Frost and Diele, 2022). Atlantic herring, which are generally classified as spring and autumn spawners, exhibit high levels of fidelity to their spawning seasons and grounds (Lambert, 1987; Brophy et al., 2006;

Berg et al., 2017; Frost and Diele, 2022). Similar patterns are noted in *S. gracilis* found in Penghu waters. Although these *S. gracilis* generally spawn between March and September, they can be divided into two classes with different primary reproductive strategies on the basis of spawning period. Spring spawners exhibit a breeding peak between April and May, grow rapidly into adults (the size close to the first maturity length is attained within 3 to 4 months at a relatively warm water temperature), and reproduce between late summer and early autumn, thus producing distinct (in terms of the daily age of otolith and monthly changes in length) larvae recruits between late August and November. SST markedly decreases between late autumn and winter; therefore, spawners school southward for feeding and overwintering. They spend more than 4 to 5 months in relatively cold water before attaining sexual maturity and migrating north toward their spawning ground in early spring, when SST begins to increase. The maximum daily age of breeding adults (FL > 80 mm) was approximately 216 days. No spawning stock was found after the appearance of breeding peaks in spring and autumn. Considering the monthly changes in the GSI value, group maturity, and FL distribution of *S. gracilis* in our study, we believe that this species is a semelparous spawner with a lifespan of <1 year in the study area (Figure 9).

Herring are marine clupeoids that lay demersal eggs, which adhere to benthic substrata, leading to environmental changes and habitat destruction, which eventually alter their reproduction (Maravelias et al., 2000; von Nordheim et al., 2018). The structural complexity of spawning ground substrata, which provide shelter, enhance food availability, reduce predation risk,

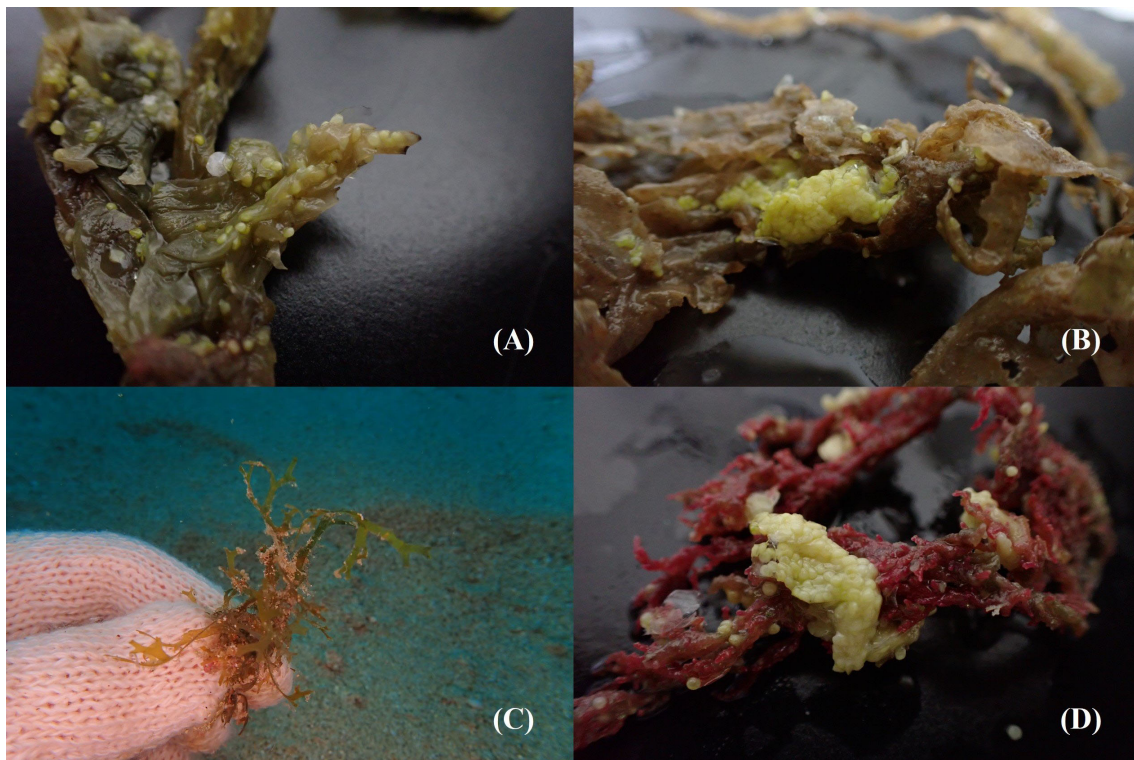
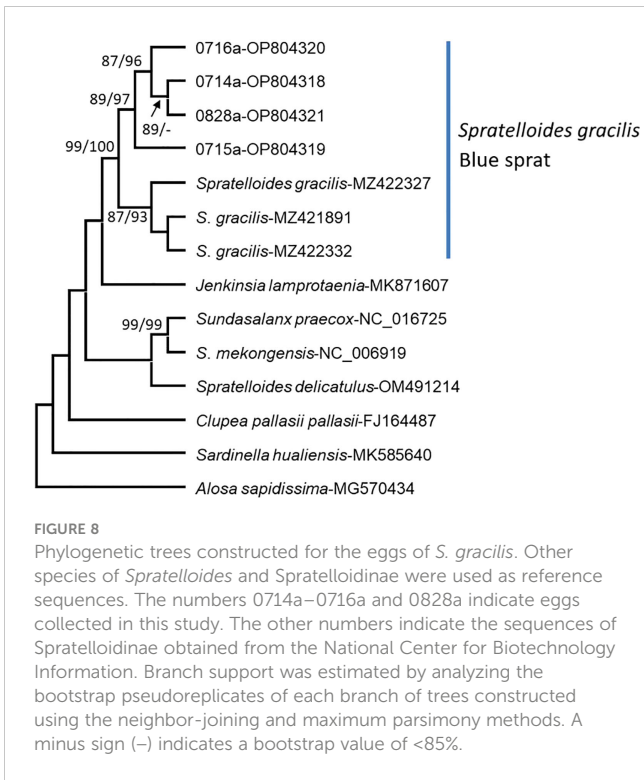


FIGURE 7
Eggs adhering to (A–C) brown and (D) red algae sampled for COI barcode analysis.



and affect egg aggregation pattern, is generally considered to be the primary factor for the successful reproduction and egg development of *S. gracilis* (von Nordheim et al., 2018; Frost and Diele, 2022). Within the genus of clupeids, the spawning strategies of Pacific and Atlantic herrings are well documented. Pacific herring (*Clupea pallasii*) tends to spawn on submerged aquatic vegetation along the shallow littoral zone during spring (Hay, 1985). By contrast, Atlantic herring (*C. harengus*) does not exhibit any specific affinity for a spawning substratum; the predominant types of substrata (macrophytes, gravels, coarse sand, bivalve shells, kelp, and noncoralline macroalgae) may change gradually during the spring spawning period (Aneer and Nellbring, 1982; von Nordheim et al., 2018; Frost and Diele, 2022). Autumn-spawning stocks primarily spawn on gravel present in deeper coastal shelves (Maravelias et al., 2000; von Nordheim et al., 2018). Similar to the aforementioned herrings, *S. gracilis* tend to select substrata distributed in areas where the water flows close to the sea bottom rather than exclusively favoring a specific type of substratum (Higo and Terada, 1985; Takeuchi and Gushima, 2006). We noted that *S. gracilis* oviposited

primarily on macroalgae and sand grains (fine to coarse sand) in the coastal and inshore regions (depth, 12–20 m) in the northern waters of Penghu. The predominant living species selected as spawning substrata were red and brown macroalgae with high structural complexity, which resulted in the scattering of the deposited eggs (Figure 9). The egg surface was in contact with the surrounding waters for sufficient oxygen supply and metabolic product disposal, which prevented the auto-intoxication of the embryo (Parrish et al., 1959; von Nordheim et al., 2018). Spawning substrata and time have been reported to vary across *S. gracilis* populations found in the waters of Japan. In Katanosaka, Kaimon, Wakimoto, Imuta Seto, and Arahito Saki, *S. gracilis* oviposited primarily on gravel and very coarse sand in coastal regions (Higo and Terada, 1985). However, the fish was likely to oviposit on intertidal or subtidal algae attached on rocks in the inlet of Kuchierabu-jima (Table 3) (Takeuchi and Gushima, 2006).

The spawning ground of *S. gracilis* in Penghu has strong water currents due to tidal exchanges and topographic features, similar to the situation in Arahito Saki (Higo and Terada, 1985). Atlantic herring are distributed in the Baltic Sea, North Sea, and the waters of Scotland (Haegele and Schweigert, 1985; von Nordheim et al., 2018; Frost and Diele, 2022). The North Sea autumn-spawning herring and Scotland stocks typically spawn in offshore banks under strong currents with a stratified water column; by contrast, the spring-spawning stocks primarily spawn in shallower inshore environment with aquatic vegetation, which protects against wave and tidal effects (Parrish, 1965; Haegele and Schweigert, 1985; von Nordheim et al., 2018; Frost and Diele, 2022). Herring spawn in high-energy environments with strong water currents, wave activity or tidal activity, and structurally complex substrata, including benthos-like macrophytes and coarse grains such as gravel and sand. Sediment buildup may hinder the development of eggs; hence, favorable circulation and oxygenation in water columns may improve the survival rate of eggs (von Nordheim et al., 2018; Frost and Diele, 2022). However, storm events such as extremely strong wind and tidal force negatively affect spawning and egg survival in shallow waters and nearshore environments; this phenomenon has been reported in the North Atlantic during the poor weather conditions in spring and autumn (Lambert, 1987; Moll et al., 2018).

The spawning time of *S. gracilis* in Penghu is dependent on the tidal cycle. We noted daily changes in the proportions of mature females with hydrated oocytes and spent females between 11 and 25 April 2022, and 1 June and 1 July 2022 (Supplementary Figure 2). Most *S. gracilis* spawn during the new and full moon periods, when

TABLE 2 Spawning seasons and optimal sea surface temperatures for the spawning of *S. gracilis* reported by studies conducted in various regions.

| Location | Spawning season | Optimal SST for spawning (°C) | Reference |
|-------------------------------------|--------------------|-------------------------------|--------------------------------|
| Penghu, Taiwan | March to September | 22.5–29 | Weng et al. (2005), this study |
| East China Sea (Goto Island, Japan) | June to October | 22–23 | Mizuta et al. (2000) |
| Tosa Bay, Japan | May to October | – | Matsumura (1987) |
| Cape Shionomisaki, Japan | April to November | >19–20 | Shirafuji et al (2007) |
| Papua New Guinea | All year | 28–30.5 | Dalzell (1985) |
| Solomon Islands | All year | 28–30.5 | Dalzell (1985) |

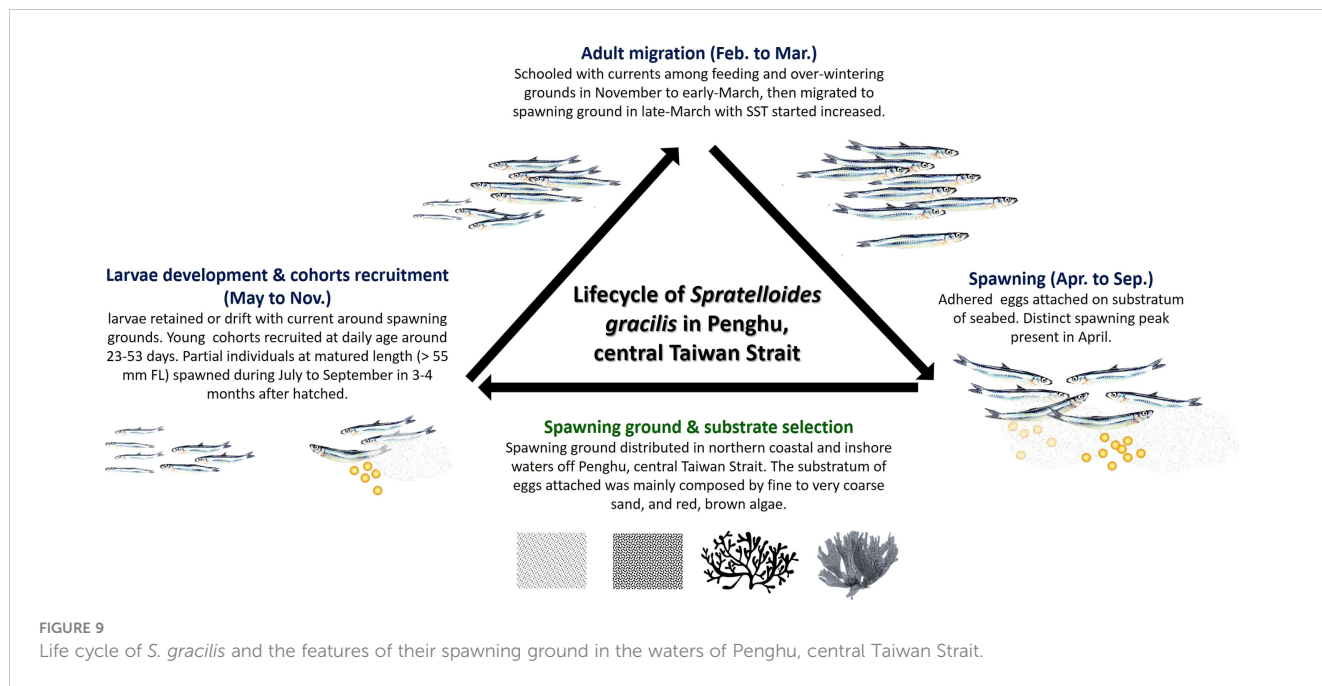


TABLE 3 Spawning season, time, behavior, and ground of *S. gracilis* in Taiwan and Japan.

| Region | Date of spawning observation | Timing of spawning | Spawning behavior | Depth (m) | Major spawning substrata (minor type) | Reference |
|-----------------------------------------------------|------------------------------|------------------------------------|-------------------|-----------|-------------------------------------------------------------------------|-------------------------------------------------------------|
| Penghu, Taiwan (23°42'N–23°43'N; 119°34'E–119°36'E) | June 30 | Daytime | Promiscuous | 12–20 | Fine to very coarse sand, algae on gravel in coastal or inshore waters | This study |
| Kuchierabu-jima, Japan (30°28'N; 130°12'E) | Mid-June to mid-July | Predawn (20–50 min before sunrise) | Promiscuous | 0.01–3 | Algae on rock in intertidal or subtidal area (fine to very coarse sand) | Takeuchi and Gushima (2006) |
| Katanosaka, Japan (31°06'N; 130°43'E) | — | — | — | 13 | Very coarse sand in coastal region | Higo and Terada (1985); Takeuchi and Gushima (2006) |
| Kaimon, Japan (31°10'N; 130°30'E) | — | — | — | 15 | Very coarse sand in coastal region | Higo and Terada (1985); Takeuchi and Gushima (2006) |
| Okoga-jima, Japan (31°32'N; 130°36'E) | Mid-July to mid-September | Daytime | Promiscuous | 3–25 | Very coarse sand to gravel (rock) | Takeuchi and Gushima (2006) (A. Shinomiya unpublished data) |
| Wakimoto, Japan (31°42'N; 130°37'E) | — | — | — | 5–10 | Very coarse sand in coastal region | Higo and Terada (1985); Takeuchi and Gushima (2006) |
| Imuta seto, Japan (31°47'N; 129°48'E) | — | — | — | 30 | Gravel in coastal region | Higo and Terada (1985); Takeuchi and Gushima (2006) |
| Arahitto Saki, Japan (31°51'N; 129°53'E) | Late May | — | — | 13 | Very coarse sand (algae) in coastal region | Higo and Terada (1985); Takeuchi and Gushima (2006) |
| Okino shima, Japan (32°42'N; 132°31'E) | — | Around dawn | — | 10–15 | Sand | Matsumura (1987); Takeuchi and Gushima (2006) |

— Data unavailable from reference.

the tidal range is high and plankton biomass is abundant; a similar observation has been reported by a study conducted in the Solomon Islands (Milton et al., 1991). In Kuchierabu-jima and Okino shima, *S. gracilis* consistently spawn at predawn (before sunrise), consistent with diurnal periodicity but not the tidal cycle (Takeuchi and Gushima, 2006). In Okaga-jima (Japan) and the waters around Penghu, spawning was barely observed during the daytime. No significant correlation was found between herrings' spawning activity and lunar cycle (neap tide) in the North Atlantic (Lambert, 1987; Frost and Diele, 2022). Further studies should be conducted on the spawning behavior of *S. gracilis* and the changes in the environmental factors associated with their spawning grounds.

In summary, the spawning season of *S. gracilis* in the waters of Penghu was between March and September. New recruits were noted after the spawning peak in April, which suggests the effects on the abundance of yearly recruits. The northern coastal and inshore waters of Penghu appear to be an important spawning ground for this species. The current local management scheme may be inefficient in reducing the fishing pressure on spawners. As *S. gracilis* have a short lifespan as indicated by the daily age determination through otolith, we suggest to adjust the closed fishing season from April to May. The area with a latitude of 23° 42'N–23° 43'N and a longitude of 119° 34'E–119° 36'E may be marked as a conservation area for this species (Figure 1) to provide larvae and brooding stocks with further breeding opportunities during the spawning season.

5 Conclusions

The spawning season of *S. gracilis* in central Taiwan Strait is between March and September, and a distinct peak is noted in April. The spawning period of this species begins and ends with an increase and a decrease in SST, respectively. The spawning ground appears to be the northern coastal and inshore waters of Penghu. *S. gracilis* exhibited fidelity to spawning grounds, and eggs were mainly deposited onto sand (fine to coarse grains), red algae (*Halymenia floresia* subsp. *harveyana*), and brown algae (*Ecklonia kurome*). Our findings may facilitate the development of effective fishery management strategies for *S. gracilis*.

Data availability statement

The original contributions presented in the study are included in the article/Supplementary Material. Further inquiries can be directed to the corresponding author.

Ethics statement

The specimens used in this study were cadavers from legal commercial fishery landings in Penghu, and the records of reproductive behavior were observing animals from a distance. Therefore, the approval of animal ethics is not required.

Author contributions

Conceptualization, LCC, JSW and KWL; methodology, LCC, YCL, JSW and STH; software, LCC, STH, YSW and HHH; validation, LCC, YCL and YSW; formal analysis, LCC, STH, YSW; investigation, LCC, YCL, JSW, YKC, JSH and YSW; resources, LCC, JSW, KWL and HAC; data curation, LCC, JSW and KWL; writing—original draft preparation, LCC.; writing—review and editing, LCC, JSW, KWL and STH; visualization, LCC; supervision, JSW, KWL; project administration, JSW, KWL; funding acquisition, JSW, KWL and HAC. All authors have read and agreed to the published version of the manuscript.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fmars.2023.1217897/full#supplementary-material>

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