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# Spatial variability of hypoxia and coupled physical-biogeochemical controls off the Changjiang (Yangtze River) Estuary in summer

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Global estuarine hypoxia is among the most acute environmental issues, yet the recognition of hypoxia variability remains patchy. The Changjiang (Yangtze River) Estuary (CE) is well known as one of the largest estuarine hypoxic systems. However, the mechanisms controlling the spatial variability and heterogeneity of summer hypoxia have been rarely examined in this region. Based on observational data in the summer of 2013, the essential linkages between physical-biogeochemical processes and spatial variability of hypoxia were revealed off the CE. The hypoxia generally occurred in a transitional region off the CE, presenting to be spatially variable and heterogeneous in its distribution and intensity. The river plume, stratification, front, upwelling and localized high phytoplankton biomass combine to shape the spatial morphology and scope of hypoxia. The spatial heterogeneity of hypoxic magnitude in horizontal direction is mainly regulated by the intensity variability of stratification and phytoplankton blooms. The position of bottom front formed by the offshore Taiwan Warm Current (TWC) and coastal water under tidal mixing controls the shoreward boundary of hypoxic zone, while the river plume front-dominated outer edge of phytoplankton blooms in upper layers plays a role in determining the seaward limit of the reach of bottom hypoxia. The stratification depth controlled by the upwelling and river plume governs the upper limit of the top reach of hypoxia, and shapes the vertical morphology of hypoxic zone. We contextualize the role of physical-biogeochemical drivers to the spatially variable hypoxia in a conceptual diagram. The findings would considerably contribute to our understanding of the spatially variable hypoxia in river plume-upwelling-front coupled estuarine systems, providing a sound basis for prediction and simulation under anticipated future conditions.

## KEYWORDS

hypoxia, river plume, stratification, upwelling, front, physical-biogeochemical processes, Changjiang (Yangtze River) Estuary

## 1 Introduction

Dissolved oxygen (DO) is of great importance for elemental biogeochemical cycling (Hietanen et al., 2012; Rabalais et al., 2014; Cai et al., 2017) and marine habitats (Diaz and Rosenberg, 2008; Vaquer-Sunyer and Duarte, 2008; Stramma et al., 2010; Breitburg et al., 2018; Köhn et al., 2022). Influenced by increasing anthropogenic perturbations and natural changes, the estuarine and coastal ecosystems are experiencing eutrophication, which may eventually result in subsurface/bottom hypoxia (Zhang et al., 2010; Howarth et al., 2011; Rabalais et al., 2014; Cui et al., 2019; Diaz et al., 2019) and associated acidification (Cai et al., 2011; Sunda and Cai, 2012; Wallace et al., 2014; Zhao et al., 2020; Kessouri et al., 2021). In recent decades, the occurrence of oxygen deficit and hypoxia is increasing worldwide (Diaz and Rosenberg, 2008; Rabalais et al., 2010; Fennel and Testa, 2019; Pitcher et al., 2021), representing a significant threat to the health and sustainable output of marine ecosystem.

Hypoxia formation largely depends on the balance between O<sub>2</sub> supply (primarily from photosynthesis and air-sea exchange) and its consumption (mainly due to degradation of organic matter). Stratification (or water column stability), which can reduce vertical water exchange and O<sub>2</sub> supply to subsurface/bottom waters, is a prerequisite for hypoxia development (Rabouille et al., 2008; Obenour et al., 2012). Therefore, hypoxia is usually associated with a density barrier (caused by temperature, salinity or both) (Rabalais et al., 2010). Estuaries are conducive to the formation of coastal hypoxia (Howarth et al., 2011; Diaz et al., 2019), due to high phytoplankton biomass and intensive stratification. Nowadays, hypoxia in estuarine systems has been accelerated by human activities, and thereby become a symptom of eutrophication (Bianchi et al., 2010; Rabalais et al., 2014). Long water residence time is also a key factor for the development of hypoxia (Rabouille et al., 2008), which is noticeable in regions with unique topography. In addition, coastal hypoxia may also be “introduced” and exacerbated by advection of the offshore oxygen-depleted waters through upwelling (Helly and Levin, 2004; Grantham et al., 2004; Bograd et al., 2008; Chan et al., 2008). Notably, the drivers may be not uniform in space or time within various oxygen-depleted systems, leading to the variability of hypoxia (Testa and Kemp, 2014; Dussin et al., 2019; Li et al., 2021a; Stramma and Schmidtke, 2021). As demonstrated by Hetland and DiMarco (2008), the varying nature of physical-

biogeochemical processes contributes to the spatial structure of hypoxia on the Texas-Louisiana continental shelf. Cheresch and Fiechter (2020) also revealed a strongly un-uniform pattern of nearshore oxygen in the central California Current System, which was associated with the alongshore heterogeneity of coastal upwelling and subsequent biogeochemical response. Consequently, hypoxia exposure may be spatially heterogeneous with persistent, geographically fixed “hot spots” (Chan et al., 2019). Understanding how and why hypoxia varies in space is of fundamental importance as its variability greatly influences the biogeochemical and ecological structure of marine systems (Pitcher et al., 2021). Actually, due to the complicated dynamics and their great influences on biogeochemical-ecological processes in river-dominated ecosystems, an in-depth understanding of the mechanisms that control the variability of estuarine hypoxia remains challenging.

The Changjiang (Yangtze River) Estuary (CE) is among the most well-known large river-dominated systems. The dynamics off the CE are far more complex as they involve river plume (i.e., the Changjiang Diluted Water, CDW) (Mao et al., 1963; Lie et al., 2003; Wu et al., 2014), frontal activities (Tian et al., 1993; Hu et al., 1995; Zhang et al., 2020b; Li, et al., 2021b), upwelling (Zhu, 2003; Lü et al., 2006; Tseng et al., 2014), seasonal stratification (Hao et al., 2012), sedimentation processes (Chen et al., 1999; Wu et al., 2012; Zhang and Wu, 2018), estuary-shelf interactions (Ding et al., 2016; Zhang et al., 2019a; Liu et al., 2021; Wu et al., 2021) and close interplay among these processes. Seasonal oxygen deficit is exemplified off the CE during summertime, leading to one of the largest seasonal hypoxic zone in the world (Li et al., 2002; Chen et al., 2007; Rabouille et al., 2008). This hypoxia off the CE is a multifactor-controlled phenomenon. Previously, based on *in situ* observations (e.g., Li et al., 2002; Wei et al., 2007; Wei et al., 2015; Zhu et al., 2017; Chi et al., 2017; Wang et al., 2017; Li et al., 2018; Luo et al., 2018; Wang et al., 2021a; Wei et al., 2021b) and numerical modeling (e.g., Zheng et al., 2016; Zhang et al., 2019b; Große et al., 2020; Zhang et al., 2020a; Zhou et al., 2020; Zhang et al., 2021; Meng et al., 2022a), considerable research has been conducted on its formation mechanisms. Similar to other estuarine ecosystems, stratification and organic matter degradation are among the most important drivers of hypoxia off the CE (Wang et al., 2016; Zhu et al., 2016; Wei et al., 2017). Besides, this estuarine hypoxia are also influenced by freshwater discharge (Zheng et al., 2016), wind (Ni et al., 2016; Zheng et al., 2016; Wang et al., 2017; Meng et al., 2022b), intrusion of the Kuroshio subsurface water and

associated Taiwan Warm Current (TWC) (Wei et al., 2015; Qian et al., 2017), upwelling (Wei et al., 2017; Wei et al., 2021b; Chen et al., 2021), topography (Li et al., 2002; Wei et al., 2015), expansion of Changjiang River plume (Zhang et al., 2018; Wei et al., 2021a) and tidal forcing (Chen et al., 2015), most of which are associated with water column stratification to an extent as well. In general, this hypoxia is mainly modulated by both physical and biogeochemical processes. However, due to the complexity and interplay of multiple factors, DO distribution within the hypoxic zone off the CE is not uniform (Wei et al., 2015; Zhang et al., 2019b; Zhou et al., 2020). Although great efforts have been devoted to the hypoxia formation, explorations characterizing the heterogeneity of hypoxia to physical and biogeochemical drivers are limited. Moreover, the processes governing the boundary, scope and magnitude of normal summer hypoxia are still unclear. Thus, the mechanisms controlling the spatial variability of hypoxia off the CE in summer have yet to be fully examined.

In the present study, we attempt to find the essential linkage between physical-biogeochemical processes and spatial variability of hypoxia off the CE. More specifically, the analyses aim to examine how river plume, stratification, physical front, upwelling and localized high phytoplankton biomass shape the spatial morphology, intensity and boundary

of hypoxia in summer. As such, the results contextualize the relative importance of physical-biogeochemical drivers in regulating the spatially variable hypoxia. The findings would considerably contribute to our understanding of the spatially variable hypoxia in estuarine systems, providing a sound basis for three-dimensional simulation and prediction of hypoxia under anticipated future conditions.

## 2 Materials and methods

### 2.1 Study area

The study area (Figure 1) is mainly located in the inner East China Sea (ECS) shelf. The remarkable topography in this region is characterized by a submarine canyon (water depth > 40 m), which orients from the southeast to northwest. To the west of the submarine canyon is the shallow nearshore region; the terrain changes dramatically seaward, deepening quickly and forming a steep topography. The northern part of the CE is mainly covered by the tongue-shaped Changjiang Bank, which is linked to the Subei Shoal and extends southeastward. The hydrographic dynamics off the CE is strongly affected by both river plume (Mao et al., 1963; Lie et al., 2003) and the Taiwan Warm Current

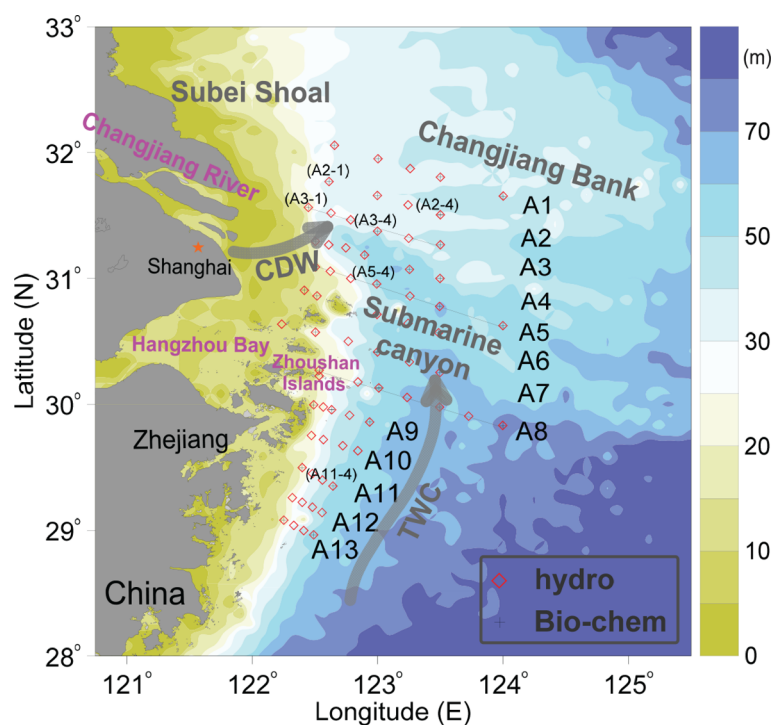


FIGURE 1

Geographic map of the study area and its adjacent region, which shows the station locations in August 2013 and associated currents. CDW and TWC represent the Changjiang Diluted Water and Taiwan Warm Current, respectively.

(TWC) (Bai and Hu, 2004; Qi et al., 2017). As the fifth largest river in the world in terms of runoff discharge, the Changjiang delivers  $\sim 9.1 \times 10^{11} \text{ m}^3 \text{ yr}^{-1}$  of freshwater and  $\sim 8 \times 10^7$  tons  $\text{yr}^{-1}$  of sediments into the sea (Milliman and Farnsworth, 2011; Luan et al., 2016). In summer, a tremendous amount of river discharge leads to a huge plume region off the CE (Hu et al., 1995; Lie et al., 2003). The river plume significantly regulates the hydrodynamics and nutrient conditions off the CE (Mao et al., 1963; Chang and Isobe, 2003; Zhang et al., 2007; Zhu et al., 2009; Li et al., 2022). Cased by abundant nutrient export, severe eutrophication (Chai et al., 2006; Zhu et al., 2014; Wang et al., 2021b) can form off the CE, and the harmful algal blooms (Li et al., 2014; Yu et al., 2018; Wang et al., 2019b) and subsequent bottom hypoxia (Wang et al., 2016; Wei et al., 2017) are frequently observed during the rainy season. Meanwhile, the TWC, the bottom water of which primarily originates from the Kuroshio Subsurface Water (Liu et al., 2000; Yang et al., 2013), controls the seaward dynamic environment off the CE. Forced by southwesterly wind, the nutrients that are transported by the bottom TWC can be upwelled along the steep region off the CE to support surface phytoplankton blooming (Yang et al., 2013; Tseng et al., 2014). Notably, very sharp gradients of variables form off the CE (Tian et al., 1993; Ning et al., 2004; Wang et al., 2019a; Ge et al., 2020; Zhang et al., 2020b; Li et al., 2021b), leading to pronounced thermohaline (e.g. river plume and upwelling fronts), sediment, nutrient and biological productivity fronts. These fronts greatly influence the matter transport and structure the hydro-biogeochemical heterogeneity off the CE. Given the highly complicated and variable dynamic environment, the CE is an ideal venue for identifying the mechanisms controlling the spatial variability of hypoxia in estuarine systems.

## 2.2 Sampling and methods

*In situ* observations were conducted onboard the R/V *Science No. 3* during the period from August 17 to 28 in 2013. Thirteen northwest-southeast oriented regular sections (namely from A1 to A13) were parallelly designed from north to south off the CE, including 68 hydrological stations and 36 biochemical stations (Figure 1). Seawater temperature, salinity, density and turbidity were measured using a Sea-Bird 911 CTD (conductivity-temperature-depth) profiling recorder (Sea-Bird Electronics, Inc., Bellevue, WA, USA) carrying turbidity and fluorescence sensors. All sensors had been calibrated before the cruise. During the cruise, samples were collected from 5-liter free-flow water samplers mounted onto a Rosette sampling assembly, which was equipped with the CTD recorder. DO concentrations in discrete samples were measured in onboard laboratory within approximately 8 h using the Winkler method (Grasshoff et al., 1999), with a precision of  $1 \mu\text{mol kg}^{-1}$ . The nutrient samples were filtered through a  $0.45\text{-}\mu\text{m}$  cellulose

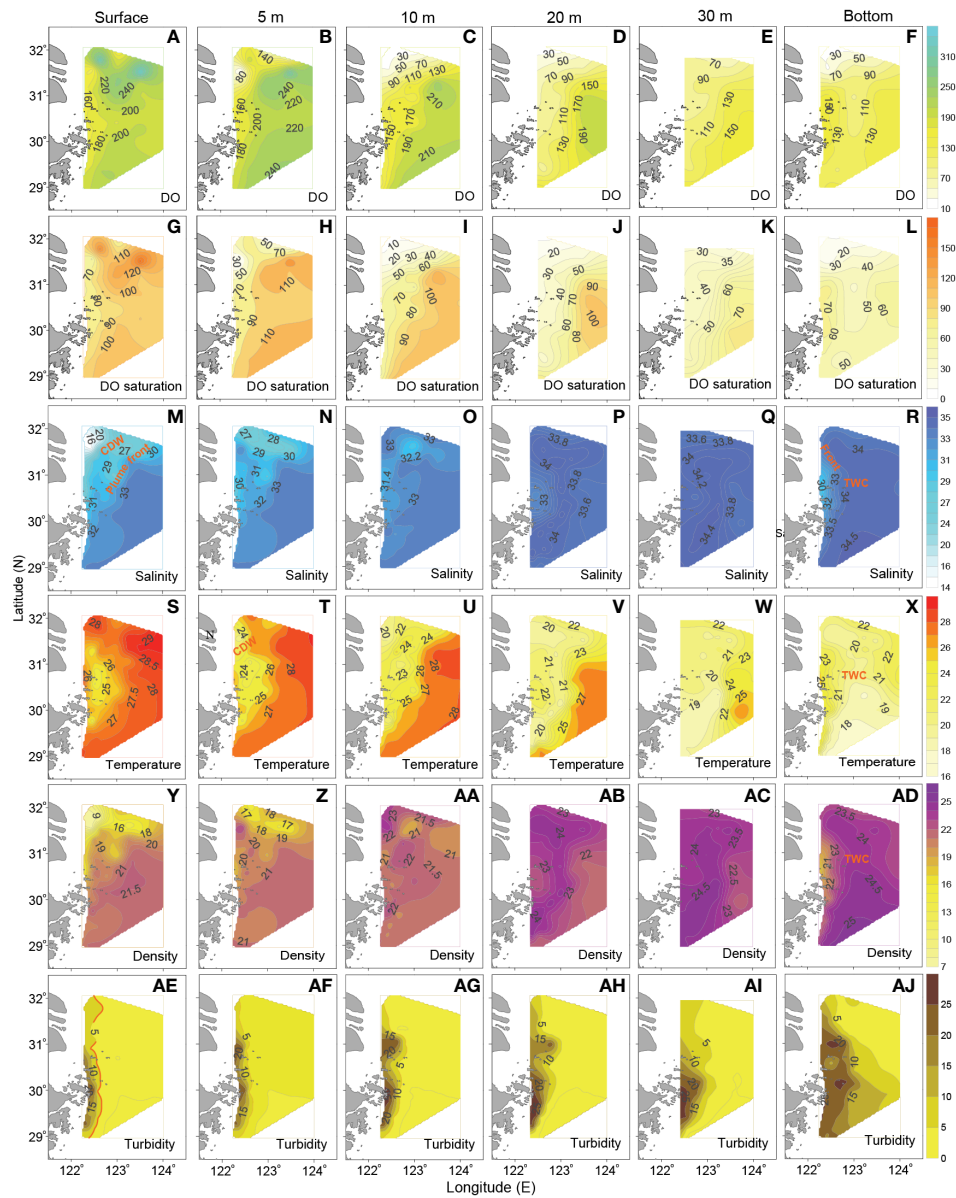
acetate membrane and then analyzed using an automatic nutrient analyzer (model SA 3000/5000 chemistry unit, Skalar Analytical B.V., Breda, Netherlands). Chlorophyll a (Chl-a) concentrations were determined using the fluorescence sensor and converted into Chl-a unit in  $\mu\text{g dm}^{-3}$  using the formula provided by the manufacturer. Moreover, Chl-a samples were also filtered at discrete depths during the cruise, as previously described by Li et al. (2016). The collected Chl-a samples were extracted and concentrations were measured in the laboratory using the fluorometric method (Parsons et al., 1984). Actually, there was a significantly positive relationship between fluorescence sensor observations and extracted Chl-a concentrations ( $r = 0.884$ ,  $p < 0.001$ ), further confirming the validity of sensor results and suggesting the feasibility to construct high-resolution regime of Chl-a using fluorescence sensor. Note that the related hydrological and laboratory-measured biochemical data have been partially used by Wei et al. (2017) and (Li et al., 2016; Li et al., 2017) but from different perspectives.

Based on oxygen solubility equation (García and Gordon, 1992), DO saturation and apparent oxygen utilization (AOU) were obtained by using the measured DO concentration and the equilibrium saturation concentration for given temperature and salinity. A quasi-step function approximation method was adopted to fit the vertical profiles of salinity, temperature and density at each station; thus, the halocline, thermocline and pycnocline depths was determined, and their intensities were obtained in units of  $\text{m}^{-1}$ ,  $^{\circ}\text{C m}^{-1}$  and  $\text{kg m}^{-4}$ , respectively. A detailed description of this method can be found in Ge et al. (2003). Salinity and density gradients were calculated using the oceanic front detection algorithm, as described by Belkin and O'Reilly (2009). The gradient distributions were mapped to identify the locations of physical fronts according to the gradient magnitude.

## 3 Results

### 3.1 DO distributions and hypoxia off the CE

Figure 2 shows the horizontal distributions of DO and DO saturation off the CE in August 2013. DO concentration generally exhibited a decreasing trend from surface to bottom layer (Figures 2A–F). Specifically, in surface layer (Figure 2A), DO concentration was high in the northern and southern parts of study area, with high-DO centers emerging at stations of A2-1 ( $325 \mu\text{mol dm}^{-3}$ ), A2-4 ( $346 \mu\text{mol dm}^{-3}$ ), A3-4 ( $262 \mu\text{mol dm}^{-3}$ ) and A11-4 ( $220 \mu\text{mol dm}^{-3}$ ); a low-DO region was observed alongshore. In 5-m layer (Figure 2B), a distinct nearshore low-DO region existed from the estuary to the area outside of the Zhoushan Islands, and a hypoxic core with DO of  $\sim 26 \mu\text{mol dm}^{-3}$  appeared at station A3-1 near the Changjiang mouth; in contrast, DO



**FIGURE 2**  
 Horizontal distributions of (A–F) DO ( $\mu\text{mol dm}^{-3}$ ), (G–L) DO saturation (%), (M–R) salinity, (S–X) temperature ( $^{\circ}\text{C}$ ), (Y–AD) potential density ( $\text{kg m}^{-3}$ ) and (AE–AJ) turbidity (NTU) off the CE in August 2013. The red line in panels (AE–AG) generally implies the location of turbidity front, where sharp gradients occurred.

concentration was relatively high in the eastern offshore area. In 10-m and 20-m layers (Figures 2C, D), the distribution patterns of DO changed significantly, compared with that in surface layer. Moreover, an approximately “S”-shaped low-DO area, especially in 20-m layer (with  $\text{DO} < 100 \mu\text{mol dm}^{-3}$ ), appeared from the northeastern region off the CE to the coasts off Zhejiang Province, and sharp gradients existed between this low-DO area and the southeastern high-DO region. In 30-m layer (Figure 2E), the distribution pattern of DO distribution was similar with that in

20-m layer, but DO concentration was reduced further. At bottom (Figure 2F), a notable hypoxic zone was observed mainly in the northern/northeastern part of study area. There was also a low-DO area along the isobaths of ~30-40 m in the central area, and a low-DO center was also formed at station A11-4 in the south. In contrast, DO concentration was high outside of the Hangzhou Bay and in the southeastern part of the study area at bottom.

The distribution morphologies of DO saturation (Figures 2G–L) were generally consistent with that of DO in

each layer. In upper layers, supersaturated DO (Figures 2G–I) was observed within the high-DO areas. From 10-m to 30-m layers, an area with undersaturated DO (Figures 2J–K) existed from the northeastern region off the CE to the coasts off Zhejiang Province, presenting distinct seaward gradients. In bottom layer, there was a significant DO-deficient area with DO < 50% (Figure 2L), whose location was in correspondence to the hypoxic zone (Figure 2F).

Regarding the vertical distributions of DO and DO saturation along typical sections off the CE, observational results show that the shape of their contours was similar with each other (Figures 3A–F). The low-DO waters with low DO saturation mainly existed on the steep area along each section, tending to be significantly uplifted westward and forming the oxycline; DO concentration was relatively high in the upper layers of eastern deep region for each section, and the waters within this high-DO region tended to be transported downward, forming sharp contrast with the upwelled low-DO waters in the western area.

## 3.2 Related environmental variables off the CE

### 3.2.1 Hydrological settings and turbidity regime

Figures 2M–AJ show the horizontal distributions of hydrological parameters and turbidity off the CE in August 2013. With regard to salinity patterns, the existence of a relatively large-scale low-salinity area (with salinity <29) in surface indicates the northeastward expansion of the CDW plume (Figure 2M). Meanwhile, a surface saline water mass with salinity >30, which was associated with the TWC (Qi et al., 2017), was located to the southeast of the CDW plume. Moreover, distinct saline front formed in the intersection zone of the CDW and the TWC. In 5-m and 10-m layers (Figures 2N, O), the northward saline-TWC could intrude to the station A3-1, and thus parts of the diluted waters were separated from the CDW plume to form an enclosed low-salinity region in the northeastern area off the CE. In 20-m and 30-m layers (Figures 2P, Q), the CDW plume in the northeastern area off the CE almost disappeared; the saline TWC was more significant than that in the upper layers, expanding northward along the isobaths of ~40 m. At bottom (Figure 2R), the offshore area off the CE was mostly occupied by the saline TWC, and a local low-salinity area just existed outside of the Hangzhou Bay; the saline front was prominent between the offshore TWC and the nearshore low-salinity water.

Observational results show that a dominant arc-shaped cold-water area with temperature < 27°C existed both in surface and 5-m layers (Figures 2S, T). The cold area got more apparent in 10-m layer (Figure 2U), expanding from estuary to the region outside of the Zhoushan Islands. In 20-m and 30-m layers (Figures 2V, W), the distribution of temperature was similar, with an obvious low-temperature area emerging in the central

region, whose location was consistent with that of the saline-TWC (Figures 2P, Q). In bottom layer (Figure 2X), influenced by the northward TWC, a cold-water area with temperature < 21°C expanded from south to north and turned toward the northwest in the northeastern area off the CE, with its path being in general agreement with the submarine canyon; a thermal front formed near the boundary of this low-temperature water mass.

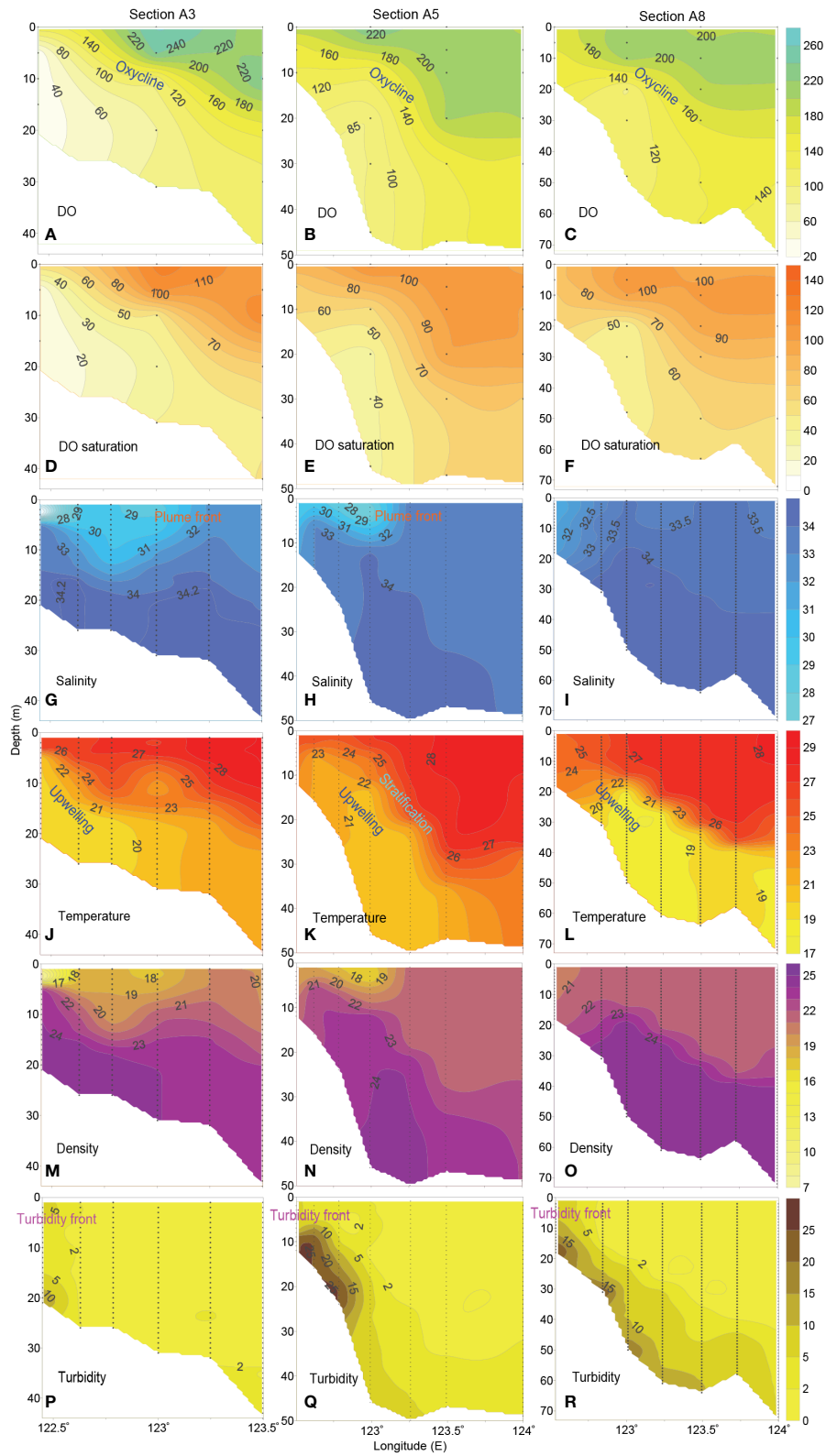
The horizontal distribution of density (Figures 2Y–AD) was roughly consistent with that of salinity (Figures 2M–R) in each layer. Specifically, the low-density area in surface and 5-m layers (Figures 2Y, Z) shows the CDW pattern; the high-density water transported by the northward TWC was located to the southeast of the CDW plume. In 20-m and 30-m layers (Figures 2AB, AC), a high-density area existed in the central region, corresponding to the saline (Figures 2P, Q) and low-temperature (Figures 2V, W) area. In bottom layer (Figure 2AD), a dense water mass expanded northward along the longitude of 123°E, indicating the pathway of the TWC.

As shown in Figures 2AE–AJ, turbidity was high in nearshore area and decreased seaward. In upper layers (Figures 2AE–AI), rapid decrease in turbidity resulted in sharp gradients from nearshore to offshore, implying the formation of turbidity front near the isoline of ~5 NTU. At bottom (Figure 2AJ), turbidity was higher than that in upper layers; this might be mainly related to the resuspension of sediments. Note that the surface turbidity front with isoline of ~5 NTU (Figure 2AE) generally corresponded to the western boundary of the bottom TWC (Figures 2R, X, AD). These turbidity patterns generally reflected the spatial variability of light conditions off the CE.

Figures 3G–R exhibits the vertical distributions of salinity, temperature, density and turbidity along the sections of A3, A5 and A8. Generally, a low-salinity and low-density area existed in upper layers in the western part of sections A3 and A5 (Figures 3G, H, M, N), suggesting the CDW expansion; in contrast, the CDW influence on section A8 got much weaker (Figure 3I). The saline, cold and dense bottom waters were mainly concentrated on the steep area along each section, tending to be significantly uplifted in a shoreward direction (Figures 3G–O). The upwelled bottom waters could even reach the surface layer, leading to a saline, cold and dense area. Moreover, the water column was stratified for each section, exhibiting distinct halocline, thermocline, and pycnocline. Notably, the pattern of stratification was related to the interactions of the upper CDW plume and the bottom upwelled waters. The turbidity was high and vertically uniform in coastal area (Figures 3P–R). In contrast, it was relatively low in upper layers (<2 NTU) in the offshore deep region, which indicates that enhanced light penetration could be achieved. As a result, a noticeable turbidity front formed in the steep area (Figures 3P–R).

### 3.2.2 Nutrient conditions and Chl-a patterns

Figure 4 shows the horizontal distributions of nitrate and sensor-observed Chl-a in upper layers off the CE in August 2013. Overall, nitrate concentration was high in nearshore area and gradually decreased seaward, presenting significant



**FIGURE 3**  
 Vertical distributions of (A–C) DO ( $\mu\text{mol dm}^{-3}$ ), (D–F) DO saturation (%), (G–I) salinity, (J–L) temperature ( $^{\circ}\text{C}$ ), (M–O) potential density ( $\text{kg m}^{-3}$ ) and (P–R) turbidity (NTU) along typical sections off the CE in August 2013.

gradients (Figures 4A–D). From surface to 10-m layers (Figures 4E–G), the distribution pattern of Chl-a was similar, and its concentration was relatively high in both of the northern and southern parts of study area, but much higher in the north than that in the south; a low-Chl-a region existed along the nearshore and in the southeastern/eastern part of the study area, respectively. In 20-m layer (Figure 4H), Chl-a concentration was much lower than that in other upper layers, but it was still higher in the north than that in the south. Notably, there were two or three high-Chl-a centers in the northern area, especially in surface and 5-m layers; surface Chl-a concentration could reach  $41.02 \mu\text{g dm}^{-3}$  and  $25.73 \mu\text{g dm}^{-3}$  at stations A2-1 and A5-4, respectively. A relative high-Chl-a center ( $> 2 \mu\text{g dm}^{-3}$ ) was also observed from surface to 10-m layers in the southern area.

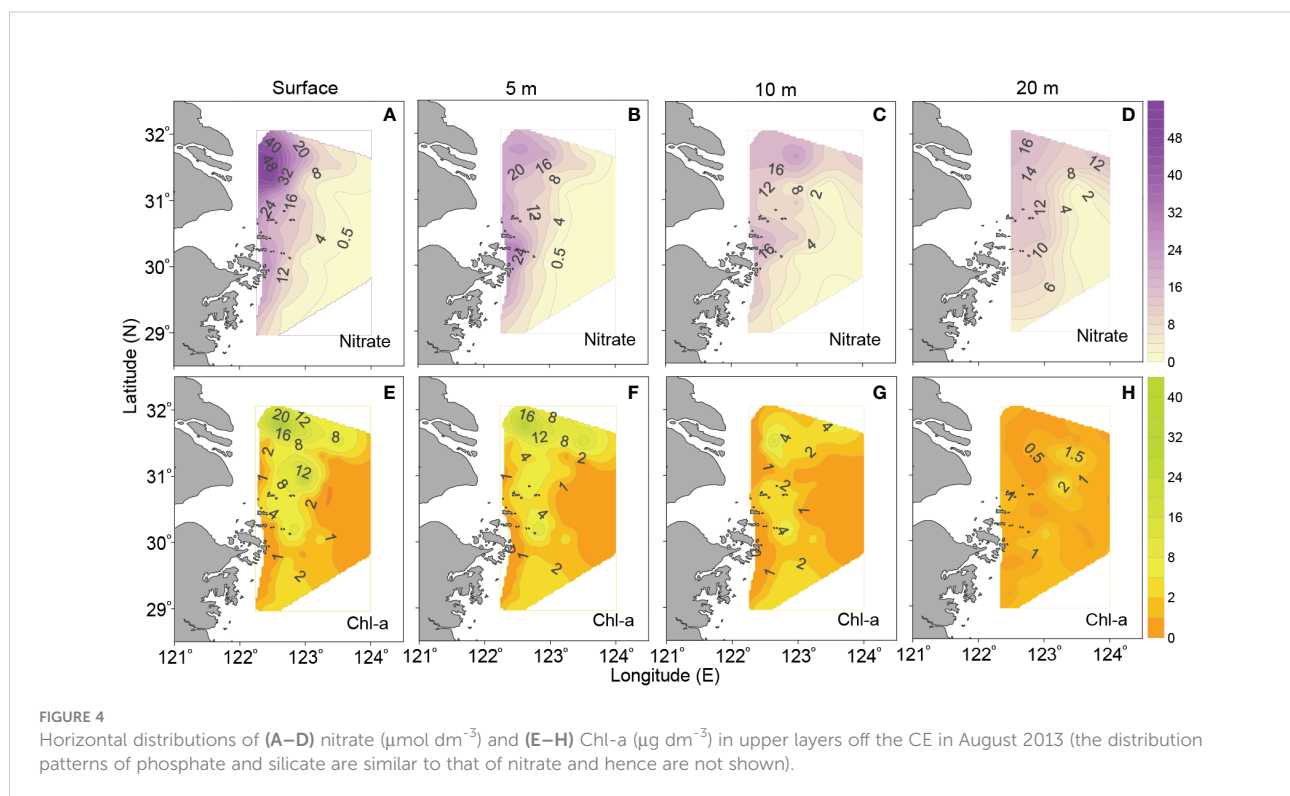
As shown by the vertical distributions of nitrate off the CE (Figures 5A–C), nitrate concentration was high in upper layers in the western nearshore region of each transect, presenting a decreasing trend to offshore area; a relatively low-nitrate region was observed in the eastern deep-water area. Meanwhile, the bottom waters in steep area along each transect were also characterized by high nitrate concentration. Regarding the vertical distributions of Chl-a concentration, it generally varied from high to low in both of the downward and seaward directions, and the surface or subsurface Chl-a maximum existed along each section (Figures 5D–F). Moreover, the depth of subsurface Chl-a maximum was deeper in the offshore area than that in the nearshore shallow region.

## 4 Discussion

### 4.1 Spatial patterns of the CDW plume, TWC and upwelling

The low-salinity and low-density CDW is one of the most important hydrodynamics off the CE in summer, as indicated by Figures 2M, N. Generally, the surface CDW was mainly transported to the northeastern area off the CE, and then partially turned back toward the southeastern sea when it was far from the coast, as also revealed by previous studies (e.g., Mao et al., 1963; Lie et al., 2003). Meanwhile, a portion of the CDW flowed toward the southeast and formed an alongshore low-salinity area, but its scope was constricted to a narrow region outside of the Hangzhou Bay. Moreover, the TWC expanded from south to north, with varying intensity in different layers (Figures 2M–AD). Actually, the bottom TWC primarily originate from the onshore intrusion of the Kuroshio Subsurface Water (Yang et al., 2013; Xu et al., 2018), which was characterized by high salinity, low temperature and high density.

Notably, there was an upwelling off the CE, which could be confirmed by the low-temperature area in upper layers (Figures 2S–U). The uplifted saline, cold and dense bottom waters along typical sections further indicate the existence of upwelling (Figures 3G–O). Generally, the upwelling was mainly located in the western steep region of submarine canyon off the CE. By comparing the temperature distributions between different layers, we find that the cold area in upper layers





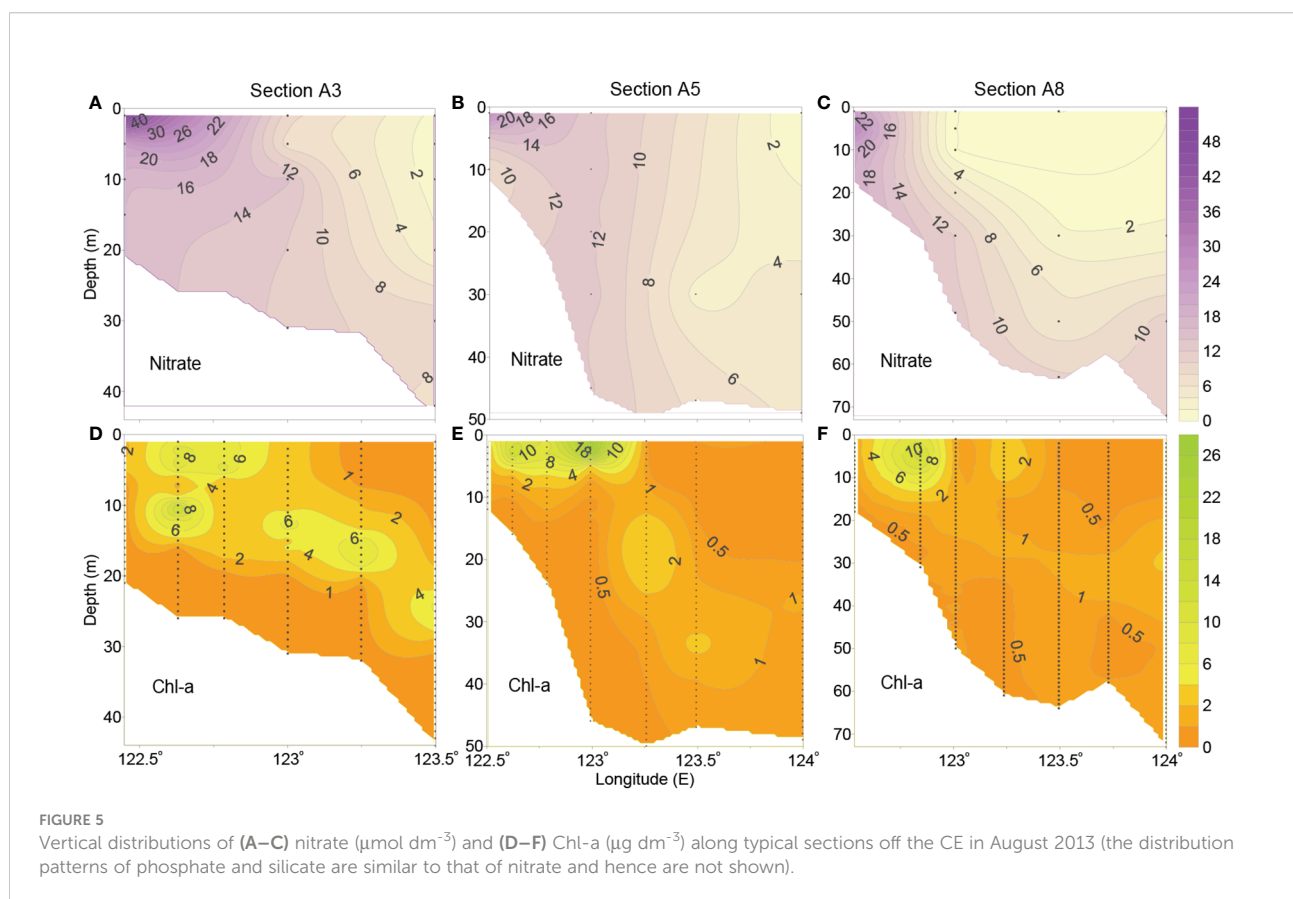
(Figures 2S–U) approximately corresponded to the western/northwestern boundary of the bottom TWC (Figures 2R, X, AD). Actually, the upwelling off the CE was the result of the upwelled bottom TWC along the steep topography under tidal mixing (Lü et al., 2006). Besides, southerly wind might also contribute to the summer upwelling in this area (Luo and Yu, 1998). Based on temperature-salinity diagram (Figure 6), we could further examine the hydrodynamic structures from surface to 10-m layer, identifying the CDW plume-, TWC- and upwelling-influenced areas.

## 4.2 Spatial variability and heterogeneity of hypoxia off the CE in summer

The observations exhibit a relatively large-scale bottom hypoxia in the northern area off the CE in summer (Figure 2F). Further analyses show that the northern hypoxia could be connected to the low-DO region in the central part of study area, which was distributed in a narrow zone along the isobaths of ~30–40 m. In general, the bottom hypoxia got more and more serious in a northward direction, with the decreased DO concentration and oxygen saturation (Figures 2F, L). Meanwhile, the bottom hypoxia-emerging depth was much shallower in the north than that in the south. This indicates the significantly spatial expansion of hypoxia

in the shallow waters of Subei Shoal and Changjiang Bank, where water depth is less than 30 m. It is noteworthy that the DO-deficient waters were mainly concentrated on the western steep area of the submarine canyon and tended to be uplifted shoreward (Figures 3A–F). More importantly, a DO-deficient zone existed from the northeastern area off the CE to the coasts off Zhejiang Province in 10-m, 20-m and 30-m layers (Figures 2C–E), and even a nearshore low-DO zone could be observed from estuary to the area outside of the Zhoushan Island in surface and 5-m layers (Figures 2A, B). These findings indicate that the summer hypoxia off the CE presented to be spatially variable and heterogeneous in both of the horizontal and vertical directions. Moreover, statistical analyses show that the surface salinity corresponding to bottom hypoxic stations was mainly less than 30 (Figure 7A), whereas the bottom salinity within hypoxic zone was higher than 33 (Figure 7B). This suggests that the occurring area of bottom hypoxia and low DO off the CE approximately corresponded to a special salinity range (i.e., the region shaped by ~30 of salinity at surface and ~33 at bottom). In actuality, the surface isohaline of ~30 generally represented the CDW plume scope (Figure 2M), while the bottom isohaline of ~33 roughly corresponded the nearshore boundary of the bottom TWC (Figure 2R).

Previously, spatial variability and heterogeneity of oxygen-depleted waters were observed on the Texas-Louisiana continental shelf (Hetland and DiMarco, 2008), in the central



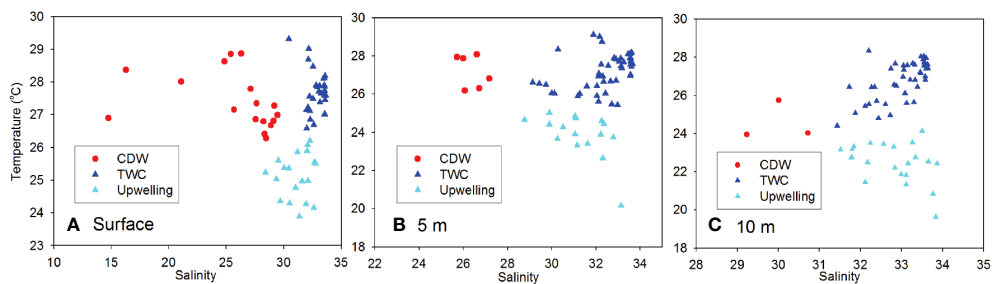


FIGURE 6  
Temperature-salinity scatter plots at (A) surface, (B) 5-m and (C) 10-m layers off the CE in August 2013.

California Current System (Cheresh and Fiechter, 2020), and off the Pearl River Estuary (Li et al., 2020). Specifically, un-uniform hypoxia on the Texas-Louisiana continental shelf was closely associated with the varying physical-biogeochemical processes (Hetland and DiMarco, 2008). Hypoxia off the Pearl River Estuary was found to heterogeneously occur in the coastal transition zone (i.e., neighboring the estuary and its adjacent shelf), where intense convergence emerged (Li et al., 2020). Here, we highlight the role of stratification, physical front, upwelling and localized high phytoplankton biomass in regulating the spatial variability and boundary of summer hypoxia off the CE.

### 4.3 Physical-biogeochemical controls on the spatially variable hypoxia

#### 4.3.1 Stratification under river plume and upwelling

Stratification is a critical factor that determines oxygen renewal in bottom waters. Due to the interaction of the surface CDW plume and the upwelled underlying TWC, strong stratification formed off the CE in summer, as indicated by the vertical distributions of hydrological variables in Figure 3. Based on the distribution patterns of stratification (in terms of the intensity and depth of halocline, thermocline and pycnocline) shown in Figure 8, we discuss here the influencing ways of stratification on oxygen depletion.

Overall, intense halocline ( $>0.4 \text{ m}^{-1}$ ) and pycnocline ( $> 0.4 \text{ kg m}^{-4}$ ) were observed in the northwestern/northern part of study area (Figures 8A, C), being particularly strong in the northern shallow waters with depths of  $\sim 20\text{--}30 \text{ m}$ . In this region, the surface and bottom layers were mainly occupied by the CDW plume and the TWC, respectively (Figures 2M, R). Meanwhile, relatively strong thermocline also existed in the northern part of study area, and there was a north-south oriented narrow zone with thermocline intensity  $> 0.4^\circ\text{C m}^{-1}$  and pycnocline  $> 0.2 \text{ kg m}^{-4}$  in the central area along the isobaths of  $\sim 30\text{--}40 \text{ m}$  (Figures 8B, C). On the whole, the

strength of stratification was more intense in the north than that in the south, especially for the halocline and pycnocline. Definitely, the region with intense stratification was in good agreement with the bottom hypoxic zone (Figures 2F, L). Statistical analyses further show that the bottom DO concentration and AOU presented a decreasing and increasing trend, respectively, as the intensity of stratification increased (Figure 9). These results exactly reflect the role of distribution and intensity of stratification in the bottom oxygen depletion.

More importantly, we find that the depth of stratification was characterized by shoaling in the southeast-northwest oriented direction (i.e., the depth of stratification presented a shoaling trend from the southeast to northwest) (Figures 8D–F). This phenomenon was closely related to the upwelling, which could result in a shoreward uplift of halocline, thermocline and pycnocline along the steep area, as indicated by Figures 3G–O. Influenced by the northeastward shoaling of stratification, the upper boundary of hypoxic zone was also uplifted northwestward, leading to a low-DO area from the northeastern region off the CE to Zhejiang coasts in 5-m, 10-m, 20-m, and 30-m layers (Figures 2B–E). The positive relationship between DO and temperature in upper layers (Figure 7C) might further suggest the role of upwelling and associated shoaling stratification in regulating the vertical distribution of hypoxic zone. Actually, the shoreward upwelled DO-deficient waters could be evidently observed on the steep area along typical sections (Figures 3A–C), especially in the northern section A3, where the hypoxic waters could reach the layers above 5-m depth. Notably, the oxycline (Figures 3A–C) well correlated with stratification depth (Figures 3G–O). Consequently, the depth of stratification and its spatial variability, which were mainly shaped by river plume and upwelling interaction, play a dominated role in determining the upper boundary of the hypoxic zone. Previously, the role of stratification on hypoxia formation off the CE has been argued (e.g., Wei et al., 2015; Zhu et al., 2016). Here we emphasize the influencing ways of stratification together with upwelling on controlling the spatially variable hypoxia, including its distribution, intensity and depth.

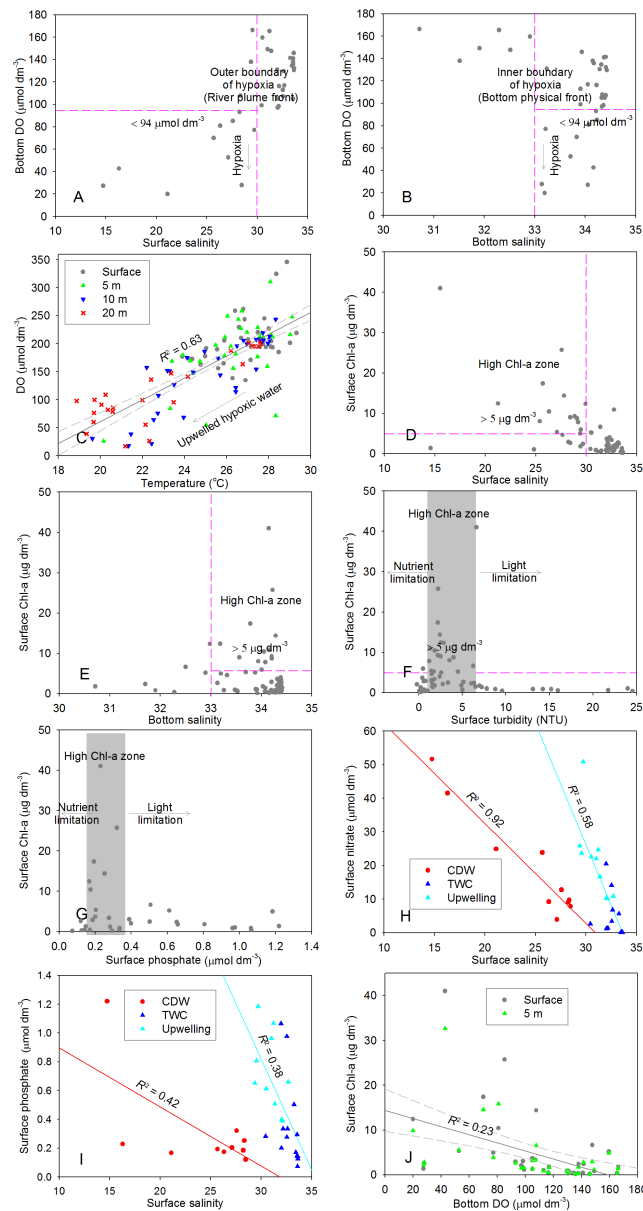


FIGURE 7

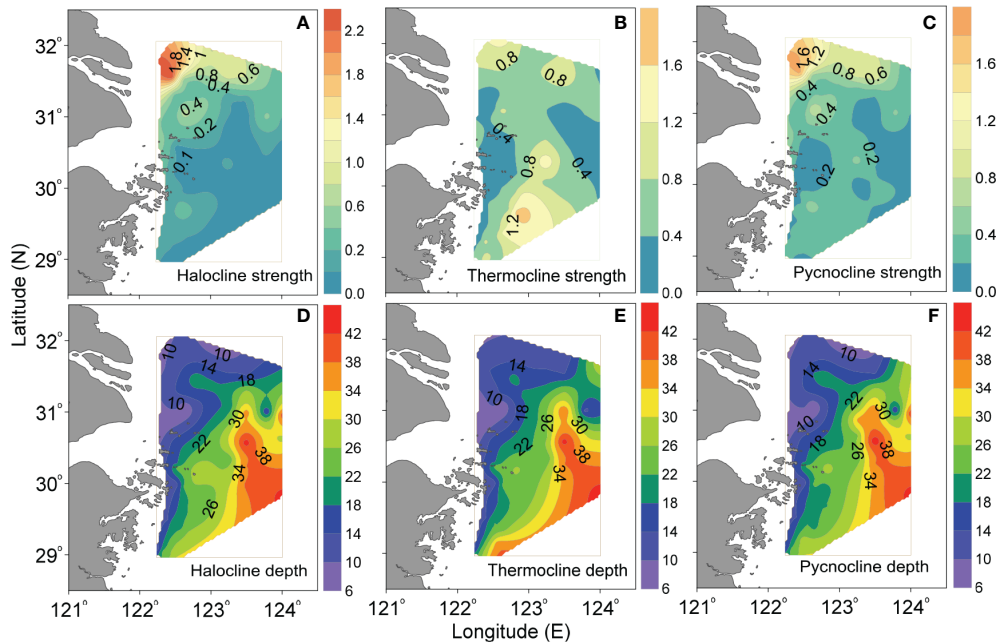
Relationships between the related variables off the CE in summer. (A) Bottom DO and surface salinity; (B) Bottom DO and bottom salinity; (C) DO and temperature in upper layers; (D) surface Chl-a and surface salinity; (E) surface Chl-a and bottom salinity; (F) surface Chl-a and surface turbidity; (G) surface Chl-a and surface phosphate (the relationship between surface Chl-a and nitrate shows similarity and hence is not shown); (H) surface nitrate and surface salinity in different masses; (I) surface phosphate and surface salinity in different masses; (J) surface Chl-a and bottom DO. The general threshold of hypoxia in panels a and b was operationally defined as a low DO concentration of  $< 94 \mu\text{mol dm}^{-3}$  (equivalent to  $\sim 3 \text{ mg dm}^{-3}$ ), as also adopted by previous studies (Chen et al., 2007; Zhou et al., 2017); the grey area in (F, G) generally indicates the high Chl-a region with favorable light and nutrient conditions.

#### 4.3.2 Physical fronts related to river plume, TWC and upwelling

The pronounced sharp gradients existed off the CE concerning temperature, salinity, density and turbidity (Figures 2M–AJ), which were mainly related to the CDW, TWC and upwelling, as well as their interactions. Here, based

on the horizontal distributions of salinity and density gradients off the CE (Figure 10), the influence of physical fronts on the hypoxic zone was examined.

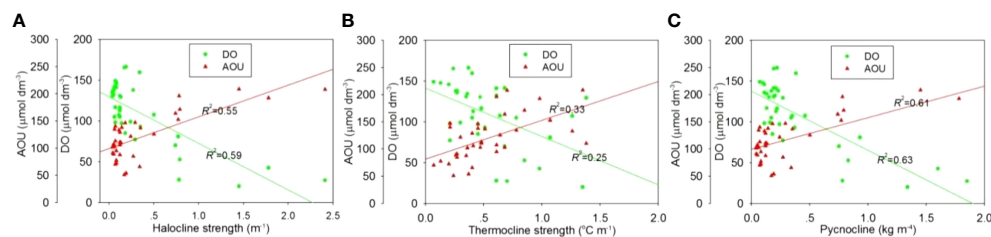
The surface sharp gradients (Figure 10A) in the northern part of study area clearly indicate the front around the CDW plume, which was approximately consistent with the isohaline of  $\sim 30$  and



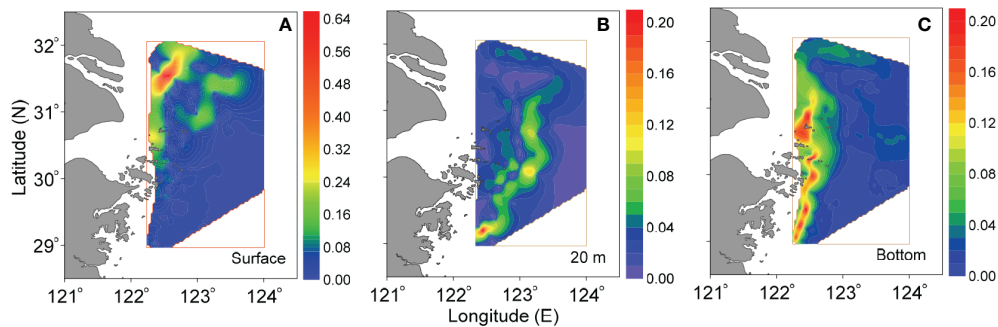
**FIGURE 8** Horizontal distributions of the (A–C) strength of halocline ( $\text{m}^{-1}$ ), thermocline ( $^{\circ}\text{C m}^{-1}$ ) and pycnocline ( $\text{kg m}^{-3}$ ), as well as (D–F) their depths (m) off the CE in August 2013.

isodense of  $\sim 20$  (Figures 2M, Y). The expansion of this surface front might determine the general scope of bottom hypoxic zone off the CE (Figure 2F). This could be further confirmed by the relationship between bottom DO and surface salinity (Figure 7A). A south-north oriented narrow front with high gradient existed in the central area in middle layer of 20 m (Figure 10B); its location was approximately in accordance with the submarine canyon, where the upwelled TWC significantly occurred (Figures 3G–O). This middle-layer front might control the seaward expansion of oxygen-depleted waters, and were conducive to forming its outer boundary (Figure 2D). In fact, the connection of fronts in different middle layers could constitute the entire seaward-inclined stratification near the upper boundary of upwelling (Figures 3J–O). As a result,

the oxycline with a seaward declination was shaped above the hypoxic zone (Figures 3A–C); the outer boundary of oxygen-depleted cube was spatially characterized by offshore moving in a downward direction (i.e., from upper layer to bottom), as suggested by Figures 2C–F and Figures 3A–C. At bottom, the noticeable front, which was located along the nearshore from estuary to Zhejiang coasts (Figure 10C), almost corresponded to the shoreward boundary of the TWC (i.e., the isohaline of  $\sim 33$ , isotherm of  $\sim 21$  and isodense of  $\sim 23$ ) (Figures 2R, X, AD). In actuality, the bottom physical front was mainly controlled by tidal mixing (Wu and Wu, 2018). It could set a boundary (near the isohaline of  $\sim 33$ , Figure 2R) separating the well-mixed (turbid) nearshore waters and stratified (clear) offshore waters, as revealed by (Wang et al. 2019a; Wang



**FIGURE 9** Bottom DO and AOU vs. strength of (A) halocline, (B) thermocline and (C) pycnocline off the CE in August 2013.



**FIGURE 10**  
Horizontal distributions of density gradient ( $\text{kg m}^{-3} \text{km}^{-1}$ ) at (A) surface, (B) 20-m and (C) bottom layers off the CE in August 2013 (the distributions of salinity gradient show similarity and hence are not shown).

et al. 2019b). Hence, the bottom front might constrain the shoreward expansion of DO-deficient waters, shaping the inner boundary of bottom hypoxia (Figure 2F). This was responsible for high salinity ( $>33$ ) within bottom hypoxic zone (Figure 7B). Consequently, the space between the surface CDW plume front and bottom physical front may provide a venue for the occurrence of hypoxia; the surface CDW plume front and bottom physical front approximately determined the seaward and shoreward limit of the reach of bottom hypoxic zone, respectively. Notably, the surface CDW front tended to shrink towards the shore when it expanded southward from the northern region off the CE; under this background, the space between the surface CDW plume front and bottom physical front was wide in the north and narrow in the south. This is responsible for the large-scale hypoxia in the northern area off the CE and a narrowed DO-deficient zone in the southern area (Figure 2F).

Remarkably, the bottom physical front (Figure 10C) was also generally consistent with the location of turbidity front in upper layers (Figures 2AE–AG). Statistical analyses further show that low turbidity ( $< 5$  NTU) in upper layers almost occurred in the region with bottom salinity  $> 33$  (Figure 11A). In actuality, the surface turbidity and bottom salinity fronts with sharp gradients both approximately occurred near the isobath of  $\sim 20$  m, as indicated by the relationship between surface turbidity (bottom salinity) and water depth (Figure 11B). Undoubtedly, the existence of surface turbidity front could lead to different light conditions on both sides of the front, which was essential for primary production regime and thereby bottom hypoxia.

#### 4.3.3 *In-situ* biological production and associated biogeochemical processes

The decomposition of organic matter, which is produced by biological activities, is among the most frequently discussed processes inducing estuarine and/or coastal hypoxia (Zhu et al., 2011; Diaz et al., 2019). Based on the conspicuous

spatial variability shown by the measured parameters off the CE, we further demonstrate the biogeochemical driver of oxygen depletion and its role in shaping the scope and heterogeneity of hypoxia.

As shown in Figures 4E–H, Chl-a exhibited spatial variability off the CE, and its concentration was much higher in the north than that in the south in upper layers. Generally, surface high Chl-a value ( $> 5 \mu\text{g dm}^{-3}$ ) mainly occurred at stations with surface salinity less than 30 (Figure 7D), while the bottom salinity corresponding to surface high Chl-a was higher than 33 (Figure 7E). This relationship was similar with that between bottom DO and salinity (Figures 7A, B). It was possible that the outer and inner boundary of surface high Chl-a area was mainly determined by surface isohaline of  $\sim 30$  and bottom isohaline of  $\sim 33$ , respectively. Statistical analyses further show that surface high Chl-a values mainly formed at stations with appropriate turbidity (Figure 7F) and nutrient conditions (Figure 7G). Actually, in the turbid (Figure 2AE) and eutrophic (Figure 4A) inshore area affected by the CDW, light might be the primary limiting factor of phytoplankton growth and productivity. The seaward boundary of this inshore area was primary controlled by the surface turbidity front (Figure 2AE), whose location was approximately consistent with the tidal mixing-related bottom physical front (Figure 10C). In contrast, the surface-trapped CDW with abundant nutrients (Figures 4A and 5A) can reach a much farther place away from the estuary, resulting in an offshore plume front (Figures 2M, 3G). Due to favorable light and nutrient conditions, phytoplankton tends to be situated in the transitional space between the surface and bottom fronts, forming high Chl-a center within this region (Figures 4E–G, 5D–F). Exactly, this space results from the spatial separation/decoupling of surface CDW plume front and turbidity front, as indicated by vertical distributions of salinity (Figures 3G, H) and turbidity (Figures 3P, Q). Previously, Li et al. (2021b) also revealed that the sediment and river plume fronts off the CE significantly control the spatial pattern of Chl-a. Notably, because of the dominant northeastward

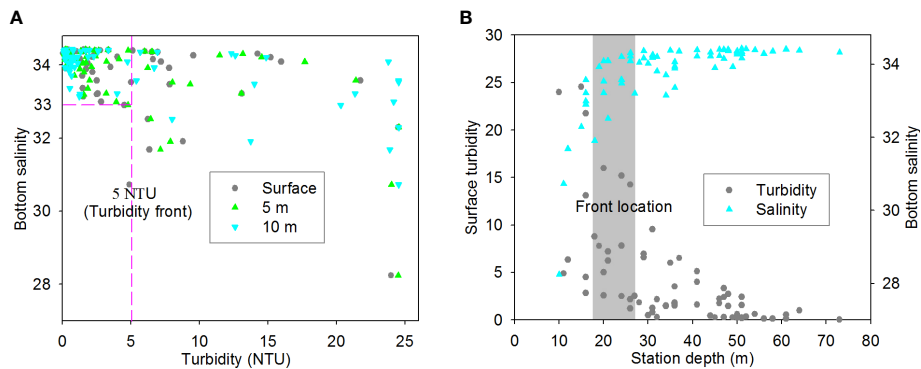


FIGURE 11

Relationships between (A) bottom salinity and upper-layer turbidity and between (B) surface turbidity (bottom salinity) and station depth off the CE in August 2013. The grey area in panel b generally indicates the front location, where surface turbidity and bottom salinity significant changed with water depth.

expansion of the nutrient-rich CDW, much higher Chl-a concentration was observed in the northern transitional area off the CE (Figures 4E, F).

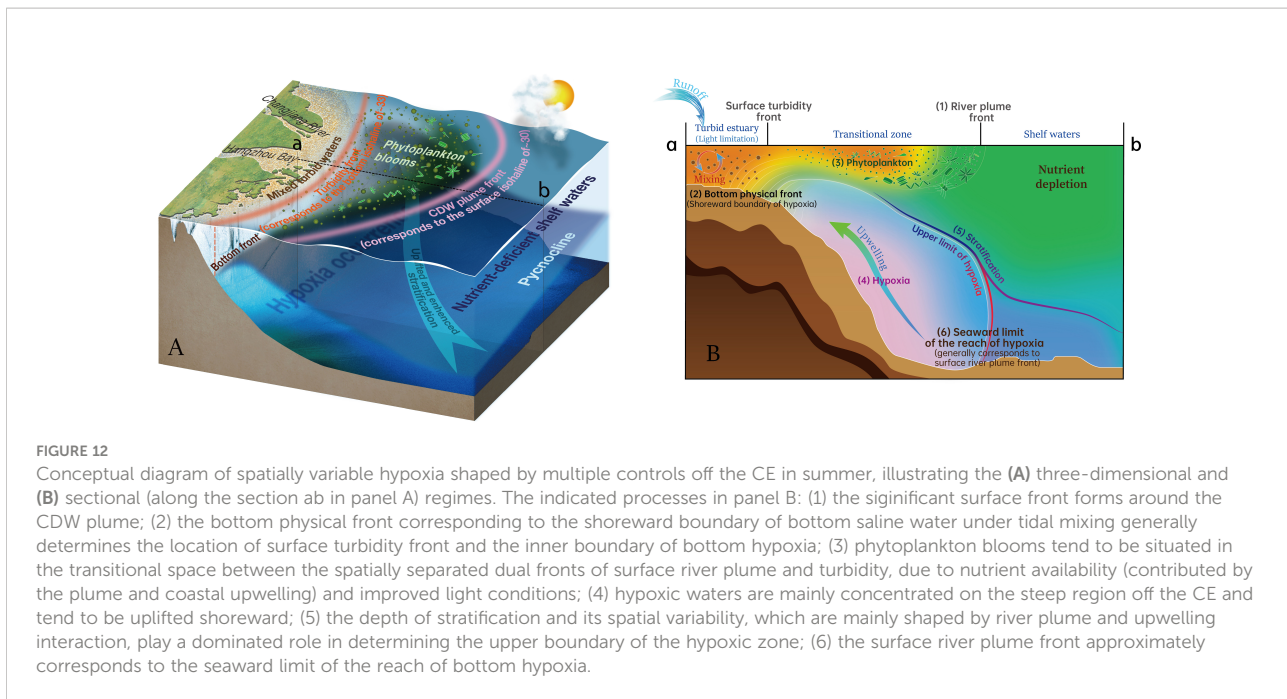
Moreover, the upwelling, which was mainly concentrated on the steep region off the CE (Figures 3J–L), might also contribute to upper-layer nutrient levels in this transitional region, and thus favored primary production by interacting with the surface CDW plume. This could be further indicated by the relationship between nutrient and salinity at surface (Figures 7H, I). Although nutrient concentrations were negatively correlated with salinities in either the CDW-dominated area or the TWC-influenced region in surface layer, the nutrients within the upwelling were much higher than that near the outer CDW boundary (i.e. the region of ~30 isohaline) (Figures 7H, I). This result demonstrates that the upwelling from the bottom TWC could bring additional nutrients to upper layers. Previously, some studies have also confirmed the nutrient source from upwelling off the CE by using observations or simulations (Yang et al., 2013; Xu et al., 2018). This process would play an important role in maintaining primary production when rapid nutrient consumption occurred in upper layers, especially near the CDW plume front. Due to horizontal transport along with the CDW plume and vertical delivery by upwelling, the satisfied nutrient levels might be achieved for phytoplankton growth and reproduction.

Based on above analyses, it can be inferred that the spatial separation/decoupling of surface CDW plume front and turbidity front combines upwelling to be responsible for surface phytoplankton blooming. Biological respiration under high phytoplankton biomass could result in the drawdown of bottom DO. Overall, the more serious bottom hypoxia corresponded to the higher Chl-a region in the northern part of study area, and the scope of bottom hypoxic zone in the north (Figures 2F, L) was in agreement with that of surface high-Chl-a region (Figure 4E). In fact, the bottom low-DO core in the

southern area (Figures 2F, L) was also roughly in consistent with the surface high-Chl-a center at station A11-4 (Figure 4E). Consequently, the intensity and scope of high-Chl-a region generally influenced the strength and range of hypoxic zone off the CE, respectively. As revealed by statistical analyses, bottom DO concentration decreased as Chl-a increased in upper layers (Figure 7). Nevertheless, we further note that the lowest DO did not exactly correspond to the highest Chl-a value (Figure 7), as previously reported by Zhou et al. (2020) and Wei et al. (2021b). This is associated with the redistribution of sinking POC (particulate organic matter) under lateral transport and convergence, which may potentially influence the locations of considerable oxygen consumption and lead to the vertical decoupling of bottom hypoxic center and surface high phytoplankton biomass (Wei et al., 2021b). In fact, this process can also enhance the spatial heterogeneity of hypoxic intensity within the transitional space between the surface and bottom fronts. These results confirm the influence of *in-situ* biological production on the spatial variability of DO and imply a close linkage between surface productivity and bottom hypoxia off the CE. Therefore, the joint effects of turbidity and river plume fronts off the CE play an important role in dominating the spatial pattern of Chl-a and thereby bottom hypoxia.

## 5 Conclusions

Using multi-disciplinary observational data in the summer of 2013, this study investigated the mechanisms controlling the spatial variability and heterogeneity of hypoxia off the CE, with comprehensive considerations of multiple factors related to both physical and biogeochemical processes. We contextualize the relative contribution of drivers to the spatially variable hypoxia in a conceptual diagram (Figure 12).



1) The summer hypoxia off the CE occurred with significant geographical features, presenting to be spatially variable and heterogeneous in both of the horizontal and vertical directions. Oxygen-deficient waters were mainly concentrated on the steep area of the submarine canyon and tended to be uplifted shoreward. The bottom hypoxia got more and more serious in a northward direction, expanding toward the shallow region of the Subei Shoal and the Changjiang Bank.

2) The stratification together with upwelling influenced the hypoxia in multiple ways, including distribution, intensity and depth. The region with intense stratification was in good agreement with the bottom hypoxic zone, and the bottom DO was negatively correlated with the stratification intensity. Upwelling could redistribute low-oxygen waters closer to shore and lead to the shoaling of hypoxia. The depth of stratification and its spatial variability, which were mainly shaped by river plume and upwelling interaction, played a dominated role in determining the upper boundary of the hypoxic zone.

3) The surface CDW plume front (i.e. the isohaline of  $\sim 30$ ) and bottom physical front (i.e. the isohaline of  $\sim 33$ ) approximately determined the seaward and shoreward limit of the reach of bottom hypoxic zone, respectively. The front in middle layers controlled the seaward extent of hypoxic waters, and was conducive to forming the outer hypoxic boundary downward from the upper layers.

4) A close linkage existed between surface biological production and bottom spatially-variable hypoxia. The spatial separation/decoupling of surface CDW plume front and turbidity front (generally corresponding to the bottom physical front) combined with the upwelling was responsible for surface

phytoplankton blooming and thus bottom hypoxia. The intensity and scope of high-Chl-a region influenced the strength and range of hypoxic zone, respectively.

Overall, the stratification, upwelling, river plume, front, and localized high phytoplankton biomass combined to shape the spatial morphology, scope and strength of variable hypoxia off the CE. Note that these hydrodynamic/biogeochemical processes were typically coupled and inseparable during phytoplankton blooming and hypoxia occurrence, suggesting the further need of establishing three-dimensional model for quantitatively investigating and predicting the highly variable hypoxia off the CE in future studies.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material. Further inquiries can be directed to the corresponding authors.

## Author contributions

QW: Data curation, Conceptualization, Formal analysis, Supervision, Writing - original draft, Writing - review and editing. YY, SS, YZ, JS, and CL: Resources, Data curation. BW: Writing - review and editing. All authors listed have made a substantial, direct, and intellectual contribution to the work, and approved it for publication.

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## Conflict of interest

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