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Potentiating pneumococcal glycoconjugate vaccine PCV13 with saponin adjuvant VSA-1

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VSA-1 is a semisynthetic saponin adjuvant prepared from naturally occurring *Momordica* saponin and capable of stimulating antigen-specific humoral and cellular immune responses. Its immunostimulating activity in enhancing the immune responses induced by the clinical glycoconjugate pneumococcal vaccine PCV13 is compared with QS-21 in female BALB/c mice. Both VSA-1 and QS-21 boosted IgG and opsonic antibodies titers against seven selected serotypes, including serotypes 3, 14, and 19A that are involved in most PCV13 breakthroughs. Since VSA-1 is much more accessible and of lower toxicity than QS-21, it can be a practical saponin immunostimulant to be included in a new glycoconjugate pneumococcal vaccine formulation.

KEYWORDS

Momordica saponin, adjuvant, immunostimulant, pneumococcal vaccine, VSA-1

Introduction

Streptococcus pneumoniae is a leading cause of bacterial pneumonia and meningitis, accounting for an estimated 660,000 lower respiratory tract infection-related deaths and 9,600 meningitis-related deaths in adults aged >50 years of age globally each year (1). Mortality rates are high especially in the very young, elderly, and immunocompromised individuals. Vaccines can be an effective way to prevent infections by *S. pneumoniae*, including drug-resistant strains. There are two types of clinical pneumococcal vaccines: pneumococcal polysaccharide vaccine (e.g., PPV23, composed of purified pneumococcal capsular polysaccharides (CPS) of 23 serotypes of *S. pneumoniae*) and pneumococcal glycoconjugate vaccine (e.g., PCV13, composed of purified CPS of 13 serotypes of *S. pneumoniae* individually conjugated to diphtheria toxin protein carrier CRM197) (2, 3). However, both vaccines have limitations (2–8), for example, PPV23 is not effective in children younger than 2 years old, and only 60–70% effective against invasive disease (9). The use of PCV13 substantially reduced invasive pneumococcal disease (IPD) caused by PCV13

vaccine serotypes in all age groups, but the reductions of IPD in each of the 13 vaccine serotypes of PCV13 varied among serotypes. PCV13's effectiveness against serotype 3 was not significant (10), and most vaccine breakthroughs in children involve serotype 3 (4, 11–13), and there are also cases involving serotypes 14 and 19A (14–17). In addition, immunosenescence is a noticeable issue with current pneumococcal vaccines; PCV13 is 75% effective against IPD in adults older than 65 years. It is therefore desirable to improve the efficacy of glycoconjugate vaccines.

A viable way to potentiate humoral and cellular immune responses is to add an immunostimulating adjuvant to the vaccine (18). Adjuvants constitute an indispensable element of modern vaccines. They (a) enhance the ability of a vaccine to elicit strong and durable immune responses, especially in immunologically compromised individuals such as immunologically immature neonates, the aged, and immune suppressed individuals; (b) reduce antigen dose and the number of immunizations; and (c) modulate the nature of immune response (19). There are only a few adjuvants (e.g., alum, AS04, MF59, AS03, CpG, and AS01b) approved by the FDA for human use (20–24). PCV13 contains alum (various aluminum salts), the most used adjuvant; however, alum is a weak adjuvant and primarily enhances Th2 humoral immune responses without Th1 help.

QS-21 is a saponin adjuvant known for its capacity of inducing both Th1 and Th2 immune responses. It was recently approved as a component of adjuvant AS01b (25, 26) used in GlaxoSmithKline's (GSK) shingles vaccine, Shingrix[®], one of the most successful vaccine launches in recent years (25, 27). The protection offered by QS-21 vaccines is highly durable. QS-21 vaccines are effective for broad use across age groups: Shingrix[®] is highly effective in older individuals (≥ 70 years) (28); and the GSK's QS-21 containing malaria vaccine, MOSQUIRIX[®], has been used to protect pediatric populations (29). However, QS-21 has its own limitations. It is a natural product isolated from the tree bark of *Quillaja saponaria* Molina (QS), an evergreen tree native to temperate central Chile. It has a severe supply issue; the current global supply of natural QS-21

may not be sufficient for widespread clinical use for various anti-infection vaccines (30, 31). Its limited supply, along with chemical instability, dose-limiting toxicity, and laborious and low-yielding purification, hinder its wider use (30, 31).

In pursuit of practical alternatives to QS-21, Wang et al. discovered VSA-1 adjuvant based on extensive structure-activity-relationship studies (32–36). VSA-1 is a semisynthetic saponin which can be synthesized in only one-step from naturally occurring *Momordica* saponins (MS) isolated from the widely available and inexpensive seeds of *Momordica cochinchinensis* SPRENG (MC), a perennial vine (Synthesis of VSA-1 from MS I is depicted in Scheme 1) (34). VSA-1 can induce a strong antigen-specific, mixed Th1/Th2 immune response mirroring QS-21 and it is much less toxic than natural QS saponins (34). Recently, a split virus flu vaccine showed that VSA-1 has similar/superior adjuvant activity to QS-21 in terms of stimulating humoral and cellular immune responses. Thus, it has the potential to be an effective and inexpensive alternative to QS-21 for various high-volume vaccination needs, especially for anti-infection vaccines.

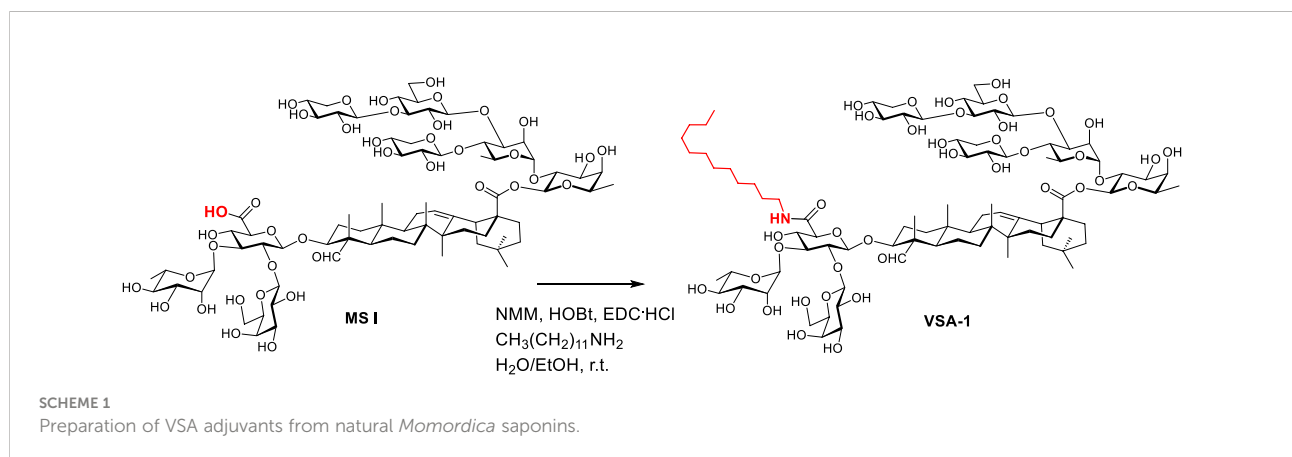
Materials and methods

Commercial vaccines

Each human dose of PCV13 (trade name Prevnar 13 by Pfizer) is available in 0.5 mL single-dose pre-filled syringes. It contains 2.2 μg of polysaccharide (PS) from each of 12 serotypes (*i.e.*, 1, 3, 4, 5, 6A, 7F, 9V, 14, 19A, 19F, 18C, and 23F) and 4.4 μg of polysaccharide from serotype 6B conjugated to CRM197, along with 125 μg of alum adjuvant.

Semisynthetic vaccine adjuvant

Synthesis of VSA-1: The published general procedure of synthesizing MS derivatives was used (34). Thus, MS I (120 mg,



0.07 mmol) in ethanol (3.0 mL) and water (1.0 mL) was added dodecylamine (50.0 mg, 0.27 mmol), *N*-methylmorpholine (NMM) (91.0 mg, 0.90 mmol), hydroxybenzotriazole (HOBt) (83.0 mg, 0.54 mmol), and 1-ethyl-3-(3-dimethylaminopropyl) carbodiimide hydrochloride (EDC.HCl) (107.0 mg, 0.54 mmol) at room temperature. The reaction mixture was stirred for 1 day, and was then filtered. The filtrate was directly purified with reverse-phase high performance liquid chromatography (RP HPLC) by using a Prep C18, 250x10 mm, 5-micron column, and H₂O/acetonitrile (MeCN) gradients (90%-10% H₂O over 45 minutes with a 3 mL/min flow rate). The product fraction was concentrated on a rotary evaporator at room temperature to remove MeCN, and the remaining water was then removed on a lyophilizer to provide the derivative as a white solid.

Mice immunizations

BALB/c mice used in this study were purchased from the Jackson Laboratory and maintained within an environmentally controlled, pathogen-free animal facility at the University of Alabama at Birmingham (UAB). Each human dose of PCV13 (trade name Prevnar 13 by Pfizer) is available in 0.5 mL single-dose pre-filled syringes. QS-21 and VSA-1 were dissolved in sterile distilled water to give their respective stock solution at 1.0 mg/mL. Each mouse dose contained 50 μ L of PCV13 plus 20 μ L of QS-21 or 50 μ L of VSA-1, diluted to a total volume of 200 μ L with 0.9% Normal Saline. Groups of female BALB/c mice (8-10 weeks of age, six per group) were immunized *via* the subcutaneous route (*s.c.*) with 200 μ L of saline, PCV13, PCV13 plus QS-21, or PCV13 plus VSA-1 (two sites/mouse at dorsal, 100 μ L/site) on days 0, 14 and 28. Serum samples were collected prior to the first and the third immunizations and at 2 weeks following the last immunization. Equal volumes of the six sera in each group were pooled together to create serum pools for each group. The serum was obtained after centrifugation and stored at -20°C until assayed. All studies were performed according to National Institutes of Health guidelines, and protocols were approved by the UAB Institutional Animal Care and Use Committee.

ELISA

The World Health Organization (WHO)-approved ELISA assay described for human pneumococcal antibodies (37, 38) was adapted for mouse serum as described below. Briefly, each well of a 96-well microtiter plate was coated with 100 μ L of PBS with a CPS at a pre-determined concentration. CPS of the seven serotypes (3, 4, 6B, 9V, 14, 19A, 19F, and 22F) were from American Type Culture Collection (ATCC). Plates were incubated at 37°C for 5 h in a humidified chamber, except type 3 PS which was coated at room temperature for 2 hours.

The coated plates were washed with Washing Buffer (TBS-0.1% Brij-35 solution) and blocked with PBS containing 0.5% BSA, 0.05% Tween 20 and 0.02% NaN₃. To the PS-coated microtiter plates, was loaded 50 μ L of the serum pool diluted as below. The serum pools were made by mixing equal volume of individual mouse serum in each group. The resulting serum pools were initially diluted 1:50 and then 3-fold serially diluted in Antibody Buffer (PBS with 0.1% BSA, 0.05% Tween-20 and 0.02% NaN₃) with 5 μ g/ml of teichoic acid (the Statens Serum Institute in Denmark) and 5 μ g/ml 22F capsule (ATCC). The two absorbents were added to neutralized non-specific binding of irrelevant antibodies (38). The plates were incubated overnight at room temperature in a humidified box. After washing five times, 100 μ L of diluted alkaline phosphatase-conjugated goat mouse immunoglobulin (Southern Biotech, Birmingham, AL) in Antibody Buffer was added to each well. After another 1-h incubation, the plates were washed five times, and 100 μ L of the substrate solution containing *p*-nitrophenyl phosphate (Sigma) was added to each well. After a 1-h incubation at room temperature, the optical density (OD) was measured at 405 nm and at 690 nm. The detailed protocol of the WHO ELISA can be found at our website (<http://www.vaccine.uab.edu>) (38).

Opsonophagocytosis assay

Opsonophagocytosis assay for serotypes 3, 4, 6B, 9V, 14, 19A, and 19F was performed using the 4-fold multiplexed opsonization assay (39). Briefly, 10 μ L of bacterial suspension ($\sim 0.5 \times 10^5$ CFU/ml of each serotype) and 20 μ L of serially diluted antiserum were incubated in a microtiter plate for 30 min at RT with shaking. Then 10 μ L of 3- to 4-week-old rabbit serum as the complement source (PelFreeze Biologicals, Rogers, AK) and 40 μ L of differentiated HL60 cells (4×10^5 cells) were added to each well and the plates were incubated at 37°C in 5% CO₂ with shaking for 45 min. An aliquot of the final reaction mixture (10 μ L) was spotted onto four different Todd Hewitt Broth with yeast extract (THY) agar plates (39), and overlay agar containing one of the four antibiotics (optochin, spectinomycin, streptomycin, or trimethoprim) was applied to each THY agar plate. After an overnight incubation at 37°C , the number of bacterial colonies was enumerated. Opsonic indices were determined as the interpolated serum dilution that kills 50% of bacteria. A detailed protocol can be found our website (<http://www.vaccine.uab.edu>).

Results and discussion

Herein we report our results of comparing VSA-1 and QS-21 in enhancing the immune responses induced by the clinical glycoconjugate pneumococcal vaccine PCV13. Each human dose

of PCV13 (trade name Prevnar 13 by Pfizer) is available in 0.5 mL single-dose pre-filled syringes. It contains 2.2 μg of polysaccharide from each of 12 serotypes (*i.e.*, 1, 3, 4, 5, 6A, 7F, 9V, 14, 19A, 19F, 18C, and 23F) and 4.4 μg of polysaccharide from serotype 6B conjugated to CRM197, along with 125 μg of alum adjuvant. Thus, groups of female BALB/c mice (8-10 weeks of age, six per group) were immunized *via* the subcutaneous route (*s.c.*) with saline (group A, negative control), PCV13 (group B), PCV13 plus QS-21 (20 μg) (group C), or PCV13 plus VSA-1 (100 μg) (group D) on days 0, 14 and 28. We used one tenth of one human dose of PCV13 for each mouse dose. Serum samples were collected prior to the first and the third immunizations and at 2 weeks following the third immunization. Equal volumes of the six sera in each group were pooled together to create serum pools for each group. ELISA was used to assess the antibody activity toward seven PCV13 serotypes, *i.e.*, 3, 4, 6B, 9V, 14, 19A, and 19F (Figure 1A–G) (40).

The serum samples collected from all the four groups prior to the first immunization and the serum of saline control post the two or three immunizations (Days 28 and 42) showed no antigen-specific antibody titers (Figure 1 and Table 1). PCV13 induced significant antibody responses to the seven tested serotypes on Days 28 and 42, and inclusion of a saponin adjuvant to PCV13 enhanced antigen-specific antibody responses to all seven serotypes compared with the PCV13 control group. When comparing the dilutions that gave OD of

6x background (*i.e.*, antibody titers), VSA-1 and QS-21 showed similar adjuvant activities for serotypes 3, 6B, 9V, 19A, and 19F, but VSA-1 was superior to QS-21 for serotypes 4 and 14 on Day 42 (Table 1).

The ELISA results provided evidence of antibody responses to the different vaccine formulations; however, these data do not indicate the ability of the antibodies to opsonize and kill bacteria, thus do not provide direct evidence of immune protection. Vaccine-induced immune protection against encapsulated *S. pneumoniae* is primarily mediated by opsonic antibodies that bind CPSs (41, 42). Opsonophagocytosis assay (OPA) is an important tool to evaluate the capacity of sera to kill the bacteria (40, 42, 43). OPA for serotypes 3, 4, 6B, 9V, 14, 19A, and 19F was performed using the 4-fold multiplexed opsonization assay for samples obtained on days 0 and 42 (39). Opsonic titers are defined as the reciprocal of the interpolated serum dilution that kills 50% of the bacteria. The OPA data show that inclusion of a saponin adjuvant in PCV13 enhance opsonic titers for all serotypes (Table 2). With QS-21, PCV13-induced opsonic titers increased in the range of 1.9-4.9 fold for serotypes 3, 4, 6B, 9V, 19A, and 19F, and a 14.9-fold increase for serotype 14. VSA-1 improved opsonic titers against serotype 14 even more, with an 18.2-fold increase, and a 2.1-4.1 fold increase for other serotypes except for serotypes 9V (x 0.8) (Table 2), even though it increased IgG response against 9V by 2.5 fold compared with PCV13 alone (Table 1). Comparison between VSA-1 and QS-21 shows that VSA-1 was superior to QS-21 in enhancing opsonic

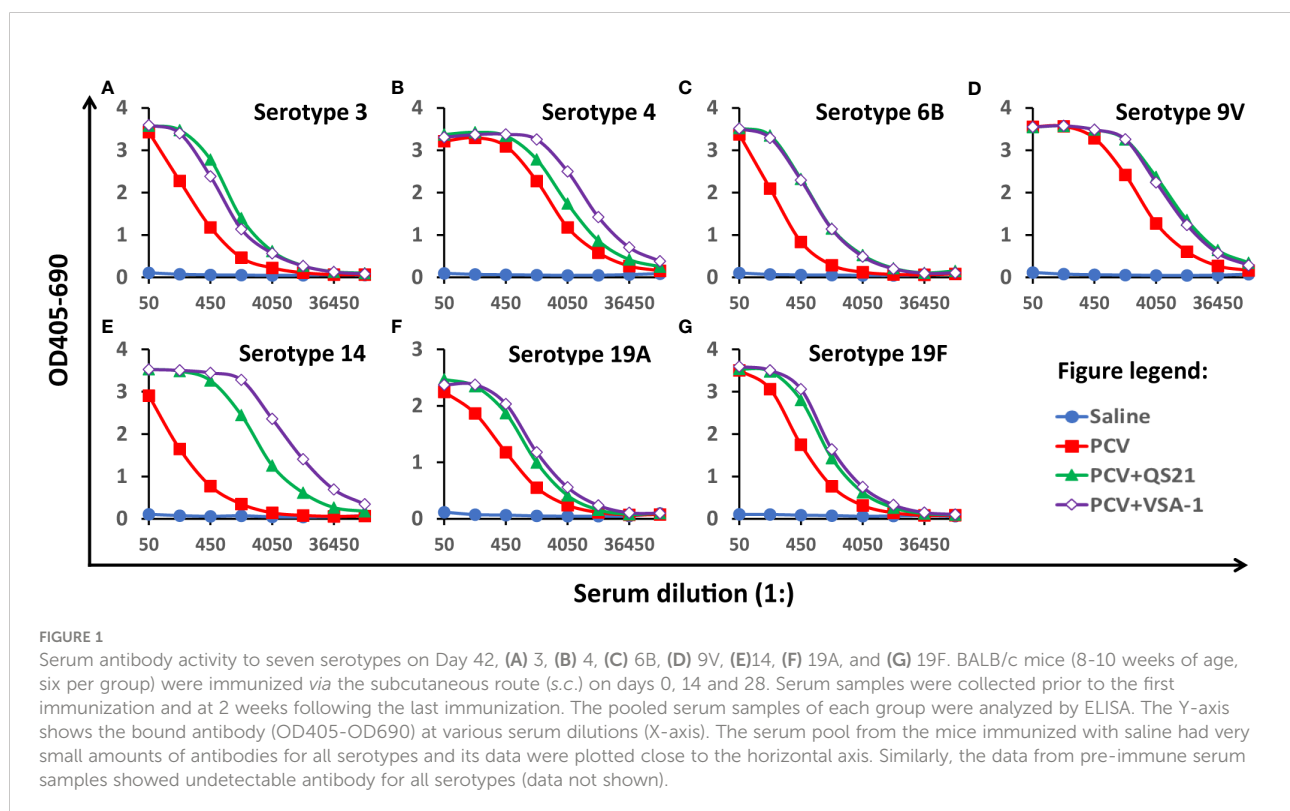


TABLE 1 ELISA Titer to Different Vaccine Serotypes^a.

| Sera | Serotype | | | | | | |
|------------|--------------|--------|----------|-------|--------|---------|-------|
| | 3 | 4 | 6B | 9V | 14 | 19A | 19F |
| Pre-immune | <50 | <50 | <50 | <50 | <50 | <50 | <50 |
| Day-28 | A (Saline) | <50 | <50 | <50 | <50 | <50 | <50 |
| | B (PCV only) | 2,465 | 6,306 | 63 | 16,186 | 153 | 1,003 |
| | C (+QS21) | 12,093 | 10,229 | 228 | 33,432 | 3,781 | 830 |
| | D (+VSA1) | 6,213 | 8,693 | 107 | 30,068 | 17,566 | 1,285 |
| Day-42 | A (Saline) | <50 | <50 | <50 | <50 | <50 | <50 |
| | B (PCV only) | 2,193 | 24,924 | 981 | 22,997 | 1,311 | 2,348 |
| | C (+QS21) | 9,243 | 53,797 | 5,794 | 91,990 | 24,828 | 4,693 |
| | D (+VSA1) | 8,764 | >109,350 | 5,332 | 69,577 | 103,595 | 7,012 |

^aDilution that gives OD of 6x background.

TABLE 2 OPA Titer to Different Vaccine Serotypes^a.

| Sera | Serotypes | | | | | | |
|-------------------|-----------|-------|-------|------|---------------------|------|------|
| | 3 | 4 | B | 9V | 14 | 19A | 19F |
| Pre-immune | <20 | <20 | <20 | <20 | 110 | <20 | <20 |
| Post-A (Saline) | <20 | <20 | <20 | <20 | 83 | <20 | <20 |
| Post-B (PCV only) | 673 | 7124 | 2511 | 4613 | 2398 | 1469 | 926 |
| Post-C (+QS21) | 3288 | 11298 | 5226 | 9235 | 35835 | 2779 | 2836 |
| Post-D (+VSA1) | 2514 | 19825 | 10296 | 3775 | >43740 ^b | 3054 | 2343 |

^aThe opsonization titer was defined as the highest dilution of the serum that kills 50% of the target bacteria; ^bpool-D has high STREP14 killing titer that exceeded the upper limit of the assay.

titers for serotypes 4, 6B, 14, and 19A, by 1.8, 2.0, 1.2, and 1.1 fold respectively. QS-21 was superior to VSA-1 for serotypes 3, 9V, and 19F, by 1.3, 2.4, and 1.2 fold, respectively.

The ELISA and OPA data suggest that adding saponin adjuvant VSA-1 to PCV13 is a viable way to boost antibody responses and increase opsonic antibodies induced by PCV13. Both VSA-1 and QS-21 boosted IgG and OPA titers against the tested serotypes, including serotypes 3, 14, and 19A that are involved in most PCV13 breakthroughs, especially serotype 3. VSA-1 and QS-21 are known for stimulating antigen-specific humoral and cellular immunity. They can potentially enhance the serotype-specific immune memory and help to reduce number of immunizations of PCV13 while maintaining a high level of protection. The adjuvants' capability in stimulating cellular immune responses can also help to overcome immunosenescence and improve efficacy of glycoconjugate pneumococcal vaccine in elderly (44), which is important given that PCV13 is 75% effective against IPD in adults older than 65 years. Since VSA-1 is much more accessible and of lower toxicity than QS-21, it can be a practical saponin immunostimulant to be included in a new glycoconjugate pneumococcal vaccine formulation. However, development of a new adjuvant requires studies in different animal species and in

human. For future development, we plan to perform additional studies in different animal models and optimize the adjuvant doses and immunization regimens.

Data availability statement

The original contributions presented in the study are included in the article. Further inquiries can be directed to the corresponding authors.

Ethics statement

The animal study was reviewed and approved by The Institutional Animal Care and Use Committee of the University of Alabama at Birmingham.

Author contributions

MN and PW designed the study and experiments and wrote the paper. HK and DB conducted the synthesis and

immunizations. JY and HK conducted ELISA analysis and JY conducted OPA analysis. All authors read and approved the manuscript. All authors contributed to the article and approved the submitted version.

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Conflict of interest

Authors PW and MN are inventors on a patent application based on this work. The University of Alabama at Birmingham UAB has intellectual property rights to several opsonophagocytosis

assay reagents developed in MN's laboratory and VSA adjuvants developed in PW's laboratory. MN is a co-founder of SunFire Biotechnologies and PW is a co-founder of Adjuvax LLC.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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