



# Tuning the Functionality by Splicing: Factor H and Its Alternative Splice Variant FHL-1 Share a Gene but Not All Functions

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The alternative pathway regulator Factor H-like protein 1 (FHL-1) is composed of the first 7 N-terminal complement control protein domains of Factor H (FH) and protects host surfaces from uncontrolled complement attack. Although FHL-1 shares the N-terminal regulatory domains with FH, it was thought to be a weaker regulator. Recently, the regulatory activity of FHL-1 was shown to be comparable to FH. Nonetheless, the question remained whether FHL-1 is an indispensable, unique regulator. The discovery that FHL-1 is the predominant regulator on Bruch's membrane, a critical site for the onset and progression of age-related-macular degeneration (AMD), showed that FHL-1 is essential for complement regulation. A common single nucleotide polymorphism in FH/FHL-1 that predisposes for AMD underlines the important role of FHL-1 in this context. Reports that some cancer tissues specifically upregulate FHL-1 expression, thereby evading immune surveillance, suggests a pronounced regulatory activity of the splice variant. Several microorganisms specifically recruit FHL-1 to evade complement attack. From a phylogenetic point of view, FHL-1 appears much later than other complement regulators, which could imply a specific role that is possibly not systemic but rather tissue specific. This review focuses on the current knowledge of FHL-1 and its physiological and pathophysiological roles.

**Keywords:** complement system, factor H, Factor H-like protein 1, cell protection, regulatory selectivity

## INTRODUCTION

The complement system is an essential part of the innate immune system protecting against infections and helping in maintaining tissue homeostasis. While the classical and lectin pathways are activated specifically, the activation of the alternative pathway (AP) occurs indiscriminately by spontaneous hydrolysis of C3, yielding C3(H<sub>2</sub>O) (1). C3b produced by any initiating pathway in turn becomes amplified further by the AP amplification loop. This indiscriminate generation and surface deposition of C3b necessitates precise regulation to specifically downregulate AP amplification on self-surfaces. Therefore, only foreign, dangerous or impaired host surfaces allow unlimited or under-regulated AP activity. To protect themselves from AP-mediated attack, human cells and surfaces are equipped with preformed regulators of defense, that is, complement regulatory

proteins (2). These are membrane-bound regulators and soluble plasma proteins that normally control consumptive complement activation in the fluid phase. In addition, the soluble regulators are equipped with domains that specifically recognize polyanionic surface markers that are specific for host structures. Thereby, these soluble regulators intensify the complement regulation by the membrane-bound regulators. In particular, the basement membranes in the eye and the kidney, which are exposed to the blood stream at the fenestration of the endothelium, appear particularly vulnerable to AP attack (3). Because basement membranes lack plasma-membrane bound regulators, their only means to protect themselves from AP attack is to recruit soluble regulators *via* exposing polyanionic host surface markers that attract the soluble regulators.

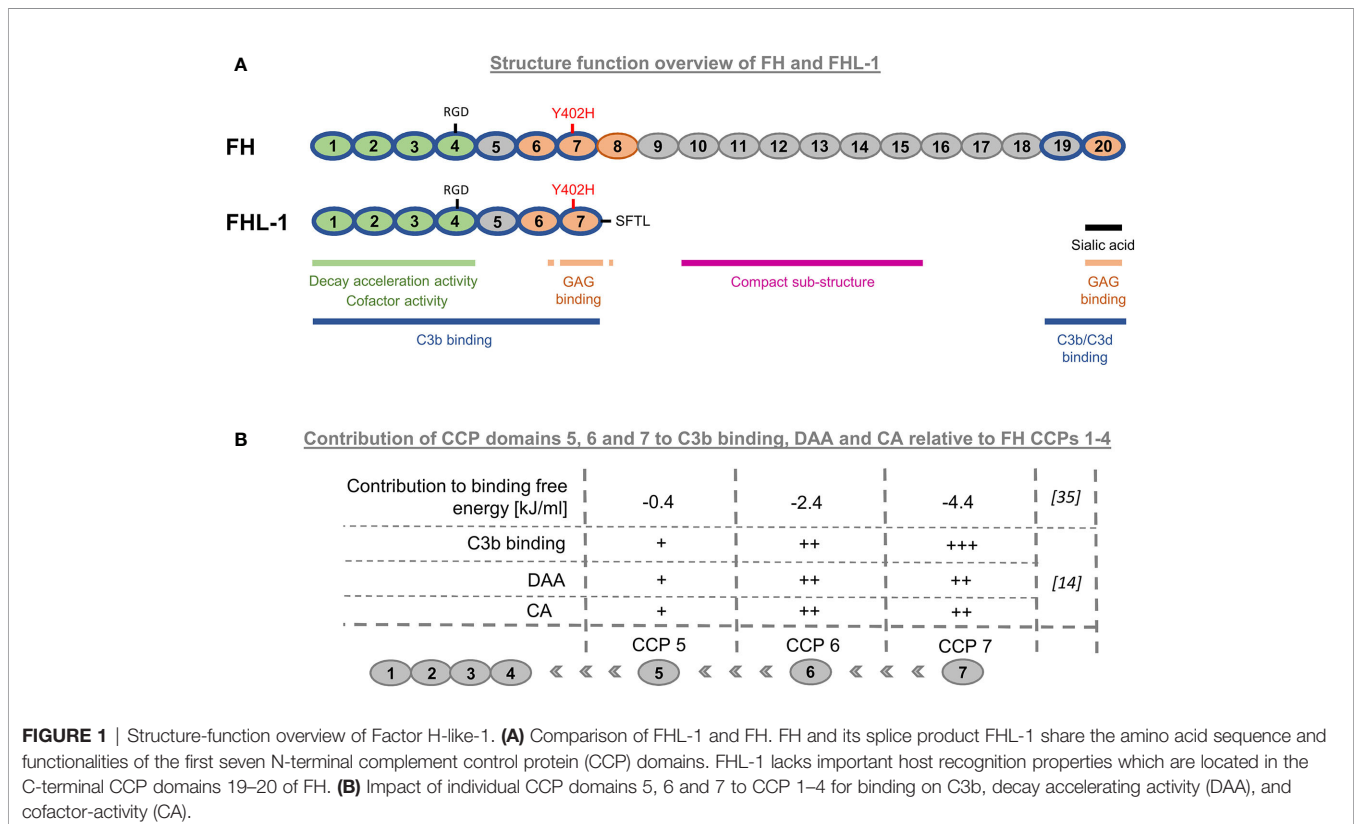
Factor H (FH) and Factor H-like 1 (FHL-1) are the only known negative fluid-phase regulators of the AP. FHL-1 was discovered by Schwaeble et al. (4) as a short transcript that was constantly expressed in the human liver and secreted into the blood stream. Because of the similarity to the FH N-terminus, it was assumed from the beginning that FHL-1 should share regulatory functionalities with FH. Later, it was clarified that this truncated form of FH with a molecular weight of 49 kDa originates from the *FH* gene by alternative splicing (5–7). The *FH* gene is located on chromosome 1q32 and is part of the Regulation of Complement Activation gene cluster (8, 9). FHL-1 is composed of the first seven N-terminal complement control protein (CCP) domains of FH but lacks the remaining 13 CCP domains (Figure 1). At its C-terminus, FHL-1 contains four unique amino acids (SFTL), which are derived from the

alternative splicing and are encoded on exon 10 (6, 7). Remarkably, in mice, no evidence for an alternative FH splice variant was found (10).

With the discovery of the splice variant FHL-1 in humans, several questions arose as to the physiological relevance of this truncated FH version, the possible existence of new functional features in FHL-1, or the functional consequences on AP regulation introduced by the deletion of the 13 C-terminal FH domains (4, 11). However, to date, the physiological role of FHL-1 remains the subject of controversial discussion. This review considers the possible physiological origin of this molecule and summarizes the current knowledge of its regulatory activities as well as its physiological and pathophysiological roles.

## MOLECULAR INSIGHTS INTO THE REGULATORY ACTIVITY OF FHL-1 IN COMPARISON TO FH

FH is known to display several functional properties, which are: binding C3b, competition with Factor B for C3b binding, co-factor activity (CA) for Factor I-mediated cleavage of C3b, decay accelerating activity (DAA) for the C3 convertase C3bBb, and host recognition through binding to specific polyanionic markers on the surface of host cells. C3b binding is a precondition for all complement regulatory activities of FH. Binding to C3 activation fragments was initially described with the discovery of FHL-1 and later assessed again by testing binding properties of



recombinant FHL-1 and several fragments thereof to C3(H<sub>2</sub>O) (4, 12). CCP1–4 were sufficient for C3(H<sub>2</sub>O) binding, while further addition of the CCP domains 5, 6, and 7 increased the binding strength. This is in agreement with recent studies using surface plasmon resonance (SPR) for quantification of the binding affinity to C3b. The addition of the remaining CCP domains 5, 6, and 7 to CCP1–4 increased the affinity for C3b approximately 15 times, with an equilibrium dissociation constant of FHL-1 for C3b in the low micromolar range (~1 μM for FHL-1) (13, 14). By comparison, full-length FH displayed higher affinity for C3b (~0.6 μM), which is unsurprising, given that FH contains a second C3b binding patch within its two C-terminal domains 19–20 (15, 16). Some studies report a weak, third binding site for C3b located towards the middle of FH, while others could not detect it (15, 17). The difference between the binding affinity of FH and FHL-1 for C3b (and thus two *versus* one strong C3b-binding patch) appears less than expected. This may be explained by a complex structural model of FH, in which the C3b binding site in the C-terminal CCP domains is blocked or shielded by the N-terminal domains and only becomes accessible upon binding to polyanionic host surfaces marker. Several lines of evidence support the notion of such a structural FH model (13, 18–22). Through an absence of CCP domains 19–20, FHL-1 loses not only the second strong C3b binding site, but also the most critical host recognition site located in CCP20, which enables specific binding to glycosaminoglycans (GAG) and certain sialic acid-linked moieties (23–25). Therefore, the specificity for AP regulation on host surfaces for the splice variant FHL-1 could only derive from the GAG binding site located in CCP domain 7 (15, 26–29). On host-like sheep erythrocytes only FH CCPs 19–20 (carrying sialic acid and GAG binding properties), but not FH CCPs 6–8 (entailing only GAG binding functionality) exhibited functional competition with FH (24). A direct analysis of FH and FHL-1 regulatory capacity and surface specificity (host *versus* foreign) in FH/FHL-1-depleted serum revealed that both regulators protect foreign cell surfaces from complement attack at high concentrations, but FH was significantly more efficient in differentiating between foreign and host surfaces known to contain sialic acid moieties (14). This is in agreement with previous reports showing increased FH binding to sialylated surfaces (30, 31). These results show that the sialic acid (and GAG) binding site in CCP20 is much more important for self *versus* non-self discrimination than the GAG binding site in CCP7.

Misasi et al. (32) isolated FHL-1 from human plasma and demonstrated that it indeed harbors CA for the cleavage of C3b to iC3b (32). The precise determination of the involved CCP domains was demonstrated with experiments using FHL-1 and truncated fragments, with the result that the minimal functional unit to contain CA was CCP1–4 (33, 34). Similar to C3b binding, the successive addition of CCP domains to CCP1–4 enhanced the activity, while the addition of CCP6 to CCP1–5 had the largest impact on overall regulatory function (14, 35, 36). However, already in the fluid phase, when sialic acid binding does not even have a role in direct comparison to FH, FHL-1

appears to be a significantly weaker cofactor for Factor I-mediated C3b degradation (13, 14). Remarkably, this is also observed for the engineered versions of FH like miniFH. Although miniFH contains the N-terminal four and C-terminal two CCP domains of FH and thus exhibits a similar affinity for C3b as FH, miniFH is also a substantially weaker cofactor than FH (13, 14). This indicates that C3b affinity does not translate proportionally to CA. The structural complex of C3b with miniFH and Factor I revealed that only the two FH CCPs 2 and 3 directly contact Factor I (37). Processing of C3b by Factor I only occurs in ternary complexes of C3b with Factor I and a cofactor. Speculatively, FH with its two C3b binding patches is able to form a more stable ternary complex with C3b and Factor I than can FHL-1 or miniFH. This may be particularly true for the second cleavage of iC3b<sub>1</sub> into iC3b<sub>2</sub>, because it is envisaged that the CUB (C1r/C1s-Uegf-Bmp1) domain of C3b begins to become partially unfolded upon consecutive Factor I cleavages (38).

Regarding the DAA of FHL-1, the literature is contradictory. FHL-1 was shown to act approximately 100 times weaker as a decay accelerator in comparison with FH when sheep erythrocytes deposited with C3 convertases were exposed to FH, FHL-1 or N-terminal fragments of FHL-1 (12). Nevertheless, it was shown unequivocally that FH CCPs 1–4 are the minimal requirement for fulfilling DAA. A recent SPR-based study, in which C3 convertases were formed on-chip, investigated the ability of FH, FHL-1 and FH-fragments to cause the decay of convertases. The outcome does not mirror the previous finding, because FHL-1 exhibited comparable DAA as full-length FH (14). The different outcomes could be attributed to differences in the assay. In the first report, the assay was performed on convertase pre-coated cells rather than in more defined (but less physiological) conditions on the SPR chip surface. However, because the solubility of FHL-1 in aqueous buffer at physiological pH and salt concentrations is rather limited, assays that require higher concentrations of FHL-1 stock solutions to be diluted into the assay conditions may need to be optimised for FHL-1 solubility (13, 14). In addition to specialized assays that investigate CA or DAA, recently the activities of FH and FHL-1 were directly compared in several serum assays. In these assays, the AP regulatory activities were nearly identical between the two protein variants when either FH or FHL-1 had been added to serum dilutions and consequently exposed to lipopolysaccharide-coated microtiter plates or complement vulnerable paroxysmal nocturnal haemoglobinuria cells (13). However, when FH and FHL-1 were compared on different self and non-self surfaces in FH/FHL-1-depleted serum, small difference in regulatory activity could be observed. FH was a slightly better regulator on self and self-like surfaces and a slightly worse regulator on foreign surfaces when compared to FHL-1. Additionally, although overall regulatory activity did not differ dramatically, it is obvious that FH does actively discriminate between self and non-self, whereas FHL-1 appears void of this capacity, at least for the surfaces tested (14).

To conclude, FHL-1 and FH share most of their complement regulatory features because they contain the first seven N-

terminal CCP domains. FHL-1 lacks the important host recognition site at the FH C-terminus that recognises sialic acid structures [and does not contain another sialic acid binding moiety within its seven domains (24)], explaining the lack of differentiation between host and foreign surfaces tested. Overall, as evaluated in several serum assays, FHL-1 exhibits comparable activity to FH in protecting host cells (14).

## PHYSIOLOGICAL ROLE OF FHL-1

Continuous low-level activation of the AP by probing all surfaces requires constant readiness for action of the solvent based negative regulators, FHL-1 and FH, to avoid wasteful consumption of the complement components in the fluid phase and self-directed damage on host surfaces. The regulators must satisfy two major requirements: displaying regulatory activity and being sufficiently abundant. Although FH is more selective and has slightly higher activity on host surfaces than FHL-1, both display overall comparable regulatory activities (discussed above). Therefore, the answer to the question as to which of the variants is the main AP regulator lies in the relative abundance of the two proteins. The determination of the plasma FHL-1 concentration proved to be difficult because to date there is no (commercially) available monoclonal antibody which specifically detects FHL-1 but not FH. FH was consistently reported to occur in the circulation at a concentration of ~2–3  $\mu\text{M}$  (39–41), whereas the blood FHL-1 concentration varied in several reports over a large concentration range. Friese et al. used an indirect enzyme-linked immunosorbent assay-based subtraction method in which the FHL-1 concentration was determined by detecting either both, FH and FHL-1, or only FH with appropriate polyclonal antisera. This resulted in a FHL-1 serum concentration of ~1  $\mu\text{M}$  (42). In a recent report, the FHL-1 plasma concentration was determined using western blot analysis (14). Briefly, for semi-quantitative comparison, standards were prepared adding purified FH/FHL-1 proteins into FH/FHL-1-depleted serum to achieve different

defined concentrations. The strength of these “reference” FH/FHL-1 bands was then semi-quantitatively compared with FH/FHL-1 bands derived from different donor sera. This determination method delivered serum concentrations for FHL-1 of approximately 0.04  $\mu\text{M}$  (14) (Table 1). Schwaeble et al. reported similar FH and FHL-1 mRNA levels in the liver, but plasma protein concentrations are also driven by clearance from the circulation (4). Indeed, pharmacokinetic analysis of human FH and FHL-1 applied intravenously into mice demonstrated the splice variant to be cleared much more rapidly from the murine circulation (14). The rapid plasma clearance of FHL-1 and its resulting low plasma concentration indicate that FH is the major systemic regulator of the AP, although the regulatory activities are not dramatically different, making it increasingly unlikely that FHL-1 plays a major role in systemic AP control (14). These observations may also argue that the physiological role of FHL-1 lies in the protection of specific tissues. Although located on the same gene and sharing the same promoter as well as transcription start site, both molecules displayed distinct expression patterns in some tissues and cell lines (42–45). In accordance with this, a different molecular FH/FHL-1 ratio was also observed in Bruch’s membrane, a layer of extracellular matrix positioned in the eye between the retinal pigment epithelium (RPE) and choroid blood vessels (46). FHL-1 was identified as the predominant AP regulator expressed by RPE cells. In contrast to FH, the splice variant is also able to diffuse from the choroid through the Bruch’s membrane. In addition to the higher expression levels by the RPE, FHL-1 also appears to be supplied to the eye from the systemic blood compartment *via* diffusion through the Bruch’s membrane, strongly indicating FHL-1 to be the major regulator at the RPE/Bruch’s membrane interface (46, 47). It is envisaged that FHL-1 can localize to certain host surface structures *via* its GAG binding site in CCP7, thus, preventing uncontrolled complement activation on such surfaces (8, 48). These findings on FHL-1 are particularly relevant because Bruch’s membrane is the site where drusen formation and tissue damage occur that are associated with the progressive eye disease age-related-macular

**TABLE 1** | Comparison of FH and FHL-1.

| Property  | Protein        |                                 | Reference   |
|---|----------------|---------------------------------|-------------|
|   | FH             | FHL-1                           |             |
| Blood concentration [ $\mu\text{M}$ ]   | ~2-3           | 0.04<br>(or up to ~1, see text) | (14, 40–43) |
| $\beta$ -phase plasma half-time of human proteins in mice [h]   | 18.3 $\pm$ 3.7 | 2.9 $\pm$ 0.5                   | (14)        |
| $K_D$ for C3b binding [ $\mu\text{M}$ ]   | ~0.6           | ~1                              | (13, 14)    |
| Cofactor activity<br>(fluid phase)  | ++             | +                               | (13, 14)    |
| Decay accelerating activity<br>(on SPR chip)  | +              | ++                              | (13, 14)    |
| Sialic acid binding<br>(NMR saturation transfer technique)  | +              | none                            | (23, 24)    |
| IC <sub>50</sub> for PNH RBC protection [ $\mu\text{M}$ ]<br>(when added to FH/FHL-1-depleted serum)              | 1.4 $\pm$ 0.2  | 2.2 $\pm$ 0.5                   | (14)        |
| IC <sub>50</sub> for desialylated PNH RBC protection [ $\mu\text{M}$ ]<br>(when added to FH/FHL-1-depleted serum) | 3.4 $\pm$ 0.8  | 2.2 $\pm$ 0.6                   | (14)        |
| Deregulation by FHR-1   | ++             | 0 to +                          | (14)        |

degeneration (AMD)—the major cause of blindness in the older subjects in the western world (49). A polymorphism in CCP domain 7 (Y402H) is directly associated with an increased risk for developing AMD (50–53). Although the overall tertiary structure remains similar, the single nucleotide polymorphism (SNP) diminishes binding capability to heparan sulfate, which is the major GAG on Bruch's membrane and, therefore, the major interaction site for FHL-1 (8, 27, 28, 46, 48, 54). Of note, the same Y402H SNP within full length FH displayed none or only a marginal impact on GAG binding, whereas when within FHL-1, dramatic differences occurred, which can be explained by two *versus* just one GAG binding site being present, respectively (27). The proven loss and desulfation of heparan sulfate GAGs on Bruch's membrane with age and the resulting loss of binding sites for FHL-1 further supports the pathophysiological role of FHL-1, providing an explanation for the fact that particularly older people are affected (46, 55, 56).

## PATHOPHYSIOLOGICAL ROLE OF FHL-1

In the further course of investigating the pathophysiological role of the Y402H SNP in CCP 7, several further binding partners have been implicated, but it appears that no uniformly accepted conclusion has to date been reached. Functional consequences of the Y402H SNP have been described for, including, among others, C-reactive protein, pentraxin-3, oxidation end products, and zinc ions (57–60). Knowing the importance of FHL-1 on Bruch's membrane, the question arose whether other membranes with a similar composition to that of Bruch's membrane, like the kidney glomerular basement membrane, are also predominantly controlled by FHL-1. However, it was shown that the GAG binding site in CCP19–20 of FH is mainly involved in the interaction within the kidney, indicating that different GAG signatures can exist at different basement membranes, thus questioning a role for FHL-1 at the kidney basement membrane (61).

In contrast to proteoglycan layers, which constitute the basement membranes and heavily rely on the soluble complement regulators in plasma, human cell plasma membranes express a mix of membrane-bound complement regulators, including, for example, CR1 (CD35), MCP (CD46), DAF (CD55) and/or CD59, and hence the soluble complement regulators function 'only' as an important addition to the membrane tethered regulators on cellular surfaces (2, 62, 63). However, some tumor cell lines were reported to use primarily FH/FHL-1 for complement evasion. H2-glioblastoma cells were shown to upregulate FH and FHL-1 expression, with overall higher expression levels of the splice variant (64). Increased amounts of FHL-1 were also synthesized by the ovarian cell lines SK-OV-3 and Caov-3 and were detected in their direct microenvironment (65). Increased FHL-1 levels could increase local control of complement activation.

Soluble complement regulators are also attractive targets of pathogens that recruit regulators to their surface to evade the complement immune surveillance mechanism. Different

bacteria, fungi, and parasites have been identified to specifically capture FH [reviewed in (66)]. Remarkably, some pathogens preferentially or even exclusively recruit FHL-1 although the amino acid sequence is identical to that of FH, except for the unique four amino acid patch at the FHL-1 C-terminus. One example is the M-protein of some group A *Streptococcus* strains, which was shown to enable binding to the CCP-7 domains of FH/FHL-1 with higher affinity for FHL-1 (11, 67, 68). Other pathogens that recruit or even preferentially recruit FHL-1 for immune evasion are *Plasmodium falciparum* and the spirochete *Borrelia spielmanii*, respectively (69, 70). Moreover, McDowell et al. identified a small surface protein-exposed on *Treponema denticola*, a bacterium involved in periodontal disease, which appears to preferentially bind FHL-1 (71, 72). However, they demonstrated an FI-independent cleavage of C3b and suggested that the purpose of FHL-1 being recruited by *Treponema denticola* is adherence to human cells rather than immune evasion. Such specific adhesive properties for FHL-1 were reported previously by Hellwage et al. (73). Both, FH and FHL-1 bear the amino acid sequence RGD in CCP domain 4, a sequence that is also found in adhesive proteins, including vitronectin and fibronectin (74). In contrast to FH, only FHL-1 could act (when coated on a 'chamber slide') as a matrix for adherence and spreading of the tested cell lines by binding to integrin receptors, potentially allowing effector cells to bind via their integrin receptors to the RGD motif of FHL-1 bound to C3b-opsonized surfaces bridging the humoral and cellular immune responses (73).

## DISCUSSION

Over time, the perception of FHL-1 has changed because several studies showed that the splice variant is a unique molecule with many shared but also some unique properties compared to FH. But even now, the benefit of producing a truncated form of FH is not completely clear. For some specialized tissues, FHL-1 could be a tailor-made fluid phase regulator with specialized properties, for example, being able to diffuse through certain basement membranes. The importance of FHL-1 as a tissue-specific regulator may be supported by its relatively late appearance in evolution, indicating a possible coevolution of this splice variant with a specialized tissue that relies on the protection of FHL-1. An *in-silico* gene analysis revealed that similar gene structures to FH that allow for alternative splicing of a truncated FH version are not found prior to the order of old-world monkeys (14). Another topic of ongoing research, which directly relates to specialized FHL-1 functions, touches on the deregulation functionality of Factor H-related proteins (FHR). Because it lacks the C-terminal CCP domains of FH, FHL-1 was thought to be less prone to deregulation by FH-related proteins than FH. Additionally, although some competition between FHR molecules and FHL-1 for selected functions have been observed (75), in AP serum assays on host cells, the deregulation by FHR-1 was considerably less for the splice variant FHL-1 than for FH (14). However, future studies are

needed to further clarify the impact of deregulation of FH and its splice version by different FHRs. Future insights into how and why certain tissues modulate the splicing rates, and hence the relative expression levels of FH and FHL-1 will be fundamental in understanding the precise physiological role of FHL-1. As to why certain cancer types favor the relative expression of FHL-1 over FH, it can be speculated that by expressing FHL-1 an almost identical level of AP regulation can be achieved by using up much fewer resources, such that the energy for the production of 13 CCPs can be spared, which, however, comes at the expense of selectivity between self and non-self surfaces. To better define the role of FHL-1, it will also be important to identify further compartments that display altered FH/FHL-1 ratios compared to that in systemic circulation. To date, the synovial fluid expression levels of FH and FHL-1 in the settings of rheumatoid arthritis and the Bruch's membrane have been determined (46, 76). Other interesting body fluids in specialized compartments (which may not require as stringent a selectivity for AP regulation as the systemic circulation and hence might benefit from higher FHL-1/FH ratios) might, for example, be the cerebrospinal and the pleural fluids. However, research of FHL-1 remains challenging. Its low solubility in phosphate-buffered saline complicates the daily handling of

many standard laboratory assays (13, 14). The absence of FHL-1 in mice and thus the lack of the opportunity to investigate the functional consequences of engineered FHL-1 knock-out mice further complicate the characterization and importance of the FH splice variant FHL-1. However, the recent findings that FHL-1 is almost as active in down-regulating the AP as FH, albeit being less selective for host tissues, in conjunction with FHL-1 being selectively expressed at higher levels in certain tissues, underline the unique role for the splice variant FHL-1, which in the future is expected to be more intensely studied and thus be better understood.

## AUTHOR CONTRIBUTIONS

All authors contributed to the article and approved the submitted version.

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- The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.
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