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### Factors driving carbon accumulation in forest biomass and soil organic carbon across natural forests and planted forests in China

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**Introduction:** Forests play a pivotal role within the global carbon cycle. However, how to enhance carbon storage in existing forests remains unclear.

**Methods:** In this study, we conduct a comprehensive analysis of data from 2,948 forest sites across China. Utilizing structural equation modeling (SEM), we investigate the intricate relationship between climate, tree species diversity, stand structure, function traits, initial biomass carbon stocks (BCi), soil organic carbon stocks (Soil C content), and carbon accumulation in biomass ( $\Delta$ BC) and soil organic carbon stocks ( $\Delta$ SOC) within both natural forests (NF, n = 1,910) and planted forests (PF, n = 1,038).

**Results:** Our findings underscore the critical influence of tree species diversity and stand structure drivers of both direct and indirect carbon accumulation, with distinct drivers emerging based on the carbon pools in NF and PF. Specifically, increasing tree species diversity from its minimum to maximum value through management-results in a 14.798 tC/ha reduction in BC and 0.686 tC/ha in SOC in NF. Conversely, amplifies BC and SOC in PF by 0.338 tC/ha and 0.065 tC/ha, respectively. Enhancing stand structure-such as stand density-results in a 231.727 tC/ha reduction in BC and SOC in NF, and a 10.668 tC/ha in BC and 64.008 tC/ha increment in SOC in PF.

**Discussion:** Overall, our results indicate that higher tree species diversity, stand density and age-group limits further carbon accumulation in BC and SOC in current NF. In contrast, low tree species diversity and stand density limits the development of carbon storage potential in planted forests. To enhance carbon sequestration capacity, China should change its current policy of completely banning logging of natural forests. Natural forests need thinning and reduced tree species diversity, while planted forests should increase tree species diversity and stand density.

#### KEYWORDS

biomass carbon, soil organic carbon, carbon accumulation, natural forests, planted forests, China

### 1 Introduction

Enhancing forest carbon stocks through effective management has emerged as a pressing imperative, given that forests constitute the cornerstone of terrestrial carbon sinks (Fang et al., 2001; Cai et al., 2022), accounting for  $\sim$ 80% of carbon in aboveground biomass and 40% in belowground biomass within terrestrial ecosystems, respectively (Carvalhais et al., 2014; Yu et al., 2020). They play a pivotal role in responding to climate change and striving toward the objective of carbon neutrality. China holds the top position globally

in the establishment of planted forests (PFs) (Yu et al., 2019). Since the late 1980s, China has been successfully conducting national afforestation and reforestation programs. This effort has culminated in a total afforested and reforested area of 79.54 million hectares by fostering rapid forest expansion in 2019 (State Forestry Grassland Administration of China, 2021). Remarkably, this expansion has contributed to nearly 44% of the nation's terrestrial carbon sink (Li et al., 2016; Yu Z. et al., 2022). Nonetheless, assessments reveal a crucial consideration: Despite the government's ongoing commitment to expanding its planted areas (with a projected forest cover increase to 26% by 2050) (Ouyang et al., 2016; Lu et al., 2018), the available land suitable for such expansion is limited to  $\sim$ 40 million hectares (Zhang Y. et al., 2022), where only 12% of the afforestation land is of good quality (State Forestry Grassland Administration of China, 2021). Given these finite forest land resources, there exists a constraint on the capacity to enhance carbon storage solely through expanding forested regions. Furthermore, the vast planted forests predominantly consist of fast-growing, short-rotation species such as poplar, larch, and China fir (Yu et al., 2019). The aboveground biomass carbon storage within planted forests only constitutes 19.86% of the total forested area, indicating significant room for enhancement (State Forestry Grassland Administration of China, 2021). Thus, elevating the standards of forest management and adopting strategies for the preservation and efficient utilization of forest resources via scientific and technological innovation will emerge as a pivotal avenue for augmenting China's carbon storage in the forthcoming years.

Since the Protocol (1997) put forward the concept of carbon sink, the relative roles of tree species diversity, stand structure, and function traits in determining carbon stocks are still widely debated (Intergovernmental Panel on Climate Change, IPCC) (Yu G. R. et al., 2022). A large number of conclusions have been mostly built on the foundation of forest aboveground biomass carbon stock experiments and a variety of local-scale ecological mechanisms (e.g., niche complementarity, selection or mass ratio, and competitive exclusion effects) (Ali et al., 2019). When it comes to evaluating tree species diversity, stand structure, and function traits in carbon stocks, the results are mixed. Several studies have shown a high correlation between tree species diversity and carbon stocks (Liu et al., 2018; Shu et al., 2019; Augusto and Boča, 2022; Gamon, 2023; Schuldt et al., 2023; Yu et al., 2023), while others have shown that the relationship between tree species diversity and carbon stocks is weak (Gao et al., 2023), and even the complex stand structure reduces the carbon stocks function of forests (Carol Adair et al., 2018). These diverse outcomes suggest the existence of multiple mechanisms for carbon stocks, such as tree species diversity and stand structure in different carbon pools, which are usually not taken seriously.

Indeed, a major challenge impeding efforts to reconcile these conflicting patterns is that the majority of conclusions have focused on independently considering carbon stored in aboveground biomass (Keith et al., 2021; Schuldt et al., 2023), without acknowledging the fact that carbon stock in different carbon pools varies widely in the intensity of their responses to various variables. In reality, different carbon pool responses to tree species diversity, stand structure, and function traits are different. For instance, tree species diversity decreases carbon stock in forest aboveground and belowground biomass carbon (Carol Adair et al., 2018) and increases soil organic carbon (Chen X. et al., 2023). In addition, most of the studies on carbon focus on carbon stocks that are driven by tree biomass (Hardiman et al., 2011; Ge et al., 2021; Gao et al., 2023; Xu et al., 2023) rather than by carbon accumulation. However, in terms of realizing the goal of carbon neutrality, we pay more attention to the accumulation or carbon sequestration capacity of forests.

In addition, considering forest origin is critical for explaining the different results found across studies. In particular, the role of natural forests (NFs) has been overlooked (Cao et al., 2011), despite the fact that they prevail in China (accounting for 79.14% of the aboveground biomass carbon stocks total forest area in China) (State Forestry Grassland Administration of China, 2021). However, the current research focused more on carbon stocks (as stockage) in natural forests (Lin et al., 2016; Yuan et al., 2018; Ali et al., 2019; Li et al., 2019) and more on carbon flows (carbon sequestration) brought about by the expansion of planted forests, afforestation, and reforestation areas (Lu et al., 2018; Zhang D. N. et al., 2021; Yu Z. et al., 2022). Compared to planted forests, natural forests also contain more species diversity and show more habitat heterogeneity (Gao et al., 2023). Higher tree species diversity and structure complexity in multilayer natural forests may increase microhabitat complexity and niche diversity and promote resource utilization, enhancing forest carbon sequestration capacity (Yu et al., 2019). Higher tree species diversity and structure complexity may also lead to higher respiration and consumption. Conversely, planted forests with highly homogeneous stand structures may intensify competition. Although certain studies indicate greater wood production efficiency in planted forests (Brown et al., 2020; Gao et al., 2023), whether the mechanisms of carbon accumulation differ between natural forests and planted forests remains unclear.

Addressing these concerns is crucial, as changes in carbon stocks, such as gains and losses, are primarily driven by resource utilization and respiratory consumption. For example, increases in biomass carbon stocks mainly drive stand growth (Yang et al., 2023), while losses in biomass carbon stocks mainly drive tree death, coarse woody debris, roots, and litter decomposition (Harmon et al., 2020). Conversely, increases in soil organic carbon stocks mainly drive coarse woody debris, roots, and litter decomposition, while losses in soil carbon stocks mainly drive the decomposition and consumption of soil organic carbon (Nottingham et al., 2020). Carbon sink functionality in forest management may need to be balanced between biomass carbon and soil organic carbon. Forest soils also play a crucial role in responding to climate change and striving toward the objective of carbon neutrality, storing at least three times more carbon than what is found in biomass carbon (Tang et al., 2018; Chen X. et al., 2023). As a result, tree species diversity and variations in stand structure are associated with habitat heterogeneity (Yu et al., 2019). These factors may contribute to increased variability in light and moisture, thereby promoting resource utilization as well as the decomposition and transformation of coarse woody debris, roots, and litter. Furthermore, if these driving mechanisms originate from forests of different origins, such as natural forests and planted forests, the outcomes could be entirely contradictory. High species



diversity and complex stand structure may enhance coarse woody debris, roots, and litter decomposition by increasing microbial diversity. These unclear mechanisms make forest management difficult, especially the improvement of carbon storage.

In this study, we assessed the contribution of different origins of forests to carbon accumulation in different carbon pools (BC and SOC) across China. We present three hypotheses: (i) Tree species diversity, stand structure, and function traits directly or indirectly influence carbon accumulation and the magnitude of positive or negative depends on the carbon pool (Figure 1); (ii) The role of tree species diversity, stand structure, and function traits is predominantly contingent on the initial carbon storage levels. Higher initial carbon stocks tend to result in these factors that may limit carbon accumulation. Conversely, tree species diversity, stand structure, and functional traits are more likely to promote carbon accumulation when initial carbon storage levels are low; (iii) Tree species diversity, stand structure, and functional traits negatively correlated with carbon accumulation in natural forests, due to high initial carbon stock and stand age. Conversely, in planted forests, these factors enhance carbon accumulation due to their lower initial carbon stocks.

We explored these scenarios with a distinctive dataset encompassing forest communities in both natural forests (NFs) and planted forests (PFs) in China. First, we evaluate the direct and indirect effects of climate, tree species diversity, stand structure, and function traits on changes in biomass carbon ( $\Delta BC$ ) and soil organic carbon ( $\Delta$ SOC) stocks. Second, we assess whether higher initial BC and SOC limit carbon accumulation, and whether tree species diversity, stand structure, and functional characteristics limit carbon accumulation in the context of high initial carbon stocks. More specifically, as NFs and PFs belong to two different types of forest origins, NFs show more species diversity, structure complexity, and higher initial carbon stock. Carbon accumulation in NFs and PFs may show different responses to tree species diversity, stand structure, and function traits. NFs and PFs may require different forest management strategies to achieve the goal of carbon gain. Thus, we examine these questions by comparing the pattern observed for NFs and PFs, respectively. Finally, to provide guidance for effective forest management and balance between BC and SOC, we calculate the net gain or loss of total carbon stocks within the observed span of a specific variable. We have given different management suggestions for forests of different carbon pools and different origins in different regions of China.

### 2 Materials and methods

### 2.1 Study area and field data collection

For this study, we employed data that are publicly accessible from the National Earth Science Data Center, National Science & Technology Infrastructure of China (NESSDC, http://www. geodata.cn), spanning a substantial portion of China's forested terrain on a multi-biome scale. All plots were established and monitored between 2013 and 2017 following consistent ground sampling guidelines outlined in accordance with LY/T3128-2019 (The Regulations for Classification, Survey, and Mapping of the Forest Vegetation, Forestry Industry Standard of the People's Republic of China). The dataset underwent filtering based on several criteria: (i) exclusion of plots with missing data for any measured values; (ii) exclusion of sample plots that were imported and extended beyond land boundaries (Wang T. et al., 2023). Each field plot underwent additional filtering based on two criteria: (1) It needed to be situated in a consistently undisturbed site, and (2) it had to accurately represent the respective segment of vegetation formation or sub-formation (Wang T. et al., 2023). Overall, plots that met these criteria were distributed across the temperate region (TR, n = 1,606), subtropical region (SR, n = 946), Alpine region (AR, n = 259), and tropical region (TR<sub>2</sub>, n = 137) (Figure 2; Supplementary Figure 1).

In this dataset, natural forest (n = 1,910) was defined as forests resulting from natural seeding, artificial promotion of natural regeneration, or natural germination; and planted forest (n =1,038) was defined as forests formed through various seeding



methods, such as planting seedlings, direct seeding, or airplane seeding (Figure 2; Supplementary Figure 2). Surveys of vegetation were carried out during the periods of peak vegetation cover from July to October. In 2,948 plots measuring 0.067 ha<sup>2</sup>, all tree species with a diameter at breast height (DBH)  $\geq 5$  cm were numbered, tagged, and measured for DBH, height, and canopy width. For trees with a DBH < 5 cm and H > 2 m, the tree species name, DBH, and height were investigated. For trees with H < 2 m, the main species names, number of trees, average height, and average ground diameter were recorded. In addition, survey plots for understory woody vegetation (2 × 2 m) and herbaceous plants (1 × 1 m) were randomly placed within each permanent plot for species identification and to measure the average height and coverage of both woody and herbaceous plants.

## 2.2 Changes in biomass and soil organic carbon stocks

Initial aboveground and belowground biomass carbon stocks for 2013 and change in forest aboveground biomass carbon stocks ( $\Delta$ AGBC) and belowground biomass carbon stocks ( $\Delta$ BGBC) data for 2013–2017 were obtained from the National Tibetan Plateau Data Center (NTPDC,https://data.tpdc.ac.cn/) with a spatial resolution of 1 × 1 km (Chen Y. et al., 2023). Given the correlation of  $\triangle AGBC$  and  $\triangle BGBC$ , we sum AGBC and BGBC as initial biomass carbon stocks (hereafter  $BC_i$ ) and sum  $\triangle AGBC$ and  $\triangle$ BGBC as biomass carbon accumulation (hereafter  $\triangle$ BC), (Supplementary Figure 3). Initial soil carbon stocks (hereafter Soil C content) for 2013 and change in organic carbon stocks (hereafter  $\Delta$ SOC) data for 2013–2017 were obtained from the NESSDC (http://www.geodata.cn) with a spatial resolution of  $1 \times 1 \text{ km}$ (Zhao et al., 2021; Xie et al., 2022) (Supplementary Figure 3). Additionally, we obtained the mean annual precipitation (MAP), mean annual temperature (MAT), and mean annual potential evapotranspiration (PET) from the NESSDC (http://www.geodata. cn) at a resolution of  $1 \times 1$  km for the period of 2000–2020. Due to the high correlations among climate variables (MAT, MAP, and PET) (r = 0.782-0.945) (Supplementary Figure 4), we conducted principal component analysis (PCA) (Chu et al., 2019; Chen et al., 2021) and used the score of the first axis to represent climate (explaining 90.0% of the variation) (Supplementary Figure 5).

# 2.3 Quantization of tree species diversity, stand structure, and functional traits

In this study, the diversity of tree species (referred to as  $\alpha$ -Tree) was assessed based on the number of tree species present within each sample plot (Wang T. et al., 2023). We defined

five stand structure variables, including age group (hereafter Age, classification criteria according to Supplementary Tables 1, 2 and Supplementary Figure 6 and number of sample plots for each age group see Supplementary Table 3), stem abundance (hereafter SA, represents stand density, individual ha<sup>-1</sup>), crown density (hereafter CD), mean DBH (hereafter m-DBH, Penone et al., 2019), and tree diameter size variation (hereafter CV-DBH, computed as the coefficient of variation of DBH within sample plot, Chu et al., 2019; Wang T. et al., 2023). We employed two primary functional traits to characterize functional diversity: the stand structural complexity index (hereafter FD<sub>SSCI</sub>, defined by the extent of heterogeneity in leaf area and biomass, scaling by sample plot, and SSCI data are sourced from Ehbrecht et al., 2021; available at https://zenodo.org/records/4295910), canopy height (hereafter FD<sub>CH</sub>, scaling by sample plot, and CH data are obtained from Liu et al., 2022; available at https://3decology.org/dataset-software/). Specifically, the  $\alpha$ -Tree is linked to changes in the composition and availability of resources (light, water, and soil nutrients) and an enhancement in horizontal and vertical space diversity (Penone et al., 2019; Wang T. et al., 2023). Therefore, the α-Tree is anticipated to increase niche diversity and resource-use efficiency. Tree species diversity also exerted more pronounced effects on the functioning of forests with soils possessing greater nutrient and water-holding capacities (Ratcliffe et al., 2017). Substantial differences in light transmittance can arise among various tree species, and there are also variations in water uptake by roots (Barbier et al., 2008; Wang T. et al., 2023). The photosynthetic carbon sequestration capacity and environmental adaptability of different tree species are also different, resulting in differences in carbon allocation between the aboveground and belowground parts, which affects the soil carbon sequestration function (Oostra et al., 2006). Roots of different tree species secrete specific compounds that affect soil microbial community diversity, soil quality, and microclimate within the soil, thereby affecting soil carbon sequestration (Bárta et al., 2017; Florio et al., 2021). The stand structure SA is closely related to forest aboveground biomass, associated with canopy density, and has the potential to reduce by canopy packing (Chiang et al., 2016), thereby impacting competitive intensity and resource utilization (Zhang Y. et al., 2021). The CD is closely related to the transmission of light and interception of water, consequently affecting resource availability and distribution, and resource-use efficiency (Curtis and Gough, 2018). The m-DBH affects both the chemical and structural properties of the soil (Dupouey et al., 2002; Wang T. et al., 2023). The CV-DBH, usually defined as stand structure complexity (Ali et al., 2016, 2019) and expected to boost niche diversity, can also impact the variation of stand microclimate (Wang T. et al., 2023). The function traits FD<sub>SSCI</sub> seeks to measure the distribution of canopies in three-dimensional space. This quantification represents spatial patterns of the canopy in threedimensional space (Ehbrecht et al., 2021), closely tied to variations in the availability of resources (Barbier et al., 2008). The FD<sub>CH</sub> is a crucial factor in estimating forest biomass and has the potential to enhance niche diversity (Liu et al., 2022; Wang T. et al., 2023).

### 2.4 Statistical analysis

We added a linear mixed-effects model to the piecewise structural equation model (SEM) (Jin et al., 2022) to evaluate the direct and the indirect effects of climate, tree species diversity, stand structure, and function traits on carbon accumulation ( $\Delta BC$ and  $\Delta$ SOC), considering climate gradients as random effects. We eliminated collinearity among climate factors and the diversity of tree species, stand structure, and function traits through separate analyses (Supplementary Figures 7, 8) and excluded variables highly correlated with others (Pearson's r > 0.60) by conducting a principal component analysis (PCA) (Supplementary Figure 5) (Chu et al., 2019; Wang T. et al., 2023). Hence, one climate variable (MAP), tree species diversity, five stand structure features (Age, SA, CD, m-DBH, and CV-DBH), and two functional diversity factors (FD<sub>SSCI</sub> and FD<sub>CH</sub>) were included in the SEM. We also used the corresponding initial BC and SOC stocks (BCi and Soil C content) for assessing changes in biomass and soil carbon stocks. To ensure comparability of model coefficients, we standardized both the response variables and predictors using the z-score (with an overall mean of 0 and a standard deviation of 1) prior to analysis (Penone et al., 2019; Chen et al., 2021; Zhang R. et al., 2022). Model evaluation by the criteria: (1) pathway significance (p < 0.05) and satisfactory fit (P > 0.05) and (2) the goodness of fit of the model [the chi-square test ( $\chi^2$ ) (P > 0.05) and  $0 \le Fisher's C'df \le 2$ ]. Finally, We removed paths with non-significant associations (P >0.05) in SEMs that exhibited satisfactory model fit and then reevaluated the model fit (Chu et al., 2019; Wang H. et al., 2023). Similar to a previous study (Carol Adair et al., 2018), we computed the gain or loss in biomass carbon and soil organic carbon stocks (via direct and indirect effects) by multiplying the unstandardized effect size by the range of that variable (Supplementary Table 4) (Chen X. et al., 2023).

The analyses of this study were conducted in the RStudio 4.2.3 environment (R Core Team, 2023). The SEM analysis was performed using the "piecewiseSEM 2.1.0" package (Lefcheck, 2016), and LMM analysis was implemented using the "nlme 3.1-162" (Pinheiro et al., 2021). All of these results were visualized with the "ggplot2" package (Wickham, 2016) in the RStudio 4.2.3.

### **3** Results

## 3.1 Change in biomass carbon stocks ( $\Delta$ BC)

The structural equation model (SEM) for changes in forest biomass carbon stocks in both natural forests ( $\Delta BC_{NF}$ ) and planted forests ( $\Delta BC_{PF}$ ) exhibited a strong fit with the data ( $\Delta BC_{NF}$ stock: P = 0.68, Fisher's C/df < 2;  $\Delta BC_{PF}$  stock: P = 0.38, Fisher's C/df < 2) (Figures 3A, C). Both  $\Delta BC_{NF}$  and  $\Delta BC_{PF}$ stocks were influenced by tree species diversity and stand structure, although climate and carbon background conditions (BC<sub>i</sub> and Soil C content) had distinct effects on  $\Delta BC_{NF}$  and  $\Delta BC_{PF}$ . Specifically, tree species diversity ( $\alpha$ -Tree) exhibited a direct and negative correlation with  $\Delta BC_{NF}$ , with a total effect size of -0.036



Structural equation model showing the effects of climatic, tree species diversity, and stand structure on forest biomass carbon accumulation in natural forests ( $\Delta BC_{NF}$ , n = 1,910, **A**, **B**) and planted forests ( $\Delta BC_{PF}$ , n = 1,038, **C**, **D**). (**A**, **C**) Path diagrams of factors influencing changes in  $\Delta BC$ —the black and red arrows represent significant positive and negative pathways, respectively. The path coefficients are denoted by bold numbers, and the width of the arrows reflects the strength of the relationship; the significance levels are indicated as follows: p < 0.001 (\*\*), p < 0.01 (\*\*), and p < 0.05 (\*). (a2, b2) Summed direct and indirect effects. (**B**, **D**) Standardized effect size of variables influencing changes in  $\Delta BC$ —the red dotted line is the reference line, indicating that the effect is 0.

(Figures 3A, B; Supplementary Figure 9). Conversely,  $\alpha$ -Tree had an indirect positive correlation with  $\Delta BC_{PF}$ , with a total effect size of 0.012 (Figures 3C, D; Supplementary Figure 9). The Age and stem abundance (SA) were positively correlated with  $\Delta BC_{PF}$ , with total effect sizes of -0.015 and -0.043, while negatively correlated with  $\Delta BC_{NF}$ , with total effect sizes of 0.015 and 0.004. The mean DBH (m-DBH), crown density (CD), and functional traits of stand structure complexity index (FD<sub>SSCI</sub>) had an indirect impact on  $\Delta BC_{PF}$  but showed no correlation with  $\Delta BC_{NF}$ . In both NFs and PFs, the initial biomass carbon (BC<sub>i</sub>) was directly negatively correlated with  $\Delta$ BC, with total effect sizes of -0.142 in NFs and -0.095 in PFs (Figure 3; Supplementary Figure 9). Based on the SEM results, increasing  $\alpha$ -Tree from its minimum to maximum value (Supplementary Table 4) led to a decrease of -13.034 tC/ha in  $\Delta$ BC<sub>NF</sub> and an increase of 1.443 tC/ha in  $\Delta$ BC<sub>PF</sub>, increasing SA from its minimum to maximum value led to a decrease of -231.727 tC/ha in  $\Delta$ BC<sub>NF</sub>, and an increase of 10.668 tC/ha in  $\Delta$ BC<sub>PF</sub>, increasing BC<sub>i</sub> from its minimum to maximum value led to a decrease of -13.889 tC/ha in  $\Delta$ BC<sub>NF</sub> and an increase of -6.067 tC/ha in  $\Delta$ BC<sub>PF</sub> (Table 1).

Origin	Predictor	Effect	Standardized r	Unstandardized r	C gain
NF	BCi	Direct	-0.142	-0.142	
		Total	-0.142	-0.142	-13.889
	a-Tree	Direct	-0.118	-0.118	
		Indirect	-0.036	-0.036	
		Total	-0.151	-0.151	-14.798
	SA	Indirect	-0.043	-0.043	
		Total	-0.043	-0.043	-231.727
PF	BCi	Direct	-0.095	-0.084	
		Total	-0.095	-0.084	-6.067
	a-Tree	Indirect	0.012	0.026	
		Total	0.012	0.026	0.338
	SA	Indirect	0.004	0.003	
		Total	0.004	0.003	10.668
	m-DBH	Indirect	-0.007	-0.006	
		Total	-0.007	-0.006	-0.399
	CD	Direct	0.163	0.137	
		Total	0.163	0.137	0.110
	FD <sub>SSCI</sub>	Direct	-0.009	-0.007	
		Total	-0.009	-0.007	-0.034

TABLE 1 Standardized and unstandardized effect sizes and the changes of forest above ground biomass carbon stock (t C/ha) in natural ( $\Delta BC_{NF}$ , n = 1,910) and planted forests ( $\Delta BC_{PF}$ , n = 1,910).

BC<sub>i</sub>, initial biomass carbon stocks; α-Tree, tree species diversity; SA, stem abundance; m-DBH, mean DBH; CD, crown density; FD<sub>SSCI</sub>, stand structure complexity index.

# 3.2 Change in soil organic carbon stocks ( $\Delta$ SOC)

The structural equation model (SEM) for changes in forest soil organic carbon stocks in both natural forests ( $\Delta SOC_{NF}$ ) and planted forests ( $\Delta SOC_{PF}$ ) also demonstrated a strong fit with the data ( $\triangle$ SOC<sub>NF</sub> stock: P = 0.19, Fisher's C/df < 2;  $\triangle$ SOC<sub>PF</sub> stock: P = 0.63, Fisher's C/df < 2) (Figures 4A, C). The  $\alpha$ -Tree indirectly exhibited a negative correlation with  $\Delta$ SOC<sub>NF</sub>, with a total effect size of -0.004 (Figures 4A, B; Supplementary Figure 10). Conversely, the  $\alpha$ -Tree had an indirect positive correlation with  $\Delta SOC_{PF}$ , with a direct effect size of 0.001 (Figures 4C, D; Supplementary Figure 10). The Age and SA indirectly exhibited a negative correlation with  $\Delta SOC_{NF}$ , with a total effect size of -0.032 and -0.022 (Figure 4B; Supplementary Figure 10). Conversely, the Age and SA have an indirect positive correlation with  $\Delta SOC_{PF}$ , with a direct effect size of 0.005 and 0.015 (Figure 4D; Supplementary Figure 10). In comparison with  $\Delta BC_{NF}$ , the tree diameter size variation (CV-DBH) and m-DBH negatively correlated with  $\Delta SOC_{NF}$  but not positively correlated with  $\Delta BC$ , with total effect sizes of -0.001and -0.091, respectively. In comparison with  $\Delta BC_{PF}$ , the m-DBH and FD<sub>SSCI</sub> show a positive correlation with  $\Delta$ SOC<sub>PF</sub> (effect size is 0.009 and 0.012), while the effect size in  $\Delta BC_{PF}$ is -0.007 and -0.009. Overall, in both NFs and PFs, the initial biomass carbon (BCi) was directly positively correlated with  $\triangle$ SOC, with total effect sizes of 0.087 in NFs and 0.130 in PFs (Figure 4; Supplementary Figure 10). Soil C content shows a negative correlation with  $\Delta$ SOC but a positive correlation with  $\Delta$ BC. Based on the SEM results, increasing  $\alpha$ -Tree from its minimum to maximum value (Supplementary Table 4) resulted in a decrease of -0.686 tC/ha in  $\Delta$ SOC<sub>NF</sub> and an increase of 0.065 tC/ha in  $\Delta$ SOC<sub>PF</sub> (Table 2), increasing SA from its minimum to maximum value led to decreases of -231.727 tC/ha in  $\Delta$ SOC<sub>NF</sub> and 64.008 tC/ha in  $\Delta$ SOC<sub>PF</sub> stocks, increasing BC<sub>i</sub> from its minimum to maximum value led to decreases of 8.607 tC/ha in  $\Delta$ SOC<sub>NF</sub> and 11.485 tC/ha in  $\Delta$ SOC<sub>PF</sub> stocks.

### 4 Discussion

In contrast to conventional experiments that solely take into account independent carbon pools or stocks, we drive that biomass carbon and soil organic carbon accumulation provides large-scale evidence that tree species diversity, stand structure, and function traits in diffident carbon accumulation play different roles. More importantly, these direct or indirect, positive or negative effects were different in natural forests and planted forests, which enhanced our capacity to predict the outcomes of changes in carbon stocks via management across a large scale. Overall, this study highlights the diffident management need to be implanted in different carbon pools in natural forests and planted forests for carbon stock gain and carbon neutrality.



Structural equation model showing the effects of climatic, tree species diversity, and stand structure on forest soil organic carbon accumulation in natural ( $\Delta SOC_{NF}$ , n = 1,910, **A**, **B**) and planted forests ( $\Delta SOC_{PF}$ , n = 1,038, **C**, **D**). (**C**, **D**) Path diagrams of factors influencing changes in  $\Delta SOC$ —the red and black arrows represent significant positive and negative pathways, respectively. The path coefficients are denoted by bold numbers, and the width of the arrows reflects the strength of the relationship, where positive values are represented in black for and negative values are indicated in red font, the significance levels are indicated as follows: p < 0.001 (\*\*\*), p < 0.01 (\*\*), p < 0.05 (\*). (**B**, **D**) Standardized effect size of factors influencing changes in  $\Delta SOC$ —the red dotted line is the reference line, indicating that the effect is 0.

# 4.1 Tree species diversity and stand structure play multiple roles in carbon accumulation across diffident carbon pool

Various local-scale ecological mechanisms, including niche complementarity, selection, mass ratio, and the effects of competitive exclusion, have been suggested to clarify the connection between biodiversity and carbon stocks (Poorter et al., 2015; Ali et al., 2016, 2019). Our findings align with these conclusions, highlighting that tree species diversity and stand structure play significant roles in carbon storage. These results can be extrapolated to a broader context, encompassing all of China, where tree species diversity and stand structure are positively correlated with initial biomass carbon stocks (BC<sub>i</sub>) (Chen et al., 2018). Nevertheless, our research indicates that tree species diversity and stand structure may diminish the carbon accumulation of biomass carbon in natural forests ( $\Delta BC_{NF}$ ). Consistent with our hypothesis, initial BC<sub>i</sub> displays a negative correlation with carbon accumulation in BC and a positive correlation with soil organic carbon (SOC). This leads us to postulate that the adverse relationship between tree species diversity, stand structure, and BC accumulation is intertwined

Origin	Predictor	Effect	Standardized r	Unstandardized r	C gain
NF	BCi	Direct	0.087	0.088	
		Total	0.087	0.088	8.607
	a-Tree	Indirect	-0.009	-0.007	
		Total	-0.009	-0.007	-0.686
	SA	Indirect	-0.022	-0.043	
		Total	-0.022	-0.043	-231.727
	CV-DBH	Indirect	-0.001	-0.001	
		Total	-0.001	-0.001	-0.269
	m-DBH	Indirect	-0.091	-0.083	
		Total	-0.091	-0.083	-6.256
PF	BCi	Direct	0.130	0.159	
		Total	0.130	0.159	11.485
	a-Tree	Indirect	0.001	0.005	
		Total	0.001	0.005	0.065
	SA	Indirect	0.015	0.018	
		Total	0.015	0.018	64.008
	m-DBH	Indirect	0.009	0.011	
		Total	0.009	0.011	0.852
	CD	Direct	0.048	0.056	
		Total	0.048	0.056	0.045
	FD <sub>SSCI</sub>	Direct	0.012	0.014	
		Total	0.012	0.014	0.069

TABLE 2 Standardized and unstandardized effect sizes and the changes of forest soil organic carbon (t C/ha) in natural ( $\Delta$ SOC<sub>NF</sub>, n = 1,910) and planted forests ( $\Delta$ SOC<sub>PF</sub>, n = 1,038).

CV-DBH, tree diameter size variation.

with China's unique forest management policy. Since 1998, China has been implementing comprehensive static logging practices in its natural forests, fostering forest restoration and ecological succession (Jing et al., 2021). However, in light of the predominant tree species in China's natural forests, many forests have now reached middle age (China State Forestry and Grassland Administration, 2019; Xia et al., 2023). Data show that the proportion of middle-aged natural forests is 36% (Supplementary Table 3). The net carbon gain in the forest rises swiftly during young age, reaches its peak in middle age, and subsequently declines in old age (Ryan et al., 2004; Fonseca et al., 2011; Goulden et al., 2011; Shang et al., 2023). As stand age increases, stand growth slows down (Tang et al., 2014) and BC accumulation levels remain stagnant or even become a carbon source (Shang et al., 2023). Yue and Li (2021) concluded that the largest BC in China's natural forests is found in middle-aged forests, He et al. (2017) concluded that the BC sequestration rate of China might reach its maximum around 2020, and Shang et al. (2023) concluded that it would reach the maximum in 2025. Additionally, a portion of the BC is released into the atmosphere, while the rest enters the soil through coarse woody debris, roots, and litter, eventually becoming sequestered in the soil organic layer (Zhu et al., 2017; Curtis and Gough, 2018; Basile-Doelsch

et al., 2020; Fan et al., 2023). These conclusions are corroborated by our findings, which demonstrate that higher BC<sub>i</sub> positively correlates with SOC accumulation. In this process, higher tree species diversity and a more intricate stand structure facilitate the conversion of BC into SOC. Elevated stand density intensifies competition and mortality rates (Zhang et al., 2020) and increased stand density also increases tree diversity (Chu et al., 2019), thereby enhancing the likelihood of BC-to-SOC conversion. On the other hand, stand density is positively related to initial BC storage levels. High stand density often means high initial BC storage levels. Building upon these insights, we recommend reducing BCi and stand density through selective logging practices, sequestering this BC fraction in wood products. Simultaneously, we propose actively promoting understory regeneration to encourage more BC to serve as carbon sinks. This measure not only aids the biomass carbon gains of natural forests but also involves planting tree species in the advanced successional stage within the forest. Some top-tier tree species, such as Pinus koraiensis and genetically improved tree species, exhibit a longer biomass carbon storage duration.

Forest soils play a vital role in capturing atmospheric carbon, accounting for 82.9% of carbon storage in China's terrestrial ecosystems, exceeding 16.5% of carbon stored in biomass (Tang

et al., 2018). Recent research by Chen X. et al. (2023) suggests that tree species evenness increases forest soil carbon accrual in the mineral soil horizon, and tree species diversity has no significant effect on carbon accumulation in the organic horizon in natural forests. Our findings are different from this conclusion, highlighting that tree species diversity and stand structure are negatively related to soil carbon accumulation in the organic soil horizon in natural forests. In contrast, in our study, high tree species diversity and stand density demonstrated a positive correlation with initial SOC stocks. However, consistent with our hypothesis, initial SOC stocks were negatively correlated with SOC accumulation, as elevated SOC levels inhibited further carbon accumulation. Additionally, initial SOC storage exhibits a negative correlation with precipitation. High precipitation leading to increased tree species diversity (Chu et al., 2019) and stand structure complexity (Ehbrecht et al., 2021), intensifies microorganism-driven decomposition, further amplifying SOC decomposition (Averill et al., 2014; Chen et al., 2018; Tang et al., 2018; Li B. et al., 2023). This collective evidence demonstrates that climate indirectly influences the conversion of BC to SOC through the effect of increasing species diversity and stand structure complexity, ultimately bolstering SOC accumulation. Notably, this effect of the conversion of BC to SOC has overshadowed the respiration and consumption of SOC. With higher tree diversity and complex stand structures, microorganisms are more effective at the decomposition of coarse woody debris, roots, and litter (Frey et al., 2014; Tao et al., 2023). However, higher tree species diversity and structure complexity may also lead to higher respiration and consumption of SOC. Increasing stand density increases the conversion potential of BC to SOC. However, increased stand density also increases tree diversity (Chu et al., 2019), which results in enhanced respiration and consumption of SOC. Therefore, we recommend selective logging in natural forests for both biomass carbon and soil organic carbon accumulation. Furthermore, we recommend focusing more on soil organic carbon in the north and northwest China (Supplementary Figure 4), where the carbon sequestration in soil duration is longer due to the colder and drought climate (Muñoz et al., 2023). In addition, given the lower initial carbon stocks in north and northwest China (Supplementary Figure 3), this region has greater SOC sequestration potential. In contrast, in regions with abundant precipitation (Supplementary Figure 4) and BC sequestration potential in east and south China (Peng et al., 2023), it is advisable to focus more on biomass carbon accumulation. It must be noted that our study only considered soil surface organic carbon. The above results may become inconsistent for SOC in deeper soil layers. In addition, soil carbon is also stored in other ways, such as minerals. The mechanisms influencing deep SOC and other forms of carbon gains require further study.

# 4.2 The relationship between tree species diversity, stand structure, and carbon accumulation in both NFs and PFs

Examining the disparity in carbon accumulation capacity between natural and planted forests is essential for promoting sustainable forest management. In comparison with natural forests, we observed positive correlations in tree species diversity, stand structure, BC, and SOC accumulation in planted forests. This result is consistent with those of Huang et al. (2018), Augusto and Boča (2022), and Feng et al. (2022). Our results also demonstrate that stand age (Age) is positively correlated with BC and SOC accumulation in planted forests and negatively correlated with BC and SOC accumulation in natural forests. When comparing planted forests to natural forests, the dataset reveals that planted forests have a lower tree species diversity and stand density (Wang Y. et al., 2023). Moreover, a substantial portion-40.72%-of China's planted forests is comprised of young-aged forests (Tang et al., 2018; Li X. et al., 2023). Finally, planted forests show a lower initial BC stock, the aboveground BC storage only constitutes 19.86% of the total forested area in China (State Forestry Grassland Administration of China, 2021). This stage is dominated by carbon gain rather than carbon loss (Cheng et al., 2015), the roles of tree species diversity are more pronounced in promoting BC accumulation than facilitating the conversion of BC into SOC. Lower tree species diversity and homogeneous stand structure also may tend to decelerate the conversion of BC into SOC and SOC loss. Therefore, we speculate that the roles of tree species diversity on carbon accumulation are closely correlated with the level of initial BC and SOC stock. In addition, our research highlights that an increase in stand density leads to significant initial BC and SOC accumulation enhancement in PF. Increasing stand density notably intensifies interspecific competition and raises the potential for biomass BC to transform into SOC. However, compared with NFs, PFs have lower stand density and initial carbon storage levels and are mostly in the young-aged stage, which is the accumulation stage of BC (Peichl and Arain, 2006; Cheng et al., 2015). Increased stand density also increases BC accumulation. Therefore, we infer that the role of stand density in carbon accumulation depends primarily on the initial carbon storage level. When carbon storage levels are initially low, species diversity and stand structure play more positive roles. Overall, PFs should increase tree species diversity and stand density for carbon stock gain. Furthermore, our results indicate that initial BC and SOC levels are related to stand age, similarly in NFs and PFs. It shows that stand age is an important stand structure indicator in the process of forest management (Ouyang et al., 2019). To achieve the goals of carbon gains and carbon neutrality, different stand ages may require different forest management strategies, which requires further research. Finally, in comparison with NFs, tree species diversity is more significantly influenced by afforestation and less affected by climate. As a result, our model does not consider the influence of climate on tree species diversity in PFs.

Obtaining large-scale vegetation functional traits can be challenging, such as nitrogen content per leaf dry mass, phosphorus content per leaf dry mass, and specific leaf area. In this study, we focused on two functional traits: the Stand Structure Complexity Index (FD<sub>SSCI</sub>) and Canopy Height (FD<sub>CH</sub>). FD<sub>SSCI</sub> represents the heterogeneity of the three-dimensional spatial distribution of leaf area and leaf biomass, scaling by sample plot (Ehbrecht et al., 2021;

Ray et al., 2023), while FD<sub>CH</sub> serves as the potential maximum height, scaling by sample plot (Liu et al., 2022). We found that functional traits of FD<sub>SSCI</sub> negatively influenced BC accumulation and positively influenced SOC accumulation in PFs, whereas there was no significant effect in NFs. The relationship between function traits and carbon accumulation could be associated with "canopy packing" (Ren et al., 2021), a strategy that maximizes the utilization of space (Chiang et al., 2016). High canopy packing promotes initial biomass carbon storage. Our results also demonstrate that canopy density (CD) showed a positive correlation with BC and SOC accumulation in PFs, whereas there was no significant effect in NFs. Increased canopy density (CD) also leads to a higher canopy packing (Xu et al., 2018), consequently leading to higher initial BC storage. The initial BC storage promotes the accumulation of SOC but limits the accumulation of BC. Compared with functional traits, canopy density not only promotes initial BC but also directly promotes BC accumulation when initial carbon stock levels are low in PFs. Therefore, we speculate that the roles of canopy density on carbon accumulation are closely correlated with the level of initial BC and SOC stock. In conclusion, our findings suggest that higher tree species diversity, stand density, and canopy density equally stimulate the accumulation of both BC and SOC in PFs. Our results also show that the average diameter (m-DBH) had a negative correlation with BC accumulation and a positive correlation with SOC accumulation in PFs. On the one hand, the average diameter of the tree reflects the stand age (Dupouey et al., 2002), which is related to the initial carbon storage level (Stephenson et al., 2014; Meakem et al., 2018; Piponiot et al., 2022). Generally speaking, the larger the average diameter of a tree, the higher the initial biomass carbon storage level (Hauck et al., 2023). Consistent with our hypothesis, these results demonstrate our hypothesis that the role of tree species diversity and stand structure variables in the carbon accumulation process mainly depends on the initial carbon storage level. These findings suggest that, when managing existing forests to achieve carbon-increasing goals, careful consideration of the forest's initial carbon storage level is necessary. Previous research has indicated that a forest with initially high carbon storage may not exhibit a high carbon sink potential (Baker et al., 2019; Peng et al., 2023). Our research further demonstrates that in cases of high initial carbon storage, tree species diversity and stand structural characteristics, such as stand density and canopy density, may also have a negative impact, further diminishing the forest's carbon sink potential. However, a comprehensive exploration of the maximum carbon sink potential in established forest lands still requires further study. Conversely, when carbon storage levels are initially low, we can enhance the forest's carbon sink capacity by increasing tree species diversity and stand density.

### 5 Conclusion

Our findings illustrate the impact of tree species diversity, stand structure, and functional traits on carbon accumulation across various carbon pools in forests of different origin types on a national spatial scale. These very important findings underscore that various carbon pools respond differently to distinct tree species diversity, stand structure, and function traits in natural forests and planted forests. Consistent with our hypothesis, increasing tree species diversity is beneficial for the accumulation of biomass carbon and soil organic carbon in planted forests. However, the higher tree species diversity may limit the accumulation of biomass carbon and soil organic carbon in natural forests in the context of high initial carbon stocks. Furthermore, our results indicate that high stand density may limit further carbon accumulation in biomass carbon and soil organic carbon in natural forests in the context of high initial carbon stocks. In contrast, low stand density may limit the development of carbon storage potential in planted forests in China. These differentiated results raise our awareness that different management strategies also need to be implemented in natural forests and planted forests. To enhance carbon sequestration capacity, China should change its current policy of completely banning logging of natural forests. Natural forests need thinning and reduced tree species diversity, while planted forests should increase tree species diversity and stand density. In the northeast and southwest forest regions of China, the primary consideration should revolve around SOC in NFs. Conversely, in the south and east regions of China, the primary focus should be on BC in PFs

### Data availability statement

The original contributions presented in the study are included in the article/Supplementary material, further inquiries can be directed to the corresponding author.

### Author contributions

TW: Data curation, Formal analysis, Writing—original draft. LD: Conceptualization, Writing—review & editing. ZL: Funding acquisition, Writing—review & editing.

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### Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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### Supplementary material

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/ffgc.2023. 1333868/full#supplementary-material

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