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# Responses of ecosystem water use efficiency to drought in the Lancang–Mekong River Basin

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The ecosystem water use efficiency (WUE), a crucial indicator of how climate change will affect terrestrial ecosystems, depicts the coupling of the carbon gain and water loss in terrestrial ecosystems. In this study, the spatiotemporal variations in the WUE and its responses to drought in the Lancang–Mekong River Basin (LMRB) from 1982 to 2018 were investigated using the gross primary productivity (GPP) and evapotranspiration (ET) data acquired from the Global Land Surface Satellite (GLASS) products. The analyses revealed that: (1) the mean yearly WUE for the LMRB was 1.63g C kg<sup>-1</sup> H<sub>2</sub>O, with comparatively higher values in forests and warm temperate climatic types. The interaction of temperature and leaf area index was the main factor affecting the spatial distribution of WUE. The yearly WUE for the entire region exhibited a decreasing trend with a rate of -0.0009g C kg<sup>-1</sup> H<sub>2</sub>O·yr<sup>-1</sup>, and the spatially significantly decreasing area accounted for 41.67% of the total area. (2) The annual WUE was positively correlated with drought in the humid regions, accounting for 66.55% of the total area, while a negative relationship mainly occurred in the high-altitude cold region. (3) The ecosystem WUE lagged behind the drought by 3 months in most regions. The lag effect was more apparent in the grassland-dominated upstream region and the cropland-dominated Mekong Delta. (4) The resilience analysis revealed that the ecosystems in forests and temperate climate types were strongly resistant to drought, while the grassland and high-altitude regions with a dry and cold climate had relatively poor resilience. The results of this study shed light on how the WUE responds to drought across diverse land use types, climate types, and elevation gradients, uncovering fresh insights into the potential mechanisms behind the impact of drought on water and carbon cycles within ecosystems.

## KEYWORDS

water use efficiency, drought, lagged effect, ecosystem resilience, Lancang–Mekong River Basin

## 1. Introduction

Drought is a common hydroclimatic hazard and has unfavorable consequences for terrestrial ecosystems (Mishra and Singh, 2010; Vicente-Serrano et al., 2020). Drought has been recognized as a critical component in the circulation between terrestrial water and carbon (Gentine et al., 2019), affecting soil evaporation, plant transpiration, and carbon absorption at the ecological scale (Liu et al., 2019; Yang et al., 2021). Climate model projections suggest that as a consequence of climate change, the frequency and intensity of droughts will increase (Dai, 2012; Su et al., 2021) and are expected to pose extensive and adverse impacts on ecosystem carbon and water exchange (Huang et al., 2016; Gentine et al., 2019; Zhang et al., 2020). The water use efficiency

(WUE) is calculated as the rate of carbon assimilation during photosynthesis to water loss via transpiration, and it is a crucial metric of the coupling of carbon and water in the ecosystem. The ratio of the evapotranspiration (ET) to the gross primary production (GPP) at the ecosystem scale can be used to determine the WUE (Huang et al., 2016; Kang and Kang, 2019). Knowledge of drought impacts on WUE is essential for elucidating how terrestrial ecosystems respond to climate change (Yi and Jackson, 2021; Zhao et al., 2021; Zhou et al., 2021).

The WUE has been extensively inspected on the basis of site measurements (Acuna et al., 2015; Medrano et al., 2015; Lin et al., 2018; Duan et al., 2020). Scientists have examined the spatial variability of the WUE in various regions using network eddy covariance measurements (Zhu et al., 2015; Zhao et al., 2021). However, due to the restricted observations of the eddy covariance flux towers, an accurate estimation of the ecosystem WUE at the global scale remains difficult (Yang et al., 2020). Recent research has shown that process-based ecosystem models and remote sensing methods provide new tools to help us comprehensively understand the WUE dynamics on regional and even global scales (Wei et al., 2019; Yang et al., 2020, 2021). For example, the moderate resolution imaging spectroradiometer (MODIS), breathing Earth system simulator (BESS), Penman-Monteith-Leuning model (PML), Global Land Surface Satellite (GLASS), and global land evaporation Amsterdam model (GLEAM) have been extensively utilized to assess the WUEs of regional or global terrestrial ecosystems (Liu et al., 2019; Xu et al., 2019; Zhao A. et al., 2020; Measho et al., 2021; Yang et al., 2021).

WUE variations are strongly affected by various biotic (i.e., leaf area index and vegetation types) and abiotic factors (i.e., precipitation, temperature, and radiation) (Liu et al., 2015). The influencing factors of WUE had significant spatial heterogeneity and varied with the time scales. Li et al. (2023) employed structural equation modeling (SEM) to investigate the influences of WUE in a subtropical evergreen forest in southeastern China and found that WUE responded significantly to soil moisture (SM) at different time scales (from half-hourly to monthly scales). Qin et al. (2023) used geographic detector modeling (GDM) to discover that land surface temperature (LST) had the strongest driving effect on WUE in Central Asia, and temperature (TEM) had the largest interaction effect with vegetation cover. Nandy et al. (2022) used a random forest (RF) algorithm to reveal that TEM is the main driver of the WUE in Indian forests.

Drought may simultaneously alter both components of the WUE (the GPP and ET) and is therefore regarded as one of the most influential abiotic variables affecting the WUE (Zhao A. et al., 2020). The impacts of drought on ecosystem WUE vary according to the vegetation types, drought severity, and dry and wet zones (Zhao J. et al., 2020). According to Yang et al. (2021), over 50% of the vegetated regions worldwide have positive correlations between the WUE and drought, whereas the negative correlations basically were distributed in the high-latitude areas. Yang et al. (2016) discovered that there are strong negative relationships between the WUE and the drought index in dry areas, while sub-humid and semi-arid zones have positive relationships. This discovery is in line with the results of Huang et al. (2017), who demonstrated that the WUE in wet ecosystems often exhibited both a positive and negative response to drought, while the WUE in dry ecosystems frequently exhibited a negative response to

drought. However, Guo et al. (2019) reported a positive correlation between the WUE and the standardized precipitation and evapotranspiration index (SPEI) in subarid China. In areas with a high humidity, the response of the WUE drought indices was found to be both positive and negative.

The core concept for determining how an ecosystem responds to a major disturbance is based on resilience (Yi and Jackson, 2021). The ecosystem resilience mainly describes the state changes in the ecosystem before and after the response to interference (Ingrisch and Bahn, 2018). Malone et al. (2016) quantified the resilience of the ecosystem to drought by comparing the changes in the WUE in normal years and under drought conditions. Their results revealed that the arid ecosystem in California has more drought resistance than the high-productivity ecosystem in the northern part of the state. Sharma and Goyal (2018a) introduced a resilience index and found that many of India's river basins were insufficiently resilient to drought disturbances. Guo et al. (2019) reported that certain ecosystems in northeastern, central, and southwestern China demonstrated relatively high levels of resilience based on the dimensionless resilience index developed by Sharma and Goyal (2018a). In addition, Liu et al. (2019) further assessed the WUE resilience to drought in China in several dimensions, including the grid cell, land use, climate type, river basin, and province.

The Lancang–Mekong River Basin (LMRB) is the largest cross-border river basin in Southeast Asia, and is unparalleled in terms of its biodiversity and ecosystem services. Drought events have been more common in the LMRB during the last few decades. Examples of years with extreme droughts that threatened water and grain security include 1992–1993, 1998–1999, 2003–2005, 2010–2011, 2015–2016, and 2019–2020 (Guo et al., 2017; Tran et al., 2019). Recent research indicates that both meteorological and hydrological drought will intensify in the near future (Li Y. et al., 2021). Nonetheless, there is a lack of comprehensive analysis regarding how the WUE responds to drought occurrences, as well as a deficiency in research pertaining to ecosystem resilience to drought, which is the primary natural disaster in the LMRB. Therefore, understanding the impacts of drought on the WUE is imperative for managing drought risks and minimizing uncertainties in forecasting future terrestrial carbon sequestration. We evaluated the response of the WUE to drought in the LMRB from 1982 to 2018 utilizing remote sensing and model-based data. The particular aims of this study were (1) to investigate the spatiotemporal changes in the GPP, ET, and WUE from 1982 to 2018; (2) to explore how the WUE responded to drought and the ecosystem resilience; and (3) to examine the changes in WUE and ecosystem resilience across different land use types, climate types, and elevation gradients.

## 2. Materials and methodology

### 2.1. Study area

The Lancang–Mekong River (LMR) has the distinction of being the longest river in Southeast Asia. It originates on the Tibetan Plateau, flows approximately 4,880 km to the southeast, and eventually empties into the South China Sea. The LMRB drains a total land area of 810,000 km<sup>2</sup> within the six counties of China, Myanmar, Laos, Thailand, Cambodia, and Vietnam. The basin comprises several different areas that are differentiated by their diverse topography,

drainage patterns, and geomorphology (Mekong River Commission, 2005). The topography fluctuates greatly in elevation and decreases from the northwest to the southeast. The climate characteristics of the LMRB transition from a high-altitude continental and temperature climate in the upper part of the basin to a tropical monsoon climate in the lower part. The annual precipitation ranges from 600 to 3,000 mm, with the precipitation mainly occurring from May to October. The mean annual temperature across the entire region exceeds 14°C.

## 2.2. Data

Both the GPP and ET datasets were obtained from the GLASS product-generating system<sup>1</sup> (Zhao et al., 2013; Liang et al., 2021). For estimating the monthly and yearly WUE, we utilized the GLASS GPP and ET data with a resolution of 0.05° and a temporal interval of 8 days, covering the period from 1982 to 2018. The monthly 0.05° precipitation (PRE) datasets from 1982 to 2018 were obtained from the Climate Hazards Group InfraRed Precipitation with Station (CHIRPS) data (version 2.0) (Funk et al., 2015). The monthly potential evapotranspiration (PET) data for 1982–2018 was obtained from the GLEAM<sup>2</sup> (version 3.5a) (Martens et al., 2017). The PET datasets underwent uniform interpolation to a 0.05° grid and were subsequently aggregated to a monthly time scale for consistency with the resolution of the CHIRPS data.

In addition, the influencing factors of WUE in this study included leaf area index (LAI), photosynthetically active radiation (PAR), vapor pressure deficit (VPD), SM, PRE, TEM, and relative humidity (RH). Specifically, the LAI and PAR were obtained from MOD15A2H V6 level 4 and MCD18C2 Version 6.1, respectively (Knyazikhin et al., 1999; Myneni et al., 2015; Wang D. et al., 2020). The SM and RH were obtained from ERA5-Land and ERA5 reanalysis datasets, respectively (Muñoz Sabater, 2019; Hersbach et al., 2023). The VPD was calculated using RH and TEM (Jiao et al., 2019; Li et al., 2023). These datasets were processed into annual values with a spatial resolution of 0.05° for the period from 2003 to 2018.

Other ancillary data included land use type data procured from the MODIS MCD12C1 products<sup>3</sup> (Broxton et al., 2014), the latest global map of Köppen–Geiger climate types (Peel et al., 2007), and a digital elevation model (DEM) obtained from the Shuttle Radar Topography Mission (SRTM) data.<sup>4</sup> The spatial distributions of the elevation, land use, and climate types are shown in Figure 1. Details about the definitions of land use and climate types are shown in Table 1.

## 2.3. Methodology

### 2.3.1. WUE

At the ecosystem scale, the WUE can be calculated as follows (Yang et al., 2016):

$$WUE = \frac{GPP}{ET}, \quad (1)$$

where WUE stands for the water use efficiency (unit: g C kg<sup>-1</sup> H<sub>2</sub>O); and GPP and ET represent the gross primary production (unit: g C m<sup>-2</sup>) and evapotranspiration (unit: mm), respectively.

### 2.3.2. Drought index

The SPEI is a commonly employed metric for assessing drought conditions and provides insights into the spatiotemporal variability of drought. The computation of the SPEI is founded upon the water balance approach, necessitating the utilization of PRE and PET as the primary input parameters (Vicente-Serrano et al., 2010). The distinct features across various time-scales can be characterized by the SPEI owing to its incorporation of the sensitivity of the Palmer drought severity index (PDSI) to alternations in the evaporation demand and the variable time-scale features of the standardized precipitation index (SPI) (Vicente-Serrano et al., 2010). The CHIRPS PRE and GLEAM PET data with 0.05° resolution and monthly resolutions from 1982 to 2018 were employed to calculate the SPEI (Jiang et al., 2021). We used the 1-month (January to December) and 12-month SPEI for December to represent the monthly and annual drought conditions, respectively. In addition, a threshold of  $-1$  was selected to indicate drought conditions.

### 2.3.3. Ecosystem resilience index

The analysis of resilience was conducted utilizing the WUE as the metric for evaluating the ecosystem's response to drought (Sharma and Goyal, 2018a,b). The identification of drought was initially conducted using the SPEI, with the selection of the worst drought year for the per pixel being determined using the SPEI-12. The ratio  $R_d$  of the WUE during the worst drought year ( $WUE_d$ ) to the average yearly WUE ( $WUE_m$ ) is defined as follows:

$$R_d = \frac{WUE_d}{WUE_m}. \quad (2)$$

The non-dimensional parameter  $R_d$  provides an assessment of the ecosystem resilience based on the value categories (Table 2).

### 2.3.4. Statistical analysis

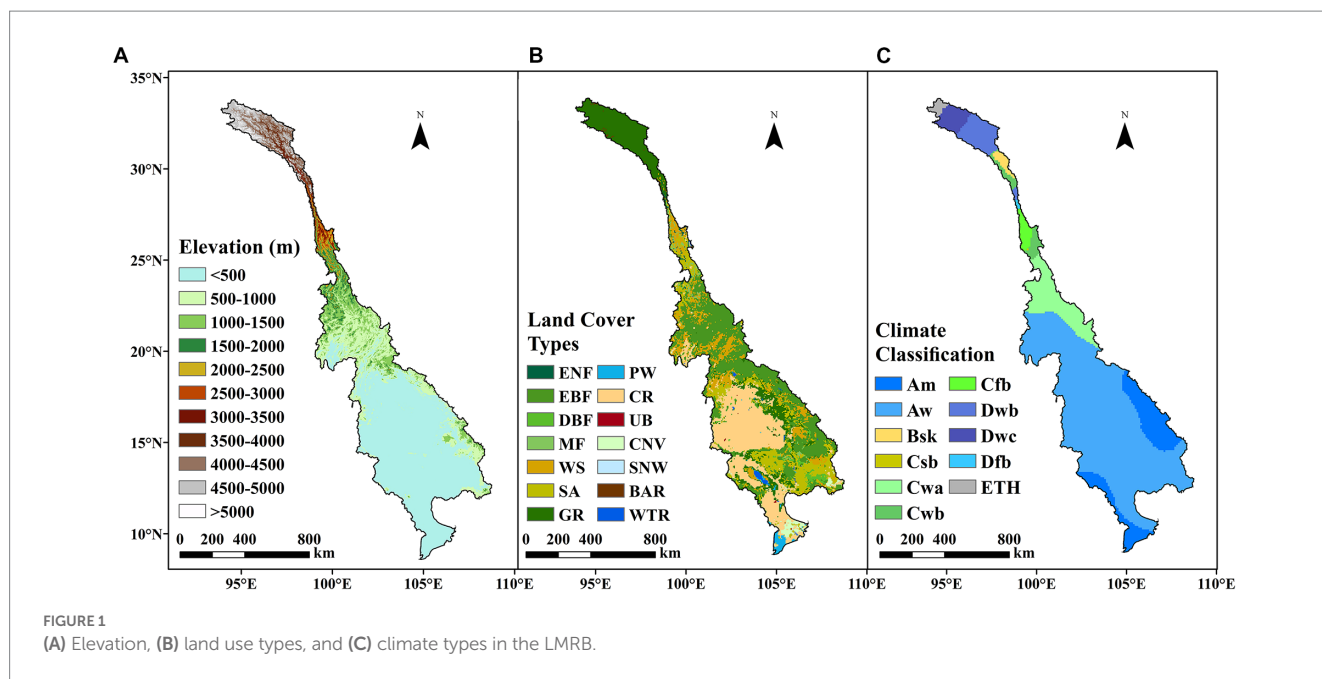
The Mann–Kendall (MK) trend analysis is commonly employed to test trends in climate and vegetation data as it does not necessitate adherence to normality assumptions of the data series (Kendall, 1948). In this study, the modified MK (Wang et al., 2019) was employed to ascertain the trends in the GPP, ET, and WUE during 1982–2018. The significant trends (5% level) were evaluated. In addition, Sen's slope analysis was performed to detect any alterations in the trend of the time series (Sen, 1968). The GDM (Wang et al., 2010; Song et al., 2020), a tool for quantifying the heterogeneity of spatial stratification, was applied to quantitatively analyze the potential effects of different factors (i.e., PRE, TEM, SM, RH, VPD, PAR, and LAI) on the spatial distribution of WUE over the LMRB. The GDM includes factor, interaction, risk, and ecological detectors (Wang et al., 2010). In this study, we employ the factor detector to explore the contributions of different factors to WUE, and the interaction detector to reveal the joint contribution of two factors to WUE. Furthermore, we conducted Pearson correlation analysis to examine the relationship between the

1 <http://www.glass.umd.edu/>

2 <https://www.gleam.eu>

3 <https://lpdaac.usgs.gov/products/mcd12c1v006/>

4 <https://earthexplorer.usgs.gov/>



time series of the SPEI and WUE. To investigate the lagging impacts of drought on the WUE, the Pearson correlation coefficients (PCCs) between the SPEI-1 and monthly WUE for different lag windows (0–12 months) were calculated, and the lag window with the maximum Pearson correlation coefficient (MPCC) was taken as the lag time (LT) (Ji et al., 2021).

### 3. Results

#### 3.1. Spatiotemporal variations in GPP, ET, and WUE

The fluctuations in the annual GPP, ET, and WUE during 1982–2018 are illustrated in Figure 2. The yearly GPP of the terrestrial ecosystems exhibited great variations, ranging from 1679.79 to 1883.58 g C m<sup>-2</sup>, and the mean value over multiple years was 1790.77 g C m<sup>-2</sup> (Figure 2A). There was a slight upward trend in the yearly GPP with a rate of 0.65 g C m<sup>-2</sup>·yr<sup>-1</sup>. During the study period, the ET exhibited a significant upward trend with a rate of 1.98 mm·yr<sup>-1</sup>, and its multi-year mean annual value was approximately 1070.81 mm (Figure 2B). The annual WUE exhibited a non-significant decreasing trend with a rate of -0.0009 g C kg<sup>-1</sup> H<sub>2</sub>O·yr<sup>-1</sup> for the entire study period, with a mean rate of 1.63 g C kg<sup>-1</sup> H<sub>2</sub>O and a variation range of 11.54–11.79 g C kg<sup>-1</sup> H<sub>2</sub>O (Figure 2C).

Figures 3, 4 shows spatial distribution and temporal trends, respectively, of the yearly GPP, ET, and WUE over the study period across the LMRB. From 1982 to 2018, the average yearly GPP and ET of the terrestrial ecosystems in the LMRB exhibited large spatial variability, and this is attributed to the impacts of the soil, vegetation, and climate types, which exhibited considerable gradients throughout the basin. The high annual GPP values mainly occurred in Yunnan Province, Laos, and northeastern Cambodia, while the low value of annual GPP values primarily occurred in the source of the LMRB on the Qinghai–Tibet Plateau, the Khorat Plateau in Thailand, and the flood plain of Tonle Sap Lake in Cambodia, and the Mekong Delta in Vietnam (Figure 3A). Most of the areas in Laos and Cambodia

exhibited significant decreasing trends in the annual GPP during 1982–2018, while the annual GPP exhibited significant increasing trends in the source of the basin on the Qinghai–Tibet Plateau, the southern Yunnan, the Khorat Plateau in Thailand, and the Mekong Delta in Vietnam (Figure 4A). The spatial pattern of the annual ET exhibited a clear decreasing trend from south to north. Higher ET values occurred in the downstream region with high temperatures and a humid climate. In contrast, lower ET values occurred in the upstream region with a cold and dry climate (Figure 3B). Approximately 94.76% of the total area exhibited an increasing ET trend (Figure 4B). The spatiotemporal variation pattern of the annual WUE basically agreed with that of the GPP (Figures 3C, 4C). The higher annual WUE values (2–3 g C kg<sup>-1</sup> H<sub>2</sub>O) were principally distributed in Yunnan Province and Laos, while the low values (0–1 g C kg<sup>-1</sup> H<sub>2</sub>O) primarily occurred in the river source region on the Qinghai–Tibet Plateau, the Khorat Plateau in Thailand, and the flood plain of the Tonle Sap Lake in Cambodia, and the Mekong Delta in Vietnam. Regarding the entire area, 18.26% of the study area exhibited a significant increase in the WUE, while 41.67% exhibited a significant decrease (Figure 4C). It should be noted that the highland area in northern Laos exhibited a significant decreasing trend in the yearly WUE, which was mainly due to the increase in the ET.

The influencing factors of the spatial distribution of WUE were quantified by using GDM. All of the factors in Figure 5 have passed the significance test of 0.01. The larger the Q-value, the higher the contribution to WUE. Figure 5 indicates that TEM (Q = 0.80) was the most important factor affecting the spatial distribution of WUE in the LMRB, followed by VPD (Q = 0.78). In addition, TEM and LAI were the most significant interaction factors affecting WUE in the LMRB.

#### 3.2. Spatial and temporal variations in droughts

To investigate the temporal progression of droughts, a Hovmöller diagram of the SPEI was constructed for 1982–2018, using time scales

TABLE 1 List of land use types and Köppen–Geiger climate types over LMRB with their full title and abbreviation.

Elements	Full title	Abb.	Full title	Abb.
Land use types	Evergreen needle-leaf forest	ENF	Permanent wetlands	PW
	Evergreen broadleaf forest	EBF	Croplands	CR
	Deciduous broadleaf forest	DBF	Urban and built-up	UB
	Mixed forests	MF	Cropland/natural vegetation mosaic	CNV
	Woody savannas	WS	Snow and ice	SNW
	Savannas	SA	Barren or sparsely vegetated	BAR
	Grasslands	GR	Water	WTR
Köppen–Geiger climate types	Tropical–monsoon climate	Am	Temperate–without dry season–warm summer climate	Cfb
	Tropical–savannah climate	Aw	Cold–dry winter–cold winter climate	Dwd
	Arid–steppe–cold climate	Bsk	Cold–dry winter–cold summer climate	Dwc
	Temperate–dry summer–warm summer climate	Csb	Cold–without dry season–warm summer climate	Dfb
	Temperate–dry winter–hot summer climate	Cwa	Polar–tundra climate	ETH
	Temperate–dry winter–warm summer climate	Cwb		

ranging from 1- to 12-month (Figure 6A). Overall, the cumulative impacts of the SPEI became more visible with longer wet and dry periods as the time scale increased. The SPEI exhibited a discernible alternation between the periods of aridity and humidity. Several persistent drought episodes were identified in this study: 1982–1984, 1994–1996, 2004–2005, 2009–2010, and 2015–2016. Inter-annual variations in the extent of the drought-affected regions within the LMRB were observed between 1982 and 2018 (Figure 6B). In general, the area affected by drought accounted for more than 50% of the total area during 1983, 1994, 1998, and 2016. It is noteworthy that an impressive 75% of the region was affected during the drought event in 2015–2016. The drought areas were larger before 2000 than after 2000. During the 37-year study period, the drought frequency varied from 5.41 to 29.73% in the LMRB, with an average value of 17.31% (Figure 6C). In general, the drought-prone areas were mainly distributed in the upstream and midstream region of the LRB, northern Laos, and eastern Cambodia, where the drought frequency was greater than 22%. In addition, compared with the lower Mekong River Basin (MRB), the LRB was more vulnerable to the droughts.

### 3.3. Responses of the WUE to drought

In Figure 7A, the PCC between the annual WUE and SPEI is shown in terms of the spatial distribution. It can be seen that the PCC ranged from  $-0.7828$  to  $0.5818$  across the LMRB, and approximately 10.39% of the entire area demonstrated a significant correlation, suggesting a robust response of the WUE to the drought. The negative correlations mainly occurred in the upstream region with a high-altitude cold climate, indicating that the WUE strengthened under drought conditions. The positive correlations were widely distributed in the lower LRB and the lower MRB with humid climates. The regions with significant positive correlations were concentrated in Laos, Thailand, and Cambodia, suggesting that drought weakened

the WUE in these regions. Figure 7B shows the LT of the WUE's response to drought. As shown in Figure 7B, drought had a significant lagged effect on the WUE in the LMRB. The LT was between 1 and 11 months, with clear spatial distribution patterns. In over 68.18% of the total study area, the WUE lagged behind the drought by 3 months. In the areas located upstream of the LRB and the Mekong Delta, the LT was relatively longer, indicating a slow response of the WUE to droughts.

The spatial distribution of the ecosystem resilience is shown in Figure 8. The concept of the ecosystem resilience index ( $R_d$ ) pertains to the extent to which an ecosystem experiences the impact of drought stress and subsequently recovers from it. The higher the  $R_d$  value is, the stronger the resilience of the ecosystem is. It can be seen that 38.90% of the total area was resilient to drought ( $R_d > 1$ ). These regions were mainly found in Laos, suggesting that the ecosystems within this region were able to maintain their productivity by augmenting their WUE when water was scarce. Approximately 40.72 and 12.27% of the total area were slightly or moderately non-resilient, respectively, indicating that the productivity of the ecosystem was affected by drought disturbances. The severely non-resilient ecosystems were predominantly located in the upstream region and the Mekong Delta, accounting for 8.11% of the total area. These ecosystems were delicate and had a hard time adjusting to drought-related disturbances.

### 3.4. Changes in the WUE and ecosystem resilience to the drought at different scales

Figure 9 shows the ET, GPP, WUE, and ecosystem resilience of the different land use types. In terms of the natural vegetation types, it was found that the mean yearly GPP and ET of the EBF (see abbreviations in Table 1) were higher than those of the other forest types, reaching  $2575.71 \text{ g C m}^{-2}\cdot\text{yr}^{-1}$  and  $1257.51 \text{ mm}\cdot\text{yr}^{-1}$ , respectively; however, the

highest mean annual WUE values occurred in the ENF (2.53 g C kg<sup>-1</sup> H<sub>2</sub>O). In contrast to the EBF, the ENF has a smaller leaf area and significantly smaller evaporation, and thus, it had a higher WUE. The mean yearly WUE of the GR (1.35 g C kg<sup>-1</sup> H<sub>2</sub>O) was lower than all forest types. The mean yearly WUEs of the natural vegetation types were ranked as follows: ENF > MF > EBF > WS > SA > DBF > GR. For the other land use types, the mean annual WUE of the PW was low (less than 1 g C kg<sup>-1</sup> H<sub>2</sub>O). This was because the PW had sufficient water and its evapotranspiration was relatively strong. The low values of the WUE observed in the context of the BAR can be attributed to the limited vegetation cover and high evaporation rates (Li G. et al., 2021). Moreover, the LMRB (especially the lower regions) exhibited a high proportion of CR (Figure 1), and its mean yearly WUE (1.09 g C kg<sup>-1</sup> H<sub>2</sub>O) was only higher than that of the PW and the BAR, indicating that food production systems consume a lot of water in comparison to the carbon they gain. Non-productive phases with higher soil evaporation relative to permanent vegetation may also have an impact on CR's lower annual WUE (Tang et al., 2014). The mean annual WUEs of the land use types were ranked as follows: CNV > UB > CR > BAR > PW. During the period of 1982–2018, the annual WUEs of the EBF, DBF, WS, SA, and PW exhibited significant downward trends; however, the GPP values remained largely unchanged, indicating that the decline in the WUE was due to an increase in ET. Additionally, the significant upward WUE trends of the GR, CNV, and BAR were primarily due to the increased GPP. Although the ET and the GPP of the CR both showed a significant increasing trend, WUE showed an insignificant increasing trend. This might be because the areas with more cropland in the lower LMRB tended to have higher air temperatures, which affected the photosynthesis and transpiration of crops at the same time and thus affected the WUE

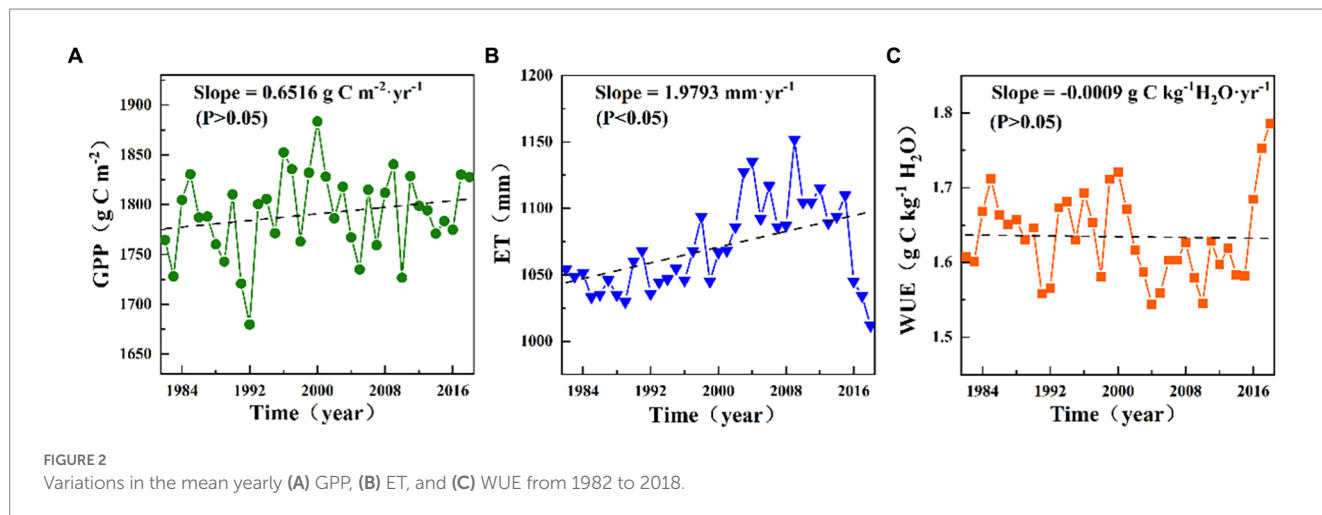
growth trend of crops. The ecosystem resilience of the CNV was greater than 1, indicating that it had a strong drought resistance. The other terrestrial ecosystems in the LMRB were found to be slightly non-resilient.

Figure 10 shows the ET, GPP, WUE, and ecosystem resilience over the different climate types. The annual ET and GPP exhibited obvious differences in the various climate types. The highest ET occurred in the Am (see abbreviations in Table 1) (1246.16 mm), while the lowest ET was observed in the ETH (269.81 mm). The GPP was the highest in the Cwa (2592.82 g C m<sup>-2</sup>), while it was only 221.59 g C m<sup>-2</sup> in the ETH. It was found that the yearly WUE was the highest in the Cfb zone (2.68 g C kg<sup>-1</sup> H<sub>2</sub>O); however, the yearly WUE was low in the ETH (around 0.8 g C kg<sup>-1</sup> H<sub>2</sub>O). The annual WUEs of the climate types were ranked as follows: Cfb > Dfb > Cwa > Cwb > Csb > Bsk > Am > Aw > Dwb > Dwc > ETH. Overall, the WUEs of the warm temperate climates (Cfb, Cwa, Cwb, and Csb) were higher than those of other climate types. The yearly WUE exhibited a significant decreasing trend in the Am, which was ascribed to a significant increase in the ET and a decrease in the GPP. In addition, the annual WUEs of the Bsk, Csb, Cwb, Dwb, Dwc, and ETH exhibited significant increasing trends, which was primarily attributed to the augmentation of the GPP. The ecosystem resilience of the Csb, Cwb, and Cfb was greater than 1, demonstrating the ecosystem's resistance to the drought. However, the ecosystem resilience of the Dwc was only about 0.82, indicating that it was greatly affected by drought and had a poor resilience.

Figure 11 shows the ET, GPP, WUE, and ecosystem resilience in different elevation gradients. Overall, the trends of the ET, GPP, and WUE initially increased and then decreased with increasing altitude. The regions below 2000 m had higher ET values (above 1,000 mm), while the regions above 3,500 m had smaller ET values (below 500 mm). The 1,000–1,500 m elevation areas had the highest yearly GPP (2612.84 g C m<sup>-2</sup>), whereas the areas above 5,000 m has the lowest annual GPP (219.89 g C m<sup>-2</sup>). The 500–3,500 m regions had relatively high WUEs (above 2.0 g C kg<sup>-1</sup> H<sub>2</sub>O), and the lowest (0.69 g C kg<sup>-1</sup> H<sub>2</sub>O) and highest (2.69 g C kg<sup>-1</sup> H<sub>2</sub>O) values occurred in the >5,000 m and 2,500–3,000 m elevation gradient, respectively. The annual WUE in the <1,000 m elevation gradient exhibited a significant decreasing trend, which was primarily caused by the increase in the ET. In contrast, a significant increase in the WUE was occurred in the >3,500 m elevation gradients, which was mainly attributed to the

TABLE 2 The value categories of the Rd. and corresponding implications.

R <sub>d</sub> value	Implication
≥1.0	Resilient
0.9–1.0	Slightly non-resilient
0.8–0.9	Moderately non-resilient
≤0.8	Severely non-resilient



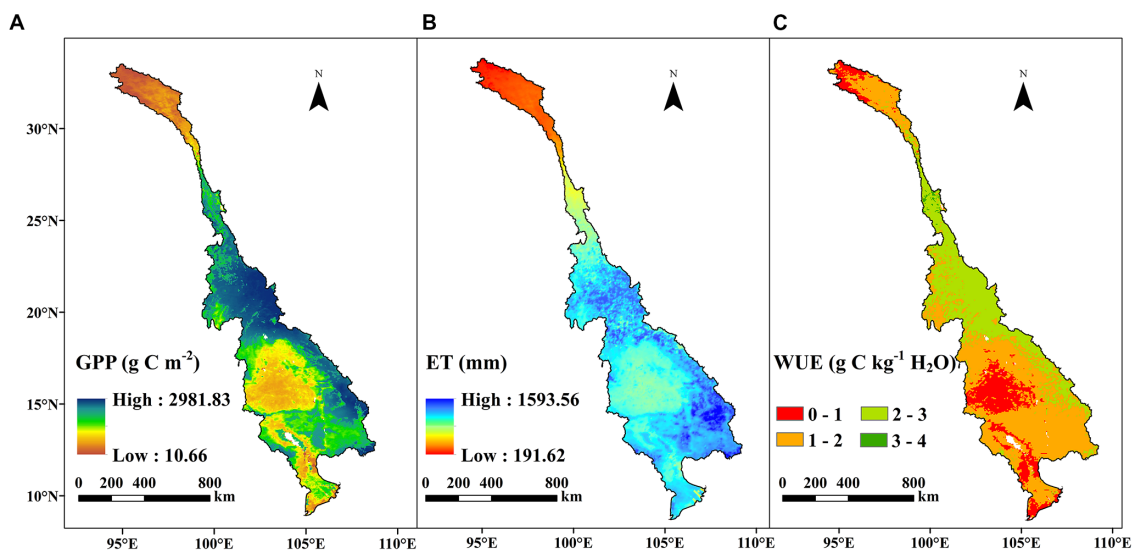


FIGURE 3 Spatial distributions of the mean yearly (A) GPP, (B) ET, and (C) WUE during 1982–2018 across the LMRB.

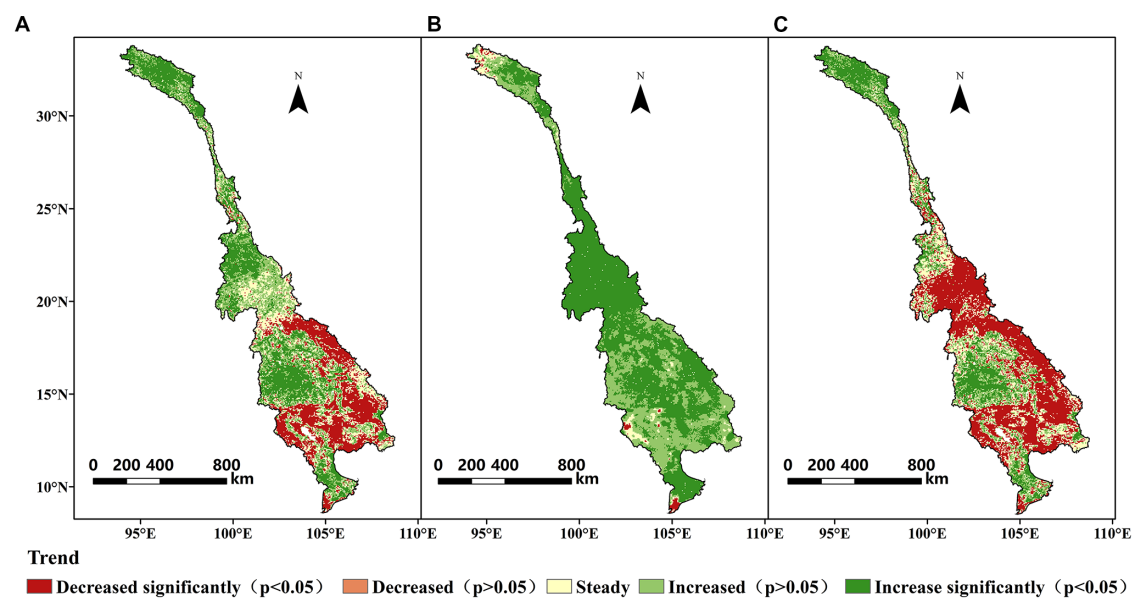


FIGURE 4 Temporal trends of the mean yearly (A) GPP, (B) ET, and (C) WUE during 1982–2018 across the LMRB.

increase in the GPP. With respect to the ecosystem resilience, the areas >5,000 m were severely non-resilient.

### 4. Discussion

On the basis of the GLASS GPP and ET, we estimated that the mean yearly WUE across the LMRB during 1982–2018 was 1.63 g C kg<sup>-1</sup> H<sub>2</sub>O. Using a similar method, Wang L. et al. (2020) and Pokhrel et al. (2021) analyzed the ecosystem WUE of the surrounding regions and found that the multi-year averaged WUEs of Tibetan Plateau and China were 0.65 g C kg<sup>-1</sup> H<sub>2</sub>O and 1.08 g C kg<sup>-1</sup> H<sub>2</sub>O, respectively.

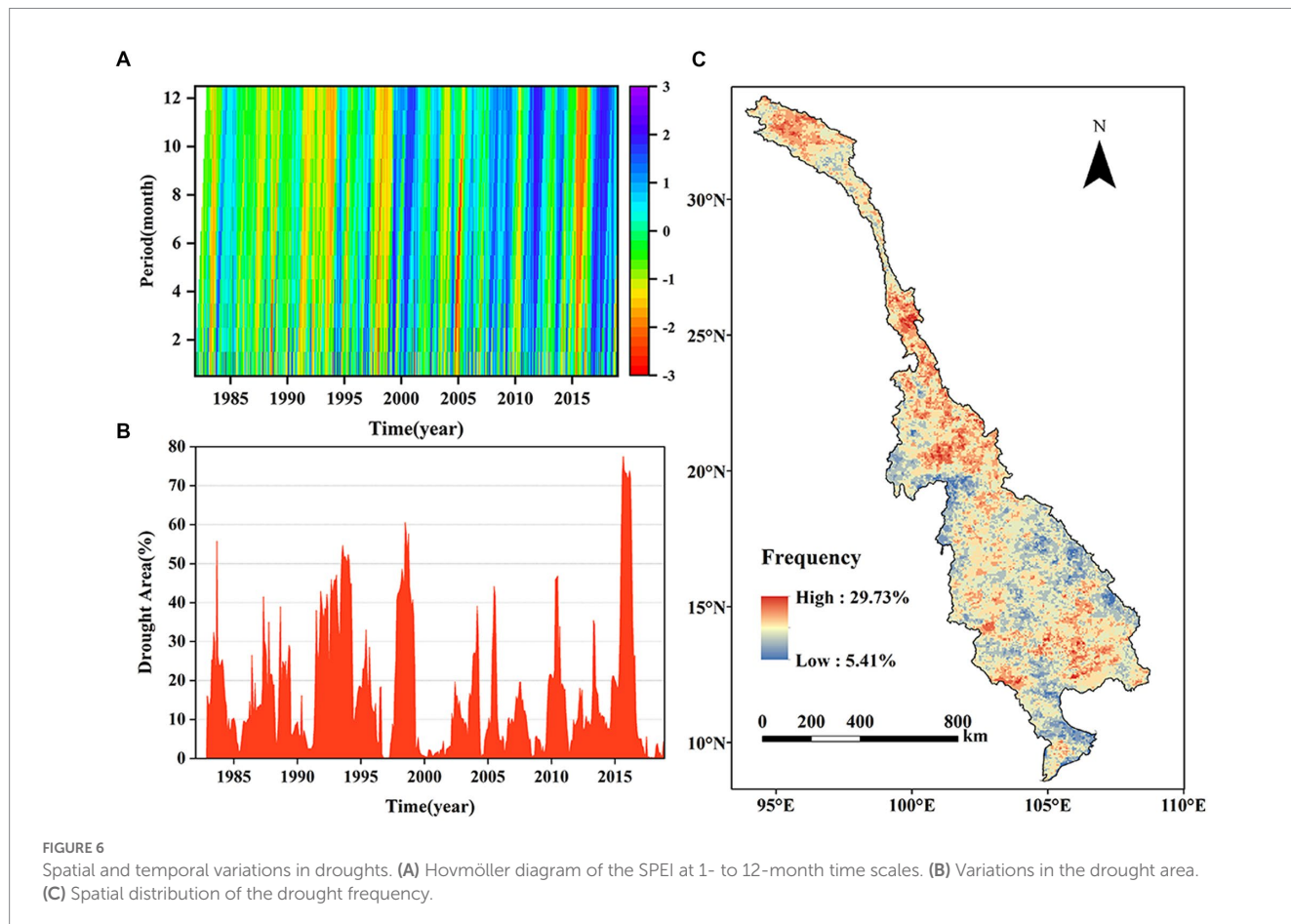
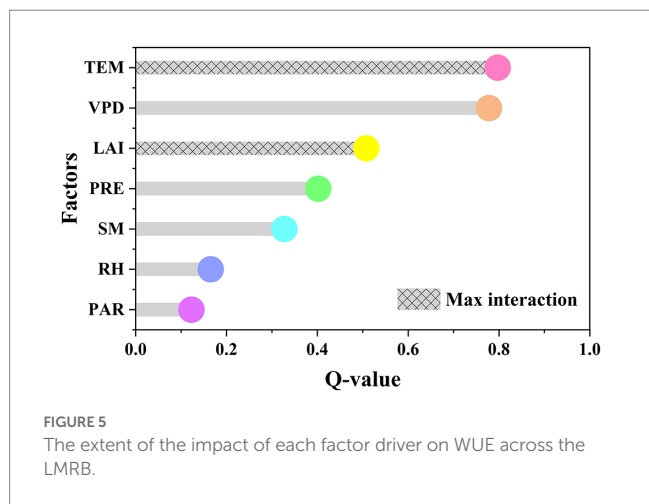
They additionally reported an increase in the WUE over the study period in this region. Contrary to their findings, we found that the yearly WUE in the LMRB declined at a rate of -0.0009 g C kg<sup>-1</sup> H<sub>2</sub>O·yr<sup>-1</sup>. The decline in the WUE in the LMRB was caused by an increase in the GPP, which was largely offset by an increase in ET under global warming.

The findings of our study indicate that TEM and VPD were important factors affecting the spatial distribution pattern of WUE in LMRB (Figure 5). Nandy et al. (2022) found similar results in India, where TEM was the most important driver of the WUE in forests. Jiang et al. (2019) also discovered that these two factors in the Pacific Northwest mainly controlled WUE on some short time scale. The

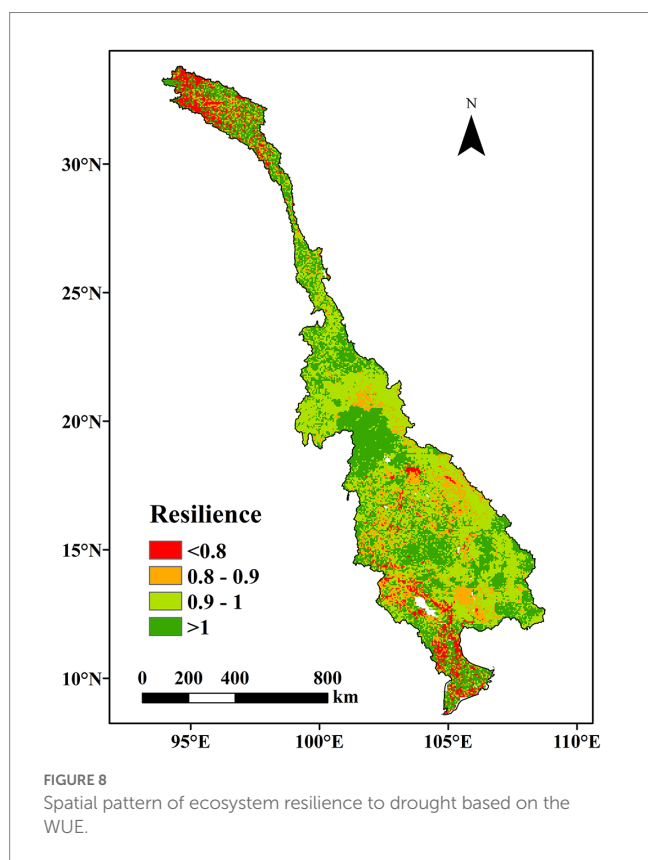
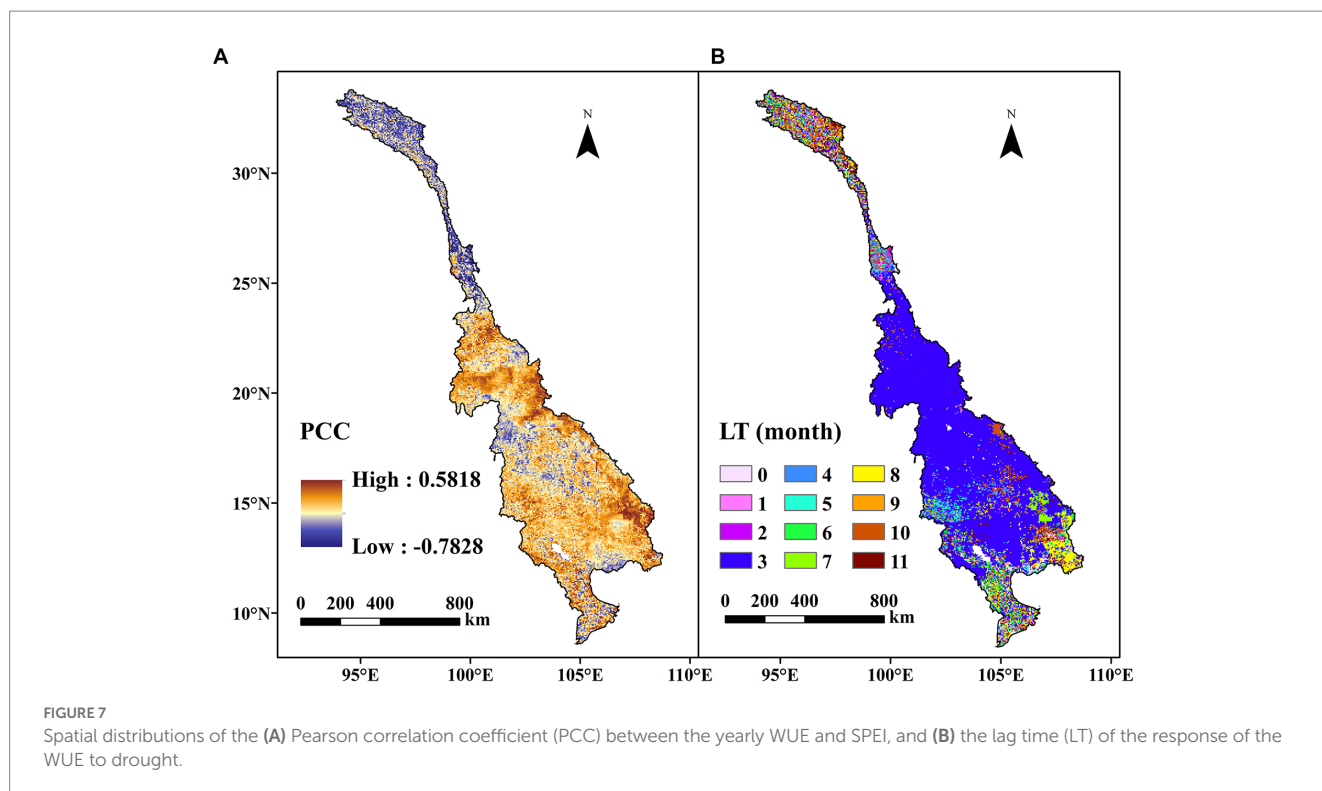
mean yearly WUEs of the various types of vegetative cover differed, with forests having a greater WUE than grasslands and croplands having the lowest WUE (Figure 9). These findings are consistent with the global and Chinese trends of the average yearly WUE reported in prior scholarly works (Tang et al., 2014; Li G. et al., 2021). Nevertheless, other investigations conducted in China have reported that grasslands exhibited the lowest WUE values (Liu et al., 2015; Guo et al., 2019). The variation in the outcomes of these studies may be partially

ascribed to the differences in the environmental circumstances, plant varieties, cultivation regions, and agronomic practices. In addition, the WUEs of the warm temperate climate types (Cfb, Cwa, Cwb, and Csb) were higher, while those of the cold and polar climate types (Dwe, Dwd, and EFH) were lower (Figure 10), which is consistent with previous finding at the global scale (Xia et al., 2015) and in India (Sharma and Goyal, 2018a). In arid ecosystems, the evaporation of water from bare soil, which is not necessarily linked to productivity, has a tendency to reduce the WUE in comparison to more humid regions (Sun et al., 2016). Overall, the WUE exhibited a decreasing trend that initially increased and then decreased with increasing elevation. The highest value occurred in the 2,500–3,000 m elevation gradient ( $2.69 \text{ g C kg}^{-1} \text{ H}_2\text{O}$ ) (Figure 11). These results are consistent with the results of Xue et al. (2015), that is, an inverse connection between the WUE and altitude across a global range of 1,150–5,000 m. Negative correlations were also discovered between the elevation gradient and the WUE in China (Zhu et al., 2015) and India (Nandy et al., 2022). The variations in the vegetation composition and climatic conditions with elevation gradients gave rise to distinct differences in the WUE at low and high elevations (Liu et al., 2020).

The spatial heterogeneity of the correlation between the WUE and drought was noteworthy and was attributable to the diverse vegetation, climate, and underlying surface properties. The positive correlations were mostly observed in the humid climate zones, while the negative correlations primarily occurred in the cold and dry regions (Figure 7). This result is in line with the findings of







previous studies (Yang et al., 2016, 2021; Huang et al., 2017). In high-latitude regions and semi-arid/sub-humid zones, the correlation between the WUE and drought was influenced by the

ET, while in tropical forests, it was mainly determined by the GPP (Yang et al., 2021). Plants in arid areas respond to moisture limitations more rapidly, with larger decreases in the ET than in the GPP, increasing the WUE (Guo et al., 2019). In contrast, plants in humid environments are less able to adjust to drought, so the dry conditions had a larger influence on the plant WUE (Zhao et al., 2021). Additionally, the lagged effect of drought on the WUE has been reported in previous studies (Liu et al., 2015; Yang et al., 2016; Huang et al., 2017; Ji et al., 2021). Our results indicate that the ecosystem WUE lagged behind the drought by 3 months in most regions of the LMRB. The lagged effect was more apparent in the grassland-dominated upstream region and cropland-dominated Mekong Delta. Comparable results were obtained by Liu et al. (2015), who revealed that the lagged influence of drought on the monthly WUE was relatively longer in regions in China that were dominated by farmland and grassland and shorter in regions that were predominantly forested.

Our results show that 38.90% of the total area was resilient to droughts (Figure 8). However, the ecosystem resilience varied with the land use, climate types, and elevation gradients. Regarding land use type, the ecosystem resilience of the CNV exhibited strong drought resistance, while the GR was less resistant to droughts (Figure 9), which is in line with the findings of Tian et al. (2011) and Guo et al. (2019), who reported that grassland is more vulnerable to drought in China and monsoonal Asia, and the main for this reason is that grassland has a low water storage capacity and shallow roots, so it is easily affected by fluctuations in the shallow soil water (Liu et al., 2021; Zhao et al., 2021). Regarding the climate type, the temperate climate types (Csb, Cwb, and Cfb) had a higher resilience, while the ecosystems in the cold and polar climate (Dwc) were severely non-resilient, which is consistent with the findings of Sharma and Goyal (2018a,b). Regarding elevation gradient, the areas above

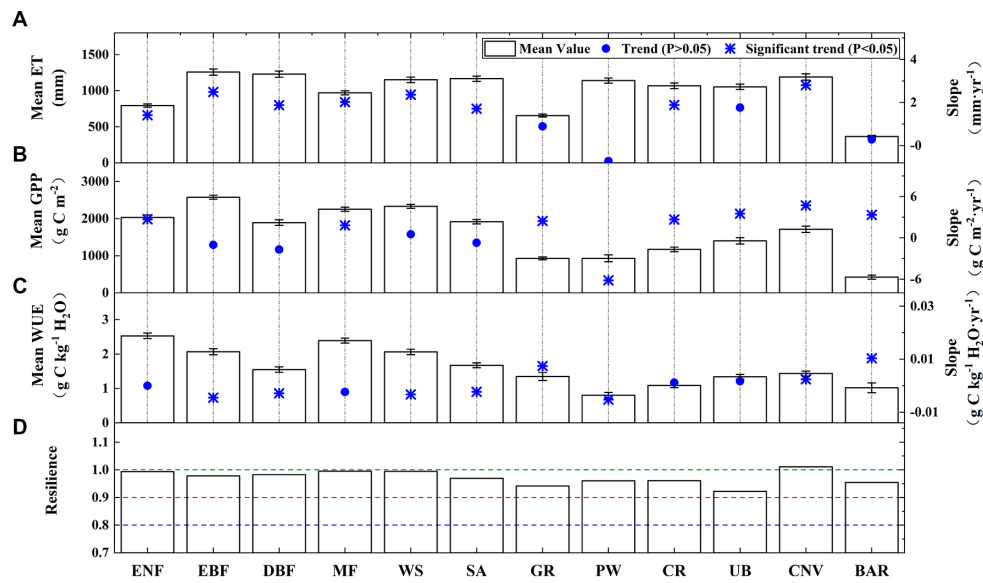


FIGURE 9 Means and trends of the (A) GPP, (B) ET, (C) WUE, and (D) ecosystem resilience for the different land use types.

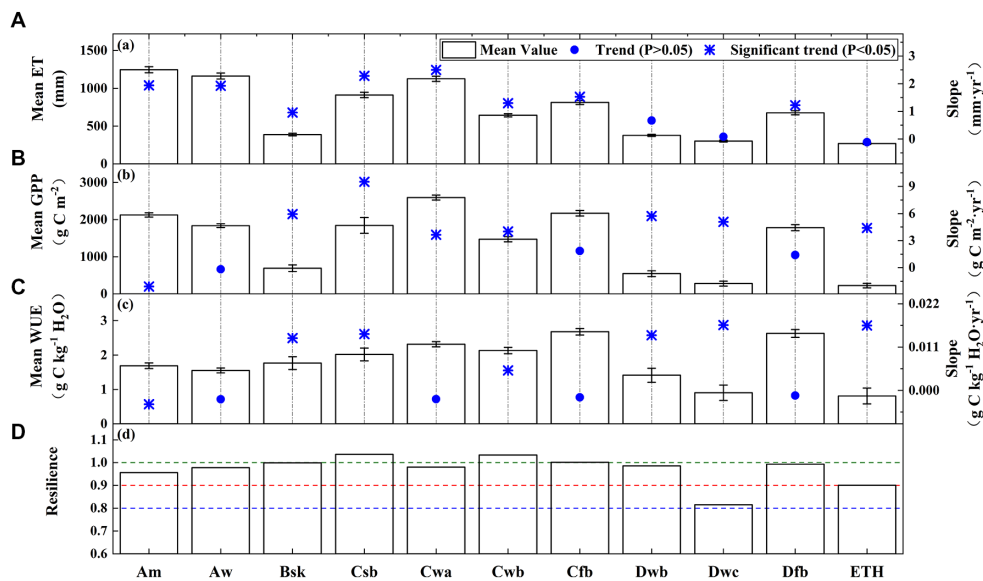


FIGURE 10 Means and trends of the (A) GPP, (B) ET, (C) WUE, and (D) ecosystem resilience for the different climate types.

4,500 m were less resistant to drought, and the vegetation composition and climate conditions in the high elevation area gave rise to poor resilience to drought.

In addition to climate change, previous studies have concluded that human activities also contribute to WUE changes. For example, Zheng et al. (2019) found that the main driver of the rising annual WUE of the ecosystem on the Chinese Loess Plateau is due to revegetation. According to Du et al. (2019), human activities, including afforestation, were responsible for around 16% of the WUE trends in northern China. On the basis of the findings of Wang L. et al. (2020), while human activities have had a negative impact on the

WUE, with a reduction of 20.2%, the increase in the WUE on the Tibetan Plateau was primarily driven by climate change, with a contribution rate of 78.9%. Li G. et al. (2021) reported that the rise in the vegetation WUE in China from 2001 to 2014 was driven by human activities (66.75%) and climate change (33.25%). As a result, further investigation is warranted to examine how human activities and climate change will impact the WUE in the LMRB in the future.

It should be noted that our study still had several uncertainties. Even though the GLASS GPP and ET performed rather well in terms of spatial pattern and temporal dynamic (Zhao et al., 2013; Liang et al., 2021), the WUE trends calculated from GLASS had some

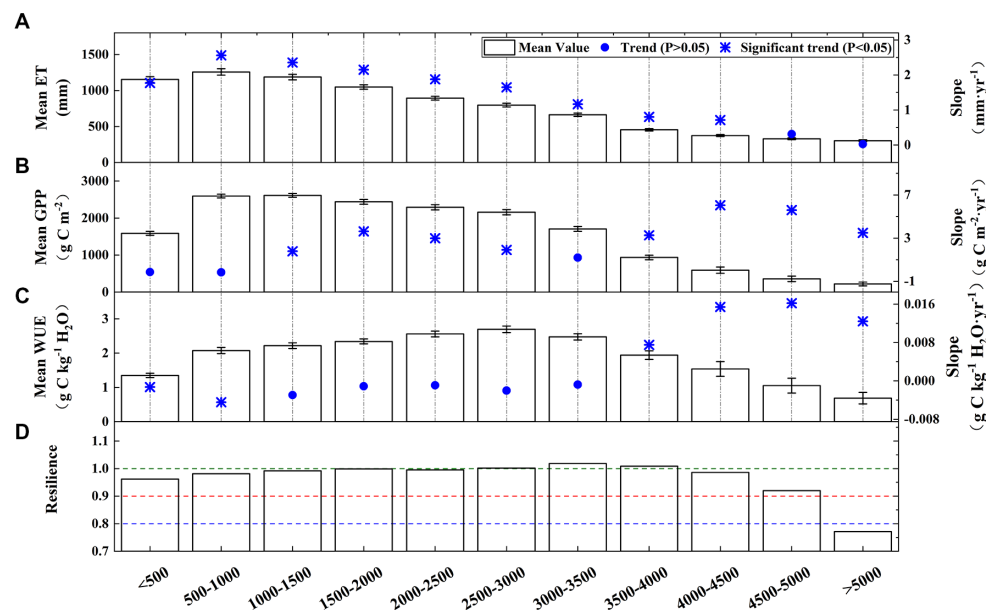


FIGURE 11

Means and trends of the (A) GPP, (B) ET, (C) WUE, and (D) ecosystem resilience for the different elevation gradients.

discrepancies with other remote sensing-driven models (Yang et al., 2021). Additionally, high-resolution precipitation and PET information would surely aid in the monitoring of droughts. However, both satellite-based and model-based products were subject to inherent errors. For instance, the CHIRPS precipitation had high correlation coefficients with gauge observation, however, it tended to systematically overestimate precipitation in the upstream region and underestimate precipitation in the downstream region of the LMRB (Luo et al., 2019; Wu et al., 2019). The GLEAM-PET also was associated with errors due to the calculation methods and forcing data (Jiang et al., 2021). Moreover, the spatial interpolation of datasets with various spatial resolutions (e.g., the original spatial resolutions of GLASS, ERA5-land, and GLEAM are 0.05°, 0.1°, and 0.25°, respectively) may also cause uncertainties. To lessen uncertainty brought on by a single model or dataset, additional efforts must concentrate on integrating multiple datasets to better understand ecosystem WUE response to drought.

## 5. Conclusion

In this study, the spatiotemporal variations in the WUE and its response to drought in the LMRB from 1982 to 2018 were investigated. The changes in the WUE and ecosystem resilience to drought were then assessed in relation to land use types, climate types, and elevation gradient. The main findings of this study are as follows:

(1) The mean yearly WUE was 1.63 g C kg<sup>-1</sup> H<sub>2</sub>O in the LMRB, and the forests and warm temperate climates had higher WUE values. The interaction of temperature and leaf area index were the main factor affecting the spatial distribution of WUE. The yearly WUE exhibited a decreasing trend with a rate of -0.0009 g C kg<sup>-1</sup> H<sub>2</sub>O·yr<sup>-1</sup> across the entire region, and 63.05% of the total area experienced a spatially decreasing WUE trend.

(2) The annual WUE was positively correlated with drought in the humid region, accounting for 66.55% of the total area, while it mainly exhibited a negative relationship in the high-altitude cold region.

(3) The ecosystem WUE lagged behind the drought by 3 months in most regions of the LMRB. The lagged effect was more apparent in the grassland-dominated upstream region and cropland-dominated Mekong Delta.

(4) The results of the resilience analysis suggest that the ecosystems in forests and temperate climates were strongly resistant to drought, while the grassland and high-altitude regions with dry and cold climates had a poor resilience.

## Data availability statement

The original contributions presented in the study are included in the article/supplementary material, further inquiries can be directed to the corresponding author.

## Author contributions

XL: investigation, methodology, formal analysis, and writing–review and editing. YW: data curation, software, and investigation and review. YL: supervision, conceptualization, writing–review and editing, project administration, and funding acquisition. All authors contributed to the article and approved the submitted version.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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