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## EDITED BY

Haipeng Yu,  
Chinese Academy of Sciences (CAS), China

## REVIEWED BY

Murat Yakar,  
Mersin University, Mersin, Türkiye  
Shanyou Zhu,  
Nanjing University of Information Science and  
Technology, China

## \*CORRESPONDENCE

Xiaochun Luo,  
✉ ntlxc9@163.com

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# Comparative analysis of near-surface and surface urban heat islands in the Yangtze River Delta region

Xiao Shi<sup>1,2</sup>, Ming Sun<sup>2</sup> and Xiaochun Luo<sup>2\*</sup>

<sup>1</sup>China Meteorological Administration Transportation Meteorology Key Laboratory, China Meteorological Administration, Beijing, China, <sup>2</sup>Jiangsu Meteorological Services Center, Jiangsu Provincial Meteorological Bureau, Nanjing, China

Compared with surface temperature, the near-surface temperature is more related with human health. However, extensive researches have been conducted on the UHI effect globally using surface temperature considering its accessibility. In this study, a comparative analysis of near-surface and surface urban heat islands in the Yangtze River Delta Region is investigated. This study first proposed a spatialization method suitable for air temperature in highly urbanized areas with complex land cover. Based on this method, a dataset of 1-km gridded air temperature is developed, and an in-depth analysis of the changes of near-surface and surface heat island is further carried out. Results show that both the near-surface urban heat island intensity (NSUHII) and surface urban heat island intensity (SUHII) are rather strong over the past 20 years, presenting similar spatial distributions as well. However, in the rapidly expanding urban areas especially during summer and winter seasons, the difference in magnitude and time variations (R) between NSUHII and SUHII are pronounced. Hence, adaptations and mitigation strategies on NSUHI and SUHI should be developed and implemented separately in such occasions, which is especially important for developed areas such as Yangtze River Delta Region.

## KEYWORDS

air temperature estimation, land surface temperature, random forest, urban heat island, remote sensing

## 1 Introduction

Primarily triggered by climate change and the intricate interplay between land surface and atmospheric systems, the heat island effect in urban landscapes takes shape (Krayenhoff et al., 2018; Lu et al., 2023). Climate change has led to an imbalanced distribution of water and heat, leading to a surge in extreme high-temperature occurrences in certain regions. Concurrently, as urbanization rapidly advances, urban spaces and their populations expand exponentially, accompanied by profound shifts in land-use patterns (Aliyazicioğlu et al., 2021; Topaloglu, 2022; Morsy and Hadi, 2022). These changes, by manipulating surface albedo and water evaporation, disrupt the equilibrium of surface heat budgets, encompassing both the sensible and latent heat exchanges between land and atmosphere, as well as vertical water vapor transport. Consequently, these alterations reshape meteorological variables like temperature and humidity within the lower atmospheric stratum. Ultimately, this gives rise to a remarkable phenomenon where urban temperatures significantly outstrip those in neighboring rural areas, thus giving

birth to the urban heat island effect (UHI) (Manley, 1959; Parry& Chandler, 1966; Oke, 1982; Zhang et al., 2023). Featured by significant spatio-temporal variations and substantial side effects, the UHI effect imposes an obvious impact on regional climate, energy consumption, air pollution and human health (Shahmohamadi et al., 2011; Zhang et al., 2023). In addition, extreme weather events have become increasingly frequent under the context of climate change, which further exacerbate the UHI effect (Shi et al., 2021; Lou et al., 2023). Hence, it is crucial to accurately describe and quantify the UHI effect for the urban sustainable development.

In recent years, extensive researches have been conducted on the UHI effect globally (Ramakreshnan et al., 2019; Han et al., 2020; Hu et al., 2023). Traditional UHI studies primarily use the air temperature data observed at meteorological stations (referred to as near-surface UHIs) (Liu et al., 2021). The observed air temperature data have the advantages of high temporal resolution and high precision, which are widely regarded as the “ground truth” by scholars from various fields (Wang et al., 2016; Abbas and Ismael, 2020). However, sparsely distributed meteorological stations struggle to meet the research needs for various types of UHI effects, leading to uncertainties in the calculation of UHI effects.

Satellite remote sensing enables fast observation of UHI with spatio-temporal continuity. Currently, the UHI studies mostly focus on the LST-related surface heat island effect (Chen et al., 2016; Peng et al., 2016; Wang et al., 2021). The spatial and temporal variations of SUHI have been documented, the SUHI intensity varies greatly by cities, seasons according to previous studies (Imhoff et al., 2010; Yao et al., 2018; Meng et al., 2023). In addition, the long term trends of SUHI and associated drivers have been also investigated. Yao et al. (2018) pointed out significant increasing trends of SUHII in China and increased non-agriculture population and decreasing vegetation were important reasons for increased SUHII. Wang et al. (2021) pointed out anthropogenic factors are considered the most important ones in determining SUHI in the Yangtze River Delta.

With respect to human health and comfort, the near-surface temperature is more direct than surface temperature because human skin is directly in contact with the atmosphere rather than the land surface (Anniballe et al., 2014; Nanayakkara et al., 2023). However, there are still some gaps in studies of near-surface urban heat island, mainly because the near surface temperature cannot be retrieved by remote sensing method. Most of current studies on near-surface urban heat island are based on sparsely distributed meteorological stations, which may have some uncertainties (Shi et al., 2024).

Numerous studies have revealed a mutual interaction between the air temperature and the LST from the energy balance perspective (Liu et al., 2020; Luo et al., 2023; Yao, 2020). However, this interaction is relatively complex considering the physical differences and natural environments between them, which lead to discrepancies when describing the urban thermal effects, and may cause uncertainties for evaluating the impact of urbanization on environment. Weak relationships were reported in both vegetated, barren lands (Xiong et al., 2017) and urban area, especially during the daytime (Yang et al., 2020; Yao et al., 2021). Up to now, there is still a lack of research on comprehensively analyzing the spatio-temporal variation differences between near-surface heat island effects and surface heat island effects within the same study area from different perspectives.

The objective of this study is to investigate and analyze the characteristics of the near-surface UHI and surface UHI in the Yangtze River Delta region based on grid scale air temperature using machine learning method and remote sensing land surface temperatures. The remainder of this paper is organized as follows. Section 2 provides a detailed introduction to the study area, data information and methods used in this study. Section 3 analyzes the accuracy of remote sensing estimation of air temperature, and further investigates the spatial distributions, interrelationships and variation trends of near-surface UHI intensity (NSUHII) and surface UHI intensity (SUHII). Section 4 discusses the strengths and limitations of this study. Finally, the main conclusions are summarized in Section 5.

## 2 Materials and methods

### 2.1 Study area

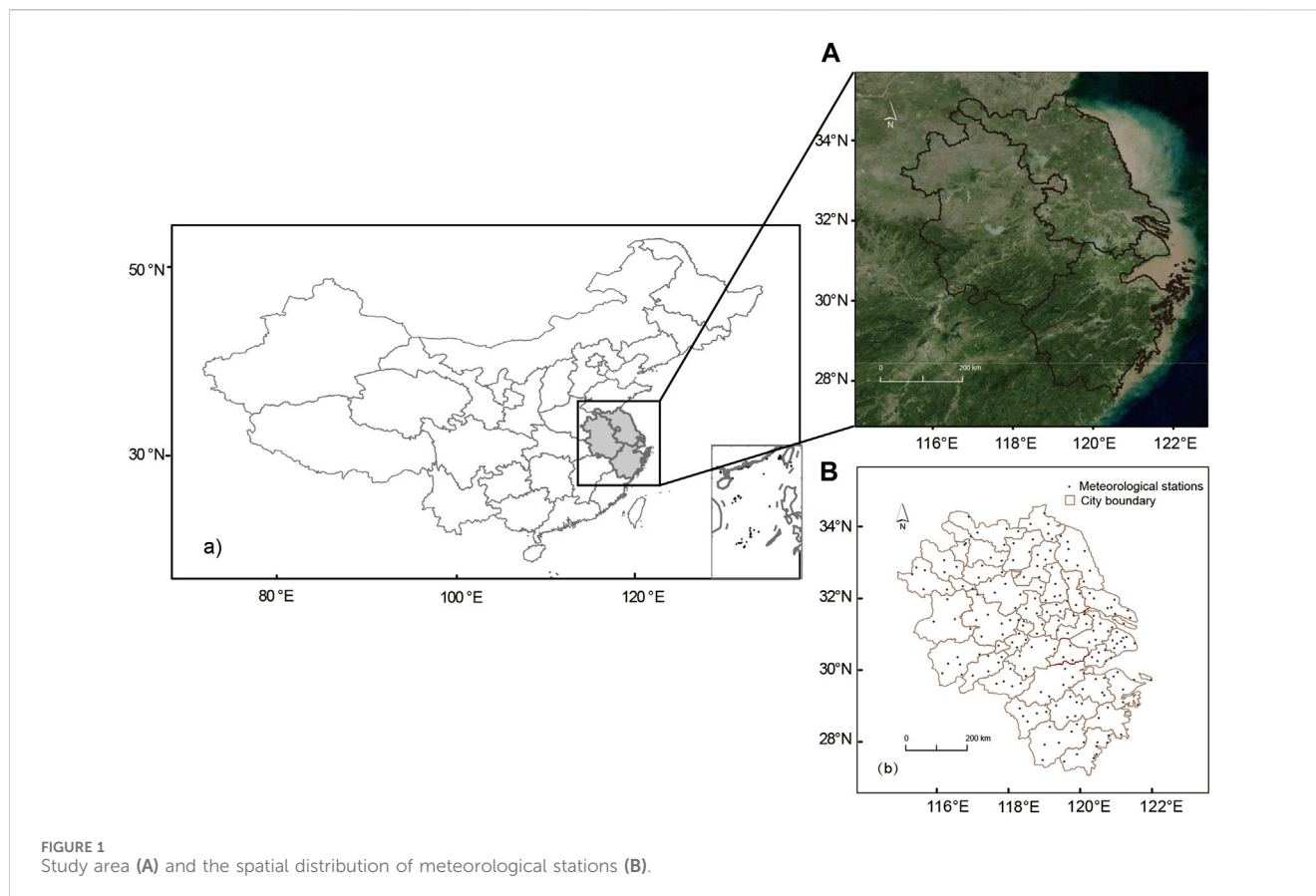
The Yangtze River Delta (YRD) region is located in the east of China and has an area covers 358,000 square kilometers (Figure 1A). It is one of the three major urban agglomerations (the Beijing-Tianjin-Hebei, the Yangtze River Delta, and the Pearl River Delta) in China (Liu et al., 2017). The overall terrain in the northern part of the study area mainly consists of plain areas, while many mountainous areas are located in the southwestern and southeast part of the study area. The climate over the study area is dominated by the East Asian monsoon with four distinct seasons.

It consists of three provinces (Jiangsu, Zhejiang and Anhui) and one municipality (Shanghai), with a total of 41 cities (Figure 1B). Mega-cities including Shanghai, Hangzhou, Nanjing and Suzhou are located in this region, with a permanent population accounting for nearly 1/6 of the entire country and an economic aggregate constituting nearly 1/4 of the national total (Sun et al., 2019).

During the urbanization process, this region has undergone dramatic changes in land cover (Jin et al., 2021), where the UHI effect has also become increasingly intense and more severe. In addition, relevant studies have indicated that this region has experienced significant warming trend (Shi et al., 2021). Under the combined influences of climate warming and the rapid expansion of urban areas, the variations of thermal environment in this region have imposed a profound impact on both the ecological environment and social economy (Wang et al., 2021; Yang and Pan, 2011). Hence, it is crucial to study the spatio-temporal variation characteristics of the thermal environment in this region.

### 2.2 Data

The maximum air temperature data for the 2001–2018 period are obtained from 199 national ground meteorological stations, which are provided by the National Meteorological Information Center of China Meteorological Administration. This dataset was subjected to strict quality control procedures including spatial and internal consistency checks, identification of outliers, and manual revision of erroneous data (Chen et al., 2021). The locations of meteorological stations are shown in Figure 1B.



Terra Moderate Resolution Imaging Spectroradiometer (MODIS) is a sensor onboard Terra and Aqua platforms. The MOD11A2 product is an 8-day composite dataset with a spatial resolution of 1 km (Niu et al., 2020; Su et al., 2013; Wang et al., 2023). In this study, we obtained daytime LST, nighttime LST, clear\_sky\_days and clear\_sky\_nights data from MOD11A2. In addition, we calculate the rate of clear day ( $R_D$ ) and night ( $R_N$ ) from clear\_sky\_days and clear\_sky\_nights data, which are defined as the percentage of days and nights with clear sky. The surface reflectance from MOD09GA product provides the daily reflectance of bands 1–7 with 500-m spatial resolution. It is considered to derived the normalized difference vegetation index (NDVI) and the modified normalized difference water index (MNDWI) in this study, which are input parameters to estimate the air temperature are calculated using this data. The MCD12Q1 land cover type products combined Terra and Aqua data at yearly intervals and with 500-m spatial resolution (Yang and Huang, 2021; Hua and Chen, 2013).

The global nighttime light data during 2001–2018 is obtained from the National Polar-orbiting Partnership (NPP)/Visible-Infrared Imaging Radiometer Suite (VIIRS) data provided by the United States National Oceanic and Atmospheric Administration Earth Observation Group (Li et al., 2020). In addition, to calculate the solar radiation, which is an input parameter for remote sensing estimation, elevation data from the Shuttle Radar Topography Mission (SRTM) is also used. The data specifics used in this study are shown in Table 1.

## 2.3 Methods

### 2.3.1 Air temperature estimation

The core principle of remote sensing estimation for air temperature is to use the relationship between station-observed air temperatures and corresponding independent variables at a certain grid point to predict the air temperature values on this grid. Specifically, the monthly-averaged maximum air temperature from meteorological observation stations is selected as the dependent variable for the estimation models. Eight different types of land surface factors ( $LST_D$ ,  $LST_N$ ,  $R_D$ ,  $R_N$ , NDVI, MNDWI, DEM, extraterrestrial solar radiation) are chosen as independent variables in the remote sensing estimation process of air temperature.

Extraterrestrial solar radiation is an important parameter for evaluating solar energy resources, which refers to the solar radiation that can be received by the earth's surface without considering the influence of the atmosphere. Here we use the distributed modeling of extraterrestrial solar radiation over rugged terrains proposed by (Zeng et al., 2005) and to calculate monthly average extraterrestrial solar radiation in study area. Extraterrestrial solar radiation on the slope is determined by geography, topographic features and astronomical factors (solar declination, hour Angle). The daily extraterrestrial solar radiation is calculated as follows (Eqs 1–9):

TABLE 1 Data and related information used in this study.

Variable	Source	Parameter	Time period	Spatial resolution	Temporal resolution	Data use
Maximum air temperature	Ground station	AT	2001–2018	/	1 day	Input parameter of remote sensing estimation
Daytime LST	MOD11A2	LST <sub>D</sub>	2001–2018	1 km	8 days	Input parameter of remote sensing estimation\Comparison with AT
Nighttime LST	MOD11A2	LST <sub>N</sub>	2001–2018	1 km	8 days	Input parameter of remote sensing estimation
Clear_sky_days	MOD11A2	Rate of clear Day (R <sub>D</sub> )	2001–2018	1 km	8 days	
Clear_sky_nights	MOD11A2	Rate of clear night (R <sub>N</sub> )	2001–2018	1 km	8 days	
Surface reflectance	MOD09GA	NDVI, MNDWI	2001–2018	0.5 km	1 day	Input parameter of remote sensing estimation\identification of the rural background
Land cover	MCD12Q1	/	2001–2018	0.5 km	1 year	Identification of the rural background
Elevation (DEM)	The Shuttle Radar Topography Mission (SRTM)	extraterrestrial solar radiation	2008	90 m	/	Input parameter of remote sensing estimation\identification of the rural background
Nighttime light	Composite NPP/VIIRS data	/	2001–2018	30 m	1 year	Identification of the rural background

$$W_s = \frac{T}{2\pi} I_0 E_0 [u \sin \delta (\omega_{ss} - \omega_{sr}) + v \cos \delta (\sin \omega_{ss} - \sin \omega_{sr}) + w \cos \delta (\cos \omega_{ss} - \cos \omega_{sr})] \tag{1}$$

$W_s$  is extraterrestrial solar radiation,  $T$  is the length of 1 day (1,140 min),  $I_0$  is solar constant (0.082 MJ·m<sup>-2</sup>·min<sup>-1</sup>),  $E_0$  is correction coefficient of sun-earth distance.  $u$ ,  $v$ , and  $w$  are the feature factors related to terrain.  $\delta$  is the declination of the sun,  $\omega$  is the hour angle (positive to the west and negative to the east from noon in true solar time),  $\omega_{sr}$  and  $\omega_{ss}$  are the sunrise and sunset hours respectively.

$$u = \sin \varphi \cos \alpha - \cos \varphi \sin \alpha \cos \beta \tag{2}$$

$$v = \sin \varphi \sin \alpha \cos \beta + \cos \varphi \cos \alpha \tag{3}$$

$$w = \sin \alpha \sin \beta \tag{4}$$

The declination of the sun and correction coefficient of sun-earth distance  $E_0$  can be calculated as follows:

$$\delta = 0.006894 - 0.399512 \cos \tau + 0.072075 \sin \tau - 0.006799 \cos 2\tau + 0.000896 \sin 2\tau - 0.002689 \cos 3\tau + 0.001516 \sin 3\tau \tag{5}$$

$$E_0 = 1.000109 + 0.033494 \cos \tau + 0.001472 \sin \tau + 0.000768 \cos 2\tau + 0.000079 \sin 2\tau \tag{6}$$

Where  $\tau$  is the daily angle and expressed in radians and can be calculated by days  $D_n$ .

$$\tau = 2\pi(D_n - 1)/365 \tag{7}$$

$$\omega_{ss} = \arccos(-\tan \varphi \tan \delta) \tag{8}$$

$$\omega_{sr} = -\omega_{ss} \tag{9}$$

Furthermore, four machine learning methods of the Multiple Linear Regression (MLR), Back-Propagation Neural Networks

(BPNN), Support Vector Machine (SVM) and Random Forest (RF) are used to fit the relationship between the dependent variable and independent variables at the station scale. 10-fold cross-validation method is used to assess the fitness of selected predictors by comparing the observed and estimated air temperatures. Subsequently, the four models output four datasets of 1-km gridded monthly-averaged maximum air temperature by taking the 1-km independent variable data as the input. Since this study mainly focus on the comparison between near-surface and surface urban heat islands, detailed information about the air temperature estimation process will not be discussed in this study.

### 2.3.2 Urban heat island evaluation

To compare and analyze the similarities and differences between near-surface and surface heat island, NSUHII and SUHII are employed. The NSUHII and SUHII are used to quantify the near-surface and surface UHI effect, as follows (Eqs 10, 11):

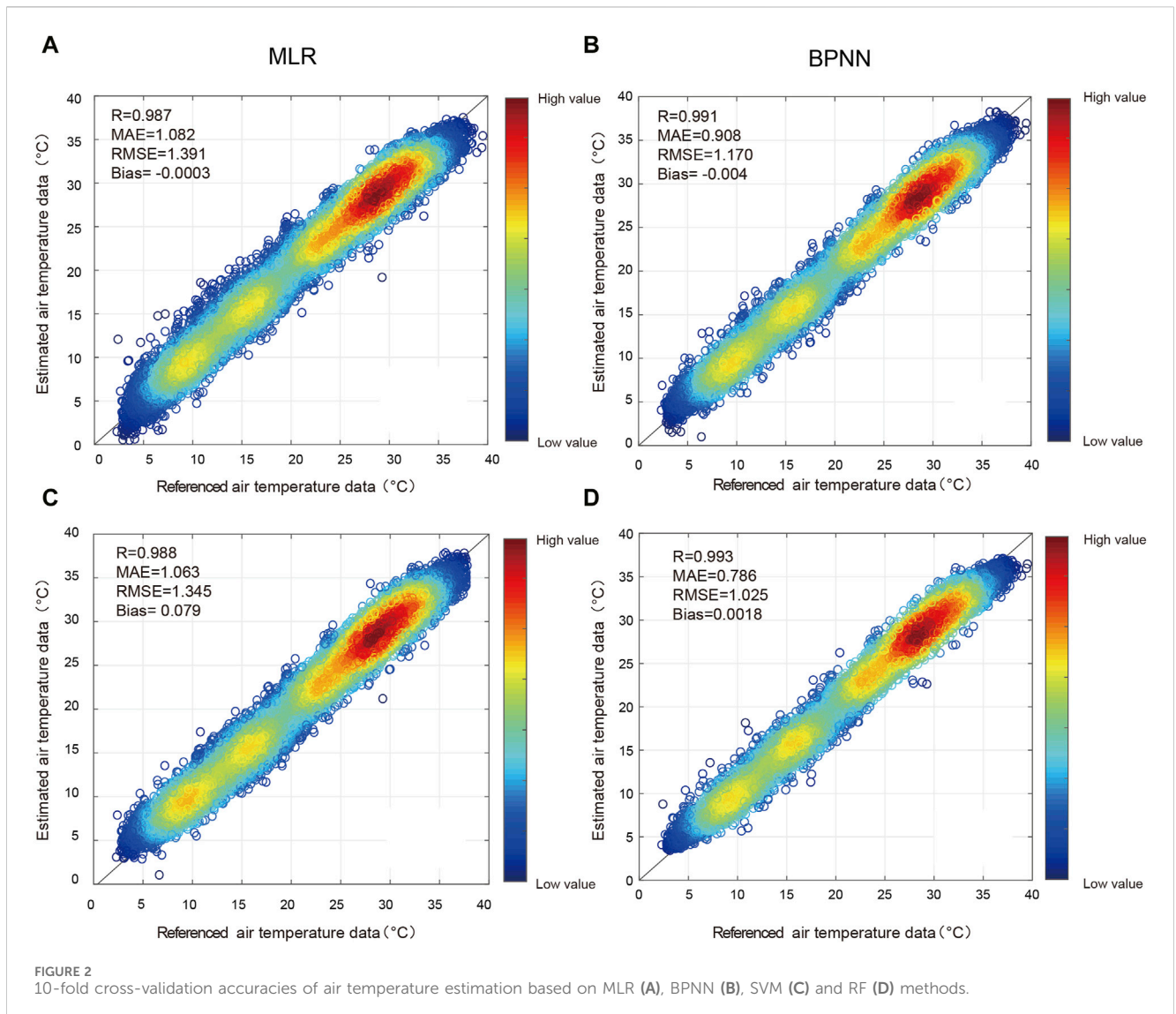
$$NSUHII_i = AT_i - \frac{1}{n} \sum_{j=1}^n ATb_j \tag{10}$$

$$SUHII_i = LST_i - \frac{1}{n} \sum_{j=1}^n LSTb_j \tag{11}$$

Where NSUHII<sub>i</sub> and SUHII<sub>i</sub> refer the near-surface and surface urban heat intensity in pixel  $i$ ,  $AT_i$  and  $LST_i$  refer the air temperature and LST in pixel  $i$ ,  $n$  is the total number of rural background effective pixels.  $ATb_j$  and  $LSTb_j$  represent the air temperature and LST of the corresponding rural background, respectively (Liu et al., 2017).

The appropriate selection of suburban farmland background is the key to calculate urban heat island intensity. For different cities in large urban agglomerations, it is not advisable choosing the same rural background to calculate the temperature difference between





urban and rural areas to get the urban heat island intensity (Shi et al., 2021). We use the method proposed by (Liu et al., 2017) to determine the farmland background pixels of each city by combining four criteria: land cover, NDVI, night light index and elevation difference relative to urban central areas. 1) In terms of land cover type, the rural area is selected as the background pixel according to the land cover type of farmland; 2) The annual maximum NDVI  $\geq 0.7$  is used as the ideal value to determine the rural background; 3) The night light index  $\leq 15$  identifies areas unaffected by human activity; 4) The altitude difference from the city  $< 50$  m is preferred to reduce the terrain effect on the heat island intensity. The spatial distributions of land cover type of 2018, annual maximum NDVI of 2018, night light index of 2018 and altitude difference from the city are shown in Supplementary Figure S1.

### 2.3.3 Trend analysis

The non-parametric statistics of Theil-Sen slope (Sen, 1968) is used to determine the linear trend of NSUHII and SUHII during 2001–2018. It is a more robust estimator than the least-square

method because it is insensitive to outliers and extreme values, which leading to the robust performance in time series variation trend estimation (Svilicic et al., 2016). The TS estimator is expressed as Eq. 12:

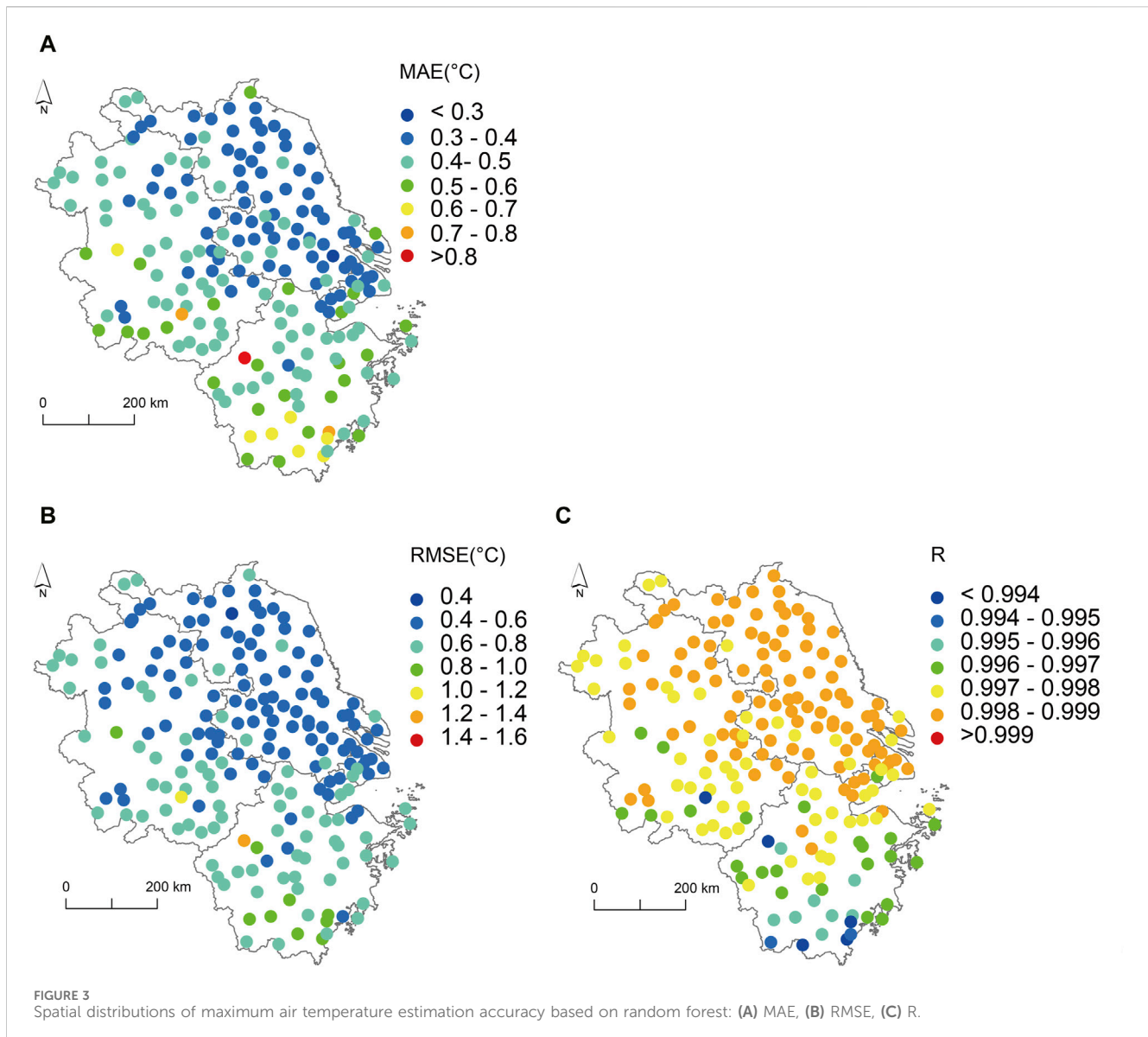
$$TS_{slope} = \text{median}\left(\frac{x_j - x_i}{t_j - t_i}\right) \quad (12)$$

where median denotes the median function,  $x_i$  and  $x_j$  are the data values at times  $i$  and  $j$ , respectively, and  $t_i$  and  $t_j$  are the corresponding time series with lengths of  $n$  and  $i < j < n$ , respectively.

## 3 Results

### 3.1 Accuracy analysis of estimation results

Figure 2 shows the density scatter plot between estimated air temperature data and the referenced air temperature data.



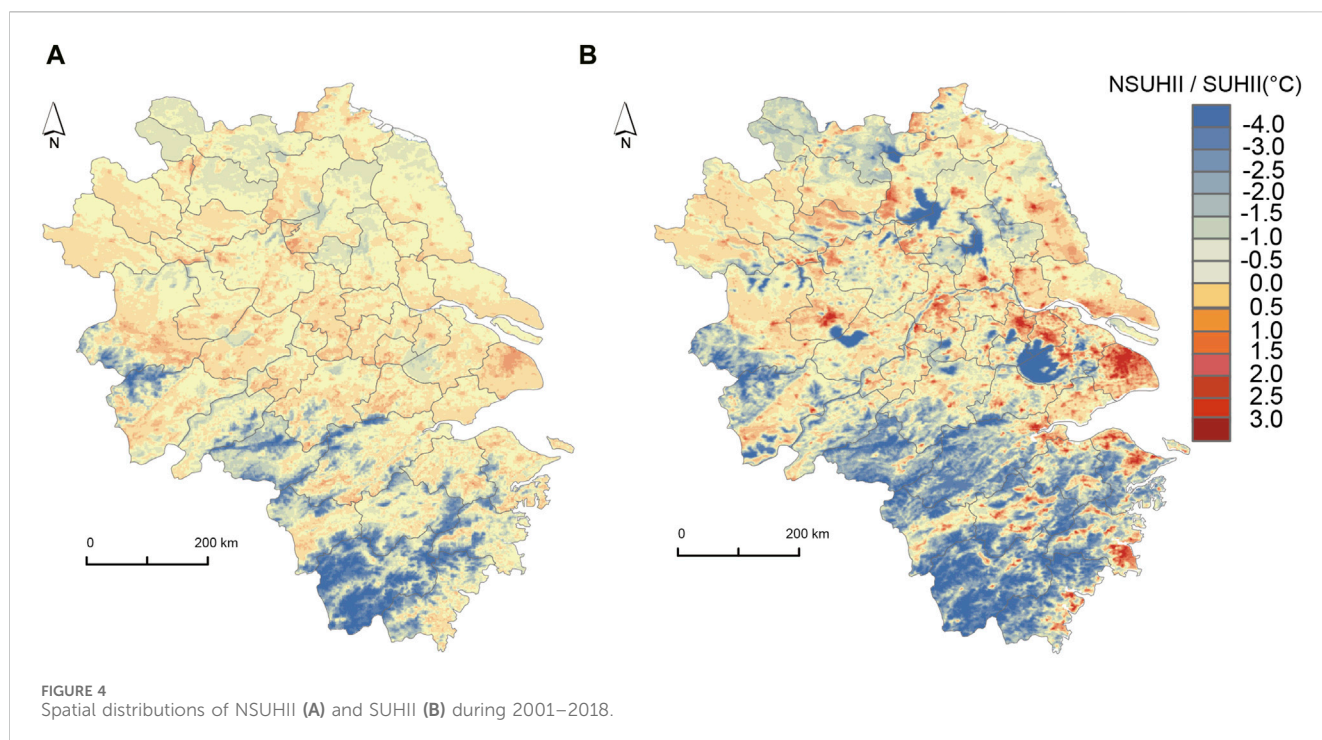
Apparently, the linear relationship of these four scatter plots are close to 1:1 lines and the variation ranges of air temperature are similar. However, the outliers of RF method seems to be least among the other three methods, indicating the relatively higher estimated accuracy. The 10-fold cross-validation accuracies of the 1-km gridded air temperature data estimated using four methods (Figure 2) also demonstrate that the RF model outperforms three other models with highest correlation coefficient, least MAE and RMSE. In addition, the spatial distributions of RF-based estimation also indicate that the results are more reasonable than other three methods (Supplementary Figure S2).

Based on the observations from 199 meteorological stations, the accuracy of the 1-km gridded air temperature data estimated using the RF is assessed, as shown in Figure 3. It can be seen that the MAEs and RMSEs are relatively smaller over the entire study area. Particularly, the MAEs and RMSEs in the majority areas of Jiangsu Province are relatively smaller than in Zhejiang Province.

This may be attributed to the uniform underlying surface in Jiangsu Province where most areas are relatively flat plains, which is conducive to improving the accuracy of the RF-based estimation model. While the larger MAEs and RMSEs in the southern part of Zhejiang Province are mainly associated with its complex terrains due to numerous mountain ranges. The R values between the estimations and the observations at all stations in the study area are quite high, with the values exceeding 0.995 at most stations. It indicates a good consistency in the temporal variations of air temperature between the estimations and the observations. Consequently, the 1-km gridded air temperature data derived from RF model proves to be an ideal choice for studying the UHIs.

### 3.2 Spatial distributions of NSUHII and SUHII

Using the 1-km gridded air temperature data from air temperature estimation and daytime LST data from the 8-day



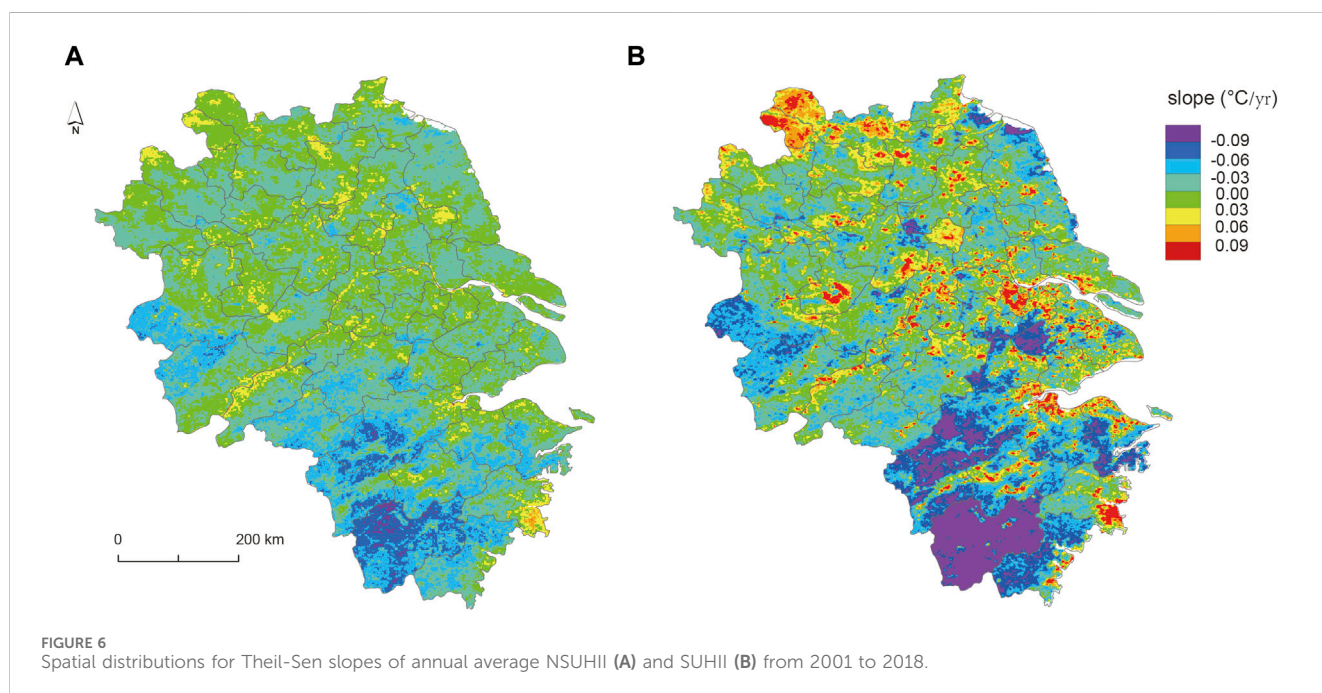
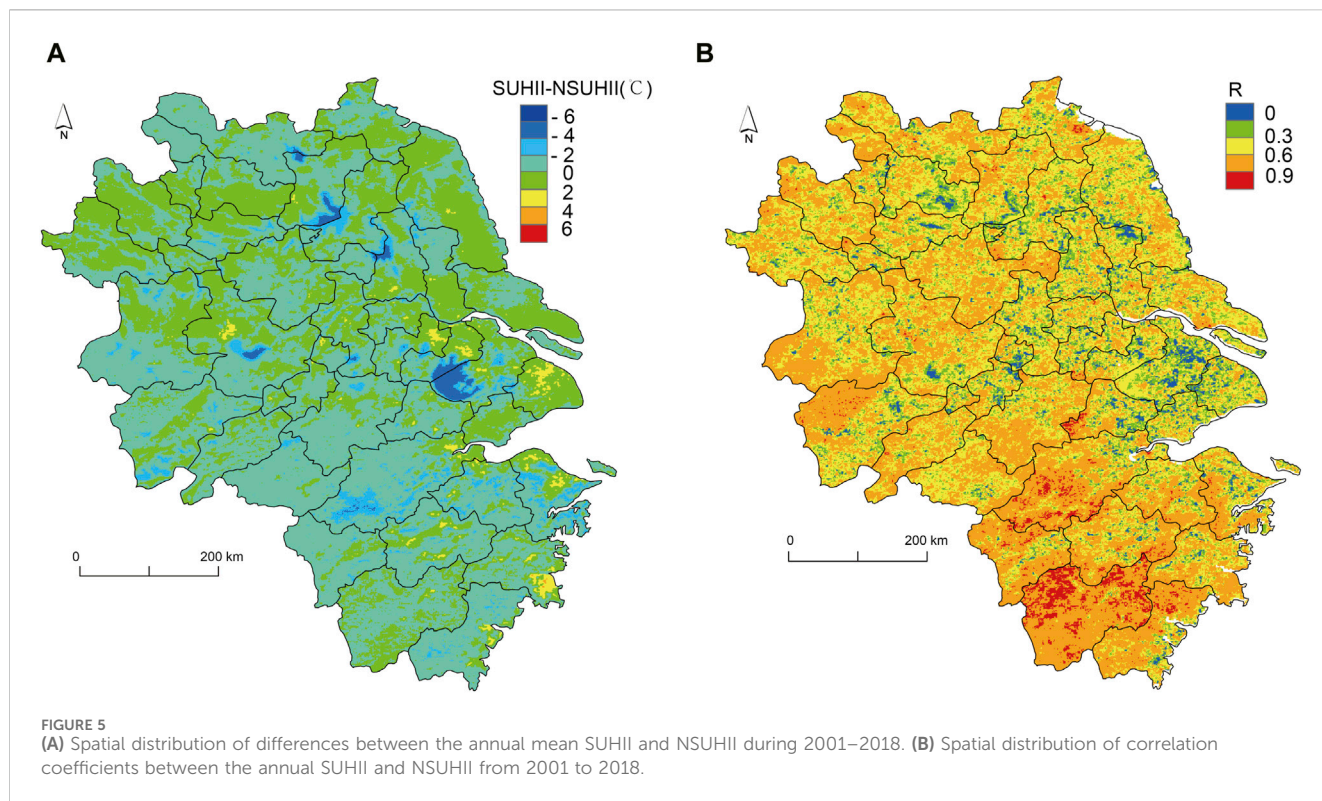
composite (MODIS) LST product, monthly average NSUHII and SUHII can be derived. Figure 4 illustrates the spatial distributions of NSUHII and SUHII in the study area during 2001–2018. In general, both NSUHII and SUHII are rather strong in the study area over the past 20 years, presenting similar spatial distributions. Higher UHI effect are observed over the YRD core cities such as Shanghai, Nanjing, Taizhou, indicating that both the air temperatures and near surface temperatures in these areas are higher than those of farmland background areas. Noticeable cool island effect is found in mountainous areas, demonstrating the relatively lower temperature compared with those of farmland background areas. However, the SUHII exhibits higher intensity and a broader range of both heat and cool island effects than the NSUHII. The highest NSUHII is recorded in Shanghai City, reaching up to 2.8°C while the highest value of SUHII is found in Taizhou City with SUHII value up to 4.8°C. In addition, the cool island effect of surface temperature is more pronounced over water bodies compare with near surface temperature.

Previous studies have demonstrated that the LST and air temperature are both representations of thermal environment, which impact each other through the land-atmosphere interaction. To better understand the relationship between the SUHII and NSUHII, this study calculates the annual mean differences and monthly correlation coefficient ( $R$ ) between the two. Figure 5A reveals that in most areas, the annual mean SUHII is higher than the annual mean NSUHII, with the major differences ranging from 0°C to 2°C. The instances where the NSUHII is significantly higher than the SUHII ( $-2 \sim -6^\circ\text{C}$ ) are mainly observed in areas with water bodies as the dominant land cover. The reason may be that the cooling effect of water bodies on the LST is relatively direct and pronounced, while the air temperature above water bodies is regulated by the process of latent heat exchange through evaporation, which is relatively

indirect and slow. It is also evident that pixels with the SUHII significantly higher than the NSUHII are mainly located in the central urban areas of the core cities in the YRD region (Shanghai, Wuxi, Hangzhou, etc.). Due to the rapid urbanization in these regions, the impervious surfaces are rapidly expanded, which also leads to a great difference between the SUHII and NSUHII. The largest differences between the two are found in summer, while their values are relatively similar in winter, as shown in Supplementary Figure S3. The seasonal variation is also related to the increase in total radiation in summer. The increase in radiation results in the enhanced radiation absorbed by impervious surfaces, leading to a significant LST increase. However, the increase in radiation has no significant impact on the air temperature increase, thereby causing a larger difference between the two in summer.

Figure 5B displays the spatial distribution of  $R$  between annual mean SUHII and NSUHII in the study area from 2001 to 2018. In terms of the  $R$  between annual mean SUHII and NSUHII, it is found that the SUHII and NSUHII are positively correlated in most regions, with the  $R$  values being rather high ( $R > 0.6$ ). The  $R$  values larger than 0.9 are primarily in the southern part of the study area, mainly caused by the higher values in spring (Supplementary Figure S4). In contrast, the  $R$  values are smaller ( $0 < R < 0.3$ ) in the core cities of the YRD region, indicating that there is a certain degree of correlation in the temporal variation between the SUHII and NSUHII in this region, but there are still some differences. The correlations are the weakest in winter, while strongest in spring (Supplementary Figure S4). It is attributed to the fact that the atmospheric circulation that affects the energy transfer between the surface and near-surface is relatively weaker in winter, resulting a weaker interaction between SUHII and NSUHII. While in summer, the temperature background field is too dominant, diminishing the influence of LST on air temperature. In contrast, the temperature background field is weaker in spring, and the temperature fluctuations are pronounced, resulting in the strongest values.





### 3.3 Temporal variations in NSUHII and SUHII

To better understand the variation characteristics in the long-term time series of NSUHII and SUHII as well as the differences in between, the Theil-Sen slopes of NSUHII and SUHII during 2001–2018 are calculated in the study area, as shown in Figure 6.

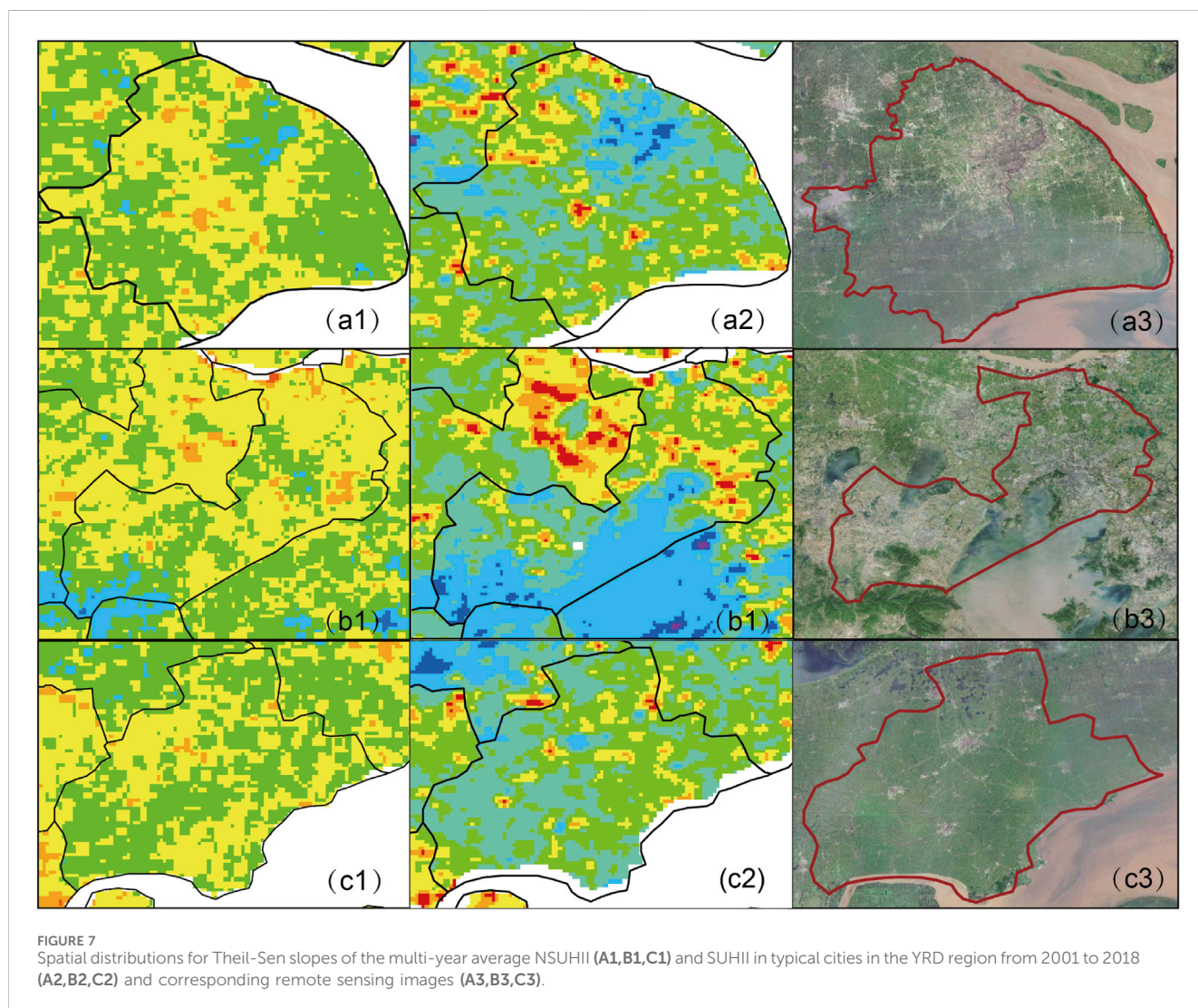
Positive (negative) trends indicate that the warming rate of air temperature in pixels is higher (lower) than that of corresponding pixels under agricultural background.

In the study area, the variation trends of NSUHII present an uneven distribution, with most regions showing relatively weak warming trends (0–0.03°C/yr). Specifically, the warming trends in



TABLE 2 Information of three typical urban areas (2023).

City	Urban size	Population (million)	City area (square kilometers)	Urban built-up area (square kilometers)
Shanghai	Mega city	24.7589	6,340	860.2
Wuxi	Super city	7.4908	4,627.47	356
Jiaxing	Type I big city	5.551	3,915	163.42



most of the northern part are slightly higher than those of farmland background areas. Regions with a rapid increase (0.03–0.09°C/yr) in NSUHII are scatteredly distributed in a few areas of each city. Particularly, the areas with rapid increases (>0.09°C/yr) in NSUHII are mainly located in parts of Chizhou and Taizhou Cities in the central and southern parts of the study area, suggesting that the warming rates in these areas are significantly higher than those of farmland background areas. Areas with a cooling trend are mainly found in mountainous regions, with the trend values exceeding −0.06°C/yr.

Compared with the NSUHII, the urban heat island phenomenon is more pronounced in terms of surface

temperature than near-surface temperature. The variation trends of SUHII are more significant in urban, mountainous and aquatic areas, resulting in greater spatial distribution differences. Approximately half of the study area exhibits an increasing trend in UHI effects, regions with increasing trends higher than 0.06°C/yr are mainly located in the northern, middle and part of the southern area. The SUHII with the highest warming trend is found in Hefei City, reaching 0.42°C/yr, while the fastest cooling trend is observed in the Taihu region of Suzhou City, reaching −0.24°C/yr. The large variation trends in the SUHII may be associated with changes in vegetation cover. During 2001–2018, as the urbanization accelerates, some

vegetation is replaced by impervious surface, which in turn accelerates the changes in SUHII.

Concerning the different urban sizes, population levels, city area and urban built-up area (Table 2), three cities—Shanghai, Wuxi and Jiaxing are selected as typical urban areas. The differences between NSUHII and SUHII variations in these three typical areas are further investigated, as shown in Figure 7.

According to the remote sensing images (Figure 7A3), the central urban area of Shanghai City exhibits relatively smaller variation trends of NSUHII (Figure 7A1) and SUIHII (Figure 7A2). Various factors may contribute to this phenomenon, such as the increase in green areas within the central urban area and the reconstruction of old urban districts in mega-cities like Shanghai, leading to a decrease in the warming rate within the central urban area.

For Wuxi City, deviations are observed between the distribution of NSUHII and SUHII. While most areas exhibit a slow warming rate (0.03–0.06°C/yr) in the NSUHII (Figure 7B1), the SUHII (Figure 7B2) in the central urban area shows a significantly larger warming trend (0.06–0.12°C/yr) than the areas outside the central urban region and water bodies. Wuxi City is one of the top 10 cities by gross domestic product in the YRD region, and its central urban area has undergone rapid development over the past 2 decades. The slow warming trend in the NSUHII demonstrating that the urbanization in Wuxi City has not had a significant impact on the NSUHII.

In Jiaxing City, the variation trends of NSUHII (Figure 7C1) and SUHII (Figure 7C2) in the central urban area are comparable to those outside the central urban area, with some regions showing a weak warming trend. This phenomenon may be attributed to the fact that Jiaxing City belongs to Type I large city, which has a smaller population and slower economic development in comparison to mega-cities.

Overall, over the past 2 decades, both near-surface and surface UHI effects in the study area have been developing intensely and exhibit an obvious increase trend. The areas with the largest increase rates are not necessarily the most developed regions but rather the fastest developing ones. The increase rate of near-surface NSUHII is smaller than that of SUHII.

## 4 Discussion

Generally, most UHI effect is monitored by satellite remote sensing, which spatial distribution and trends have been widely studied on global, regional and city scale. The study of near-surface UHI effect is normally studied using air temperature data from meteorological stations at 2 m above the ground due to the lack of data. In this study, a spatialization method suitable for air temperature in complex and highly urbanized areas is determined. The RF-based estimation method has good accuracy and outperforms three other remote sensing estimation methods. Hence, it serves as an ideal high-resolution air temperature dataset for studying the UHI effects. This method retains the advantages of station observations in terms of temporal resolution and high precision, and makes up for its disadvantage of spatial discontinuity, thereby achieving good gridding effect. Previous

studies also use several machine learning methods for air temperature estimation in different places, however, the estimation accuracies were not as good as this study (Yao et al., 2021). For example, (Yang et al., 2023), also used SVM and RF methods in air temperature estimation in China. Though RF and SVM outperform other methods in Yang's study, MAE and RMSE values for RF (RMSE = 2.01, MAE = 1.36) and SVM (RMSE = 2.33, MAE = 1.58) are still larger than results in this study. With the development of machine learning techniques, new deep learning models such as long short-term memory (LSTM) and XGboost are continually emerging (Reichstein et al., 2019; Amato et al., 2020; Yang et al., 2023). Meanwhile, the dense observation stations and new remote sensing data lay the foundations for further enhancing the accuracy of gridded datasets of air temperature. Therefore, research on near-surface UHI effects based on station observed air temperature should move towards the way of greater precision and refinement (Liu et al., 2021; Bird et al., 2022).

The spatial distributions and temporal variations of near-surface UHIs and surface UHIs are quite consistent. Although the SUHII and NSUHII are closely related, there are still certain differences especially in the rapidly expanding urban areas and in summer. The SUHII is mainly influenced by surface properties, including vegetation cover, reflectance and anthropogenic heat, whereas the NSUHII is influenced not only by surface properties but also by meteorological elements under local climate (Arnfield, 2003; Liu et al., 2020). Previous studies have suggested that both SUHII and NSUHII can be used to characterize human comfort, but the NSUHII is a more accurate representation (Yao et al., 2021). In addition, the continuous increase in thermal environments exerts pressure on human health and energy consumption (Zhang et al., 2023), which thus should be given enough attention. Therefore, more attention should be paid to the study of NSUHII and driving factors so as to find applicable mitigation strategies. Previous studies generally analyzed the NSUHII and SUHII effect based on several meteorological stations to represent the air temperature of the entire urban or rural area (Sun et al., 2020; Hu et al., 2019). However, this kind of comparison may cause great uncertainty considering the location and number of stations.

This study only focuses on the UHI effect with respect to daily maximum air temperature, without considering daily minimum air temperature or nighttime UHI effects. However, numerous studies have shown that there are significant differences between nighttime and daytime UHI effects (Sun et al., 2020). Therefore, relevant research can be conducted on the nighttime UHI effect in the future.

## 5 Conclusion

In this study, a spatialization method suitable for air temperature in complex and highly urbanized areas is determined. Specifically, the 1-km gridded air temperature data derived from RF method and LST data from the Moderate-resolution Imaging Spectroradiometer are used to analyze the spatial distribution characteristics of NSUHII and SUHII in the YRD region over the past 2 decades. The results show that both the

NSUHII and SUHII are rather strong in the study area over the past 20 years, presenting similar spatial distributions. The situation that the NSUHII is higher than the SUHII with significant differences appears over water bodies, while the situation of SUHII higher than the NSUHII is often found in the core areas of rapidly urbanizing cities in the YRD, and the differences are more prominent in summer. Although there is a certain degree of correlation between the temporal variations of SUHII and NSUHII, some discrepancies still exist. Notably, the R values between them are relatively lower in the core areas of rapidly urbanizing cities in the Yangtze River Delta region, with the lowest in winter. From 2001 to 2018, most areas in the study region witnessed an increase in both NSUHII and SUHII, especially in regions with rapid urbanization. However, the area with the largest increase rate is not necessarily the most developed region but the fastest developed one. In well-developed cities, the increase rates in the central urban areas are lower than those in the surrounding regions.

## Data availability statement

The raw data supporting the conclusion of this article will be made available by the authors, without undue reservation.

## Author contributions

XS: Writing—original draft, Writing—review and editing. MS: Validation, Visualization, Writing—original draft. XL: Conceptualization, Writing—review and editing.

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## Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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## Supplementary material

The Supplementary Material for this article can be found online at: <https://www.frontiersin.org/articles/10.3389/fenvs.2024.1387672/full#supplementary-material>



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