



# Linking Earth Observations for Assessing the Food Security Situation in Vietnam: A Landscape Approach

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Land cover change and its impact on food security is a topic that has major implications for development in population-dense Southeast Asia. The main drivers of forest loss include the expansion of agriculture and plantation estates, growth of urban centers, extraction of natural resources, and water infrastructure development. The design and implementation of appropriate land use policies requires accurate and timely information on land cover dynamics to account for potential political, economical, and agricultural consequences. Therefore, SERVIR-Mekong led the collaborative development of a Regional Land Cover Monitoring System (RLCMS) with key regional stakeholders across the greater Mekong region. Through this effort, a modular system was used to create yearly land cover maps for the period 1988–2017. In this study, we compared this 30-year land cover time-series with Vietnam national forest resources and agricultural productivity statistics. We used remote sensing-derived land cover products to quantify landscape changes and linked those with food availability, one of food security dimension, from a landscape approach perspective. We found that agricultural production has soared while the coverage of agricultural areas has remained relatively stable. Land cover change dynamics coincide with important legislation regarding environmental management and sustainable development strategies in Vietnam. Our findings indicate that Vietnam has made major steps toward improving its' food security. We demonstrate that RLCMS is a valuable tool for evaluating the relationship between policies and their impacts on food security, ecosystem services and natural capital.

Keywords: food security, land cover, earth observation, ecosystems, SERVIR, sustainability, land cover change, Mekong

# **1. INTRODUCTION**

Land governance is at the center of development challenges in Southeast Asia: between 1973 and 2009 the lower Mekong sub-region lost almost a third of its forest cover (WWF, 2013). Such a drastic loss in an area of rapidly growing populations can result in dangerously reduced food security and ecosystem services. The main drivers of land cover change include expansion of agriculture and plantation estates, development of transportation, energy and water infrastructure, urbanization, extraction of natural resources, logging and forest fires (Curtis et al., 2018). Shifts in population dynamics coupled with economic growth are cited as underlying causes of land cover change, often intensified by weak governance (Bui et al., 2014; Stibig et al., 2014). Socioeconomic development, land cover and use and food security are all interconnected at different scales and have far-reaching impacts for not only local people, but the region at large. The development of appropriate land policies is therefore crucial for healthy economic and social development at the national and sub-national level.

Land cover maps are essential for assessing the five biophysical dimensions of food security (Bartholomé and Belward, 2005; Liu et al., 2008; See et al., 2015), which include estimations of cultivated area, crop type, cropping suitability, irrigation and water use, and crop yield. Traditional methods of acquiring information on crop yields and area were through in-person or field surveys using questionnaires, interviews, observations and sampling. These data were then combined and reported in statistical yearbooks. However, such methods vary depending upon skill level of surveyor, community boundaries, local traditions and definitions, and knowledge of those surveyed. Such an approach also requires significant time, personal equipment, and costs. To minimize the need for these resources, satellite data are often used to generate land cover maps (Friedl et al., 2010; Congalton et al., 2014). These maps, however, often lack ground-level validation, or the desired land cover typology is not detectable at the ideal spatial or temporal resolution. Moreover, the generation of satellite- based land cover maps requires technical expertise in the fields of remote sensing and information technology; such expertise is limited to a relatively small subset of people worldwide. New cloud-based geo-computational platforms enable a broader array of technical specialists to process large amounts of data without investing in expensive storage, extensive training and/or processing infrastructure (Gorelick et al., 2017; Markert et al., 2018; Poortinga et al., 2018).

To assess the food security within a country or smaller jurisdictional boundary, one must consider the landscapes and their impacts on food production. For example, forested highlands, which are often located upstream of agricultural areas, provide a wide variety of ecosystem services including raw materials, erosion control, water and nutrient regulation, and micro-climate regulation. These benefits are often conceptualized and quantified in an ecosystem service framework (Costanza et al., 1997; Daily et al., 1997; Stürck et al., 2014). Good environmental legislation must take these ecosystem services, and the dynamic trade-offs and synergies between them, into account. But to understand such dynamics, one must quantify the different dimensions of food security and their relations to the landscape. Current technologies provide capabilities for creating high resolution multi-temporal data series on land cover. These products have well known sources of error. For example, representing continuous land cover as discrete classes often results in misclassification at land type boundaries (Olofsson et al., 2014). Other errors in the data may include image aberrations from atmospheric conditions and flaws in the analysis methods (Foody, 2010). To accurately assess food security within a landscape, statistically robust, easily replicable and transparent approaches are required to translate pixel counts into unbiased estimates of change Olofsson et al. (2014).

This study used a landscape approach to characterize the trajectory and quantify change of two dimensions of food security in Vietnam: area under cultivation and crop types. The term "landscape approach" has been discussed since the 1980s, when the Global Landscape Forum defined it as "balancing competing land use and land cover demands in a way that is best for human well-being and the environment." This means that socio-economic development solutions must embed food and livelihoods, finance, rights, restoration and progress toward climate and development goals. Landscape approaches thus provide a framework for integrating policy and practice for multiple land uses within a given area, all while ensuring equitable and sustainable land use and improved measures to mitigate and adapt to environmental change (Reed et al., 2015).

We first assessed land cover change using Earth observation data from the Regional Land Cover Monitoring System (RLCMS). The RLCMS was developed by SERVIR-Mekong, a joint United States Agency for International Development (USAID) and National Aeronautics and Space Administration (NASA) collaborative project that aims to support development and sustainable landscape projects in the Mekong region. For this study, the RLCMS was used to produce annual land cover maps for the period from 1988 to 2017. The map products were used to characterize 30 years of changes in area under cultivation and crop type.

This Earth observation data was then coupled with crop productivity estimates from census data and estimates from statistical yearbooks. The crop type categories included in the assessment were aquaculture, rice, orchard and plantation forests, and other croplands. We applied best practices for area estimations to estimate coverage of crop commodities and other land cover classes over the same 30 years period. The assessment was applied at the national and, on a subset of the land, at the provincial level. Analyses at these two levels allowed us to demonstrate variation in observed trends across the country. We then qualitatively related these land cover and crop production trends to the adoption of key sustainable development policies in Vietnam.

We have been able to document what land covers are expanding, and what these expanding regions are replacing. This study demonstrated the capabilities of a cloud-based system like the RLCMS to accurately detects land cover dynamics, allowing developing counties like Vietnam to better address food security and nutrition needs of its' people.

## 2. MATERIALS AND METHODS

Vietnam's natural capital is provided by a total area of 33 million hectares of land and more than 3,000 km of coastline. The country is covered by a snaking and dense river and stream network, with an average total volume of surface water estimated at 830 billion cubic meters. It has rich forest resources, a wide range of minerals, fossil fuels, and a high level of biodiversitywith nearly 15 thousand species. The natural capital in Vietnam is of great value and plays an important role in the overall sustainable development strategy.

Vietnam is modernizing rapidly, with high rates of population growth and socioeconomic development since the promulgation of Doi Moi (Renovation) in 1986. As testament to this rapid growth, Vietnam's poverty rate fell from 58% in 1993 to 14.5% in 2008 (Begun, 2012). However, this swift development has negatively impacted the environment, leading to widespread land, forest and water overuse and degradation (Meyfroidt and Lambin, 2008). Deforestation, poor water quality, and decreased biodiversity are additional impacts.



**FIGURE 1** | Map of Vietnam with Nam Dinh, Nghe An, Dak Lak, Lam Dong, and Ca Mau indicated with orange colors.

Beginning in 1992 Vietnam started integrating sustainable development strategies into the country's legislative frameworks. In August 2004, Vietnam adopted the Strategic Orientation for Sustainable Development (Agenda 21), resulting in remarkable achievements in the economic, social, and environmental fields. Food security in Vietnam has improved significantly since 1986, with Vietnam becoming one of the biggest rice exporters in the world since 2010. The agricultural sector accounts for over 22% of the country's GDP, 30% of the country's exports, and 52% of the country's employment (IFAD, 2012). Agricultural growth has accelerated in recent decades through a combination of improved land use practices, better irrigation, technology adoption, and incentives, such as price liberalization and land titling, to encourage agricultural investment (Van Khuc et al., 2018). We study these dynamics in five provinces (Figure 1), which were selected to represent a range of agricultural production systems, biomes, and communities. A brief description of each province follows.

#### 2.0.1. Nam Dinh

Nam Dinh province is part of the coastal area of northern Vietnam and located in the southern part of the Red River delta (Ha et al., 2018). The province has a total area of 1,676 km<sup>2</sup> and 72 km of coastline. Nam Dinh is surrounded by a dyke system that protects the land from inundation. Nam Dinh has alluvial, nutrient rich soil highly suitable for agriculture. The coastal province is well-known for its large Ramsar site wetland (Xuan Thuy National park), and its productive mangrove ecosystems. The province is frequently affected by natural disasters, including typhoons and floods. These affect the development of important economic sectors, including agriculture, aquaculture and fisheries. Economic development has also sparked debate about land reclamation into the sea and led to loss of mangrove forest area. Coastline erosion also has been impacting economic development in recent years.

#### 2.0.2. Nghe An

Nghe An province is located in the north central coastal region of Vietnam. The total area of the province is 16,490 km<sup>2</sup>. The provinces Eastern border is coastline with high mountain ranges in the west. The monsoon tropical climate supports a rich biodiversity. The total population of Nghe An was over 3.5 million in 2019. The Kinh people make up the majority group. The practice of shifting cultivation has led to an increase in fallow land over the past decades (Leisz, 2009). Urbanization and industrial zone development in the coastal zone area has increased rapidly. Recent numbers show that services and tourism contribute to over 40% of the GDP, whereas agriculture and forestry account for 20%.

#### 2.0.3. Lam Dong

Lam Dong province is located in the southern part of Vietnam's central highland region and encompasses a total area of 9,764 km<sup>2</sup>. There are 1.3 million people and 28 ethnic groups in this province. The majority of the population are Kinh people, at 97% of the population. The province is separated by the Di Linh and Lam Vien plateaus, which have altitudes ranging from 800 to

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1,500 meters above sea level. The sloping terrain attracts many tourists. Lam Dong is the most biodiversity-rich province in the Central Highlands and south-central region. A large portion of the province is covered by natural or planted forests, that are home to a number of rare flora and fauna species. The province also contains a variety of soil types, which makes it suitable for industrial plant and crop cultivation as well as a source of mineral resources. However, the latter is a threat to the environment and biodiversity. After Vietnam released the Payment For Ecosystem Services (PFES) decree in 2009, Lam Dong was the first province that implemented the decree.

#### 2.0.4. Dak Lak

Dak Lak province is located in the central highlands of Vietnam. The province has an average altitude of 600 m above sea level. The point with the highest elevation, at 2,442 m, is located in the Chu Yang Sin National Park. The province has a total area of 13,125 km<sup>2</sup> and a population of more than 2 million people from 44 ethnic groups. The province contains a dense river and stream network providing an abundance of surface water. Moreover, the province is known for rich forest resources. The soils in Dak Lak are of basalt origin, with high fertility which make them suitable for industrial crop plantations including coffee, pepper, and rubber.

#### 2.0.5. Ca Mau

Ca Mau province is located in the Mekong Delta region, located in the southernmost part of Vietnam's inland territory. The province has a total area of 5,331 km<sup>2</sup> and supports a population of more than 1.2 million people. There are three main ethnic groups: the Kinh, Kh'mer, and Chinese. The province is situated in low, flat terrain which is frequently flooded. The average elevation is between 0.5 and 1.5 m above sea level. Ca Mau is a geologically young region, formed from silt and sediment accretion. The young, fertile lands support aquaculture, rice, mangroves, and brackish forests. In recent years a large proportion of rice paddies were converted into aquaculture uses.

## 2.1. Land Cover Maps

The land cover time series maps used in this study are from the Regional Land Cover Monitoring System (RLCMS) implemented across the Mekong region. They were created for the period from 1988 to 2017 using the Landsat legacy collection and machine learning methods in the Google Earth Engine open platform. The paper of Saah et al. (2020) provides specific technical details regarding the work flow and data processing of this system. We provide a short description below. Examples of the 1988 and 2017 maps are shown in **Figure 2**.

#### 2.1.1. Annual Satellite Image Composites

The USGS Landsat 4, 5, 7, and 8 surface reflectance images were used to create land cover time series maps. These atmospherically corrected and orthorectified Landsat images are hosted in the Earth Engine data archive. Landsat 8 data were atmospherically corrected using the Landsat Surface Reflectance Code (LaSRC) (Holden and Woodcock, 2016; Roy et al., 2016a; Vermote et al., 2016), while the images from Landsat missions 4, 5, and 7 were corrected with LEDAPS (Vermote et al., 1997; Masek et al., 2006; Ju et al., 2012; Schmidt et al., 2013). All images come with a cloud, shadow, water and snow mask produced using CFMASK (Zhu and Woodcock, 2012), as well as a per-pixel saturation mask. Images from Landsat 7 ETM+ acquired after the 2003 Scan Line Corrector failure were not included in the analysis, as scan line effects were found to propagate through the data analysis into the final products. Additional image pre-processing steps were applied to account for sensor, solar, atmospheric, and topographic distortions (Young et al., 2017) and create a reliable and consistent time series. We applied shadow and cloud removal (Housman et al., 2018; Chastain et al., 2019), a bidirectional reflectance distribution function (BRDF) (Lucht et al., 2000; Roy et al., 2008, 2016b, 2017; Gao et al., 2014) and topographic correction (Smith et al., 1980; Justice et al., 1981; Teillet et al., 1982; Soenen et al., 2005).

#### 2.1.2. Primitives

Primitives are the suite of biophysical and end member layers used to construct land cover products using a customizable assembly logic. Primitive examples include forest canopy height and fractional canopy cover (Potapov et al., 2019). The information represented by the suite of primitive layers is used to make decisions in a dichotomous key for land cover typing. To create the input primitives used in this study, we ran a supervised classification predicting the presence or absence of each land cover class. Reference data were merged with coincident values from the image stack to create a training data set used in a random forest classifier. Data for each class (presence observations) were combined with a random sample of all other classes (absence observations) to create a sample representing both classes for each primitive layer. The training data were used in a random forest model in R (Breiman, 2001; Liaw and Wiener, 2002; R Core Team, 2018) to determine the most important covariates. We used this information to select a subset of images and image derivatives, such as median normalized difference vegetation index (NDVI), from the full list of potential covariates. The random forest classifier was implemented on the subset of covariates in Google Earth Engine (GEE). It was trained with 100 decision trees and the output was saved using the probability mode option (instead of majority vote). The classifier was run on each annual image stack, creating a new time series stack of probability maps for each primitive class.

#### 2.1.3. Assembly Logic and Monte-Carlo Simulations

The final land cover assemblage was created using a decision tree and Monte-Carlo simulation. The decision tree specifies the order and thresholds used to combine all primitives together into a final land cover map. This decision tree was run 100 times using a Monte-Carlo simulation process (Binder et al., 1993). In the Monte-Carlo process, random numbers were added to each of the primitives based on the error structure of the primitive layer. The final land cover map was the mode of the 100 simulations and a probability map which contained the count of the mode divided by the total number of model runs.



FIGURE 2 | Vietnam land cover map for the years 1988 (Left) and 2017 (Right). Land cover maps of all years can be found online on the SERVIR-Mekong data portal, accessible at https://rlcms-servir.adpc.net/en/.

# 2.2. Typology

This study focuses on assessing the 8 main land cover types. Since definitions of land cover types vary between studies, we have included the precise definitions we used for each class below.

- Aquaculture is the farming of aquatic organisms, including fish, molluscs, crustaceans and aquatic plants. Areas defined as aquaculture consist of man-made pond systems on fresh or salt water surfaces, and can be permanently or temporarily flooded.
- Cropland is defined as land with temporary crops followed by harvest and a bare soil period Loveland and Belward (1997). These include single and multiple cropping systems. Example crops include cereals, oils seeds, vegetables, root crops and forages. Some areas include mixed rice and seasonal crop cultivars. Herbaceous and shrubby cultivated plants (e.g., tea and coffee) are included in this layer; but it excludes orchards, forest croplands, and forest plantations.
- Mangroves are defined as coastal sediment habitats with more than 10% woody vegetation canopy cover where the majority of cover is higher than 2 m (Loveland and Belward, 1997).
- Forest is land spanning more than 0.5 hectares with trees higher than 5 m and a canopy cover of more than 10%, or trees

able to reach these thresholds *in situ*. It does not include land that is predominantly under agricultural or urban land use.

- Orchard or plantation forests are defined by FAO as land cultivated with perennial crops that occupy the land for long periods (Blanchez, 1997). Commercial tree crops in Vietnam include mainly rubber, cashew nut, and fruit trees. Forest plantations such as eucalyptus and acacias are included in this category.
- Rice paddies are defined as irrigated or flooded fields, or low land paddy fields where rice is intensively planted for more than 1 cycle per year (can be up to 2 or 3 cycles). Rice makes up the majority of vegetation cover.
- Surface water is defined as open water bodies larger than 30 m<sup>2</sup> which are open to the sky, including both fresh and saltwater (Pekel et al., 2016).
- Urban and built-up areas include cultural lands covered by buildings, roads, and other built structures.

# 2.3. Response Design for Area Estimation

Statistically robust and transparent methods to assess map accuracy and estimate an unbiased area (of change) are critical to ensure the integrity of land change statistics. Area estimations

from only counting pixels in land cover maps are often biased because of the inherent errors of the classification process (McRoberts, 2011). Errors are rarely equally distributed between land cover classes; instead there are specific land cover categories that are more difficult to separate than others. This is due to similarity and overlap in spectral and temporal signatures between these classes. Performance can also vary between ecoregions, provinces and even districts. These errors will propagate and create biased area estimations. Hence, based on these considerations, we apply the guidelines of Olofsson et al. (2013, 2014) to estimate map accuracy and provide unbiased area estimates. We apply a stratified random sample design to ensure that classes that cover a small portion of the total area are adequately represented in the sample, while still maintaining a manageable sample size. Equation (1) (Cochran, 1978) was used to estimate the total sample size.

$$n = \frac{\left(\sum W_i S_i\right)^2}{[S(\hat{o})]^2 + \frac{1}{N} \sum W_i S_i^2} \approx \left(\frac{\sum W_i S_i}{S(\hat{o})}\right)^2 \tag{1}$$

where:

- n = Number of sample points
- N = Number of units in the Region of Interest (ROI)
- $W_i$  = Mapped area of class i (proportion)
- $S_i$  = Standard deviation of stratum *i* (Equation 2)
- $S(\hat{o}) =$  Standard error of estimated overall accuracy.

The total sample size estimate is based on the assumption that N is large, which is valid for our present study. This approach was also suggested as a good practice in Olofsson et al. (2014) and Stehman (2009). The standard deviation of stratum *i* is,

$$S_i = \sqrt{U_i(1 - U_i)} \tag{2}$$

where:

 $U_i$  = User's accuracy (commission error).

**Table 1** shows the number of samples used in this study, the proportional area, users' accuracy, and standard deviation for each land cover strata (Equation 2). The proportional area was estimated for the 2017 map and the users' accuracies were previously reported in Saah et al. (2020). Filling out Equation (1) using an S(o) of 0.01 leads to a total of 1,567 sample points. We applied a minimum threshold of 100 points for each land cover class, increasing the sample size of the surface water, mangrove, urban and built up and aquaculture strata. Without the minimum threshold we would have high uncertainty in the error estimates for these land cover strata due to their low occurrence in the landscape. The remaining points were proportionally allocated by area.

Area proportions were calculated using the confusion matrix from the validation data and Equation (3) (Stehman and Czaplewski, 1998; Olofsson et al., 2014).

$$\hat{p}_{ijy} = w_{iy} \frac{n_{ij}}{n_i} \tag{3}$$

TABLE 1 | Sampling strata for the different land cover classes.

	Wi	Ui	Si	prop	n
Surface water	0.02	0.96	0.20	28	100
Mangrove	0.01	0.69	0.46	6	100
Forest	0.46	0.87	0.34	721	577
Orchard or Plantation Forest	0.19	0.45	0.50	303	242
Urban and Built up	0.02	0.96	0.20	29	100
Cropland	0.11	0.74	0.44	172	137
Rice	0.17	0.74	0.44	265	211
Aquaculture	0.03	0.75	0.43	44	100
Total	1.00			1,567	1,567

Prop indicates the area-proportional number of points, while n is the final number of points included in the sample per strata after taking into account the minimum size threshold of 100.

TABLE 2 | Number of validation points per province.

Dak Lak	Ca Mau	Lam Dong	Nam Dinh	Nghe An
_	335	-	100	100
316	-	100	-	100
521	-	589	-	466
-	100	-	100	-
122	100	166	-	136
-	102	-	242	100
100	100	100	100	100
100	100	100	100	100
1,159	837	1,038	642	1,102
	<b>Dak Lak</b> - 316 521 - 122 - 100 100 1,159	Dak Lak         Ca Mau           -         335           316         -           521         -           -         100           122         100           -         102           100         102           100         100           100         100           1,159         837	Dak Lak         Ca Mau         Lam Dong           -         335         -           316         -         100           521         -         589           -         100         -           122         100         166           -         102         -           100         101         100           100         100         100           100         100         100           100         837         1,038	Dak Lak         Ca Mau         Lam Dong         Nam Dinh           -         335         -         100           316         -         100         -           521         -         589         -           -         100         -         100           122         100         166         -           -         102         -         242           100         100         100         100           100         100         100         100           100         837         1,038         642

Not all classes were included for every province as the areas were too small or not present.

where:

 $p_{ij}$  = Estimated area proportion for year y

 $W_i$  = Mapped area of class i (proportion) for year y

 $n_{ii}$  = Sample counts

 $n_i$  = Total sample counts of class i.

We created a separate response design for the five provinces as the area proportions vary between these regions. **Table 2** shows the number of points included for each class and province. It should be noted that not all classes are represented in each province or only cover a small portion of the total area. Hence, they were not included in the response design.

Reference data were collected in Collect Earth Online (CEO; Saah et al., 2019). CEO is a custom built, open-source, high resolution satellite image viewing and interpretation system, an on-line successor of Collect Earth (desktop) (Bey et al., 2016). No desktop installation is required and only an internet connection is needed. It includes a customizable widget interface where the project administrator can set up the display of image chips and time series plots using Google Earth Engine.

High resolution images are not available for each year of the analysis, therefore it was not possible to use this approach to validate the change areas for all years in our time series

	Aqua	Crop	Forest	Mangr	Plant	Rice	Urban	Water	Users accuracy
Aquaculture	94	0	0	0	1	1	2	2	0.94
Cropland	0	107	0	0	11	1	2	0	0.88
Forest	0	18	497	0	31	0	2	2	0.90
Mangrove	4	0	8	73	3	0	1	3	0.79
Plantations	0	5	24	0	172	0	1	3	0.84
Rice	3	0	0	0	8	168	16	2	0.85
Urban	1	1	0	0	2	0	91	1	0.95
Water	0	0	0	0	0	1	0	96	0.99
Prod Accuracy	0.92	0.82	0.94	1.00	0.75	0.98	0.79	0.88	



assessment. Therefore, we integrated census data from the Vietnam national statistical yearbook to triangulate our findings with an independent data source.

## 3. RESULTS

The results of the national level map evaluation are displayed in **Table 3**. It is notable that the numbers do not match the number of samples defined in the response design; this is due to low-quality or a completely lack of high resolution satellite imagery. The overall accuracy of the national map was 89%, with users' accuracies of the land cover classes varying between 79% and 99% and producers' accuracies between 79% and 100%. Notable overlap was found between urban and rice, forest and plantations, and forest and cropland.

We used producers' accuracy to estimate the total area of each land cover class. The results for 2017 are shown in **Figure 3**. The largest land cover class across the country is forestland, followed by plantations and paddy rice. Cropland also accounts for a large portion, whereas aquaculture, surface water, urban, and mangrove forest constitute a small percentage of the total territory.

The 1988–2017 time series land cover maps were used for change analysis. **Figure 4** (**Supplemental Data**) shows the change in relation to the total area in 1988. The urban category gained the most area, followed by plantations and aquaculture. Rice and surface water expanded slightly but increases leveled off



after 2010. Forest and mangrove areas declined, but the decline stabilized in 2000 (for forests) and in 2010 (for mangroves). The change trajectories for cropland are particularly interesting: they show a sharp increase beginning in 2000, a decline after 2003 and a stabilization after 2010. **Figure 4** shows that land cover change

dynamics in Vietnam were divided into three periods: (1) before 2000 when all land cover types changed rapidly; (2) a slow down in change between 2000 and 2005; and (3) stabilization of change from 2005 to 2010.

The change dynamics of **Figure 4** were compared with the numbers from the national statistical yearbook. **Figure 5** shows the reported area of cassava, sweet potatoes, maize, spring, winter and autumn paddy reported in the yearbook as well as the changes in detectable with the RLCMS. As the figure shows, the yearbook reports an increase in area of spring and autumn paddy, maize and cassava. The yearbook reports that the total cropland area increases, albeit at a greater magnitude than total cropland increased estimated from the RLCMS. This difference might indicate an intensification as only a small portion of the change is explained by an expansion of agricultural area.



The response design was then applied to each province. **Table 4** shows the province level users' and producers' accuracies. The highest overall accuracy was in Ca Mau, followed by Nghe An, Nam Dinh, Lam Dong and Dak Lak. Producers' accuracies were lower than the users' accuracies for plantations and crops. For the forest strata, producers' accuracies were higher than the users' accuracies.

Data from **Table 4** was used to map land cover change dynamics for each selected province in relation to the reported changes in cropland area and crop production from the statistical yearbook. **Figure 6** (**Supplemental Data**) plots the change dynamics between 1988 and 2017 as estimated by RLCMS and reported by the statistical yearbook for each province. **Figures 7–11** show the land cover changes at a 5 year interval between 1990 and 2017. Transitions from forest to cropland are most noticeable between 1990 and 2000. Charts show the estimated changes in area from the total area expressed as a percentage from the 1988 baseline. The statistics charts show the changes as reported in the statistical yearbook. The production numbers were also included (titled prod) and are expressed in thousand tons (kg).

The greatest increase in urban area was found to be in Nam Dinh, apparently at the expense of rice paddies. This is in agreement with the data from the statistical yearbook which also shows a decrease in rice area. Dak Lak province shows the highest increase in crop area, which is confirmed by the statistical data. It also shows a sharp decrease in forested area, as does Lam Dong province. In both provinces forest area was replaced by agricultural lands and tree plantations from 1988 to 2000, but this stabilized after 2000. Ca Mau province experienced rapid expansions in aquaculture ponds, mostly as a result of conversion from rice paddies and mangroves. This is well represented in both the RLCMS results and the statistical data. Land cover changes have been relatively less dynamic in Nghe An province, compared to other provinces; there were only slight increases in urban, rice, and forest areas.

The yearbook data on agricultural production levels tell a different story than the aerial coverage estimates. **Figure 6** shows that the production of almost all agricultural products

		Aqua	Crop	Forest	Mangrove	Plantations	Rice	Urban	Water	Overall
Dak Lak	users		0.87	0.75		0.74		0.95	1.00	0.82
	producers		0.74	0.92		0.64		0.83	0.93	
Ca Mau	users	0.96			0.85	0.93	0.74	0.94	0.80	0.91
	producers	0.91			1.00	0.90	0.93	0.94	0.80	
Lam Dong	users		0.90	0.81		0.90		0.93	0.94	0.86
	producers		0.72	0.98		0.61		0.91	0.96	
Nam Dinh	users	0.92			0.97		0.87	0.95	0.79	0.89
	producers	0.79			0.96		0.97	0.80	0.88	
Nghe An	users	0.89	0.88	0.94		0.81	0.85	0.85	0.97	0.90
	producers	0.96	0.87	0.95		0.76	0.85	0.88	0.90	

TABLE 4 | Overall user and producer accuracy for each province.









has increased across all provinces, without a proportional expansion in area under cultivation. Nam Dinh shows stable rice production, yet the area supporting rice cultivation decreased. Production levels in Nghe An province also increased while cultivated area decreased. For Dak Lak province we found an increase in maize production that coincides with areal expansion up to the year 2000, but after 2000 production continues to increased while area under cultivation does not. Aquaculture shows a linear increase in productivity over all years analyzed, while areal expansion stabilized after 2000.

# 4. DISCUSSION

In this study, we estimated national forest cover levels at 43.8%, which is close to the estimates of 42.7 and 44.6% reported by





the Food and Agricultural Organization of the United Nations (FAO) for the years 2010 and 2015 (FAO, 2015; Vogelmann et al., 2017). FAO also reports a sharp decline in primary forest between 1990 and 2000, and an increase in naturally regenerated forest

from 2000. This is all in line with our analysis. Previous studies regarding the decrease in area of mangroves are also in line with our findings. Mangroves were estimated to cover around 1.2% of the country in 1943 (Maurand, 1943) but decreased to 0.42% in



2010 mostly because of the expansions of aquaculture and rice paddies (Richards and Friess, 2016; Grellier et al., 2017). These dynamics are clearly shown in **Figure 4**, but are particularly clear for Ca Mau province in **Figure 6**.

Applying best practices for data validation and area estimations using a stratified random sampling design are labor and resource demanding. This is because interpreting hundreds of data-points on high resolution satellite imagery is labor intensive, and acquiring high resolution satellite imagery is resource-demanding when large areas need to be covered. A limitation of this study is that validation was done using high resolution satellite imagery from only 2017, whereas the lower resolution time-series covers 30 years. Validation of the data over multiple years would further improve the quality of the area estimations. However, we attempted to triangulate our estimates by comparing the results to report from the national statistical yearbook, and we see good agreement between the two sources of crop coverage estimates.

Overall, our analysis shows a notable increase in production with little expansion of area under cultivation. These dynamics coincide with liberalized markets, improved standards, intensified production systems, more efficient fertilizer application, better land and water management practices, the new and more efficient plant varieties (OCDE, 2015; Nguyen et al., 2017; Xuan, 2018). These improvements happened because Vietnam adopted incentives in the agriculture sector such as the Vietnamese Good Agricultural Practices (VietGAP) and the Climate-Smart Agriculture (CSA) programs, which include a number of innovative technologies and practices that aim to increase agriculture production while preserving the environment.

**Figure 12** provides a decadal timeline highlighting important legislation on environmental management in Vietnam. This timeline of improvement began when Vietnam participated in the

1992 Earth Summit in Brazil, where country committed to new sustainable development strategies. This helped the country to develop the foundation and legal framework for environmental and natural resource protection, the forest protection and development law, the environmental protection law, and the national plan on biodiversity and nature conservation, all of which were enacted between 1990 and 2000, and tied to economic development targets. As the results above show, these new policies led to a period of increased land cover transitions.

Between 2000 and 2010, the country began integrating the Strategic Orientation for Sustainable Development (Vietnam Agenda 21) into development policies, and also joined the World Trade Organization (WTO) and well as other international trade bodies. These memberships meant that Vietnam had to produce products that met requirements for world markets. International legislation was adapted to the Vietnamese context and integrated into national, ministerial, and local development and certification strategies. This ensured better linkages between economic, social, and environmental objectives, as well improved law enforcement, helping the country sustain healthier ecosystems.

Post-2010, Vietnam adopted the national Green Growth Development Strategy; this strategy defined important environmental policies, such as the national strategy on climate change mitigation and a nationwide policy on payments for forest environmental services (PFES). These new policies have undoubtedly promoted forest recovery, increased forest plantations and stabilized cropland expansion. These dynamics are also coupled with increasing food production, which is essential for national food security.

In particular, the PFES scheme seems to show promise for improved land use and socio-economic development. The implementation of the PFES program has mobilized



hundreds of thousands of households to protect and manage more than 5 million hectares of forestland in under 10 years. The program aims to promote sustainable livelihoods and incomes for local people who protect and manage forests. As a next step Vietnam is currently developing a monitoring framework to support effectiveness and transparency of PFES. Indicators in this framework include: forest area, forest quality, landscape, land use and land cover change dynamic, water quality, quantity and sedimentation. Monitoring change in these indicators will be essential for successful policy implementation.

Swidden agriculture was not included in the analysis as it is considered a land use type rather than land cover. Swidden cultivation has been a common land use systems in the tropics including Vietnam (Fox et al., 2000; Mertz et al., 2009; Van Vliet et al., 2012). Resolution 10 of 1988 and the Land Law of 1993 have played an important role in eliminate shifting cultivation by allocating fixed fields to shifting cultivators while encouraging them to cultivate these permanently in the northern and central mountainous region in Vietnam (Castella et al., 2006). Moreover, measures have been implemented on upland cultivation such as sloping agricultural land technology (SALT) to enhance the efficiency and crop productivity (Folving and Christensen, 2007). However, swidden agriculture is still practiced (Pham et al., 2018) and have evolved to accommodate the changing land laws and also to incorporate tree crops. Areas that were classified as forests in this study may be made up of trees that are part of managed regrowth in a fallow system. Similarly, croplands in the upland areas may be part of swidden systems. A land cover change analysis on using the RLCMS time series can provide more information on this.

In this paper we applied the landscape approach to analyze the land use and land cover change both nationally and in selected provinces in Vietnam. This approach allowed us to link physical land use change and changes in agricultural production to evolving policy and sustainable development strategies in Vietnam over that past 30 years. The assessment shows how increasingly complex environmental, social and political challenges that transcend traditional management boundaries can be addressed through good sustainable development policies.

Ecosystems are directly affected by changes in land use and land cover. Such changes affect ecosystem services by increasing the availability of certain services while reducing others, thus reducing the ecosystems ability to support human needs. This in turn further accelerates ecological degradation and the decreases the resiliency of communities reliant on these services (Polasky et al., 2011). With this study we showed that land cover data and information provided by RLCMS can be used for relatively quick and efficient ecosystem service evaluation and assessments that can support better policy implementation and monitoring.

## **5. CONCLUSION**

We cross-walked a remote sensing-derived 30 year land cover time-series with national statistical data of forest resources and agricultural productivity in Vietnam. We have shown that most land use and land cover changes occurred before the year 2000 and have stabilized since. This stabilization coincides with the implementation of important national environmental and sustainable development policies and strategies. We also show that agricultural production has soared while the coverage of agricultural areas has remained relatively steady. We demonstrated that RLCMS is an important tool to evaluate the effectiveness of sustainable development policies and the evaluation of ecosystem service and natural capital.

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## DATA AVAILABILITY STATEMENT

All datasets generated for this study are included in the article/**Supplementary Material**.

## **AUTHOR CONTRIBUTIONS**

AP, QN, BB, KT, DS, and FC contributed conception and design of the study. AP and QN organized the data collection. AP, QN, and FC performed the statistical analysis. AP and QN wrote the first draft of the manuscript. KT, DS, and FC wrote sections of the manuscript. All authors contributed to the manuscript revision, read, and approved the submitted version.

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## SUPPLEMENTARY MATERIAL

The Supplementary Material for this article can be found online at: https://www.frontiersin.org/articles/10.3389/fenvs. 2019.00186/full#supplementary-material

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**Conflict of Interest:** AP, KT, AT, DS, and FC are employed by spatial informatics group, LLC.

The remaining authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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