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MM
Sunanda Sinha,
Malaviya National Institute of Technology,
Jaipur, India

*CORRESPONDENCE

Nallapaneni Manoj Kumar,
✉ mnallapan2-c@my.cityu.edu.hk
Salah Kamel,
✉ skamel@aswu.edu.eg

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Lifecycle-based feasibility indicators for floating solar photovoltaic plants along with implementable energy enhancement strategies and framework-driven assessment approaches leading to advancements in the simulation tool

Nallapaneni Manoj Kumar^{1,2*}, Sayemul Islam³, Amit Kumer Podder³,
Ali Selim⁴, Mohit Bajaj^{5,6} and Salah Kamel^{4,7*}

¹School of Energy and Environment, City University of Hong Kong, Kowloon, Hong Kong, China, ²Center for Shared Practice, HICCCER—Hariterde International Council of Circular Economy Research, Palakkad, Kerala, India, ³Department of Electrical and Electronic Engineering, Khulna University of Engineering & Technology, Khulna, Bangladesh, ⁴Electrical Engineering Department, Faculty of Engineering, Aswan University, Aswan, Egypt, ⁵Department of Electrical Engineering, Graphic Era (Deemed to be University), Dehradun, India, ⁶Graphic Era Hill University, Dehradun, India, ⁷Applied Science Research Center, Applied Science Private University, Amman, Jordan

Floating solar photovoltaic (FSPV) systems that allow solar panel installations on water bodies are gaining popularity worldwide as they mainly avoid land-use conflicts created by, and for their superior performance over, ground-mounted photovoltaic installations. Though many studies in the FSPV literature showed how superior FSPVs perform, we still believe there are few potential opportunities for further enhancement in performance. On the other side, the industry's delivery of FSPV installation service to clients is often questioned, highlighting that FSPV modeling is compromised, leading to false promises on energy performance and feasibility. This might be true given the lack of modeling tools specific to FSPV. With this hypothesis, this review investigates existing modeling approaches by FSPV researchers/industry people practicing and potentially implementable energy performance enhancement strategies leading to the advancement of modeling tools. The review outcome suggested that every FSPV researcher/service provider must carefully design and optimize the FSPV system considering suitable performance enhancement strategies, for instance, replacing conventional solar panels with bifacial ones and integrating various cooling and cleaning methods. Also, while assessing the feasibility, they must follow the lifecycle-based performance indicators that broadly fall under the techno-economic-environmental and social aspects with an appropriate framework-driven assessment approach. Lastly, we have shown a conceptual FSPV project simulation tool consolidating the performance indicators and explored performance enhancement strategies that we believe would help the FSPV community.

KEYWORDS

floating photovoltaic plant, bifacial floating solar, PV cooling, dust cleaning, soiling, solar plant simulation

1 Introduction

1.1 Background

Floating solar photovoltaics (FSPV) is an emerging installation approach in the solar photovoltaics (PV) power sector that maximizes power output when compared to its counterpart ground-mounted photovoltaics (GMPV) (Ram et al., 2018). FSPVs are generally installed on water bodies such as oceans, rivers, lakes, reservoirs, wastewater treatment, fish ponds, and others (Kumar et al., 2020a). The primary push for FSPV installation has become a reality as they provide benefits, such include land use conflict mitigation and the shading effects of PV panels lessening the rate of water evaporation (Connolly et al., 2010; Akella et al., 2009; IRENA, 2020). Due to the cooling effect caused by water, FSPVs overcome the thermal losses leading to better performance. An experimental report claims that FSPV can enhance efficiency to 11% more than GMPV (Sahin et al., 2020). On the other side, global solar policies were also in consideration for FSPV (Solangi et al., 2011). This installation approach was already influential in countries like India, Singapore, Japan, Korea, and others; additionally, it can be effective in countries that do not have enough land to install large PV plants (Deo and Tiwari, 2014; Gotmare and Prayagi, 2014; Sahu et al., 2016; Charles

Lawrence Kamuyu et al., 2018). The FSPV system generally consists of several components such include pontoons, floats, mooring systems, solar PV modules, connectors, cables, power converters, and power transmission systems (Choi, 2014; Dash and Gupta, 2015). A schematic representation of a typical FSPV system is illustrated in Figure 1A. Depending upon the PV module installation approach, FSPVs can be fixed and tracking (1 or 2-axis tracking) (Choi et al., 2016; Bjørneklett, 2018); additionally, there exist few other classifications based on different components of FSPV such as floating platforms, anchoring and mooring, and electrical configuration, see Figure 1B.

1.2 Review of floating solar power plants performance

Several studies have been conducted on FSPV to analyze performance feasibility. A 10 MW FSPV project was implemented in ref (Goswami et al., 2019) that considered the technical and economic parameters to perform the feasibility analysis. The outcome showed that the FSPV system could generate 10.2% more power than land-based PV plants. As a result, the levelized tariff cost of FSPV is reduced to 39% than other types of PV plants. A combined

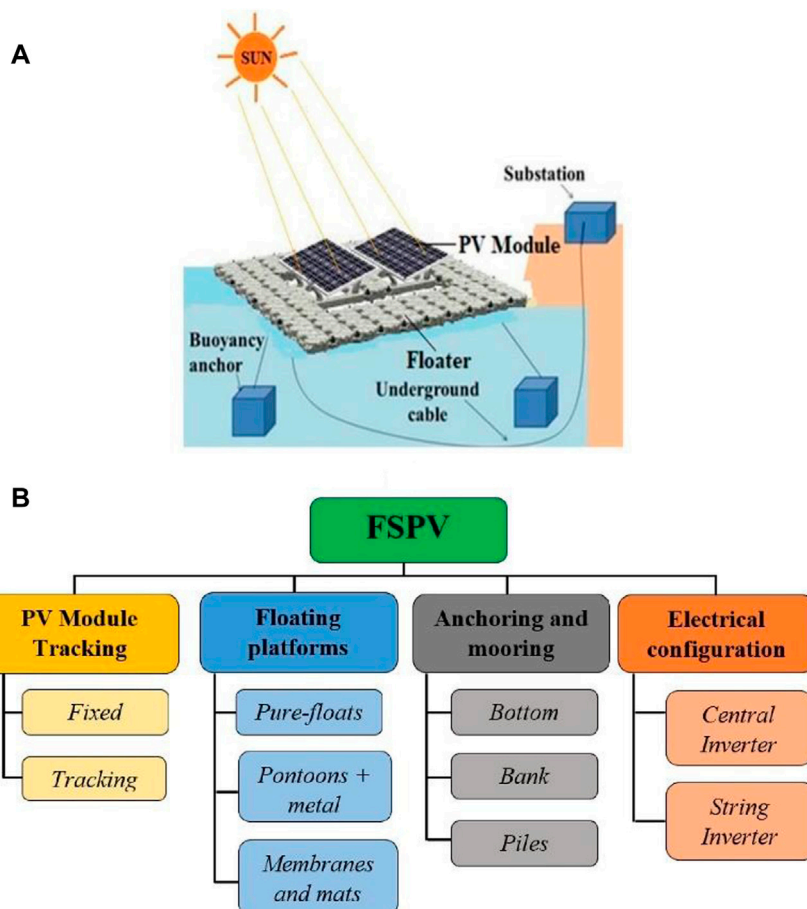


FIGURE 1

(A) A schematic representation of a typical floating solar photovoltaic system with its essential components; (B) Floating solar photovoltaic system classification based on the components. Reprinted with permission from the first author's own source in ref (Kumar et al., 2022).

operation of the 2 GW FSPV plant and a 1 GW pumped storage power (PSP) system is proposed in ref (Liu et al., 2019) to achieve maximum power efficiency and minimum power imbalances. The experiment includes the genetic algorithm to analyze the operation. The outcomes illustrate the improved power output as 9112.74 MW and the reduced energy imbalance as 23.06 MW. The FSPV implementation is demonstrated in ref (Oliveira-Pinto and Stokkermans, 2020), which aims to relate the technical and economic feasibility using simulation models. Results from (Oliveira-Pinto and Stokkermans, 2020) show a 1.81%–2.59% increase in power output and significantly reduced costs. A solar tracking-type FSPV system is demonstrated in (Xu et al., 2019) that adjusts the panel to the accurate positions using the mechanical approach to absorb maximum solar energy. The system minimized system costs as it does not require motors to adjust panel angles. A 3 MW FSPV system simulation implementation is proposed in (Perera and Wen, 2020) that analyzes the system's technical, economic, and environmental feasibility; additionally, they also simulated the combined hydropower with FSPV making the cumulative capacity 15 MW. Techno-economic analysis of an FSPV plant and a wind farm is carried out in (Golroodbari et al., 2021), incorporating cable pooling to enhance solar capability. The research proves that the combined operation lessens cost with improved efficiency. They also worked on solar and wind resources' effects on power generation. Techno-economic analysis of 1 MW FSPV plants in Korea is proposed in (Song and Choi, 2016), including a fish-eye lens and digital elevation model for shading analysis. The weather data and system operation is evaluated in the system advisor model (SAM). The simulation outcomes show that the annual power generation is 971.57 MW, and the net present value is \$897,000 in 12.3 years. A combined integration of hydroelectric power and the FSPV plant is implemented in (Rauf et al., 2020), which incorporates an optimization model to maximize power output and reach peak demand. The MATLAB platform evaluates the optimization model to analyze the technical feasibility. The results claim an additional 3.5% power output for the combined operation. The study continues a technical analysis of power output and does not provide any economic or environmental views. Another combined hydroelectric power and FSPV plant operation are demonstrated in (Farfan and Breyer, 2018), aiming to reach peak demand during irradiation hours. The FSPV plant prevents the water evaporation of the reservoir from facilitating the hydropower operation. The study directs some restrictions of FSPV operation due to seasonal and environmental effects. Technical analysis of an FSPV plant is represented in (Ho et al., 2015) that incorporates the double water-saturated microencapsulated phase change material (MEPCM) layer. The study analyzes the effect of integrating the MEPCM layer with the FSPV panel and the result of temperature control. The simulation result shows that the power efficiency with the MEPCM layer is increased by 2.03% during summer than the non-layered system. The reliability of the FSPV plant is proposed in (Kim et al., 2019), which determines the water level of the reservoirs through OpenAPI. The outcomes of the study provide a preliminary analysis of FSPV technical operations. A thin film FSPV concept is proposed in (Trapani and Millar, 2014) that is expected to enhance the output power by 5%. An economic analysis of the 100MW FSPV plant is demonstrated in (Zhou et al., 2009) that considers investment, maintenance cost, lifespan, payback period, operation cost, inflation rate, the rate of interest, the minimum attractive rate of return (MARR), and so on as essential

parameters. The experimental outcome shows that the MARR and the interest rate increased by 8% and 2%, respectively.

1.3 Research gap and motivation for the review, and contributions in brief

Based on the literature review mentioned in Section 1.2 on the performance of FSPV, it is clear that several research works have been performed to investigate the FSPV feasibility. They mainly showed how superior FSPVs perform compared to counterpart GMPVs, but we believe there are still opportunities for further power performance enhancement. This is because most studies on FSPV performance in literature ignored systems innovation concepts that would potentially help in improving performance, for instance, cleaning systems integration, cooling systems integration apart from natural cooling, and technology upgrades in the system components (e.g., bifacial as it captures reflected components of solar radiation given water is good reflecting medium).

We also understood that individual analyses, i.e., technical, economic, and environmental, are pretty standard, and the combined analysis (i.e., FSPV system feasibility considering all analyses earlier mentioned) is infrequent, even on the industry side, which provides services to clients. On the other side, the industry's delivery of FSPV installation service to clients is often questioned, highlighting that FSPV modeling is compromised, leading to false promises on performance and feasibility. This might be true given the lack of modeling tools specific to FSPV systems. Also, most studies ignored many key parameters that need to be accounted for while modeling, for instance, degradation and risks to the water ecosystem and other social problems. Additionally, the indicators related to lifecycle sustainability are less given importance. To put this more straightforwardly, the life cycle assessment is somewhat touched on in academia and not touched by industry service providers when delivering service to clients. In some studies, greenhouse gas emission (GHG) reduction based on electricity emission factors benchmarked to fossil fuel-based power plants was only considered in environmental assessment, which may not be suitable under current environmental, social, and governance (ESG) criteria. These insights provide that there is a robust research gap from the point of systems and approach that enhance FSPV power performance, the need for carrying out the combined analysis or integrated assessment for feasibility analysis, and the need for advancing simulation tools specific to FSPV possibly with a framework and conceptual software model.

With this hypothesis, we formulated this review to explore better feasibility assessment approaches for FSPV. For this, we first reviewed various lifecycle-based performance indicators and then identified key indicators that play a significant role in performance enhancement, followed by energy enhancement options. Second, a holistic performance framework that should be practiced in academia and industry is proposed based on the indicators. Third, we reviewed multiple modeling approaches and tools available in the literature to see whether FSPVs can be simulated (with and without adding these performance enhancement strategies), along with the respective tool capability and functionality as per our lifecycle-based performance indicators. Lastly, in the fourth step, based on the outcome of the third step, assessment approaches for FSPV and feasibility tool

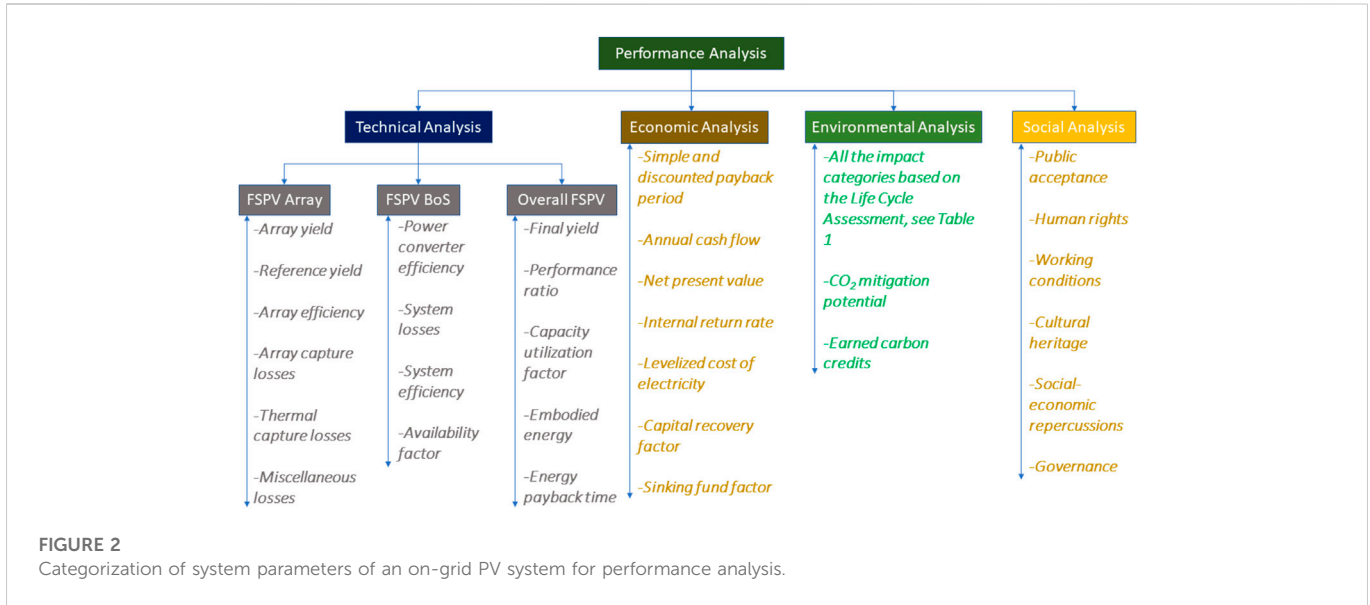


FIGURE 2
Categorization of system parameters of an on-grid PV system for performance analysis.

advancement by proposing a conceptual simulation tool specific to the FSPV project are discussed.

2 Floating solar photovoltaic plant feasibility indicators and energy enhancement strategies

2.1 Lifecycle-based feasibility indicators for FSPV

To better understand the FSPV project feasibility, while planning, one should investigate multiple indicators covering the lifecycle of the FSPV and various other intra/interdependent factors. The essential indicators identified for FSPV project feasibility are given in Figure 2; these are classified under the techno-economic-environmental and social analysis category.

2.1.1 Indicators under technical analysis and system design

The indicators under technical analysis are further divided into the PV array, the balance of the system (BoS), and the overall system based on the FSPV power plant architecture. For a detailed understanding of PV array performance over water bodies, it is advised to assess the array yield, reference yield, array efficiency, array capture losses, thermal capture losses, and miscellaneous losses, followed by investigating the effect of variations in the local weather parameters. Coming to FSPV as a system, especially from the BoS perspective, it is advised to assess the power converter efficiency, system losses, system efficiency, and availability factor, whereas, from an overall system point of view, it is advised to assess the final yield, performance ratio, capacity utilization factor, embodied energy, and energy payback time. Considering the installation of FSPV is also under technical analysis, it is better to assess the wind-bearing strength of the PV panels installed with mooring system support (Kumar et al., 2022).

2.1.2 Indicators under economic analysis

The indicators under the economic analysis should be simple payback and discounted payback period, annual cash flow, net present value, internal return rate, and levelized cost of electricity, followed by a detailed understanding of capital recovery factor, sinking fund factor, discount rate influence, revenues from decommissioning stage of the plant, and a number of years of operation (i.e., FSPV lifetime depending upon the individual components lifetime or taking the PV array lifetime reference) (Kumar et al., 2022).

2.1.3 Indicators under environmental analysis

The indicators under the environmental analysis should not just be carbon dioxide (CO₂) emission; instead, they should include all the impact assessment metrics of environmental life cycle assessment, see Table 1 (Kumar et al., 2020b). Additionally, CO₂ mitigation potential benchmarked to national or regional energy mix based on the FSPV installation location and earned carbon credits for trading to include in the economic assessment. Also, given the FSPVs on water bodies, it would be better to investigate the threats to water bodies and downstream due to materials leaching and other harmful element releases by accounting for water-consuming clients (e.g., industry, people, and animals).

2.1.4 Indicators under social analysis

The indicators under the social analysis can be public acceptance of the FSPV in their location, local employment creation, contribution to economic development at the local level, and transfer of technology and knowledge among the local people in the FSPV site. In addition, we should also assess the indicators related to the FSPV project life cycle by accounting for impact categories such as human rights, working conditions, cultural heritage, social-economic repercussions, and governance, considering the involved stakeholders falling broadly under groups like workers, local community, society, and value chain actors. The indicators under the above impact categories are given in Table 2 (Manik et al., 2013).

TABLE 1 Impact categories and criteria in environmental life cycle assessment (Kumar et al., 2020b).

Indicator		Description
Acidification	Soil	As a result of the production of gases like nitrogen oxides and sulphur oxides, soils may get acidified, and this is an indicator of such
	Water	Indicator of the possibility of water becoming acidic from the emission of gases like nitrogen oxides and sulphur oxides
Aquatic ecotoxicity	Freshwater	Indicator of toxicity of environmental pollutants and its effects on freshwater creatures
	Marine	Indicator of hazardous compounds released into the environment and their effects on marine organisms
Depletion of resources	Elements	Natural non-fossil resource depletion indicator
	Fossil fuels	Natural fossil fuel resource depletion indicator
Eutrophication		A sign that the aquatic ecosystem has become more nutrient-rich as a result of the discharge of chemicals that contain phosphorus or nitrogen
Global warming		Potential global warming indicator caused by air emissions of greenhouse gases
Human toxicity		Effects of harmful compounds released into the environment on people
Ozone depletion		Measurement of air pollutants that contribute to the ozone layer's deterioration
Photochemical ozone creation		Indicators of gas emissions that have an impact on smog which is the lower atmosphere's reaction to sunlight-catalyzed ozone formation
Terrestrial ecotoxicity		Hazardous compounds released into the environment and their effects on land organisms
Pollution	Air	Measurement of the volume of air needed to dilute the harmful substances released into the air
	Water	indicator of the volume of water necessary to dilute harmful substances released into soil or water

2.2 Energy enhancement strategies

From the indicators highlighted in Section 2.1 a few indicators related to solar PV arrays can be improved with the appropriate introduction of solar panel cooling and cleaning strategies. At the same time, PV array energy can be further enhanced by replacing the conventional monofacial solar PV modules with bifacial solar PV modules.

2.2.1 Bifacial or dual glass modules for performance improvement

A bifacial solar PV cell is a promising technology that enhances electric power generation in any solar PV plant by capturing the reflected component of solar radiation. Since FSPVs are installed over water bodies, there is a high scope for bifacial or dual glass modules. The bifacial solar PV modules absorb radiation utilizing both the front and rear sides of the panel. The power generated at each side can be incorporated to measure the efficiency of the PV panel, thus improving the overall PV array parameters (Raina and Sinha, 2022). To understand the role of bifacial modules in FSPV, we explored different essential factors, losses, and significant challenges of bifacial PV systems, see Figure 3. Also, mathematical modeling was presented for power performance estimation.

The power generated at the front face (P_f) and at the rear-face (P_r) can be expressed as shown in Eq. 1 and Eq. 2 (Shoukry et al., 2016). This power can vary with the soiling effect and it needs appropriate cleaning techniques (Raina and Sinha, 2023).

$$P_f = G \cdot A \cdot (\eta_f + \alpha \cdot \eta_r) \tag{1}$$

$$P_r = G \cdot A \cdot (\eta_r + \alpha \cdot \eta_f) \tag{2}$$

where G is the global horizontal irradiance, A is the PV module area, α is the albedo, η_f is the efficiency at the front-face, and η_r is the efficiency at the rear face.

The efficiency at the front and rear face of the PV panel can be determined using Eq. 3 and Eq. 4 (Shoukry et al., 2016; Raina and Sinha, 2023).

$$\eta_f = \frac{P_f - \alpha \cdot P_r}{G \cdot A \cdot (1 - \alpha^2)} \tag{3}$$

$$\eta_r = \frac{P_r - \alpha \cdot P_f}{G \cdot A \cdot (1 - \alpha^2)} \tag{4}$$

The bifaciality factor (BF) for the bifacial FSPV system shown in Eq. 5 measures the relative efficiency of the front and rear sides (Kreinin et al., 2012). It can be expressed as the ratio of the front side efficiency (η_{front}) and rear side efficiency (η_{rear}).

$$BF = \frac{\eta_{front}}{\eta_{rear}} \times 100 \tag{5}$$

The separation rate (SR) that is a parameter of efficiency measures for bifacial PV can be expressed in Eq. 6 (Ohtsuka et al., 2001)

$$SR = \frac{J_{SC,front+rear}}{J_{SC,front} + J_{SC,rear}} \tag{6}$$

where $J_{SC,front+rear}$ presents the combined short-circuit current density for the front and rear sides of the system. $J_{SC,front}$ and $J_{SC,rear}$ presents the short-circuit current density of the front and rear sides, respectively.

Relative comparison between bifacial FSPV and mono-facial PV can be expressed in terms of bifacial gain (BG); see Eq. 7. (Shoukry et al., 2016; Sun et al., 2018; Raina et al., 2022). Different empirical models exist for bifacial solar PV systems, as seen in Table 3.

$$BG = \frac{X_{b-PV} - X_{m-PV}}{X_{m-PV}} \times 100 \tag{7}$$

where X_{b-PV} and X_{m-PV} present the electricity generated from the bifacial and mono-facial FSPV systems.

TABLE 2 Impact category and criteria in social life cycle assessment (Manik et al., 2013).

Impact categories	Criteria	Stakeholders
Human right	Free from the employment of children and forced labor	Workers
	Equal opportunities, free from discrimination	Workers
Working conditions	Freedom of association and collective bargaining	Workers
	Fair salary	Workers
	Decent working hours	Workers
	Occupational health and safety	Workers
	Social benefits	Workers
Cultural heritage	Water body and land acquisition, delocalization, migration	Local community
	Respect for cultural heritage and local wisdom	Local community
	Respect for the customary right of indigenous people	Local community
	Community engagement	Local community
	Safe and healthy living conditions	Local community
	Access to material resources	Local community
	Access to non-material resources	Local community
	Transparency on social/environmental issues	Local community
Social-economic repercussion	Contribution to local employment	Society
	Contribution to economic development	Society
	Food security	Society
	Horizontal conflict	Society
	Transfer of technology and knowledge	Society
Governance	Public commitments to sustainability	Value chain actors
	Fair competition	Value chain actors
	Free from corruption	Value chain actors

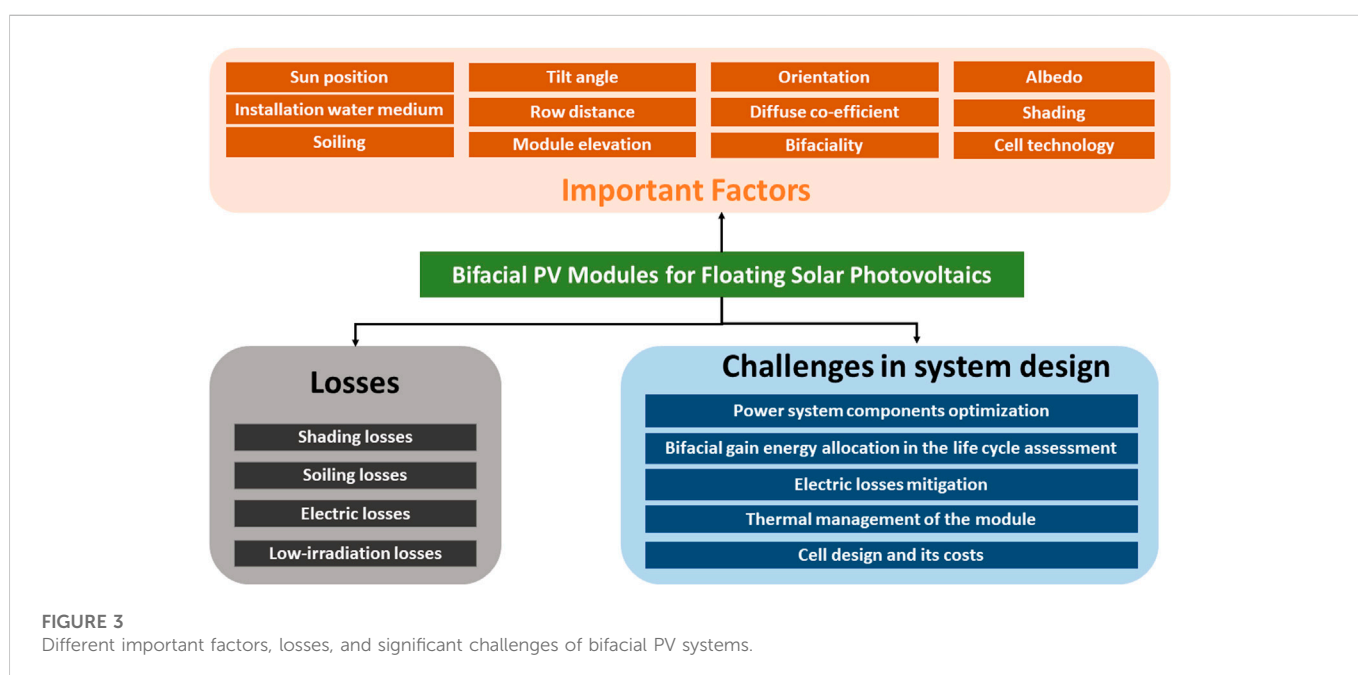


FIGURE 3 Different important factors, losses, and significant challenges of bifacial PV systems.

TABLE 3 An illustration of different empirical models for bifacial solar PV systems.

Model	Mathematical model	Parameter's description	Ref
Kutzer Model	$G_{BE} = \rho_p * B * 0.9 * [0.317(1 - \frac{1}{\sqrt{r}})(1 - e^{-\frac{8.691h}{r}}) + 0.125(1 - \frac{1}{r})]$	G_{BE} is the bifacial energy gain r is the normalized row spacing	Kutzer et al. (2016)
		h is the normalized clearance height	
		B is the bifaciality of the PV panel	
		ρ_p is the ground albedo	
Castillo Model	$G_{BE} = 0.317 * \beta_p + 12.145 * H_p + 0.1414 * \rho_p$	β_p is the tilt angle of the bifacial PV	Castillo-Aguilella and Hauser (2016)
		H_p is the clearance height	

TABLE 4 Summary of different cooling techniques highlighting their key features and efficiency improvements along with applicability for FSPV.

Categories	Key features	Efficiency	Ref	Suggestions for FSPV
Water-based Cooling System	-Analyzes the effect of water spraying on PV panel	-14% increase in power efficiency for back surface cooling	Nižetić et al. (2016)	Depending upon the FSPV installation type and the water-based cooling system there is high chance to increase power efficiency from 3% to ~26%
	-Applied for the Mediterranean climate condition	-14.6% increase in power efficiency for front surface cooling		
	-Considers the impact of peak solar irradiation levels	-16.3% increase in power efficiency for simultaneous cooling		
	-Introduces a pulse-spray cooling system	-At 69W, the increase in power is 25.7% for the pulsed-cooling system at DC = 1	Hadipour et al. (2021)	
	-Focuses on increasing the power efficiency and on decreasing water consumption	-At 68W, the increase in power is 26.5% for the pulsed-cooling system at DC = .2		
	-Considers the overall heat loss, solar irradiation, and evaporation heat loss as important parameters			
	-Analyzes the impact of water spraying over the front of the PV panel	-3% increase in power efficiency for the proposed cooling system than the PV system without the cooling system	Sandhya et al. (2015)	
	-Demonstrates the effect of the mass flow rate of water on the PV panel			
-Focuses on maintaining the nominal temperature				
Heat Sink Cooling System	-Integrates the thermoelectric and heat sink modules	-Increases the efficiency up to 1% for the proposed configuration	Pang et al. (2015)	With the incorporation of heat sink to FSPV modules there is a chance to reduce 1% power loss
	-Focuses on improving power efficiency and eliminating hit spot			
PCM-based Cooling System	-Utilized solar insolation in V-trough	-55% power enhancement for the proposed configuration	Maiti et al. (2011)	With the incorporation of PCM-based cooling system to FSPV modules there is a high chance for power enhancement
	-Introduces a metal-wax composite PCM			
	-Maintain a safe operation under low wind velocity conditions			
Forced Air Circulation System	-Introduces Peltier effect for the cooling method	-The output power efficiency is increased by 13%	Mazón-Hernández et al. (2013)	Given the water surface as a medium of installation for FSPV, this may be less applicable, however in certain conditions forced air circulation system can be used to have moderate increase in power efficiency
	-Includes a thermoelectric cooling module			
	-Focuses on maintaining module temperature at a nominal level			

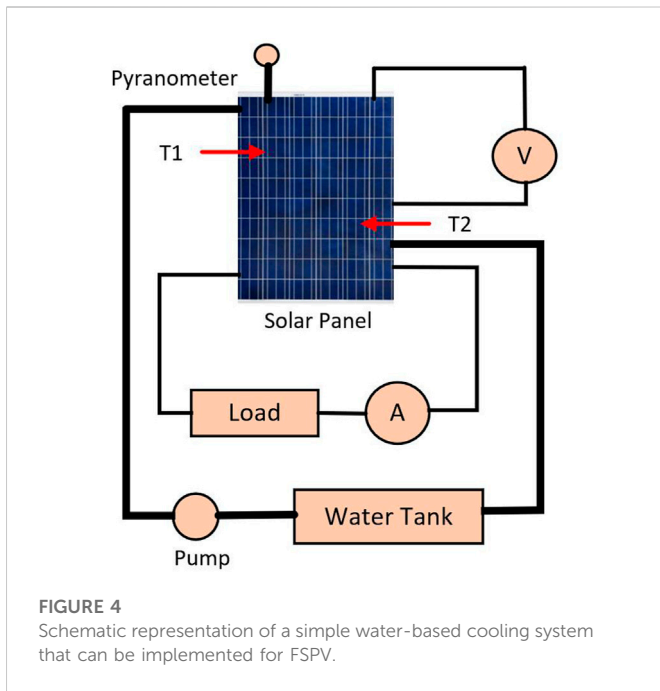


FIGURE 4
Schematic representation of a simple water-based cooling system that can be implemented for FSPV.

The front side irradiance (G_f) can be expressed as shown in Eq. 8 (Gu et al., 2020)

$$G_f = G_{b-f} + G_{d-f} + G_{r-f}$$

$$= (G_g - G_d) * R_{b-f} + G_{d-f} + G_g * \rho_g * \frac{1 - \cos \beta_g}{2} \quad (8)$$

where G_{b-f} , G_{d-f} , and G_{r-f} present the front side beam, diffusion, and reflection irradiance, respectively. G_g and G_d demonstrate the global and diffuse horizontal irradiance, respectively. β_p is the tilt angle of the PV panel and ρ_g is the albedo. R_{b-f} is the front tilted irradiance and the horizontal irradiance.

The irradiance gain (G) for bifacial FSPV can be illustrated as per Eq. 9 (Singh et al., 2014)

$$G = \frac{G_f + G_r}{G_f} \quad (9)$$

where G_f and G_r presents the solar irradiance in the front and rear sides of the bifacial solar panel, respectively.

The irradiance factor (Y) can be expressed in Eq. 10

$$Y = \frac{G_f}{G_r} = G - 1 \quad (10)$$

2.2.2 Role of module cooling in performance improvement

This section analyzes different techniques for FSPV cooling that minimize the PV module's temperature and maximizes efficiency apart from the natural cooling that is possible in the FSPV ecosystem. Table 4 presents a summary of different cooling techniques with key features and efficiency, and the below sections describe each of the cooling technique.

2.2.2.1 Water-based cooling system

Water veil cooling (WVC) consists of a water veil and pumping system that monitors the reflection of solar radiation and

temperature changes (Cazzaniga et al., 2018). The water veil eliminates the negative effects of radiation absorption and improves output power during winter conditions. Water veils lessen the thermal shock and aging of PVs and enhance the overall efficiency of PV systems. A water spray cooling technique for PV plants is proposed in (Nižetić et al., 2016) to maintain cooling operation by spraying water on both sides of the PV panel. The experimental outcomes show a significant increment of output power. A pulsed-spray water cooling technique for PV panels is demonstrated in (Hadipour et al., 2021). The method analyzes the probable uncertainties with an infrared camera, voltmeter, amperemeter, and pyranometer. The experimental result of the process is compared with the steady-spray cooling technique to validate the reliability. A water spray cooling system is presented in (Sandhya et al., 2015) to maintain PV operating temperature at a specific level, as shown in Figure 4.

The forced water circulation system (FWC) system consists of a PV module, thermal collecting pipes, and a water storage tank to maximize system efficacy (Good, 2016). The pipes circulate water to utilize waste heat when the PV system is exposed to solar radiation. The waste heat is applied for other domestic applications. The water immersion cooling (WIC) technique provides the floating PV plant's idea where the PV module is placed in a water medium. Water absorbs the extra heat from the PV module to maintain the temperature level at a specific range.

2.2.2.2 Floating tracking cooling concentrator system

Floating Tracking Cooling Concentrator (FTCC) system consists of PV modules, water sprinklers, and solar reflectors (Jordehi, 2016). The plan illustrates the idea of the floating PV system that provides a one-axis tracking system to track solar radiation. Water sprinklers maintain the PV module's cooling operation. The solar reflector aims to receive maximum solar radiation that can maximize the output power. An FTCC method is proposed in (Parel et al., 2015) that considers the angular distribution of light to enhance PV system efficiency. An FTCC technology is developed in (Wu et al., 2016) that includes 3-D tracking techniques.

2.2.2.3 Thermoelectric cooling system

The cooling system consists of joining a p-type semiconductor and an n-type semiconductor that considers the Peltier effect of passing heat from the high-temperature side to the low-temperature side of the PV module. The system allows p-type and n-type semiconductors to connect in series electrically and to connect in parallel thermally. The cooling system includes the PV module, insulator, heat sink, glass cover, and thermoelectric (TE) generator module (Sahay et al., 2015).

2.2.2.4 Heat sink cooling system

The cooling system consists of the heat sink and thermoelectric module to reduce system temperature and increase efficiency (Chen et al., 2013). TE is connected to the back part of the PV module, and two thermal resistors are connected at the top and back parts of the PV module. The temperature increases at the top of the PV module than the back parts as the PV module is exposed to solar radiation. The power generated due to the temperature difference is dissipated through the thermal resistors. The heat sink dissipates the temperature and maintains the PV system's cooling operation (Pang et al., 2015).

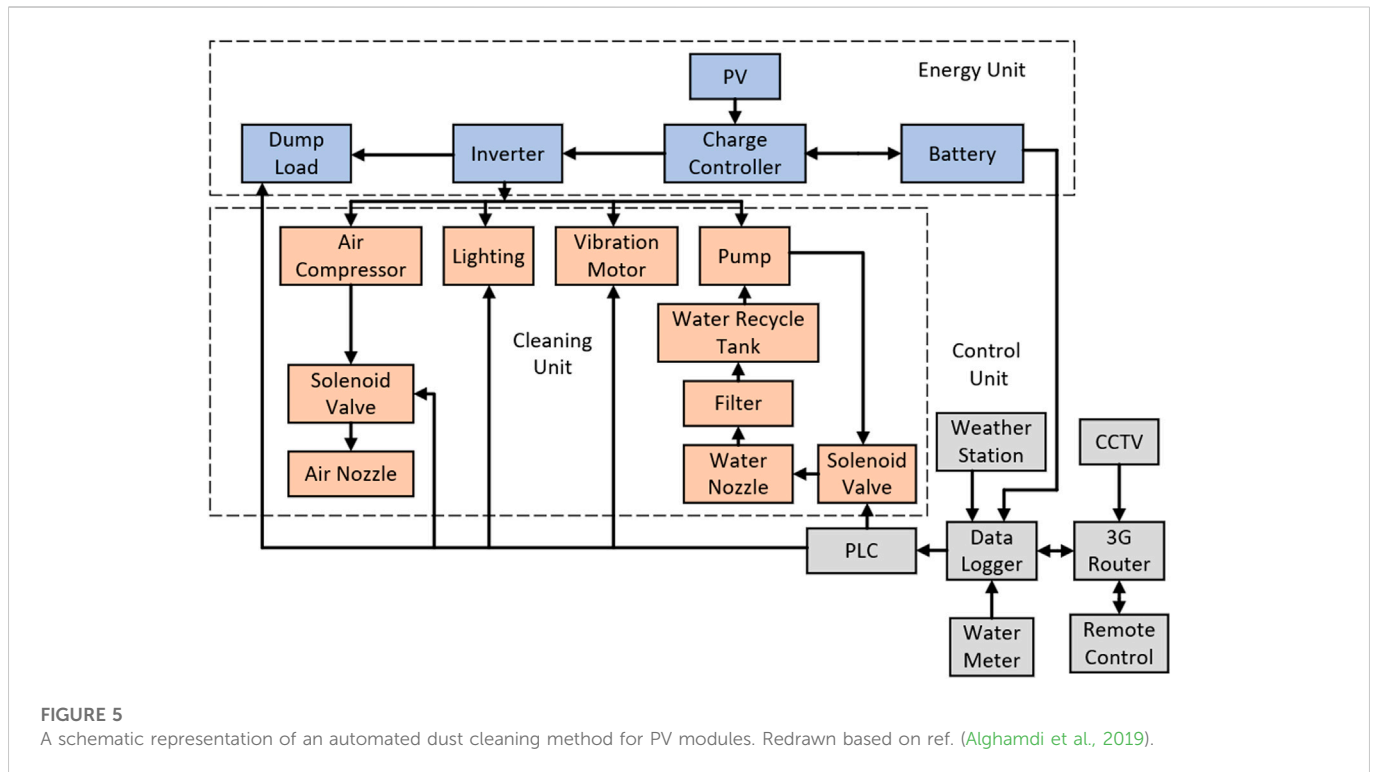
TABLE 5 Summary of different cleaning techniques highlighting their key features and efficiency improvements along with applicability to FSPV.

Categories	Key features	Efficiency	Ref	Suggestions for FSPV
Mechanical Cleaning Techniques	-Includes antistatic coatings	-For only PV panel, the efficiency increases by 7.51%	Al-Badra et al. (2020)	Not advised for FSPV as these are mainly applicable for dry and desert regions
	-Utilizes mechanical vibrators	-For PV panel with coating, the efficiency increases by 8.46%		
		-For PV with coating and vibrations, the efficiency increases by 9.75%		
	-Analyzes cleaning efficiency considering waterjet, air, and vibrations	-For vibration-based cleaning, less efficient performance than References strings -For water cleaning, 27% increment of power efficiency -From economic analysis, 10% reduction in installed cost	Alghamdi et al. (2019)	Could be considered for FSPV vehicle port locations, and reservoirs close to sand soiling areas
Self-Cleaning Techniques	-Introduces a dip coating method with two different chemicals (TMCS and HMDS)	-The increment of WCA is 149% for TMCS, where only 48% increment for HMDS	Ayaz et al. (2020)	Applicable to FSPV and depending upon the availability the FSPV service provider or owner can opt it
	-Emphasizes two factors: transmittance and water contact angle (WCA)	-90% transmittance for uncoated glass		
	-Analyzes the rate of removing of dust particles from the polycarbonate disk surface	-The rate of dust elimination increases as the speed of rotation increases	Rifai et al. (2016)	
	-Considers different factors: SEM, AFM, EDS, and XRD	-Dust loss is 3.167% for 100 rpm and is 78.230% for 375 rpm		
Other Preventative Cleaning Techniques	-Analyzes the effects of light intensity blocking for a range of 500–700 nm	-The transmittance for brushed glass is 90.67%, where 90% transmittance for water and delicate wipers	Al Shehri et al. (2016)	-There is high chance for integrating these techniques in FSPV as many were applicable based on the installation type -Also, water resource would not be a big problem in this approach, so consumer or service provider does not have to worry much about water availability and water recyclability
	-Considers the impact of dry cleaning and brushing			
	-Analyzes the effect of dust and temperature on PV panel	-The coefficient of determination performance metric for the ELM model is 91.42% and 90.69% for the ANN model	Al-Kouz et al. (2019)	
	-Integrates the ANN and ELM model to estimate efficiency conversion			
	-Improves the PV performance by cleaning PV module considering decomposition dust velocity and power efficiency	-The daily power loss is 0.25%	Jiang et al. (2018)	
	-Considers the parameters: the installation tilt angles, dust concentration, and the average particle diameter	-Particle diameter greater than 10 μm is recommended for the optimal cleaning process		
	-Analyzes the effects of dust on PV performance	-55% water recycling capability	Majeed et al. (2020)	
	-Introduces mono-crystalline and poly-crystalline PV modules	-Improves PV module power efficiency by 98% in 35 s		
-Includes water sprays flat-top nozzle				

2.2.2.5 Phase-change material based cooling system

The system includes phase-change material (PCM) at the back part of the PV module. PCM has heat storage capability and balances temperature by melting property when the PV module is exposed to solar radiation and temperature increases ([Sharma et al., 2004](#)). A PCM-based cooling system is proposed in ([Hasan](#)

[et al., 2010](#)) that is simulated in the solar simulator platform for three different configurations. The PCM includes the Eutectic mixture of capric-lauric acid, the Eutectic mixture of capric-palmitic acid, and pure salt hydrate. A wax composite PCM matrix-based PV cooling technique is demonstrated in ([Maiti et al., 2011](#)).



2.2.2.6 Forced air circulation system

The system consists of the PV module, forced circulation fan, and air channel. The air circulation fan circulates waste heat from the PV module through the air channel, which maintains the PV temperature at a nominal level and increases efficiency (Mazón-Hernández et al., 2013).

2.2.3 Role of module cleaning in performance improvement

Dust on FSPV modules decreases the overall power efficiency. Hence an appropriate cleaning mechanism is required for better energy yields and smooth operation of the FSPV system. This section analyzes different FSPV panel cleaning techniques. Table 5 presents a brief summary of the cleaning techniques' key features and energy efficiency increments.

2.2.3.1 Mechanical cleaning techniques

A cleaning technique for PV panels is demonstrated in (Al-Badra et al., 2020) that incorporates nano-coating with an automated mechanical vibrator. The method is implemented in desert conditions for three cases: PV panel without nano-coating, PV panel with nano-coating and without the mechanical vibrator, and PV panel with nono-coating and mechanical vibrator. The mechanical vibrator shakes the PV panel twice a day to clean the panel. The efficiency of the PV panel is calculated using Eq. 11

$$\eta = \frac{V_{Max_PP} I_{Max_PP}}{A_a G} \quad (11)$$

where η is the efficiency. V_{Max_PP} and I_{Max_PP} are the maximum point of voltage and current, respectively. A_a is the aperture area of the PV panel, and G is the solar irradiance.

An automated dust cleaning method for PV modules in desert conditions is presented in reference (Alghamdi et al., 2019), as shown in Figure 5, including mechanical vibrations, air-jet, and waterjet for the cleaning system. The performance efficacy is evaluated for the three individual systems in terms of power output from the module. Both the mechanical vibrations and air-jet cleaning system cannot show significant output power improvement, while the water cleaning method improves power output by 27%. A mechanical cleaning method is proposed in (Mani and Pillai, 2010), that is, effective where the water cleaning method is not applicable. The method's demerits are that it consumes more power and needs additional costs for mechanical device maintenance. Anderson (2010) demonstrates a mechanical PV cleaning method that enhances the cleaning efficiency by 15%. Another mechanical cleaning technique in (Moreno et al., 2006) provides a 7% increment of power efficiency.

2.2.3.2 Self-cleaning techniques

A self-cleaning technique for PV modules is proposed in (Ayaz et al., 2020) that incorporates chemicals coatings with two chemicals: trimethylchlorosilane (TMCS) and hexamethyldisilazane (HMDS). The system efficacy is compared with other methods considering some basic terms: water contact angle (WCA), spectrometry, dust measurement, and water cleaning. The analysis shows that the self-cleaning technique with TMCS provides maximum efficacy than HMDS. A self-cleaning method based on the dynamic response of a polycarbonate disk is proposed in (Rifai et al., 2016). The method analyzes different forces, such as centrifugal, gravitational, drag, adhesion, and friction forces, that generate due to the rotational motions of the dust. It considers different important factors: scanning electrons and atomic force microscopes (SEM and AFM), X-ray diffractions (XRD), and energy dispersive spectroscopy (EDS). An ultrasonic self-cleaning technique is demonstrated in (Vasiljev

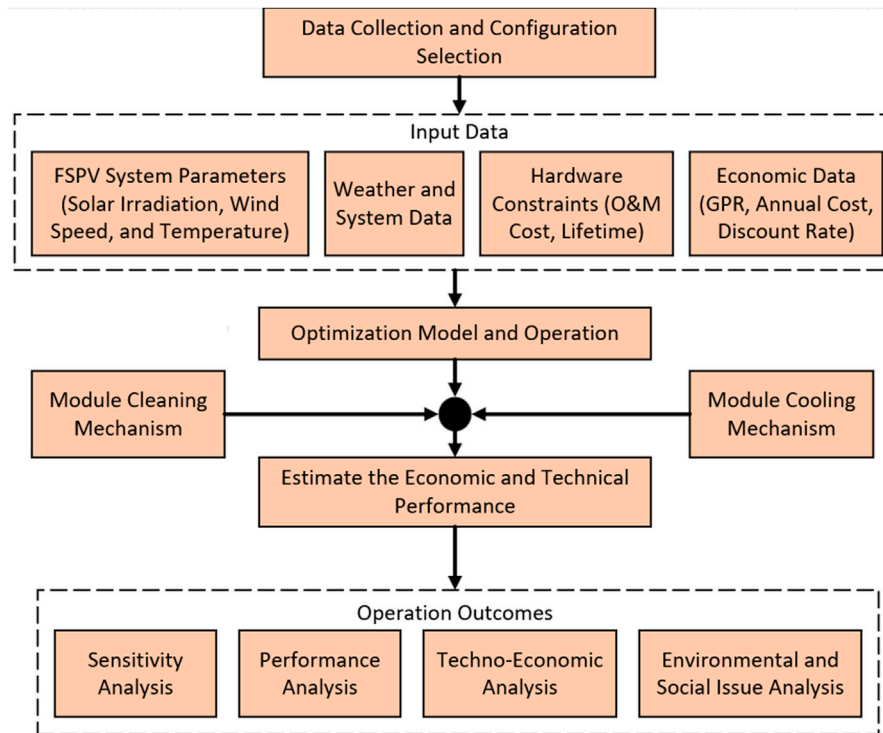


FIGURE 6

A holistic framework proposed for FSPV feasibility assessment considering techno-economic-environmental and social indicators.

et al., 2013) that requires a thin water layer (<1 mm) to continue an efficient PV cleaning process.

2.2.3.3 Forced airflow based cleaning techniques

A forced-air-based PV cleaning system for United Arab Emirates (UAE) conditions is proposed in (Assi et al., 2012) that considers PV temperatures, dust storms, and solar irradiance. The scheme improves the power output by enhancing cooling efficiency. Three individual technologies (electrodynamic screen, superhydrophobic nano-coatings, and air-blowing mechanism) based PV panel cleaning technique is demonstrated in (Alqatari et al., 2015). Each of the technologies was implemented in six different Saudi Arabia locations to verify the effectiveness of the PV cleaning system.

2.2.3.4 Miscellaneous preventive cleaning techniques

1) A dry cleaning technique to remove dust particles from PV modules is presented in (Al Shehri et al., 2016). The study analyzes the effect of Nylon brushes in comparison to other processes: water and delicate wipers. The experiment's statistical data indicates the technique is an optimal dust-cleaning tool with positive potential. A computational model is proposed in (Al-Kouz et al., 2019) to analyze the effect of dust and temperature on PV panels incorporating the artificial neural network (ANN) and extreme learning machine (ELM) models. Different matrices have been taken into consideration to predict parametric values and conversion efficiency. ELM indicates the conversion efficiency of the proposed model as 91.4%. The conversion frequency is given in Eq. 12.

$$\eta = \frac{E_{OUT}}{HA} \quad (12)$$

where η is the conversion efficiency, E_{OUT} is the output efficiency, A is the area of PV panel, and H is the total global incident irradiance.

2) A simplified model is demonstrated in (Jiang et al., 2016) to estimate the PV module's cleaning frequency in desert conditions considering two factors: dust decomposition velocity and dust decomposition density. The model analyzes the effect of different parameters: tilt angle, average particle diameter, and dust concentration on PV modules for cleaning efficacy. The model has limitations in analyzing the increment of cleaning efficiency due to rainfall over the PV panels.

The cleaning time, T for particle decomposition velocity can be illustrated as in Eq. 13.

$$T = \frac{M_d}{C_d V_d} \quad (13)$$

where M_d is the particle accumulation density for a particular loss. C_d is the particle mass concentration, and V_d is the particle decomposition velocity.

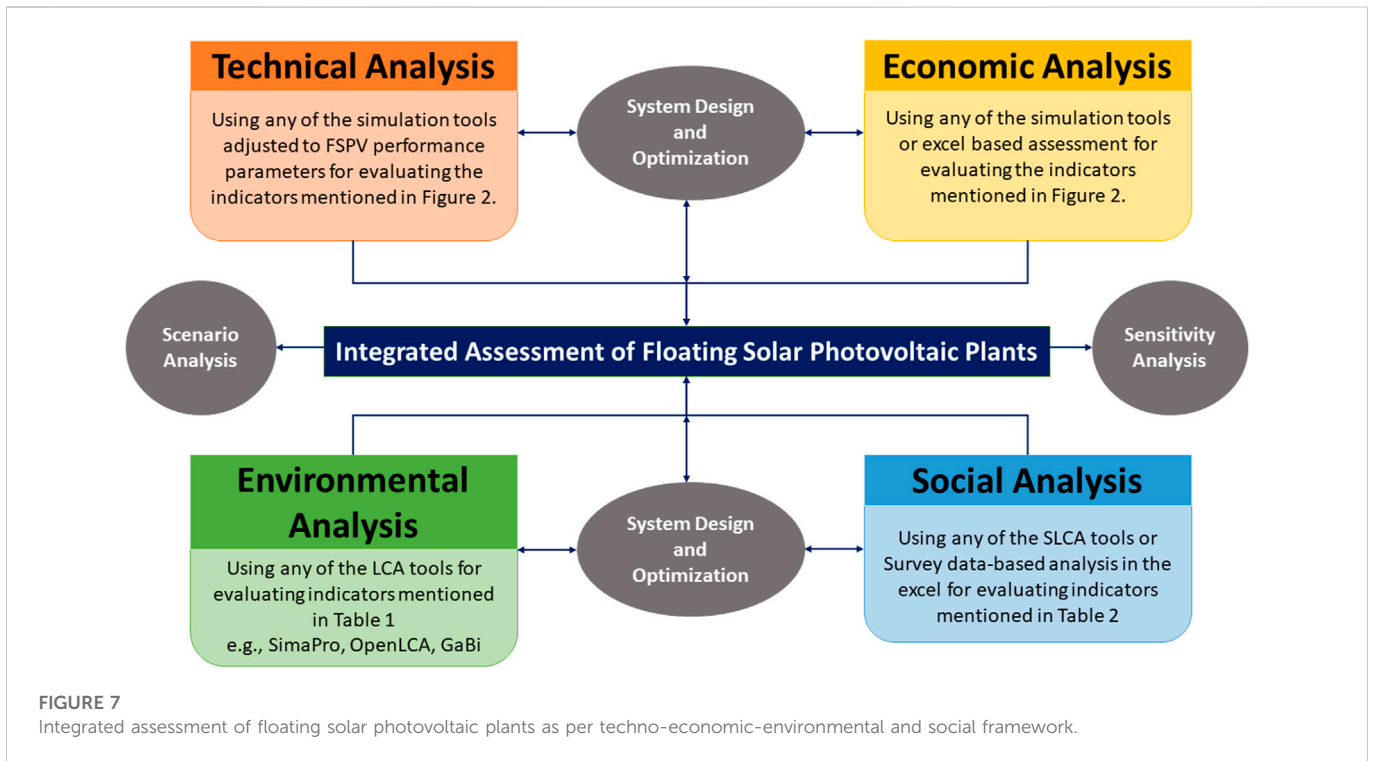
3) A wind cleaning model based on the particle resuspension theory is proposed in (Jiang et al., 2018). The model considers adhesion force, hydrodynamic force, and torque as essential parameters. The experimental outcome illustrates that the model is effective in removing only the large particles (>1 μm). An effective cleaning method is demonstrated in (Majeed et al., 2020) that continues the experiment for two cases: mono-PV and poly-PV systems. A flat-fan nozzle is included for water spraying to the PV panel. The experimental

TABLE 6 A comparison of sixteen software illustrating the fundamental idea of important parameters and different analyses.

Simulation tools	Technical analysis								Financial parameters					
	Load demand	Efficiency	Risk/sensitivity	Co-generation option	Dynamic simulation	Loss	Shading	Constraint control	Net present cost	Cost of energy	Renewable fraction	Capital cost	Grid sale/purchase	Operation/management cost
HOMER	✓	✗	✓	✓	✗	✗	✗	✓	✓	✓	✓	✓	✓	✓
HYBRID2	✗	✗	✗	✗	✗	✗	✗	✓	✓	✓	✗	✓	✗	✓
RetScreen	✗	✗	✓	✓	✗	✗	✗	✗	✓	✓	✗	✓	✗	✓
HOGA	✗	✗	✗	✗	✗	✗	✗	✓	✓	✓	✗	✓	✓	✓
TRNSYS	✗	✗	✗	✗	✓	✗	✗	✗	✗	✗	✗	✗	✗	✗
PV*SOL	✗	✓	✗	✗	✓	✗	✗	✗	✗	✗	✗	✗	✗	✗
SolarGIS	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗
PVGIS	✗	✗	✓	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗
SISIFO	✗	✗	✗	✗	✗	✗	✗	✗	✓	✓	✗	✓	✗	✓
Helioscope	✗	✗	✓	✗	✗	✓	✓	✗	✗	✗	✗	✗	✗	✗
Aurora	✗	✗	✗	✗	✗	✗	✓	✗	✓	✓	✓	✓	✗	✓
PVComplete	✓	✗	✓	✗	✗	✗	✗	✗	✗	✗	✗	✗	✓	✗
BlueSol	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✗	✓	✗
SAM	✗	✓	✓	✓	✗	✗	✗	✗	✓	✓	✗	✓	✓	✓
PVSyst	✓	✗	✗	✗	✗	✓	✗	✗	✓	✓	✓	✓	✓	✓

TABLE 6 A comparison of sixteen software illustrating the fundamental idea of important parameters and different analyses.

Simulation tools	Environmental parameters				Social analysis	Prized (P)/Free (F)	Utilized for FSPV or not in the literature	References
	Site insolation and temperature	Resources data	Emission data	Indicators as per Table 1	Indicators as per Table 2			
HOMER	✓	✓	✓	✗	✗	P	✓	HOMER (2021)
HYBRID2	✓	✓	✗	✗	✗	F	✓	HYBRID2 (1996)
RetScreen	✓	✗	✓	✗	✗	P	✓	RetScreen (2022)
HOGA	✗	✓	✓	✗	✗	F	✓	IHOGA (2022)
TRNSYS	✗	✗	✗	✗	✗	P	✗	TRNSYS (2022)
PV*SOL	✓	✓	✗	✗	✗	P/F	✓	PV*SOL (2023)
SolarGIS	✓	✓	✗	✗	✗	P	✓	SolarGIS (2023)
PVGIS	✓	✓	✗	✗	✗	F	✓	PVGIS (2023)
SISIFO	✗	✓	✗	✗	✗	F	✗	SISIFO (2023)
Helioscope	✓	✓	✗	✗	✗	F	✓	Helioscope (2023)
Aurora	✓	✓	✗	✗	✗	P	✓	Aurora (2023)
PVComplete	✓	✓	✓	✗	✗	P	✗	PVComplete (2023)
BlueSol	✗	✗	✓	✗	✗	P	✓	BlueSol (2023)
SAM	✓	✓	✗	✗	✗	F	✓	SAM (2023)
PVSyst	✓	✓	✗	✗	✗	F	✓	PVSyst (2023)



study illustrates that the cleaning techniques enhance the power efficiency by 98% and minimize cost. A PV panel cleaning system is proposed in (Moharram et al., 2013) that focuses on perceiving non-pressurized water and surfactants' influence on PV panels' cleaning purposes. The method aims to minimize the usage of water for cleaning. Experimental results show that the surfactants keep a feasible efficiency of the process, and the non-pressurized water lessens the efficiency by 50%.

3 FSPV feasibility assessment framework and existing modelling and assessment tools

Based on the explored indicators for FSPV feasibility in Section 2.1 and potential energy enhancement strategies discussed in Section 2.2 this section presented a holistic framework for FSPV feasibility assessment and questions whether the existing modeling tools are suited or not. The proposed framework considering techno-economic-environmental and social indicators along with system optimization features is shown in Figure 6.

To answer the question whether the existing solar modelling tools can model FSPV as per the proposed framework in Figure 6 or not, we reviewed various existing solar simulation tools mainly considering life cycle feasibility indicators mentioned in Section 2.1. Different simulation software tools are available in the market for determining the feasibility of a solar PV alone or solar PV-based hybrid renewable energy system. Table 6 provided a comparison study of 16 popular and less popular tools.

From Table 6, it can be understood that these tools mainly provide the simulation design, the possibility for optimization based on several variables, an economic assessment in some cases, and a rarely environmental assessment which is again limited to CO₂ mitigation.

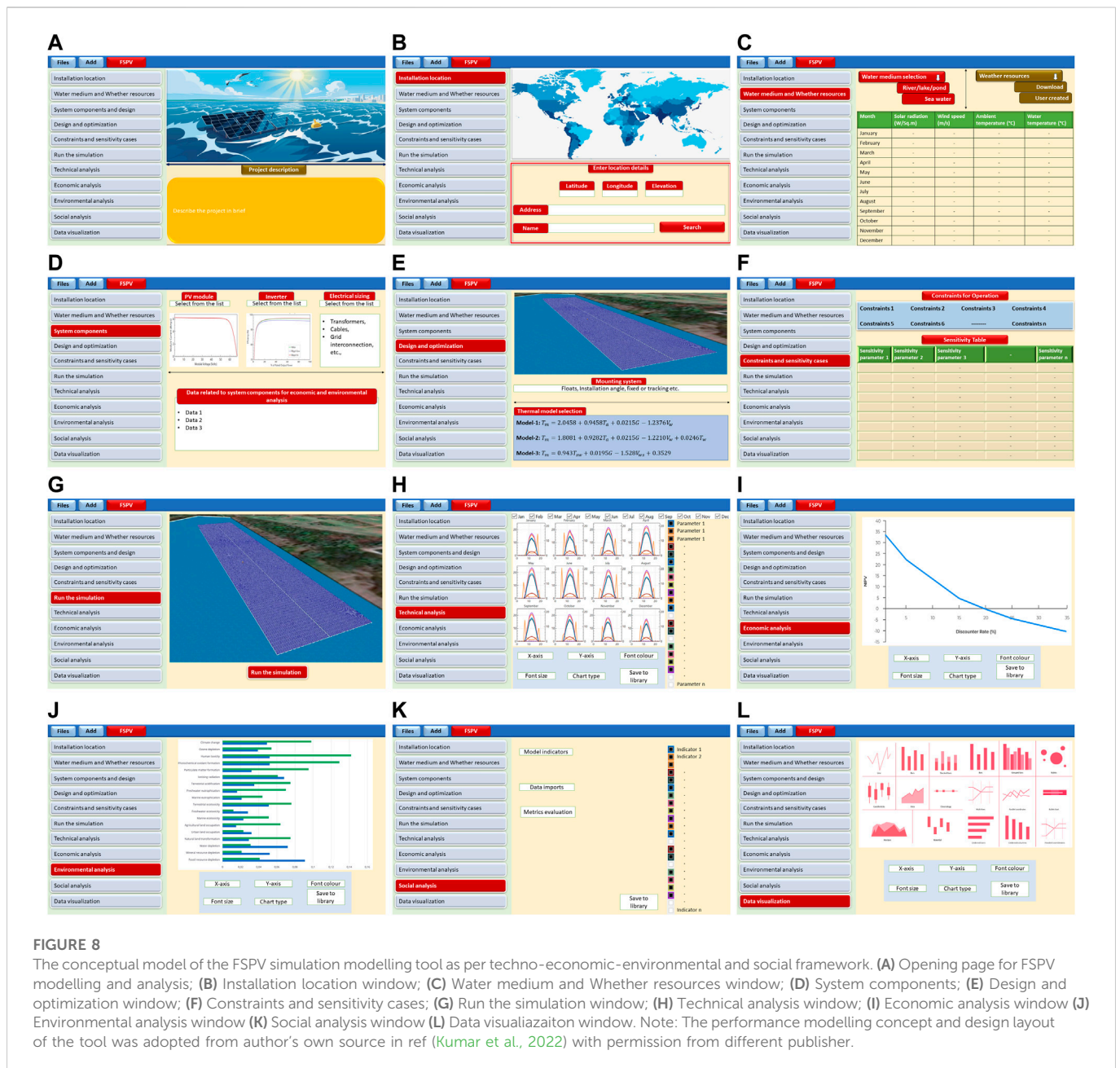
However, the technical, financial, and environmental analysis types vary differently for different simulation tools, methods and indicators are not comprehensive. It is seen that social analysis is something nowhere possible with existing PV project feasibility assessment tools. Accounting for the FSPV project lifecycle-based indicators (as shown in Section 2.1.) from different angles under one tool is quite complicated. Also, none of the existing tools have the capability to simulate or analyze all the indicators. So, researchers and industry people using these tools in a way have limited themselves with this; as a result, compromised planning is seen. However, in reality, the FSPV planning should be done holistically, that is, only possible with a framework's support; at least then, researchers and industry people will not stop themselves with the capabilities provided by the tools.

4 Proposed assessment approaches for floating solar photovoltaic plant feasibility

Based on the observations from Section 3, we propose three assessment approaches for FSPV feasibility. These include the feasibility assessment of FSPV by mathematical modeling, integrated assessment approaches for floating solar photovoltaic plants (see Figure 7), and a conceptual model of the tool for designing a new tool (see Figure 8).

4.1 Mathematical modeling

Among all the modeling approaches, mathematical modeling is quite popular and very traditional. There are already very well-established modeling options for PV systems. These can be adopted for FSPV with slight adjustments in parameter modeling, for instance, temperature models specific to FSPV. Following this modeling, performance indicators can be estimated as per IEA standards.



Similarly, economic modeling is quite well-established and can be done mathematically. However, coming to environmental and social analysis, it is quite difficult for mathematical models as they need a lot of data and modeling from a life cycle perspective. Nevertheless, some methods, like embodied energy and carbon, can be adopted. Social analysis as per indicators mentioned in Table 2 can be done by conducting a survey.

4.2 Integrated assessment approach

The integrated assessment approach shown in Figure 7 can be applied to understand the FSPV feasibility holistically. In this approach, the first

system design has to be done separately, for which technical-economical-environmental-social analysis has to be done by picking the right tools. While doing each analysis, data collection and processing is again a crucial step. For instance, in the case of techno-economic analysis, existing tools mentioned in Table 6 with slight modifications can be used. For environmental analysis, first the life cycle inventory data sets have to be created following the guidelines for each component in FSPV. Once the LCI is created, one can use tools like SimaPro (2023); OpenLCA (2022); GaBi (2023) for doing the life cycle assessment. For social analysis, the survey can be conducted, and the surveyed data can be used in excel for assessment. In integrated assessments, there is a possibility for scenario and sensitivity analysis, and based on that system can be optimized or redesigned.

4.3 Conceptual model of the tool for designing a new simulation tool

The last model is the proposed conceptual simulation tool for advancing the FSPV simulations. The main objective behind this conceptualization was to bring all analysis (techno-economic-environmental and social) under one roof. In [Figure 8](#), a twelve-window simulation tool is presented. Each window of the simulation tool is briefly explained below:

4.3.1 Opening page for FSPV modelling and analysis

It is the first window showing summary of the tool capability, with a space to describe about the project, see [Figure 8A](#).

4.3.2 Installation location window

It is the second window asking to enter about the installation location details, see [Figure 8B](#).

4.3.3 Water medium and Weather resources window

It is the third window showing the options related water medium (for instance, river, lake, ocean *etc.*) and weather resources with a load capability, see [Figure 8C](#).

4.3.4 System components window

It is the fourth window providing an option to select system components from the built-in data base or allowing the user to custom built the components based on technical data, see [Figure 8D](#). Additionally, this window also allows us to enter the data inventory needed for economic assessment and environmental setup.

4.3.5 Design and optimization window

It is the fifth window that allow user to design the FSPV system with optimization capability, see [Figure 8E](#).

4.3.6 Constraints and sensitivity cases

It is the sixth window allowing the user to add some constraints for facilitating the FSPV design and optimization; see [Figure 8F](#). Additionally, this window also allows the user to build sensitivity cases around FSPV.

4.3.7 Run the simulation window

It is the seventh window that allows the user to carry out a simulation by clicking the run command. Additionally, this window shows the FSPV design summary; see [Figure 8G](#).

4.3.8 Technical analysis window

It is the eighth window presenting the technical analysis results summary with options for parametric analysis on technical indicators. This window also facilitates data exports; see [Figure 8H](#).

4.3.9 Economic analysis window

It is the ninth window presenting the economic analysis results summary with options for parametric analysis of economic indicators. This window also facilitates data exports; see [Figure 8I](#).

4.3.10 Environmental analysis window

It is the 10th window presenting the environmental analysis results summary with options for parametric analysis of environmental indicators. This window should also facilitate data exports; see [Figure 8J](#). If integrating life cycle assessment modeling in

the tool is difficult means, it is advised to enable API options from already existing tools like [SimaPro, \(2023\)](#); [OpenLCA, \(2022\)](#); [GaBi, \(2023\)](#).

4.3.11 Social analysis window

The 11th window allows the user to enter the data to process estimating the metrics. It presents the social analysis results summary with options for parametric analysis of social indicators. This window also facilitates data exports; see [Figure 8K](#).

4.3.12 Data visualization window

It is the 12th window allowing the user to carry out data visualization with data export options and report generation; see [Figure 8L](#).

5 Conclusion

This review showed how FSPV overcomes many performance-related challenges in GMPV. Also, it suggests a detailed investigation of all the feasibility indicators, especially from a lifecycle perspective, while modeling or planning FSPV. These indicators are explored and presented under four different analysis categories. The review outcome also suggested that using bifacial solar PV would be much better for FSPV and the possible integration of cooling and cleaning infrastructure to enhance energy production, keeping the design forefront from a feasibility point of view. Lastly, this review also presented the option of using an integrated assessment approach so that we no need to compromise on the performance and feasibility at their true level. This way, the industry's false promises on performance reporting to the clients can be overcome. This study also explored the conceptual model bringing all the analysis under one roof and its integration with other existing tools to ensure a detailed performance modeling.

Overall, we believe this review would take the concept of FSPV to an advanced level both at the practical implementation and academic level by exploring new options for modeling and precise prediction of performance, and a holistic understanding of the feasibility. Our future work will be on implementing and validating the proposed conceptual tool.

Author contributions

Review concept was formulated by NM; original draft was written by NM, SI, and AP followed revisions from AS, MB, and SK. All the authors have read and approved the final version.

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Conflict of interest

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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