



Measurement and Discrimination of Asymmetric Non-uniform Strain Distribution Based on Spectrum Characterization of FBG Sensors

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The asymmetric deformation of glass-to-metal seals is an important defect that would lead to the failure of the pressure boundary in nuclear reactors. In this research, an efficient approach to measure the asymmetric deformation and prevent the potential failure was proposed based on the spectrum characterization of fiber Bragg grating (FBG) sensors. The asymmetric deformation was induced from small-size large-gradient nonuniform strain in sealing materials; as a result, it could be monitored through the spectra of embedded FBGs affected by strain variations. The theoretical analysis of the FBG spectrum was carried out using the transfer matrix model (TMM) to validate the measuring feasibility and reliability. Based on the theoretical results, the asymmetric deformation was measured by the distributed embedded FBG experimentally. By combining the reconstructed spectrum and the experimental results, the asymmetric deformation of glass-to-metal was proved to be monitored, and the defect was able to be prevented during the manufacturing process effectively via the proposed method.

Keywords: glass-to-metal seal, fiber Bragg grating (FBG), transfer matrix method (TMM), non-uniform strain distribution, nuclear power plant

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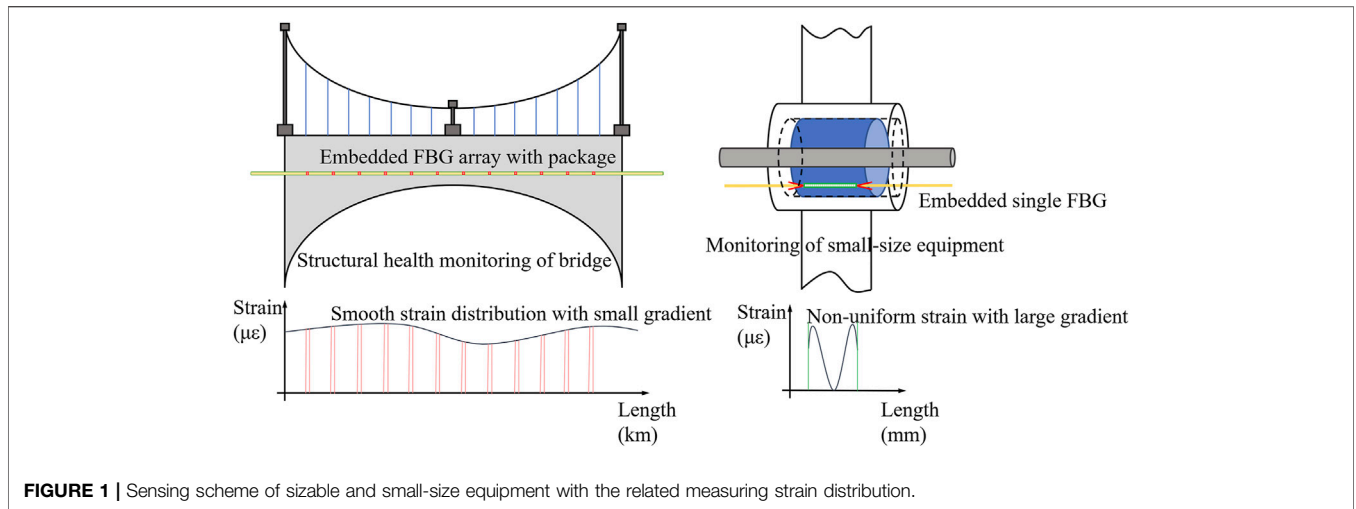
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1 INTRODUCTION

The glass-to-metal seal has played an important role in the hermeticity of pressure boundaries applied in nuclear and renewable energy industries. The compressive strain generated in sealing glass during the manufacturing process with the compaction of the steel shell was the main factor for maintaining good hermeticity in glass-to-metal at harsh environments. Defects such as asymmetric deformation would be induced by the special model design, which would lead to the hermetic failure of glass-to-metal seals. Many advanced techniques [digital image correlation (Van Lancker et al., 2016) and photoluminescence spectroscopy (Li S et al., 2022)] were carried out recently to monitor the strain/stress in real-time; however, it was difficult for these methods to be applied in remote sensing under harsh nuclear environments. Based on our previous research studies (Fan et al., 2019; Fan et al., 2020), the embedded fiber sensing technique is demonstrated in this research. Fiber Bragg grating (FBG) sensors have been developed in the past 40 years, and they have been applied in various sensing schemes (Zaghloul et al., 2018; Fan et al., 2019; Morana et al., 2019). FBG has emerged as a reliable, *in situ*, nondestructive tool for monitoring, diagnosing, and controlling civil structures, and the versatility of FBG sensors



represents a key advantage over other technologies in the structural health monitoring (SHM) field (Majumder et al., 2008).

Generally, asymmetric deformation would cause the local strain distribution to vary significantly, so the measurement of strain distribution was essential in this research. Strain measurement has attracted research interest for its important role in SHM. The strain distribution of large equipment (bridges, composite structures, etc.) is regarded as being uniform in the measuring region of small sensors, so the strain signals would be stable and clear, as shown in Figure 1 (Ling et al., 2006; Chen et al., 2017; Goossens et al., 2019; Xiong et al., 2019; Li J et al., 2022). However, for small-size equipment such as glass-to-metal seals, the strain distribution was nonuniform with a large gradient to guarantee hermeticity, so it would be challenging to realize accurate strain measuring because the spectrum would be broadened and distorted by nonuniform strain (Kersey et al., 1997). Previous results showed that it was feasible to characterize the properties of strain distributions with a chirped spectrum (Fan et al., 2019). This phenomenon was widely applied to identify nonuniform strain induced by defects [crack locations in composites (Okabe et al., 2004), crack propagations (Jin et al., 2019), and transverse loads detection (Rajabzadeh et al., 2019)], and the results demonstrated its feasibility.

This research performed a practical monitoring method for the hermetic material of glass-to-metal seals, which could realize the discrimination of nonuniform strain distribution and prevention of asymmetric deformation with a high measuring resolution. The spectrum reconstruction of FBG under nonuniform strain was studied using the combined transfer matrix model (TMM) and finite element method (FEM). The relationship between the gradient parameter of strain distribution and the full width at half maximum (FWHM) of the FBG spectrum was obtained. The characteristic parameters (Bragg wavelength shift and FWHM) of the chirped spectrum were proved to monitor asymmetric deformation efficiently through the *in situ* monitoring experiments, and the accuracy was verified by the numerical simulations.

TABLE 1 | Parameters of the UFBG.

| Parameters | Value |
|-----------------------|--------------------|
| Refractive index | 1.452 |
| Bragg wavelength (nm) | 1550 |
| Length (mm) | 12 |
| Elastic modulus (GPa) | 73 |
| Poisson's ratio | 0.17 |
| Average index change | 1×10^{-4} |

2 NUMERICAL SIMULATION

2.1 Transfer Matrix Method

According to the index modulation depth distribution of the grating region, FBG can be divided into apodized FBG (AFBG) and uniform FBG (UFBG). The feasibility of AFBG to measure the strain was verified in the previous research. UFBG was also applied to monitor the small-size nonuniform strain distributions because its spectrum was more sensitive and would generate appreciable distortions with the nonuniform strain (Jin et al., 2019). The parameters of applied UFBG in this article are shown in Table 1.

FBG is similar to a wavelength-selective reflection filter. A narrow band of the incident optical field is reflected by coherent scattering from the index variations with a wavelength λ_B given by (Hill and Meltz, 1997)

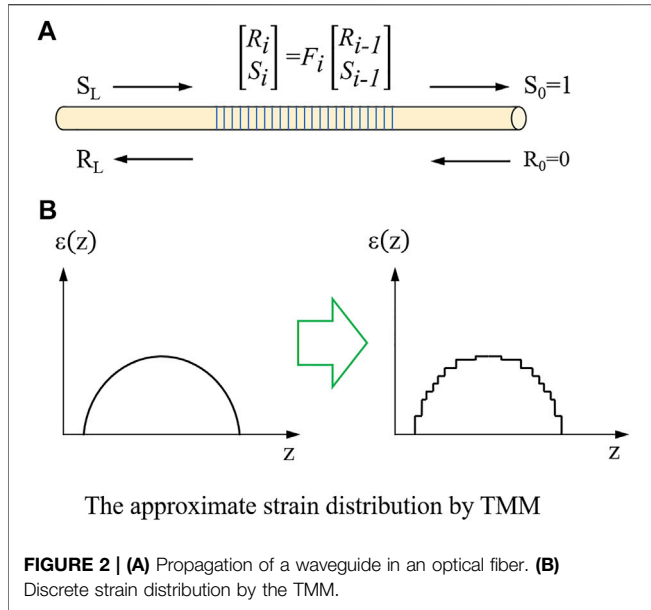
$$\lambda_B = 2n_{eff}\Lambda \tag{1}$$

where Λ is the grating period and n_{eff} is the modulation index. The n_{eff} perturbation in the core for UFBG is described by

$$\Delta n_{eff}(z) = \overline{\Delta n_{eff}} \left\{ 1 + v \cos \left[\frac{2\pi}{\Lambda} z \right] \right\} \tag{2}$$

where $\overline{\Delta n_{eff}}$ is the average change of the modulation index and v is the fringe visibility.

The grating forces couple between propagating modes since they impose a dielectric perturbation to the waveguide. The



coupled mode theory is effective to describe this behavior. A set of coupled first-order differential equations is used to describe the propagation:

$$\frac{dR}{dz} = j\hat{\sigma}R(z) + j\kappa S(z) \tag{3}$$

$$\frac{dS}{dz} = -j\hat{\sigma}S(z) - j\kappa^*R(z) \tag{4}$$

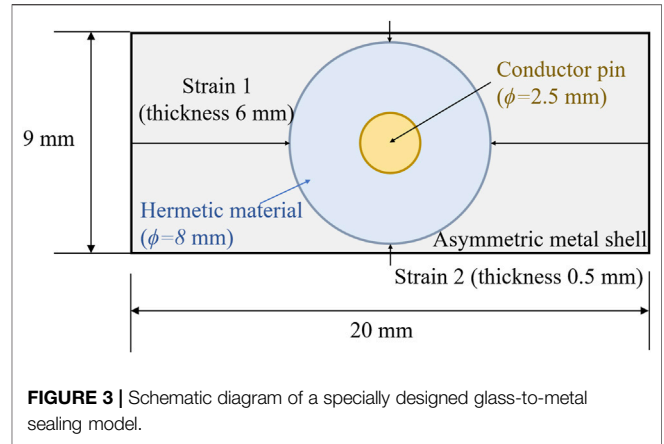
where $R(z)$ and $S(z)$ represent the amplitude of forward- and backward-propagation, respectively. $\hat{\sigma}$ is the general “dc” self-coupling coefficient, which is a function of the propagating wavelength λ , given by

$$\hat{\sigma} = 2\pi n_{eff} \left(\frac{1}{\lambda} - \frac{1}{\lambda_D} \right) + \frac{2\pi}{\lambda} \Delta n_{eff} - \frac{1}{2} \phi'(z) \tag{5}$$

where $\phi'(z) = d\phi/dz$ and $\lambda_D = 2n_{eff}\Lambda_0$ is the design wavelength for a Bragg scattering within an infinitesimal variation of the effective index ($\Delta n_{eff} \rightarrow 0$). κ is the “ac” coupling coefficient defined as

$$\kappa = \frac{\pi}{\lambda} \overline{\nu \Delta n_{eff}} \tag{6}$$

This equation represents the uniform grating with a constant average refractive index change. However, the strain distribution of glass-to-metal was nonuniform with a large gradient, and it would cause a chirp in the grating period. If roughly treated as a uniform strain distribution, the measuring results would generate notable deviations. In this paper, the transmission and reflection spectra from the two-mode coupling can be reconstructed using the transfer matrix method (TMM), whereby the grating is divided into finite discrete uniform sections represented by a 2×2 matrix. The matrix for the whole FBG can be obtained by multiplying all the discrete matrices (Figure 2).



The calculation accuracy of the TMM depends on the section number N , and when $N \approx 100$ is more sufficient to accurately model the chirped gratings, the characteristics of the reconstructed spectrum led to a convergence after N increased above 100. Defining R_i and S_i to be the field amplitudes after traversing the i th section, the propagation through this uniform section is described by

$$\begin{bmatrix} R_i \\ S_i \end{bmatrix} = F_i \begin{bmatrix} R_{i-1} \\ S_{i-1} \end{bmatrix} \tag{7}$$

$$F_i = \begin{bmatrix} \cosh(\gamma_B \Delta z) - i \frac{\hat{\sigma}}{\gamma_B} \sinh(\gamma_B \Delta z) & -i \frac{\kappa}{\gamma_B} \sinh(\gamma_B \Delta z) \\ i \frac{\kappa}{\gamma_B} \sinh(\gamma_B \Delta z) & \cosh(\gamma_B \Delta z) + i \frac{\hat{\sigma}}{\gamma_B} \sinh(\gamma_B \Delta z) \end{bmatrix} \tag{8}$$

The components of the transfer matrix F_i are defined subsequently, where $\gamma_B = \sqrt{\kappa^2 - \hat{\sigma}^2}$ and Δz is the length of each section. The coefficients κ and $\hat{\sigma}$ have local values at the i th section.

The limits of the grating were defined as $0 \leq z \leq L$. The boundary conditions of the matrix are $R(0) = 1$ for $z \leq 0$ and $S(L) = 0$ for $z \geq L$. For the entire grating, the matrix F can be written as

$$\begin{bmatrix} R(0) \\ S(0) \end{bmatrix} = F \begin{bmatrix} R(L) \\ S(L) \end{bmatrix} \Rightarrow \begin{bmatrix} 1 \\ S(0) \end{bmatrix} = F \begin{bmatrix} R(L) \\ 0 \end{bmatrix} \tag{9}$$

where $F = \prod_{i=1}^N F_i$. F_i can be expressed as

$$F_i = \begin{bmatrix} f_{11} & f_{12} \\ f_{21} & f_{22} \end{bmatrix} \tag{10}$$

In addition, substituting Eq. 10 in Eq. 9 results in

$$\begin{bmatrix} 1 \\ S(0) \end{bmatrix} = \begin{bmatrix} f_{11}R(L) \\ f_{21}R(L) \end{bmatrix} \tag{11}$$

Thus, reflectivity as a function of wavelength can be calculated by

$$r(\lambda) = \left| \frac{S(0)}{R(0)} \right|^2 = \left| \frac{f_{21}}{f_{11}} \right|^2 \tag{12}$$

TABLE 2 | Geometrical parameters of the designed model.

| Parameters (mm) component | Section | | | Height |
|---------------------------|---------|-------|----------|--------|
| | Length | Width | Diameter | |
| Metal shell | 20 | 9 | — | 30 |
| Hermetic material | — | 8 | 5 | — |
| Conductor pin | — | 2.5 | 30 | — |

Similarly, the transmitted spectrum can be found as

$$t(\lambda) = \left| \frac{R(L)}{R(0)} \right|^2 = \left| \frac{1}{f_{11}} \right|^2 \quad (13)$$

2.2 Finite Element Simulation

The finite element model of glass-to-metal seals was built to obtain the strain distributions in glass. The thickness of the metal shell was a main factor determining the distribution of compressive strain, so it was designed in a rectangular shape to induce asymmetric deformation defect. The length and width were set to 6 and 0.5 mm (**Figure 3**), respectively, which could generate remarkable asymmetric strain along the circumference of the hermetic material. The geometrical parameters of the model are shown in **Table 2**.

To prove the feasibility and measuring resolution of the FBG spectrum, the TMM and FEM were combined to reconstruct the spectrum response with specific nonuniform strain in glass-to-metal seals. This spectrum reconstruction method combined the advantages of both FEM and TMM, and it has been applied by many researchers to predict spectrum variations under large-gradient strain distribution (Kakei et al., 2018; Rajabzadeh et al., 2019; Fazzi et al., 2019; Stathopoulos et al., 2019). The strain of the fiber core transferred directly to the surface of the sealing glass to simplify the simulation (Wang et al., 2019) because the bare fiber was well-fused with molten glass during the manufacturing process and there was little difference between the mechanical properties of fiber and sealing glass (the main component for both was SiO₂).

3 EXPERIMENTAL SETUP

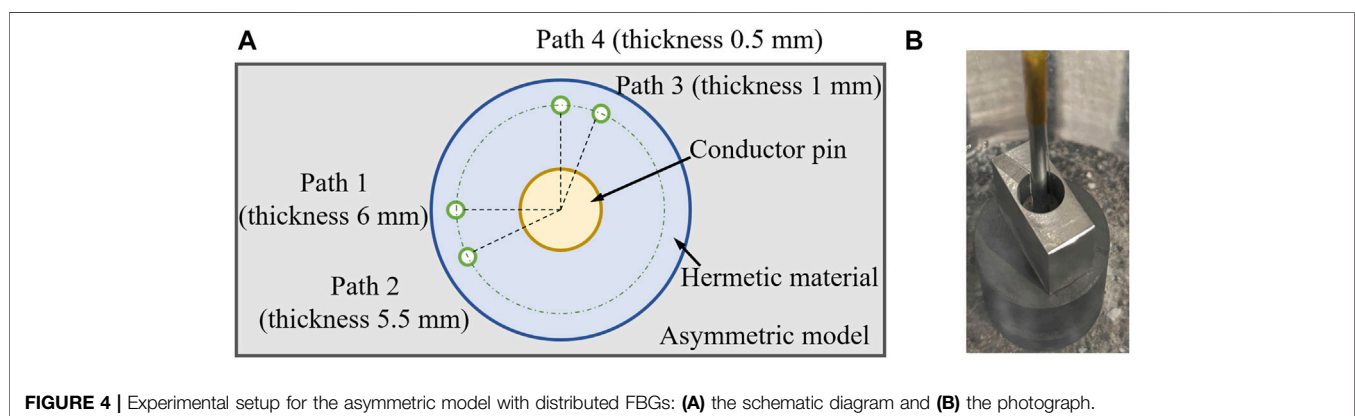
AFBG and UFBG were embedded in the hermetic material to monitor the nonuniform strain distribution. The experimental setup is shown in **Figure 4**. The FBGs used in this research were type II gratings inscribed by femtolaser provided by Femtofibertech. The previous results showed that FBG had good endurance at high temperature (1000 °C) (Fan et al., 2020). The experimental model was consistent with the finite element model (**Table 1**). The experimental model was manufactured with FBGs by a gasket. To achieve distributed strain and asymmetric deformation monitoring, four sensors (including 3 AFBGs and 1 UFBG) were embedded simultaneously as shown in **Figure 4A**, which were located at the same distance (3 mm) with varied metal thickness. The shell thickness of path 1 to path 4 was 6 mm, 5.5 mm, 1 mm, and 0.5 mm, respectively, which ensured the spectra of FBGs would be affected by different strain distributions.

The model was designed under a specific heating process (20°C to 450°C, 10°C/min) to make the hermetic material fuse well with the metal shell and FBGs (**Figure 4B**). After cooling down to room temperature, the glass-to-metal model with embedded FBGs was obtained, and the hermetic reliability was guaranteed by the compressive strain formed in the sealing glass. The FBGs embedded in four paths were connected to the interrogator to record the real-time spectrum and characteristic parameters. The experimental results were analyzed and compared with the reconstructed spectra obtained by the TMM. The accuracy and feasibility of the proposed method to measure the large-gradient nonuniform strain and prevent the asymmetric deformation defect were demonstrated.

4 RESULTS AND DISCUSSION

4.1 Spectrum Reconstruction to Large-Gradient Nonuniform Strain

The axial strain distributions in the hermetic material were extracted along the measuring path of FBG. A total of 16 groups of strain with different gradients were obtained under



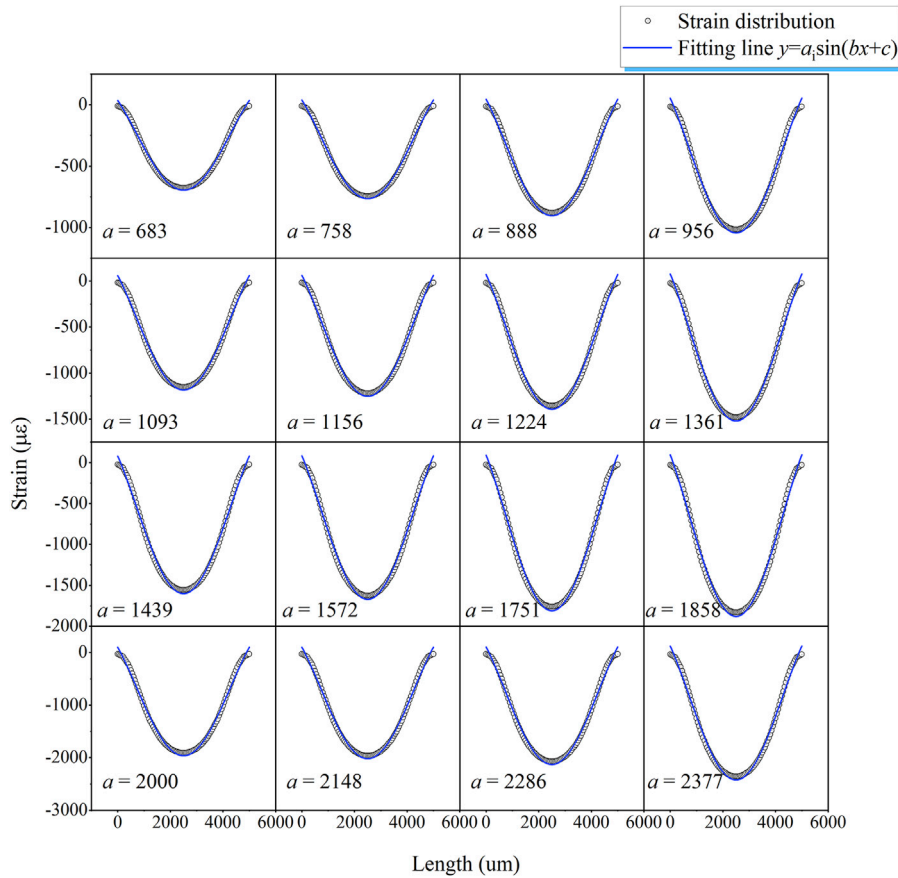


FIGURE 5 | Fitting curve of the large-gradient nonuniform strain distributions in glass-to-metal with gradient parameters a .

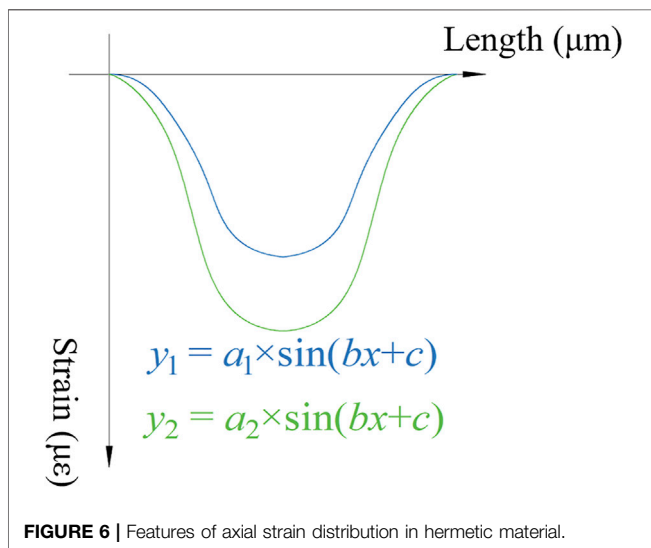


FIGURE 6 | Features of axial strain distribution in hermetic material.

different working conditions from 20°C to 300°C (Figure 5). The sine function was applied to fit the strain distributions with different gradient parameters a , as shown in Figure 5. The gradient parameter a changed from 680 to 2400 with the

increase in the amplitude. All the fitting functions were close to the original strain distributions with a deviation of less than 5%.

The strain distributions along the measuring paths and their variation range are summarized in Figure 6. It was shown that those axial strains could be fitted by the sine curve method, and the following three features could be obtained as a conclusion:

- 1) When the length $z = 0$ and $z = 5,000$, the strain was 0.
- 2) All the distributions could be summarized by the sine curve $y = a \times \sin(bx + c)$.
- 3) $b = 6.7e-4$, and $c = 3.028$ (based on features 1 and 2).

According to the features, all the axial strain distributions in the hermetic material could be described by the sine curve fitting method with an appropriate parameter a , which represented the difference between the maximum and the minimum value of the distribution. The strain distributions could be assigned to the grating region by the TMM.

A total of 16 groups of sine curve fitting functions were imposed to FBG through the coupling parameters of the transfer matrix, and the spectra were reconstructed as shown in Figure 7. The FWHM tended to broaden (from 0.78 to 2.81 nm) with increasing a , and the relationship between these two parameters

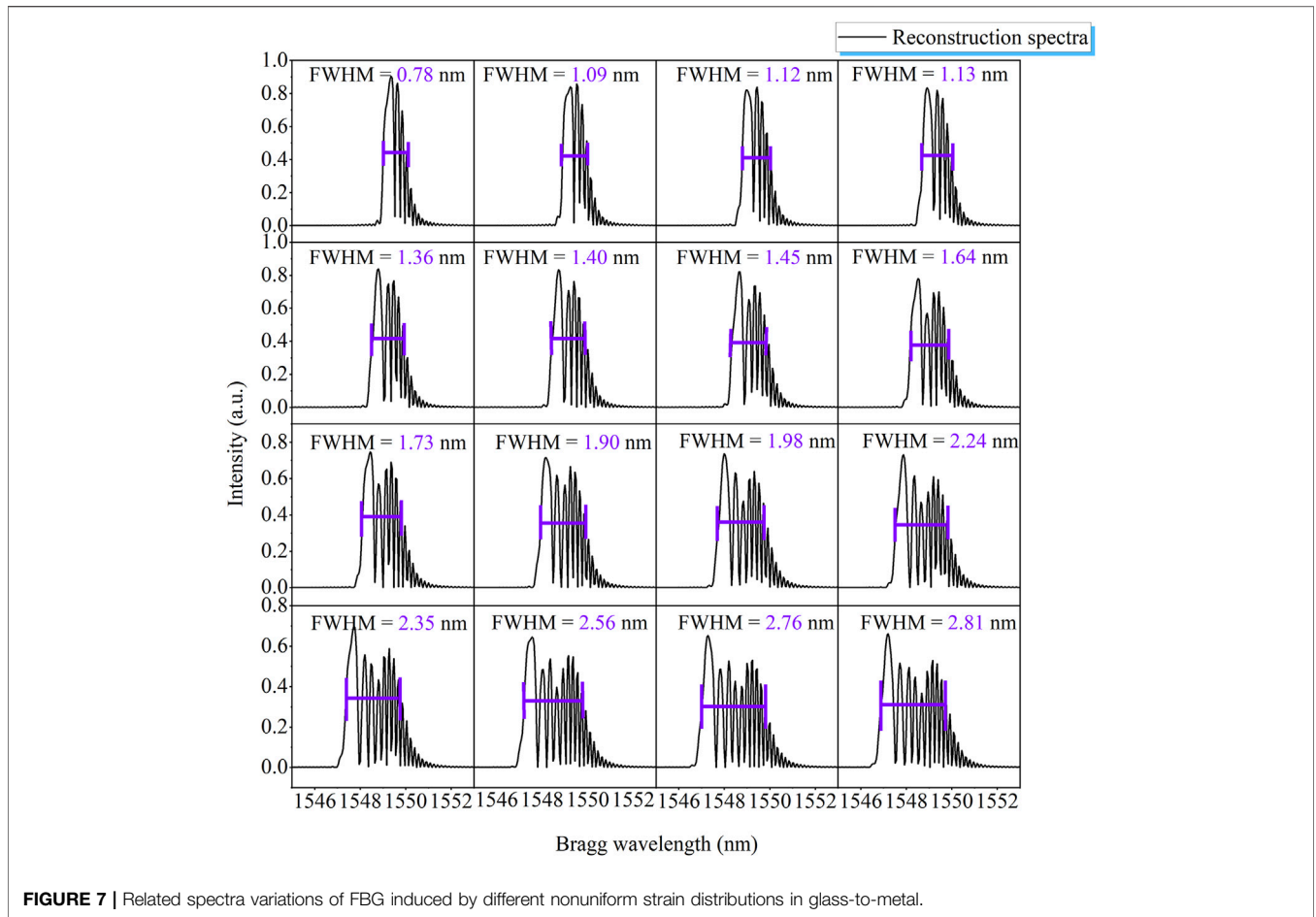


FIGURE 7 | Related spectra variations of FBG induced by different nonuniform strain distributions in glass-to-metal.

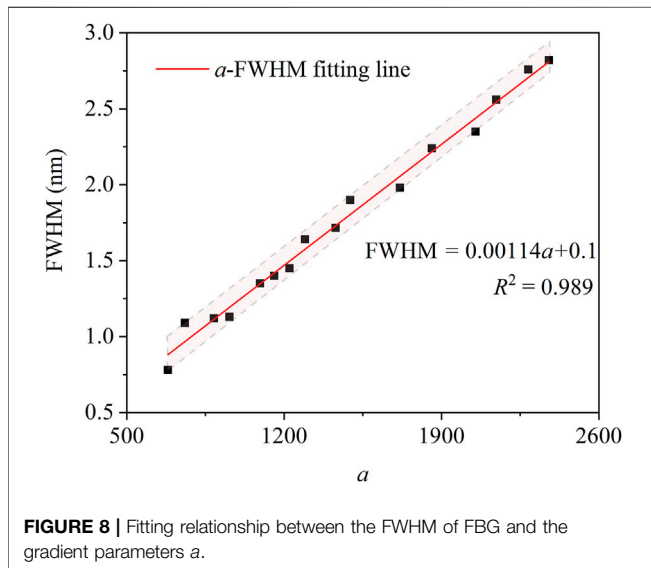


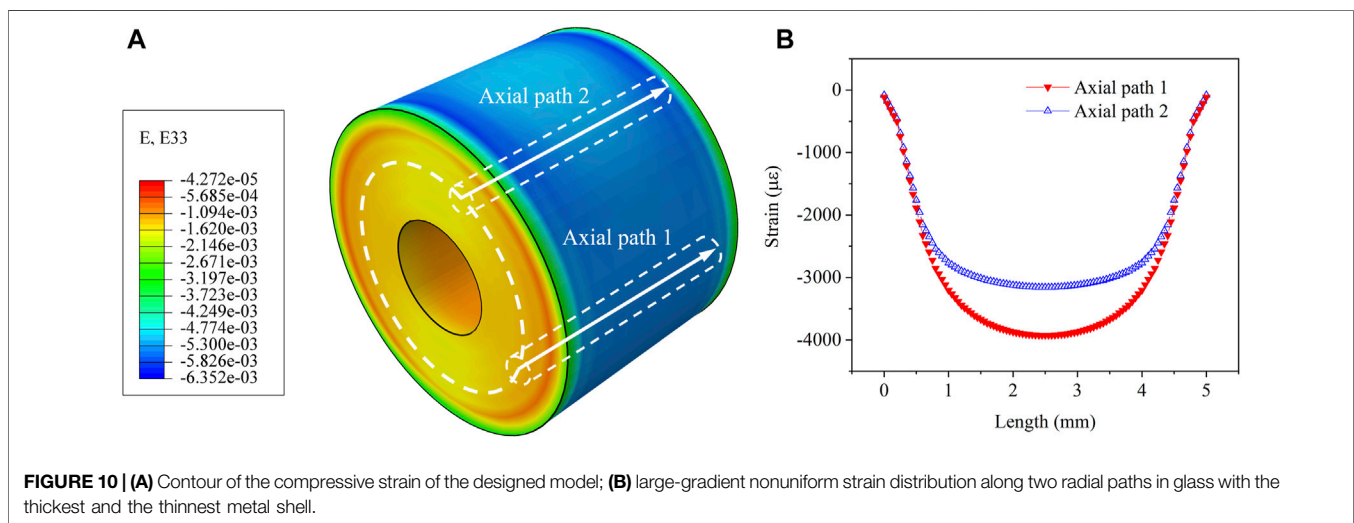
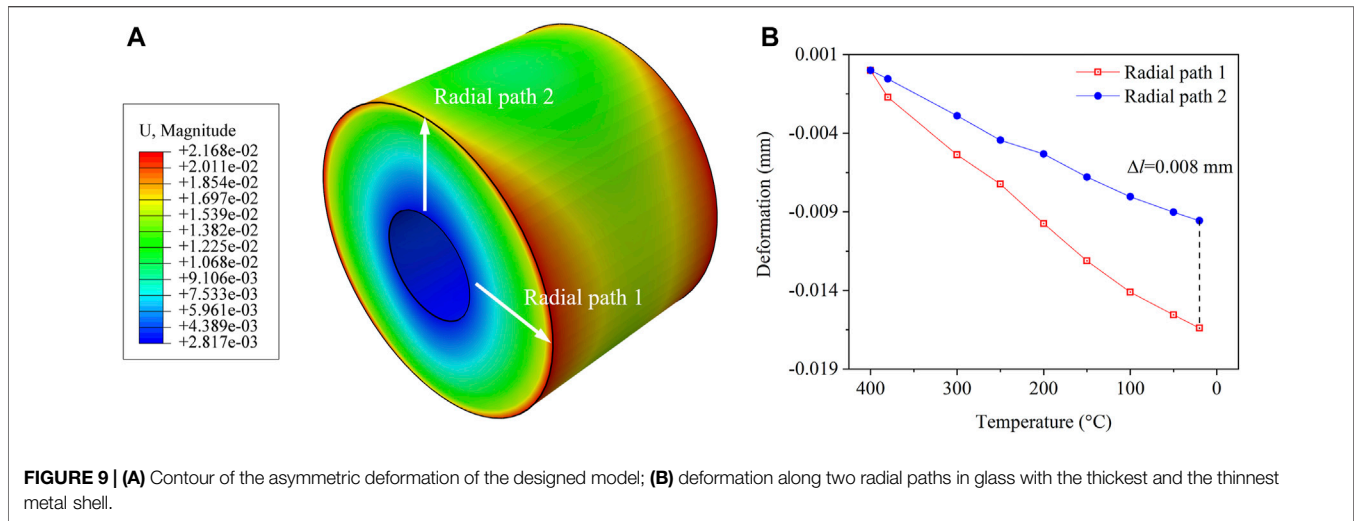
FIGURE 8 | Fitting relationship between the FWHM of FBG and the gradient parameters *a*.

is defined in **Figure 8**. Considering that the resolution of the interrogator was 0.05 nm, consequently, the measuring resolution of the nonuniform strain distribution in the

hermetic material was about 70 $\mu\epsilon$. The deviation between the fitting curve and the origin data was around 8%. As a result, the FWHM was proved to characterize the variation in axial strain in the hermetic material. The gradient and distribution of nonuniform strain could be calculated by the spectrum based on the defined relationship. The combined FEM and TMM method was feasible to reconstruct the spectrum response in the hermetic material under different operating conditions.

4.2 The Monitoring Results of Asymmetric Model

The deformation and strain contour of the designed model were obtained by the finite element method. The temperature of the model changed from 380°C to 20°C to simulate the manufacturing process of glass-to-metal. The deformation was extracted along two radial paths, as shown in **Figure 9A**. It was shown that the deformation was extremely asymmetric along radial path 1 and path 2, of which the difference Δl was about 0.008 mm (**Figure 9B**), which was about 10% compared with the origin radius. The asymmetric deformation would be induced in the hermetic material. Then the strain was extracted along axial path 1 and 2 (**Figure 10A**), which were related to experimental path 1



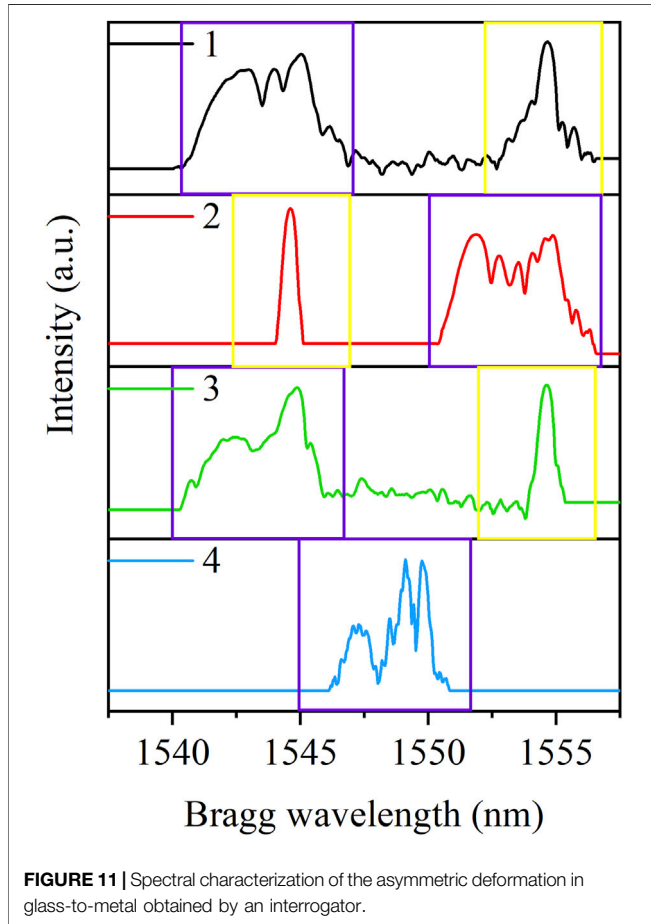
and path 4 (Figure 5A), respectively. The gradient of the strain distribution increased with the thickness of the metal shell. Based on the theoretical measuring resolution in Section 4.1, the asymmetric deformation was feasible to be monitored by the distributed FBG sensors embedded in the hermetic material.

A total of four FBGs were embedded simultaneously to monitor the asymmetric deformation during the experiment. The details of four FBGs are shown in Table 3. The AFBGs were embedded in paths 1~3, and the single UFBG was located in path 4. The two kinds of FBGs were arranged to provide comparisons of the chirped spectrum with nonuniform strain distribution. The distributed monitoring results are shown in Figure 11. The spectra signified with a purple frame were FBGs located in the hermetic material, which generated obvious distortions influenced by the strain variations. The spectra with a yellow frame were FBGs settled near the hermetic material, and the Bragg wavelength shifted as the temperature cooled down without the broadening of the FWHM.

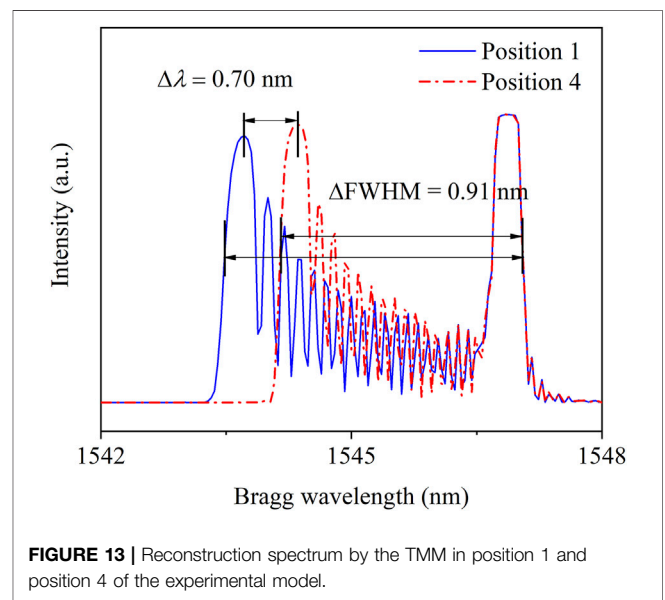
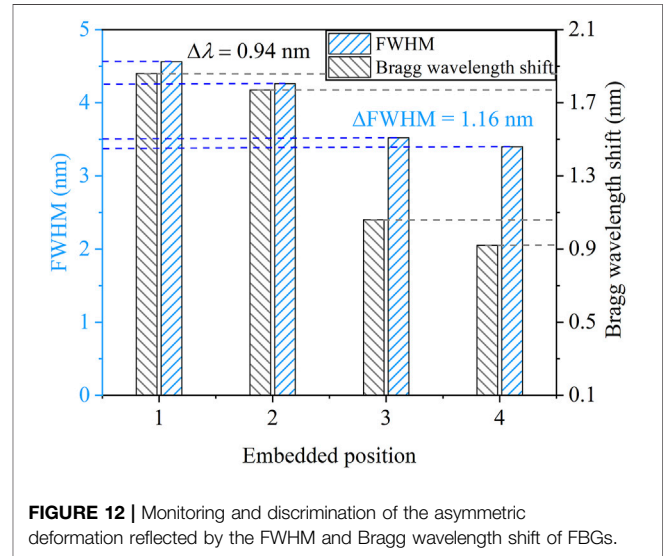
The distortions of spectra in the purple frame tended to vary with the thickness of the metal shell, and the feature parameters were extracted as shown in Figure 12. The Bragg wavelength of four FBGs in the hermetic material generated an obvious shift due to the induced compressive strain. However, because the strain distribution was nonuniform with a large gradient, the relationship between the Bragg wavelength shift and the average strain was not linear. In Figure 12, the Bragg wavelength of paths 1 and 2 (the thickness of the metal shell >5.5 mm) was considerably larger than those of paths 3 and 4 (the thickness of the metal shell <1 mm), of which the shift was 0.94 nm, so the asymmetric deformation of the hermetic material was characterized effectively via the Bragg wavelength shift. The FWHM increased with increasing metal shell thickness, and the bandwidth variation induced by the asymmetric deformation was up to 1.16 nm. Therefore, the broadening of the FWHM was feasible to monitor the distributed nonuniform strain distribution in the hermetic material.

TABLE 3 | Parameters of customized FBG array sensors.

| FBG position | Refractive index | Array quantity | Origin wavelength (nm) | Origin FWHM (nm) |
|--------------|--|----------------|------------------------|------------------|
| 1-3 | $f(z) = \exp [-10 \times (z-L/2)^2/L^2]$ | 2 | 1545, 1555 | 0.82, 0.65 |
| 4 | 10^{-4} | 1 | 1550 | 0.28 |



To verify the accuracy and reliability of the experimental results, the combined TMM and FEM method was carried out to reconstruct the spectrum variation of embedded FBGs in paths 1 and 4. The strain distribution along the special paths was obtained by numerical results in **Figure 13**, and then the spectra were reconstructed by the transfer matrix with the nonuniform strain distribution as shown in **Figure 10B**. The theoretical Bragg wavelength shift of FBGs in paths 1 and 4 was 0.70 nm, and the FWHM variation was about 0.91 nm. Compared with the experimental results, the deviation was around 20%, which was induced by the approximate strain distribution of the TMM and the boundary conditions of the FEM. The experimental results proved to remain consistent with the simulations in different paths of the asymmetric model. Thus, in summary, the spectrum would generate obvious distortions when the asymmetric deformation developed in glass-to-metal, and the Bragg wavelength and



FWHM would increase as the deformation becomes more severe. Because the FBG spectrum remained stable under consistent operating conditions, the asymmetric deformation could be characterized with the variations in the spectrum distortion, Bragg wavelength, and FWHM. Therefore, the glass-to-metal sample produced with such defect would be replaced promptly to prevent hermetic failure under operating conditions.

5 CONCLUSION AND FUTURE WORK

This research investigated the spectrum characterization of FBG to non-uniform strain distribution and the derived monitoring and prediction method of the asymmetric deformation defect.

- 1) The TMM was demonstrated to be a feasible approach to reconstruct the FBG spectra with large-gradient nonuniform strain distributions in glass-to-metal equipment. The FWHM of the reconstructed spectrum tended to increase linearly with the gradient parameter a of strain distributions. The measuring resolution of strain variation in this research was $70 \mu\text{e}$.
- 2) The spectrum was feasible to monitor the asymmetric deformation defect of the special model by the distributed FBG array. The embedded FBGs generated distortions affected by the large-gradient nonuniform strain, and the outer FBGs remained as origin spectra with individual Bragg wavelength shift.
- 3) The FBG spectrum showed distinct variations in different paths of the experimental model. Both the Bragg wavelength shift and the variations in the FWHM proved that the distributed FBGs could measure the asymmetric deformation effectively during the manufacturing process.

Based on the proposed approach, the potential defect in the hermetic material was able to be monitored and prevented, and

the quality of products could be inspected and improved after the manufacturing of glass-to-metal equipment.

DATA AVAILABILITY STATEMENT

The original contributions presented in this study are included in the article/Supplementary Material, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

YH conceived and supported the study. FZ fabricated the experimental models and carried out the defect-monitoring experiments and the theoretical analysis. FZ, YH, and HZ prepared the manuscript. FZ, YH, HZ, and LJ contributed toward revising the manuscript.

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