



Creep Damage Evolution of Marble From Acoustic Emission and the Damage Threshold

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For the underground engineering, time-dependent behavior (i.e., creep) of rocks is one of the main reasons for instability of surrounding rock in great stress conditions. It is important to investigate more details on time-dependent deformation for understanding the mechanical behavior of rock over timescales. In the present work, conventional compression tests were performed firstly to study mechanical properties and determine the stress levels for creep tests. Secondly, the creep behavior of the marble was investigated at the great stress proportion of 80, 85, and 90% of corresponding peak strength under uniaxial and triaxial compression, combined with Acoustic Emission (AE) technique. Results show that creep curves at the stress ratio of 80 and 85% exhibit three stages of the idealized creep curves, while curves at the stress ratio of 90% exhibit two stages. Damage variables, calculated based on instantaneous cumulative AE energy and total amount, augment slightly initially and increase rapidly subsequently before failure, and the turning points in $D-t/t_f$ curves can be observed when t/t_f is 0.3, defined as the initial-damage escalation threshold, and D increases moderately when t/t_f exceeds 0.85. These results provide a foundation to study creep behavior and predict creep failure.

Keywords: creep failure, various stress ratios, volumetric strain rate, AE energy, damage variable

NOTATION

ν , Poisson ratio; V_p m/s, P-wave velocity; V_s m/s, S-wave velocity; ε_v , volumetric strain; ε_1 , axial strain; ε_3 , lateral strain; σ_{cd} MPa, crack damage stress; σ_p MPa, peak strength; σ_1 MPa, axial stress; σ_3 MPa, confining pressures; C MPa, cohesion; φ , friction angle; $\dot{\varepsilon}_1$ h⁻¹, axial strain rate; $\dot{\varepsilon}_v$ h⁻¹, volumetric strain rate; ε_c , creep strain; ε_0 , transient strain; ε_t , total strain; β , the percentage of creep strain to the total strain.

INTRODUCTION

Rocks under constant stresses have time-dependent characteristics, namely, creep. In some great-stress conditions, creep can cause instability and failure of rock. The characteristics of creep behavior are important to predict the long-term evolution of rock engineering. In 1939, Griggs performed creep studies on rock and reported that rock deformation varied with time at a certain stress level (Griggs, 1939). Researchers have made great efforts to perform experiments to analyze features on different kinds of rock, such as granite (Lin et al., 2009; Chen et al., 2015; Xu et al., 2017), shale (Sone and Zoback, 2014), rock salt (Mansouri and Ajalloeian, 2018), sandstone (Brantut et al., 2014b; Chen et al., 2018), limestone (Brantut et al., 2014a; Nicolas et al., 2017), and coal (Ma et al., 2018;

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Zhang et al., 2019). Additionally, effects of loading history, including single-stage, multi-level loading (Zhao et al., 2017b; Yang et al., 2018), cycle loading and unloading (Chen et al., 2018) were investigated, and numerous researches focused on the temperatures (Xu et al., 2017), water (Liu and Shao, 2017; Yu C. et al., 2019), as well as initial porosity (Brantut et al., 2013). On the other hand, theoretical analysis has been also conducted. Because traditional models, such as Kelvin and Burgers, cannot be directly used to estimate the accelerated stage, researchers revised those traditional models to investigate and stimulate creep behaviors (Cao et al., 2016; Zhao et al., 2017a,b,c; Singh et al., 2018; Niu et al., 2019; Wu et al., 2019; Yu J. et al., 2019). For example, researchers proposed a new model based on variable-order fractional derivatives assuming the coefficient constant as a function of time (Singh et al., 2018; Wu et al., 2019). Previous work reveals that brittle creep exhibits three stages of idealized creep behavior, decelerated creep, steady creep, and accelerated creep until failure. The failure of rock is a result of damage evolution induced by cracks production and extension during the process.

Some methods have been used to estimate the damage over the failure process, such as CT scanning and Acoustic Emission (AE) (Yu et al., 2015b). AE technique, a non-destructive method, has been used to monitor and forecast the rockburst since the mid-1950s. Researchers have studied the relationship between AE characteristics and rock damage (Viitanen et al., 2019; Zhu et al., 2019). Additionally, AE was used to predict earthquakes and damage characterization (Wang et al., 2017; Yao et al., 2020). AE is a promising method for investigating rock damage and failure.

Although researchers have studied the creep deformation and failure, however, specific damage evolution over the creep process is paid little attention. The present work aims to study the creep behavior and damage evolution of rock specimens using AE under stresses approaching the peak strength. Conventional compression experiments were performed initially to determine mechanical properties, and subsequently, a series of creep experiments were performed at various stress ratios, namely 80, 85, and 90% of the corresponding peak strength combined with AE technique.

EXPERIMENTAL MATERIALS AND METHODS

Material

Marble blocks were obtained from Hezhou, Guangxi Province, China with the depth of 100–150 m and *in situ* stress of approximately 2.3–3.7 MPa. All specimens were from the same rectangular block in the same direction. Specimens were drilled, cut and polished into 50 mm × 100 mm cylinder standard specimens in accordance with the experiment specification recommended by International Society for Rock Mechanics (ISRM) as shown in **Figure 1A**. Specimens with obvious flaws were dismissed, and remained specimens were subjected to acoustic tests to measure P-wave and S-wave velocities. Subsequently, specimens with similar velocities were selected for experiments. Poisson ratio can be determined based on wave

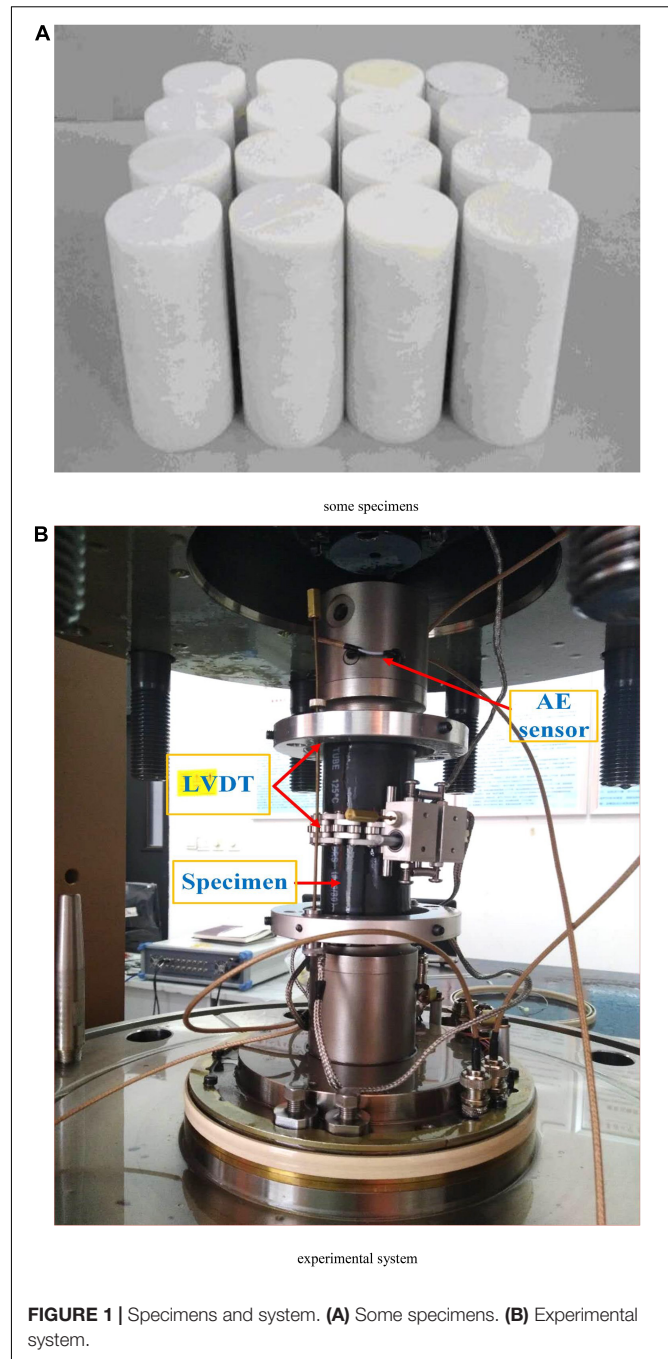


FIGURE 1 | Specimens and system. **(A)** Some specimens. **(B)** Experimental system.

velocities by the following Eq. 1. Mechanical properties of marble specimens were presented in **Table 1**.

$$\nu = \frac{V_p^2 - 2V_s^2}{2(V_p^2 - V_s^2)} \quad (1)$$

where ν is Poisson ratio, and V_p and V_s are P- and S-wave velocity, respectively.

TABLE 1 | Physical properties of marble specimens.

Items	Parameters
Density (kg/m ³)	2701.75
P-wave velocity (m s ⁻¹)	3458.72
S-wave velocity (m s ⁻¹)	1824.87
Poisson ratio	0.295

Experiment Apparatus

Experiments in the present work were performed with the TFD-2000/D servo-controlled triaxial test system as shown in **Figure 1B** (Chen and Zhang, 2017). This self-developed system included three main parts, a loading system, a pore water loading

system, and a dynamic disturbance system. The maximum axial loading was 2000 KN and the maximum confining pressure was 70 MPa. The axial and lateral strains were measured by a pair of linear variable differential transformers (LVDT) placed between the top and middle surface of a specimen, respectively, which is similar to that in conventional compression tests (Yu et al., 2016; Yao et al., 2019). The volume strain can be determined,

$$\varepsilon_V = \varepsilon_1 + 2\varepsilon_3 \tag{2}$$

where ε_V , ε_1 , and ε_3 are volumetric, axial and lateral strains respectively.

Furthermore, The AE system (DS5-16), manufactured by the Beijing Softland Times Scientific Technology Corporation, was used to monitor the damage process. In the present work, two

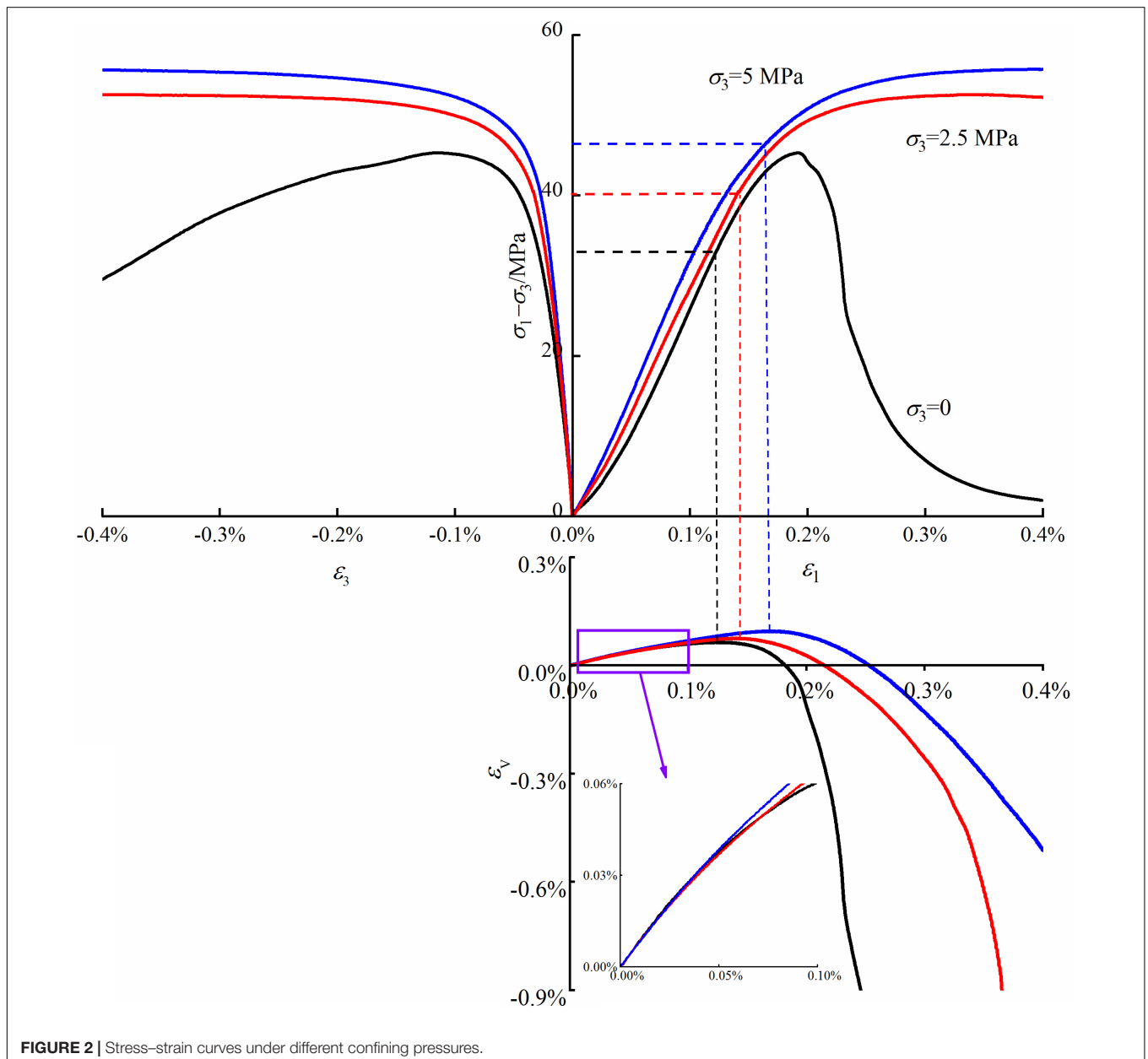


FIGURE 2 | Stress–strain curves under different confining pressures.

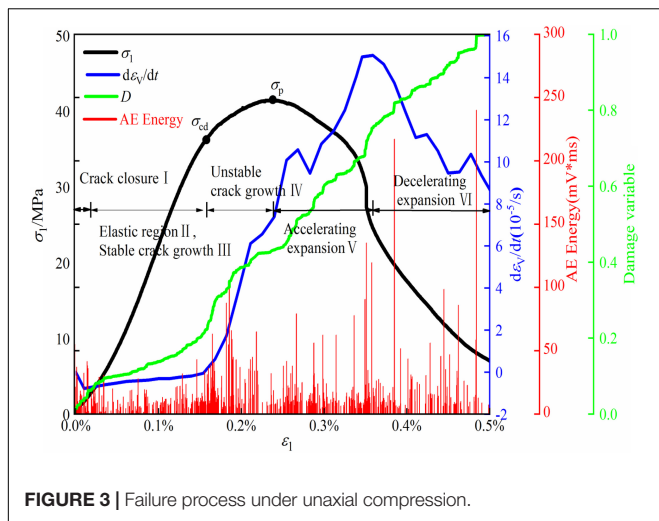


FIGURE 3 | Failure process under uniaxial compression.

sensors were attached to the pressing head shown in **Figure 1B**. The frequency of the sensors ranges from 16 to 60 kHz, and the central frequency is 40 kHz. The threshold was fixed at the 100 dB and preamplifier gain was 40 dB with the accuracy of 1 dB. The sampling rate was 1 M/s. This system can record AE events over the rock damage and failure process.

Experimental Method

Firstly, a series of conventional compression experiments were performed to determine the stress threshold in creep experiments under confining pressures of 0, 2.5, and 5 MPa at a constant axial displacement rate of 0.05 mm/min until specimen failure respectively. **Figure 2** presents stress-strain curves at various confining pressures. The crack damage stresses, σ_{cd} , were 32, 40, and 45 MPa, respectively for the confining pressures of 0, 2.5, and 5 MPa, and the peak stresses, σ_P , were 45, 55, and 65 MPa, respectively. The proportion of σ_{cd}/σ_P was approximately 70%

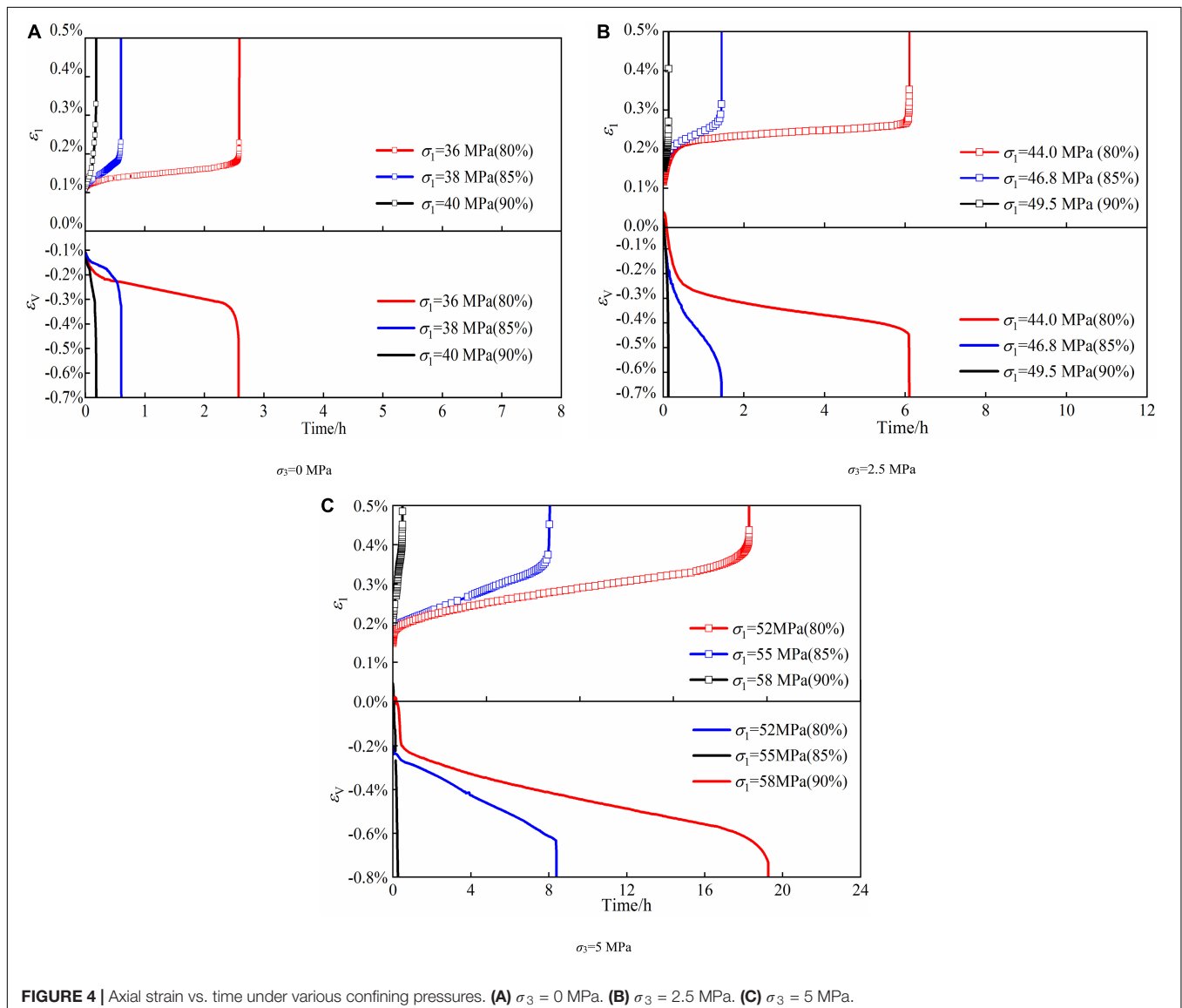
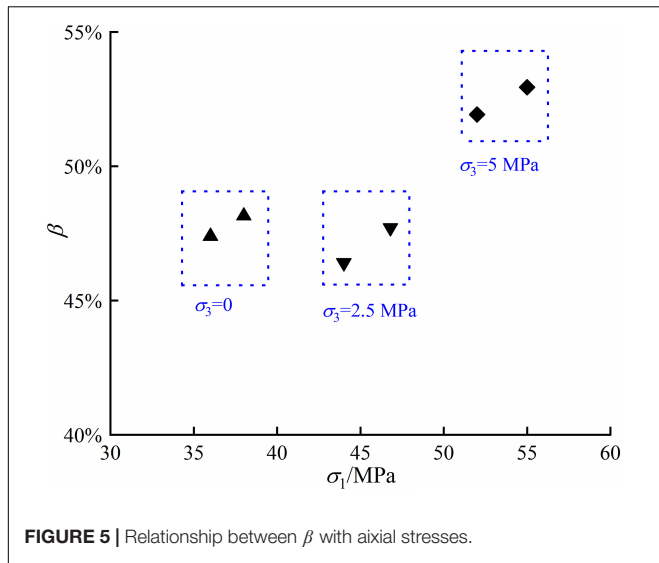


FIGURE 4 | Axial strain vs. time under various confining pressures. (A) $\sigma_3 = 0$ MPa. (B) $\sigma_3 = 2.5$ MPa. (C) $\sigma_3 = 5$ MPa.



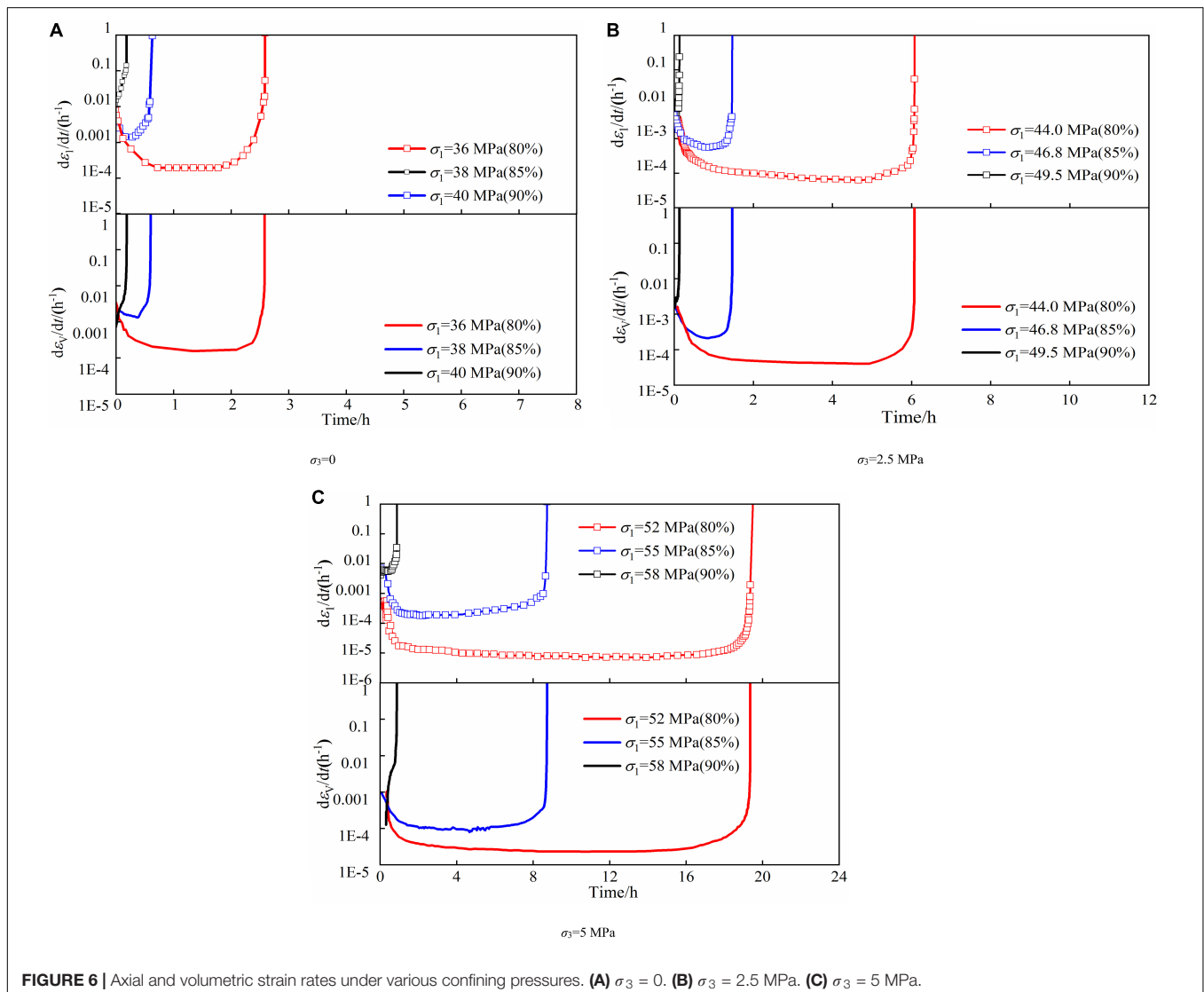
and consequently, after analysis and comparison, the stress threshold for creep was determined as 80, 85, and 90% of the corresponding peak strength at each confining pressure.

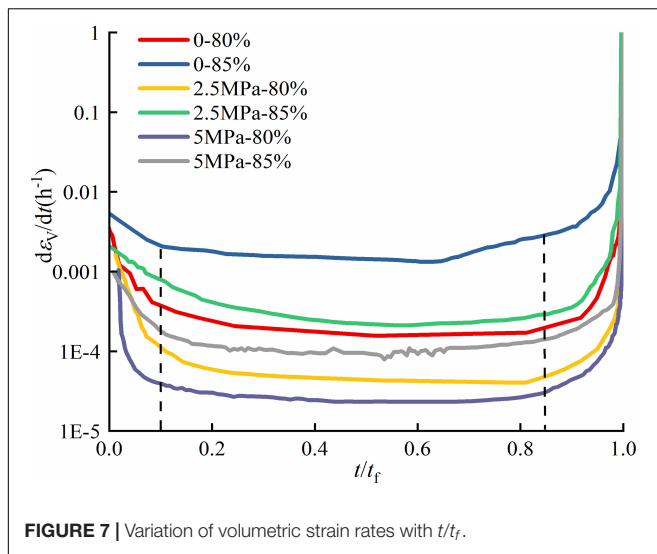
Experiments were performed firstly by loading at a constant loading rate of 500 N/s to the predefined stress. Subsequently, the stress was kept constant, and all of the strain, stress and AE parameters were kept recording until specimen failure.

RESULTS AND DISCUSSION

Conventional Compression Tests

When rock specimens are subjected to load, microcracks are produced, propagated, and interconnected, resulting in the occurrence of a great number of damage in the interior of specimens. During the damage evolution, the elastic energy is released, namely AE, and it is indicated that AE characteristics are related to the damage and failure of rock specimens. The AE



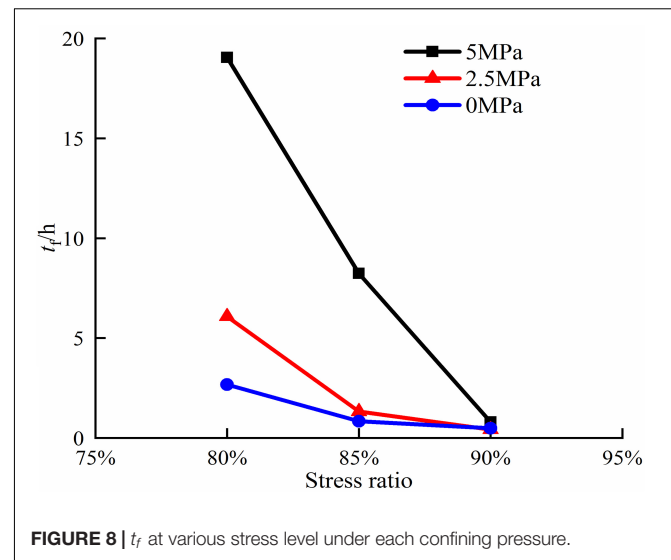


waveform is surrounded by an envelope, and the area under the envelope is AE energy. AE energy is rather representative and can be used to assess the strength of AE, and further the damage of rock. In the present work, the damage variable, D , is defined using AE energy by the following function (Zhang et al., 2015).

$$D = \frac{N}{N_f} \quad (3)$$

where N is the instantaneous accumulated AE energy and N_f is total AE energy over the creep failure process.

Figure 3 illustrates the variation of volumetric strain rates, AE energy and D plotted with axial strains under uniaxial compression, as the typical specimen. The failure process is generally divided into five stages of the typical failure process, crack closure, elastic deformation, stable crack growth, unstable crack growth and failure after the peak in earlier studies (Yu et al., 2015a; Cai et al., 2016). Besides, a division in the post-peak stage, decelerating expansion, was proposed after the peak of the maximum volumetric rate (Cai et al., 2017) (shown in **Figure 3**). In the first stage, because some pre-exist microcracks become closed, stress-strain curve is not linear and volumetric strain rates decrease. Obvious AE energy can be noted and D increases moderately. As the stress approaches the crack closure stress, the pre-exist microcracks are closed completely and D reaches 0.08. Subsequently, the deformation enters into the next stages and the elastic deformation can be observed. Meanwhile, volumetric strain rates and D increases slightly. With a further increase of the stress, the new cracks occur and the AE energy is observed densely. D increases moderately to approximately 0.25. As the load exceeds σ_{cd} , the coalescence of microcracks begins, and crack density may reach a critical state. The volumetric strain rate increases rapidly and AE energy occurs densely. D augments to 0.5 with the stress approaching the peak. When the load exceeds σ_p , numerous cracks propagate continuously, and consequently volumetric strain rates and AE energy augments continuously. As the volumetric strain rates exhibit the maximum, D rises to approximately 0.8. In the final



stage, volumetric strain rates decrease rapidly and great AE energy can be noted densely, indicating the specimen failure. In general, both volumetric strain rate and D are similar from the second to fifth stage to some extent.

Experimental Results of Creep Failure and Discussion

The Evolution of Strain and Its Rates

Figure 4 illustrates the axial and volumetric strain under different confining pressures at the constant stresses corresponding to 80, 85, and 90% of the peak strength. It is noted that marble specimens exhibit three-stage creep failure, transient, steady and accelerated creep phase at low stress ratios, namely 80 and 85%, while specimens exhibit two-stage creep failure, transient and accelerated phase at the stress ratio of 90%. The transient axial strain increases slightly with the increase of stress ratios under the same confining pressure. Compared with the axial strain, the volumetric strain augments moderately due to the fast increase of lateral strain. The significant variation of volumetric strain reveals that creep failure is the main result of compression-induced expansion in the lateral dimension.

The percentage of creep strain (ε_c) to the total strain (ε_t) of transient strain (ε_0) and creep strain is defined as β .

$$\beta = \frac{\varepsilon_c}{\varepsilon_t} = \frac{\varepsilon_c}{\varepsilon_0 + \varepsilon_c} \quad (4)$$

Figure 5 shows the relationship between the percentages with the stresses. β ranges from 46 to 49% with the increase of stresses from 36 to 46.8 MPa, and it augments moderately to 55% as the stress reaches 55 MPa. This indicates both transient strain and creep strain are equally important components in the total deformation. The previous literature reported the transient strain is the main reason for the damage and there is a threshold on the stress level, approximately 45% of peak strength (Yu C. et al., 2019). Transient strain could be the main dominant portion of the deformation under low stresses (for example,

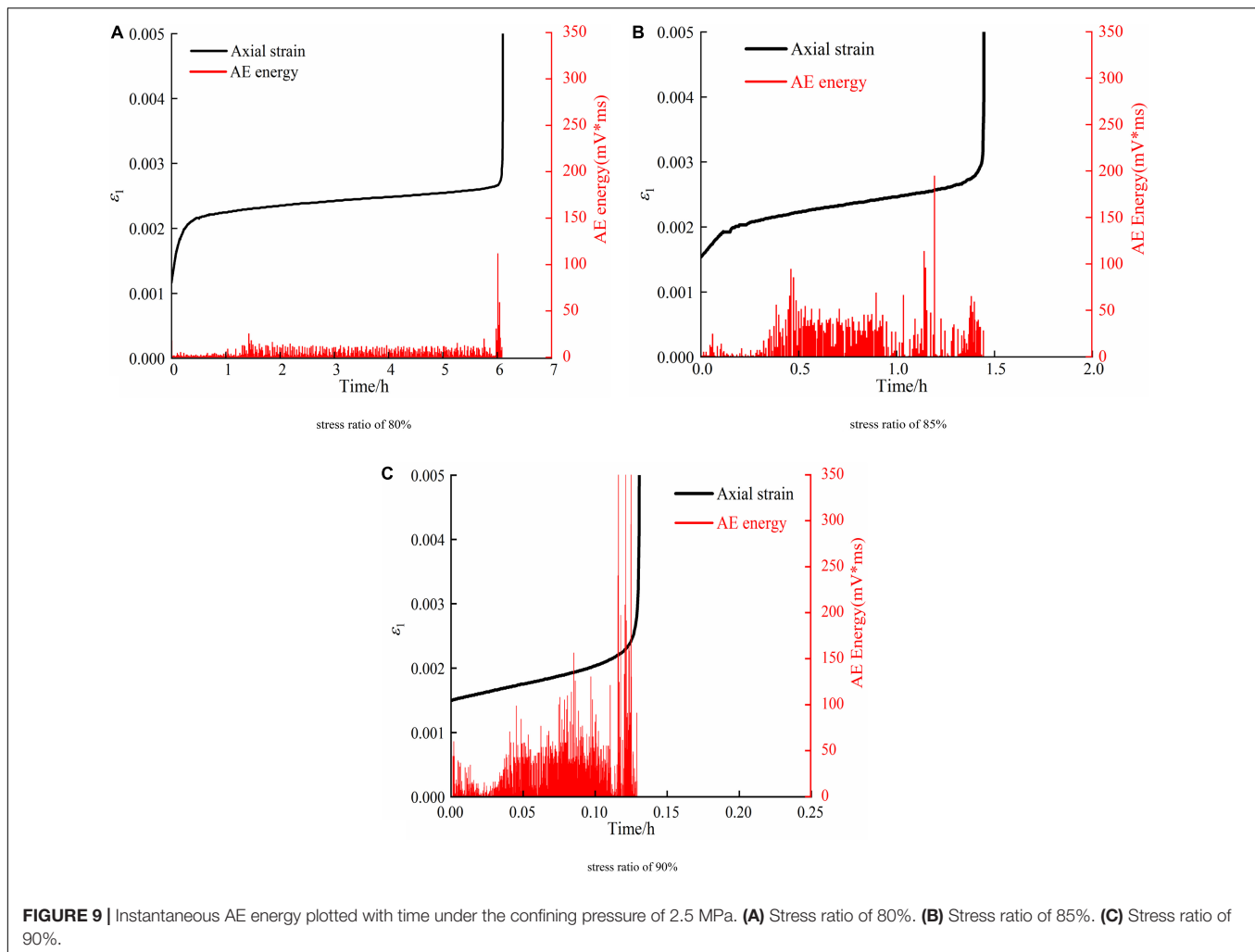


FIGURE 9 | Instantaneous AE energy plotted with time under the confining pressure of 2.5 MPa. **(A)** Stress ratio of 80%. **(B)** Stress ratio of 85%. **(C)** Stress ratio of 90%.

<50% corresponding strength), and creep strain could become increasingly significant with the increase of loading.

Instantaneous axial and volumetric strain rates are calculated based on equations as follows,

$$\dot{\epsilon}_1 = d\epsilon_1/dt \quad (5)$$

$$\dot{\epsilon}_V = -d\epsilon_V/dt \quad (6)$$

As shown in **Figure 6**, the axial strain rate decreases from 0.003 to 10^{-4} h^{-1} , and the volumetric strain rate declines from 0.01 h^{-1} to $3.27 \times 10^{-4} \text{ h}^{-1}$ at the stress portion of 80% under confining pressure of 2.5 MPa. It is noted that both axial and volumetric strain rates increase continuously at the stress ratio of 90% until specimen failure, which are similar to those in previously conventional compression tests to some extent.

Figure 7 illustrates the variation in volumetric strain rates at the stress ratio of 80 and 85% under different stress conditions, plotted with the ratio of time and time-to-failure, t/t_f . The volumetric strain rate decreases initially, and it becomes stable relatively when t/t_f ranges from 0.1 to 0.85. This period can

be considered as the second creep stage. The sharp increase reveals that the time ratio of 0.85 is a mark of the beginning of accelerated creep.

Figure 8 demonstrates time-to-failure at the stress ratio of 80% is approximately 2 times than that at 85%, and 20 times than that at 90% under the confining pressure of 5 MPa. The phenomenon of time-lag failure becomes obscured when the stresses approach the peak strength. t_f is determined as a negatively exponential function of steady volumetric strain rates with the great determination of 0.93, as follows,

$$t_f = 50.77 \exp(-1.39 \times 10^4 \dot{\epsilon}_V) \quad (7)$$

AE Characteristics

Figure 9 demonstrates the trend of instantaneous AE energy and deformation of specimens under the confining pressures of 2.5 MPa. In the first stage, the axial strain increases slightly and a transient quiet period in AE energy is observed. Subsequently, a peak in AE energy is noted, indicating the start of the second stage. In such stage, new microcracks are produced and extended stably, resulting in the gradual increase of deformation and AE energy occurs in a relatively low degree under the stress ratio of

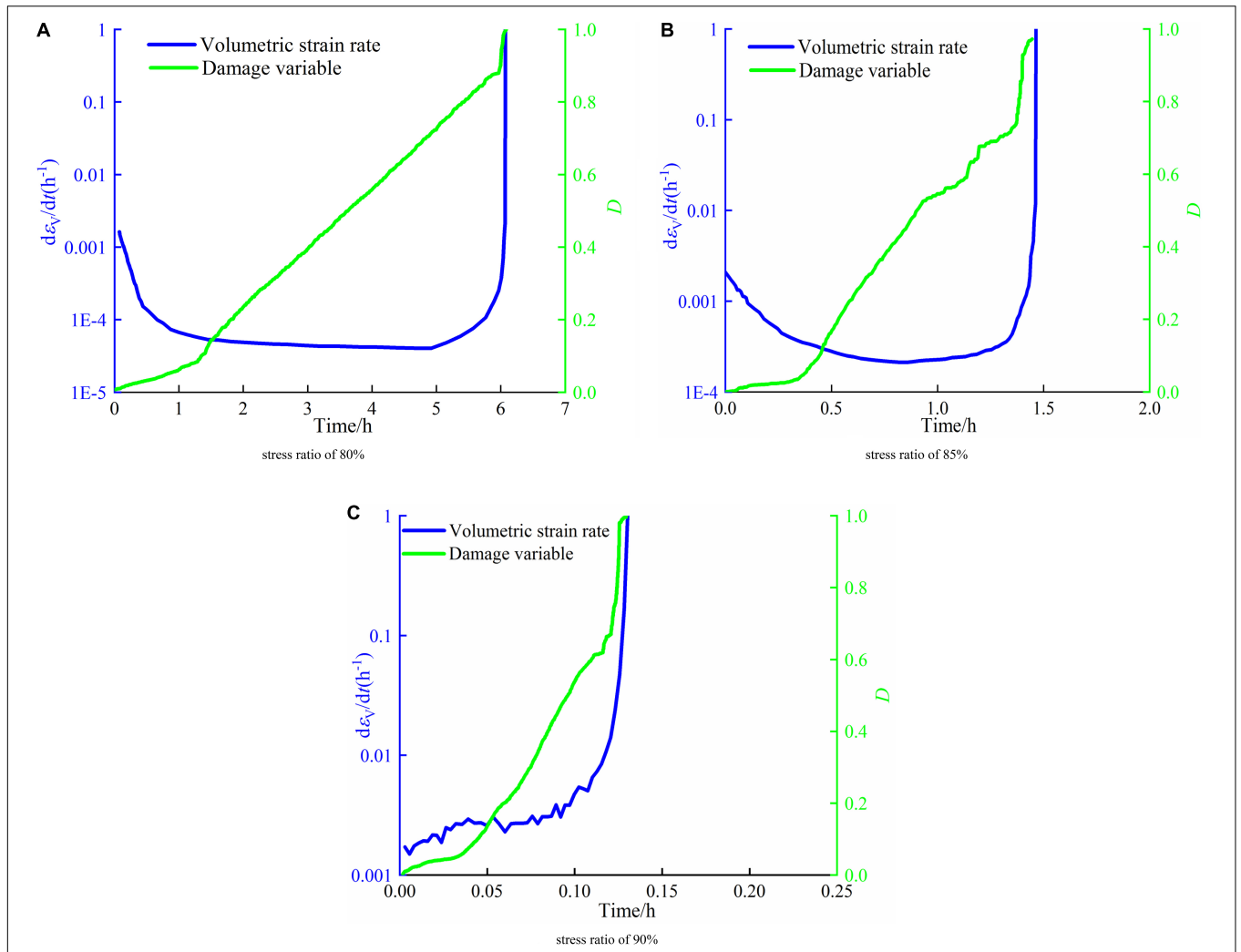


FIGURE 10 | Damage variable and volumetric strain rates under the confining pressure of 2.5 MPa. **(A)** Stress ratio of 80%. **(B)** Stress ratio of 85%. **(C)** Stress ratio of 90%.

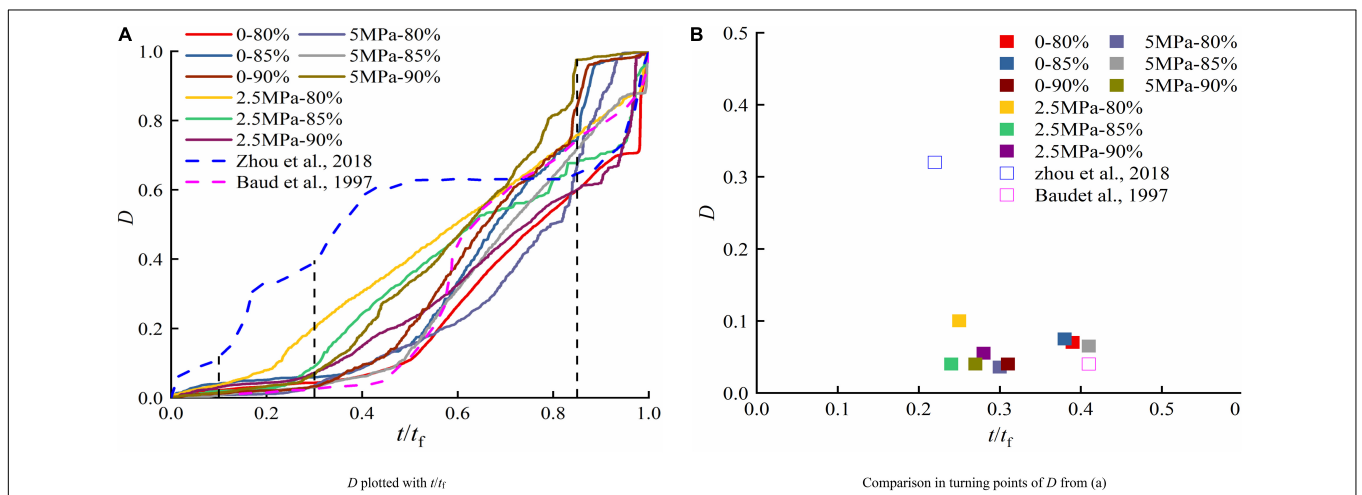
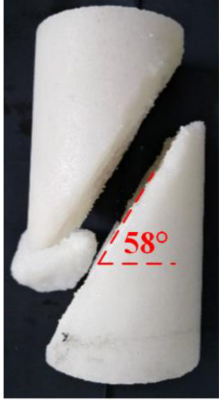




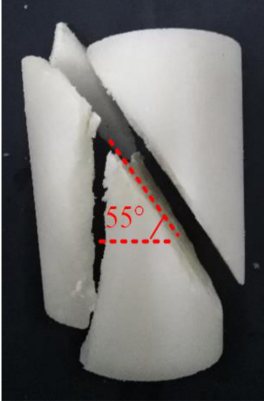

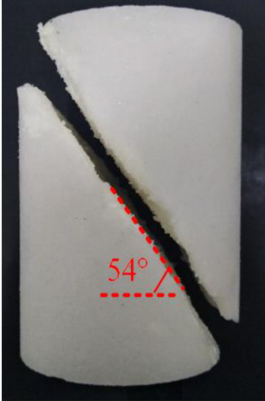

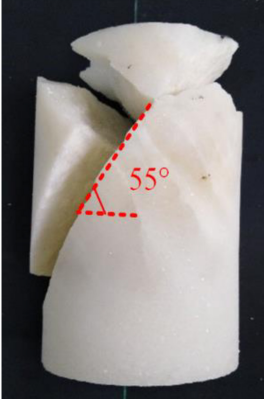
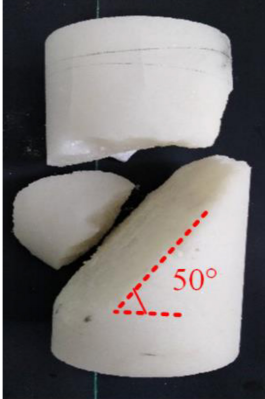
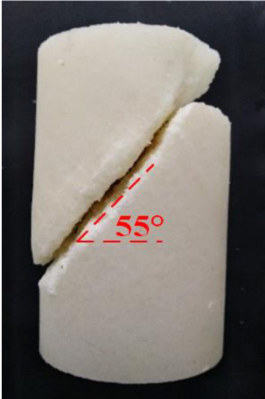


FIGURE 11 | Damage variable characteristics with t/t_f . **(A)** D plotted with t/t_f . **(B)** Comparison in turning points of D from panel (A).

TABLE 2 | Failure characteristics of marble in conventional compression and creep tests.

σ_3 (MPa)	Conventional compression	Loading		
		Stress ratio in creep		
		80%	85%	90%
0				
2.5 MPa				
5 MPa				

80%. Numerous microcracks are widened and connected, leading to the significant expansion, and AE energy occurs continuously and densely under the stress ratio of 90%. As the time goes by, macrocracks are formed, and AE energy densely exhibits the great values, indicating the specimen enters into the third stage. Besides, the continuous and dense degree is noteworthy under the stress ratios of 85 and 90%, and peak values of AE energy become greater with the increase of stress ratio.

According to the previous analysis, volumetric strain rates are significant in the rock failure process. To further analyze the damage, D together with volumetric strain rates is demonstrated in **Figure 10**, under the confining pressure of 2.5 MPa as the typical example. D increases slightly in the first stage, and subsequently, the curve shows a linear augment with a sharp increase before failure. In the second stage, volumetric strain rates are noted at a low level while D augments linearly

to approximately 0.8 at the end of this stage. In the third stage, both volumetric strain rate and D increase rapidly until failure. In order to further quantify and estimate the damage, D values are replotted with t/t_f under different confining pressures in **Figure 11A**. Turning points can be observed remarkably with t/t_f ranging from approximately 20–35%, and D at turning points is between 0.03 and 0.1, as illustrated in **Figure 11B**. The average t/t_f and D at turning points are 0.3 and 0.05, respectively. Therefore, $D_{0.3}$ is considered as the initial-damage escalation threshold. After this moment, microcracks propagate and AE energy augments significantly, indicating damage becomes greater and accumulated. When t/t_f is 0.85, D ranges from 0.6 to 0.8 under different conditions, marked as the start of accelerated creep. When t/t_f reaches 0.9, D increases to approximately 0.9 under some stress levels. D in previous research (Baud and Meredith, 1997; Zhou et al., 2018) shows a similar tendency, in which creep experiments were performed under an effective confining pressure of 30 MPa and uniaxial compression, respectively. The tertiary stage can be predicted with the help of AE, and it requires further researches to assess this method.

Failure Modes

Table 2 lists the failure characteristics of conventional compression and creep under various confining pressures. Evidently, specimens fail by shear localization along an inclined macroscopic shear fracture in conventional compression tests. The shear angle shows a slight reduction by 4° with the increase of confining pressures by 5 MPa. The average shear angle is 55° , approximately equal to $45 + \varphi/2$. In creep tests, generally, failure patterns are splitting dominant modes under uniaxial conditions, along with some debris, and there is less debris with the increase of creep stresses. With the increase of confining pressures, failure patterns convert into shear dominant modes. When creep stress is 90% corresponding strength, shear angles are nearly equivalent to those in conventional compression under the confining condition. Failure patterns in creep may be similar to that in conventional compression tests when stresses exceed a certain level and approach the strength.

CONCLUSION

The present work investigates mechanical properties of marble specimens under conventional compression initially, and subsequently creep behavior at the stress ratios of 80, 85, and 90%

of corresponding strength, combined with AE monitored. The damage was estimated based on the AE energy over the process.

In the conventional compression tests, both volumetric strain rates and D increase rapidly in the fourth and fifth stage, and after rates exceed the peak, D augments continuously until specimen failure.

In the creep tests, specimens exhibit a three-creep stage at the constant stress of 80 and 85% peak strength, while specimens exhibit a two-stage at 90% peak strength. The lateral dimension is the main reason for creep failure, and time-to-failure is a negatively exponential function of the steady creep rate.

In the creep tests, damage variables show a sharp increase after a slight variation initially until specimen failure. The turning points in D curves are observed at t/t_f of 0.3, marked as the initial-damage escalation threshold. When t/t_f exceeds 0.85, D augments sharply to 1. $D_{0.85}$ can be regarded as a mark of entering the accelerated creep.

Shear angles are generally ranging from 50° to 60° in both two series tests. In conventional compression tests, failure patterns are shear dominant modes, and in the creep tests, failure patterns convert from the splitting dominant modes to the shear dominant with the increase of confining pressures.

DATA AVAILABILITY STATEMENT

The datasets generated for this study are available on request to the corresponding author.

AUTHOR CONTRIBUTIONS

JY and XL: study design. All authors: study conduct, approving final version of manuscript. XL, YZ, WY, and YL: data collection. XL, YZ, and WY: data analysis. XL, WY, and YL: data interpretation. XL: figures. XL, JY, and YZ: drafting manuscript.

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Conflict of Interest: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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