



Super Broadband at Telecom Wavelengths From RE³⁺-Doped SiO₂-Ta₂O₅ Glass Ceramics Planar Waveguides

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This paper reports on the preparation of Er³⁺/Yb³⁺/Tm³⁺, Er³⁺/Yb³⁺/Nd³⁺, and Er³⁺/Tm³⁺/Nd³⁺ triply doped and Er³⁺-doped SiO₂-Ta₂O₅ glass ceramic nanocomposites and active planar waveguides by the sol-gel process using the dip-coating technique as deposition method. The investigation of their structural, morphological, and luminescent properties using XRD, AFM, and photoluminescence analysis, are reported here. The XRD results showed the presence of L-Ta₂O₅ nanocrystals dispersed in the SiO₂-based amorphous host for all the nanocomposites and films. The rare earth ion (RE³⁺) doping concentration affected both the crystallinity, and the crystallite sizes of the Ta₂O₅ dispersed into SiO₂-Ta₂O₅ nanocomposites and waveguides. AFM characterization revealed crack free and smooth surface roughness and differences in viscoelasticity on the Er³⁺-doped SiO₂-Ta₂O₅ films surface, which allows the identification of Ta₂O₅ nanocrystals on the SiO₂ amorphous host. The Er³⁺ doped and triply doped SiO₂-Ta₂O₅ nanocomposites displayed broad- and super broadband NIR emissions with a FWHM up to 173 nm achieved in the telecom wavelengths. The lifetime of the ⁴I_{13/2} emitting level of the Er³⁺-doped SiO₂-Ta₂O₅ waveguides is strongly dependent on Er³⁺ concentration and an emission quenching was negligible up to 0.81 mol%. The structural and luminescent investigations indicated that RE³⁺-doped SiO₂-Ta₂O₅ glass ceramics are promising candidates for photonic applications in optical devices operating in wide wavelengths at the telecom bands.

Keywords: NIR emission, broad band, waveguide, glass ceramic, rare earth

INTRODUCTION

The global internet traffic has greatly grown over the last years, for instance, by 2023 the forecasts have pointed out 5.3 billion users, 29.3 billion networked devices, 14.7 billion machine-to-machine connections, and global fixed broadband speeds will reach 110.4 Mbps (Cisco, 2020). Moreover, mainly due to the Coronavirus disease (COVID-19) pandemic it going to keep increasing. Home office, online learning, streaming services, e-commerce, delivery, social media platforms, gaming, and so on have boosted the global internet consuming. Indeed, according to Packet Clearing House (PCH) the

domestic bandwidth production has soared worldwide over the last year (PCH, 2021). In this context, one of the most pressing technological challenges to overcome nowadays is the ever-increasing demand for telecommunication networks that can carry larger data volumes. Therefore, developing efficient new photonic materials for telecommunications is imperative.

Since the 1980s the optical fibers and erbium doped fiber amplifiers (EDFAs) that work at 1.5 μm region have played an important role concerning high transmission rate, large capacity and low-losses of signal transmission in the so called third telecommunication window (Mears et al., 1987; Desurvire et al., 1987). Notwithstanding, erbium doped planar waveguide amplifiers (EPWAs) have been successfully used as efficiency platforms for optical information transport in integrated optics (IO) (Ferrari et al., 2021).

Erbium (Er^{3+}) ion doped materials are very used as optical amplifiers for operation in the S, C, and L telecom bands (1460–1610 nm), depending fundamentally on the chemical composition of the matrix.

An important aspect to be consider in telecommunication is bandwidth. Over the past decades, much attention has been dedicated to obtaining luminescent materials that emit broad emission bands in the near infrared (NIR) region for optical amplification. Several strategies concerning hosts and doping parameters have been adopted to enlarge NIR emission not only in the S, C, and L, but also O, E, and U telecom bands. Among the many strategies developed for the preparation of superbroadband optical amplifiers reported in the literature, special attention has been devoted to glassy and glass-ceramic materials doped with bismuth ion (Bi^+) and several rare earth ions (RE^{3+}) (Rivera et al., 2014; Sun et al., 2014; Martins et al., 2019; Thipparapu et al., 2019).

The use of several RE^{3+} dopants in waveguide materials proposes the widest gain amplification spectrum, increasing the transmission capacity of them. Thulium (Tm^{3+}) doped amplifiers can operate in the S-band (1460–1530 nm), as well in the region from 1.2 to 2 μm , increasing the transmission via shifting away from the third telecommunication window (Muscelli et al., 2016; Aquino et al., 2018; Khamis and Ennsner, 2019). Neodymium (Nd^{3+}) single doped materials show one near-infrared band emission at 1.3 μm , which is placed in the O- and E-bands (Herrera et al., 2021; Xia et al., 2021). The combination of different RE ions in the same host, allows the wide or ultra-wide band optical amplifier development which could

span most part of the low-loss region of the main telecommunication window (Shen et al., 2020b).

A key point concerning the development of photonic materials doped with rare earth (RE) ions is the choice of the host lattice. In this sense, refractive index, phonon energy, RE solubility, symmetry sites, emission quenchers, optical transparency, and chemical stability are the most critical factors for the development of photonic devices with broadband emissions.

Several matrices have been investigated for photonic applications, especially for optical amplification, such as RE^{3+} doped tellurite glasses due to them lower phonon energies (Huang et al., 2004; Shen et al., 2020b), phosphate glasses due to them mechanical strength, good thermal stability and excellent flexibility (Li et al., 2016). The RE^{3+} ions combinations with other ones (Bi^{3+} , Bi^+ , Cr^{3+} , ...) in glasses and glass-ceramics, have been studied in the last decades (Meng et al., 2005; Yang et al., 2018; Dan et al., 2020).

Among the potential RE doped matrices for telecommunication, tantalum oxide (Ta_2O_5) has attractive properties like low phonon energy ($<700\text{ cm}^{-1}$), transparency over a wide spectral range from visible to NIR regions, high refractive index ($n \sim 2.15$), chemical stability (Muscelli et al., 2016). Previous studies published by some of the authors have revealed that Er^{3+} doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ glass ceramics can be potentially used in EDWA and WDM devices (Ferrari et al., 2010), showing NIR emission with bandwidth values from 65 to 91 nm, indicating that Er^{3+} ions are preferentially placed in the Ta_2O_5 sites due to the complex structure of Ta_2O_5 (Ferrari et al., 2011a).

Materials with potential applications as active planar waveguides have played an important role in the development of optics and optoelectronics applications due to their excellent performance, low cost, and ease of fabrication.

Planar waveguides have to combine several requirements in order to achieve high light confinement coefficient and low propagation losses, such as high control of refractive index values, absence or high control of phase separation, high solubility of lanthanide ions (Terui and Kobayashi, 1978; Benatsou et al., 1997) among others.

One of the best and low cost experimental routes to the manufacture of multicomponent glass ceramics and planar waveguides is the sol-gel process (Benatsou et al., 1997; Gonçalves et al., 2002, 2004). This methodology presents several advantages in comparison with melt-quenching technique, being considered an adequate method to control all the requirements mentioned above, considering that such a process allows the possibility of synthesis of multicomponent systems, high homogeneity, among other factors.

This study reports on the synthesis, structural and morphological characterization, and luminescent properties of rare earth ion (RE^{3+})-doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ glass ceramic nanocomposites and active planar waveguides prepared by the sol-gel methodology. More specifically, we have prepared photonic glass ceramic materials with several Er^{3+} concentrations and different RE^{3+} doping combinations to widen the near infrared (NIR) emission band and consequently enable the application in a wider region in the window used in telecommunications. The preparation of materials with broad- and super broadband opens the possibility of manufacturing integrated optics components such as optical amplifiers based on RE^{3+} -doped planar waveguide amplifiers

TABLE 1 | Triply doped and Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites and films.

Dopant (mol%)	Sample processing
0.5 Er^{3+} /3.0 Yb^{3+} /1.0 Tm^{3+}	Powder
0.5 Er^{3+} /3.0 Yb^{3+} /1.0 Nd^{3+}	Powder
0.3 Er^{3+} /0.9 Tm^{3+} /0.05 Nd^{3+}	Powder
0.03 Er^{3+}	Powder and film
0.1 Er^{3+}	Powder and film
0.3 Er^{3+}	Powder and film
0.5 Er^{3+}	Powder and film
1.0 Er^{3+}	Powder and film
2.0 Er^{3+}	Powder and film
4.0 Er^{3+}	Powder and film

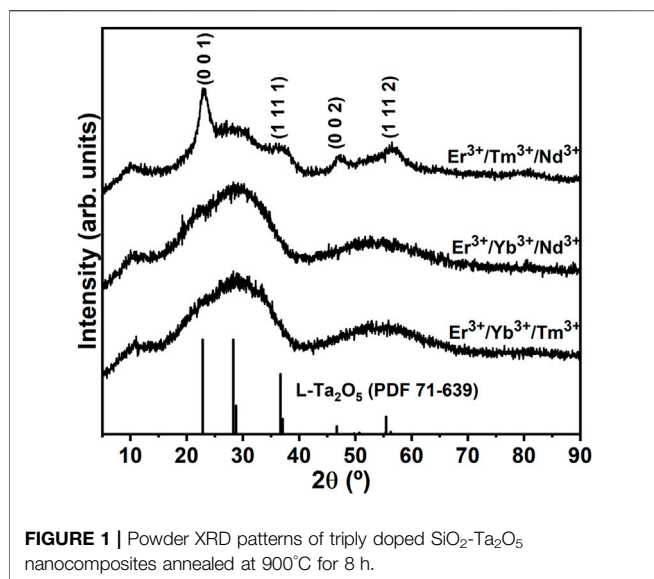


FIGURE 1 | Powder XRD patterns of triply doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites annealed at 900°C for 8 h.

operating in a wide range of wavelengths for new concept of optical devices.

MATERIALS AND METHODS

Materials

Er_2O_3 (99.9%), Yb_2O_3 (99.9%), Tm_2O_3 (99.9%), Nd_2O_3 (99.9%), tantalum ethoxide (99.98%), 2-ethoxyethanol (99%) and tetraethylorthosilicate (TEOS, 98%) were purchased from Sigma Aldrich. Anhydrous ethanol ($\leq 0.005\%$ H_2O) was obtained from Merck. HCL PA-ACS-ISO (37wt%) were acquired from Panreac.

Synthesis of Materials

$\text{Er}^{3+}/\text{Yb}^{3+}/\text{Tm}^{3+}$, $\text{Er}^{3+}/\text{Yb}^{3+}/\text{Nd}^{3+}$, $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Nd}^{3+}$ triply doped and Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites and films were prepared by the sol-gel methodology. First, the Er^{3+} , Yb^{3+} , Tm^{3+} , and Nd^{3+} chloride ethanolic solutions were prepared by dissolving Er_2O_3 , Yb_2O_3 , Tm_2O_3 , and Nd_2O_3 , respectively, in HCL PA-ACS-ISO under stirring. The solutions were subsequently dried at 80°C with addition of anhydrous ethanol. Finally, the resulting dopant solutions were titrated by ethylenediaminetetraacetic acid (EDTA, 0.0515 mol L^{-1}) complexation in Acetic Acid-Sodium Acetate buffer (pH 5.4); xylenol orange was used as indicator.

A final reaction volume of 20.0 ml, with total Si + Ta concentration of 0.448 mol L^{-1} and Si/Ta 70:30 molar ratio, was prepared. In a container under stirring at room temperature, anhydrous ethanol, tantalum ethoxide, 2-ethoxyethanol, and the dopant solutions were added. In a second container under stirring at room temperature, anhydrous ethanol, tetraethylorthosilicate, and HCL PA-ACS-ISO were added at TEOS/HCL 50:1 ratio. Then, the contents of the two containers were mixed under stirring at room temperature for 30 min. The obtained sols were used to prepare the films, as described in detail elsewhere (Ferrari et al., 2010), or dried

for 60 days for xerogel formation. The resulting xerogels were ground to powder and annealed at 900 and 1100°C for 8 h. Table 1 summarizes the prepared samples.

Characterization

The powder X-ray diffraction (XRD) measurements of the triply doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites were carried out on a Siemens-Bruker D5005-AXS diffractometer operating with $\text{CuK}\alpha$ (40 kV and 30 mA) radiation (1.5406 \AA) and equipped with a graphite monochromator. The powder XRD patterns were recorded at 0.02°s^{-1} , in the 2θ range between 5 and 90° . As for the powder XRD patterns of the Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ powders and films, they were registered in the 2θ range between 15 and 70° . The crystallite sizes were determined by the Scherrer formula (Patterson, 1939). The calculation was based on the measurement of integral breadth values in the corresponding XRD pattern. The planar waveguide surfaces were investigated by Atomic Force Microscopy (AFM) with a Shimadzu scanning probe microscope (SPM-9600) operating at the contact and dynamic modes. Surface roughness was evaluated by using the images collected with the software SPM, version 3.03.

The Photoluminescence (PL) emission spectra of the triply doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites in the near infrared (NIR) region were recorded at room temperature on a FluoroLog-3 Horiba Jobin Yvon spectrofluorometer equipped with a H10330-75 NIR photomultiplier. The excitation sources were 808-nm and 980-nm diode lasers (DMC Group) operating at 1000 mW.

The PL emission spectra in the region between 1280–1700 and 1400–1680 nm of the Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites and the PL decay curves of the Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ waveguides were registered at room temperature on a Fluorolog 3-222 Horiba Jobin Yvon spectrofluorometer equipped with an InGaAs detector. The excitation source was a 980-nm laser operating at 302 mW (CrystaLaser). The emission decays of the $^4\text{I}_{13/2}$ emitting level of Er^{3+} in the $\text{SiO}_2\text{-Ta}_2\text{O}_5$ films were measured under excitation at the

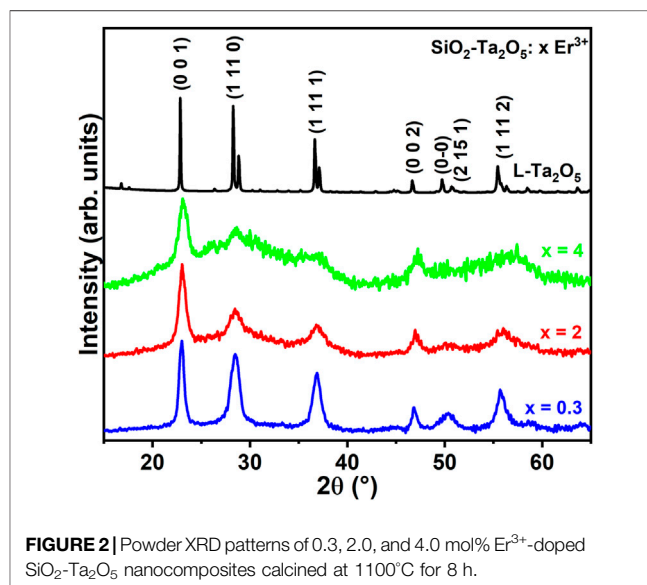


FIGURE 2 | Powder XRD patterns of 0.3, 2.0, and 4.0 mol% Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites calcined at 1100°C for 8 h.

TABLE 2 | Average crystallite diameters of undoped Ta₂O₅ and 0.3, 2.0, and 4.0 mol% Er³⁺-doped SiO₂-Ta₂O₅ nanocomposites calcined at 1100°C for 8 h.

Sample	Average crystallite size (nm)
Undoped Ta ₂ O ₅	20.4
SiO ₂ -Ta ₂ O ₅ : 0.3% Er ³⁺	9.9
SiO ₂ -Ta ₂ O ₅ : 2.0% Er ³⁺	6.7
SiO ₂ -Ta ₂ O ₅ : 4.0% Er ³⁺	4.0

Er³⁺:⁴I_{15/2}→⁴I_{11/2} transition at room temperature and recorded with an oscilloscope (Tektronix TDS 2022B).

RESULTS AND DISCUSSION

Structural and Morphological Properties

Figure 1 presents the powder XRD patterns of the triply doped SiO₂-Ta₂O₅ nanocomposites annealed at 900°C for 8 h. The powder XRD patterns of the Er³⁺/Yb³⁺/Tm³⁺ and Er³⁺/Yb³⁺/Nd³⁺ triply doped nanocomposites displayed an amorphous halo from 15° to 40°, and no crystallization peaks were detected. On the other hand, the Er³⁺/Tm³⁺/Nd³⁺ triply doped nanocomposite presented crystallization peaks at 22.8°, 36.7°, 46.7°, and 55.5°, assigned to the (0 0 1), (1 1 1), (0 0 2), and (1 1 2), respectively, crystalline planes of orthorhombic L-Ta₂O₅ (Stephenson and Roth, 1971). A full description and discussion of such crystalline phase can be seen elsewhere (Stephenson and Roth, 1971; Ferrari et al., 2011b). Herein, it is evidenced that Ta₂O₅ crystallization was significantly affected where the process was delayed for samples with the highest concentrations of RE³⁺ concentration (the total RE³⁺ concentration was 4.5, 4.5, and 1.25 mol% for the Er³⁺/Yb³⁺/Tm³⁺, Er³⁺/Yb³⁺/Nd³⁺, and Er³⁺/Tm³⁺/Nd³⁺ triply doped nanocomposites, respectively) in which the ions may be acting on the surface of the particles reducing the energy surface avoiding their increasing. This observation

corroborates the indication that RE³⁺ ions will preferentially be distributed in an environment rich in tantalum oxide. With the formation of nanoparticles with controlled segregation, the distribution of lanthanide ions will be into the tantalum oxide nanocrystals, as observed in previous works. The RE³⁺ distribution in nanocrystals containing the complex structure of tantalum oxide has revealed the distribution of RE³⁺ ions in a wide range of slightly different symmetry sites, resulting in an inhomogeneous broadening of the emission band in the near infrared (Ferrari et al., 2011b).

One of our goals was to obtain a broad emission band around the 1.5-μm region, so inhomogeneous broadening was desirable due to the large number of symmetry sites occupied by RE³⁺ ions. In this sense, the reduction in crystallization can directly influence the inhomogeneous broadening of the NIR emission band, as we will see below.

Figure 2 depicts the powder XRD patterns of the Er³⁺-doped SiO₂-Ta₂O₅ nanocomposites doped with 0.3, 2.0, and 4.0 mol% Er³⁺ concentrations. The powder XRD peak positions and relative intensities of all the Er³⁺-doped nanocomposites agreed well with the peak positions and relative intensities reported for the orthorhombic L-Ta₂O₅ system. The powder XRD of Er³⁺-doped SiO₂-Ta₂O₅ exhibited amorphous SiO₂ halos localized between 2θ = 15 and 40°. We did not detect any additional reflection peak, which indicated the absence of secondary phases composed by Er³⁺ ions, at least within the detection limits of this technique. The L-Ta₂O₅ powder XRD peaks enlarged with increasing Er³⁺ concentration, pointing to the influence of Er³⁺ insertion on nanocomposite crystallinity.

Bragg peak broadening in diffractograms can be related to structural factors, such as microstrains and crystallite domain sizes. To evaluate the changes caused by the different Er³⁺ doping levels, we estimated the average crystallite sizes of the Ta₂O₅ crystals dispersed in the amorphous silica host by using the Scherrer's formula. This formula is described by $t = \beta\lambda/B\cos\theta$, where t is the average crystallite size, β is the Scherrer constant

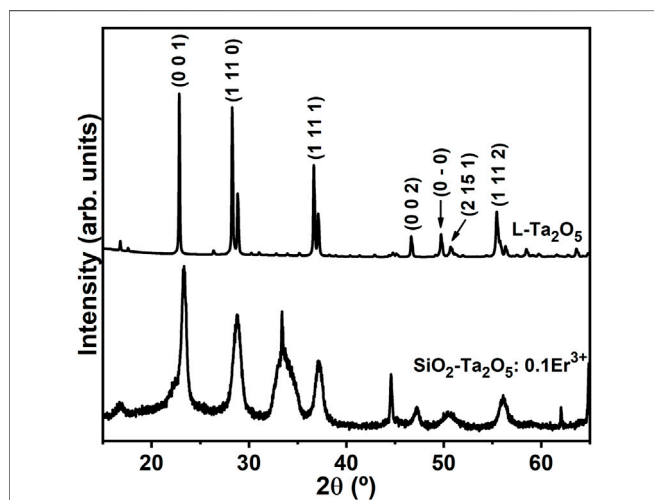


FIGURE 3 | Powder XRD patterns of the 0.1 mol% Er³⁺-doped SiO₂-Ta₂O₅ densified film and pure commercial L-Ta₂O₅ powder.

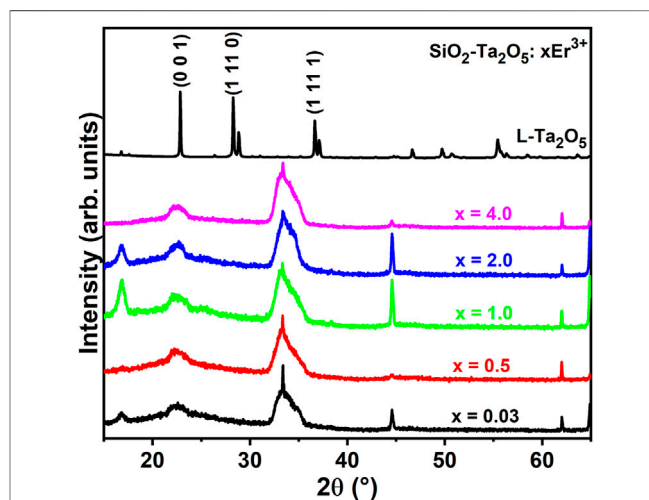


FIGURE 4 | Powder XRD patterns of the 0.03, 0.5, 1.0, 2.0, and 4.0 mol % Er³⁺-doped SiO₂-Ta₂O₅ films.

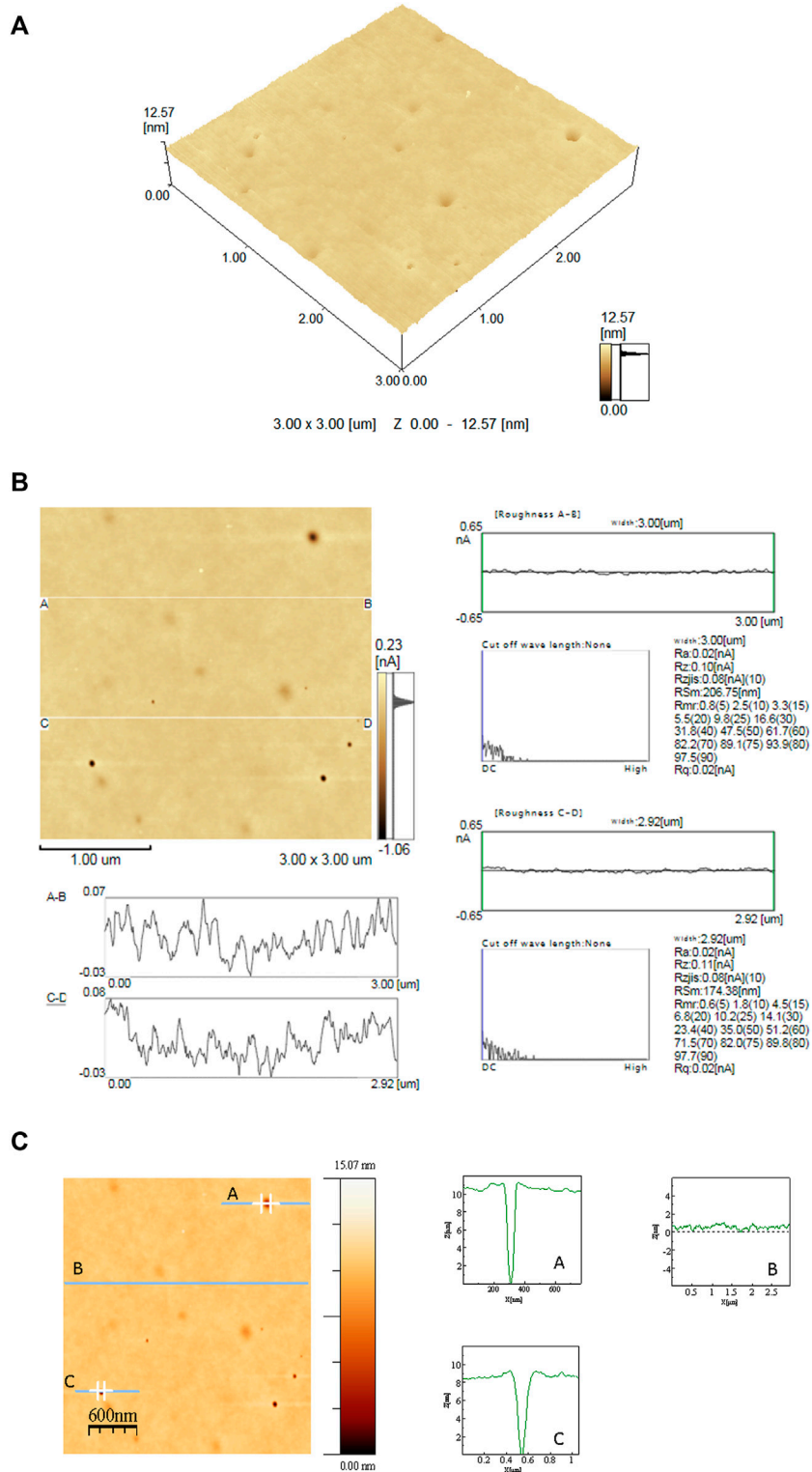
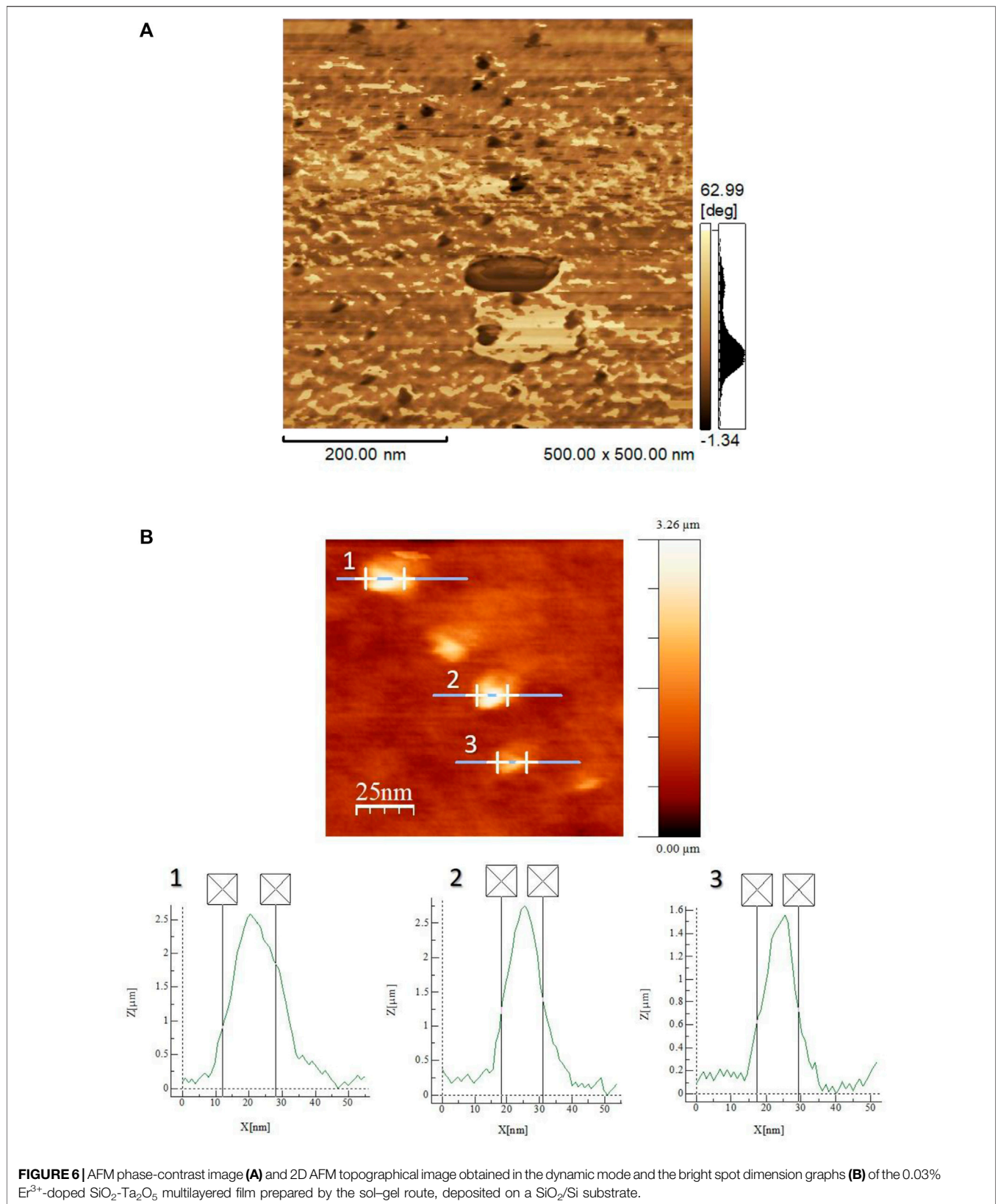
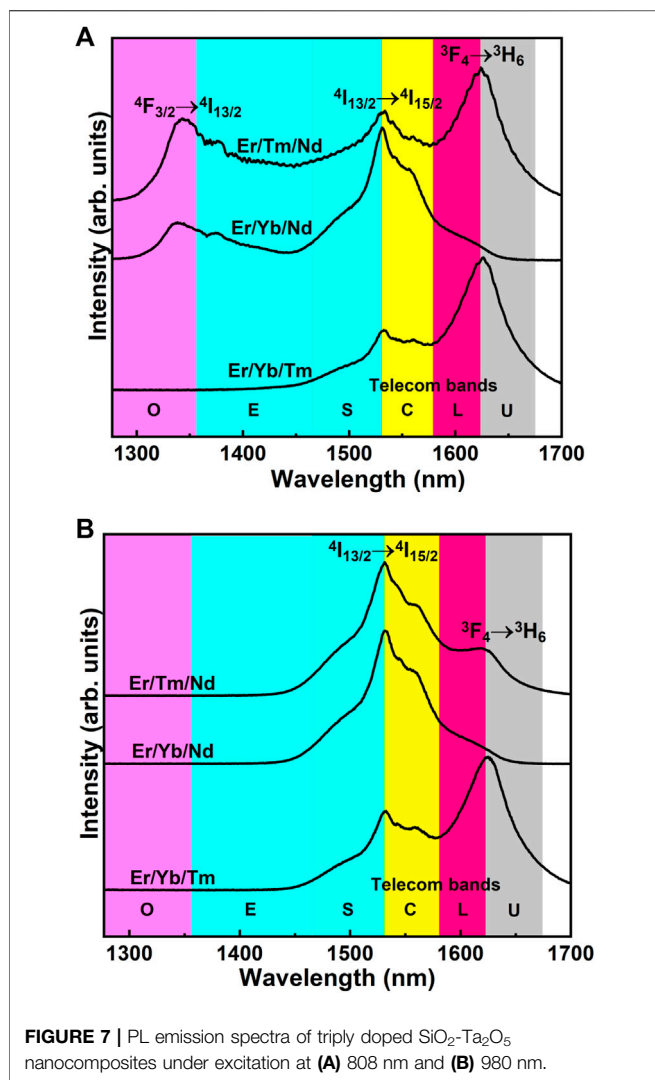


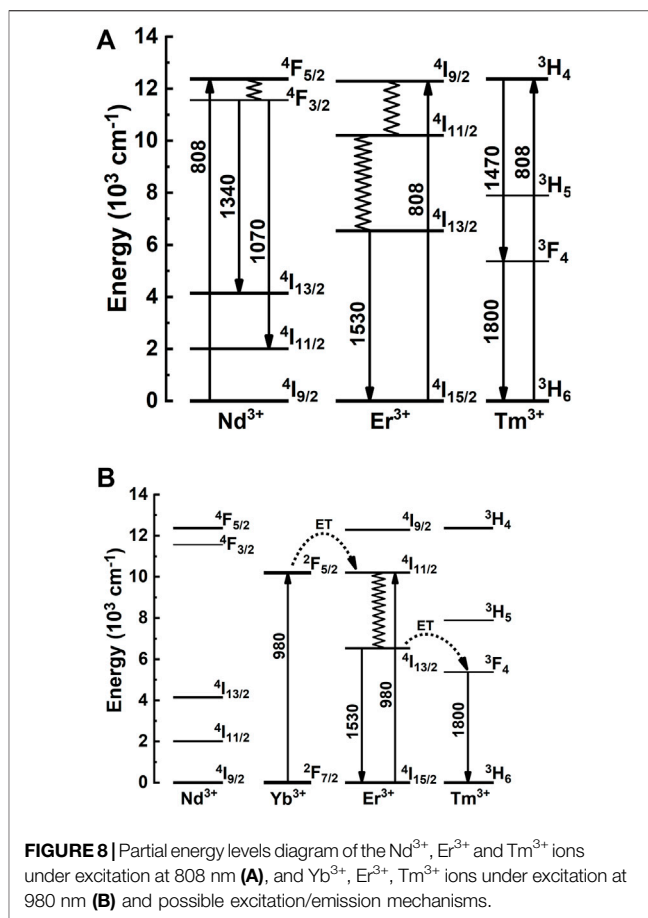
FIGURE 5 | 3D **(A)** and 2D **(B)** AFM topographical images, obtained in the contact mode, of the 0.03% Er^{3+} -doped SiO_2 - Ta_2O_5 multilayered film prepared by the sol-gel route and deposited on a SiO_2/Si substrate. **(C)** 2D AFM topographical image obtained in the contact mode of drawing profile lines the 0.03% Er^{3+} -doped SiO_2 - Ta_2O_5 multilayered film prepared by the sol-gel route, deposited on a SiO_2/Si substrate.





related to the crystallite morphology (is assumed 0.89 for spherical crystallites), λ is the X-Ray wavelength (in Å), B is the integral breadth, and θ is the diffraction angle (in rad) of the peak used in this calculation (Patterson, 1939). **Table 2** lists the calculated average crystallite sizes for the 0.3, 2.0, and 4.0 mol% Er³⁺-doped SiO₂-Ta₂O₅ nanocomposites as well as undoped Ta₂O₅.

The crystallite size calculations were performed based on the reflection peaks assigned to the (0 0 1), (1 11 0) and (1 11 1) planes of the L-Ta₂O₅ crystalline phase. From the diffractogram data it is possible to observe a drastic reduction in the intensity of the powder XRD peaks as well as their broadening. The crystallite dimensions decreased from 9.9 to 4.0 nm as the Er³⁺ doping level increases. RE³⁺ addition to the SiO₂-Ta₂O₅ systems generated additional site distortions and defects, reducing long-distance packing and lowering the degree of crystallinity of the systems. The RE³⁺ dopant promoted a restricting force on the host grain boundaries. If we consider that the restricting forces were greater than the driving forces for grain growth, the grain boundary motion could be hindered, so that crystallite sizes decreased with increasing amount of RE³⁺ dopant, agreeing with our findings for the triply



doped SiO₂-Ta₂O₅ nanocomposites. The same crystallite size changing as a function of RE³⁺ insertion has been reported for Sm³⁺-doped ZnO thin films (He et al., 2015; Kayani et al., 2020), Er³⁺-doped Y₂O₃ obtained by polymeric precursor (Perrella et al., 2014), and Er³⁺-doped NiO-based nanoparticles (Shkir, 2020), among others. The larger RE³⁺ ionic radii compared to the radii of the metal ions in these hosts produced strains in the matrix lattice, reducing further crystal growth. We attributed the powder XRD pattern of the 0.1 mol% Er³⁺-doped SiO₂-Ta₂O₅ densified planar waveguide (**Figure 3**) to reflection planes of the orthorhombic L-Ta₂O₅ crystalline phase, with the presence of an additional peak at 33°, ascribed to the Si (100) substrate. This diffraction profile indicated that L-Ta₂O₅ crystals were dispersed in the amorphous silica host, as reported previously for analogous SiO₂-Ta₂O₅ nanocomposites.

Figure 4 displays the powder XRD patterns of the planar waveguides prepared with several Er³⁺ doping concentrations. All the powder XRD patterns showed the characteristic L-Ta₂O₅ diffraction peak at 23°, attributed to the (0 0 1) plane, attesting the crystallization of Ta₂O₅ nanoparticles into the silica-based host. The other powder XRD peaks of the orthorhombic L-Ta₂O₅ crystalline phase are not well pronounced due to the intense diffraction signals assigned to the silica on silicon (1 0 0) substrate.

The planar waveguide surfaces were evaluated by atomic force microscopy (AFM) using the contact and tapping scan modes.

TABLE 3 | FWHM values of triply doped SiO₂-Ta₂O₅ nanocomposites under excitation at 808 and 980 nm.

Dopant	Central position (nm)		FWHM (±5 nm)	
	$\lambda_{\text{exc}} = 808 \text{ nm}$	$\lambda_{\text{exc}} = 980 \text{ nm}$	$\lambda_{\text{exc}} = 808 \text{ nm}$	$\lambda_{\text{exc}} = 980 \text{ nm}$
Er ³⁺ /Tm ³⁺ /Nd ³⁺	1533.5	1531.0	173	65
Er ³⁺ /Yb ³⁺ /Nd ³⁺	1531.0	1531.5	54	57
Er ³⁺ /Yb ³⁺ /Tm ³⁺	1532.5	1532.5	156	148

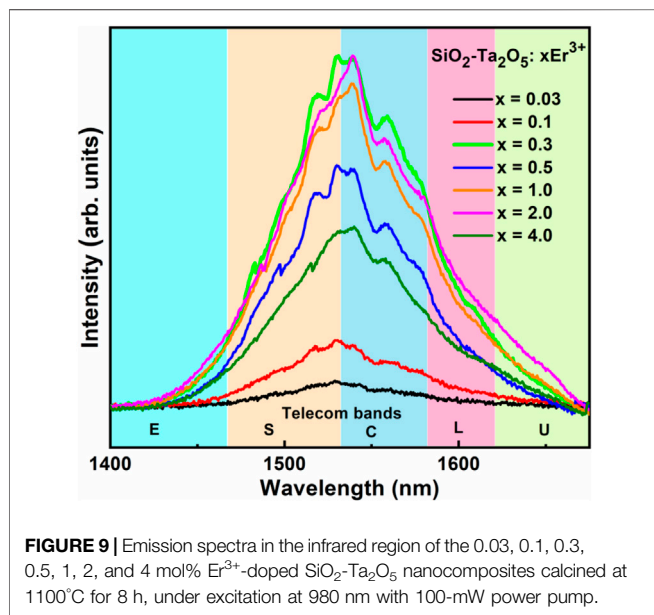
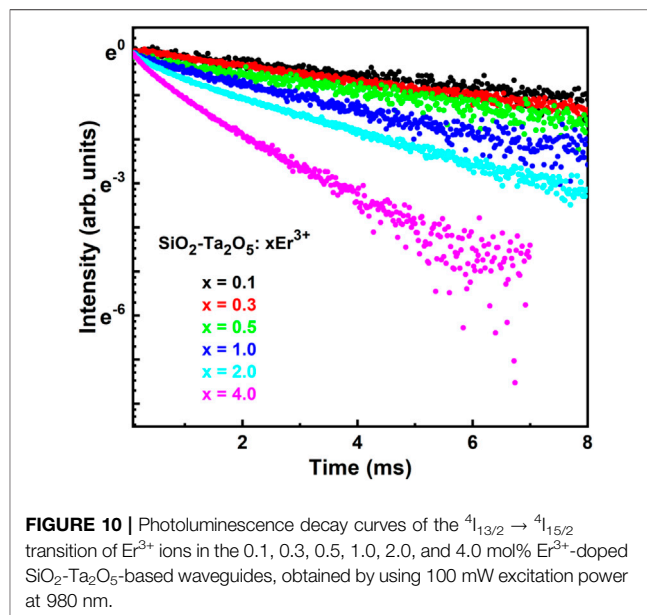
**FIGURE 9** | Emission spectra in the infrared region of the 0.03, 0.1, 0.3, 0.5, 1, 2, and 4 mol% Er³⁺-doped SiO₂-Ta₂O₅ nanocomposites calcined at 1100°C for 8 h, under excitation at 980 nm with 100-mW power pump.**FIGURE 10** | Photoluminescence decay curves of the ⁴I_{13/2} → ⁴I_{15/2} transition of Er³⁺ ions in the 0.1, 0.3, 0.5, 1.0, 2.0, and 4.0 mol% Er³⁺-doped SiO₂-Ta₂O₅-based waveguides, obtained by using 100 mW excitation power at 980 nm.

Figure 5 shows a typical surface image of the 0.03 mol% of Er³⁺-doped SiO₂-Ta₂O₅ film, which is crack-free and has a smooth surface with low roughness mean surface (Ra) of 0.205 nm. The low Ra value confirms a high optical quality of the film, as required for waveguide application, where the roughness of the surface represents a significant source of losses during light propagation. **Figure 5B** illustrates roughness analyses, which indicate not only a homogeneous surface but also a low content of surface defects in small depths. The presence of defects was identified on film surface by drawing profile lines on the topographical image (**Figure 5C**).

Figure 5C illustrates the depth profiles of the segments displayed in the 2D AFM image. Considering the surface roughness in the A and C traces, we observed pores with a minimum height of 8 nm and diameters between 39 and 137 nm.

The purpose of using the dynamic mode is to map differences in viscoelasticity on the sample surface, which allows the Ta₂O₅ nanocrystals to be detected on the SiO₂ amorphous host (Pang et al., 2000). The phase images obtained by scanning the film in the dynamic mode show the regions with low viscoelasticity composition, corresponding to the Ta₂O₅ nanocrystals, in darker tones than the silica-based host (**Figure 6**).

Figure 6B displays the 2D AFM topographical image obtained in the dynamic mode of the 0.03% Er³⁺-doped SiO₂-Ta₂O₅ planar waveguide. We attributed the bright regions in the topographical images (**Figure 6B**) of this glass ceramic matrix to superficial Ta₂O₅ nanocrystals and/or aggregates. The bright spot diameters ranged

from 12 to 16 nm. These Ta₂O₅ dimensions agreed with the crystallite size values calculated from the most intense L-Ta₂O₅ diffraction peak of the Er³⁺-doped SiO₂-Ta₂O₅ nanocomposites. It is important to emphasize that usually the characterization of films using techniques such as transmission electron microscopy to observe the formation of nanocrystals requires complex techniques for sample preparation with high cost and long time. In this work, an AFM was applied mapping the sample according to viscoelastic properties. This allowed the observation of the formation of tantalum oxide nanocrystals distributed in the homogeneous silica-based matrix.

Photoluminescent Properties

Figure 7 shows the PL emission spectra of the triply doped SiO₂-Ta₂O₅ nanocomposites in the NIR region under excitation at 808 and 980 nm. Intense broad NIR emission band was detected, with maximum positioned around 1.3-, 1.5-, and 1.65- μm regions, assigned to the ⁴F_{3/2} → ⁴I_{13/2}, ⁴I_{13/2} → ⁴I_{15/2}, and ³F₄ → ³H₆ transitions of the Nd³⁺, Er³⁺, and Tm³⁺ ions, respectively. **Figure 8** displays the diagram of partial energy levels of the Er³⁺, Yb³⁺, Tm³⁺, and Nd³⁺ ions and the possible excitation/emission mechanisms involved in the intraconfigurational f-f transitions in the NIR region. **Table 3** lists the full-width at half-maximum (FWHM) values of the bands centered in the 1.5- μm region. The most satisfactory results concerning broad NIR emission emerge upon excitation at 808 nm, with FWHM value of 173 (±5 nm),

TABLE 4 | Values of central positions and bandwidths of the $^4I_{13/2} \rightarrow ^4I_{15/2}$ Er^{3+} transition for Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites doped with different Er^{3+} concentrations, annealed at 1100°C for 8 h.

Mol% Er^{3+}	Central position (nm)	FWHM (± 5 nm)
0.03	1528.5	77
0.1	1530.5	85
0.3	1531.0	93
0.5	1530.0	92
1.0	1538.5	90
2.0	1539.0	91
4.0	1540.0	90

TABLE 5 | $^4I_{13/2}$ emitting level lifetimes of Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ planar waveguides for different Er^{3+} concentrations.

Mol% Er^{3+}	$\tau_{1/e}$ (ms)
0.1	6.90
0.3	5.90
0.5	5.20
1.0	2.80
2.0	1.80
4.0	0.93

which has been observed for the $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Nd}^{3+}$ doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposite. The FWHM values obtained in the present work are lower than ones obtained for some RE-doped tellurite glass (Rivera et al., 2014; Hou et al., 2021). However, they are similar to others presented in the literature (Han et al., 2014; Shen et al., 2020a). The advantage of using $\text{SiO}_2\text{-Ta}_2\text{O}_5$ based materials is related to their low toxicity, easy preparation, stability under different chemical and physical conditions, not mention the optical properties of silica.

The inhomogeneous broadening of all the NIR emission spectra demonstrated that the Er^{3+} , Nd^{3+} , and Tm^{3+} ions were preferentially located in different symmetry sites of the orthorhombic L- Ta_2O_5 structure, as observed previously by Er^{3+} doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposite (Ferrari et al., 2011a and Ferrari et al., 2011b).

Figure 9 shows the emission spectra in the infrared range of the $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites doped with several Er^{3+} concentrations. The broadbands are assigned to the $\text{Er}^{3+}:^4I_{13/2} \rightarrow ^4I_{15/2}$ transition, with maximum emission centered around 1534 nm and bandwidths between 77 and 93 nm (Table 4). These bandwidth values were larger compared to other Er^{3+} -doped matrixes reported in the literature: Er^{3+} -doped silica-hafnia, with bandwidth of 48 nm (Gonçalves et al., 2002); $\text{Er}^{3+}:\text{SiO}_2\text{-Al}_2\text{O}_3$, with bandwidth of 47 nm (Benatsou et al., 1997), Er^{3+} -doped niobic tellurite glass (Lin et al., 2003); $\text{Er}^{3+}, \text{Yb}^{3+}:\text{Bi}_2\text{ZnB}_2\text{O}_7$ glass-ceramic, with bandwidth of 84 nm (Li et al., 2019); and $\text{Er}^{3+}:\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposite films, with bandwidth of 64 nm (Ferrari et al., 2010), among others.

The nanocomposite 0.3 mol% Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ have the broadest NIR emission, with FWHM of 93 (± 3) nm. Table 4 depicts the central position and inhomogeneous broadening values of the nanocomposites doped with different Er^{3+} concentrations. Inhomogeneous broadening of the 0.03 and 0.1 mol% Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposites was relatively narrower compared to the other nanocomposites. It was clearly observed that for higher

concentrations there was an FWHM values increase to 90 nm (± 3) nm).

The 4.0 mol% Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ nanocomposite had an intermediate relative intensity due to luminescence quenching, which can be associated with non-radiative processes, such as energy migration and up-conversion. This observation will be confirmed by the lifetime values.

The high RE^{3+} doping level can promote cluster formation, which contributes to energy losses via non-radiative processes (Auzel and Goldner, 2001). Therefore, this type of energy transfer mechanism via a non-radiative process can be controlled according to the ion concentration and its distribution into the host.

As previously reported for Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ systems, RE^{3+} ions are preferentially distributed in a rich Ta_2O_5 environment. Such inhomogeneous broadenings can be attributed to the particular orthorhombic L- Ta_2O_5 crystalline phase, which presents many different symmetry sites, more precisely 12 sites where Er^{3+} ions can substitute the Ta^{5+} ions and/or occupy interstitial spaces of the Ta_2O_5 structure (Ferrari et al., 2011b). To study the presence of clusters and non-radiative luminescence-suppressing processes, luminescence decay curves were collected and the lifetime of the $^4I_{13/2}$ excited state of the Er^{3+} ions was calculated as a function of the concentration of the dopant ions. NIR photoluminescence decay curves are displayed in Figure 10.

Figure 10 shows that the NIR photoluminescence decay curves of the planar waveguides with Er^{3+} doping level up to 0.5 mol% could be well fitted by a single exponential function. Above 0.5 mol%, luminescence quenching occurred due to non-radiative process competition. This deviation from the mono-exponential decay could be related to the presence of RE clusters, which indicates to be increased for higher Er^{3+} concentration. The value of $1/e$ to estimate the $^4I_{13/2}$ excited state measured lifetime values were here used as displayed in Table 5.

The lifetime values decreased as Er^{3+} doping level increases, as it can be seen in Figure 11. The high Er^{3+} concentration shortened the

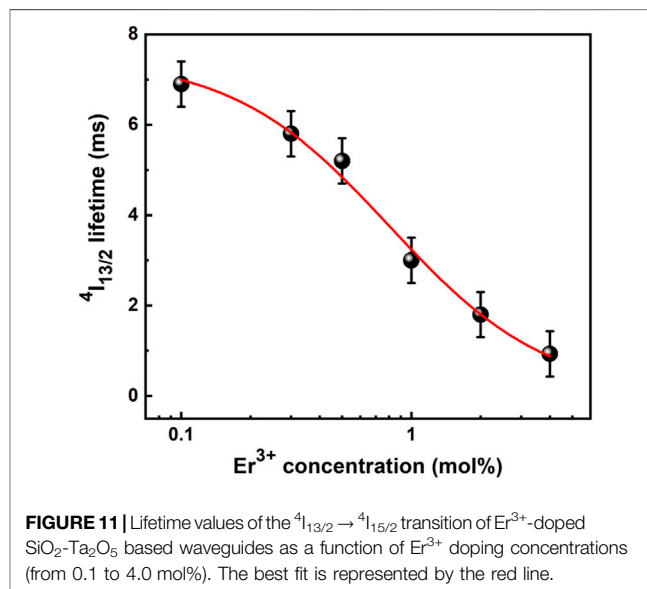


FIGURE 11 | Lifetime values of the $^4I_{13/2} \rightarrow ^4I_{15/2}$ transition of Er^{3+} -doped $\text{SiO}_2\text{-Ta}_2\text{O}_5$ based waveguides as a function of Er^{3+} doping concentrations (from 0.1 to 4.0 mol%). The best fit is represented by the red line.

distance between the dopant ions distributed in the matrix, forming a cluster and promoting a non-radiative process involving energy migration. The presence of defects in the host structure caused the phonons and the dopant ions to interact, promoting electron-hole pair recombination with deactivation of the Er^{3+} excited state. Similar curve of ${}^4\text{I}_{13/2}$ Er^{3+} lifetime values versus dopant concentration has been reported for Er^{3+} -doped SiO_2 - HfO_2 planar waveguides as a function of Er^{3+} concentration from 0.01 to 4.0 mol%, in which the lifetime decreased from 6.7 to 1.1 ms (Gonçalves et al., 2004). These ${}^4\text{I}_{13/2}$ emitting level lifetime behaviors as a function of the Er^{3+} concentration, the so-called quenching concentration, are described by the following empirical equation (Orignac et al., 1999; Gonçalves et al., 2004):

$$\tau_{obs} = \frac{\tau_0}{1 + (r/Q)^p} \quad (1)$$

where τ_{obs} is the observed emitting level lifetime, τ_0 is the ideal emitting level lifetime in the limit of zero concentration, r is the Er^{3+} concentration, Q is the quenching concentration, and p is a phenomenological parameter characterizing the steepness of the corresponding quenching curve.

Figure 11 shows the measured luminescence lifetime of the ${}^4\text{I}_{13/2}$ Er^{3+} metastable state for the Er^{3+} -doped SiO_2 - Ta_2O_5 films as a function of Er^{3+} concentration. Fitting the experimental data to the empirical Eq. 1, we obtained the following parameters for the best fit represented by the red line: $\tau_0 = 7.49$ ms, $Q = 0.81$ mol%, and $p = 1.26$. The Er^{3+} -doped SiO_2 - Ta_2O_5 films exhibited higher quenching concentration compared to other materials. In particular, the quenching concentration of 0.81 mol% was relatively higher than the value of 0.62 mol% reported for the $\text{Er}^{3+}/\text{Yb}^{3+}$ -codoped 80SiO_2 - 20TiO_2 - $z\text{Al}_2\text{O}_3$ (Orignac et al., 1999) and equal to the value of 0.81 mol% described for Er^{3+} -doped 70SiO_2 - 30HfO_2 (Gonçalves et al., 2004), proving that tantalum oxide is a promising host for RE ions for NIR broadband emission.

CONCLUSION

In this work, the potential for obtaining broad and super broadband NIR emission from silicate systems containing tantalum oxide nanocrystals doped with rare earth ions was proved, showing a set of optimal features making them promising candidates for active planar waveguides operating in wide range of telecom band.

RE^{3+} -doped SiO_2 - Ta_2O_5 nanocomposites and planar waveguides were prepared by the sol-gel method and the dip-coating technique, for film deposition. XRD analysis revealed the presence of $\text{L-Ta}_2\text{O}_5$ nanocrystals dispersed in the SiO_2 amorphous host. High RE^{3+} concentrations can reduce the crystallinity and the crystallite sizes of the Ta_2O_5 in SiO_2 - Ta_2O_5 nanocomposites and waveguides. AFM revealed uniform surface with roughness in the order of 0.2 nm, attesting to the excellent quality of these waveguides for optical applications give those optical losses by light scattering are avoided. All the SiO_2 - Ta_2O_5 nanocomposites display broadband emission, with maximum at 1534 nm and bandwidths ranging from

77 to 93 nm for Er^{3+} -doped and up to 173 nm for $\text{Er}^{3+}/\text{Tm}^{3+}/\text{Nd}^{3+}$ -doped. The Er^{3+} ${}^4\text{I}_{13/2}$ lifetimes of the Er^{3+} -doped SiO_2 - Ta_2O_5 waveguides diminish as a function of the Er^{3+} content. Emission quenching of the Er^{3+} -doped SiO_2 - Ta_2O_5 based waveguides due to the Er^{3+} doping level is negligible up to 0.81 mol%. Such properties make the present materials interesting systems for applications in photonics.

DATA AVAILABILITY STATEMENT

The original contributions presented in the study are included in the article, further inquiries can be directed to the corresponding author.

AUTHOR CONTRIBUTIONS

KD prepared, organized and conducted the Er^{3+} -doped SiO_2 - Ta_2O_5 sample experiments, analyzed the data, and wrote and revised the manuscript. FC organized and conducted the triply doped SiO_2 - Ta_2O_5 sample experiments, analyzed the data, and wrote and revised the manuscript. VvS, CC, VsS, and LR prepared the triply doped samples and conducted the sample experiments. JF participated in the discussion of the results and revised the manuscript. IB performed and analyzed the AFM data experiments, participated in the discussion of the film AFM results. RG coordinated the project and revised the manuscript. All the authors contributed to the article and approved the submitted version.

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