



# Fatigue Life Assessment Method for Prestressed Concrete Sleepers

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Concrete sleepers are one of the most important applications of a railway track system. Researchers have previously studied the impact load characteristics and ultimate load carrying capacity of a prestressed sleeper, but research on the fatigue life of prestressed concrete sleepers is limited. Fatigue damage of a prestressed concrete sleeper is mainly due to the accumulation of defects caused by the repeated load of wheel-rail interaction. Fatigue load, fatigue characteristics, and the existing design methods of prestressed concrete sleeper are summarized in this paper. The commonly used fatigue assessment methods of concrete structures are also evaluated. Based on the results of former research, this article presents a convenient fatigue life assessment method for a prestressed concrete sleeper and contrasts with the test results. The insight information gained can be used to evaluate the service performance and predict the fatigue life of the concrete sleeper, as well providing design flexibility and broadening the design principle. The outcome of this study may also improve the rail track maintenance and inspection criteria, in order to establish an appropriate track condition monitoring network in practice.

**Keywords:** railway, fatigue load, prestressed concrete sleeper, fatigue life, assessment method

## INTRODUCTION

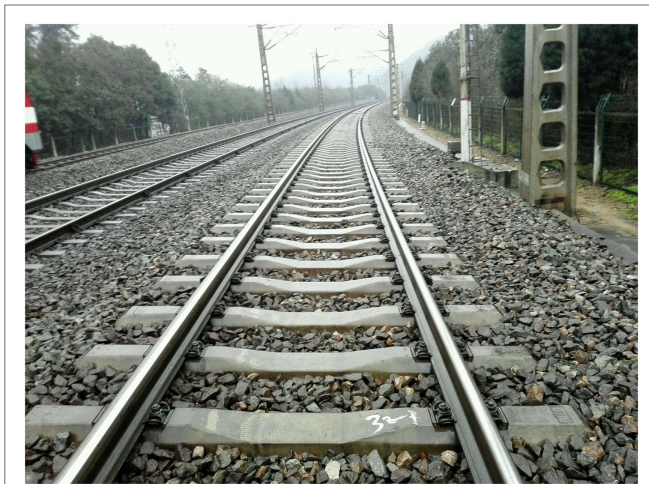
The railway sleeper is a vital railway component that lies between the rail and the ballast (Zhao et al., 2007). The important functions of sleepers include the following: uniform transfer and distribution of loads from the rail foot to ballast bed, provision of an anchorage for the fastening system, and the restraining of lateral, longitudinal, and vertical movement of the rails (Kaewunruen, 2007; Kaewunruen et al., 2017). The sleepers can be manufactured using timber, concrete, steel, or other engineering materials (Esveld, 2001; Remennikov et al., 2011), and concrete is commonly used around the world.

Since railways play an increasingly important role in the transport system, the demand for sleepers has been increasing over time. The International Federation for Structural Concrete (2006) conducted a worldwide survey of annual demands for different types of sleepers in rail networks and the results are presented in **Table 1** (Ferdous and Manalo, 2014).

**Table 1** illustrates that concrete is the dominant material for sleepers worldwide. It makes up more than 50% of the total demand for sleepers. Long service life, flexible sharp design, and being simple to manufacture are the specific advantages of concrete sleepers (Sadeghi and Babae, 2006; Kaewunruen et al., 2016). A typical railway laying concrete sleeper is shown in **Figure 1**.

**TABLE 1** | Sleepers in rail networks (Ferdous and Manalo, 2014).

Country	Total sleepers in track ( $\times 1,000$ )	Demand per year ( $\times 1,000$ )		
		Concrete	Steel	Timber
Argentina	–	60	–	–
Australia	600,000	–	150	200
Austria	9,000	200	70	100
Belgium	9,912	400	2	20
Brazil	50,000	500	60	300
Chile	5,300	200	–	–
China	115,000	3,000	–	–
Colombia	5,080	–	–	–
Czech Rep.	17,000	250	–	3
Denmark	–	150	–	–
France	60,000	800	0	400
Germany	70,000	1,400	100	100
Greece	6,150	30	5	3
Hungary	20,388	–	–	–
India	163,500	4,640	–	–
Italy	40,000	2,000	–	–
Japan	34,000	400	–	–
Malaysia	3,000	–	–	–
Morocco	500	–	–	–
Netherlands	8,500	400	–	–
Norway	3,000	60	–	–
Romania	16,000	12	–	–
Russia	150,000	3,500	–	–
S. Africa	43,000	305	0	0
Spain	30,000	1,200	0	30
Sweden	19,500	400	–	8
Switzerland	17,000	150	–	–
Taiwan	4,000	120	0	12
USA	600,000	1,000	10	13,000
UK	45,000	500	400	100
Venezuela	1,225	–	–	–

**FIGURE 1** | Railway with concrete sleepers.

At present, the permissible stress (or allowable stress) method is adopted in many countries in the manufacturing of concrete sleeper design (AS1085.14, 2003; American Railway Engineering and Maintenance-of-Way Association, 2012). This method relies on the permissible stress of materials and uses a load factor to increase the static axle load to consider dynamic effects (Kaewunruen et al., 2012). Since the permissible stress method

does not take into account the dynamic load accurately, while also underestimating the strength of the material, much research has been performed to develop the limit states design approach for concrete sleeper (Wang, 1996; Wakui and Okuda, 1997; Gustavson, 2002). The concept of the limit states design is based on a probabilistic model of the resistance and loads factors and it takes into account a reliable distribution statistic of loads and resistance (Remennikov et al., 2011).

To determine the ability to hold the geometry of a railway line, most railway organizations use a criterion to judge whether the sleeper is valid or not. Therefore, any cracking that leads to a sleeper's inability to keep the geometry of a railway line has to be considered as failing this criterion. The states of a concrete sleeper can be divided into the following limit states (Leong, 2007; Kaewunruen et al., 2012, 2014).

### Ultimate Limit State

The ultimate limit state is caused by a single one-off event, such as a severe wheel flat or derailment accident, which generates an extremely high load, capable of causing the concrete sleeper to fail. This severe event can cause severe cracking at the railseat or at the midspan, and a failure from this event would fit with the ultimate limit state failure definition.

### Fatigue Limit State

Fatigue limit state is a time-dependent limit state where a single concrete sleeper accumulates damage progressively over years until it reaches a critical state, where it is considered to have reached failure. Such failures could come from progressive damage (such as abrasion or cracking) from repeated wheel–rail interaction forces over its lifetime.

### Serviceability Limit State

Serviceability limit state is a limit state where concrete sleepers are beginning to impose some restrictions or tolerances on the operational capacity of the track, such as sleeper deformations, track stiffness changing, and rail displacement. The serviceability limit state failure of a single sleeper is rarely, if ever, a cause of a speed restriction or a line closure. However, when a cluster of sleepers fail, an operational restriction is usually applied until the problem is rectified.

In the past, a lot of countries have investigated concrete sleepers using numerical models, field studies, and experimental test methods. Researchers from Australia, Canada, Japan, and Sweden have also carried out numerical and experimental studies into the responses of prestressed concrete sleepers under impact load, in order to develop the limit states design approach (Wang, 1996; Wakui and Okuda, 1997; Kaewunruen, 2007). Fatigue properties of materials, such as concrete, reinforced steel, and prestressed steel, under fatigue loading are well established (Hanson et al., 1974; CEB-FIP, 1988; American Association of State Highway and Transportation Officials, 1989; CEB-FIP M 90, 1993; EC2, 2005; AS3600, 2009; Japan Society of Civil Engineers, 2010). A lot of fatigue characteristics of railway bridges are also studied (Li et al., 2001; Olsson and Pettersson, 2010). Recently, some fatigue experiment tests of concrete sleepers have been done (Koh et al., 2016; Parvez and Foster, 2017). However, there was relatively little

work carried out on fatigue life assessment of prestressed concrete sleepers.

The main objectives of this paper are to review the reporting on the main characteristics of fatigue failure and fatigue load of prestressed concrete and present the fatigue resistance of prestressed concrete. Then, this article will provide a method to evaluate the service performance and predict the fatigue life of the concrete sleeper, which will be useful for the concrete sleeper design and the rail track maintenance in practice.

## FATIGUE FAILURE IN CONCRETE SLEEPERS

Fatigue failure can be defined as a failure that occurs below the stress limit of a material when it has been exposed to repeated loadings (Thun, 2006). The effects of fatigue are based on the following considerations (Grubb et al., 2007):

- The magnitude of the stress range;
- The type and quality of the structural details;
- The number of applications (or cycles) of this stress range.

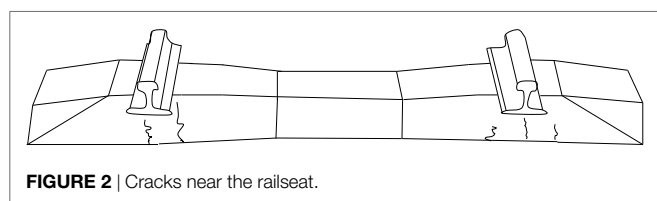
The fatigue phenomenon was first observed in steel constructions. In 1830, Albert performed fatigue tests on welded mine hoist chains. The research work concerning fatigue of concrete and concrete structures started at about the turn of the 19th century. After this, much work concerning fatigue in concrete structures has been performed in many countries (Gylltoft, 1983).

The most common problems related to concrete sleepers in North America and worldwide are 94 surveyed and ranked in **Table 2** (Stuart, 2013; Ferdous and Manalo, 2014).

**TABLE 2** | Critical problems related to concrete sleepers<sup>a</sup> (Stuart, 2013; Ferdous and Manalo, 2014).

Main causes	Problems	North American response	International response
Lateral load	Abrasion on railseat	6.43	3.15
	Shoulder/fastening system wear or fatigue	6.38	5.5
Vertical dynamic load	Cracking from dynamic loads	4.83	5.21
	Derailment damage	4.57	4.57
	Cracking from center binding	4.5	5.36
Manufacturing and maintenance	Tamping damage	4.14	6.14
	Others (e.g., manufactured defect)	3.57	4.09
Environmental considerations	Cracking from environmental or chemical degradation	3.5	4.67

<sup>a</sup>Ranked from 1 to 8, with 8 being the most critical.



**FIGURE 2** | Cracks near the railseat.

From **Figure 2**, we can see that deterioration, abrasion, and cracking are the main problems related to concrete sleepers (Murray and Cai, 1998). While abrasion and chemical degradation are not pure structural actions, cracking is considered ordinarily as the failure criterion to define fatigue state for concrete sleepers in design process. The main types of crack of concrete sleepers are shown in **Figures 2–4**.

## FATIGUE LOAD AND CURRENT DESIGN METHODOLOGIES

For concrete sleepers, cracking may develop due to excessive flexural, shear, or bond stresses (Hawkins and Shah, 1982). However, the progression of cracking depends on the fatigue load and the resistance of the material. The fatigue load of a concrete sleeper is the wheel–rail interaction force, which can be divided into dynamic load and impact load (Van Dyk et al., 2017). The dynamic load is the additional load due to the effects of normal wheel–rail interactions. The impact load is created by the track and vehicles through wheel or track’s irregularities (Kaewunruen and Remennikov, 2009b, 2013; Van Dyk et al., 2017).

### Dynamic Load

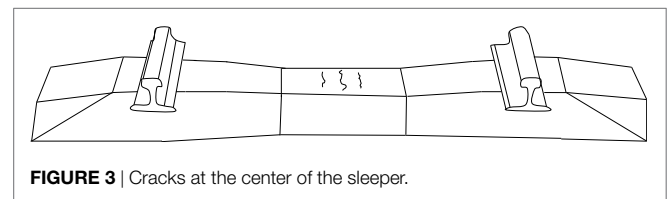
It is well known that dynamic load is used commonly in the design process of railway tracks and railway applications. The dynamic load considers the dynamic wheel–rail interaction in normal situations; it does not include the extreme impact factor (IF) in railways. Dynamic load is usually related to the train speed and is used for the permissible stress design method. The dynamic wheel load is generally expressed as a function of the static wheel load (Eq. 1) (Doyle, 1980):

$$P_d = \varnothing P_s \tag{1}$$

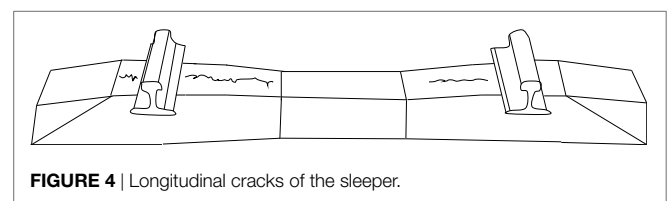
where  $P_d$  is the dynamic wheel load,  $\varnothing$  is the dynamic wheel load factor ( $\varnothing > 1$ ), and  $P_s$  is the static wheel load.

Railway engineers around the world have recommended several formulas and parameters for calculating the dynamic wheel load factor. Based on field data, the expression used for the calculation of the dynamic factor  $\varnothing$  is typically developed empirically and is always expressed in terms of train speed (Doyle, 1980; Van Dyk et al., 2017).

Talbot (1953), Clarke (1957), and the South African Railways (1974) proposed an equation to calculate the dynamic factor that



**FIGURE 3** | Cracks at the center of the sleeper.



**FIGURE 4** | Longitudinal cracks of the sleeper.

incorporates train speed and the wheel diameter (Doyle, 1980; Hay, 1982). Indian Railways (1974) proposed the dynamic factor by incorporating train speed and track modulus (Srinivasan, 1969). Eisenmann (1972) used a statistical approach to propose a dynamic factor that incorporates train speed and the condition of the track (Esveld, 2001). UIC (1965) developed the most comprehensive dynamic factor, which incorporates the train speed, track geometry, track age, curve radius, superelevation, cant deficiency, vehicle suspension, and center of gravity of the vehicle (Birmann, 1965). The Deutsche Bahn (Germany Railways, 1943) began using an equation (when the train speed is no more than 200 km/h) to calculate the dynamic factor only using train speed (Schramm, 1961). British Railways developed a dynamic factor in the 1970s, which related to a vehicle's unsprung mass, track stiffness, and train speed (Doyle, 1980). In 1968, the Washington Metropolitan Area Transit Authority prepared an equation where the dynamic factor only depended on the train speed, using subsequent recommended standards for transit track work (Prause et al., 1974). The American Railway Engineering and Maintenance-of-Way Association defines the speed factor for concrete sleeper design in chapter 30. When checking the strength of a railway track in China, the dynamic factor, the speed coefficient, and the wheel load shift coefficient in curves are all taken into account. When calculating the load of sleepers at a railseat, a lateral force coefficient should be also considered (Xiufang Chen, 2017). **Table 3** shows a summary of the main recommendations for the dynamic wheel load factor.

With some reasonable assumptions and accordingly simplified parts of the factor, the design dynamic factors, increasing with speed, are displayed in **Figure 5** (Doyle, 1980; Van Dyk et al., 2017).

Brandon J. Van and J. Riley Edwards used field test data on Amtrak's Northeast Corridor to evaluate the dynamic factor (Van Dyk et al., 2017). After removing the abnormal data or some recoded in error, the relationship between the dynamic factors and the train speeds is evaluated as follows (Van Dyk et al., 2017):

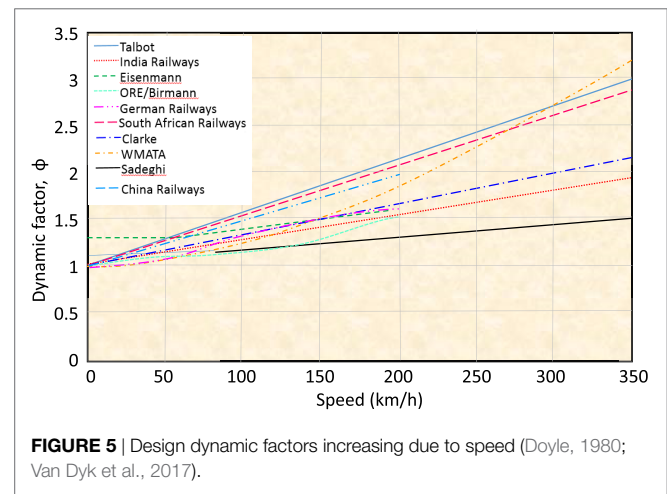
$$\Phi = 1.099 + 0.00621V. \tag{2}$$

### Impact Load

It is not unusual that railway tracks suffer from impact loads, which are caused by the wheel-rail interactions associated with the abnormalities in the wheels or rails (Kaewunruen and Remennikov, 2006, 2009a,b, 2007). The magnitude of the impact loads on the railseat of concrete sleepers greatly exceeds the static wheel load. The value of the impact load is not only related to the train speed, but is also dependent on causes of such loads (e.g., wheel flats, out-of round wheels, wheel corrugation, short and long wavelength rail corrugation, dipped welds and joints, pitting, and shelling) (Kaewunruen and Remennikov, 2013). It should be noted that impact capacity of concrete sleepers on the railseat can be reduced due to the modification for add-on fixture in practice and surface abrasions (Ngamkhanong et al., 2017a,b,c,d).

Wakui and Okuda (1997) measured the axle-box acceleration of a wheel-set axle-box to study the characteristics of impact loads. They found that the impact load can be simplified as a shock pulse acting after the static wheel load is removed; the duration of impact wheel loading ranges widely from 1 to 10 ms.

Brandon J. Van Dyk and J. Riley Edwards used the wheel impact load detector (WILD) data to evaluate the IF of the North



**FIGURE 5** | Design dynamic factors increasing due to speed (Doyle, 1980; Van Dyk et al., 2017).

**TABLE 3** | Recommended relationship for dynamic coefficient factors (Doyle, 1980; Van Dyk et al., 2017).

Recommender	Dynamic factor	Variable definitions
Talbot (Hay, 1982)	$\Phi = 1 + 5.21 \frac{V}{D}$	$V$ : train speed (km/h)
Indian Railways (Srinivasan, 1969)	$\Phi = 1 + \frac{V}{58.14U^{0.5}}$	$D$ : wheel diameter (mm)
Eisenmann (Esveld, 2001)	$\Phi = 1 + \delta\eta t$	$U$ : track modulus (MPa)
ORE/Birmann (1965)	$\Phi = 1 + \alpha + \beta + \gamma$	$\delta$ : 0.1, 0.2, 0.3, depending on track conditions
German Railways (Schramm, 1961)	$\Phi = 1 + \frac{V^2}{30,000}, \Phi = 1 + \frac{4.5V^2}{10^5} - \frac{1.5V^3}{10^7}$	$\eta$ : depending on vehicle speeds
British Railways (Doyle, 1980)	$\Phi = 1 + \frac{8.784(\alpha_1 + \alpha_2)V}{P_s} \left[ \frac{D_j + P_u}{g} \right]^{1/2}$	$t$ : 0, 1, 2, 3, related to chosen upper confidence limits defining probability of exceedance
South Africa (Doyle, 1980)	$\Phi = 1 + 4.92 \frac{V}{D}$	$\alpha$ : depending on level of railway, vehicle suspension and train speed
Clarke (Doyle, 1980; Van Dyk et al., 2017)	$\Phi = 1 + \frac{19.65V}{D\sqrt{U}}$	$\beta$ : depending on wheel load shift in curves (0 in tangent)
WMMTA (Prause et al., 1974)	$\Phi = 1 + \left( 1 + 3.86 \times 10^{-5}V^2 \right)^{2/3}$	$\gamma$ : depending on train speed, track age, possibility of hanging sleeper, vehicle design, and locomotive maintenance conditions
Sadeghi (Prause et al., 1974)	$\Phi = 1.098 + 8 \times 10^{-4}V + 10^{-6}V^2$	$\alpha_1 + \alpha_2$ : total rail joint dip angle (radians)
AREMA (American Railway Engineering and Maintenance-of-Way Association, 2012)	$0.6 + 0.08V \ (32 < V < 193)$	$D_j$ : track stiffness at the joints (kN/mm)
China (Xiufang Chen, 2017)	$\Phi = (1 + \eta + \beta) f \ (V \leq 200)$ $\Phi = 2.5 \ (200 < V \leq 250)$ $\Phi = 3.0 \ (250 < V)$	$P_s$ : static wheel load (kN)
		$P_u$ : unsprung weight at one wheel (kN)
		$g$ : gravity acceleration (m/s <sup>2</sup> )
		$f$ : transverse force coefficient, dependent on the curve radius

American railway (Van Dyk et al., 2017). The sites of WILD are typically constructed on well-maintained tangent railway track structures with concrete sleepers. The actual wheel loading at Union Pacific Rail Road’s Gothenburg, Nebraska, shows that the IF is related to the axle load of the train. Moreover, part of the impact of the wheel load is very large and even exceeds the static wheel load five times (Table 4).

Queensland University of Technology (2004), Australia also did a comprehensive investigation of actual impact loads. Nearly

six million passing wheels on different heavy haul mineral lines were measured in the investigation. The static axle load of the test train ranged from 26 to 28 t, including full-load and no-load conditions. Figure 6 shows the statistical result of field test wheel loads obtained from the rail line in North Queensland of Australia (Leong, 2007).

Based on the return periods and consequences of changing operational characteristics and the statistical data, the impact force factor ( $k_i$ ) is calculated for the sites as below:

$$k_i = 0.00278R + 0.029V - 0.73 \tag{3}$$

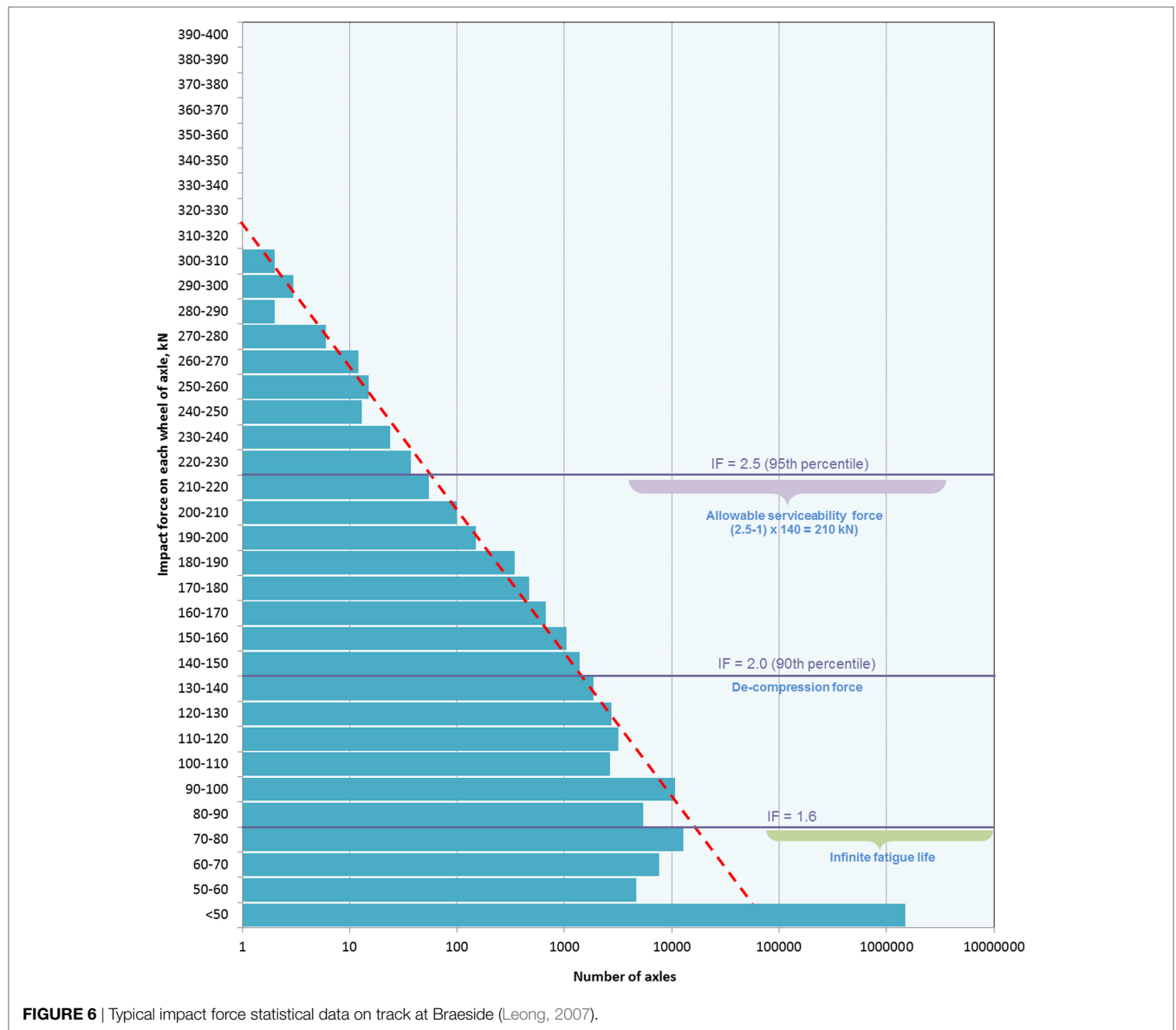
where  $R$  is the return period (years);  $V$ , velocity.

The relationships between the return periods ( $R$ ) and the impact forces  $I$  (kN) could be expressed as:

$$\frac{1}{R} = 10^{-0.0191I+5.92} \tag{4}$$

**TABLE 4** | The probability of different impact factors (IFs) from statistical data (Van Dyk et al., 2017).

IF	Frequency (%)
≥2.0	7.9
≥3.0	2.7
≥4.0	1.1
≥5.0	0.4



**FIGURE 6** | Typical impact force statistical data on track at Braeside (Leong, 2007).

## Current Design Methodologies of Concrete Sleepers

In current practice, the structural design process for concrete sleepers consists of the following steps (Grassie, 1984):

- Analysis of dynamic load coefficient;
- Calculating sleeper railseat loads;
- Assuming the support conditions of the sleeper;
- Calculating the bend moment of the critical sections of the sleeper.

Countries or regions with rapid development of railway transportation have promulgated the design method of concrete sleepers for their own railway tracks. American Railway Engineering and Maintenance-of-Way Association (2012), Euro Norm (CEN, 2009), International Union of Railways (UIC, 2004), Australian Standard (AS1085.14, 2003), and Chinese Railway (2015) all provide formal recommendations on the analysis portion of the concrete design process. Table 5 provides a comparison of different analysis methods mentioned above.

## FATIGUE PROPERTIES OF MATERIALS

### Concrete

Fatigue of concrete is a progressive process of microcrack initiation and propagation toward to the point at which failure occurs. The mechanical properties of concrete will change under repeated cyclic loading, such as permanently increasing strain on the concrete, causing the stiffness to decrease. Cyclic loading may also cause a concentration of stress at the prestressed wires' surface, which can lead to sudden fracture (Mallet, 1991; Parvez and Foster, 2017).

In the 1970s, a lot of research regarding concrete fatigue analysis was done for the construction of offshore structures in

Scandinavia, which suffered severe repeated loads due to the tide Da Mata Bilé and Student<sup>1</sup>. The fatigue performance of concrete is commonly represented on graphs, with stress ranges plotted against the failure cycles number (Keynia, 1998). To assess fatigue performance, the Goodman diagram has been developed (Figure 7) (Keynia, 1998; Sadeghi and Fathali, 2007).  $f'_c$  is the allowable compressive stress of concrete,  $N_f$  is the number of allowable load cycles,  $\sigma_t$  is the maximum stress caused by a dead

<sup>1</sup>Da Mata Bilé, C. E., and Student, M. Fatigue Assessment in Railway Prestressed Concrete Bridges. Lisboa. Available at: [https://fenix.tecnico.ulisboa.pt/downloadFile/395145010485/artigo\\_55003.pdf](https://fenix.tecnico.ulisboa.pt/downloadFile/395145010485/artigo_55003.pdf) (accessed in 2017).

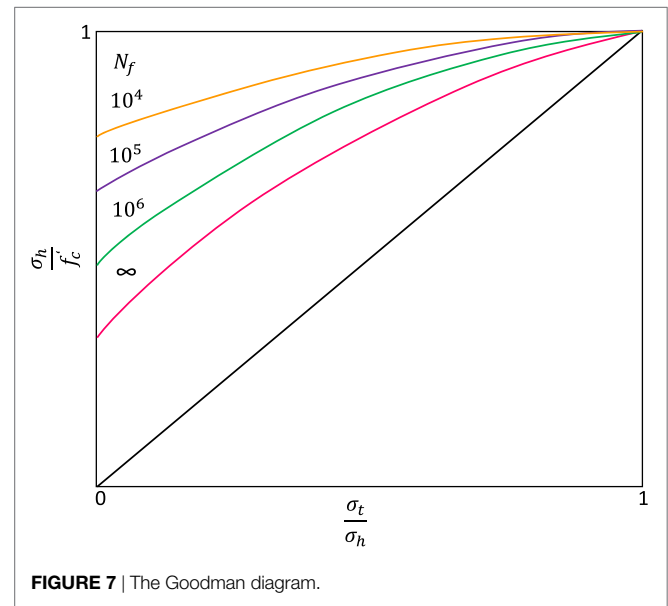
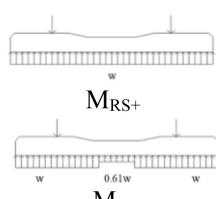
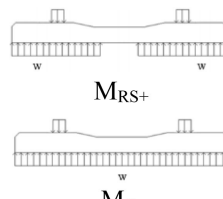
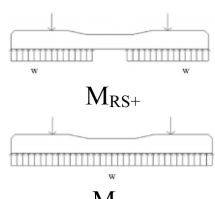
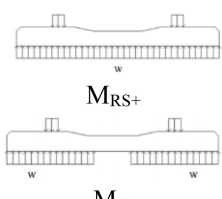


FIGURE 7 | The Goodman diagram.

TABLE 5 | Comparison of different analysis methods for concrete sleepers (standard gauge).

	American Railway Engineering and Maintenance-of-Way Association	UIC 713 and EN 13230	AS 1085.14	Q/CR 9130-2015
Impact factor (IF)	2.0	$(1 + \gamma_p \cdot \gamma_v) \cdot \gamma_r - 1$	$\geq 1.5$	High speed railway: 2.0 Heavy haul railway 1: 1.5 Other: 1.0
Support conditions				
The railseat load (R)	$P \times DF \times (1 + IF)$	$P(1 + \gamma_p \cdot \gamma_v) \cdot DF \cdot \gamma_r \cdot \gamma_r$	$IF \times P \times DF$	$P \times DF \times (1 + IF)$
Railseat positive ( $M_{RS+}$ )	$B \times V \times T$	$\frac{R}{8} (L - g - f - h)$	$\frac{R}{8} (L - g)$	$\frac{R}{8} (L - g - f - h)$
Railseat negative ( $M_{RS-}$ )	$M_{RS+}$ multiplies factor	$0.5 \times M_{RS+}$	$\text{Max}\{M_{RS}, 14 \text{ kN.m}\}$	/
Center negative ( $M_{C-}$ )	$M_{RS+}$ multiplies factor	$\frac{R}{2} \left( g - \frac{2l^2 - b^2}{2(2L - b)} \right)$	$\frac{R(2g - L)}{4}$	$\frac{R(2g - L)}{4}$
Center positive ( $M_{C+}$ )	$M_{RS+}$ multiplies factor	$0.7 \times M_{C-}$	$0.05R(2g - L)$	/

P, wheel load; DF, distribution factor; B, unfactored railseat positive bending moment; V, speed; T, tonnage factors; L, sleeper length; g, rail center spacing; f, width of rail base; h, height of sleeper;  $\gamma_v$ , coefficient dependent on the speed of train;  $\gamma_p$ , impact-attenuation factor;  $\gamma_r$ , coefficient dependent on the support faults of sleeper;  $\gamma_r$ , coefficient dependent on irregularities in the longitudinal support of sleeper.

load, and  $\sigma_h$  is the maximum stress caused by both live and dead loads.

The fatigue reference compressive strength  $f_{ck,fat}$  of concrete (fib Model Code 2010) is calculated based on the characteristic compressive strength  $f_{ck}$  as follows:

$$f_{ck,fat} = \beta_{cc}(t)\beta_{c,sus}(t, t_0)f_{ck} \left(1 - \frac{f_{ck}}{400}\right) \quad (5)$$

$$\beta_{cc}(t) = \exp \left\{ s \left[ 1 - \left( \frac{28}{t} \right)^{0.5} \right] \right\}. \quad (6)$$

In Eq. 5 and  $\beta_{c,sus}(t, t_0)$  is a coefficient which depends on the time under high sustained loads  $t - t_0$  (days). The expression of  $\beta_{cc}(t)$  from Eq. 6 describes the strength of the concrete, which is developing with time, in which  $s$  depends on the cement strength class and  $t$  equals to the age of concrete age (in days) (Lantsoght et al., 2016).

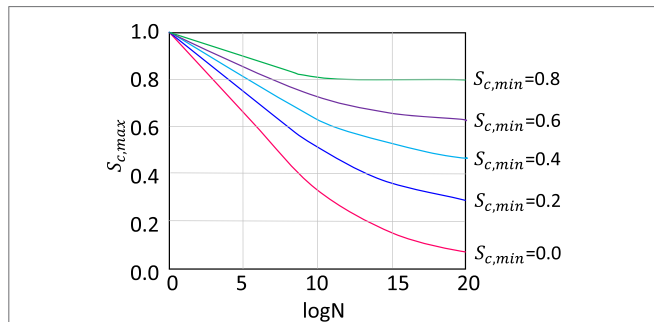
The typical  $S-N$  curves of concrete under pure compression are shown in **Figure 8**.  $N$  is the number of cycles causing fatigue failure in plain concrete

$$S_{c,max} = |\sigma_{c,max}|/f_{ck,fat}, \quad (7)$$

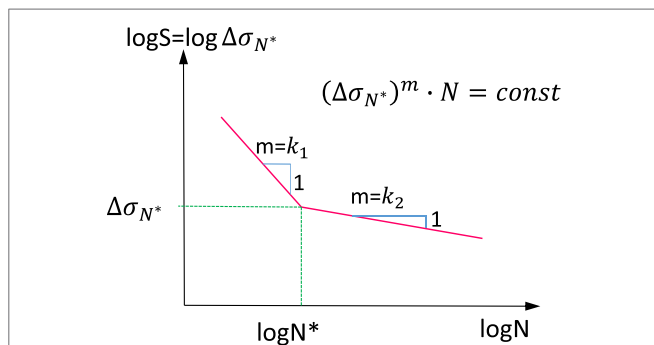
$$S_{c,min} = |\sigma_{c,min}|/f_{ck,fat}. \quad (8)$$

### Prestressed Steel

In the fib Model Code 2010, the characteristic fatigue strength function for prestressed steel consists of two different slope segments (see **Figure 9**). The parameters of the prestressed steel's  $S-N$  curve are shown in **Table 6**.  $\Delta\sigma_{N^*}$  is the stress range obtained from a characteristic fatigue strength function.



**FIGURE 8** |  $S-N$  curves for concrete under pure compression (fib Model Code 2010).



**FIGURE 9** |  $S-N$  curves for steel (fib Model Code 2010).

## FATIGUE ASSESSMENT METHODS

There are two methods commonly used for fatigue verification: the damage accumulation method and the damage equivalent stress method.

### The Damage Accumulation Method

Extending Miner's rule, the damage accumulation method is used in many design codes (BS5400, 1980; EC2, 2005). In this method, the cumulative damage accumulation under variable amplitude loading for a particular sample of stress history is calculated as an equivalent fatigue stress with constant amplitude (Rao and Talukdar, 2003)

$$D_I = \sum_{j=1}^{k_b} \Delta D_j = \sum_{j=1}^{k_b} \frac{n_j}{N_j}. \quad (9)$$

In the above equation  $D_I$  is the cumulative damage index,  $D_j$  is the incremental damage,  $n_j$  is the number of cycles at stress range  $Sr_j$ ,  $N_j$  is the number of cycles at constant stress range  $Sr_j$  to cause fatigue failure,  $k_b$  is the stress range number blocks in the histogram over which summation is carried out. The process of evaluating the cumulative damage index has been repeated for all the simulated response samples and the average value is used to find the fatigue life  $L_f$  using:

$$L_f = \frac{1}{D_I}. \quad (10)$$

### The Damage Equivalent Stress Method

The Damage Equivalent Stress Method, presented in Annex NN of EN 1992-2:2005, is a simplified method compared to the Cumulative Damage Method. This method is used to evaluate the fatigue safety of structures, based on an equivalent fatigue stress with constant amplitude. This assessment method is applicable to reinforced and prestressed steel for road and railway bridges.

The obtained stresses are multiplied by damage equivalent factors, which are dependent on different infected factors. The expression of damage equivalent factor is given as follows:

$$\lambda_s = \varphi_{fat} \cdot \lambda_{s,1} \cdot \lambda_{s,2} \cdot \lambda_{s,3} \cdot \lambda_{s,4}. \quad (11)$$

In the above equation,  $\varphi_{fat}$  is the damage equivalent IF, which is dependent on the surface roughness.  $\lambda_{s,1}$  is a factor related to

**TABLE 6** | Parameters of prestressed steel's  $S-N$  curves (fib Model Code 2010).

$S-N$ curve of prestressed steel used for	Stress exponent			$\Delta\sigma_{N^*}$ (MPa) at $N^*$ cycles
	$N^*$	$k_1$	$k_2$	
Pre-tensioning	$10^6$	5	9	185
Post-tensioning				
– Single strands in plastic ducts	$10^6$	5	9	185
– Straight tendons or curved tendons in plastic ducts	$10^6$	5	10	150
– Curved tendons in steel ducts	$10^6$	5	7	120
– Splicing devices	$10^6$	5	5	80

damaging effect of traffic and dependent on the critical length of the influence line or area.  $\lambda_{s,2}$  is a factor that is controlled by the traffic volume.  $\lambda_{s,3}$  is a factor that is dependent on the design life of the bridge.  $\lambda_{s,4}$  is a factor used for bridges that are loaded by more than one lane.

### Fatigue Assessment Methods for Concrete Sleeper

All the methods above are commonly used for the fatigue life assessment of bridges. Because of the periodic impact load, the fatigue assessment process for concrete sleepers is different from bridges. At present, there is not a suitable fatigue assessment method for concrete sleepers.

Based on field tests and laboratory test results, Wakui and Okuda (1997) proposed a method for evaluating the fatigue life of a prestressed concrete sleeper, according to a prestressed steel rupture, due to impact load, by using the following procedure:

- Construct a cumulative frequency distribution curve of wheel loading.
- Calculate the sleeper flexural moments by the equations based on test data and engineering experience to get the cumulative frequency curve of loads.
- Evaluate the fatigue life that a prestressed concrete sleeper imposes, resorting to the cumulative frequency distribution curve of prestressed steel stress, the fatigue resistance of the prestressed steel and Miner’s hypothesis.

Kaewunruen and Remennikov (2009a,b) did a lot of research regarding the response of prestressed concrete sleepers under impact loads. By using the concept of damage accumulation, they presented a method for predicting the residual life of concrete sleepers under repeated impact loads, using the relationships between the cumulative damage of sleepers and given impact loads.

Based on laboratory tests, the relationships between the cumulative damage index and the impact loads in different track

environments are shown in **Figure 10**. The damage index in the figure is the ratio between the maximum crack length  $c_{max}$  caused by the bending moment and the total depth (d) of the sleeper. This ratio is used to quantify and classify the damage states of concrete sleepers subjected to impact loads. Based on the test results, the damage index can also be used to predict the residual life of concrete sleepers.

### CASE STUDY: FATIGUE LIFE ASSESSMENT FOR PRESTRESSED CONCRETE SLEEPER

Parvez and Foster (2017) have done some experiments about the fatigue behavior of prestressed concrete sleepers. Based on their test results, this paper will assess the life of the prestressed concrete sleepers subjected to fatigue loading. In the calculation process, the fatigue failure of sleepers is judged by the fracture of the prestressed steel.

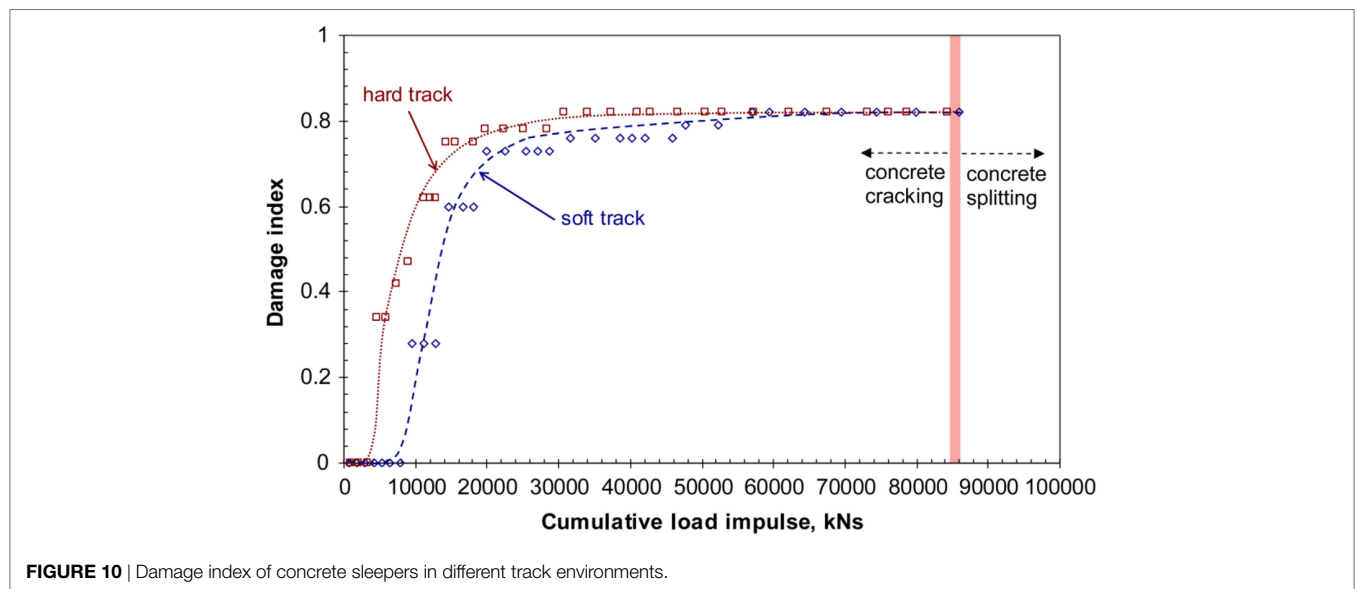
#### Experimental Specimen and Program

The tested concrete sleepers comply with the requirements of AS 1085.14. Each sleeper consisted of 18 prestressed wires with 26.4 kN prestressed force per wire, loss of prestress is calculated as 12%. The geometry details are given in **Table 7**, and the railseat section can be seen in **Figure 11**.

The fatigue test almost followed the set up that was specified in AS 1085.14 (**Figure 12**). In order to make sure the sleeper failed below three million cycles, the upper limit of fatigue load was increased to 240 kN (36% higher than specified standard value). The fatigue test load protocol can be seen in **Figure 13**.

**TABLE 7** | Dimensions of the test sleepers (Parvez, 2015; Parvez and Foster, 2017).

Rail gauge (mm)	Sleeper length (mm)	Railseat section (mm)		Center section (mm)	
		Width	Depth	Width	Depth
1,067	2,134	183	254	162	254



**FIGURE 10** | Damage index of concrete sleepers in different track environments.



### Properties of the materials

The properties of materials can be seen in Table 8.

### Calculation of Fatigue Life

#### Cracking Load Calculation

Modulus of elasticity ratio of prestressed wire and concrete  $n_e = E_s/E_c = 4.57$ .

- Area of single prestressed wire  $A_{ps} = 19.9 \text{ mm}^2$ .
- Total area of prestressed wires  $A_p = n_e \cdot A_{ps} = 357.7 \text{ mm}^2$ .
- Area of concrete section  $A_c = 42,141.2 \text{ mm}^2$ .

Distance from the soffit to the center of gravity of the concrete,  $y_c = 88.1 \text{ mm}$ .

Distance from the soffit to the center of gravity of the prestressed wires,  $y_p = 83.9 \text{ mm}$ .

Transformed area:

$$A_t = A_c + (n_e - 1) A_p = 43,416.9 \text{ mm}^2. \quad (12)$$

First moment about the bottom fiber:

$$S_t = A_c y_c + (n_e - 1) A_p y_p = 3,817,974.3 \text{ mm}^3. \quad (13)$$

Distance of the centroidal axis of transformed area from the soffit:

$$y_t = \frac{S_t}{A_t} = 87.9 \text{ mm}. \quad (14)$$

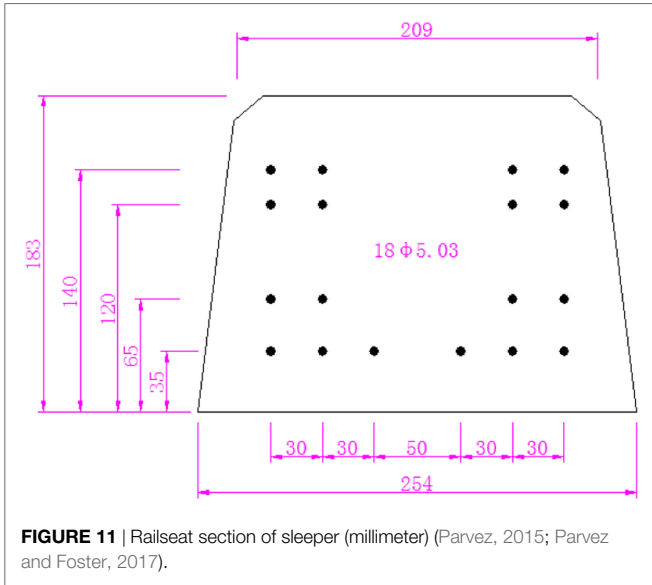


FIGURE 11 | Railseat section of sleeper (millimeter) (Parvez, 2015; Parvez and Foster, 2017).

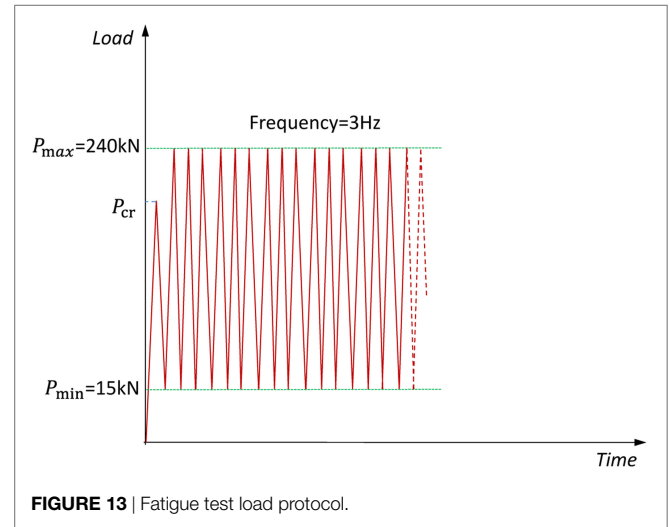


FIGURE 13 | Fatigue test load protocol.

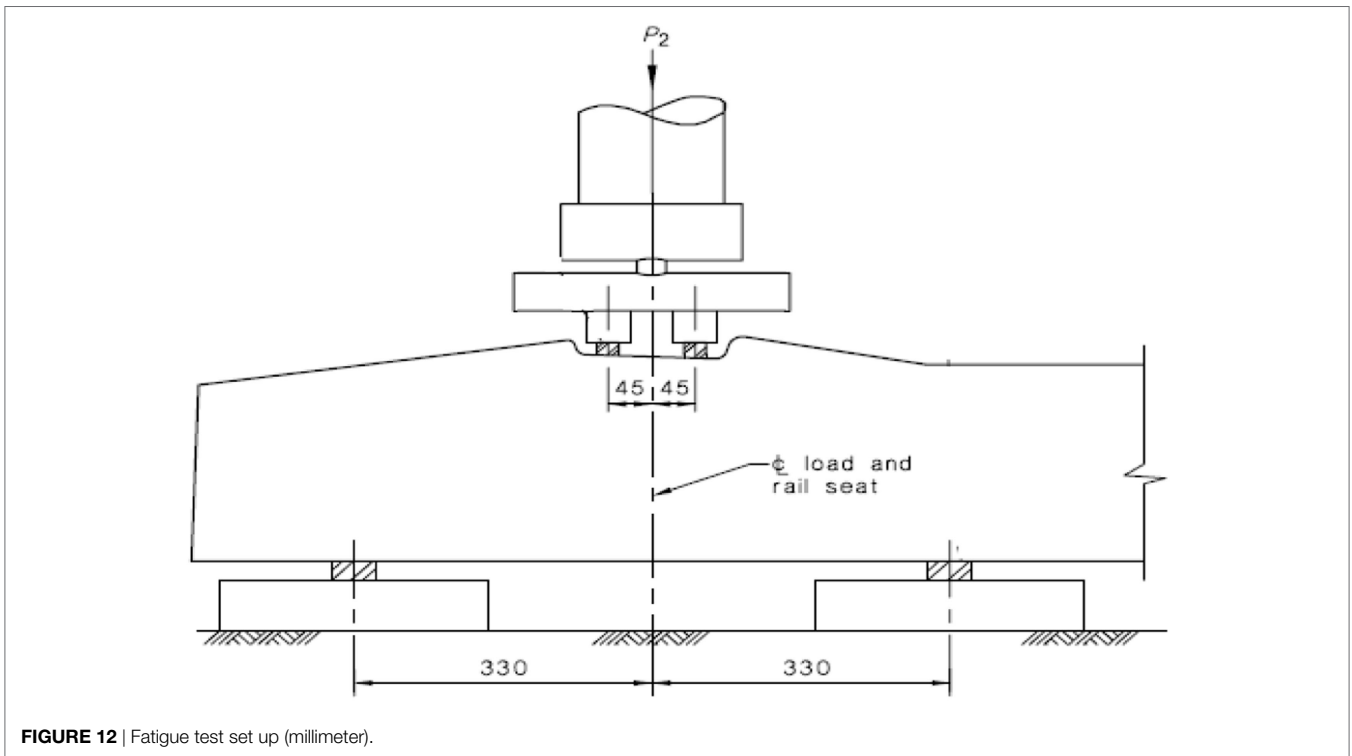


FIGURE 12 | Fatigue test set up (millimeter).

**TABLE 8** | Properties of the materials (Parvez, 2015; Parvez and Foster, 2017).

	Basic variables	Symbol	Value
Concrete properties	Concrete mean compressive strength	$f_{cm}$	85 MPa
	Concrete flexural tensile strength	$f_{cf}$	5.8 MPa
	Concrete modulus of elasticity	$E_c$	43.8 GPa
Prestressed wire properties	Ultimate tensile strength of prestressed wire	$f_{pb}$	1,950 MPa
	Yield strength of prestressed wire	$f_{py}$	1,620 MPa
	Modulus of elasticity of prestressed wire	$E_s$	200 GPa

**TABLE 9** | Comparison of cracking load.

Specimen ID	Cracking load (kN)		Deviation ratio (%)
	Experimental result (Parvez, 2015; Parvez and Foster, 2017)	Calculated result	
SF2-a side	179	171	4.5
SF2-b side	180		5.3
SF3-a side	170		-0.5
SF3-b side	172		0.5

**TABLE 10** | Comparison of fatigue life.

Specimen ID	Failure cycles		Deviation ratio (%)
	Experimental result (Parvez, 2015; Parvez and Foster, 2017)	Calculated result	
SF2-a side	773,793	859,055	-11.0
SF3-a side	1,018,787		15.6

Eccentricity of the centroid of prestressed force:

$$e = y_p - y_t = -4.05 \text{ mm.} \quad (15)$$

Inertia moment of transformed section:

$$I_t = I_c + (n_e - 1) I_p = 129,189,533.4 \text{ mm}^4. \quad (16)$$

Initial prestress pre-wire:

$$\sigma_{si} = \frac{P_s}{A_{ps}} = 1328.4 \text{ MPa.} \quad (17)$$

Effective prestress pre-wire:

$$\sigma_{se} = 88\% \sigma_{si} = 1169 \text{ MPa.} \quad (18)$$

Before cracking, the strains at different levels in the sleeper varied linearly with depth and the concrete stress at the bottom fiber due to the prestress force can be calculated as:

$$\sigma_{cF}^b = \frac{nA_{ps}\sigma_{se}}{A_{II}} + \frac{nA_{ps}\sigma_{se}e}{I_t} y_t = 10.8 \text{ MPa.} \quad (19)$$

Cracking moment  $M_{cr}$ :

$$M_{cr} = I_t \cdot \frac{\sigma_{cF}^b + f_{cf}}{y_t} = 24.4 \text{ kN.m.} \quad (20)$$

Cracking load:

$$P_{cr} = \frac{2M_{cr}}{(0.33 - 0.045)} = 171 \text{ kN.} \quad (21)$$

The comparison between the theoretical and experiment results are shown in **Table 9**.

### Calculation of Fatigue Life

With progression in the sleeper's cracks, the neutral axis of concrete section will change, when the section is fully cracked, the prosperity of the section can be calculated as follows:

- Transform the area of concrete section,  $A_{cII}$ ;
- Positive first moment about the transformed area,  $S_{pcII}$ ;
- Trial the center of gravity for the effective transformed concrete section as follows, where  $y_{cg}$  is the distance from the center of gravity of the effective transformed area to the top of the section;

$$y_{cg} = \text{root} \left[ [S_{pcII} - n_e A'_{p4} (h - y_{cg} - d_4) - n_e A'_{p3} (h - y_{cg} - d_3) - n_e A'_{p2} (h - y_{cg} - d_2) - n_e A'_{p1} (h - y_{cg} - d_1)], y_{cg} \right] \quad (22)$$

$$y_{cg} = 32.2 \text{ mm}$$

$$A_{cII} = 6,858.6 \text{ mm}^2$$

$$A_{tII} = A_{cII} + n_e A_p = 8,492.1 \text{ mm}^2$$

$$I_{cr} = I_{ccr} + n_e A'_{p4} (h - y_{cg} - d_4)^2 + n_e A'_{p3} (h - y_{cg} - d_3)^2 + n_e A'_{p2} (h - y_{cg} - d_2)^2 + n_e A'_{p1} (h - y_{cg} - d_1)^2 = 12,708,482.2 \text{ mm}^4. \quad (23)$$

Effective moment of inertia:

$$I_{ef} = I_{cr} + (I_t - I_{cr}) \left( \frac{M_{cr}}{M_s} \right)^3 \quad (24)$$

$$M_{cr} = 24.4 \text{ kN.m.}$$

Where the quantity  $M_s$  is the maximum bending moment at the section:

$$M_s = \frac{P_{max}}{2} (0.33 - 0.045) = 34.2 \text{ kN.m} \quad (25)$$

$$I_{ef} = 54,820,720.6 \text{ mm}^4.$$

Tension stress range in the first layer prestress wire at the bottom:

$$\Delta\sigma_{pt1} = n_e \frac{M_{max} - M_{min}}{I_{ef}} (h - y_{cg} - d_1) = 309.3 \text{ MPa.} \quad (26)$$

The failure cycle of the prestressed steel under constant amplitude cyclic loading is estimated based on fib Model Code 2010 (**Figure 10**) and is represented by Eqs. 27 and 28:

$$\text{If } (\Delta\sigma > \Delta\sigma_{N^*})$$

$$\log N_f = \log N^* - k_1 [\log(\Delta\sigma) - \log(\Delta\sigma_{N^*})] \quad (27)$$

(For pre-tensioning steel  $k_1 = 5$ )

$$\text{If } (\Delta\sigma \leq \Delta\sigma_{N^*})$$

$$\log N_f = \log N^* + k_2 [\log(\Delta\sigma_{N^*}) - \log(\Delta\sigma)] \quad (28)$$

(For pre-tensioning steel  $k_2 = 9$ ).

Where  $\Delta\sigma$  is the stress range in the prestressed steel,  $\Delta\sigma_{N^*}$  is the stress range at  $N^*$  cycles, which is given in **Table 6**. Since the fatigue test data are significantly scattered and are influenced by the sample size and loading frequency, the  $S-N$  curve is considered as an engineering factor. The curve in fib Model Code is expected to be a safe assumption. Hence, the parameters given in **Table 6** are used for design. The parameters are, however, lower bound to test the data and are appropriately conservative (Loo et al., 2010). For comparison with test results, however, mean values are needed to provide a best estimate of the response. Loo et al. (2010) suggested that the mean value for reinforced steel is 290 MPa, and Parvez and Foster (2015) suggested that the mean value is 300 MPa for the prestressed steel. In this case study, consider  $\Delta\sigma_{N^*}$  as 300 MPa:

$$\Delta\sigma > \Delta\sigma_{N^*} = 300 \text{ MPa}$$

$$\log N_f = \log N^* - k_1 [\log(\Delta\sigma) - \log(\Delta\sigma_{N^*})] = 5.934 \quad (29)$$

$$N = 859,055.$$

The comparison between the theoretical and experiment results are shown in **Table 10**.

## CONCLUSION

Prestressed concrete sleepers are the most common and important component of railway track systems. There are many factors that cause the failure of prestressed concrete sleepers, in which cracking is commonly considered as a failure criterion defining fatigue failure state. The fatigue damage of the prestressed concrete sleepers is mainly due to the cumulative accumulation caused by the fatigue load from trains. In order to evaluate the fatigue lifetime of the prestressed concrete sleepers, the following aspects of work need to be carried out:

- Statistical analysis of fatigue dynamic load, impact load from the train and bending moment of prestressed concrete sleeper;

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- Study of the fatigue characteristics of prestressed concrete sleepers (including  $S-N$  curves of concrete and steel bars) and their materials;
- Development of reasonable fatigue life discrimination criteria, according to the load moment and material characteristics, to calculate the fatigue life of a prestressed concrete sleeper.

For the detailed prediction of the fatigue life of the prestressed concrete sleeper, a great deal of theoretical analyses, laboratory tests, and field tests are still required. This paper reviewed the reports on the main characteristics of fatigue failure and fatigue load of prestressed concrete, in the presence of the fatigue resistance of prestressed concrete. This article also presents a convenient fatigue life assessment method for prestressed concrete sleepers. The outcome of this study can be used to evaluate the service performance and predict the fatigue life of concrete sleepers, as well as to help to engineer and improve concrete sleeper design and maintenance.

## AUTHOR CONTRIBUTIONS

RY wrote the manuscript with support from other authors, and developed the theoretical formalism, performed the analytic calculations. SK gave very useful comments on this manuscript. DL makes a contribution to the information for the fatigue failure in concrete sleepers. CN made a contribution to review current design methodologies. RJ made a contribution to the fatigue life assessment case study.

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**Conflict of Interest Statement:** The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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